

Symbolic Logic

An Accessible Introduction to Serious Mathematical Logic

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Preface

There is, I think, a gap between what many students learn in their first course in formal logic, and what they are expected to know for their second. While courses in mathematical logic with metalogical components often cast only the barest glance at mathematical induction or even the very idea of reasoning from definitions, a first course may also leave these untreated, and fail explicitly to lay down the definitions upon which the second course is based. The aim of this text is to integrate material from these courses and, in particular, to make serious mathematical logic accessible to students I teach. The first parts introduce classical symbolic logic as appropriate for beginning students; the last parts build to Gödel's adequacy and incompleteness results. A distinctive feature of the last section is a complete development of Gödel's second incompleteness theorem.

Accessibility, in this case, includes components which serve to locate this text among others: First, assumptions about background knowledge are minimal. I do not assume particular content about computer science, or about mathematics much beyond high school algebra. Officially, everything is introduced from the ground up. No doubt, the material requires a certain sophistication — which one might acquire from other courses in critical reasoning, mathematics or computer science. But the requirement does not extend to particular contents from any of these areas.

Second, I aim to build skills, and to keep conceptual distance for different applications of 'so' relatively short. Authors of books that are completely correct and precise may assume skills and require readers to recognize connections not fully explicit. The results are often elegant. But this can exclude a class of students capable of grasping and benefiting from the material, if only it is adequately explained. It may be that this accounts for some of the reputed difficulty of the material. Thus I attempt explanations and examples to put the student at every stage in a position to understand the next. In some cases, I attempt this by introducing relatively concrete methods for reasoning. The methods are, no doubt, tedious or unnecessary for the experienced logician. However, I have found that they are valued by students, inso-

far as students are presented with an occasion for success. These methods are not meant to wash over or substitute for understanding details, but rather to expose and clarify them. Clarity, beauty and power come, I think, by getting at details, rather than burying or ignoring them.

Third, the discussion is ruthlessly directed at core results. Results may be rendered inaccessible to students, who have many constraints on their time and schedules, simply because the results would come up in, say, a second course rather than a first. My idea is to exclude side topics and problems, and to go directly after (what I see as) the core. One manifestation is the way definitions and results from earlier sections feed into ones that follow. Thus simple integration is a benefit. Another is the way predicate logic with identity is introduced as a whole in [Part I](#). Though it is possible to isolate sentential logic from the first parts of [chapter 2](#) through [chapter 7](#), and so to use the text for separate treatments of sentential and predicate logic, the guiding idea is to avoid repetition that would be associated with independent treatments for sentential logic, or perhaps monadic predicate logic, the full predicate logic, and predicate logic with identity.

Also (though it may suggest I am not so ruthless about extraneous material as I would like to think), I try to offer some perspective about what is accomplished along the way. In addition, this text may be of particular interest to those who have, or desire, an exposure to natural deduction in formal logic. In this case, accessibility arises from the nature of the system, and association with what has come before. In the first part, I introduce both axiomatic and natural derivation systems; and in [Part III](#), show how they are related.

There are different ways to organize a course around this text. For students who are likely to complete the whole, the ideal is to proceed sequentially through the text from beginning to end (but postponing [chapter 3](#) until after [chapter 6](#)). Taken as wholes, [Part II](#) depends on [Part I](#); [Parts III](#) and [IV](#) on [Parts I](#) and [II](#). [Part IV](#) is mostly independent of [Part III](#). I am currently working within a sequence that isolates sentential logic from quantificational logic, treating them in separate quarters, together covering all of chapters 1 - 7 (except 3). A third course picks up leftover chapters from the first two parts (3 and 8) with [Part III](#); and a fourth the leftover chapters from the first parts with [Part IV](#). Perhaps not the most efficient arrangement, but the best I have been able to do with shifting student populations. Other organizations are possible!

A remark about [chapter 7](#) especially for the instructor: By a formal system for reasoning with semantic definitions, [chapter 7](#) aims to leverage derivation skills from earlier chapters to informal reasoning with definitions. I have had a difficult time convincing instructors to try this material — and even been told flatly that these

skills “cannot be taught.” In my experience, this is false (and when I have been able to convince others to try the chapter, they have quickly seen its value). Perhaps the difficulty is that it is “weird” — none of us had anything like this when we learned logic. Of course, if one is presented with students whose mathematical sophistication is sufficient for advanced work, the material is not necessary. But if, as is often the case especially for students in philosophy, one obtains one’s mathematical sophistication *from* courses in logic, this chapter is an important part of the bridge from earlier material to later. Additionally, the chapter is an important “take-away” even for students who will not continue to later material. The chapter closes an open question from [chapter 4](#) — how it is possible to demonstrate quantificational validity. But further, the ability to reason closely with definitions is a skill from which students in (sentential or) predicate logic, even though they never go on to formalize another sentence or do another derivation, will benefit both in philosophy and more generally.

Another remark about the (long) sections [13.3](#), [13.4](#) and [13.5](#). These develop “derivability conditions” in PA for Gödel’s second theorem. They are, perhaps for enthusiasts. Still, in my experience many students are enthusiasts and, especially from an introduction, benefit by seeing how it is done. There are different ways to treat the sections. One might work through them in some detail. One might wave at results individually. And even for the short shrift often accorded the derivability conditions, there is an advantage having a sort of panorama at which one can point and say “thus it is accomplished!”

Naturally, results in this book are not innovative. If there is anything original, it is in presentation. Even here, I am greatly indebted to others, especially perhaps Bergmann, Moor and Nelson, *The Logic Book*, Mendelson, *Introduction to Mathematical Logic*, and Smith, *An Introduction to Gödel’s Theorems*. I thank my first logic teacher, G.J. Matthey, who communicated to me his love for the material. And I thank especially my colleagues John Mumma and Darcy Otto for many helpful comments. In addition I have received helpful feedback from Hannah Baehr and Steve Johnson, along with students in different logic classes at CSUSB. I welcome comments, and expect that your sufferings will make it better still.

This text evolved over a number of years starting modestly from notes originally provided as a supplement to other texts. It is now long (!) and perhaps best conceived in separate volumes for Parts [I](#) and [II](#) and then Parts [III](#) and [IV](#). With the addition of [Part IV](#) it is complete for the first time in this version. (But [chapter 11](#), which I rarely get to in teaching, remains a stub that could be developed in different directions.) Most of the text is reasonably stable, though I shall be surprised if I have not introduced errors in the last part both substantive and otherwise.

I think this is fascinating material, and consider it great reward when students

respond “cool!” as they sometimes do. I hope you will have that response more than once along the way.

T.R.

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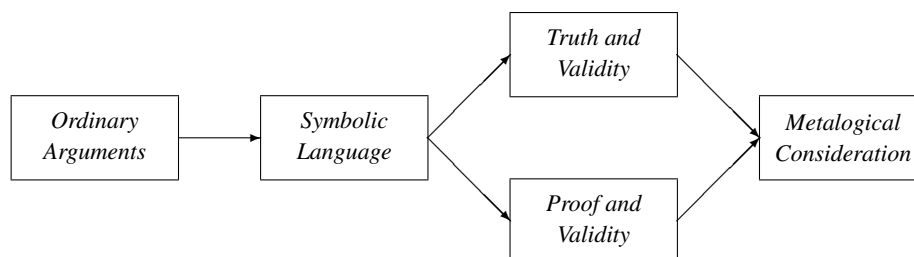
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Part I

The Elements: Four Notions of Validity

Introductory

Symbolic logic is a tool for argument evaluation. In this part of the text we introduce the basic elements of that tool. Those parts are represented in the following diagram.



The starting point is ordinary arguments. Such arguments come in various forms and contexts — from politics and ordinary living, to mathematics and philosophy. Here is a classic, simple case.

- All men are mortal.
- (A) Socrates is a man.
Socrates is mortal.

This argument has *premises* listed above a line, with a *conclusion* listed below. The premises are supposed to demonstrate the conclusion. Here is another case which may seem less simple.

- (B) If the maid did it, then it was done with a revolver only if it was done in the parlor. But if the butler is innocent, then the maid did it unless it was done in the parlor. The maid did it only if it was done with a revolver, while the butler is guilty if it did happen in the parlor. So the butler is guilty.

It is fun to think about this; from the given evidence, it follows that the butler did it! Here is an argument that is both controversial and significant.

- (C) There is evil. If god is good, then there is no evil unless god has morally sufficient reasons for allowing it. If god is both omnipotent and omniscient, then god does not have morally sufficient reasons for allowing evil. So god is not good, omnipotent and omniscient.

A being is *omnipotent* if it is all-powerful, and *omniscient* if all-knowing. This is a version of the famous “problem of evil” for traditional theism. It matters whether the conclusion is true! Roughly, an argument is good if it does what it is supposed to do, if its premises demonstrate the conclusion, and bad if they do not. So a theist (someone who believes in god) may hold that (C) is a bad argument, but an atheist (someone who does not believe in god) that it is good.

We begin in [chapter 1](#) with an account of success for ordinary arguments (the leftmost box). So we say what it is for an argument to be good or bad. This introduces us to the fundamental notions of *logical validity* and *logical soundness*. These will be our core concepts for argument evaluation. But just as it is one thing to know what integrity is, and another to know whether someone has it, so it is one thing to know what logical validity and logical soundness are, and another to know whether arguments have them. In some cases, it may be obvious. But others are not so clear — as, for example, cases (B) or (C) above, along with complex arguments in mathematics. Thus symbolic logic is introduced as a sort of machine or tool to identify validity and soundness.

This machine begins with certain symbolic representations of ordinary arguments (the box second from the left). That is why it is *symbolic* logic. We introduce these representations in [chapter 2](#), and translate from ordinary arguments to the symbolic representations in [chapter 5](#). Once arguments have this symbolic representation, there are different methods of operating upon them.

An account of truth and validity is developed for the symbolic representations in [chapter 4](#) and [chapter 7](#) (the upper box). On this account, truth and validity are associated with clearly defined criteria for their evaluation. And validity from this upper box implies logical validity for the ordinary arguments that are symbolically represented. Thus we obtain clearly defined criteria to identify the logical validity of arguments we care about. Evaluation of validity for the butler and evil cases is entirely routine given the methods from [chapter 2](#), [chapter 4](#) and [chapter 5](#) — though the soundness of (C) will remain controversial!

Accounts for proof and validity are developed for the symbolic representations in [chapter 3](#) and [chapter 6](#) (the lower box). Again, on this account, proof and validity are associated with clearly defined criteria for their evaluation. And validity from the lower box implies logical validity for the ordinary arguments that are symbolically represented. The result is another well-defined approach to the identification

of logical validity. Evaluation of validity for the butler and evil cases is entirely routine given the methods from, say, [chapter 2](#), [chapter 3](#) and [chapter 5](#), or alternatively, [chapter 2](#), [chapter 5](#) and [chapter 6](#) — though, again, the soundness of (C) will remain controversial.

These, then, are the elements of our logical “machine” — we start with the fundamental notion of logical validity; then there are symbolic representations of ordinary reasonings, along with approaches to evaluation from truth and validity, and from proof and validity. These elements are developed in this part. In later parts we turn to thinking about how these parts work together (the right-hand box). In particular, we begin thinking *how* to reason about logic ([Part II](#)), *demonstrate* that the same arguments come out valid by the truth method and by the proof method ([Part III](#)), and establish limits on application of logic and computing to arithmetic ([Part IV](#)). But first we have to say what the elements are. And that is the task we set ourselves in this part.

Chapter 1

Logical Validity and Soundness

Symbolic logic is a tool or machine for the identification of argument goodness. It makes sense to begin, however, not with the machine, but by saying something about this argument goodness that the machinery is supposed to identify. That is the task of this chapter.

But first, we need to say what an argument is. An argument is made up of sentences one of which is taken to be supported by the others.

AR An *argument* is some sentences, one of which (the *conclusion*) is taken to be supported by the remaining sentences (the *premises*).

(Important definitions are often offset and given a short name as above; then there may be appeal to the definition by its name, in this case, ‘AR’.) So an argument has premises which are taken to support a conclusion. Such support is often indicated by words or phrases of the sort, ‘so’, ‘it follows’, ‘therefore’, or the like. We will typically indicate the division by a simple line between premises and conclusion. Roughly, an argument is good if the premises do what they are taken to do, if they actually support the conclusion. An argument is bad if they do not accomplish what they are taken to do, if they do not actually support the conclusion.

Logical validity and soundness correspond to different ways an argument can go wrong. Consider the following two arguments:

	Only citizens can vote		All citizens can vote
(A)	<u>Hannah is a citizen</u>	(B)	<u>Hannah is a citizen</u>
	Hannah can vote		Hannah can vote

The line divides premises from conclusion, indicating that the premises are supposed to support the conclusion. Thus these are arguments. But these arguments go wrong in different ways. The premises of argument (A) are true; as a matter of fact, only citizens can vote, and Hannah (my daughter) is a citizen. But she cannot vote; she is not old enough. So the conclusion is false. Thus, in argument (A), the relation between the premises and the conclusion is defective. Even though the premises are true, there is no guarantee that the conclusion is true as well. We will say that this argument is *logically invalid*. In contrast, argument (B) is logically valid. If its premises were true, the conclusion would be true as well. So the *relation* between the premises and conclusion is not defective. The problem with this argument is that the premises are not true — not all citizens can vote. So argument (B) is defective, but in a different way. We will say that it is *logically unsound*.

The task of this chapter is to define and explain these notions of logical validity and soundness. I begin with some preliminary notions, then turn to official definitions of logical validity and soundness, and finally to some consequences of the definitions.

1.1 Consistent Stories

Given a certain notion of a *possible* or *consistent* story, it is easy to state definitions for logical validity and soundness. So I begin by identifying the kind of stories that matter. Then we will be in a position to state the definitions, and apply them in some simple cases.

Let us begin with the observation that there are different sorts of possibility. Consider, say, “Hannah could make it in the WNBA.” This seems true. She is reasonably athletic, and if she were to devote herself to basketball over the next few years, she might very well make it in the WNBA. But wait! Hannah is only a kid — she rarely gets the ball even to the rim from the top of the key — so there is no way she could make it in the WNBA. So she both could and could not make it. But this cannot be right! What is going on? Here is a plausible explanation: Different sorts of possibility are involved. When we hold fixed current abilities, we are inclined to say there is no way she could make it. When we hold fixed only general physical characteristics, and allow for development, it is natural to say that she might. The scope of what is possible varies with whatever constraints are in play. The weaker the constraints, the broader the range of what is possible.

The sort of possibility we are interested in is *very* broad, and constraints are correspondingly weak. We will allow that a story is *possible* or *consistent* so long as it involves no *internal* contradiction. A story is impossible when it collapses from

within. For this it may help to think about the way you respond to ordinary fiction. Consider, say, J.K. Rowling's *Harry Potter and the Prisoner of Azkaban* (much loved by my youngest daughter). Harry and his friend Hermione are at wizarding school. Hermione acquires a "time turner" which allows time travel, and uses it in order to take classes that are offered at the same time. Such devices are no part of the actual world, but they fit into the wizarding world of Harry Potter. So far, then, the story does not contradict itself. So you go along.

At one stage, though, Harry is at a lakeshore under attack by a bunch of fearsome "dementors." His attempts to save himself appear to have failed when a figure across the lake drives the dementors away. But the figure who saves Harry is Harry himself who has come back from the future. Somehow, then, as often happens in these stories, the past depends on the future, at the same time as the future depends on the past. This, rather than the time travel itself, generates an internal conflict. The story makes it the case that you cannot have Harry's rescue apart from his return, and cannot have Harry's return apart from his rescue. Perhaps time has always been repeating in an eternal loop. But, according to the story, there were times before the rescue and after the return. So the story faces *internal* collapse. Notice: the objection does not have *anything* to do with the way things actually are — with existence of time turners or the like; it has rather to do with the way the story hangs together internally — it makes it impossible for the rescue to happen without the return, and for the return to happen without the rescue.¹ Similarly, we want to ask whether stories hold together *internally*. If a story holds together internally, it counts for our purposes as consistent and possible. If a story does not hold together, it is not consistent or possible.

In some cases, stories may be consistent with things we know are true in the real world. Thus Hannah could grow up to play in the WNBA. There is nothing about our world that rules this out. But stories may remain consistent though they do not fit with what we know to be true in the real world. Here are cases of time travel and the like. Stories become inconsistent when they collapse internally — as when a story says that some time both can and cannot happen apart from another.

As with a movie or novel, we can say that different things are true or false *in* our stories. In *Harry Potter* it is true that Harry and Hermione travel through time with a

¹In more consistent cases of time travel (in fiction) time seems to move on different paths so that after yesterday and today, there is *another* yesterday and *another* today. So time does not return to the very point at which it first turns back. In the trouble cases, time seems to move in a sort of "loop" so that a point on the path to today (this very day) goes through tomorrow. With this in mind, it is interesting to think about say, the *Terminator* and *Back to the Future* movies along with, maybe more consistent, Hermione's "academic" travel or *Groundhog Day*. Even if I am wrong, and the Potter story is internally consistent, the overall point should be clear. And it should be clear that I am not saying anything serious about time travel.

timer turner, but false that they go through time in a DeLorean (as in the *Back to the Future* films). In the real world, of course, it is false that there are time turners, and false that DeLoreans go through time. Officially, a complete story is always *maximal* in the sense that *any* sentence is either true or false in it. A story is *inconsistent* when it makes some sentence both true and false. Since, ordinarily, we do not describe every detail of what is true and what is false when we tell a story, what we tell is only part of a maximal story. In practice, however, it will be sufficient for us merely to give or fill in whatever details are relevant in a particular context.

But there are a couple of cases where we cannot say when sentences are true or false in a story. The first is when stories we tell do not fill in relevant details. In *The Wizard of Oz*, it is true that Dorothy wears red shoes. But neither the movie nor the book have anything to say about whether she likes Twinkies. By themselves, then, neither the book nor the movie give us enough information to tell whether “Dorothy likes Twinkies” is true or false in the story. Similarly, there is a problem when stories are inconsistent. Suppose according to some story,

- (a) All dogs can fly
- (b) Fido is a dog
- (c) Fido cannot fly

Given (a), all dogs fly; but from (b) and (c), it seems that not all dogs fly. Given (b), Fido is a dog; but from (a) and (c) it seems that Fido is not a dog. Given (c), Fido cannot fly; but from (a) and (b) it seems that Fido can fly. The problem is not that inconsistent stories say too little, but rather that they say too much. When a story is inconsistent, we will refuse to say that it makes any sentence (simply) true or false.²

It will be helpful to consider some examples of consistent and inconsistent stories:

(a) The real story, “Everything is as it actually is.” Since no contradiction is actually true, this story involves no contradiction; so it is internally consistent and possible.

(b) “All dogs can fly: over the years, dogs have developed extraordinarily large and muscular ears; with these ears, dogs can fly.” It is bizarre, but not obviously inconsistent. If we allow the consistency of stories according to which monkeys fly, as in *The Wizard of Oz*, or elephants fly, as in *Dumbo*, then we should allow that this story is consistent as well.

²The intuitive picture developed above should be sufficient for our purposes. However, we are on the verge of vexed issues. For further discussion, you may want to check out the vast literature on “possible worlds.” Contributions of my own include the introductory article, “[Modality](#),” in *The Continuum Companion to Metaphysics*.

(c) “All dogs can fly, but my dog Fido cannot; Fido’s ear was injured while he was chasing a helicopter, and he cannot fly.” This is *not* internally consistent. If all dogs can fly and Fido is a dog, then Fido can fly. You might think that Fido remains a flying sort of thing. In evaluating internal consistency, however, we require that *meanings remain the same*: If “can fly” means just “is a flying sort of thing,” then the story falls apart insofar as it says both that Fido is and is not that sort of thing; if “can fly” means “is himself able to fly,” then the story falls apart insofar as it says that Fido himself both is and is not able to fly. So long as “can fly” means the same in each use, the story is sure to fall apart insofar as it says both that Fido is and is not that sort of thing.

(d) “Germany won WWII; the United States never entered the war; after a long and gallant struggle, England and the rest of Europe surrendered.” It did not happen; but the story does not contradict itself. For our purposes, then it counts as possible.

(e) “ $1 + 1 = 3$; the numerals ‘2’ and ‘3’ are switched (the numerals are ‘1’, ‘3’, ‘2’, ‘4’, ‘5’, ‘6’, ‘7’...); so that one and one are three.” This story does not hang together. Of course numerals can be switched — so that people would correctly say, ‘ $1 + 1 = 3$ ’. But this does not make it the case that one and one are three! We tell stories in our own language (imagine that you are describing a foreign-language film in English). Take a language like English except that ‘fly’ means ‘bark’; and consider a movie where dogs are ordinary, so that people in the movie correctly assert, in their language, ‘dogs fly’. But changing the words people use to describe a situation does not change the situation. It would be a mistake to tell a friend, in English, that you saw a movie in which there were flying dogs. Similarly, according to our story, people correctly assert, in their language, ‘ $1 + 1 = 3$ ’. But it is a mistake to say in English (as our story does), that this makes $1 + 1 = 3$.

Some authors prefer talk of “possible worlds,” “possible situations” or the like to that of consistent stories. It is conceptually simpler to stick with stories, as I have, than to have situations and distinct descriptions of them. However, it is worth recognizing that our consistent stories are or describe possible situations, so that the one notion matches up directly with the others.

As you approach the following exercises, note that answers to problems indicated by star are provided in the back of the book. Answers function as additional examples, complete demonstrations, and supply a check to see that work is on the right track. It is essential to success that you work a significant body of exercises successfully and independently. So do not neglect exercises!

E1.1. Say whether each of the following stories is internally consistent or inconsistent. In either case, explain why.

- *a. Smoking cigarettes greatly increases the risk of lung cancer, although most people who smoke cigarettes do not get lung cancer.
- b. Joe is taller than Mary, but Mary is taller than Joe.
- *c. Abortion is always morally wrong, though abortion is morally right in order to save a woman's life.
- d. Mildred is Dr. Saunders's daughter, although Dr. Saunders is not Mildred's father.
- *e. No rabbits are nearsighted, though some rabbits wear glasses.
- f. Ray got an 'A' on the final exam in both Phil 200 and Phil 192. But he got a 'C' on the final exam in Phil 192.
- *g. Barack Obama was never president of the United States, although Michelle is president right now.
- h. Egypt, with about 100 million people is the most populous country in Africa, and Africa contains the most populous country in the world. But the United States has over 200 million people.
- *i. The death star is a weapon more powerful than that in any galaxy, though there is, in a galaxy far, far away, a weapon more powerful than it.
- j. Luke and the Rebellion valiantly battled the evil Empire, only to be defeated. The story ends there.

E1.2. For each of the following sentences, (i) say whether it is true or false in the real world and then (ii) say, if you can, whether it is true or false according to the accompanying story. In each case, explain your answers. Do not forget about contexts where we refuse to say whether sentences are true or false. The first problem is worked as an example.

- a. Sentence: Aaron Burr was never a president of the United States.

Story: Aaron Burr was the first president of the United States, however he turned traitor and was impeached and then executed.

(i) It is *true* in the real world that Aaron Burr was never a president of the United States. (ii) But the story makes the sentence *false*, since the story says Burr was the first president.

- b. Sentence: In 2006, there were still buffalo.

Story: A thundering herd of buffalo overran Phoenix, Arizona in early 2006. The city no longer exists.

- *c. Sentence: After overrunning Phoenix in early 2006, a herd of buffalo overran Newark, New Jersey.

Story: A thundering herd of buffalo overran Phoenix, Arizona in early 2006. The city no longer exists.

- d. Sentence: There has been an all-out nuclear war.

Story: After the all-out nuclear war, John Connor organized the Resistance against the machines — who had taken over the world for themselves.

- *e. Sentence: Jack Nicholson has swum the Atlantic.

Story: No human being has swum the Atlantic. Jack Nicholson and Bill Clinton and you are all human beings, and at least one of you swam all the way across!

- f. Sentence: Some people have died as a result of nuclear explosions.

Story: As a result of a nuclear blast that wiped out most of this continent, you have been dead for over a year.

- *g. Sentence: Your instructor is not a human being.

Story: No beings from other planets have ever made it to this country. However, your instructor made it to this country from another planet.

- h. Sentence: Lassie is both a television and movie star.

Story: Dogs have super-big ears and have learned to fly. Indeed, all dogs can fly. Among the many dogs are Lassie and Rin Tin Tin.

- *i. Sentence: The Yugo is the most expensive car in the world.

Story: Jaguar and Rolls Royce are expensive cars. But the Yugo is more expensive than either of them.

j. Sentence: Lassie is a bird who has learned to fly.

Story: Dogs have super-big ears and have learned to fly. Indeed, all dogs can fly. Among the many dogs are Lassie and Rin Tin Tin.

1.2 The Definitions

The definition of logical validity depends on what is true and false in consistent stories. The definition of soundness builds directly on the definition of validity. Note: in offering these definitions, I *stipulate* the way the terms are to be used; there is no attempt to say how they are used in ordinary conversation; rather, we say what they will mean for us in this context.

LV An argument is *logically valid* if and only if (iff) there is no consistent story in which all the premises are true and the conclusion is false.

LS An argument is *logically sound* iff it is logically valid and all of its premises are true in the real world.

Observe that logical validity has entirely to do with what is true and false in consistent stories. Only with logical soundness is validity combined with premises true in the real world.

Logical (deductive) validity and soundness are to be distinguished from *inductive* validity and soundness or success. For the inductive case, it is natural to focus on the *plausibility* or the *probability* of stories — where an argument is relatively strong when stories that make the premises true and conclusion false are relatively implausible. Logical (deductive) validity and soundness are thus a sort of limiting case, where stories that make premises true and conclusion false are not merely implausible, but impossible. In a deductive argument, conclusions are supposed to be *guaranteed*; in an inductive argument, conclusions are merely supposed to be made probable or plausible. For mathematical logic, we set the inductive case to the side, and focus on the deductive.

Also, do not confuse *truth* with validity and soundness. A sentence is true in the real world when it correctly represents how things are in the real world, and true in a story when it correctly represents how things are in the story. An argument is valid when there is no consistent story that makes the premises true and conclusion false, and sound when it is valid and all its premises are true in the real world. The definitions for validity and soundness depend on truth and falsity for the *premises* and *conclusion* in stories and then in the real world. But, just as it would be a mistake

to say that the number three weighs eleven pounds, so truth and falsity do not even apply to arguments themselves, which may be valid or sound.³

1.2.1 Invalidity

It will be easiest to begin thinking about *invalidity*. From the definition, if an argument is logically valid, there is no consistent story that makes the premises true and conclusion false. So to show that an argument is invalid, it is enough to *produce* even one consistent story that makes premises true and conclusion false. Perhaps there are stories that result in other combinations of true and false for the premises and conclusion; this does not matter for the definition. However, if there is even one story that makes premises true and conclusion false then, by definition, the argument is not logically valid — and if it is not valid, by definition, it is not logically sound. We can work through this reasoning by means of a simple *invalidity test*. Given an argument, this test has the following four stages.

- IT a. List the premises and negation of the conclusion.
 b. Produce a consistent story in which the statements from (a) are all true.
 c. Apply the definition of validity.
 d. Apply the definition of soundness.

We begin by considering what needs to be done to show invalidity. Then we do it. Finally we apply the definitions to get the results. For a simple example, consider the following argument,

- Eating brussels sprouts results in good health
 (C) Ophelia has good health
 Ophelia has been eating brussels sprouts

The definition of validity has to do with whether there are consistent stories in which the premises are true and the conclusion false. Thus, in the first stage, we simply write down what would be the case in a story of this sort.

- | | |
|--|---|
| a. List premises and negation of conclusion. | In any story with the premises true and conclusion false,
1. Eating brussels sprouts results in good health
2. Ophelia has good health
3. Ophelia has not been eating brussels sprouts |
|--|---|

³From an introduction to philosophy of language, one might wonder (with good reason) whether the proper bearers of truth are sentences rather than, say, *propositions*. This question is not relevant to the simple point made above.

Observe that the conclusion is reversed! At this stage we are not giving an argument. Rather we merely list what is the case when the premises are true and conclusion false. Thus there is no line between premises and the last sentence, insofar as there is no suggestion of support. It is easy enough to repeat the premises for (1) and (2). Then for (3) we say what is required for the conclusion to be *false*. Thus, “Ophelia has been eating brussels sprouts” is false if Ophelia has not been eating brussels sprouts. I return to this point below, but that is enough for now.

An argument is invalid if there is even one consistent story that makes the premises true and the conclusion false. Thus, to show invalidity, it is enough to *produce* a consistent story that makes the premises true and conclusion false.

- | | |
|--|---|
| b. Produce a consistent story in which the statements from (a) are all true. | Story: Eating brussels sprouts results in good health, but eating spinach does so as well; Ophelia is in good health but has been eating spinach, not brussels sprouts. |
|--|---|

For the statements listed in (a): we satisfy (1) insofar as eating brussels sprouts results in good health; (2) is satisfied since Ophelia is in good health; and (3) is satisfied since Ophelia has not been eating brussels sprouts. The story *explains* how she manages to maintain her health without eating brussels sprouts, and so the consistency of (1) - (3) together. The story does not have to be true — and, of course, many different stories will do. All that matters is that there is a *consistent* story in which the premises of the original argument are true, and the conclusion is false.

Producing a story that makes the premises true and conclusion false is the creative part. What remains is to apply the definitions of validity and soundness. By **LV**, an argument is logically valid only if there is no consistent story in which the premises are true and the conclusion is false. So if, as we have demonstrated, there is such a story, the argument cannot be logically valid.

- | | |
|--------------------------------------|---|
| c. Apply the definition of validity. | This is a consistent story that makes the premises true and the conclusion false; thus, by definition, the argument is not logically valid. |
|--------------------------------------|---|

By **LS**, for an argument to be sound, it must have its premises true in the real world *and* be logically valid. Thus if an argument fails to be logically valid, it automatically fails to be logically sound.

- | | |
|---------------------------------------|--|
| d. Apply the definition of soundness. | Since the argument is not logically valid, by definition, it is not logically sound. |
|---------------------------------------|--|

Given an argument, the definition of validity depends on stories that make the premises true and the conclusion false. Thus, in step (a) we simply list claims required of any such story. To show invalidity, in step (b), we produce a consistent story that satisfies each of those claims. Then in steps (c) and (d) we apply the definitions to get the final results; for invalidity, these last steps are the same in every case.

It may be helpful to think of stories as a sort of “wedge” to pry the premises of an argument off its conclusion. We pry the premises off the conclusion if there is a consistent way to make the premises true and the conclusion not. If it is possible to insert such a wedge between the premises and conclusion, then a defect is exposed in the way premises are connected to the conclusion. Observe that the flexibility we allow in consistent stories (with flying dogs and the like) corresponds directly to the strength of the required connection between premises and conclusion. If the connection is sufficient to resist all such attempts to wedge the premises off the conclusion, then it is significant indeed. Observe also that our method reflects what we did with argument (A) at the beginning of the chapter: Faced with the premises that only citizens can vote and Hannah is a citizen, it was natural to worry that she might be under-age and so cannot vote. But this is precisely to produce a story that makes the premises true and conclusion false. Thus our method is not “strange” or “foreign”! Rather, it makes rigorous what has seemed natural from the start.

Here is another example of our method. Though the argument may seem on its face not to be a very good one, we can expose its failure by our methods — in fact, again, our method may formalize or make rigorous a way you very naturally think about cases of this sort. Here is the argument,

- (D) $\frac{\text{I shall run for president}}{\text{I shall be one of the most powerful men on earth}}$

To show that the argument is invalid, we turn to our standard procedure.

- a. In any story with the premise true and conclusion false,
 1. I shall run for president
 2. I shall not be one of the most powerful men on earth
- b. Story: I do run for president, but get no financing and gain no votes; I lose the election. In the process, I lose my job as a professor and end up begging for scraps outside a Domino’s Pizza restaurant. I fail to become one of the most powerful men on earth.

- c. This is a consistent story that makes the premise true and the conclusion false; thus, by definition, the argument is not logically valid.
- d. Since the argument is not logically valid, by definition, it is not logically sound.

This story forces a wedge between the premise and the conclusion. Thus we use the definition of validity to explain why the conclusion does not properly follow from the premises. It is, perhaps, obvious that *running* for president is not enough to make me one of the most powerful men on earth. Our method forces us to be very explicit about why: running for president leaves open the option of losing, so that the premise does not force the conclusion. Once you get used to it, then, our method may appear as a natural approach to arguments.

If you follow this method for showing invalidity, the place where you are most likely to go wrong is stage (b), telling stories where the premises are true and the conclusion false. Be sure that your story is consistent, and that it verifies *each* of the claims from stage (a). If you do this, you will be fine.

E1.3. Use our invalidity test to show that each of the following arguments is not logically valid, and so not logically sound. Understand terms in their most natural sense.

- *a. If Joe works hard, then he will get an 'A'
 Joe will get an 'A'
 Joe works hard
- b. Harry had his heart ripped out by a government agent
 Harry is dead
- c. Everyone who loves logic is happy
 Jane does not love logic
 Jane is not happy
- d. Our car will not run unless it has gasoline
 Our car has gasoline
 Our car will run
- e. Only citizens can vote
 Hannah is a citizen
 Hannah can vote

1.2.2 Validity

For a given argument, if you cannot find a story that makes the premises true and conclusion false, you may begin to suspect that it is valid. However, mere failure to demonstrate invalidity does not demonstrate validity — for all we know, there might be some tricky story we have not thought of yet. So, to show validity, we need another approach. If we could show that every story which makes the premises true and conclusion false is *inconsistent*, then we could be sure that no *consistent* story makes the premises true and conclusion false — and so, from the definition of validity, we could conclude that the argument is valid. Again, we can work through this by means of a procedure, this time a *validity test*.

- VT a. List the premises and negation of the conclusion.
 b. Expose the inconsistency of such a story.
 c. Apply the definition of validity.
 d. Apply the definition of soundness.

In this case, we begin in just the same way. The key difference arises at stage (b). For an example, consider this argument.

- No car is a person
 (E) My mother is a person
 My mother is not a car

Since LV has to do with stories where the premises are true and the conclusion false, as before, we begin by listing the premises together with the negation of the conclusion.

- | | |
|--|---|
| a. List premises and negation of conclusion. | In any story with the premises true and conclusion false, |
| | 1. No car is a person |
| | 2. My mother is a person |
| | 3. My mother is a car |

Any story where “My mother is not a car” is false, is one where my mother is a car (perhaps along the lines of the much reviled 1965 TV series, *My Mother the Car*).

For invalidity, we would produce a consistent story in which (1) - (3) are all true. In this case, to show that the argument is valid, we show that this *cannot* be done. That is, we show that no story that makes each of (1) - (3) true is a consistent story.

- b. Expose the inconsistency of such a story.
- In any such story,
 Given (1) and (3),
 4. My mother is not a person
 Given (2) and (4),
 5. My mother is and is not a person

The reasoning should be clear if you focus *just on the specified lines*. Given (1) and (3), if no car is a person and my mother is a car, then my mother is not a person. But then my mother is a person from (2) and not a person from (4). So we have our goal: any story with (1) - (3) as members contradicts itself and therefore is not consistent. Observe that we could have reached this result in other ways. For example, we might have reasoned from (1) and (2) that (4'), my mother is not a car; and then from (3) and (4') to the result that (5') my mother is and is not a car. Either way, an inconsistency is exposed. Thus, as before, there are different options for this creative part.

Now we are ready to apply the definitions of logical validity and soundness. First,

- c. Apply the definition of validity.
- So no consistent story makes the premises true and conclusion false; so by definition, the argument is logically valid.

For the invalidity test, we produce a consistent story that “hits the target” from stage (a), to show that the argument is invalid. For the validity test, we show that any attempt to hit the target from stage (a) must collapse into inconsistency: no consistent story includes each of the elements from stage (a) so that *there is no consistent story in which the premises are true and the conclusion false*. So by application of **LV** the argument is logically valid.

Given that the argument is logically valid, **LS** makes logical soundness depend on whether the premises are true in the real world. Suppose we think the premises of our argument are in fact true. Then,

- d. Apply the definition of soundness.
- In the real world no car is a person and my mother is a person, so all the premises are true; so since the argument is also logically valid, by definition, it is logically sound.

Observe that **LS** requires for logical soundness that an argument is logically valid and that its *premises* are true in the *real world*. Thus we are no longer thinking about merely possible stories! Soundness depends on the way things are in the real world. And we do not say anything at this stage about claims other than the premises of the

original argument! Thus we do not make any claim about the truth or falsity of the conclusion, “my mother is not a car.” Rather, the observations have entirely to do with the two premises, “no car is a person” and “my mother is a person.” When an argument is valid and the premises are true in the real world, by **LS**, it is logically sound.

But it will not always be the case that a valid argument has true premises. Say *My Mother the Car* is (surprisingly) a documentary about a person reincarnated as a car (the premise of the show) and therefore a true account of some car that is a person. Then some cars are persons and the first premise is false; so you would have to respond as follows,

d'. Since in the real world some cars are persons, the first premise is not true. So, though the argument is logically valid, by definition it is not logically sound.

Another option is that you are in doubt about reincarnation into cars, and in particular about whether some cars are persons. In this case you might respond as follows,

d''. Although in the real world my mother is a person, I cannot say whether no car is a person; so I cannot say whether the first premise is true. So though the argument is logically valid, I cannot say whether it is logically sound.

So once we decide that an argument is valid, for soundness there are three options:

- (i) You are in a position to identify all of the premises as true in the real world. In this case, you should do so, and apply the definition for the result that the argument is logically sound.
- (ii) You are in a position to say that at least one of the premises is false in the real world. In this case, you should do so, and apply the definition for the result that the argument is not logically sound.
- (iii) You cannot identify any premise as false, but neither can you identify them all as true. In this case, you should explain the situation and apply the definition for the result that you are not in a position to say whether the argument is logically sound.

So given a valid argument, there remains a substantive questions about soundness. In some cases, as for example (**C**) on p. 3, this can be the most controversial part.

Again, given an argument, we say in step (a) what would be the case in any story that makes the premises true and the conclusion false. Then, at step (b), instead of

finding a consistent story in which the premises are true and conclusion false, we show that there is no such thing. Steps (c) and (d) apply the definitions for the final results. Observe that only one method can be correctly applied in a given case! If we can produce a consistent story according to which the premises are true and the conclusion is false, then it is not the case that no consistent story makes the premises true and the conclusion false. Similarly, if no consistent story makes the premises true and the conclusion false, then we will not be able to produce a consistent story that makes the premises true and the conclusion false.

For showing validity, the most difficult steps are (a) and (b), where we say what happens in every story where the premises true and the conclusion false. For an example, consider the following argument.

- All collies can fly
 (F) All collies are dogs
 All dogs can fly

It is invalid. We can easily tell a story that makes the premises true and the conclusion false — say one where collies fly but dachshunds do not. Suppose, however, that we proceed with the validity test as follows,

- a. In any story with the premises true and conclusion false,
 1. All collies can fly
 2. All collies are dogs
 3. No dogs can fly
- b. In any such story,

Given (1) and (2),

 4. Some dogs can fly

Given (3) and (4),

 5. Some dogs can and cannot fly
- c. So no consistent story makes the premises true and conclusion false; so by definition, the argument is logically valid.
- d. Since in the real world collies cannot fly, the first premise is not true. So, though the argument is logically valid, by definition it is not logically sound.

The reasoning at (b), (c) and (d) is correct. Any story with (1) - (3) is inconsistent. But something is wrong. (Can you see what?) There is a mistake at (a): It is not

Negation and Quantity

In general you want to be careful about negations. To negate any claim \mathcal{P} it is always correct to write simply, *it is not the case that \mathcal{P}* . You may choose to do this for conclusions in the first step of our procedures. At some stage, however, you will need to understand what the negation comes to. We have chosen to offer interpreted versions in the text. It is easy enough to see that,

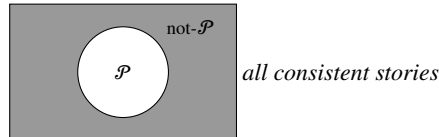
My mother is a car and My mother is not a car

negate one another. However, there are cases where caution is required. This is particularly the case with terms involving quantities.

Say the conclusion of your argument is, ‘there are at least ten apples in the basket’. Clearly a story according to which there are, say, three apples in the basket makes this conclusion false. However, there are other ways to make the conclusion false — as if there are two apples or seven. Any of these are fine for showing invalidity.

But when you show that an argument is valid, you must show that *any* story that makes the premises true and conclusion false is inconsistent. So it is not sufficient to show that stories with (the premises true and) three apples in the basket contradict. Rather, you need to show that any story that includes the premises and *less than ten* apples fails. Thus in step (a) of our procedure we always say what is so in *every* story that makes the premises true and conclusion false. So, in (a) you would have the premises and, ‘there are less than ten apples in the basket’.

If a statement is included in some range of consistent stories, then its negation says what is so in all the others — all the ones where it is not so.



That is why the negation of ‘there are at least ten’ is ‘there are less than ten’.

The same point applies with other quantities. Consider some grade examples: First, if a professor says, “everyone will not get an ‘A’,” she says something disastrous — nobody in your class will get an ‘A’. In order to deny it, to show that she is wrong, all you need is at least one person that gets an ‘A’. In contrast, if she says, “someone will not get an ‘A’,” she says only what you expect from the start — that not everyone will get an ‘A’. To deny this, you would need that everyone gets an ‘A’. Thus the following pairs negate one another.

Everyone will not get an ‘A’ and Someone will get an ‘A’
 Someone will not get an ‘A’ and Everyone will get an ‘A’

It is difficult to give rules to cover all the cases. The best is just to think about what you are saying, perhaps with reference to examples like these.

the case that every story that makes the premises true and conclusion false includes (3). The negation of “All dogs can fly” is not “No dogs can fly,” but rather, “Not all dogs can fly” (or “Some dogs cannot fly”). All it takes to falsify the claim that *all dogs fly* is some dog that does not. Thus, for example, all it takes to falsify the claim that everyone will get an ‘A’ is one person who does not (on this, see the extended discussion on p. 21). So for argument (F) we have indeed shown that every story of a certain sort is inconsistent, but have not shown that every story which makes the premises true and conclusion false is inconsistent. In fact, as we have seen, there are consistent stories that make the premises true and conclusion false.

Similarly, in step (b) it is easy to get confused if you consider too much information at once. Ordinarily, if you focus on sentences singly or in pairs, it will be clear what must be the case in every story including those sentences. It does not matter which sentences you consider in what order, so long as in the end, you reach a contradiction according to which something is and is not so.

So far, we have seen our procedures applied in contexts where it is given ahead of time whether an argument is valid or invalid. And some exercises have been this way too. But not all situations are so simple. In the ordinary case, it is not given whether an argument is valid or invalid. In this case, there is no magic way to say ahead of time which of our two tests, **IT** or **VT** applies. The only thing to do is to try one way — if it works, fine. If it does not, try the other. It is perhaps most natural to begin by looking for stories to pry the premises off the conclusion. If you can find a consistent story to make the premises true and conclusion false, the argument is invalid. If you cannot find any such story, you may begin to suspect that the argument is valid. This suspicion does not itself amount to a demonstration of validity! But you might try to turn your suspicion into such a demonstration by attempting the validity method. Again, if one procedure works, the other better not!

E1.4. Use our validity procedure to show that each of the following is logically valid, and decide (if you can) whether it is logically sound.

*a. If Bill is president, then Hillary is first lady

Hillary is not first lady

Bill is not president

b. Only fools find love

Elvis was no fool

Elvis did not find love

- c. If there is a good and omnipotent god, then there is no evil

There is evil

There is no good and omnipotent god

- d. All sparrows are birds

All birds fly

All sparrows fly

- e. All citizens can vote

Hannah is a citizen

Hannah can vote

E1.5. Use our procedures to say whether the following are logically valid or invalid, and sound or unsound. Hint: You may have to do some experimenting to decide whether the arguments are logically valid or invalid — and so decide which procedure applies.

- a. If Bill is president, then Hillary is first lady

Bill is president

Hillary is first lady

- b. Most professors are insane

TR is a professor

TR is insane

- *c. Some dogs have red hair

Some dogs have long hair

Some dogs have long, red hair

- d. If you do not strike the match, then it does not light

The match lights

You strike the match

- e. Shaq is taller than Kobe

Kobe is at least as tall as TR

Kobe is taller than TR

1.3 Some Consequences

We now know what logical validity and soundness are and should be able to identify them in simple cases. Still, it is one thing to know what validity and soundness are, and another to know how we can use them. So in this section I turn to some consequences of the definitions.

1.3.1 Soundness and Truth

First, a consequence we want: The conclusion of every sound argument is true in the real world. Observe that this is *not* part of what we require to show that an argument is sound. **LS** requires just that an argument is valid and that its *premises* are true. However it is a consequence of validity plus true premises that the conclusion is true as well.

$$\text{sound} \implies \frac{\text{valid} + \text{true premises}}{\text{true conclusion}}$$

To see this, consider a two-premise argument. Say the *real* story describes the real world; so the sentences of the real story are all true in the real world. Then in the real story, the premises and conclusion of our argument must fall into one of the following combinations of true and false:

1	2	3	4	5	6	7	8	combinations for the real story
T	T	T	F	T	F	F	F	
T	T	F	T	F	T	F	F	
<u>T</u>	<u>F</u>	<u>T</u>	<u>T</u>	<u>F</u>	<u>F</u>	<u>T</u>	<u>F</u>	

Say the argument is logically valid; then no consistent story makes the premises true and the conclusion false. But the real story is a consistent story. So we can be sure that the real story does not result in combination (2). So far, the real story might result in any of the other combinations. Thus the conclusion of a valid argument may or may not be true in the real world. Now say the argument is sound; then it is valid and all its premises are true in the real world. Again, since it is valid, the real story does not result in combination (2). And since the premises of a sound argument are true in the real world, we can be sure that the premises do not fall into any of the combinations (3) - (8). (1) is the only combination left: in the real story, and so in the real world, the conclusion of a sound argument is true. And not only in this case but in general, if an argument is sound its conclusion is true in the real world: Since a sound argument is valid, there is no consistent story where its premises are true and

the conclusion is false, and since the premises really are true, the conclusion has to be true as well. Put another way, if an argument is sound, its premises are true in the real story; but then if the conclusion is false, the real story has the premises true and conclusion false — and the argument is not valid. So if an argument is sound, if it is valid and its premises are true, its conclusion must be true.

Note again: we do not need that the conclusion is true in the real world in order to *decide* that an argument is sound; saying that the conclusion is true is no part of our procedure for validity or soundness! Rather, by discovering that an argument is logically valid and that its *premises* are true, we *establish* that it is sound; this gives us the result that its conclusion therefore is true. And that is just what we want.

1.3.2 Validity and Form

It is worth observing a connection between what we have done and argument form. Some of the arguments we have seen so far are of the same general *form*. Thus both of the arguments on the left have the form on the right.

	If Joe works hard, then he will get an 'A'	If Hannah is a citizen then she can vote	If \mathcal{P} then \mathcal{Q}
(G)	<u>Joe works hard</u>	<u>Hannah is a citizen</u>	<u>\mathcal{P}</u>
	Joe will get an 'A'	Hannah can vote	\mathcal{Q}

As it turns out, all arguments of this form are valid. In contrast, the following arguments with the indicated form are not.

	If Joe works hard then he will get an 'A'	If Hannah can vote, then she is a citizen	If \mathcal{P} then \mathcal{Q}
(H)	<u>Joe will get an 'A'</u>	<u>Hannah is a citizen</u>	<u>\mathcal{Q}</u>
	Joe works hard	Hannah can vote	\mathcal{P}

There are stories where, say, Joe cheats for the 'A', or Hannah is a citizen but not old enough to vote. In these cases, there is some way to obtain condition \mathcal{Q} other than by having \mathcal{P} — this is what the stories bring out. And, generally, it is often possible to characterize arguments by their forms, where a form is *valid* iff every instance of it is logically valid. Thus the first form listed above is valid, and the second not.

In chapters to come, we take advantage of certain very general formal or structural features of arguments to identify ones that are valid and ones that are invalid. For now, though, it is worth noting that some presentations of critical reasoning (which you may or may not have encountered), take advantage of patterns like those

above, listing typical ones that are valid, and typical ones that are not (for example, Cederblom and Paulsen, *Critical Reasoning*). A student may then identify valid and invalid arguments insofar as they match the listed forms. This approach has the advantage of simplicity — and one may go quickly to applications of the logical notions for concrete cases. But the approach is limited to application of listed forms, and so to a very narrow range of arguments, where our approach based on definition LV has application to arbitrary arguments. Further, a mere listing of valid forms does not explain their relation to truth, where the definition is directly connected. Finally, for our logical machine, we shall develop an account of validity for quite arbitrary forms (within certain ranges). So we are pursuing a general account or theory of validity that goes well beyond the mere lists of these other more traditional approaches.⁴

1.3.3 Relevance

Another consequence seems less welcome. Consider the following argument.

- Snow is white
- (I) Snow is not white
- All dogs can fly

It is natural to think that the premises are not connected to the conclusion in the right way — for the premises have nothing to do with the conclusion — and that this argument therefore should not be logically valid. But if it is not valid, by definition, there is a consistent story that makes the premises true and the conclusion false. And, in this case, there is no such story, for *no consistent story makes the premises true*. Thus, by definition, this argument is logically valid. The procedure applies in a straightforward way. Thus,

- a. In any story that makes the premises true and conclusion false,
 - 1. Snow is white
 - 2. Snow is not white
 - 3. Some dogs cannot fly

⁴Some authors introduce a notion of *formal validity* (maybe in the place of logical validity as above) such that an argument is formally valid iff it has some valid form. As above, formal validity is parasitic on logical validity, together with a to-be-specified notion of form. But if an argument is formally valid, it is logically valid. So if our logical machine is adequate to identify formal validity, it identifies logical validity as well.

- b. In any such story,
 Given (1) and (2),
 4. Snow is and is not white
- c. So no consistent story makes the premises true and conclusion false; so by definition, the argument is logically valid.
- d. Since in the real world snow is white, the second premise is not true. So, though the argument is logically valid, by definition it is not logically sound.

This seems bad! Intuitively, there is something wrong with the argument. But, on our official definition, it is logically valid. One might rest content with the observation that, even though the argument is logically valid, it is not logically sound. But this does not remove the general worry. For this argument,

- (J) There are fish in the sea
 Nothing is round and not round

has all the problems of the other and is logically *sound* as well. (Why?) One might, on the basis of examples of this sort, decide to reject the (classical) account of validity with which we have been working. Some do just this.⁵ But, for now, let us see what can be said in defense of the classical approach. (And the classical approach is, no doubt, the approach you have seen or will see in any standard course on critical thinking or logic.)

As a first line of defense, one might observe that the conclusion of every sound argument is true and ask, “What more do you want?” We use arguments to demonstrate the truth of conclusions. And nothing we have said suggests that sound arguments do not have true conclusions: An argument whose premises are inconsistent is sure to be unsound. And an argument whose conclusion cannot be false is sure to have a true conclusion. So soundness may seem sufficient for our purposes. Even though we accept that there remains something about argument goodness that soundness leaves behind, we can insist that soundness is useful as an intellectual tool. Whenever it is the truth or falsity of a conclusion that matters, we can profitably employ the classical notions.

⁵Especially the so-called “relevance” logicians. For an introduction, see Graham Priest, *Non-Classical Logics*. But his text presumes mastery of material corresponding to [Part I](#) and [Part II](#) (or at least [Part I](#) with [chapter 7](#)) of this one. So the non-classical approaches develop or build on the classical one developed here.

But one might go further, and dispute even the suggestion that there is something about argument goodness that soundness leaves behind. Consider the following two argument forms.

(ds)	$\frac{\mathcal{P} \text{ or } \mathcal{Q}, \text{ not-}\mathcal{P}}{\mathcal{Q}}$	(add)	$\frac{\mathcal{P}}{\mathcal{P} \text{ or } \mathcal{Q}}$
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According to ds (*disjunctive syllogism*), if you are given that \mathcal{P} or \mathcal{Q} and that not- \mathcal{P} , you can conclude that \mathcal{Q} . If you have cake or ice cream, and you do not have cake, you have ice cream; if you are in California or New York, and you are not in California, you are in New York; and so forth. Thus ds seems hard to deny. And similarly for add (*addition*). Where ‘or’ means ‘one or the other or both’, when you are given that \mathcal{P} , you can be sure that \mathcal{P} or anything. Say you have cake, then you have cake or ice cream, cake or brussels sprouts, and so forth; if grass is green, then grass is green or pigs have wings, grass is green or dogs fly, and so forth.

Return now to our problematic argument. As we have seen, it is valid according to the classical definition LV. We get a similar result when we apply the ds and add principles.

1.	Snow is white	premise
2.	Snow is not white	premise
3.	Snow is white or all dogs can fly	from 1 and add
4.	All dogs can fly	from 2 and 3 and ds

If snow is white, then snow is white or anything. So snow is white or dogs fly. So we use line 1 with add to get line 3. But if snow is white or dogs fly, and snow is not white, then dogs fly. So we use lines 2 and 3 with ds to reach the final result. So our principles ds and add go hand-in-hand with the classical definition of validity. The argument is valid on the classical account; and with these principles, we can move from the premises to the conclusion. If we want to reject the validity of this argument, we will have to reject not only the classical notion of validity, but also one of our principles ds or add. And it is not obvious that one of the principles should go. If we decide to retain both ds and add then, seemingly, the classical definition of validity should stay as well. If we have intuitions according to which ds and add should stay, and also that the definition of validity should go, we have conflicting intuitions. Thus our intuitions might, at least, be sensibly resolved in the classical direction.

These issues are complex, and a subject for further discussion. For now, it is enough for us to treat the classical approach as a useful tool: It is useful in contexts where what we care about is whether conclusions are true. And alternate approaches

to validity typically develop or modify the classical approach. So it is natural to begin where we are, with the classical account. At any rate, this discussion constitutes a sort of acid test: If you understand the validity of the “snow is white” and “fish in the sea” arguments (I) and (J), you are doing well — you understand *how* the definition of validity works, with its results that may or may not now seem controversial. If you do not see what is going on in those cases, then you have not yet understood how the definitions work and should return to section 1.2 with these cases in mind.

E1.6. Use our procedures to say whether the following are logically valid or invalid, and sound or unsound. Hint: You may have to do some experimenting to decide whether the arguments are logically valid or invalid — and so decide which procedure applies.

- a. Bob is over six feet tall
Bob is under six feet tall
———
Bob is disfigured
- b. Marilyn is not over six feet tall
Marilyn is not under six feet tall
———
Marilyn is not in the WNBA
- c. There are fish in the sea
———
Nothing is round and not round
- *d. Cheerios are square
Chex are round
———
There is no round square
- e. All dogs can fly
Fido is a dog
Fido cannot fly
———
I am blessed

E1.7. Respond to each of the following.

- a. Create another argument of the same form as the first set of examples (G) from section 1.3.2, and then use our regular procedures to decide whether it is logically valid and sound. Is the result what you expect? Explain.

- b. Create another argument of the same form as the second set of examples (H) from section 1.3.2, and then use our regular procedures to decide whether it is logically valid and sound. Is the result what you expect? Explain.

E1.8. Which of the following are true, and which are false? In each case, explain your answers, with reference to the relevant definitions. The first is worked as an example.

- a. A logically valid argument is always logically sound.

False. An argument is sound iff it is logically valid and all of its premises are true in the real world. Thus an argument might be valid but fail to be sound if one or more of its premises is false in the real world.

- b. A logically sound argument is always logically valid.

- *c. If the conclusion of an argument is true in the real world, then the argument must be logically valid.

- d. If the premises and conclusion of an argument are true in the real world, then the argument must be logically sound.

- *e. If a premise of an argument is false in the real world, then the argument cannot be logically valid.

- f. If an argument is logically valid, then its conclusion is true in the real world.

- *g. If an argument is logically sound, then its conclusion is true in the real world.

- h. If an argument has contradictory premises (its premises are true in no consistent story), then it cannot be logically valid.

- *i. If the conclusion of an argument cannot be false (is false in no consistent story), then the argument is logically valid.

- j. The premises of every logically valid argument are relevant to its conclusion.

E1.9. For each of the following concepts, explain in an essay of about two pages, so that (high-school age) Hannah could understand. In your essay, you should (i) identify the objects to which the concept applies, (ii) give and explain the definition, and give and explicate examples of your own construction (iii) where the concept applies, and (iv) where it does not. Your essay should exhibit an understanding of methods from the text.

- a. Logical validity
- b. Logical soundness

E1.10. Do you think we should accept the classical account of validity? In an essay of about two pages, explain your position, with special reference to difficulties raised in section 1.3.3.

Chapter 2

Formal Languages

Having said in [chapter 1](#) what validity and soundness are, we now turn to our logical machine. As depicted in the picture of elements for symbolic logic on p. [2](#), this machine begins with symbolic representations of ordinary reasoning. In this chapter we introduce the formal languages by introducing their *grammar*. After some brief introductory remarks, the chapter divides into sections that introduce grammar for a *sentential* language \mathcal{L}_s ([section 2.2](#)), and then the grammar for an extended *quantificational* language \mathcal{L}_q ([section 2.3](#)).

2.1 Introductory

There are different ways to introduce a formal language. It is natural to introduce expressions of a new language in relation to expressions of one that is already familiar. Thus, a standard course in a foreign language is likely to present vocabulary lists of the sort,

<i>chow:</i>	cabbage
<i>petit:</i>	small
\vdots	

But the terms of a foreign language are not *originally defined* by such lists. Rather French, in this case, has conventions of its own such that sometimes ‘*chow*’ corresponds to ‘cabbage’ and sometimes it does not. It is not a legitimate criticism of a Frenchman who refers to his sweetheart as *mon petit chou* to observe that she is no cabbage! Though it is possible to use such correlations to introduce the conventions of a new language, it is also possible to introduce a language “as itself” — the way a native speaker learns it. In this case, one avoids the danger of importing conventions

and patterns from one language onto the other. Similarly, the expressions of a formal language might be introduced in correlation with expressions of, say, English. But this runs the risk of obscuring just what the official definitions accomplish. Since we will be concerned extensively with what follows from the definitions, it is best to introduce our languages in their “pure” forms.

In this chapter, we develop the *grammar* of our formal languages. Consider the following algebraic expressions,

$$a + b = c \qquad a + = c$$

Until we know what numbers are assigned to the terms (as $a = 1, b = 2, c = 3$), we cannot evaluate the first for truth or falsity. Still, we can confidently say that it is grammatical where the other is not. The first has an algebraic content, where the second fails because of its grammar. We can evaluate the grammar of formal languages in a similar way. Though, eventually, our goal is to represent ordinary reasonings in a formal language, we do not have to know what the language represents in order to decide if a sentence is grammatically correct. Just as a computer can check the spelling and grammar of English without reference to meaning, so we can introduce the vocabulary and grammar of our formal languages without reference to what their expressions mean or what makes them true. The grammar, taken alone, is completely straightforward. Taken this way, we work directly from the definitions, without “pollution” from associations with English or whatever.

So we want the definitions. Even so, it may be helpful to offer some hints that foreshadow how things will go. Do not take these as defining anything! Still, it is nice to have a sense of how it fits together. Consider some simple sentences of an ordinary language, say, ‘The butler is guilty’ and ‘The maid is guilty’. It will be convenient to introduce capital letters corresponding to these, say, B and M . Such sentences may combine to form ones that are more complex as, ‘*It is not the case that the butler is guilty*’ or ‘*If the butler is guilty, then the maid is guilty*’. We shall find it convenient to express these, ‘ \sim the butler is guilty’ and ‘The butler is guilty \rightarrow the maid is guilty’, with operators \sim and \rightarrow . Putting these together we get, $\sim B$ and $B \rightarrow M$. Operators may be combined in obvious ways so that $B \rightarrow \sim M$ says that if the butler is guilty then the maid is not. And so forth. We shall see that incredibly complex expressions of this sort are possible!

In this case, simple sentences, ‘The butler is guilty’ and ‘The maid is guilty’ are “atoms” and complex sentences are built out of them. This is characteristic of the *sentential* languages to be considered in [section 2.2](#). For the *quantificational* languages of [section 2.3](#), certain sentence *parts* are taken as atoms. So quantificational languages expose structure beyond that for the sentential case. Perhaps, though, this

will be enough to give you a glimpse of the overall strategy and aims for the formal languages of which we are about to introduce the grammar.

2.2 Sentential Languages

Just as algebra or English have their own vocabulary or symbols, and then grammatical rules for the way the vocabulary is combined, so our formal language has its own vocabulary, and then grammatical rules for the way the vocabulary is combined. In this section, we introduce the vocabulary for a sentential language, introduce the grammatical rules, and conclude with some discussion of abbreviations for official expressions.

2.2.1 Vocabulary

We begin, then, with the vocabulary. In this section, we say which symbols are included in the language, and introduce some conventions for talking about the symbols.

In the sentential case, vocabulary includes,

- VC (p) Punctuation symbols: ()
- (o) Operator symbols: $\sim \rightarrow$
- (s) A non-empty countable collection of sentence letters

And that is all. \sim is *tilde* and \rightarrow is *arrow*.¹ In order to fully specify the vocabulary of any particular sentential language, we need to identify its sentence letters — so far as definition VC goes, different languages may differ in their collections of sentence letters. The only constraint on such specifications is that the collections of sentence letters be non-empty and countable. A collection is *non-empty* iff it has at least one member. So any sentential language has at least one sentence letter. A collection is *countable* iff its members can be matched one-to-one with all (or some) of the integers. Thus we might let the sentence letters be $A, B \dots Z$, where these correlate with the integers $1 \dots 26$. Or we might let there be infinitely many sentence letters, $S_0, S_1, S_2 \dots$ where the letters are correlated with the integers by their subscripts.

¹Sometimes sentential languages are introduced with different symbols, for example, \neg for \sim , or \supset for \rightarrow . It should be easy to convert between presentations of the different sorts. And sometimes sentential languages include operators in addition to \sim and \rightarrow (for example, $\vee, \wedge, \leftrightarrow$). Such symbols will be introduced in due time — but as abbreviations for complex official expressions.

So there is room for different sentential languages. Having made this point, though, we immediately focus on a standard sentential language \mathcal{L}_3 whose sentence letters are Roman italics $A \dots Z$ with or without integer subscripts. Thus,

$$A \quad B \quad K \quad Z$$

are sentence letters of \mathcal{L}_3 . Similarly,

$$A_1 \quad B_3 \quad K_7 \quad Z_{23}$$

are sentence letters of \mathcal{L}_3 . We will not use the subscripts very often, but they guarantee that we never run out of sentence letters! Perhaps surprisingly, as described in the box on p. 36 (and E2.2), these letters too can be correlated with the integers. Official sentences of \mathcal{L}_3 are built out of this vocabulary.

To proceed, we need some conventions for talking *about* expressions of a language like \mathcal{L}_3 . Here, \mathcal{L}_3 is an *object* language — the thing we want to talk about, and we require conventions for the *metalanguage* — for talking about the object language. In general, for any formal object language \mathcal{L} , an *expression* is a sequence of one or more elements of its vocabulary. Thus $(A \rightarrow B)$ is an expression of \mathcal{L}_3 , but $(A \star B)$ is not. (What is the difference?) We shall use script characters $\mathcal{A} \dots \mathcal{Z}$ as variables that range over expressions. ‘ \sim ’, ‘ \rightarrow ’, ‘(’, and ‘)’ represent themselves. Concatenated or joined symbols in the metalanguage represent the concatenation of the symbols they represent.

To see how this works, think of metalinguistic expressions as “mapping” to object-language ones. Thus, for example, where \mathcal{S} represents an arbitrary sentence letter, $\sim\mathcal{S}$ may represent any of, $\sim A$, $\sim B$, or $\sim Z$. But $\sim(A \rightarrow B)$ is not of that form, for it does not consist of a tilde followed by a sentence letter. With \mathcal{S} restricted to sentence letters, there is a straightforward map from $\sim\mathcal{S}$ onto $\sim A$, $\sim B$, or $\sim Z$, but not from $\sim\mathcal{S}$ onto $\sim(A \rightarrow B)$.

$$(A) \quad \begin{array}{cccc} \sim\mathcal{S} & \sim\mathcal{S} & \sim\mathcal{S} & \sim\mathcal{S} \\ \downarrow \downarrow & \downarrow \downarrow & \downarrow \downarrow & \downarrow \overbrace{\quad?} \\ \sim A & \sim B & \sim Z & \sim(A \rightarrow B) \end{array}$$

In the first three cases, \sim maps to itself, and \mathcal{S} to a sentence letter. In the last case there is no map. We might try mapping \mathcal{S} to A or B ; but this would leave the rest of the expression unmatched. While $\sim(A \rightarrow B)$ is not of the form $\sim\mathcal{S}$, if we let \mathcal{P} represent any arbitrary expression, then $\sim(A \rightarrow B)$ is of the form $\sim\mathcal{P}$, for it consists of a tilde followed by an expression of some sort. An object-language expression has some metalinguistic form just when there is a complete map from the metalinguistic form to it.

Countability

To see the full range of languages which are allowed under **VC**, observe how multiple infinite series of sentence letters may satisfy the countability constraint. Thus, for example, suppose we have two series of sentence letters, $A_0, A_1 \dots$ and $B_0, B_1 \dots$. These can be correlated with the integers as follows,

A_0	B_0	A_1	B_1	A_2	B_2	
						...
0	1	2	3	4	5	

For any integer n , A_n is matched with $2n$, and B_n with $2n + 1$. So each sentence letter is matched with some integer; so the sentence letters are countable. If there are three series, they may be correlated,

A_0	B_0	C_0	A_1	B_1	C_1	
						...
0	1	2	3	4	5	

so that every sentence letter is matched to some integer. And similarly for any finite number of series. And there might be 26 such series, as for our language \mathcal{L}_3 .

In fact even this is not the most general case. If there are *infinitely* many series of sentence letters, we can still line them up and correlate them with the integers. Here is one way to proceed. Order the letters as follows,

A_0	\rightarrow	A_1	\rightarrow	A_2	\rightarrow	A_3	...
	\swarrow		\nearrow		\swarrow		
B_0		B_1		B_2		B_3	...
\downarrow	\nearrow		\swarrow				
C_0		C_1		C_2		C_3	...
	\swarrow						
D_0		D_1		D_2		D_3	...
\vdots							

And following the arrows, match them accordingly with the integers,

A_0	A_1	B_0	C_0	B_1	A_2	
						...
0	1	2	3	4	5	

so that, again, any sentence letter is matched with some integer. It may seem odd that we can line symbols up like this, but it is hard to dispute that we have done so. Thus we may say that **VC** is compatible with a wide variety of specifications, but also that all legitimate specifications have something in common: If a collection is countable, it is possible to sort its members into a series with a first member, a second member, and so forth.

Say \mathcal{P} represents any arbitrary expression. Then by similar reasoning, $(A \rightarrow B) \rightarrow (A \rightarrow B)$ is of the form $\mathcal{P} \rightarrow \mathcal{P}$.

$$(B) \quad \begin{array}{c} \mathcal{P} \rightarrow \mathcal{P} \\ \swarrow \quad \downarrow \quad \searrow \\ \underbrace{(A \rightarrow B)} \rightarrow \underbrace{(A \rightarrow B)} \end{array}$$

In this case, \mathcal{P} maps to all of $(A \rightarrow B)$ and \rightarrow to itself. A constraint on our maps is that the use of the metavariables $\mathcal{A} \dots \mathcal{Z}$ must be consistent within a given map. Thus $(A \rightarrow B) \rightarrow (B \rightarrow B)$ is not of the form $\mathcal{P} \rightarrow \mathcal{P}$.

$$(C) \quad \begin{array}{c} \mathcal{P} \rightarrow \mathcal{P} \\ \swarrow \quad \downarrow \quad ? \\ \underbrace{(A \rightarrow B)} \rightarrow \underbrace{(B \rightarrow B)} \end{array} \quad \text{or} \quad \begin{array}{c} \mathcal{P} \rightarrow \mathcal{P} \\ ? \quad \downarrow \quad \searrow \\ \underbrace{(A \rightarrow B)} \rightarrow \underbrace{(B \rightarrow B)} \end{array}$$

We are free to associate \mathcal{P} with whatever we want. However, within a given map, once \mathcal{P} is associated with some expression, we have to use it consistently within that map.

Observe again that $\sim \mathcal{S}$ and $\mathcal{P} \rightarrow \mathcal{P}$ are not expressions of \mathcal{L}_3 . Rather, we use them to talk about expressions of \mathcal{L}_3 . And it is important to see how we can use the metalanguage to make claims about a range of expressions all at once. Given that $\sim A$, $\sim B$ and $\sim Z$ are all of the form $\sim \mathcal{S}$, when we make some claim about expressions of the form $\sim \mathcal{S}$, we say something about each of them — but not about $\sim(A \rightarrow B)$. Similarly, if we make some claim about expressions of the form $\mathcal{P} \rightarrow \mathcal{P}$, we say something with application to a *range* of expressions. In the next section, for the specification of *formulas*, we use the metalanguage in just this way.

E2.1. Assuming that \mathcal{S} may represent any sentence letter, and \mathcal{P} any arbitrary expression of \mathcal{L}_3 , use maps to determine whether each of the following expressions is (i) of the form $(\mathcal{S} \rightarrow \sim \mathcal{P})$ and then (ii) whether it is of the form $(\mathcal{P} \rightarrow \sim \mathcal{P})$. In each case, explain your answers.

- a. $(A \rightarrow \sim A)$
- b. $(A \rightarrow \sim(R \rightarrow \sim Z))$
- c. $(\sim A \rightarrow \sim(R \rightarrow \sim Z))$
- d. $((R \rightarrow \sim Z) \rightarrow \sim(R \rightarrow \sim Z))$

*e. $((\rightarrow \sim) \rightarrow \sim(\rightarrow \sim))$

E2.2. On the pattern of examples from the [countability](#) guide on p. 36, show that the sentence letters of \mathcal{L}_3 are countable — that is, that they can be correlated with the integers. On the scheme you produce, what integers correlate with A , B_1 and C_{10} ? Hint: Supposing that A without subscript is like A_0 , for any integer n , you should be able to produce a formula for the position of any A_n , and similarly for B_n , C_n and the like. Then it will be easy to find the position of any letter, even if the question is about, say, L_{125} .

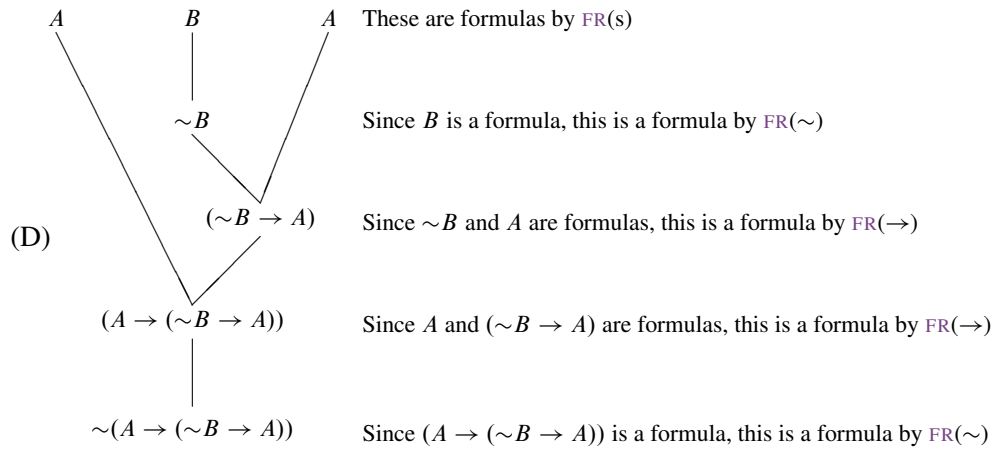
2.2.2 Formulas

We are now in a position to say which expressions of a sentential language are its grammatical *formulas* and *sentences*. The specification itself is easy. We will spend a bit more time explaining how it works. For a given sentential language \mathcal{L} ,

- FR (s) If \mathcal{S} is a sentence letter, then \mathcal{S} is a *formula*.
 (\sim) If \mathcal{P} is a formula, then $\sim\mathcal{P}$ is a *formula*.
 (\rightarrow) If \mathcal{P} and \mathcal{Q} are formulas, then $(\mathcal{P} \rightarrow \mathcal{Q})$ is a *formula*.
 (CL) Any formula may be formed by repeated application of these rules.

In the quantificational case, we will distinguish a class of expressions that are formulas from those that are sentences. But, here, we simply identify the two: an expression is a *sentence* iff it is a formula.

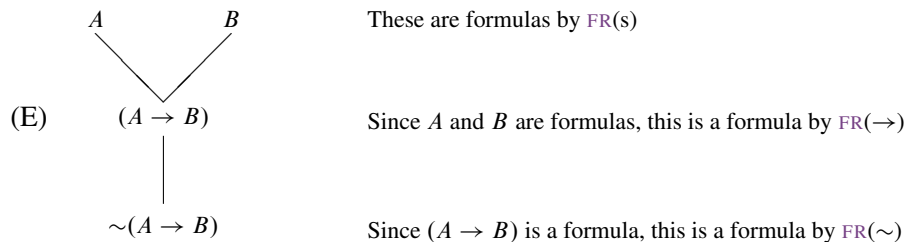
FR is a first example of a *recursive* definition. Such definitions always build from the parts to the whole. Frequently we can use “tree” diagrams to see how they work. Thus, for example, by repeated applications of the definition, $\sim(A \rightarrow (\sim B \rightarrow A))$ is a formula and sentence of \mathcal{L}_3 .



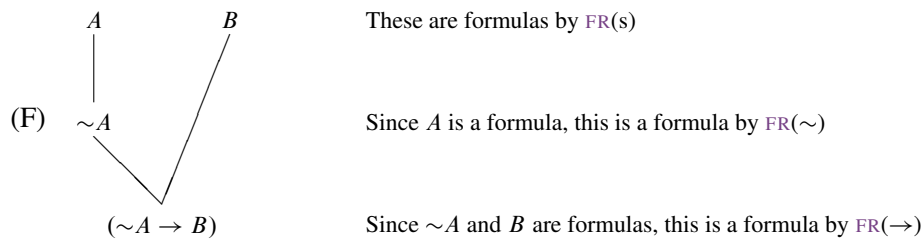
By $\text{FR}(s)$, the sentence letters, A , B and A are formulas; given this, clauses $\text{FR}(\sim)$ and $\text{FR}(\rightarrow)$ let us conclude that other, more complex, expressions are formulas as well. Notice that, in the definition, \mathcal{P} and \mathcal{Q} may be any expressions that are formulas: By $\text{FR}(\sim)$, if B is a formula, then tilde followed by it is a formula; but similarly, if $\sim B$ and A are formulas, then an opening parenthesis followed by $\sim B$, followed by \rightarrow followed by A and then a closing parenthesis is a formula; and so forth as on the tree above. You should follow through each step very carefully. In contrast, $(A \sim B)$ for example, is not a formula. A is a formula and $\sim B$ is a formula; but there is no way to put them together, by the definition, without \rightarrow in between.

A recursive definition always involves some “basic” starting elements, in this case, sentence letters. These occur across the top row of our tree. Other elements are constructed, by the definition, out of ones that come before. The last, *closure*, clause tells us that any formula is built this way. To demonstrate that an expression is a formula and a sentence, it is sufficient to construct it, according to the definition, on a tree. If an expression is not a formula, there will be no way to construct it according to the rules.

Here are a couple of last examples which emphasize the point that *you must maintain and respect parentheses* in the way you construct a formula. Thus consider,



And compare it with,



Once you have $(A \rightarrow B)$ as in the first case, the only way to apply $\text{FR}(\sim)$ puts the tilde on the outside. To get the tilde inside the parentheses, by the rules, it has to go on first, as in the second case. The significance of this point emerges immediately below.

It will be helpful to have some additional definitions, each of which may be introduced in relation to the trees. First, for any formula \mathcal{P} , each formula which appears in the tree for \mathcal{P} including \mathcal{P} itself is a *subformula* of \mathcal{P} . Thus $\sim(A \rightarrow B)$ has subformulas,

$$A \qquad B \qquad (A \rightarrow B) \qquad \sim(A \rightarrow B)$$

In contrast, $(\sim A \rightarrow B)$ has subformulas,

$$A \qquad B \qquad \sim A \qquad (\sim A \rightarrow B)$$

So it matters for the subformulas how the tree is built. The *immediate* subformulas of a formula \mathcal{P} are the subformulas to which \mathcal{P} is directly connected by lines. Thus $\sim(A \rightarrow B)$ has one immediate subformula, $(A \rightarrow B)$; $(\sim A \rightarrow B)$ has two, $\sim A$ and B . The *atomic* subformulas of a formula \mathcal{P} are the sentence letters that appear across the top row of its tree. Thus both $\sim(A \rightarrow B)$ and $(\sim A \rightarrow B)$ have A and B as their atomic subformulas. Finally, the *main operator* of a formula \mathcal{P} is the last operator added in its tree. Thus \sim is the main operator of $\sim(A \rightarrow B)$, and \rightarrow is the main operator of $(\sim A \rightarrow B)$. So, again, it matters how the tree is built. We sometimes speak of a formula by means of its main operator: A formula of the form $\sim\mathcal{P}$ is a *negation*; a formula of the form $(\mathcal{P} \rightarrow \mathcal{Q})$ is a (*material*) *conditional*, where \mathcal{P} is the *antecedent* of the conditional and \mathcal{Q} is the *consequent*.

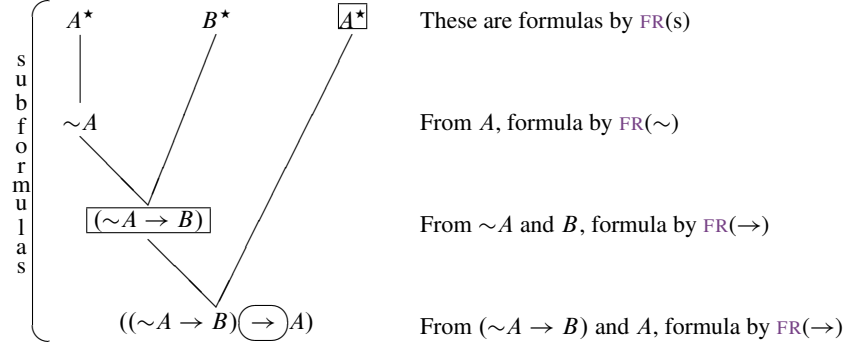
Parts of a Formula

The parts of a formula are here defined in relation to its tree.

- SB Each formula which appears in the tree for formula \mathcal{P} including \mathcal{P} itself is a *subformula* of \mathcal{P} .
- IS The *immediate* subformulas of a formula \mathcal{P} are the subformulas to which \mathcal{P} is directly connected by lines.
- AS The *atomic* subformulas of a formula \mathcal{P} are the sentence letters that appear across the top row of its tree.
- MO The *main operator* of a formula \mathcal{P} is the last operator added in its tree.

- E2.3. For each of the following expressions, demonstrate that it is a formula and a sentence of \mathcal{L}_3 with a tree. Then on the tree (i) bracket all the subformulas, (ii) box the immediate subformula(s), (iii) star the atomic subformulas, and

(iv) circle the main operator. A first case for $((\sim A \rightarrow B) \rightarrow A)$ is worked as an example.



- *a. A
- b. $\sim\sim\sim A$
- c. $\sim(\sim A \rightarrow B)$
- d. $(\sim C \rightarrow \sim(A \rightarrow \sim B))$
- e. $(\sim(A \rightarrow B) \rightarrow (C \rightarrow \sim A))$

E2.4. Explain why the following expressions are not formulas or sentences of \mathcal{L}_3 .
Hint: you may find that an attempted tree will help you see what is wrong.

- a. $(A \supset B)$
- *b. $(\mathcal{P} \rightarrow \mathcal{Q})$
- c. $(\sim B)$
- d. $(A \rightarrow \sim B \rightarrow C)$
- e. $((A \rightarrow B) \rightarrow \sim(A \rightarrow C) \rightarrow D)$

E2.5. For each of the following expressions, determine whether it is a formula and sentence of \mathcal{L}_3 . If it is, show it on a tree, and exhibit its parts as in E2.3. If it is not, explain why as in E2.4.

- *a. $\sim((A \rightarrow B) \rightarrow (\sim(A \rightarrow B) \rightarrow A))$

- b. $\sim(A \rightarrow B \rightarrow (\sim(A \rightarrow B) \rightarrow A))$
- *c. $\sim(A \rightarrow B) \rightarrow (\sim(A \rightarrow B) \rightarrow A)$
- d. $\sim\sim\sim(\sim\sim\sim A \rightarrow \sim\sim\sim A)$
- e. $((\sim(A \rightarrow B) \rightarrow (\sim C \rightarrow D)) \rightarrow \sim(\sim(E \rightarrow F) \rightarrow G))$

2.2.3 Abbreviations

We have completed the official grammar for our sentential languages. So far, the languages are relatively simple. For the purposes of later parts, when we turn to reasoning about logic, it will be good to have languages of this sort. However, for applications of logic, it will be advantageous to have additional expressions which, though redundant with expressions of the language already introduced, simplify the work. I begin by introducing these additional expressions, and then turn to the question about how to understand the redundancy.

Abbreviating. As may already be obvious, formulas of a sentential language like \mathcal{L}_4 can get complicated quickly. Abbreviated forms give us ways to manipulate official expressions without undue pain. First, for any formulas \mathcal{P} and \mathcal{Q} ,

- AB (\vee) $(\mathcal{P} \vee \mathcal{Q})$ abbreviates $(\sim\mathcal{P} \rightarrow \mathcal{Q})$
- (\wedge) $(\mathcal{P} \wedge \mathcal{Q})$ abbreviates $\sim(\mathcal{P} \rightarrow \sim\mathcal{Q})$
- (\leftrightarrow) $(\mathcal{P} \leftrightarrow \mathcal{Q})$ abbreviates $\sim((\mathcal{P} \rightarrow \mathcal{Q}) \rightarrow \sim(\mathcal{Q} \rightarrow \mathcal{P}))$

The last of these is easier than it looks; I say something about this below. \vee is *wedge*, \wedge is *caret*, and \leftrightarrow is *double arrow*. An expression of the form $(\mathcal{P} \vee \mathcal{Q})$ is a *disjunction* with \mathcal{P} and \mathcal{Q} as *disjuncts*; it has the standard reading, $(\mathcal{P} \text{ or } \mathcal{Q})$. An expression of the form $(\mathcal{P} \wedge \mathcal{Q})$ is a *conjunction* with \mathcal{P} and \mathcal{Q} as *conjuncts*; it has the standard reading, $(\mathcal{P} \text{ and } \mathcal{Q})$. An expression of the form $(\mathcal{P} \leftrightarrow \mathcal{Q})$ is a *(material) biconditional*; it has the standard reading, $(\mathcal{P} \text{ iff } \mathcal{Q})$.² Again, we do not use ordinary English to define our symbols. All the same, this should suggest how the extra operators extend the range of what we are able to say in a natural way.

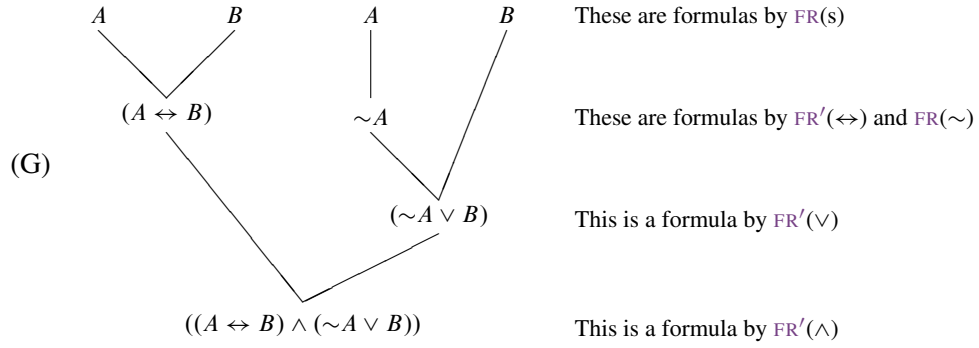
With the abbreviations, we are in a position to introduce derived clauses for **FR**. Suppose \mathcal{P} and \mathcal{Q} are formulas; then by **FR**(\sim), $\sim\mathcal{P}$ is a formula; so by **FR**(\rightarrow), $(\sim\mathcal{P} \rightarrow \mathcal{Q})$ is a formula; but this is just to say that $(\mathcal{P} \vee \mathcal{Q})$ is a formula. And

²Common alternatives are $\&$ for \wedge , and \equiv for \leftrightarrow .

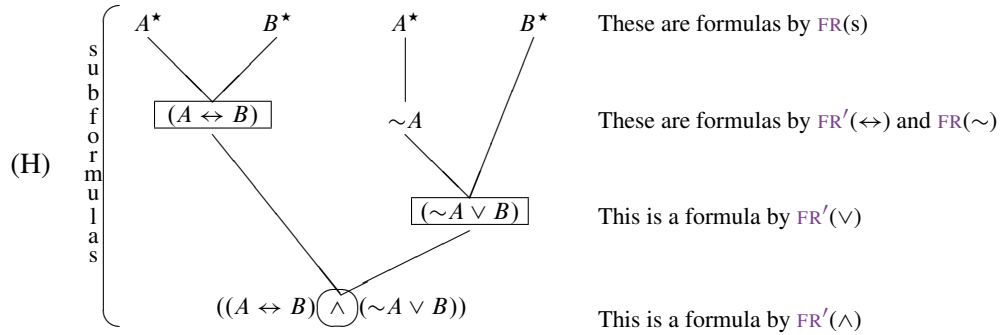
similarly in the other cases. (If you are confused by such reasoning, work it out on a tree.) Thus we arrive at the following conditions.

- FR' (∨) If \mathcal{P} and \mathcal{Q} are formulas, then $(\mathcal{P} \vee \mathcal{Q})$ is a *formula*.
 (∧) If \mathcal{P} and \mathcal{Q} are formulas, then $(\mathcal{P} \wedge \mathcal{Q})$ is a *formula*.
 (↔) If \mathcal{P} and \mathcal{Q} are formulas, then $(\mathcal{P} \leftrightarrow \mathcal{Q})$ is a *formula*.

Once FR is extended in this way, the additional conditions may be applied directly in trees. Thus, for example, if \mathcal{P} is a formula and \mathcal{Q} is a formula, we can safely move in a tree to the conclusion that $(\mathcal{P} \vee \mathcal{Q})$ is a formula by FR'(∨). Similarly, for a more complex case, $((A \leftrightarrow B) \wedge (\sim A \vee B))$ is a formula.



In a derived sense, expressions with the new symbols have *subformulas*, *atomic* subformulas, *immediate* subformulas, and *main operator* all as before. Thus, with notation from exercises, with star for atomic formulas, box for immediate subformulas and circle for main operator, on the diagram immediately above,

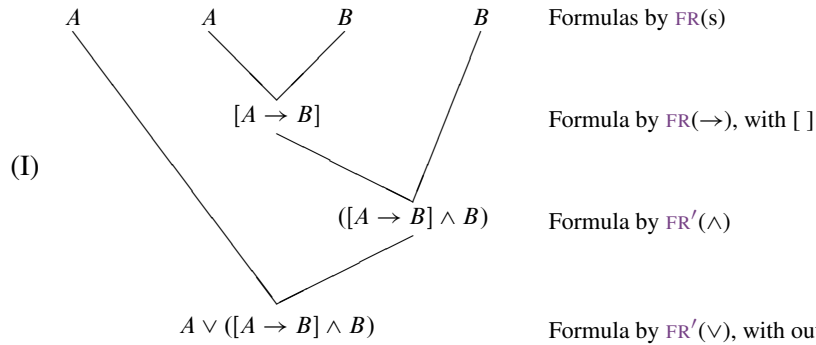


In the derived sense, $((A \leftrightarrow B) \wedge (\sim A \vee B))$ has immediate subformulas $(A \leftrightarrow B)$ and $(\sim A \vee B)$, and main operator \wedge .

Return to the case of $(\mathcal{P} \leftrightarrow \mathcal{Q})$ and observe that it can be thought of as based on a simple abbreviation of the sort we expect. That is, $((\mathcal{P} \rightarrow \mathcal{Q}) \wedge (\mathcal{Q} \rightarrow \mathcal{P}))$ is of the sort $(\mathcal{A} \wedge \mathcal{B})$; so by $\text{AB}(\wedge)$, it abbreviates $\sim(\mathcal{A} \rightarrow \sim\mathcal{B})$; but with $(\mathcal{P} \rightarrow \mathcal{Q})$ for \mathcal{A} and $(\mathcal{Q} \rightarrow \mathcal{P})$ for \mathcal{B} , this is just, $\sim((\mathcal{P} \rightarrow \mathcal{Q}) \rightarrow \sim(\mathcal{Q} \rightarrow \mathcal{P}))$ as in $\text{AB}(\leftrightarrow)$. So you may think of $(\mathcal{P} \leftrightarrow \mathcal{Q})$ as an abbreviation of $((\mathcal{P} \rightarrow \mathcal{Q}) \wedge (\mathcal{Q} \rightarrow \mathcal{P}))$, which in turn abbreviates the more complex $\sim((\mathcal{P} \rightarrow \mathcal{Q}) \rightarrow \sim(\mathcal{Q} \rightarrow \mathcal{P}))$. This is what we expect: a double arrow is like an arrow going from \mathcal{P} to \mathcal{Q} *and* an arrow going from \mathcal{Q} to \mathcal{P} .

A couple of additional abbreviations concern parentheses. First, it is sometimes convenient to use a pair of square brackets $[]$ in place of parentheses $()$. This is purely for visual convenience; for example $((()()))$ may be more difficult to absorb than $([()()])$. Second, if the very last step of a tree for some formula \mathcal{P} is justified by $\text{FR}(\rightarrow)$, $\text{FR}'(\wedge)$, $\text{FR}'(\vee)$, or $\text{FR}'(\leftrightarrow)$, we feel free to abbreviate \mathcal{P} with the *outermost* set of parentheses or brackets dropped. Again, this is purely for visual convenience. Thus, for example, we might write, $A \rightarrow (B \rightarrow C)$ in place of $(A \rightarrow (B \rightarrow C))$. As it turns out, where \mathcal{A} , \mathcal{B} , and \mathcal{C} are formulas, there is a difference between $((\mathcal{A} \rightarrow \mathcal{B}) \rightarrow \mathcal{C})$ and $(\mathcal{A} \rightarrow (\mathcal{B} \rightarrow \mathcal{C}))$, insofar as the main operator shifts from one case to the other. In $(\mathcal{A} \rightarrow \mathcal{B} \rightarrow \mathcal{C})$, however, it is not clear which arrow should be the main operator. That is why we do not count the latter as a grammatical formula or sentence. Similarly there is a difference between $\sim(\mathcal{A} \rightarrow \mathcal{B})$ and $(\sim\mathcal{A} \rightarrow \mathcal{B})$; again, the main operator shifts. However, there is no room for ambiguity when we drop just an outermost pair of parentheses and write $(\mathcal{A} \rightarrow \mathcal{B}) \rightarrow \mathcal{C}$ for $((\mathcal{A} \rightarrow \mathcal{B}) \rightarrow \mathcal{C})$; and similarly when we write $\mathcal{A} \rightarrow (\mathcal{B} \rightarrow \mathcal{C})$ for $(\mathcal{A} \rightarrow (\mathcal{B} \rightarrow \mathcal{C}))$. And similarly for abbreviations with \wedge , \vee , or \leftrightarrow . So dropping outermost parentheses counts as a legitimate abbreviation.

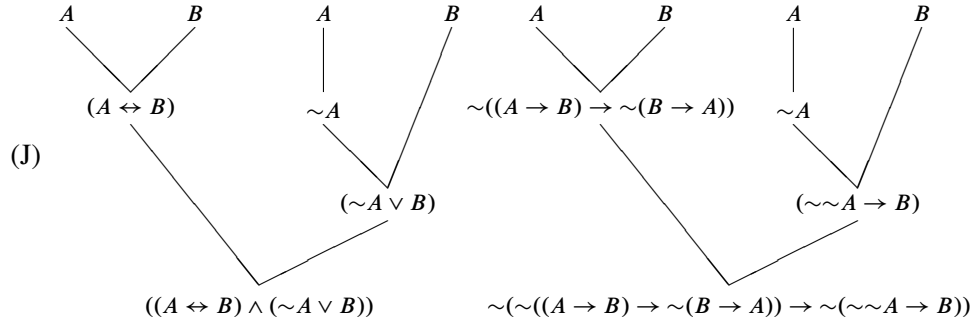
An expression which uses the extra operators, square brackets, or drops outermost parentheses is a formula just insofar as it is a sort of shorthand for an official formula which does not. But we will not usually distinguish between the shorthand expressions and official formulas. Thus, again, the new conditions may be applied directly in trees and, for example, the following is a legitimate tree to demonstrate that $A \vee ([A \rightarrow B] \wedge B)$ is a formula.



So we use our extra conditions for FR' , introduce square brackets instead of parentheses, and drop parentheses in the very last step. Remember that the *only* case where you can omit parentheses is if they would have been added in the very last step of the tree. So long as we do not distinguish between shorthand expressions and official formulas, we regard a tree of this sort as sufficient to demonstrate that an expression is a formula and a sentence.

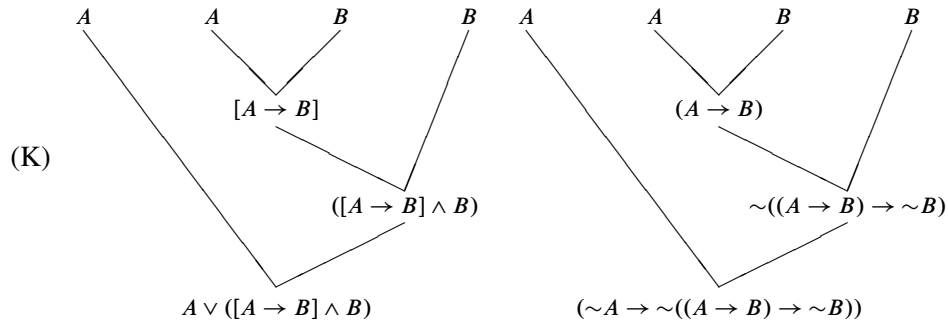
Unabbreviating. As we have suggested, there is a certain tension between the advantages of a simple language, and one that is more complex. When a language is simple, it is easier to reason about; when it has additional resources, it is easier to use. Expressions with \wedge , \vee and \leftrightarrow are redundant with expressions that do not have them — though it is easier to work with a language that has \wedge , \vee and \leftrightarrow than with one that does not (something like reciting the Pledge of Allegiance in English, and then in Morse code; you can do it in either, but it is easier in the former). If all we wanted was a simple language to reason about, we would forget about the extra operators. If all we wanted was a language easy to use, we would forget about keeping the language simple. To have the advantages of both, we have adopted the position that expressions with the extra operators *abbreviate*, or are a shorthand for, expressions of the original language. It will be convenient to work with abbreviations in many contexts. But, when it comes to reasoning about the language, we set the abbreviations to the side, and focus on the official language itself.

For this to work, we have to be able to undo abbreviations when required. It is, of course, easy enough to substitute parentheses back for square brackets, or to replace outermost dropped parentheses. For formulas with the extra operators, it is always possible to work through trees, using AB to replace formulas with unabbreviated forms, one operator at a time. Consider an example.



The tree on the left is (G) from above. The tree on the right simply includes “unpacked” versions of the expressions on the left. Atomics remain as before. Then, at each stage, given an unabbreviated version of the parts, we give an unabbreviated version of the whole. First, $(A \leftrightarrow B)$ abbreviates $\sim((A \rightarrow B) \rightarrow \sim(B \rightarrow A))$; this is a simple application of $\text{AB}(\leftrightarrow)$. $\sim A$ is not an abbreviation and so remains as before. From $\text{AB}(\vee)$, $(\mathcal{P} \vee \mathcal{Q})$ abbreviates $(\sim \mathcal{P} \rightarrow \mathcal{Q})$ so $(\sim A \vee B)$ abbreviates $(\sim \sim A \rightarrow B)$ (tilde the left disjunct, arrow the right (so that we get two tildes)). For the final result, we combine the input formulas according to the unabbreviated form for \wedge . It is more a bookkeeping problem than anything: There is one formula \mathcal{P} that is $(A \leftrightarrow B)$, another \mathcal{Q} that is $(\sim A \vee B)$; these are combined into $(\mathcal{P} \wedge \mathcal{Q})$ and so, by $\text{AB}(\wedge)$, into $\sim(\mathcal{P} \rightarrow \sim \mathcal{Q})$. You should be able to see that this is just what we have done. There is a tilde and a parenthesis; then the \mathcal{P} ; then an arrow and a tilde; then the \mathcal{Q} , and a closing parenthesis. Not only is the abbreviation more compact but, as we shall see, there is a corresponding advantage when it comes to grasping what an expression says.

Here is another example, this time from (I). In this case, we replace also square brackets and restore dropped outer parentheses.



In the right hand tree, we reintroduce parentheses for the square brackets. Similarly, we apply $\text{AB}(\wedge)$ and $\text{AB}(\vee)$ to unpack shorthand symbols. And outer parentheses are

reintroduced at the very last step. Thus $A \vee ([A \rightarrow B] \wedge B)$ is a shorthand for the unabbreviated expression, $(\sim A \rightarrow \sim((A \rightarrow B) \rightarrow \sim B))$.

Observe that right-hand trees are *not* ones of the sort you would use directly to show that an expression is a formula by **FR**! **FR** does not let you move directly from that $(A \rightarrow B)$ is a formula and B is a formula, to the result that $\sim((A \rightarrow B) \rightarrow \sim B)$ is a formula as just above. Of course, if $(A \rightarrow B)$ and B are formulas, then $\sim((A \rightarrow B) \rightarrow \sim B)$ is a formula, and nothing stops a tree to show it. This is the point of our derived clauses for **FR'**. In fact, this is a good check on your unabbreviations: If the result is not a formula, you have made a mistake! But you should not think of trees as on the right as involving application of **FR**. Rather they are *unabbreviating* trees, with application of **AB** to shorthand expressions from trees as on the left. A fully unabbreviated expression always meets all the requirements from section 2.2.2.

E2.6. For each of the following expressions, demonstrate that it is a formula and a sentence of \mathcal{L}_3 with a tree. Then on the tree (i) bracket all the subformulas, (ii) box the immediate subformula(s), (iii) star the atomic subformulas, and (iv) circle the main operator.

- *a. $(A \wedge B) \rightarrow C$
- b. $\sim([A \rightarrow \sim K_{14}] \vee C_3)$
- c. $B \rightarrow (\sim A \leftrightarrow B)$
- d. $(B \rightarrow A) \wedge (C \vee A)$
- e. $(A \vee \sim B) \leftrightarrow (C \wedge A)$

*E2.7. For each of the formulas in E2.6a - e, produce an unabbreviating tree to find the unabbreviated expression it represents.

*E2.8. For each of the unabbreviated expressions from E2.7a - e, produce a complete tree to show by direct application of **FR** that it is an official formula.

E2.9. In the text, we introduced derived clauses to **FR** by reasoning as follows, “Suppose \mathcal{P} and \mathcal{Q} are formulas; then by **FR**(\sim), $\sim\mathcal{P}$ is a formula; so by **FR**(\rightarrow), $(\sim\mathcal{P} \rightarrow \mathcal{Q})$ is a formula; but this is just to say that $(\mathcal{P} \vee \mathcal{Q})$ is a formula. And similarly in the other cases” (p. 42). Supposing that \mathcal{P} and \mathcal{Q} are formulas, produce the similar reasoning to show that $(\mathcal{P} \wedge \mathcal{Q})$ and $(\mathcal{P} \leftrightarrow \mathcal{Q})$ are formulas. Hint: Again, it may help to think about trees.

- E2.10. For each of the following concepts, explain in an essay of about two pages, so that Hannah could understand. In your essay, you should (i) identify the objects to which the concept applies, (ii) give and explain the definition, and give and explicate examples of your own construction (iii) where the concept applies, and (iv) where it does not. Your essay should exhibit an understanding of methods from the text.
- The vocabulary for a sentential language, and use of the metalanguage.
 - A formula of a sentential language.
 - The parts of a formula.
 - The abbreviation and unabbreviation for an official formula of a sentential language.

2.3 Quantificational Languages

The *methods* by which we define the grammar of a quantificational language are very much the same as for a sentential language. Of course, in the quantificational case, additional expressive power is associated with additional complications. We will introduce a class of *terms* before we get to the formulas, and there will be a distinction between formulas and sentences — not all formulas are sentences. As before, however, we begin with the *vocabulary*; we then turn to the *terms*, *formulas*, and *sentences*. Again we conclude with some discussion of abbreviations.

Here is a brief intuitive picture. At the start of [section 2.2](#) we introduced ‘The butler is guilty’ and ‘The maid is guilty’ as atoms for sentential languages, and the rest of the section went on to fill out that picture. For the quantificational languages of this section, our atoms are certain sentence parts. Thus we introduce a class of *individual terms* which work to pick out objects. In the simplest case, we might introduce b and m to pick out the butler and the maid. Similarly, we introduce a class of *predicate* expressions as $(x \text{ is guilty})$ and $(x \text{ killed } y)$ indicating them by capitals as G^1 or K^2 (with the superscript to indicate the number of object *places*). Then G^1b says that the butler is guilty, and K^2bm that the butler killed the maid. We shall read $\forall x G^1x$ to say for any thing x it is guilty — that *everything* is guilty. (The upside-down ‘A’ for *all* is the *universal* quantifier.) As indicated by this reading, the variable x works very much like a pronoun in ordinary language. And, of course, our notions may be combined. Thus, $\forall x G^1x \wedge K^2bm$ says that everything is guilty and the butler killed the maid. Thus we expose structure buried in sentence letters from

before. Of course we have so far done nothing to define quantificational languages. But this should give you a picture of the direction in which we aim to go.

2.3.1 Vocabulary

We begin by specifying the *vocabulary* or symbols of our quantificational languages. The vocabulary consists of infinitely many distinct symbols including,

- VC
- (p) Punctuation symbols: ()
 - (o) Operator symbols: $\sim \rightarrow \forall$
 - (v) Variable symbols: $i j \dots z$ with or without integer subscripts
 - (s) A possibly-empty countable collection of sentence letters
 - (c) A possibly-empty countable collection of constant symbols
 - (f) For any integer $n \geq 1$, a possibly-empty countable collection of n -place function symbols
 - (r) For any integer $n \geq 1$, a possibly-empty countable collection of n -place relation symbols

Unless otherwise noted, ‘=’ is always included among the 2-place relation symbols. Notice that all the punctuation symbols, operator symbols and sentence letters remain from before (except that the collection of sentence letters may be empty). There is one new operator symbol, with the new variable symbols, constant symbols, function symbols, and relation symbols.

This definition **VC** is parallel to definition **vc** from [section 2.2](#). For definitions with both sentential and quantificational versions, I adopt the convention of naming the initial sentential version in small caps, and then the quantificational version in large.

To fully specify the vocabulary of any particular language, we need to specify its sentence letters, constant symbols, function symbols, and relation symbols. Our general definition **VC** leaves room for languages with different collections of these symbols. As before, the requirement that the collections be countable is compatible with multiple series; for example, there may be sentence letters $A, A_1, A_2 \dots, B, B_1, B_2 \dots$ (where we may think of the unsubscripted letter as with an implicit subscript zero). So, again **VC** is compatible with a wide variety of specifications, but legitimate specifications always require that sentence letters, constant symbols, function symbols,

More on Countability

Given what was said on p. 36, one might think that every collection is countable. However, this is not so. This amazing and simple result was proved by G. Cantor in 1873. Consider the collection which includes every countably infinite series of digits 0 through 9 (or, if you like, the collection of all real numbers between 0 and 1). Suppose that the members of this collection can be correlated one-to-one with the integers. Then there is some list,

0	—	<i>a</i> ₀	<i>a</i> ₁	<i>a</i> ₂	<i>a</i> ₃	<i>a</i> ₄	...
1	—	<i>b</i> ₀	<i>b</i> ₁	<i>b</i> ₂	<i>b</i> ₃	<i>b</i> ₄	...
2	—	<i>c</i> ₀	<i>c</i> ₁	<i>c</i> ₂	<i>c</i> ₃	<i>c</i> ₄	...
3	—	<i>d</i> ₀	<i>d</i> ₁	<i>d</i> ₂	<i>d</i> ₃	<i>d</i> ₄	...
4	—	<i>e</i> ₀	<i>e</i> ₁	<i>e</i> ₂	<i>e</i> ₃	<i>e</i> ₄	...

and so forth, which matches each series of digits with an integer. For any digit x , say x' is the digit after it in the standard ordering (where 0 follows 9). Now consider the digits along the diagonal, $a_0, b_1, c_2, d_3, e_4 \dots$ and ask: does the series $a'_0, b'_1, c'_2, d'_3, e'_4 \dots$ appear anywhere in the list? It cannot be the first member, because $a_0 \neq a'_0$; it cannot be the second, because $b_1 \neq b'_1$, and similarly for every member! So $a'_1, b'_2, c'_3, d'_4, e'_5 \dots$ does not appear in the list. So we have *failed* to match *all* the infinite series of digits with integers — and similarly for *any* attempt! So the collection which contains every countably infinite series of digits is not countable.

As an example, consider the following attempt to line up the integers with the series of digits:

0	—	0	0	0	0	0	0	0	0	0	0	0	0	0	...
1	—	1	1	1	1	1	1	1	1	1	1	1	1	1	...
2	—	2	2	2	2	2	2	2	2	2	2	2	2	2	...
3	—	3	3	3	3	3	3	3	3	3	3	3	3	3	...
4	—	4	4	4	4	4	4	4	4	4	4	4	4	4	...
5	—	5	5	5	5	5	5	5	5	5	5	5	5	5	...
6	—	6	6	6	6	6	6	6	6	6	6	6	6	6	...
7	—	7	7	7	7	7	7	7	7	7	7	7	7	7	...
8	—	8	8	8	8	8	8	8	8	8	8	8	8	8	...
9	—	9	9	9	9	9	9	9	9	9	9	9	9	9	...
10	—	1	0	1	0	1	0	1	0	1	0	1	0	1	0
11	—	0	1	1	1	1	1	1	1	1	1	1	1	1	1
12	—	1	2	1	2	1	2	1	2	1	2	1	2	1	2
13	—	1	3	1	3	1	3	1	3	1	3	1	3	1	3

and so forth. For each integer, repeat its digits, except that for “duplicate” cases — 1 and 11, 2 and 22, 12 and 1212 — prefix enough 0s so that no later series duplicates an earlier one. Then, by the above method, from the diagonal,

1 2 3 4 5 6 7 8 9 0 2 2 2 4 ...

cannot appear anywhere on the list. And similarly, any list has some missing series.

and relation symbols can be sorted into series with a first member, a second member, and so forth. Notice that the *variable* symbols may be sorted into such a series as well.

i	j	k	\dots	z	i_1	j_1	
							\dots
0	1	2	\dots	17	18	19	

So every variable is matched with an integer, and the variables are countable.

As a sample for the other symbols, we shall adopt a generic quantificational language \mathcal{L}_q which includes the equality symbol ‘=’ along with,

Sentence letters: uppercase Roman italics $A \dots Z$ with or without integer subscripts

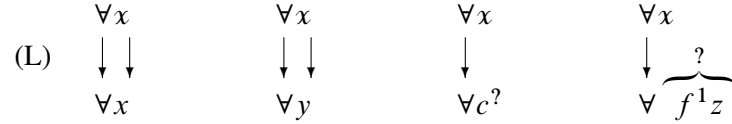
Constant symbols: lowercase Roman italics $a \dots h$ with or without integer subscripts

Function symbols: for any integer $n \geq 1$, superscripted lowercase Roman italics $a^n \dots z^n$ with or without integer subscripts

Relation symbols: for any integer $n \geq 1$, superscripted uppercase Roman italics $A^n \dots Z^n$ with or without integer subscripts.

Observe that constant symbols and variable symbols partition the lowercase alphabet: $a \dots h$ for constants, and $i \dots z$ for variables. Sentence letters are distinguished from relation symbols by superscripts; similarly, constant and variable symbols are distinguished from function symbols by superscripts. Function symbols with a superscript 1 ($a^1 \dots z^1$) are *one-place* function symbols; function symbols with a superscript 2 ($a^2 \dots z^2$) are *two-place* function symbols; and so forth. Similarly, relation symbols with a superscript 1 ($A^1 \dots Z^1$) are *one-place* relation symbols; relation symbols with a superscript 2 ($A^2 \dots Z^2$) are *two-place* relation symbols; and so forth. Subscripts merely guarantee that we never run out of symbols of the different types. Notice that superscripts and subscripts suffice to distinguish all the different symbols from one another. Thus, for example A and A^1 are different symbols — one a sentence letter, and the other a one-place relation symbol; A^1 , A_1^1 and A^2 are distinct as well — the first two are one-place relation symbols, distinguished by the subscript; the latter is a completely distinct two-place relation symbol. In practice, again, we will not see subscripts very often. (And we shall even find ways to abbreviate away some superscripts.)

The *metalanguage* works very much as before. We use script letters $\mathcal{A} \dots \mathcal{Z}$ and $a \dots z$ to represent expressions of an object language like \mathcal{L}_q . Again, ' \sim ', ' \rightarrow ', ' \forall ', ' $=$ ', ' $($ ', and ' $)$ ' represent themselves. And concatenated or joined symbols of the metalanguage represent the concatenation of the symbols they represent. As before, the metalanguage lets us make general claims about ranges of expressions all at once. Thus, where x is a variable, $\forall x$ is a *universal x -quantifier*. Here, $\forall x$ is not an expression of an object language like \mathcal{L}_q (Why?) Rather, we have said of object language expressions that $\forall x$ is a universal x -quantifier, $\forall y_2$ is a universal y_2 -quantifier, and so forth. In the metalinguistic expression, ' \forall ' stands for itself, and ' x ' for the arbitrary variable. Again, as in section 2.2.1, it may help to use maps to see whether an expression is of a given form. Thus given that x maps to any variable, $\forall x$ and $\forall y$ are of the form $\forall x$, but $\forall c$ and $\forall f^1 z$ are not.



In the leftmost two cases, \forall maps to itself, and x to a variable. In the next, ' c ' is a *constant* so there is no variable to which x can map. In the rightmost case, there is a variable z in the object expression, but if x is mapped to it, the function symbol f^1 is left unmatched. So the rightmost two expressions are not of the form $\forall x$.

E2.11. Assuming that \mathcal{R}^1 may represent any one-place relation symbol, h^2 any two-place function symbol, x any variable, and c any constant of \mathcal{L}_q , use maps to determine whether each of the following expressions is (i) of the form, $\forall x(\mathcal{R}^1 x \rightarrow \mathcal{R}^1 c)$ and then (ii) of the form, $\forall x(\mathcal{R}^1 x \rightarrow \mathcal{R}^1 h^2 x c)$.

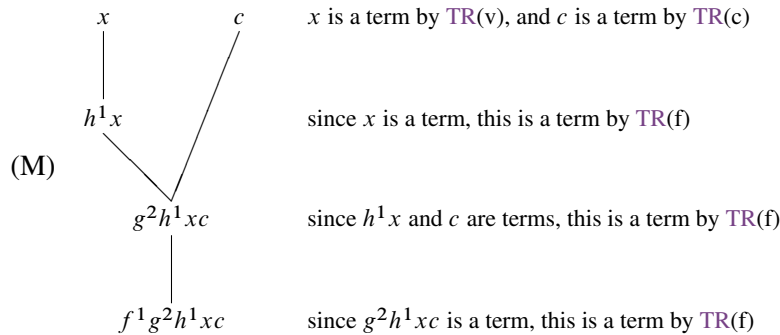
- a. $\forall k(A^1 k \rightarrow A^1 d)$
- b. $\forall h(J^1 h \rightarrow J^1 b)$
- c. $\forall w(S^1 w \rightarrow S^1 g^2 w b)$
- d. $\forall w(S^1 w \rightarrow S^1 c^2 x c)$
- e. $\forall v L^1 v \rightarrow L^1 y h^2$

2.3.2 Terms

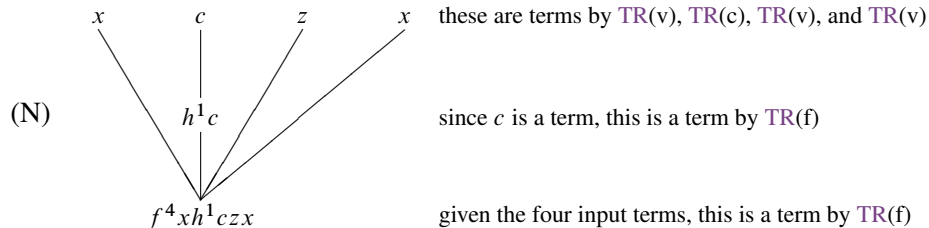
With the vocabulary of a language in place, we can turn to specification of its grammatical expressions. For this, in the quantificational case, we begin with *terms*.

- TR (v) If t is a variable x , then t is a *term*.
 (c) If t is a constant c , then t is a *term*.
 (f) If h^n is a n -place function symbol and $t_1 \dots t_n$ are n terms, then $h^n t_1 \dots t_n$ is a *term*.
 (CL) Any term may be formed by repeated application of these rules.

TR is another example of a recursive definition. As before, we can use tree diagrams to see how it works. This time, basic elements are constants and variables. Complex elements are put together by clause (f). Thus, for example, $f^1 g^2 h^1 x c$ is a term of \mathcal{L}_q .



Notice how the superscripts of a function symbol indicate the number of places that take terms. Thus x is a term, and h^1 followed by x to form $h^1 x$ is another term. But then, given that $h^1 x$ and c are terms, g^2 followed by $h^1 x$ and then c is another term. And so forth. Observe that neither $g^2 h^1 x$ nor $g^2 c$ are terms — the function symbol g^2 must be followed by a pair of terms to form a new term. And neither is $h^1 x c$ a term — the function symbol h^1 can only be followed by a single term to compose a term. You will find that there is always only one way to build a term on a tree. Here is another example.



Again, there is always just one way to build a term by the definition. If you are confused about the makeup of a term, build it on a tree, and all will be revealed. To demonstrate that an expression is a term, it is sufficient to construct it, according to

the definition, on such a tree. If an expression is not a term, there will be no way to construct it according to the rules.

E2.12. For each of the following expressions, demonstrate that it is a term of \mathcal{L}_q with a tree.

- a. f^1c
- b. g^2yf^1c
- *c. h^3cf^1yx
- d. $g^2h^3xyf^1cx$
- e. $h^3f^1f^1xcg^2f^1za$

E2.13. Explain why the following expressions are not terms of \mathcal{L}_q . Hint: you may find that an attempted tree will help you see what is wrong.

- a. X
- b. g^2
- c. zc
- *d. g^2yf^1xc
- e. $h^3f^1f^1cg^2f^1za$

E2.14. For each of the following expressions, determine whether it is a term of \mathcal{L}_q ; if it is, demonstrate with a tree; if not, explain why.

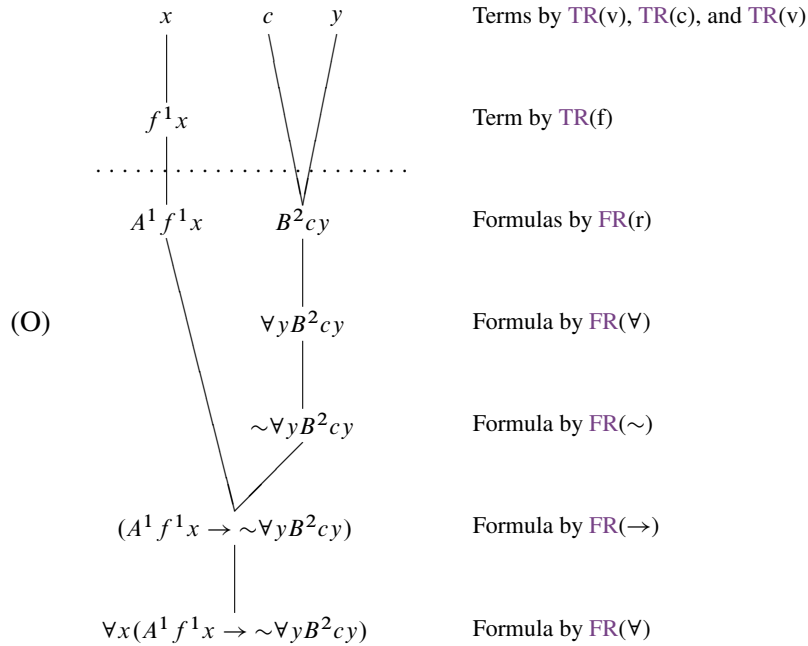
- *a. $g^2g^2xyf^1x$
- *b. h^3cf^2yx
- c. $f^1g^2xh^3yf^2yc$
- d. $f^1g^2xh^3yf^1yc$
- e. $h^3g^2f^1xcg^2f^1zaf^1b$

2.3.3 Formulas

With the terms in place, we are ready for the central notion of a formula. Again, the definition is recursive.

- FR (s) If \mathcal{S} is a sentence letter, then \mathcal{S} is a *formula*.
- (r) If \mathcal{R}^n is an n -place relation symbol and $t_1 \dots t_n$ are n terms, then $\mathcal{R}^n t_1 \dots t_n$ is a *formula*.
- (\sim) If \mathcal{P} is a formula, then $\sim \mathcal{P}$ is a *formula*.
- (\rightarrow) If \mathcal{P} and \mathcal{Q} are formulas, then $(\mathcal{P} \rightarrow \mathcal{Q})$ is a *formula*.
- (\forall) If \mathcal{P} is a formula and x is a variable, then $\forall x \mathcal{P}$ is a *formula*.
- (CL) Any formula can be formed by repeated application of these rules.

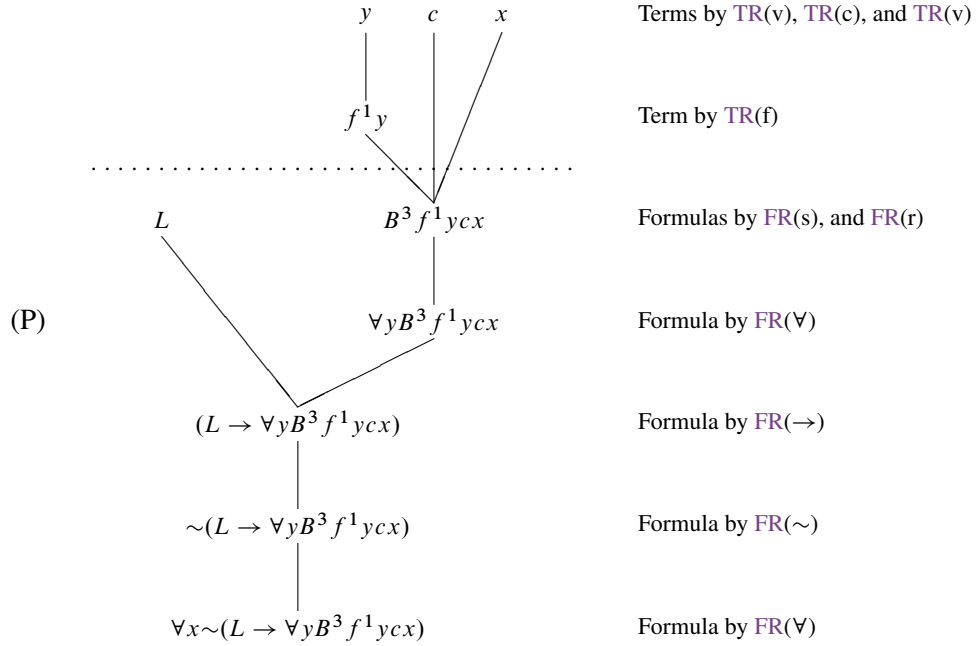
Again, we can use trees to see how it works. In this case, **FR**(r) depends on which expressions are terms. So it is natural to split the diagram into two, with applications of **TR** above a division, and **FR** below. Then, for example, $\forall x (A^1 f^1 x \rightarrow \sim \forall y B^2 c y)$ is a formula.



By now, the basic strategy should be clear. We construct terms by **TR** just as before. Given that $f^1 x$ is a term, **FR**(r) gives us that $A^1 f^1 x$ is a formula, for it consists of

a one-place relation symbol followed by a single term; and given that c and y are terms, $\text{FR}(r)$ gives us that B^2cy is a formula, for it consists of a two-place relation symbol followed by two terms. From the latter, by $\text{FR}(\forall)$, $\forall yB^2cy$ is a formula. Then $\text{FR}(\sim)$ and $\text{FR}(\rightarrow)$ work just as before. The final step is another application of $\text{FR}(\forall)$.

Here is another example. By the following tree, $\forall x\sim(L \rightarrow \forall yB^3f^1ycx)$ is a formula of \mathcal{L}_q .

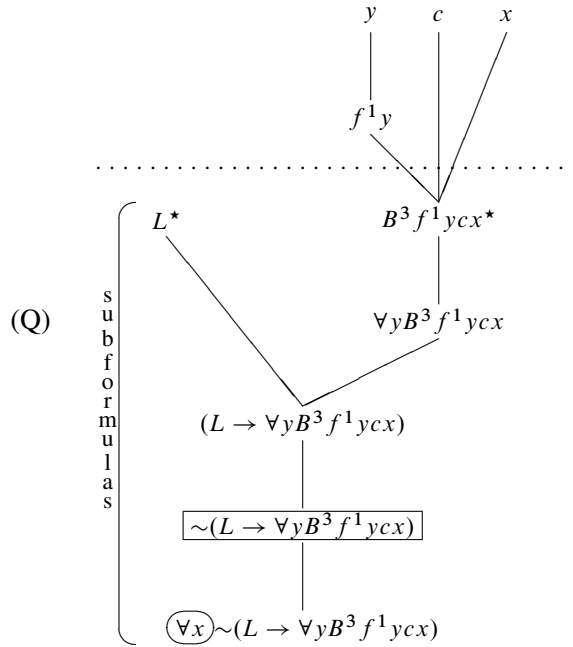


The basic formulas appear in the top row of the formula part of the diagram. L is a sentence letter. So it does not require any terms to be a formula. B^3 is a three-place relation symbol, so by $\text{FR}(r)$ it takes three terms to make a formula. After that, other formulas are constructed out of ones that come before.

If an expression is not a formula, then there is no way to construct it by the rules. Thus, for example, (A^1x) is not a formula of \mathcal{L}_q . A^1x is a formula; but the only way parentheses are introduced is in association with \rightarrow ; the parentheses in (A^1x) are not introduced that way; so there is no way to construct it by the rules, and it is not a formula. Similarly, A^2x and A^2f^2xy are not formulas; in each case, the problem is that the two-place relation symbol is followed by just *one* term. You should be clear about these in your own mind, particularly for the second case.

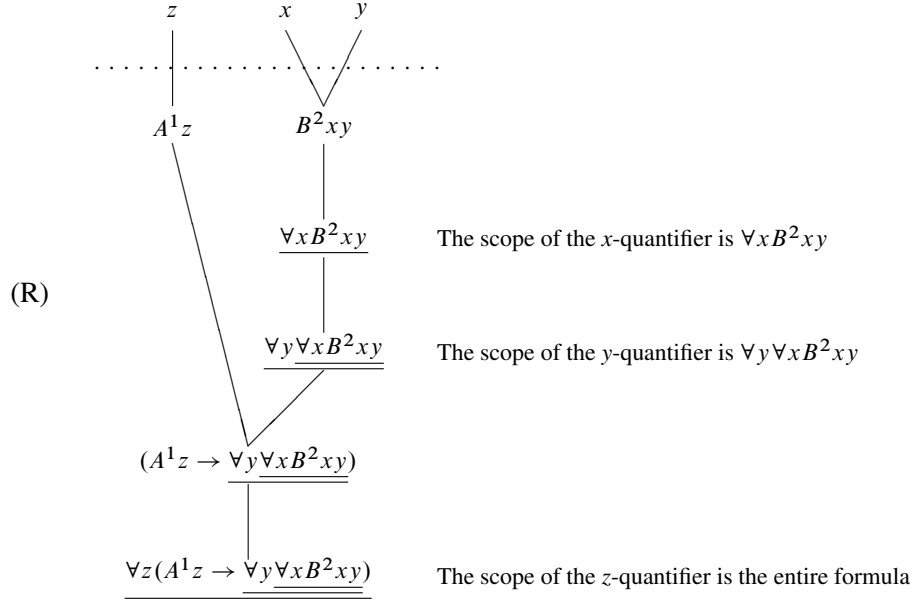
Before turning to the official notion of a *sentence*, we introduce some additional definitions, each directly related to the trees — and to notions you have seen before.

First, where ' \rightarrow ', ' \sim ', and any quantifier count as *operators*, a formula's *main* operator is the last operator added in its tree. Second, every formula in the formula portion of a diagram for \mathcal{P} , including \mathcal{P} itself, is a *subformula* of \mathcal{P} . Notice that terms are not formulas, and so are not subformulas. An *immediate* subformula of \mathcal{P} is a subformula to which \mathcal{P} is directly connected by lines. A subformula is *atomic* iff it contains no operators and so appears in the top line of the formula part of the tree. Thus, with notation from exercises before, with star for atomic formulas, box for immediate subformulas and circle for main operator, on the diagram immediately above we have,

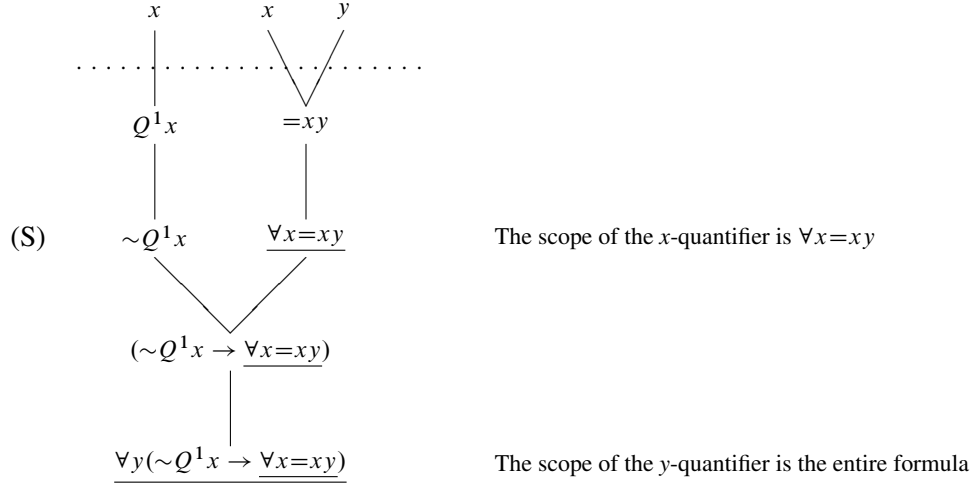


The main operator is $\forall x$, and the immediate subformula is $\sim(L \rightarrow \forall y B^3 f^1 y c x)$. The atomic subformulas are L and $B^3 f^1 y c x$. The atomic subformulas are the most basic *formulas*. Given this, everything is as one would expect from before. In general, if \mathcal{P} and \mathcal{Q} are formulas and x is a variable, the main operator of $\forall x \mathcal{P}$ is the quantifier, and the immediate subformula is \mathcal{P} ; the main operator of $\sim \mathcal{P}$ is the tilde, and the immediate subformula is \mathcal{P} ; the main operator of $(\mathcal{P} \rightarrow \mathcal{Q})$ is the arrow, and the immediate subformulas are \mathcal{P} and \mathcal{Q} — for you would build these by getting \mathcal{P} , or \mathcal{P} and \mathcal{Q} , and then adding the quantifier, tilde, or arrow as the last operator.

Now if a formula includes an operator, that operator's *scope* is just the subformula in which the operator *first* appears. Using underlines to indicate quantifier scope,



A variable x is *bound* iff it appears in the scope of an x -quantifier, and a variable is *free* iff it is not bound. In the above diagram, each variable is bound. The x -quantifier binds both instances of x ; the y -quantifier binds both instances of y ; and the z -quantifier binds both instances of z . In $\forall x R^2xy$, however, both instances of x are bound, but the y is free. Finally, an expression is a *sentence* iff it is a formula and it has no free variables. To determine whether an expression is a sentence, use a tree to see if it is a formula. If it is a formula, use underlines to check whether any variable x has an instance that falls outside the scope of an x -quantifier. If it is a formula, and there is no such instance, then the expression is a sentence. From the above diagram, $\forall z(A^1z \rightarrow \forall y \forall x B^2xy)$ is a formula and a sentence. But as follows, $\forall y(\sim Q^1x \rightarrow \forall x = xy)$ is not.



Recall that '=' is a two-place relation symbol. The expression has a tree, so it is a formula. The x -quantifier binds the last two instances of x , and the y -quantifier binds both instances of y . But the first instance of x is free. Since it has a free variable, although it is a formula, $\forall y(\sim Q^1x \rightarrow \forall x=xy)$ is not a sentence. Notice that $\forall xR^2ax$, for example, is a sentence, as the only *variable* is x (a being a *constant*) and all the instances of x are bound.

E2.15. For each of the following expressions, (i) Demonstrate that it is a formula of \mathcal{L}_q with a tree. (ii) On the tree bracket all the subformulas, box the immediate subformulas, star the atomic subformulas, circle the main operator, and indicate quantifier scope with underlines. Then (iii) say whether the formula is a sentence, and if it is not, explain why.

a. H^1x

*b. B^2ac

c. $\forall x(\sim =xc \rightarrow A^1g^2ay)$

d. $\sim \forall x(B^2xc \rightarrow \forall y \sim A^1g^2ay)$

e. $(S \rightarrow \sim(\forall w B^2f^1wh^1a \rightarrow \sim \forall z(H^1w \rightarrow B^2za)))$

E2.16. Explain why the following expressions are not formulas or sentences of \mathcal{L}_q .

Hint: You may find that an attempted tree will help you see what is wrong.

a. H^1

b. g^2ax

*c. $\forall x B^2x g^2ax$

d. $\sim(\sim\forall a A^1a \rightarrow (S \rightarrow \sim B^2z g^2xa))$

e. $\forall x(Dax \rightarrow \forall z \sim K^2z g^2xa)$

E2.17. For each of the following expressions, determine whether it is a formula and a sentence of \mathcal{L}_q . If it is a formula, show it on a tree, and exhibit its parts as in E2.15. If it fails one or both, explain why.

a. $\sim(L \rightarrow \sim V)$

b. $\forall x(\sim L \rightarrow K^1h^3xb)$

c. $\forall z\forall w(\forall x R^2wx \rightarrow \sim K^2zw) \rightarrow \sim M^2zz)$

*d. $\forall z(L^1z \rightarrow (\forall w R^2wf^3axw \rightarrow \forall w R^2f^3azww))$

e. $\sim((\forall w)B^2f^1wh^1a \rightarrow \sim(\forall z)(H^1w \rightarrow B^2za))$

2.3.4 Abbreviations

That is all there is to the official grammar. Having introduced the official grammar, though, it is nice to have in hand some abbreviated versions for official expressions. Abbreviated forms give us ways to manipulate official expressions without undue pain. First, for any variable x and formulas \mathcal{P} and \mathcal{Q} ,

AB (\vee) $(\mathcal{P} \vee \mathcal{Q})$ abbreviates $(\sim\mathcal{P} \rightarrow \mathcal{Q})$

(\wedge) $(\mathcal{P} \wedge \mathcal{Q})$ abbreviates $\sim(\mathcal{P} \rightarrow \sim\mathcal{Q})$

(\leftrightarrow) $(\mathcal{P} \leftrightarrow \mathcal{Q})$ abbreviates $\sim((\mathcal{P} \rightarrow \mathcal{Q}) \rightarrow \sim(\mathcal{Q} \rightarrow \mathcal{P}))$

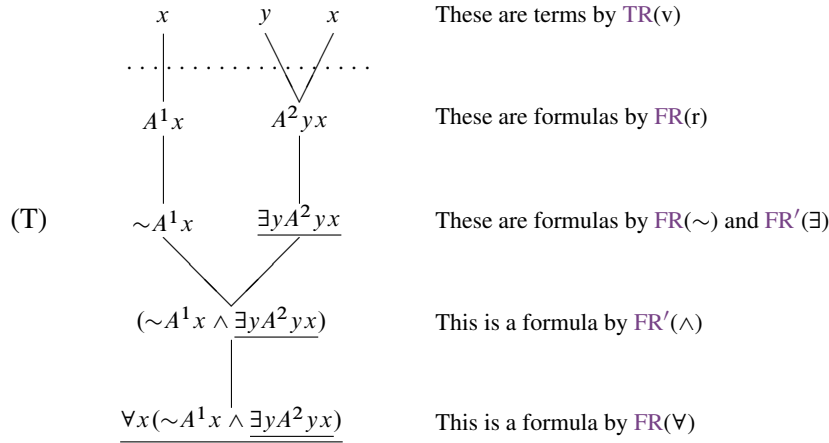
(\exists) $\exists x\mathcal{P}$ abbreviates $\sim\forall x\sim\mathcal{P}$

The first three are as from AB. The last is new. For any variable x , an expression of the form $\exists x$ is an *existential* quantifier — it is read to say, there *exists* an x such that \mathcal{P} .

As before, these abbreviations make possible derived clauses to FR. Suppose \mathcal{P} is a formula; then by FR(\sim), $\sim\mathcal{P}$ is a formula; so by FR(\forall), $\forall x\sim\mathcal{P}$ is a formula; so by FR(\sim) again, $\sim\forall x\sim\mathcal{P}$ is a formula; but this is just to say that $\exists x\mathcal{P}$ is a formula. With results from before, we are thus given,

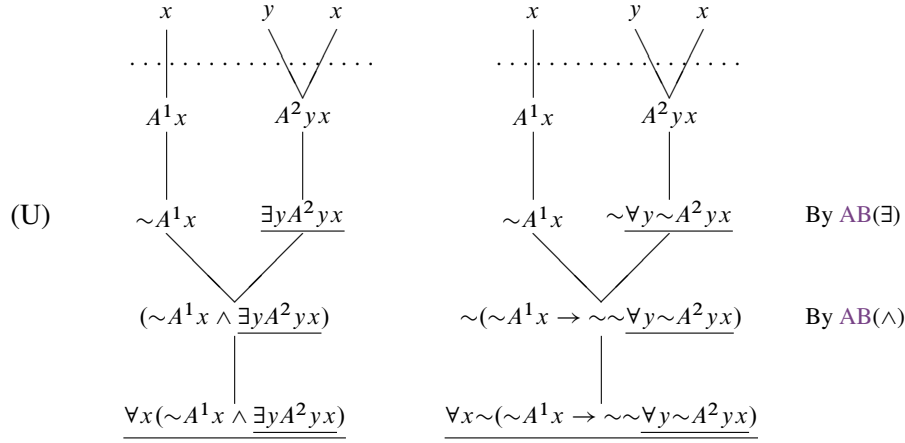
- FR' (\wedge) If \mathcal{P} and \mathcal{Q} are formulas, then $(\mathcal{P} \wedge \mathcal{Q})$ is a *formula*.
 (\vee) If \mathcal{P} and \mathcal{Q} are formulas, then $(\mathcal{P} \vee \mathcal{Q})$ is a *formula*.
 (\leftrightarrow) If \mathcal{P} and \mathcal{Q} are formulas, then $(\mathcal{P} \leftrightarrow \mathcal{Q})$ is a *formula*.
 (\exists) If \mathcal{P} is a formula and x is a variable, then $\exists x \mathcal{P}$ is a *formula*.

The first three are from before. The last is new. And, as before, we can incorporate these conditions directly into trees for formulas. Thus $\forall x(\sim A^1x \wedge \exists y A^2yx)$ is a formula.



In a derived sense, we carry over additional definitions from before. Thus, the main operator is the last operator added in its tree, subformulas are all the formulas in the formula part of a tree, atomic subformulas are the ones in the upper row of the formula part, and immediate subformulas are the one(s) to which a formula is directly connected by lines. Thus the main operator of $\forall x(\sim A^1x \wedge \exists y A^2yx)$ is the universal quantifier and the immediate subformula is $(\sim A^1x \wedge \exists y A^2yx)$. In addition, a variable is in the scope of an existential quantifier iff it would be in the scope of the unabbreviated universal one. So it is possible to discover whether an expression is a sentence directly from diagrams of this sort. Thus, as indicated by underlines, $\forall x(\sim A^1x \wedge \exists y A^2yx)$ is a sentence.

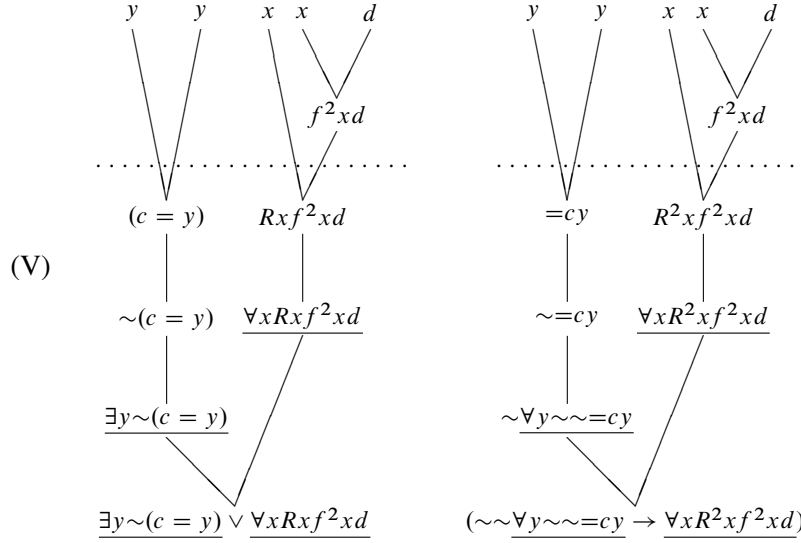
To see what it is an abbreviation for, we can reconstruct the formula on an unabbreviating tree, one operator at a time.



First the existential quantifier is replaced by the unabbreviated form. Then, where \mathcal{P} and \mathcal{Q} are joined by **FR'**(\wedge) to form $(\mathcal{P} \wedge \mathcal{Q})$, the corresponding unabbreviated expressions are combined into the unabbreviated form, $\sim(\mathcal{P} \rightarrow \sim\mathcal{Q})$. At the last step, **FR**(\forall) applies as before. So $\forall x(\sim A^1x \wedge \exists y A^2yx)$ abbreviates $\forall x \sim(\sim A^1x \rightarrow \sim \forall y \sim A^2yx)$. Again, abbreviations are nice! Notice that the resultant expression is a formula and a sentence, as it should be.

As before, it is sometimes convenient to use a pair of square brackets [] in place of parentheses (). And if the very last step of a tree for some formula is justified by **FR**(\rightarrow), **FR'**(\vee), **FR'**(\wedge), or **FR'**(\leftrightarrow), we may abbreviate that formula with the outermost set of parentheses or brackets dropped. In addition, for terms t_1 and t_2 we will frequently represent the formula $=t_1t_2$ as $(t_1 = t_2)$. Notice the extra parentheses. This lets us see the equality symbol in its more usual “infix” form. When there is no danger of confusion, we will sometimes omit the parentheses and write, $t_1 = t_2$. Also, where there is no potential for confusion, we sometimes omit superscripts. Thus in \mathcal{L}_q we might omit superscripts on relation symbols — simply assuming that the terms following a relation symbol give its correct number of places. Thus Ax abbreviates A^1x ; Axy abbreviates A^2xy ; Axf^1y abbreviates A^2xf^1y ; and so forth. Notice that Ax and Axy , for example, involve *different* relation symbols. In formulas of \mathcal{L}_q , sentence letters are distinguished from relation symbols insofar as relation symbols are followed immediately by terms, where sentence letters are not. Notice, however, that we *cannot* drop superscripts on function symbols in \mathcal{L}_q — thus, even given that f and g are function symbols rather than constants, apart from superscripts, there is no way to distinguish the terms in, say, $Afgxyzw$.

As a final example, $\exists y \sim (c = y) \vee \forall x Rx f^2 x d$ is a formula and a sentence.



The abbreviation drops a superscript, uses the infix notation for equality, uses the existential quantifier and wedge, and drops outermost parentheses. As before, the right-hand diagram is not a direct demonstration that $(\sim \forall y \sim \sim =cy \rightarrow \forall x R^2 x f^2 x d)$ is a sentence. However, it unpacks the abbreviation, and we know that the result is an official sentence, insofar as the left-hand tree, with its application of derived rules, tells us that $\exists y \sim(c = y) \vee \forall x Rx f^2 x d$ is an abbreviation of formula and a sentence, and the right-hand diagram tells us what that expression is.

E2.18. For each of the following expressions, (i) Demonstrate that it is a formula of \mathcal{L}_q with a tree. (ii) On the tree bracket all the subformulas, box the immediate subformulas, star the atomic subformulas, circle the main operator, and indicate quantifier scope with underlines. Then (iii) say whether the formula is a sentence, and if it is not, explain why.

a. $(A \rightarrow \sim B) \leftrightarrow (A \wedge C)$

b. $\exists x Fx \wedge \forall y Gxy$

*c. $\exists x A f^1 g^2 a h^3 z w f^1 x \vee S$

d. $\forall x \forall y \forall z ((x = y) \wedge (y = z)) \rightarrow (x = z)$

e. $\exists y [c = y \wedge \forall x R x f^1 x y]$

Grammar Quick Reference

- VC** (p) Punctuation symbols: $(,)$
 (o) Operator symbols: $\sim, \rightarrow, \forall$
 (v) Variable symbols: $i \dots z$ with or without integer subscripts
 (s) A possibly-empty countable collection of sentence letters
 (c) A possibly-empty countable collection of constant symbols
 (f) For any integer $n \geq 1$, a possibly-empty countable collection of n -place function symbols
 (r) For any integer $n \geq 1$, a possibly-empty countable collection of n -place relation symbols
- TR** (v) If t is a variable x , then t is a *term*.
 (c) If t is a constant c , then t is a *term*.
 (f) If f^n is a n -place function symbol and $t_1 \dots t_n$ are n terms, then $f^n t_1 \dots t_n$ is a *term*.
 (CL) Any term may be formed by repeated application of these rules.
- FR** (s) If \mathcal{S} is a sentence letter, then \mathcal{S} is a *formula*.
 (r) If \mathcal{R}^n is an n -place relation symbol and $t_1 \dots t_n$ are n terms, $\mathcal{R}^n t_1 \dots t_n$ is a *formula*.
 (\sim) If \mathcal{P} is a formula, then $\sim \mathcal{P}$ is a *formula*.
 (\rightarrow) If \mathcal{P} and \mathcal{Q} are formulas, then $(\mathcal{P} \rightarrow \mathcal{Q})$ is a *formula*.
 (\forall) If \mathcal{P} is a formula and x is a variable, then $\forall x \mathcal{P}$ is a *formula*.
 (CL) Any formula can be formed by repeated application of these rules.

An operator's *scope* includes just the formula in which it is introduced; a variable x is *free* iff it is not in the scope of an x -quantifier; an expression is a *sentence* iff it is a formula with no free variables. *Subformulas* are all the formulas in the tree; *atomic* subformulas appear in the top row; *immediate* subformulas are the ones to which a formula is directly connected by lines; the *main operator* is the last operator added.

- AB** (\vee) $(\mathcal{P} \vee \mathcal{Q})$ abbreviates $(\sim \mathcal{P} \rightarrow \mathcal{Q})$
 (\wedge) $(\mathcal{P} \wedge \mathcal{Q})$ abbreviates $\sim(\mathcal{P} \rightarrow \sim \mathcal{Q})$
 (\leftrightarrow) $(\mathcal{P} \leftrightarrow \mathcal{Q})$ abbreviates $\sim((\mathcal{P} \rightarrow \mathcal{Q}) \rightarrow \sim(\mathcal{Q} \rightarrow \mathcal{P}))$
 (\exists) $\exists x \mathcal{P}$ abbreviates $\sim \forall x \sim \mathcal{P}$
- FR'** (\wedge) If \mathcal{P} and \mathcal{Q} are formulas, then $(\mathcal{P} \wedge \mathcal{Q})$ is a *formula*.
 (\vee) If \mathcal{P} and \mathcal{Q} are formulas, then $(\mathcal{P} \vee \mathcal{Q})$ is a *formula*.
 (\leftrightarrow) If \mathcal{P} and \mathcal{Q} are formulas, then $(\mathcal{P} \leftrightarrow \mathcal{Q})$ is a *formula*.
 (\exists) If \mathcal{P} is a formula and x is a variable, then $\exists x \mathcal{P}$ is a *formula*.

The generic language \mathcal{L}_q includes the equality symbol '=' along with,

- Sentence letters: $A \dots Z$ with or without integer subscripts
 Constant symbols: $a \dots h$ with or without integer subscripts
 Function symbols: for any $n \geq 1$, $a^n \dots z^n$ with or without integer subscripts
 Relation symbols: for any $n \geq 1$, $A^n \dots Z^n$ with or without integer subscripts.

- *E2.19. For each of the formulas in E2.18, produce an unabbreviating tree to find the unabbreviated expression it represents.
- *E2.20. For each of the unabbreviated expressions from E2.19, produce a complete tree to show by direct application of FR that it is an official formula. In each case, using underlines to indicate quantifier scope, is the expression a sentence? does this match with the result of E2.18?

2.3.5 Another Language

To emphasize the generality of our definitions VC, TR, and FR, let us introduce an enhanced version of a language with which we will be much concerned later in the text. \mathcal{L}_{NT}^{\leq} is like a minimal language we shall introduce later for *number theory*. Recall that VC leaves open what are the sentence letters, constant symbols, function symbols and relation symbols of a quantificational language. So far, our generic language \mathcal{L}_q fills these in by certain conventions. \mathcal{L}_{NT}^{\leq} replaces these with,

Constant symbol: \emptyset

two-place relation symbols: $=, <$

one-place function symbol: S

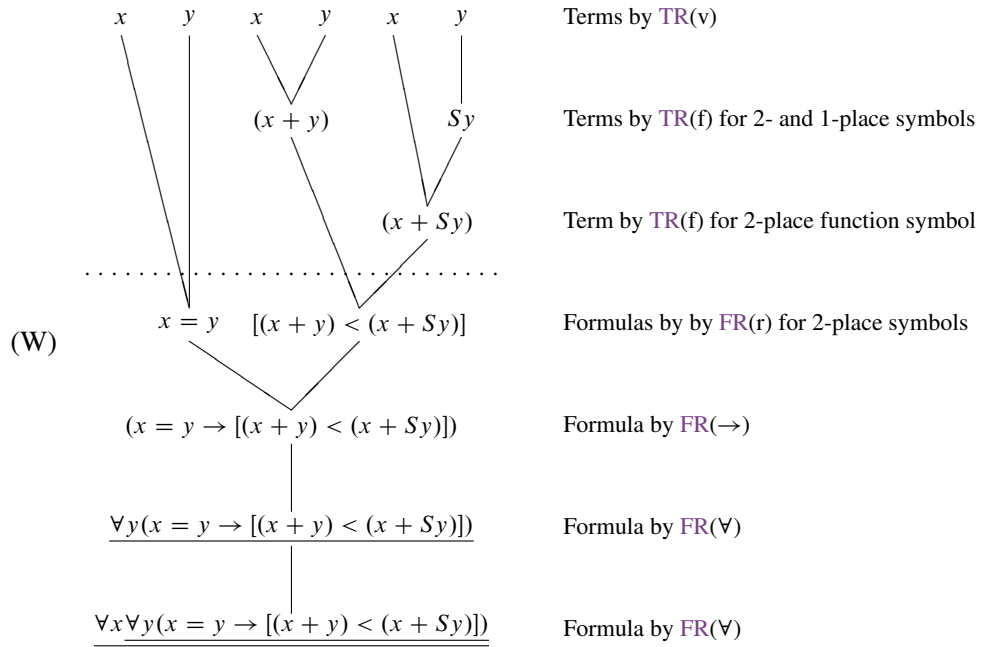
two-place function symbols: $+, \times$

and that is all. Later we shall introduce a language like \mathcal{L}_{NT}^{\leq} except without the $<$ symbol; for now, we leave it in. Notice that \mathcal{L}_q uses capitals for sentence letters and lowercase for function symbols. But there is nothing sacred about this. Similarly, \mathcal{L}_q indicates the number of places for function and relation symbols by superscripts, where in \mathcal{L}_{NT}^{\leq} the number of places is simply built into the definition of the symbol. In fact, \mathcal{L}_{NT}^{\leq} is an extremely simple language! Given the vocabulary, TR and FR apply in the usual way. Thus \emptyset , $S\emptyset$ and $SS\emptyset$ are terms — as is easy to see on a tree. And $<\emptyset SS\emptyset$ is an atomic formula.

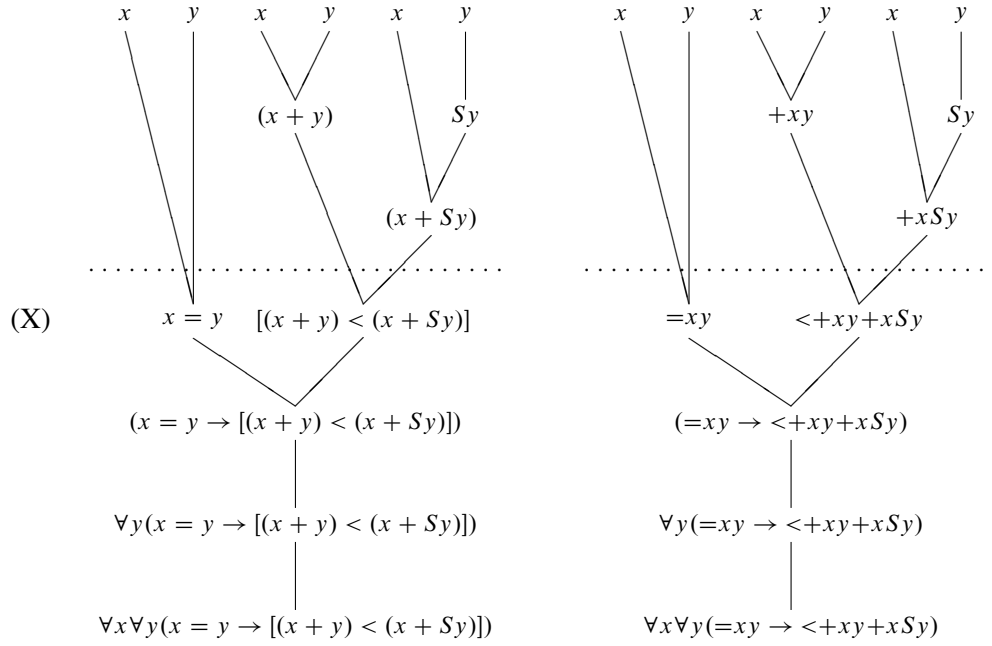
As with our treatment for equality, for terms m and n , we often abbreviate official terms of the sort, $+mn$ and $\times mn$ as $(m + n)$ and $(m \times n)$; similarly, it is often convenient to abbreviate an atomic formula $<mn$ as $(m < n)$. And we will drop these parentheses when there is no danger of confusion. Officially, we have not said a word about what these expressions mean. It is natural, however, to think of them with their usual meanings, with S the *successor* function — so that the successor of

zero, $S\emptyset$ is one, the successor of the successor of zero $SS\emptyset$ is two, and so forth. But we do not need to think about that for now.

As an example, we show that $\forall x \forall y (x = y \rightarrow [(x + y) < (x + Sy)])$ is a(n abbreviation of) a formula and a sentence.



And we can show what it abbreviates by unpacking the abbreviation in the usual way. This time, we need to pay attention to abbreviations in the terms as well as formulas.



The official (Polish) notation on the right may seem strange. But it follows the official definitions **TR** and **FR**. And it conveniently reduces the number of parentheses from the more typical infix presentation. (You may also be familiar with Polish notation for math from certain electronic calculators.) If you are comfortable with grammar and abbreviations for this language \mathcal{L}_{NT}^{\leq} you are doing well with the grammar for our formal languages.

E2.21. For each of the following expressions, (i) Demonstrate that it is a formula of \mathcal{L}_{NT}^{\leq} with a tree. (ii) On the tree bracket all the subformulas, box the immediate subformulas, star the atomic subformulas, circle the main operator, and indicate quantifier scope with underlines. Then (iii) say whether the formula is a sentence, and if it is not, explain why.

a. $\sim[S\emptyset = (S\emptyset \times SS\emptyset)]$

*b. $\exists x \forall y (x \times y = x)$

c. $\forall x [\sim(x = \emptyset) \rightarrow \exists y (y < x)]$

d. $\forall y [(x < y \vee x = y) \vee y < x]$

e. $\forall x \forall y \forall z [(x \times (y + z)) = ((x \times y) + (x \times z))]$

- *E2.22. For each of the formulas in E2.21, produce an unabbreviating tree to find the unabbreviated expression it represents.
- E2.23. For each of the following concepts, explain in an essay of about two pages, so that Hannah could understand. In your essay, you should (i) identify the objects to which the concept applies, (ii) give and explain the definition, and give and explicate examples of your own construction (iii) where the concept applies, and (iv) where it does not. Your essay should exhibit an understanding of methods from the text.
- The vocabulary for a quantificational language and then for \mathcal{L}_q and \mathcal{L}_{NT}^{\leq} .
 - A formula and a sentence of a quantificational language.
 - An abbreviation for an official formula and sentence of a quantificational language.

Chapter 3

Axiomatic Deduction

We have not yet said what our sentences mean. This is just what we do in the next chapter. However, just as it is possible to do grammar without reference to meaning, so it is possible to do derivations without reference to meaning. Derivations are *defined* purely in relation to formula and sentence form. That is why it is crucial to *show* that derivations stand in important relations to validity and truth, as we do in [Part III](#). And that is why it is possible to do derivations without knowing what the expressions mean. In this chapter we develop an *axiomatic* derivation system without any reference to meaning and truth. Apart from relations to meaning and truth, derivations are perfectly well-defined — counting at least as a sort of puzzle or game with, perhaps, a related “thrill of victory” and “agony of defeat.” And as with a game, it is possible to build derivation skills, to become a better player. Later, we will show how derivation games matter.¹

Derivation systems are constructed for different purposes. Introductions to mathematical logic typically employ an *axiomatic* approach. We will see a *natural deduction* system in [chapter 6](#). The advantage of axiomatic systems is their extreme simplicity. From a practical point of view, when we want to think *about* logic, it is convenient to have a relatively simple object to think about. The axiomatic approach makes it natural to build toward increasingly complex and powerful results. As we will see, however, in the beginning, axiomatic derivations can be relatively challenging! We will introduce our system in stages: After some general remarks about what an axiom system is supposed to be, we will introduce the sentential component of

¹This chapter is out of place. Having developed the grammar of our formal languages, a sensible course in mathematical logic will skip directly to [chapter 4](#) and return only after [chapter 6](#). This chapter has its location to crystallize the the point about form. One might reasonably attempt the first section, but then return only after background from chapters that follow.

our system — the part with application to forms involving just \sim and \rightarrow (and so \vee , \wedge , and \leftrightarrow). After that, we will turn to the full system for forms with quantifiers and equality, including a mathematical application.

3.1 General

Before turning to the derivations themselves, it will be helpful to make some points about the metalanguage and form. First, we are familiar with the idea that different formulas may be of the same form. Thus, for example, where \mathcal{P} and \mathcal{Q} are formulas, $A \rightarrow B$ and $A \rightarrow (B \vee C)$ are both of the form, $\mathcal{P} \rightarrow \mathcal{Q}$ — in the one case \mathcal{Q} maps to B , and in the other to $(B \vee C)$. And, more generally, for formulas $\mathcal{A}, \mathcal{B}, \mathcal{C}$, any formula of the form $\mathcal{A} \rightarrow (\mathcal{B} \vee \mathcal{C})$ is also of the form $\mathcal{P} \rightarrow \mathcal{Q}$. For if $(\mathcal{B} \vee \mathcal{C})$ maps onto some formula, \mathcal{Q} maps onto that formula as well. Of course, this does not go the other way around: it is not the case that every expression of the form $\mathcal{P} \rightarrow \mathcal{Q}$ is of the form $\mathcal{A} \rightarrow (\mathcal{B} \vee \mathcal{C})$; for it is not the case that $\mathcal{B} \vee \mathcal{C}$ maps to any expression to onto which \mathcal{Q} maps. Be sure you are clear about this! Using the metalanguage this way, we can speak generally about formulas in arbitrary sentential or quantificational languages. This is just what we will do — on the assumption that our script letters $\mathcal{A} \dots \mathcal{Z}$ range over formulas of some arbitrary formal language \mathcal{L} , we frequently depend on the fact that every formula of one form is also of another.

Given a formal language \mathcal{L} , an axiomatic logic AL consists of two parts. There is a set of *axioms* and a set of *rules*. Different axiomatic logics result from different axioms and rules. For now, the set of axioms is just some privileged collection of formulas. A rule tells us that one formula *follows* from some others. One way to specify axioms and rules is by form. Thus, for example, *modus ponens* may be included among the rules.

$$\text{MP} \quad \frac{\mathcal{P} \rightarrow \mathcal{Q}, \mathcal{P}}{\mathcal{Q}}$$

According to this rule, for any formulas \mathcal{P} and \mathcal{Q} , the formula \mathcal{Q} *follows* from $\mathcal{P} \rightarrow \mathcal{Q}$ together with \mathcal{P} . Thus, as applied to \mathcal{L}_3 , B follows by **MP** from $A \rightarrow B$ and A ; but also $(B \leftrightarrow D)$ follows from $(A \rightarrow B) \rightarrow (B \leftrightarrow D)$ and $(A \rightarrow B)$. And for a case put in the metalanguage, quite generally, a formula of the form $(\mathcal{A} \wedge \mathcal{B})$ follows from $\mathcal{A} \rightarrow (\mathcal{A} \wedge \mathcal{B})$ and \mathcal{A} — for any formulas of the form $\mathcal{A} \rightarrow (\mathcal{A} \wedge \mathcal{B})$ and \mathcal{A} are of the forms $\mathcal{P} \rightarrow \mathcal{Q}$ and \mathcal{P} as well. Axioms also may be specified by form. Thus, for some language with formulas \mathcal{P} and \mathcal{Q} , a logic might include all formulas of the forms,

$$\wedge 1 \quad (\mathcal{P} \wedge \mathcal{Q}) \rightarrow \mathcal{P} \quad \wedge 2 \quad (\mathcal{P} \wedge \mathcal{Q}) \rightarrow \mathcal{Q} \quad \wedge 3 \quad \mathcal{P} \rightarrow (\mathcal{Q} \rightarrow (\mathcal{P} \wedge \mathcal{Q}))$$

among its axioms. Then in \mathcal{L}_3 ,

$$(A \wedge B) \rightarrow A, \quad (A \wedge A) \rightarrow A \quad ((A \rightarrow B) \wedge C) \rightarrow (A \rightarrow B)$$

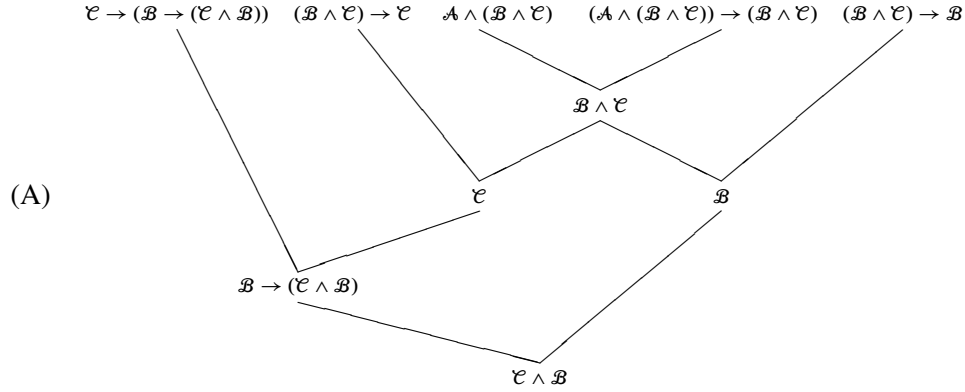
are all axioms of form $\wedge 1$. So far, for a given axiomatic logic AL , there are no constraints on just which forms will be the axioms, and just which rules are included. The point is only that we specify an axiomatic logic when we specify some collection of axioms and rules.

Suppose we have specified some axioms and rules for an axiomatic logic AL . Then where Γ (Gamma), is a set of formulas — taken as the formal *premises* of an argument,

- AV (p) If \mathcal{P} is a premise (a member of Γ), then \mathcal{P} is a *consequence* in AL of Γ .
- (a) If \mathcal{P} is an axiom of AL , then \mathcal{P} is a *consequence* in AL of Γ .
- (r) If $\mathcal{Q}_1 \dots \mathcal{Q}_n$ are consequences in AL of Γ , and there is a rule of AL such that \mathcal{P} follows from $\mathcal{Q}_1 \dots \mathcal{Q}_n$ by the rule, then \mathcal{P} is a *consequence* in AL of Γ .
- (CL) Any *consequence* in AL of Γ may be obtained by repeated application of these rules.

The first two clauses make premises and axioms consequences in AL of Γ . And if, say, MP is a rule of an AL and $P \rightarrow Q$ and P are consequences in AL of Γ , then by AV(r), Q is a consequence in AL of Γ as well. If \mathcal{P} is a consequence in AL of some premises Γ , then the premises *prove* \mathcal{P} in AL and equivalently the argument is *valid* in AL ; in this case we write $\Gamma \vdash_{AL} \mathcal{P}$. The \vdash symbol is the *single turnstile* (to contrast with a *double turnstile* \models from chapter 4). If $\mathcal{Q}_1 \dots \mathcal{Q}_n$ are the members of Γ , we sometimes write $\mathcal{Q}_1 \dots \mathcal{Q}_n \vdash_{AL} \mathcal{P}$ in place of $\Gamma \vdash_{AL} \mathcal{P}$. If Γ has no members at all and $\Gamma \vdash_{AL} \mathcal{P}$, then \mathcal{P} is a *theorem* of AL . In this case, listing all the premises individually, we simply write, $\vdash_{AL} \mathcal{P}$.

Before turning to our official axiomatic system AD , it will be helpful to consider a simple example. Suppose an axiomatic derivation system AI has MP as its only rule, and just formulas of the forms $\wedge 1$, $\wedge 2$, and $\wedge 3$ as axioms. AV is a recursive definition like ones we have seen before. Thus nothing stops us from working out its consequences on trees. Thus we can show that $\mathcal{A} \wedge (\mathcal{B} \wedge \mathcal{C}) \vdash_{AI} \mathcal{C} \wedge \mathcal{B}$ as follows,



For definition [AV](#), the basic elements are the premises and axioms. These occur across the top row. Thus, reading from the left, the first form is an instance of $\wedge 3$. The second is of type $\wedge 2$. These are thus consequences of Γ by [AV\(a\)](#). The third is the premise. Thus it is a consequence by [AV\(p\)](#). Any formula of the form $(\mathcal{A} \wedge (\mathcal{B} \wedge \mathcal{C})) \rightarrow (\mathcal{B} \wedge \mathcal{C})$ is of the form, $(\mathcal{P} \wedge \mathcal{Q}) \rightarrow \mathcal{Q}$; so the fourth is of the type $\wedge 2$. And the last is of the type $\wedge 1$. So the final two are consequences by [AV\(a\)](#). After that, all the results are by MP, and so consequences by [AV\(r\)](#). Thus for example, in the first case, $(\mathcal{A} \wedge (\mathcal{B} \wedge \mathcal{C})) \rightarrow (\mathcal{B} \wedge \mathcal{C})$ and $\mathcal{A} \wedge (\mathcal{B} \wedge \mathcal{C})$ are of the sort $\mathcal{P} \rightarrow \mathcal{Q}$ and \mathcal{P} , with $\mathcal{A} \wedge (\mathcal{B} \wedge \mathcal{C})$ for \mathcal{P} and $(\mathcal{B} \wedge \mathcal{C})$ for \mathcal{Q} ; thus $\mathcal{B} \wedge \mathcal{C}$ follows from them by MP. So $\mathcal{B} \wedge \mathcal{C}$ is a consequence in *A1* of Γ by [AV\(r\)](#). And similarly for the other consequences. Notice that applications of MP and of the axiom forms are *independent* from one use to the next. The expressions that count as \mathcal{P} or \mathcal{Q} must be consistent within a given application of the axiom or rule, but may vary from one application of the axiom or rule to the next. If you are familiar with another derivation system, perhaps the one from [chapter 6](#), you may think of an axiom as a rule without inputs. Then the axiom applies to expressions of its form in the usual way.

These diagrams can get messy, and it is traditional to represent the same information as follows, using annotations to indicate relations among formulas.

(B)	1.	$\mathcal{A} \wedge (\mathcal{B} \wedge \mathcal{C})$	p(remise)
	2.	$(\mathcal{A} \wedge (\mathcal{B} \wedge \mathcal{C})) \rightarrow (\mathcal{B} \wedge \mathcal{C})$	$\wedge 2$
	3.	$\mathcal{B} \wedge \mathcal{C}$	2,1 MP
	4.	$(\mathcal{B} \wedge \mathcal{C}) \rightarrow \mathcal{B}$	$\wedge 1$
	5.	\mathcal{B}	4,3 MP
	6.	$(\mathcal{B} \wedge \mathcal{C}) \rightarrow \mathcal{C}$	$\wedge 2$
	7.	\mathcal{C}	6,3 MP
	8.	$\mathcal{C} \rightarrow (\mathcal{B} \rightarrow (\mathcal{C} \wedge \mathcal{B}))$	$\wedge 3$
	9.	$\mathcal{B} \rightarrow (\mathcal{C} \wedge \mathcal{B})$	8,7 MP
	10.	$\mathcal{C} \wedge \mathcal{B}$	9,5 MP

Each of the forms (1) - (10) is a consequence of $\mathcal{A} \wedge (\mathcal{B} \wedge \mathcal{C})$ in AI . As indicated on the right, the first is a premise, and so a consequence by $\text{AV}(p)$. The second is an axiom of the form $\wedge 2$, and so a consequence by $\text{AV}(a)$. The third follows by MP from the forms on lines (2) and (1), and so is a consequence by $\text{AV}(r)$. And so forth. Such a demonstration is an *axiomatic derivation*. This derivation contains the very same information as the tree diagram (A), only with geometric arrangement replaced by line numbers to indicate relations between forms. Observe that we might have accomplished the same end with a different arrangement of lines. For example, we might have listed all the axioms first, with applications of MP after. The important point is that in an *axiomatic derivation*, each line is either an axiom, a premise, or follows from previous lines by a rule. Just as a tree is sufficient to demonstrate that $\Gamma \vdash_{AL} \mathcal{P}$, that \mathcal{P} is a consequence of Γ in AL , so an axiomatic derivation is sufficient to show the same. In fact, we shall typically use derivations, rather than trees to show that $\Gamma \vdash_{AL} \mathcal{P}$.

Notice that we have been reasoning with sentence *forms*, and so have shown that a formula of the form $\mathcal{C} \wedge \mathcal{B}$ follows in AI from one of the form $\mathcal{A} \wedge (\mathcal{B} \wedge \mathcal{C})$. Given this, we freely appeal to results of one derivation in the process of doing another. Thus, if we were to encounter a formula of the form $\mathcal{A} \wedge (\mathcal{B} \wedge \mathcal{C})$ in an AI derivation, we might simply cite the derivation (B) completed above, and move directly to the conclusion that $\mathcal{C} \wedge \mathcal{B}$. The resultant derivation would be an *abbreviation* of an official one which includes each of the above steps to reach $\mathcal{C} \wedge \mathcal{B}$. In this way, derivations remain manageable, and we are able to build toward results of increasing complexity. (Compare your high school experience of Euclidian geometry.) All of this should become more clear, as we turn to the official and complete axiomatic system, AD .

Again, unless you have a special reason for studying axiomatic systems, or are just looking for some really challenging puzzles, you should move on to the next chapter after these exercises and return only after [chapter 6](#). This chapter makes sense here for conceptual reasons, but is completely out of order from a learning point of view. After [chapter 6](#) you can return to this chapter, but recognize its place in in the conceptual order.

E3.1. Where AI is as above with rule MP and axioms $\wedge 1-3$, construct derivations to show each of the following.

- *a. $\mathcal{A} \wedge (\mathcal{B} \wedge \mathcal{C}) \vdash_{AI} \mathcal{B}$
- b. $\mathcal{A}, \mathcal{B}, \mathcal{C} \vdash_{AI} \mathcal{A} \wedge (\mathcal{B} \wedge \mathcal{C})$

- c. $\mathcal{A} \wedge (\mathcal{B} \wedge \mathcal{C}) \vdash_{A1} (\mathcal{A} \wedge \mathcal{B}) \wedge \mathcal{C}$
- d. $(\mathcal{A} \wedge \mathcal{B}) \wedge (\mathcal{C} \wedge \mathcal{D}) \vdash_{A1} \mathcal{B} \wedge \mathcal{C}$
- e. $\vdash_{A1} ((\mathcal{A} \wedge \mathcal{B}) \rightarrow \mathcal{A}) \wedge ((\mathcal{A} \wedge \mathcal{B}) \rightarrow \mathcal{B})$

3.2 Sentential

We begin by focusing on sentential forms, forms involving just \sim and \rightarrow (and so \wedge , \vee and \leftrightarrow). The sentential component of our official axiomatic logic AD tells us how to manipulate such forms, whether they be forms for expressions in a sentential language like \mathcal{L}_s , or in a quantificational language like \mathcal{L}_q . The sentential fragment of AD includes three forms for logical axioms, and one rule.

- AS A1. $\mathcal{P} \rightarrow (\mathcal{Q} \rightarrow \mathcal{P})$
 A2. $(\mathcal{Q} \rightarrow (\mathcal{P} \rightarrow \mathcal{Q})) \rightarrow ((\mathcal{Q} \rightarrow \mathcal{P}) \rightarrow (\mathcal{Q} \rightarrow \mathcal{Q}))$
 A3. $(\sim \mathcal{Q} \rightarrow \sim \mathcal{P}) \rightarrow ((\sim \mathcal{Q} \rightarrow \mathcal{P}) \rightarrow \mathcal{Q})$
 MP \mathcal{Q} follows from $\mathcal{P} \rightarrow \mathcal{Q}$ and \mathcal{P}

We have already encountered MP. To take some cases to appear immediately below, the following are both of the sort A1.

$$\mathcal{A} \rightarrow (\mathcal{A} \rightarrow \mathcal{A}) \quad (\mathcal{B} \rightarrow \mathcal{C}) \rightarrow [\mathcal{A} \rightarrow (\mathcal{B} \rightarrow \mathcal{C})]$$

Observe that \mathcal{P} and \mathcal{Q} need not be different! You should be clear about these cases. Although MP is the only rule, we allow free movement between an expression and its abbreviated forms, with justification, “abv.” That is it! As above, $\Gamma \vdash_{ADs} \mathcal{P}$ just in case \mathcal{P} is a consequence of Γ in AD . $\Gamma \vdash_{ADs} \mathcal{P}$ just in case there is a derivation of \mathcal{P} from premises in Γ .

The following is a series of derivations where, as we shall see, each may depend on ones from before. At first, do not worry so much about strategy, as about the mechanics of the system.

T3.1. $\vdash_{ADs} \mathcal{A} \rightarrow \mathcal{A}$

- | | |
|--|--------|
| 1. $\mathcal{A} \rightarrow ([\mathcal{A} \rightarrow \mathcal{A}] \rightarrow \mathcal{A})$ | A1 |
| 2. $(\mathcal{A} \rightarrow ([\mathcal{A} \rightarrow \mathcal{A}] \rightarrow \mathcal{A})) \rightarrow ((\mathcal{A} \rightarrow [\mathcal{A} \rightarrow \mathcal{A}]) \rightarrow (\mathcal{A} \rightarrow \mathcal{A}))$ | A2 |
| 3. $(\mathcal{A} \rightarrow [\mathcal{A} \rightarrow \mathcal{A}]) \rightarrow (\mathcal{A} \rightarrow \mathcal{A})$ | 2,1 MP |
| 4. $\mathcal{A} \rightarrow [\mathcal{A} \rightarrow \mathcal{A}]$ | A1 |
| 5. $\mathcal{A} \rightarrow \mathcal{A}$ | 3,4 MP |

Line (1) is an axiom of the form A1 with $\mathcal{A} \rightarrow \mathcal{A}$ for \mathcal{Q} . Line (2) is an axiom of the form A2 with \mathcal{A} for \mathcal{O} , $\mathcal{A} \rightarrow \mathcal{A}$ for \mathcal{P} , and \mathcal{A} for \mathcal{Q} . Notice again that \mathcal{O} and \mathcal{Q} may be any formulas, so nothing prevents them from being the same. Similarly, line (4) is an axiom of form A1 with \mathcal{A} in place of both \mathcal{P} and \mathcal{Q} . The applications of MP should be straightforward.

T3.2. $\mathcal{A} \rightarrow \mathcal{B}, \mathcal{B} \rightarrow \mathcal{C} \vdash_{ADs} \mathcal{A} \rightarrow \mathcal{C}$

1. $\mathcal{B} \rightarrow \mathcal{C}$	prem
2. $(\mathcal{B} \rightarrow \mathcal{C}) \rightarrow [\mathcal{A} \rightarrow (\mathcal{B} \rightarrow \mathcal{C})]$	A1
3. $\mathcal{A} \rightarrow (\mathcal{B} \rightarrow \mathcal{C})$	2,1 MP
4. $[\mathcal{A} \rightarrow (\mathcal{B} \rightarrow \mathcal{C})] \rightarrow [(\mathcal{A} \rightarrow \mathcal{B}) \rightarrow (\mathcal{A} \rightarrow \mathcal{C})]$	A2
5. $(\mathcal{A} \rightarrow \mathcal{B}) \rightarrow (\mathcal{A} \rightarrow \mathcal{C})$	4,3 MP
6. $\mathcal{A} \rightarrow \mathcal{B}$	prem
7. $\mathcal{A} \rightarrow \mathcal{C}$	5,6 MP

Line (4) is an instance of A2 which gives us our goal with two applications of MP — that is, from (4), $\mathcal{A} \rightarrow \mathcal{C}$ follows by MP if we have $\mathcal{A} \rightarrow (\mathcal{B} \rightarrow \mathcal{C})$ and $\mathcal{A} \rightarrow \mathcal{B}$. But the second of these is a premise, so the only real challenge is getting $\mathcal{A} \rightarrow (\mathcal{B} \rightarrow \mathcal{C})$. But since $\mathcal{B} \rightarrow \mathcal{C}$ is a premise, we can use A1 to get *anything* arrow it — and that is just what we do by the first three lines.

T3.3. $\mathcal{A} \rightarrow (\mathcal{B} \rightarrow \mathcal{C}) \vdash_{ADs} \mathcal{B} \rightarrow (\mathcal{A} \rightarrow \mathcal{C})$

1. $\mathcal{B} \rightarrow (\mathcal{A} \rightarrow \mathcal{B})$	A1
2. $\mathcal{A} \rightarrow (\mathcal{B} \rightarrow \mathcal{C})$	prem
3. $[\mathcal{A} \rightarrow (\mathcal{B} \rightarrow \mathcal{C})] \rightarrow [(\mathcal{A} \rightarrow \mathcal{B}) \rightarrow (\mathcal{A} \rightarrow \mathcal{C})]$	A2
4. $(\mathcal{A} \rightarrow \mathcal{B}) \rightarrow (\mathcal{A} \rightarrow \mathcal{C})$	3,2 MP
5. $\mathcal{B} \rightarrow (\mathcal{A} \rightarrow \mathcal{C})$	1,4 T3.2

In this case, the first four steps are very much like ones you have seen before. But the last is not. We have $\mathcal{B} \rightarrow (\mathcal{A} \rightarrow \mathcal{B})$ on line (1), and $(\mathcal{A} \rightarrow \mathcal{B}) \rightarrow (\mathcal{A} \rightarrow \mathcal{C})$ on line (4). These are of the form to be inputs to T3.2 — with \mathcal{B} for \mathcal{A} , $\mathcal{A} \rightarrow \mathcal{B}$ for \mathcal{B} , and $\mathcal{A} \rightarrow \mathcal{C}$ for \mathcal{C} . T3.2 is a sort of transitivity or “chain” principle which lets us move from a first form to a last through some middle term. In this case, $\mathcal{A} \rightarrow \mathcal{B}$ is the middle term. So at line (5), we simply observe that lines (1) and (4), together with the reasoning from T3.2, give us the desired result.

What we have not produced is an official derivation, where each step is a premise, an axiom, or follows from previous lines by a rule. But we have produced an abbreviation of one. And nothing prevents us from unabbreviating by including the routine from T3.2 to produce a derivation in the official form. To see this, first, observe

that the derivation for T3.2 has its premises at lines (1) and (6), where lines with the corresponding forms in the derivation for T3.3 appear at (4) and (1). However, it is a simple matter to reorder the derivation for T3.2 so that it takes its premises from those same lines. Thus here is another demonstration for T3.2.

	1. $\mathcal{A} \rightarrow \mathcal{B}$	prem
	\vdots	
	4. $\mathcal{B} \rightarrow \mathcal{C}$	prem
(C)	5. $(\mathcal{B} \rightarrow \mathcal{C}) \rightarrow [\mathcal{A} \rightarrow (\mathcal{B} \rightarrow \mathcal{C})]$	A1
	6. $\mathcal{A} \rightarrow (\mathcal{B} \rightarrow \mathcal{C})$	5,4 MP
	7. $[\mathcal{A} \rightarrow (\mathcal{B} \rightarrow \mathcal{C})] \rightarrow [(\mathcal{A} \rightarrow \mathcal{B}) \rightarrow (\mathcal{A} \rightarrow \mathcal{C})]$	A2
	8. $(\mathcal{A} \rightarrow \mathcal{B}) \rightarrow (\mathcal{A} \rightarrow \mathcal{C})$	7,6 MP
	9. $\mathcal{A} \rightarrow \mathcal{C}$	8,1 MP

Compared to the original derivation for T3.2, all that is different is the order of a few lines, and corresponding line numbers. The *reason* for reordering the lines is for a merge of this derivation with the one for T3.3.

But now, although we are after expressions of the *form* $\mathcal{A} \rightarrow \mathcal{B}$ and $\mathcal{B} \rightarrow \mathcal{C}$, the actual expressions we want for T3.3 are $\mathcal{B} \rightarrow (\mathcal{A} \rightarrow \mathcal{B})$ and $(\mathcal{A} \rightarrow \mathcal{B}) \rightarrow (\mathcal{A} \rightarrow \mathcal{C})$. But we can convert derivation (C) to one with those very forms by uniform substitution of \mathcal{B} for every \mathcal{A} ; $(\mathcal{A} \rightarrow \mathcal{B})$ for every \mathcal{B} ; and $(\mathcal{A} \rightarrow \mathcal{C})$ for every \mathcal{C} — that is, we apply our original map to the entire derivation (C). The result is as follows.

	1. $\mathcal{B} \rightarrow (\mathcal{A} \rightarrow \mathcal{B})$	prem
	\vdots	
	4. $(\mathcal{A} \rightarrow \mathcal{B}) \rightarrow (\mathcal{A} \rightarrow \mathcal{C})$	prem
(D)	5. $((\mathcal{A} \rightarrow \mathcal{B}) \rightarrow (\mathcal{A} \rightarrow \mathcal{C})) \rightarrow [\mathcal{B} \rightarrow ((\mathcal{A} \rightarrow \mathcal{B}) \rightarrow (\mathcal{A} \rightarrow \mathcal{C}))]$	A1
	6. $\mathcal{B} \rightarrow ((\mathcal{A} \rightarrow \mathcal{B}) \rightarrow (\mathcal{A} \rightarrow \mathcal{C}))$	5,4 MP
	7. $[\mathcal{B} \rightarrow ((\mathcal{A} \rightarrow \mathcal{B}) \rightarrow (\mathcal{A} \rightarrow \mathcal{C}))] \rightarrow [(\mathcal{B} \rightarrow (\mathcal{A} \rightarrow \mathcal{B})) \rightarrow (\mathcal{B} \rightarrow (\mathcal{A} \rightarrow \mathcal{C}))]$	A2
	8. $(\mathcal{B} \rightarrow (\mathcal{A} \rightarrow \mathcal{B})) \rightarrow (\mathcal{B} \rightarrow (\mathcal{A} \rightarrow \mathcal{C}))$	7,6 MP
	9. $\mathcal{B} \rightarrow (\mathcal{A} \rightarrow \mathcal{C})$	8,1 MP

You should trace the parallel between derivations (C) and (D) all the way through. And you should verify that (D) is a derivation on its own. This is an application of the point that our derivation for T3.2 applies to any premises and conclusions of that form. The result is a direct demonstration that $\mathcal{B} \rightarrow (\mathcal{A} \rightarrow \mathcal{B}), (\mathcal{A} \rightarrow \mathcal{B}) \rightarrow (\mathcal{A} \rightarrow \mathcal{C}) \vdash_{ADs} \mathcal{B} \rightarrow (\mathcal{A} \rightarrow \mathcal{C})$.

And now it is a simple matter to merge the lines from (D) into the derivation for T3.3 to produce a complete demonstration that $\mathcal{A} \rightarrow (\mathcal{B} \rightarrow \mathcal{C}) \vdash_{ADs} \mathcal{B} \rightarrow (\mathcal{A} \rightarrow \mathcal{C})$.

1.	$\mathcal{B} \rightarrow (\mathcal{A} \rightarrow \mathcal{B})$	A1
2.	$\mathcal{A} \rightarrow (\mathcal{B} \rightarrow \mathcal{C})$	prem
3.	$[\mathcal{A} \rightarrow (\mathcal{B} \rightarrow \mathcal{C})] \rightarrow [(\mathcal{A} \rightarrow \mathcal{B}) \rightarrow (\mathcal{A} \rightarrow \mathcal{C})]$	A2
4.	$(\mathcal{A} \rightarrow \mathcal{B}) \rightarrow (\mathcal{A} \rightarrow \mathcal{C})$	3,2 MP
(E) 5.	$((\mathcal{A} \rightarrow \mathcal{B}) \rightarrow (\mathcal{A} \rightarrow \mathcal{C})) \rightarrow [\mathcal{B} \rightarrow ((\mathcal{A} \rightarrow \mathcal{B}) \rightarrow (\mathcal{A} \rightarrow \mathcal{C}))]$	A1
6.	$\mathcal{B} \rightarrow ((\mathcal{A} \rightarrow \mathcal{B}) \rightarrow (\mathcal{A} \rightarrow \mathcal{C}))$	5,4 MP
7.	$[\mathcal{B} \rightarrow ((\mathcal{A} \rightarrow \mathcal{B}) \rightarrow (\mathcal{A} \rightarrow \mathcal{C}))] \rightarrow [(\mathcal{B} \rightarrow (\mathcal{A} \rightarrow \mathcal{B})) \rightarrow (\mathcal{B} \rightarrow (\mathcal{A} \rightarrow \mathcal{C}))]$	A2
8.	$(\mathcal{B} \rightarrow (\mathcal{A} \rightarrow \mathcal{B})) \rightarrow (\mathcal{B} \rightarrow (\mathcal{A} \rightarrow \mathcal{C}))$	7,6 MP
9.	$\mathcal{B} \rightarrow (\mathcal{A} \rightarrow \mathcal{C})$	8,1 MP

Lines (1) - (4) are the same as from the derivation for T3.3, and include what are the premises to (D). Lines (5) - (9) are the same as from (D). The result is a demonstration for T3.3 in which every line is a premise, an axiom, or follows from previous lines by MP. Again, you should follow each step. It is hard to believe that we could *think up* this last derivation — particularly at this early stage of our career. However, if we can produce the simpler derivation, we can be sure that this more complex one exists. Thus we can be sure that the final result is a consequence of the premise in AD. That is the point of our direct appeal to T3.2 in the original derivation of T3.3. And similarly in cases that follow. In general, we are always free to appeal to prior results in any derivation — so that our toolbox gets bigger at every stage.

T3.4. $\vdash_{ADs} (\mathcal{B} \rightarrow \mathcal{C}) \rightarrow [(\mathcal{A} \rightarrow \mathcal{B}) \rightarrow (\mathcal{A} \rightarrow \mathcal{C})]$

1.	$(\mathcal{B} \rightarrow \mathcal{C}) \rightarrow [\mathcal{A} \rightarrow (\mathcal{B} \rightarrow \mathcal{C})]$	A1
2.	$[\mathcal{A} \rightarrow (\mathcal{B} \rightarrow \mathcal{C})] \rightarrow [(\mathcal{A} \rightarrow \mathcal{B}) \rightarrow (\mathcal{A} \rightarrow \mathcal{C})]$	A2
3.	$(\mathcal{B} \rightarrow \mathcal{C}) \rightarrow [(\mathcal{A} \rightarrow \mathcal{B}) \rightarrow (\mathcal{A} \rightarrow \mathcal{C})]$	1,2 T3.2

Again, we have an application of T3.2. In this case, the middle term (the \mathcal{B}) from T3.2 maps to $\mathcal{A} \rightarrow (\mathcal{B} \rightarrow \mathcal{C})$. Once we see that the consequent of what we want is like the consequent of A2, we should be “inspired” by T3.2 to go for (1) as a link between the antecedent of what we want, and antecedent of A2. As it turns out, this is easy to get as an instance of A1. It is helpful to say to yourself in words, what the various axioms and theorems do. Thus, given some \mathcal{P} , A1 yields *anything* arrow it. And T3.2 is a simple transitivity principle.

T3.5. $\vdash_{ADs} (\mathcal{A} \rightarrow \mathcal{B}) \rightarrow [(\mathcal{B} \rightarrow \mathcal{C}) \rightarrow (\mathcal{A} \rightarrow \mathcal{C})]$

1.	$(\mathcal{B} \rightarrow \mathcal{C}) \rightarrow [(\mathcal{A} \rightarrow \mathcal{B}) \rightarrow (\mathcal{A} \rightarrow \mathcal{C})]$	T3.4
2.	$(\mathcal{A} \rightarrow \mathcal{B}) \rightarrow [(\mathcal{B} \rightarrow \mathcal{C}) \rightarrow (\mathcal{A} \rightarrow \mathcal{C})]$	1 T3.3

T3.5 is like T3.4 except that $\mathcal{A} \rightarrow \mathcal{B}$ and $\mathcal{B} \rightarrow \mathcal{C}$ switch places. But T3.3 precisely switches terms in those places — with $\mathcal{B} \rightarrow \mathcal{C}$ for \mathcal{A} , $\mathcal{A} \rightarrow \mathcal{B}$ for \mathcal{B} , and $\mathcal{A} \rightarrow \mathcal{C}$

for \mathcal{C} . Again, often what is difficult about these derivations is “seeing” what you can do. Thus it is good to say to yourself in words what the different principles give you. Once you realize what T3.3 does, it is obvious that you have T3.5 immediately from T3.4.

T3.6. $\mathcal{B}, \mathcal{A} \rightarrow (\mathcal{B} \rightarrow \mathcal{C}) \vdash_{ADs} \mathcal{A} \rightarrow \mathcal{C}$

Hint: You can get this in the basic system using just A1 and A2. But you can get it in just four lines if you use T3.3.

T3.7. $\vdash_{ADs} (\sim \mathcal{A} \rightarrow \mathcal{A}) \rightarrow \mathcal{A}$

Hint: This follows in just three lines from A3, with an instance of T3.1.

T3.8. $\vdash_{ADs} (\sim \mathcal{B} \rightarrow \sim \mathcal{A}) \rightarrow (\mathcal{A} \rightarrow \mathcal{B})$

- | | |
|--|----------|
| 1. $(\sim \mathcal{B} \rightarrow \sim \mathcal{A}) \rightarrow [(\sim \mathcal{B} \rightarrow \mathcal{A}) \rightarrow \mathcal{B}]$ | A3 |
| 2. $[(\sim \mathcal{B} \rightarrow \mathcal{A}) \rightarrow \mathcal{B}] \rightarrow [(\mathcal{A} \rightarrow (\sim \mathcal{B} \rightarrow \mathcal{A})) \rightarrow (\mathcal{A} \rightarrow \mathcal{B})]$ | T3.4 |
| 3. $\mathcal{A} \rightarrow (\sim \mathcal{B} \rightarrow \mathcal{A})$ | A1 |
| 4. $[(\sim \mathcal{B} \rightarrow \mathcal{A}) \rightarrow \mathcal{B}] \rightarrow (\mathcal{A} \rightarrow \mathcal{B})$ | 2,3 T3.6 |
| 5. $(\sim \mathcal{B} \rightarrow \sim \mathcal{A}) \rightarrow (\mathcal{A} \rightarrow \mathcal{B})$ | 1,4 T3.2 |

The idea behind this derivation is that the antecedent of A3 is the antecedent of our goal. So we can get the goal by T3.2 with the instance of A3 on (1) and (4). That is, given $(\sim \mathcal{B} \rightarrow \sim \mathcal{A}) \rightarrow \mathcal{X}$, what we need to get the goal by an application of T3.2 is $\mathcal{X} \rightarrow (\mathcal{A} \rightarrow \mathcal{B})$. But that is just what (4) is. The challenge is to get (4). Our strategy uses T3.4, and then T3.6 with A1 to “delete” the middle term. This derivation is not particularly easy to see. Here is another approach, which is not all that easy either.

- | | |
|---|----------|
| 1. $(\sim \mathcal{B} \rightarrow \sim \mathcal{A}) \rightarrow [(\sim \mathcal{B} \rightarrow \mathcal{A}) \rightarrow \mathcal{B}]$ | A3 |
| 2. $(\sim \mathcal{B} \rightarrow \mathcal{A}) \rightarrow [(\sim \mathcal{B} \rightarrow \sim \mathcal{A}) \rightarrow \mathcal{B}]$ | 1 T3.3 |
| (F) 3. $\mathcal{A} \rightarrow (\sim \mathcal{B} \rightarrow \mathcal{A})$ | A1 |
| 4. $\mathcal{A} \rightarrow [(\sim \mathcal{B} \rightarrow \sim \mathcal{A}) \rightarrow \mathcal{B}]$ | 3,2 T3.2 |
| 5. $(\sim \mathcal{B} \rightarrow \sim \mathcal{A}) \rightarrow (\mathcal{A} \rightarrow \mathcal{B})$ | 4 T3.3 |

This derivation also begins with A3. The idea this time is to use T3.3 to “swing” $\sim \mathcal{B} \rightarrow \mathcal{A}$ out, “replace” it by \mathcal{A} with T3.2 and A1, and then use T3.3 to “swing” \mathcal{A} back in.

T3.9. $\vdash_{ADs} \sim \mathcal{A} \rightarrow (\mathcal{A} \rightarrow \mathcal{B})$

Hint: You can do this in three lines with T3.8 and an instance of A1.

T3.10. $\vdash_{ADs} \sim\sim\mathcal{A} \rightarrow \mathcal{A}$

Hint: You can do this in three lines with instances of T3.7 and T3.9.

T3.11. $\vdash_{ADs} \mathcal{A} \rightarrow \sim\sim\mathcal{A}$

Hint: You can do this in three lines with instances of T3.8 and T3.10.

*T3.12. $\vdash_{ADs} (\mathcal{A} \rightarrow \mathcal{B}) \rightarrow (\sim\sim\mathcal{A} \rightarrow \sim\sim\mathcal{B})$

Hint: Use T3.5 and T3.10 to get $(\mathcal{A} \rightarrow \mathcal{B}) \rightarrow (\sim\sim\mathcal{A} \rightarrow \mathcal{B})$; then use T3.4, and T3.11 to get $(\sim\sim\mathcal{A} \rightarrow \mathcal{B}) \rightarrow (\sim\sim\mathcal{A} \rightarrow \sim\sim\mathcal{B})$; the result follows easily by T3.2.

T3.13. $\vdash_{ADs} (\mathcal{A} \rightarrow \mathcal{B}) \rightarrow (\sim\mathcal{B} \rightarrow \sim\mathcal{A})$

Hint: You can do this in three lines with instances of T3.8 and T3.12.

T3.14. $\vdash_{ADs} (\sim\mathcal{A} \rightarrow \mathcal{B}) \rightarrow (\sim\mathcal{B} \rightarrow \mathcal{A})$

Hint: Use T3.4 and T3.10 to get $(\sim\mathcal{B} \rightarrow \sim\sim\mathcal{A}) \rightarrow (\sim\mathcal{B} \rightarrow \mathcal{A})$; the result follows easily with an instance of T3.13.

T3.15. $\vdash_{ADs} (\mathcal{A} \rightarrow \mathcal{B}) \rightarrow [(\sim\mathcal{A} \rightarrow \mathcal{B}) \rightarrow \mathcal{B}]$

Hint: Use T3.13 and A3 to get $(\mathcal{A} \rightarrow \mathcal{B}) \rightarrow [(\sim\mathcal{B} \rightarrow \mathcal{A}) \rightarrow \mathcal{B}]$; then use T3.5 and T3.14 to get $[(\sim\mathcal{B} \rightarrow \mathcal{A}) \rightarrow \mathcal{B}] \rightarrow [(\sim\mathcal{A} \rightarrow \mathcal{B}) \rightarrow \mathcal{B}]$; the result follows easily by T3.2.

*T3.16. $\vdash_{ADs} \mathcal{A} \rightarrow [\sim\mathcal{B} \rightarrow \sim(\mathcal{A} \rightarrow \mathcal{B})]$

Hint: Use instances of T3.1 and T3.3 to get $\mathcal{A} \rightarrow [(\mathcal{A} \rightarrow \mathcal{B}) \rightarrow \mathcal{B}]$; then use T3.13 to “turn around” the consequent. This idea of deriving conditionals in “reversed” form, and then using T3.13 or T3.14 to turn them around, is frequently useful for getting tilde outside of a complex expression.

T3.17. $\vdash_{ADs} \mathcal{A} \rightarrow (\mathcal{A} \vee \mathcal{B})$

- | | |
|--|--------|
| 1. $\sim\mathcal{A} \rightarrow (\mathcal{A} \rightarrow \mathcal{B})$ | T3.9 |
| 2. $\mathcal{A} \rightarrow (\sim\mathcal{A} \rightarrow \mathcal{B})$ | 1 T3.3 |
| 3. $\mathcal{A} \rightarrow (\mathcal{A} \vee \mathcal{B})$ | 2 abv |

We set as our goal the unabbreviated form. We have this at (2). Then, in the last line, simply observe that the goal abbreviates what has already been shown.

$$\text{T3.18. } \vdash_{ADs} \mathcal{A} \rightarrow (\mathcal{B} \vee \mathcal{A})$$

Hint: Go for $\mathcal{A} \rightarrow (\sim \mathcal{B} \rightarrow \mathcal{A})$. Then, as above, you can get the desired result in one step by abv.

$$\text{T3.19. } \vdash_{ADs} (\mathcal{A} \wedge \mathcal{B}) \rightarrow \mathcal{B}$$

$$\text{T3.20. } \vdash_{ADs} (\mathcal{A} \wedge \mathcal{B}) \rightarrow \mathcal{A}$$

$$\text{*T3.21. } \mathcal{A} \rightarrow (\mathcal{B} \rightarrow \mathcal{C}) \vdash_{ADs} (\mathcal{A} \wedge \mathcal{B}) \rightarrow \mathcal{C}$$

$$\text{T3.22. } (\mathcal{A} \wedge \mathcal{B}) \rightarrow \mathcal{C} \vdash_{ADs} \mathcal{A} \rightarrow (\mathcal{B} \rightarrow \mathcal{C})$$

$$\text{T3.23. } \mathcal{A}, \mathcal{A} \leftrightarrow \mathcal{B} \vdash_{ADs} \mathcal{B}$$

Hint: $\mathcal{A} \leftrightarrow \mathcal{B}$ abbreviates the same thing as $(\mathcal{A} \rightarrow \mathcal{B}) \wedge (\mathcal{B} \rightarrow \mathcal{A})$; you may thus move to this expression from $\mathcal{A} \leftrightarrow \mathcal{B}$ by abv.

$$\text{T3.24. } \mathcal{B}, \mathcal{A} \leftrightarrow \mathcal{B} \vdash_{ADs} \mathcal{A}$$

$$\text{T3.25. } \sim \mathcal{A}, \mathcal{A} \leftrightarrow \mathcal{B} \vdash_{ADs} \sim \mathcal{B}$$

$$\text{T3.26. } \sim \mathcal{B}, \mathcal{A} \leftrightarrow \mathcal{B} \vdash_{ADs} \sim \mathcal{A}$$

***E3.2.** Provide derivations for T3.6, T3.7, T3.9, T3.10, T3.11, T3.12, T3.13, T3.14, T3.15, T3.16, T3.18, T3.19, T3.20, T3.21, T3.22, T3.23, T3.24, T3.25, and T3.26. As you are working these problems, you may find it helpful to refer to the *AD* summary on p. 89.

E3.3. For each of the following, expand derivations to include all the steps from theorems. The result should be a derivation in which each step is either a premise, an axiom, or follows from previous lines by a rule. Hint: it may be helpful to proceed in stages as for (C), (D) and then (E) above.

a. Expand your derivation for T3.7.

*b. Expand the above derivation for T3.4.

E3.4. Consider an axiomatic system A2 which takes \wedge and \sim as primitive operators, and treats $\mathcal{P} \rightarrow \mathcal{Q}$ as an abbreviation for $\sim(\mathcal{P} \wedge \sim \mathcal{Q})$. The axiom schemes are,

- A2 A1. $\mathcal{P} \rightarrow (\mathcal{P} \wedge \mathcal{P})$
 A2. $(\mathcal{P} \wedge \mathcal{Q}) \rightarrow \mathcal{P}$
 A3. $(\mathcal{O} \rightarrow \mathcal{P}) \rightarrow [\sim(\mathcal{P} \wedge \mathcal{Q}) \rightarrow \sim(\mathcal{Q} \wedge \mathcal{O})]$

MP is the only rule. Provide derivations for each of the following, where derivations may appeal to any *prior* result (no matter what *you* have done).

- *a. $\mathcal{A} \rightarrow \mathcal{B}, \mathcal{B} \rightarrow \mathcal{C} \vdash_{A2} \sim(\sim \mathcal{C} \wedge \mathcal{A})$ b. $\vdash_{A2} \sim(\sim \mathcal{A} \wedge \mathcal{A})$
 c. $\vdash_{A2} \sim \sim \mathcal{A} \rightarrow \mathcal{A}$ *d. $\vdash_{A2} \sim(\mathcal{A} \wedge \mathcal{B}) \rightarrow (\mathcal{B} \rightarrow \sim \mathcal{A})$
 e. $\vdash_{A2} \mathcal{A} \rightarrow \sim \sim \mathcal{A}$ f. $\vdash_{A2} (\mathcal{A} \rightarrow \mathcal{B}) \rightarrow (\sim \mathcal{B} \rightarrow \sim \mathcal{A})$
 *g. $\sim \mathcal{A} \rightarrow \sim \mathcal{B} \vdash_{A2} \mathcal{B} \rightarrow \mathcal{A}$ h. $\mathcal{A} \rightarrow \mathcal{B} \vdash_{A2} (\mathcal{C} \wedge \mathcal{A}) \rightarrow (\mathcal{B} \wedge \mathcal{C})$
 *i. $\mathcal{A} \rightarrow \mathcal{B}, \mathcal{B} \rightarrow \mathcal{C}, \mathcal{C} \rightarrow \mathcal{D} \vdash_{A2} \mathcal{A} \rightarrow \mathcal{D}$ j. $\vdash_{A2} \mathcal{A} \rightarrow \mathcal{A}$
 k. $\vdash_{A2} (\mathcal{A} \wedge \mathcal{B}) \rightarrow (\mathcal{B} \wedge \mathcal{A})$ l. $\mathcal{A} \rightarrow \mathcal{B}, \mathcal{B} \rightarrow \mathcal{C} \vdash_{A2} \mathcal{A} \rightarrow \mathcal{C}$
 m. $\sim \mathcal{B} \rightarrow \mathcal{B} \vdash_{A2} \mathcal{B}$ n. $\mathcal{B} \rightarrow \sim \mathcal{B} \vdash_{A2} \sim \mathcal{B}$
 o. $\vdash_{A2} (\mathcal{A} \wedge \mathcal{B}) \rightarrow \mathcal{B}$ p. $\mathcal{A} \rightarrow \mathcal{B}, \mathcal{C} \rightarrow \mathcal{D} \vdash_{A2} (\mathcal{A} \wedge \mathcal{C}) \rightarrow (\mathcal{B} \wedge \mathcal{D})$
 q. $\mathcal{B} \rightarrow \mathcal{C} \vdash_{A2} (\mathcal{A} \wedge \mathcal{B}) \rightarrow (\mathcal{A} \wedge \mathcal{C})$ r. $\mathcal{A} \rightarrow \mathcal{B}, \mathcal{A} \rightarrow \mathcal{C} \vdash_{A2} \mathcal{A} \rightarrow (\mathcal{B} \wedge \mathcal{C})$
 s. $\vdash_{A2} [(\mathcal{A} \wedge \mathcal{B}) \wedge \mathcal{C}] \rightarrow [\mathcal{A} \wedge (\mathcal{B} \wedge \mathcal{C})]$ t. $\vdash_{A2} [\mathcal{A} \wedge (\mathcal{B} \wedge \mathcal{C})] \rightarrow [(\mathcal{A} \wedge \mathcal{B}) \wedge \mathcal{C}]$
 *u. $\vdash_{A2} [\mathcal{A} \rightarrow (\mathcal{B} \rightarrow \mathcal{C})] \rightarrow [(\mathcal{A} \wedge \mathcal{B}) \rightarrow \mathcal{C}]$ v. $\vdash_{A2} [(\mathcal{A} \wedge \mathcal{B}) \rightarrow \mathcal{C}] \rightarrow [\mathcal{A} \rightarrow (\mathcal{B} \rightarrow \mathcal{C})]$
 *w. $\mathcal{A} \rightarrow \mathcal{B}, \mathcal{A} \rightarrow (\mathcal{B} \rightarrow \mathcal{C}) \vdash_{A2} \mathcal{A} \rightarrow \mathcal{C}$ x. $\vdash_{A2} \mathcal{A} \rightarrow [\mathcal{B} \rightarrow (\mathcal{A} \wedge \mathcal{B})]$
 y. $\vdash_{A2} \mathcal{A} \rightarrow (\mathcal{B} \rightarrow \mathcal{A})$

Hints: (i): Apply (a) to the first two premises and (f) to the third; then recognize that you have the makings for an application of A3. (j): Apply A1, two

instances of (h), and an instance of (i) to get $\mathcal{A} \rightarrow ((\mathcal{A} \wedge \mathcal{A}) \wedge (\mathcal{A} \wedge \mathcal{A}))$; the result follows easily with A2 and (i). (m): $\sim \mathcal{B} \rightarrow \mathcal{B}$ is equivalent to $\sim(\sim \mathcal{B} \wedge \sim \mathcal{B})$; and $\sim \mathcal{B} \rightarrow (\sim \mathcal{B} \wedge \sim \mathcal{B})$ is immediate from A2; you can turn this around by (f) to get $\sim(\sim \mathcal{B} \wedge \sim \mathcal{B}) \rightarrow \sim \sim \mathcal{B}$; then it is easy. (u): Use abv so that you are going for $\sim[\mathcal{A} \wedge \sim \sim(\mathcal{B} \wedge \sim \mathcal{C})] \rightarrow \sim[(\mathcal{A} \wedge \mathcal{B}) \wedge \sim \mathcal{C}]$; plan on getting to this by (f); the proof then reduces to working from $((\mathcal{A} \wedge \mathcal{B}) \wedge \sim \mathcal{C})$. (v): Structure your proof very much as with (u). (w): Use (u) to set up a “chain” to which you can apply transitivity.

3.3 Quantificational

We begin this section by introducing one new rule, and some axioms for quantifier forms. There will be an two axioms and one rule for manipulating quantifiers, and three axioms for features of equality. After introducing the axioms and rule, we use them with application to some theorems of *Peano Arithmetic*.

3.3.1 Quantifiers

Excluding equality, to work with quantifier forms, we add just two axiom forms and one rule. To state the axioms, we need a couple of definitions. First, for any formula \mathcal{A} , variable x , and term t , say \mathcal{A}_t^x is \mathcal{A} with all the free instances of x replaced by t . And say t is *free for x in \mathcal{A}* iff all the variables in the replacing instances of t remain free after substitution in \mathcal{A}_t^x . Thus, for example, where \mathcal{A} is $(\forall x Rxy \vee Px)$,

$$(G) \quad (\forall x Rxy \vee Px)_y^x \text{ is } \forall x Rxy \vee Py$$

There are three instances of x in $\forall x Rxy \vee Px$, but only the last is free; so y is substituted only for that instance. Since the substituted y is free in the resultant expression, y is free for x in $\forall x Rxy \vee Px$. Similarly,

$$(H) \quad [\forall x(x = y) \vee Ryx]_{f^1x}^y \text{ is } \forall x(x = f^1x) \vee Rf^1xx$$

Both instances of y in $\forall x(x = y) \vee Ryx$ are free; so our substitution replaces both. But the x in the first instance of f^1x is bound upon substitution; so f^1x is not free for y in $\forall x(x = y) \vee Ryx$. Notice that if x is not free in \mathcal{A} , then replacing every free instance of x in \mathcal{A} with some term results in no change. So if x is not free in \mathcal{A} , then \mathcal{A}_t^x is \mathcal{A} . Similarly, \mathcal{A}_x^x is just \mathcal{A} itself. Further, any variable x is sure to be free for itself in a formula \mathcal{A} — if every *free* instance of variable x is “replaced” with x , then the replacing instances are sure to be free! And constants are sure to be free for a variable x in a formula \mathcal{A} . Since a constant c is a term without variables, no variable in the replacing term is bound upon substitution for free instances of x .

Now we are ready for our axioms and rule. For the quantificational version of axiomatic derivation system *AD*, in addition to A1, A2, A3 and MP from [AS](#), we add axioms A4 and A5, and a rule Gen (*Generalization*) for the universal quantifier.

- AU A4. $\forall x\mathcal{P} \rightarrow \mathcal{P}_t^x$ — where t is free for x in \mathcal{P}
 A5. $\forall x(\mathcal{P} \rightarrow \mathcal{Q}) \rightarrow (\mathcal{P} \rightarrow \forall x\mathcal{P})$ — where x is not free in \mathcal{P}
 Gen. $\forall x\mathcal{P}$ follows from \mathcal{P}

A1, A2, A3 and MP remain from before. The axioms A4 and A5 and rule Gen are new. A4 is a conditional in which the antecedent is a quantified expression; the consequent drops the quantifier, and substitutes term t for each free instance of the quantified variable — subject to the constraint that the term t is free for the quantified variable in \mathcal{P} . Thus the first line below lists instances of A4 but the second does not.

- (I) $\forall xRx \rightarrow Rx \quad \forall xRx \rightarrow Ry \quad \forall xRx \rightarrow Ra \quad \forall xRx \rightarrow Rf^1z \quad \forall x\forall yRxy \rightarrow \forall yRzy$
 $\forall x\forall yRxy \rightarrow \forall yRyy \quad \forall x\forall y \rightarrow \forall yRf^1yy \quad X$

On the first line, the consequents drop the (main) quantifier and substitute a term that is free for x . On the second line, we drop the the quantifier and substitute as before; but the substituted terms *are not free*; so the constraint on A4 is violated, and those formulas do not qualify as instances of the axiom.

A5 also comes with a constraint. Instances of A5 have antecedent $\forall x(\mathcal{P} \rightarrow \mathcal{Q})$ and consequent $\mathcal{P} \rightarrow \forall x\mathcal{Q}$ *so long as x is not free in \mathcal{P}* . Thus first cases below are instances of A5, where the last is not.

- (J) $\forall x(Ry \rightarrow Sx) \rightarrow (Ry \rightarrow \forall xSx) \quad \forall x(Ra \rightarrow Sx) \rightarrow (Ra \rightarrow \forall xSx)$
 $\forall x(Rx \rightarrow Sx) \rightarrow (Rx \rightarrow \forall xSx) \quad X$

In the first cases, the variable x is not free in \mathcal{P} . In the last, however, x is free in \mathcal{P} so that it fails to be an instance of A5.

Gen is a new rule. Continue to move freely between an expression and its abbreviated forms with justification, abv. That is it!

Because the axioms and rule from before remain available, nothing blocks reasoning with sentential forms as before. Thus, for example, $\forall xRx \rightarrow \forall xRx$ and, more generally, $\forall x\mathcal{A} \rightarrow \forall x\mathcal{A}$ are of the form $\mathcal{A} \rightarrow \mathcal{A}$, and we might derive them by exactly the five steps for T3.1 above. Or, we might just write them down with justification, T3.1. Similarly any theorem from the sentential fragment of *AD* is a theorem of larger quantificational part. Here is a way to get $\forall xRx \rightarrow \forall xRx$ without either A1 or A2.

$$\vdash_{ADu} \forall x Rx \rightarrow \forall x Rx$$

- (K)
- | | |
|--|--------|
| 1. $\forall x Rx \rightarrow Rx$ | A4 |
| 2. $\forall x (\forall x Rx \rightarrow Rx)$ | 1 Gen |
| 3. $\forall x (\forall x Rx \rightarrow Rx) \rightarrow (\forall x Rx \rightarrow \forall x Rx)$ | A5 |
| 4. $\forall x Rx \rightarrow \forall x Rx$ | 3,2 MP |

The x is sure to be free for x in Rx . So (1) is an instance of A4. And the only instances of x are bound in $\forall x Rx$; so (3) satisfies the constraint on A5. The reasoning is similar in the more general case.

T3.27. $\vdash_{ADu} \forall x \mathcal{A} \rightarrow \forall y \mathcal{A}_y^x$ — where y is not free in $\forall x \mathcal{A}$ but free for x in \mathcal{A}

- | | |
|--|--------|
| 1. $\forall x \mathcal{A} \rightarrow \mathcal{A}_y^x$ | A4 |
| 2. $\forall y (\forall x \mathcal{A} \rightarrow \mathcal{A}_y^x)$ | 1 Gen |
| 3. $\forall y (\forall x \mathcal{A} \rightarrow \mathcal{A}_y^x) \rightarrow (\forall x \mathcal{A} \rightarrow \forall y \mathcal{A}_y^x)$ | A5 |
| 4. $\forall x \mathcal{A} \rightarrow \forall y \mathcal{A}_y^x$ | 3,2 MP |

The result of derivation (K) is an instance of this more general principle. The difference is that T3.27 makes room for variable exchange. Given the constraints, this derivation works for exactly the same reasons as before. If y is free for x in \mathcal{A} , then (1) is a straightforward instance of A4. And if y is not free in $\forall x \mathcal{A}$, the constraint on A5 is sure to be met. A simple instance of T3.27 in \mathcal{L}_q is $\vdash_{ADu} \forall x Rx \rightarrow \forall y Ry$. If you are confused about restrictions on the axioms, think about the derivation as applied to this case. While our quantified instances of T3.1 could have been derived by sentential rules, T3.27 cannot; $\forall x \mathcal{A} \rightarrow \forall x \mathcal{A}$ has sentential form $\mathcal{A} \rightarrow \mathcal{A}$; but when x is not the same as y , $\forall x \mathcal{A} \rightarrow \forall y \mathcal{A}_y^x$ has sentential form, $\mathcal{A} \rightarrow \mathcal{B}$.

T3.28. $\mathcal{A} \rightarrow \mathcal{B} \vdash_{ADu} \mathcal{A} \rightarrow \forall x \mathcal{B}$ — where x is not free in \mathcal{A}

- | | |
|--|--------|
| 1. $\mathcal{A} \rightarrow \mathcal{B}$ | P |
| 2. $\forall x (\mathcal{A} \rightarrow \mathcal{B})$ | 1 Gen |
| 3. $\forall x (\mathcal{A} \rightarrow \mathcal{B}) \rightarrow (\mathcal{A} \rightarrow \forall x \mathcal{B})$ | A5 |
| 4. $\mathcal{A} \rightarrow \forall x \mathcal{B}$ | 3,2 MP |

So long as the quantified variable is not free in the antecedent, you can think of this principle as applying Gen to the consequent of a conditional. The restriction is related to one in chapter 6 according to which $\forall I$ applies to variables not free in undischarged assumptions.

*T3.29. $\vdash_{ADu} \mathcal{A}_t^x \rightarrow \exists x \mathcal{A}$ — for any term t free for x in \mathcal{A}

Hint: As in sentential cases, show the unabbreviated form, $\mathcal{A}_t^x \rightarrow \sim \forall x \sim \mathcal{A}$ and get the final result by abv. You should find $\forall x \sim \mathcal{A} \rightarrow \sim \mathcal{A}_t^x$ to be a useful

instance of A4. Notice that $[\sim\mathcal{A}]_t^x$ is the same expression as $\sim[\mathcal{A}_t^x]$, as all the replacements must go on inside the \mathcal{A} .

T3.30. $\vdash_{ADu} \forall x(\mathcal{A} \rightarrow \mathcal{B}) \rightarrow (\exists x\mathcal{A} \rightarrow \mathcal{B})$ — where x is not free in \mathcal{B}

Hint: Go for an unabbreviated form, and then get the goal by abv. You will find it convenient to apply Gen and then A5 to $\forall x(\mathcal{A} \rightarrow \mathcal{B}) \rightarrow (\sim\mathcal{B} \rightarrow \sim\mathcal{A})$.

T3.31. $\mathcal{A} \rightarrow \mathcal{B} \vdash_{ADu} \exists x\mathcal{A} \rightarrow \mathcal{B}$ — where x is not free in \mathcal{B} .

This is a simple application of T3.30.

With these few examples we complete our presentation the fragment of *AD* for sentential operators and quantifiers. It remains to add axioms for equality.

*E3.5. Provide derivations for T3.29, T3.30 and T3.31, explaining in words for every step that has a restriction, how you know that that restriction is met.

E3.6. Provide derivations to show each of the following.

- *a. $\forall x(Hx \rightarrow Rx), \forall yHy \vdash_{ADu} \forall zRz$
- b. $\forall y(Fy \rightarrow Gy) \vdash_{ADu} \exists zFz \rightarrow \exists xGx$
- *c. $\vdash_{ADu} \exists x\forall yRxy \rightarrow \forall y\exists xRxy$
- d. $\forall y\forall x(Fx \rightarrow By) \vdash_{ADu} \forall y(\exists xFx \rightarrow By)$
- e. $\vdash_{ADu} \exists x(Fx \rightarrow \forall yGy) \rightarrow \exists x\forall y(Fx \rightarrow Gy)$

E3.7. Some systems have a rule like T3.28 with neither A5 nor Gen. Show that this is possible by providing derivations to show $P \vdash \forall xP$ and, where x is not free in P , $\vdash \forall x(P \rightarrow Q) \rightarrow (P \rightarrow \forall xQ)$ with T3.28 but without A5 or Gen. Hint: For the first, where \mathcal{A} is any theorem without free variables, you will be able to obtain $\mathcal{A} \rightarrow P$ and apply T3.28 to it. For the second consider uses of T3.21 and T3.22.

3.3.2 Equality

The full derivation system AD has the axioms and rule from AS , the axioms and rule from AU and three axioms governing equality. In this case, the axioms assert particularly simple, or basic, facts. For any variables $x_1 \dots x_n$ and y , n -place function symbol h^n and n -place relation symbol \mathcal{R}^n , the following forms are axioms.

AE A6. $(y = y)$

A7. $(x_i = y) \rightarrow (h^n x_1 \dots x_i \dots x_n = h^n x_1 \dots y \dots x_n)$

A8. $(x_i = y) \rightarrow (\mathcal{R}^n x_1 \dots x_i \dots x_n \rightarrow \mathcal{R}^n x_1 \dots y \dots x_n)$

From A6, $(x = x)$ and $(z = z)$ are axioms. Of course, these are abbreviations for $=xx$ and $=zz$. This should be straightforward. The others are complicated only by abstract presentation. For A7, $h^n x_1 \dots x_i \dots x_n$ differs from $h^n x_1 \dots y \dots x_n$ just in that variable x_i is replaced by variable y . x_i may be any of the variables in $x_1 \dots x_n$. Thus, for example,

(L) $(x = y) \rightarrow (f^1 x = f^1 y) \quad (x = y) \rightarrow (f^3 wxy = f^3 wy y)$

are simple examples of A7. In the one case, we have a “string” of one variables and replace the only member based on the equality. In the other case, the string is of three variables, and we replace the second. Similarly, $\mathcal{R}^n x_1 \dots x_i \dots x_n$ differs from $\mathcal{R}^n x_1 \dots y \dots x_n$ just in that variable x_i is replaced by y . x_i may be any of the variables in $x_1 \dots x_n$. Thus, for example,

(M) $(x = z) \rightarrow (A^1 x \rightarrow A^1 z) \quad (z = w) \rightarrow (A^2 xz \rightarrow A^2 xw)$

are simple examples of A8.

This completes the axioms and rules of our full derivation system AD . As examples, let us begin with some fundamental principles of equality. Suppose that r , s and t are arbitrary terms.

T3.32. $\vdash_{AD} (t = t) \quad \text{— reflexivity of equality}$

- | | |
|---|--------|
| 1. $y = y$ | A6 |
| 2. $\forall y(y = y)$ | 1 Gen |
| 3. $\forall y(y = y) \rightarrow (t = t)$ | A4 |
| 4. $t = t$ | 3,2 MP |

Since $y = y$ has no quantifiers, any term t is sure to be free for y in it. So (3) is sure to be an instance of A4. This theorem strengthens A6 insofar as the axiom applies only to variables, but the theorem has application to arbitrary terms. Thus $(z = z)$ is an instance of the axiom, but $(f^2xy = f^2xy)$ is an instance of the theorem as well. We *convert* variables to terms by Gen with A4 and MP. This pattern repeats in the following.

T3.33. $\vdash_{AD} (t = s) \rightarrow (s = t)$ — *symmetry of equality*

- | | |
|---|----------|
| 1. $(x = y) \rightarrow [(x = x) \rightarrow (y = x)]$ | A8 |
| 2. $(x = x)$ | A6 |
| 3. $(x = y) \rightarrow (y = x)$ | 1,2 T3.6 |
| 4. $\forall x[(x = y) \rightarrow (y = x)]$ | 3 Gen |
| 5. $\forall x[(x = y) \rightarrow (y = x)] \rightarrow [(t = y) \rightarrow (y = t)]$ | A4 |
| 6. $(t = y) \rightarrow (y = t)$ | 5,4 MP |
| 7. $\forall y[(t = y) \rightarrow (y = t)]$ | 6 Gen |
| 8. $\forall y[(t = y) \rightarrow (y = t)] \rightarrow [(t = s) \rightarrow (s = t)]$ | A4 |
| 9. $(t = s) \rightarrow (s = t)$ | 8,7 MP |

In (1), $x = x$ is (an abbreviation of an expression) of the form \mathcal{R}^2xx , and $y = x$ is of that same form with the first instance of x replaced by y . Thus (1) is an instance of A8. At line (3) we have symmetry expressed at the level of variables. Then the task is just to convert from variables to terms as before. Notice that, again, (5) and (8) are legitimate applications of A4 insofar as there are no quantifiers in the consequents.

T3.34. $\vdash_{AD} (r = s) \rightarrow [(s = t) \rightarrow (r = t)]$ — *transitivity of equality*

Hint: Start with $(y = x) \rightarrow [(y = z) \rightarrow (x = z)]$ as an instance of A8 — being sure that you see how it *is* an instance of A8. Then you can use T3.33 to get $(x = y) \rightarrow [(y = z) \rightarrow (x = z)]$, and all you have to do is convert from variables to terms as above.

T3.35. $r = s, s = t \vdash_{AD} r = t$

Hint: This is a mere recasting of T3.34 and follows directly from it.

T3.36. $\vdash_{AD} (t_i = s) \rightarrow (h^n t_1 \dots t_i \dots t_n = h^n t_1 \dots s \dots t_n)$

Hint: For any given instance of this theorem, you can start with $(x_i = y) \rightarrow (h^n x_1 \dots x_i \dots x_n = h^n x_1 \dots y \dots x_n)$ as an instance of A7. Then it is easy to convert $x_1 \dots x_n$ to $t_1 \dots t_n$, and y to s .

T3.37. $\vdash_{AD} (t_i = s) \rightarrow (\mathcal{R}^n t_1 \dots t_i \dots t_n \rightarrow \mathcal{R}^n t_1 \dots s \dots t_n)$

Hint: As for T3.36, for any given instance of this theorem, you can start with $(x_i = y) \rightarrow (\mathcal{R}^n x_1 \dots x_i \dots x_n \rightarrow \mathcal{R}^n x_1 \dots y \dots x_n)$ as an instance of A8. Then it is easy to convert $x_1 \dots x_n$ to $t_1 \dots t_n$, and y to s .

We will see further examples in the context of the extended application to come in the next section.

E3.8. Provide demonstrations for T3.34 and T3.35.

E3.9. Provide demonstrations for the following instances of T3.36 and T3.37. Then, in each case, say in words how you would go about showing the results for an arbitrary number of places.

a. $(f^1 x = g^2 xy) \rightarrow (h^3 z f^1 x f^1 z = h^3 z g^2 xy f^1 z)$

*b. $(s = t) \rightarrow (\mathcal{A}^2 r s \rightarrow \mathcal{A}^2 r t)$

3.3.3 Peano Arithmetic

\mathcal{L}_{NT} is a language like $\mathcal{L}_{NT}^<$ introduced from section 2.3.5 on p. 65 but without the $<$ symbol: There are the constant symbol \emptyset , the function symbols S , $+$ and \times , and the relation symbol $=$. It is possible to treat $x \leq y$ as an abbreviation for $\exists v(v + x = y)$ and $x < y$ as an abbreviation for $\exists v(Sv + x) = y$ (these definitions are summarized in the [language of arithmetic](#) reference, p. 307). Officially, formulas of this language are so far uninterpreted. It is natural, however, to think of them with their usual meanings, with \emptyset for zero, S the successor function, $+$ the addition function, \times the multiplication function, and $=$ the equality relation. But, again, we do not need to think about that for now.

We will say that a formula \mathcal{P} is an *AD theorem of Peano Arithmetic* just in case \mathcal{P} follows in *AD* given as premises the following axioms for Peano Arithmetic.² These axioms are presented as formulas with free variables; but with Gen and A4, they are equivalent to universally quantified forms — and we might as well have stated the axioms as universally quantified sentences.

²After the work of R. Dedekind and G. Peano. For historical discussion, see Wang, “[The Axiomatization of Arithmetic](#)”. Observe that ‘theorem’ is therefore context-relative. A theorem of Peano arithmetic which results only given PA1 - PA7 is not a theorem of AD just because it takes some of PA1 - PA7 for its derivation.

AD Quick Reference

AD A1. $\mathcal{P} \rightarrow (\mathcal{Q} \rightarrow \mathcal{P})$

A2. $(\mathcal{O} \rightarrow (\mathcal{P} \rightarrow \mathcal{Q})) \rightarrow ((\mathcal{O} \rightarrow \mathcal{P}) \rightarrow (\mathcal{O} \rightarrow \mathcal{Q}))$

A3. $(\sim \mathcal{Q} \rightarrow \sim \mathcal{P}) \rightarrow ((\sim \mathcal{Q} \rightarrow \mathcal{P}) \rightarrow \mathcal{Q})$

A4. $\forall x \mathcal{P} \rightarrow \mathcal{P}_t^x$ — where t is free for x in \mathcal{P}

A5. $\forall x(\mathcal{P} \rightarrow \mathcal{Q}) \rightarrow (\mathcal{P} \rightarrow \forall x \mathcal{P})$ — where x is not free in \mathcal{P}

A6. $(x = x)$

A7. $(x_i = y) \rightarrow (\mathcal{R}^n x_1 \dots x_i \dots x_n = \mathcal{R}^n x_1 \dots y \dots x_n)$

A8. $(x_i = y) \rightarrow (\mathcal{R}^n x_1 \dots x_i \dots x_n \rightarrow \mathcal{R}^n x_1 \dots y \dots x_n)$

MP. \mathcal{Q} follows from $\mathcal{P} \rightarrow \mathcal{Q}$ and \mathcal{P}

Gen. $\forall x \mathcal{P}$ follows from \mathcal{P}

T3.1 $\vdash_{AD} \mathcal{A} \rightarrow \mathcal{A}$

T3.2 $\mathcal{A} \rightarrow \mathcal{B}, \mathcal{B} \rightarrow \mathcal{C} \vdash_{AD} \mathcal{A} \rightarrow \mathcal{C}$

T3.3 $\mathcal{A} \rightarrow (\mathcal{B} \rightarrow \mathcal{C}) \vdash_{AD} \mathcal{B} \rightarrow (\mathcal{A} \rightarrow \mathcal{C})$

T3.4 $\vdash_{AD} (\mathcal{B} \rightarrow \mathcal{C}) \rightarrow [(\mathcal{A} \rightarrow \mathcal{B}) \rightarrow (\mathcal{A} \rightarrow \mathcal{C})]$

T3.5 $\vdash_{AD} (\mathcal{A} \rightarrow \mathcal{B}) \rightarrow [(\mathcal{B} \rightarrow \mathcal{C}) \rightarrow (\mathcal{A} \rightarrow \mathcal{C})]$

T3.6 $\mathcal{B}, \mathcal{A} \rightarrow (\mathcal{B} \rightarrow \mathcal{C}) \vdash_{AD} \mathcal{A} \rightarrow \mathcal{C}$

T3.7 $\vdash_{AD} (\sim \mathcal{A} \rightarrow \mathcal{A}) \rightarrow \mathcal{A}$

T3.8 $\vdash_{AD} (\sim \mathcal{B} \rightarrow \sim \mathcal{A}) \rightarrow (\mathcal{A} \rightarrow \mathcal{B})$

T3.9 $\vdash_{AD} \sim \mathcal{A} \rightarrow (\mathcal{A} \rightarrow \mathcal{B})$

T3.10 $\vdash_{AD} \sim \sim \mathcal{A} \rightarrow \mathcal{A}$

T3.11 $\vdash_{AD} \mathcal{A} \rightarrow \sim \sim \mathcal{A}$

T3.12 $\vdash_{AD} (\mathcal{A} \rightarrow \mathcal{B}) \rightarrow (\sim \sim \mathcal{A} \rightarrow \sim \sim \mathcal{B})$

T3.13 $\vdash_{AD} (\mathcal{A} \rightarrow \mathcal{B}) \rightarrow (\sim \mathcal{B} \rightarrow \sim \mathcal{A})$

T3.14 $\vdash_{AD} (\sim \mathcal{A} \rightarrow \mathcal{B}) \rightarrow (\sim \mathcal{B} \rightarrow \mathcal{A})$

T3.15 $\vdash_{AD} (\mathcal{A} \rightarrow \mathcal{B}) \rightarrow [(\sim \mathcal{A} \rightarrow \mathcal{B}) \rightarrow \mathcal{B}]$

T3.16 $\vdash_{AD} \mathcal{A} \rightarrow [\sim \mathcal{B} \rightarrow \sim (\mathcal{A} \rightarrow \mathcal{B})]$

T3.17 $\vdash_{AD} \mathcal{A} \rightarrow (\mathcal{A} \vee \mathcal{B})$

T3.18 $\vdash_{AD} \mathcal{A} \rightarrow (\mathcal{B} \vee \mathcal{A})$

T3.19 $\vdash_{AD} (\mathcal{A} \wedge \mathcal{B}) \rightarrow \mathcal{B}$

T3.20 $\vdash_{AD} (\mathcal{A} \wedge \mathcal{B}) \rightarrow \mathcal{A}$

T3.21 $\mathcal{A} \rightarrow (\mathcal{B} \rightarrow \mathcal{C}) \vdash_{AD} (\mathcal{A} \wedge \mathcal{B}) \rightarrow \mathcal{C}$

T3.22 $(\mathcal{A} \wedge \mathcal{B}) \rightarrow \mathcal{C} \vdash_{AD} \mathcal{A} \rightarrow (\mathcal{B} \rightarrow \mathcal{C})$

T3.23 $\mathcal{A}, \mathcal{A} \leftrightarrow \mathcal{B} \vdash_{AD} \mathcal{B}$

T3.24 $\mathcal{B}, \mathcal{A} \leftrightarrow \mathcal{B} \vdash_{AD} \mathcal{A}$

T3.25 $\sim \mathcal{A}, \mathcal{A} \leftrightarrow \mathcal{B} \vdash_{AD} \sim \mathcal{B}$

T3.26 $\sim \mathcal{B}, \mathcal{A} \leftrightarrow \mathcal{B} \vdash_{AD} \sim \mathcal{A}$

T3.27 $\vdash_{AD} \forall x \mathcal{A} \rightarrow \forall y \mathcal{A}_y^x$

where y is not free in $\forall x \mathcal{A}$ but is free for x in \mathcal{A}

T3.28 $\mathcal{A} \rightarrow \mathcal{B} \vdash_{AD} \mathcal{A} \rightarrow \forall x \mathcal{B}$

where x is not free in \mathcal{A}

T3.29 $\vdash_{AD} \mathcal{A}_t^x \rightarrow \exists x \mathcal{A}$

where t is free for x in \mathcal{A}

T3.30 $\vdash_{AD} \forall x(\mathcal{A} \rightarrow \mathcal{B}) \rightarrow (\exists x \mathcal{A} \rightarrow \mathcal{B})$

where x is not free in \mathcal{B}

T3.31 $\mathcal{A} \rightarrow \mathcal{B} \vdash_{AD} \exists x \mathcal{A} \rightarrow \mathcal{B}$

where x is not free in \mathcal{B}

T3.32 $\vdash_{AD} (t = t)$

T3.33 $\vdash_{AD} (t = s) \rightarrow (s = t)$

T3.34 $\vdash_{AD} (r = s) \rightarrow [(s = t) \rightarrow (r = t)]$

T3.35 $r = s, s = t \vdash_{AD} r = t$

T3.36 $\vdash_{AD} (t_i = s) \rightarrow (\mathcal{R}^n t_1 \dots t_i \dots t_n = \mathcal{R}^n t_1 \dots s \dots t_n)$

T3.37 $\vdash_{AD} (t_i = s) \rightarrow (\mathcal{R}^n t_1 \dots t_i \dots t_n \rightarrow \mathcal{R}^n t_1 \dots s \dots t_n)$

- PA
1. $\sim(Sx = \emptyset)$
 2. $(Sx = Sy) \rightarrow (x = y)$
 3. $(x + \emptyset) = x$
 4. $(x + Sy) = S(x + y)$
 5. $(x \times \emptyset) = \emptyset$
 6. $(x \times Sy) = [(x \times y) + x]$
 7. $[\mathcal{P}_\emptyset^x \wedge \forall x(\mathcal{P} \rightarrow \mathcal{P}_{Sx}^x)] \rightarrow \forall x \mathcal{P}$

In the ordinary case we suppress mention of PA1 - PA7 as premises, and simply write $\text{PA} \vdash_{AD} \mathcal{P}$ to indicate that \mathcal{P} is an AD theorem of Peano arithmetic — that there is an AD derivation of \mathcal{P} which may include appeal to any of PA1 - PA7.

The axioms set up basic arithmetic on the non-negative integers. Intuitively, \emptyset is not the successor of any non-negative integer (PA1); if the successor of x is the same as the successor of y , then x is y (PA2); x plus \emptyset is equal to x (PA3); x plus one more than y is equal to one more than x plus y (PA4); x times \emptyset is equal to \emptyset (PA5); x times one more than y is equal to x times y plus x (PA6); and if \mathcal{P} applies to \emptyset , and for any x , if \mathcal{P} applies to x , then it also applies to Sx , then \mathcal{P} applies to every x (PA7). This last form represents the *principle of mathematical induction*. Strictly, it is an *axiom schema* insofar as indefinitely many formulas might be of that form.

Sometimes it is convenient to have the principle of mathematical induction in rule form.

T3.38. $\mathcal{P}_\emptyset^x, \forall x(\mathcal{P} \rightarrow \mathcal{P}_{Sx}^x), \text{PA} \vdash_{AD} \forall x \mathcal{P}$ — (a derived Ind*)

- | | |
|--|---------|
| 1. \mathcal{P}_\emptyset^x | prem |
| 2. $\forall x(\mathcal{P} \rightarrow \mathcal{P}_{Sx}^x)$ | prem |
| 3. $[\mathcal{P}_\emptyset^x \wedge \forall x(\mathcal{P} \rightarrow \mathcal{P}_{Sx}^x)] \rightarrow \forall x \mathcal{P}$ | PA7 |
| 4. $\mathcal{P}_\emptyset^x \rightarrow [\forall x(\mathcal{P} \rightarrow \mathcal{P}_{Sx}^x) \rightarrow \forall x \mathcal{P}]$ | 3 T3.22 |
| 5. $\forall x(\mathcal{P} \rightarrow \mathcal{P}_{Sx}^x) \rightarrow \forall x \mathcal{P}$ | 4,1 MP |
| 6. $\forall x \mathcal{P}$ | 5,2 MP |

Observe the way we simply appeal to PA7 as a premise at (3). Again, that we can do this in a derivation, is a consequence of our taking all the axioms available as premises. So if we were to encounter \mathcal{P}_\emptyset^x , and $\forall x(\mathcal{P} \rightarrow \mathcal{P}_{Sx}^x)$ in a derivation with the axioms of PA, we could safely move to the conclusion that $\forall x \mathcal{P}$ by this derived rule Ind*. We will have much more to say about the principle of mathematical induction in Part II. For now, it is enough to *recognize* its instances. Thus, for example, if \mathcal{P} is $\sim(x = Sx)$, the corresponding instance of PA7 would be,

$$(N) \quad [\sim(\emptyset = S\emptyset) \wedge \forall x(\sim(x = Sx) \rightarrow \sim(Sx = SSx))] \rightarrow \forall x \sim(x = Sx)$$

There is the formula with \emptyset substituted for x , the formula itself, and the formula with Sx substituted for x . If the entire antecedent is satisfied, then the formula holds for *every* x . For the corresponding application of T3.38 you would need $\sim(\emptyset = S\emptyset)$ and $\forall x[\sim(x = Sx) \rightarrow \sim(Sx = SSx)]$ in order to move to the conclusion that $\forall x \sim(x = Sx)$. You should track these examples through. The principle of mathematical induction turns out to be essential for deriving many general results.

As before, if a theorem is derived from some premises, we use the theorem in derivations that follow. Thus we build toward increasingly complex results. Let us start with some simple generalizations of the premises for application to arbitrary terms. The derivations all follow the Gen / A4 / MP pattern we have seen before.

$$T3.39. \text{ PA } \vdash_{AD} \sim(S\emptyset = \emptyset)$$

- | | |
|--|--------|
| 1. $\sim(Sx = \emptyset)$ | PA1 |
| 2. $\forall x \sim(Sx = \emptyset)$ | 1 Gen |
| 3. $\forall x \sim(Sx = \emptyset) \rightarrow \sim(S\emptyset = \emptyset)$ | A4 |
| 4. $\sim(S\emptyset = \emptyset)$ | 3,2 MP |

As usual, because there is no quantifier in the consequent, (3) is sure to satisfy the constraint on A4, no matter what t may be.

$$*T3.40. \text{ PA } \vdash_{AD} (St = Ss) \rightarrow (t = s)$$

$$T3.41. \text{ PA } \vdash_{AD} (t + \emptyset) = t$$

$$T3.42. \text{ PA } \vdash_{AD} (t + Ss) = S(t + s)$$

$$T3.43. \text{ PA } \vdash_{AD} (t \times \emptyset) = \emptyset$$

$$T3.44. \text{ PA } \vdash_{AD} (t \times Ss) = [(t \times s) + t]$$

If a theorem T3. n is an equality $(t = s)$, let T3. n^* be $(s = t)$. Thus T3.41* is $\text{PA } \vdash_{AD} t = (t + \emptyset)$; T3.42* is $\text{PA } \vdash_{AD} S(t + s) = (t + Ss)$. In each case, the result is immediate from the theorem with T3.33 and MP. Notice that t and s in these theorems may be *any* terms. Thus,

$$(O) \quad (x + \emptyset) = x \quad ((x \times y) + \emptyset) = (x \times y) \quad [(\emptyset + x) + \emptyset] = (\emptyset + x)$$

are all straightforward instances of T3.41.

Given this much, we are ready for a series of results which are much more interesting — for example, some general principles of commutativity and associativity. For a first application of Ind*, let \mathcal{P} be $[(\emptyset + x) = x]$; then $\mathcal{P}_{\emptyset}^x$ is $[(\emptyset + \emptyset) = \emptyset]$ and \mathcal{P}_{Sx}^x is $[(\emptyset + Sx) = Sx]$.

T3.45. $PA \vdash_{AD} (\emptyset + t) = t$

- | | |
|--|----------|
| 1. $(\emptyset + \emptyset) = \emptyset$ | T3.41 |
| 2. $[(\emptyset + x) = x] \rightarrow [S(\emptyset + x) = Sx]$ | T3.36 |
| 3. $[S(\emptyset + x) = (\emptyset + Sx)]$ | T3.42* |
| 4. $[S(\emptyset + x) = (\emptyset + Sx)] \rightarrow [(S(\emptyset + x) = Sx) \rightarrow ((\emptyset + Sx) = Sx)]$ | T3.37 |
| 5. $(S(\emptyset + x) = Sx) \rightarrow ((\emptyset + Sx) = Sx)$ | 4,3 MP |
| 6. $[(\emptyset + x) = x] \rightarrow [(\emptyset + Sx) = Sx]$ | 2,5 T3.2 |
| 7. $\forall x[(\emptyset + x) = x] \rightarrow [(\emptyset + Sx) = Sx]$ | 6 Gen |
| 8. $\forall x[(\emptyset + x) = x]$ | 1,7 Ind* |
| 9. $\forall x[(\emptyset + x) = x] \rightarrow [(\emptyset + t) = t]$ | A4 |
| 10. $[(\emptyset + t) = t]$ | 9,8 MP |

The key to this derivation, and others like it, is bringing Ind* into play. The basic strategy for the beginning and end of these arguments is always the same. In this case,

- | | |
|---|----------|
| 1. $(\emptyset + \emptyset) = \emptyset$ | T3.41 |
| \vdots | |
| 6. $[(\emptyset + x) = x] \rightarrow [(\emptyset + Sx) = Sx]$ | |
| (P) 7. $\forall x[(\emptyset + x) = x] \rightarrow [(\emptyset + Sx) = Sx]$ | 6 Gen |
| 8. $\forall x[(\emptyset + x) = x]$ | 1,7 Ind* |
| 9. $\forall x[(\emptyset + x) = x] \rightarrow [(\emptyset + t) = t]$ | A4 |
| 10. $[(\emptyset + t) = t]$ | 9,8 MP |

The goal is automatic by A4 and MP once you have $\forall x[(\emptyset + x) = x]$ by Ind* at (8). For this, you need $\mathcal{P}_{\emptyset}^x$ and $\forall x(\mathcal{P} \rightarrow \mathcal{P}_{Sx}^x)$. We have $\mathcal{P}_{\emptyset}^x$ at (1) as an instance of T3.41 — and $\mathcal{P}_{\emptyset}^x$ is almost always easy to get. $\forall x(\mathcal{P} \rightarrow \mathcal{P}_{Sx}^x)$ is automatic by Gen from (6). So the real work is getting (6). Thus, once you see what is going on, the entire derivation for T3.45 boils down to lines (2) - (6). For this, begin by noticing that the antecedent of what we want is like the antecedent of (2), and the consequent like what we want but for the equivalence in (3). Given this, it is a simple matter to apply T3.37 to switch the one term for the equivalent one we want.

T3.46. $\text{PA} \vdash_{AD} [(St + \emptyset) = S(t + \emptyset)]$

- | | |
|--|-----------|
| 1. $(St + \emptyset) = St$ | T3.41 |
| 2. $t = (t + \emptyset)$ | T3.41* |
| 3. $[t = (t + \emptyset)] \rightarrow [St = S(t + \emptyset)]$ | T3.36 |
| 4. $St = S(t + \emptyset)$ | 3,2 MP |
| 5. $(St + \emptyset) = S(t + \emptyset)$ | 1,4 T3.35 |

This derivation has T3.41 at (1) with St for t . Line (2) is a straightforward version of T3.41*. Then the key to the derivation is that the antecedent of (1) is like what we want, and the consequent of (1) is like what we want but for the equality on (2). The goal then is to use T3.36 to switch the one term for the equivalent one. You should get used to this pattern of using T3.36 and T3.37 to substitute terms. This result forms the “zero-case” for the one that follows.

T3.47. $\text{PA} \vdash_{AD} [(St + s) = S(t + s)]$

- | | |
|--|-----------|
| 1. $[(St + \emptyset) = S(t + \emptyset)]$ | T3.46 |
| 2. $[(St + x) = S(t + x)] \rightarrow [S(St + x) = SS(t + x)]$ | T3.36 |
| 3. $[S(St + x) = (St + Sx)]$ | T3.42* |
| 4. $[S(St + x) = (St + Sx)] \rightarrow$
$[(S(St + x) = SS(t + x)) \rightarrow [(St + Sx) = SS(t + x)]]$ | T3.37 |
| 5. $[S(St + x) = SS(t + x)] \rightarrow [(St + Sx) = SS(t + x)]$ | 4,3 MP |
| 6. $[(St + x) = S(t + x)] \rightarrow [(St + Sx) = SS(t + x)]$ | 2,5 T3.2 |
| 7. $[S(t + x) = (t + Sx)]$ | T3.42* |
| 8. $[S(t + x) = (t + Sx)] \rightarrow [SS(t + x) = S(t + Sx)]$ | T3.36 |
| 9. $[SS(t + x) = S(t + Sx)]$ | 8,7 MP |
| 10. $[SS(t + x) = S(t + Sx)] \rightarrow$
$[(S(t + Sx) = SS(t + x)) \rightarrow [(St + Sx) = S(t + Sx)]]$ | T3.37 |
| 11. $[(St + Sx) = SS(t + x)] \rightarrow [(St + Sx) = S(t + Sx)]$ | 10,9 MP |
| 12. $[(St + x) = S(t + x)] \rightarrow [(St + Sx) = S(t + Sx)]$ | 6,11 T3.2 |
| 13. $\forall x [(St + x) = S(t + x)] \rightarrow [(St + Sx) = S(t + Sx)]$ | 12 Gen |
| 14. $\forall x [(St + x) = S(t + x)]$ | 1,13 Ind* |
| 15. $\forall x [(St + x) = S(t + x)] \rightarrow [(St + s) = S(t + s)]$ | A4 |
| 16. $[(St + s) = S(t + s)]$ | 15,14 MP |

The idea behind this longish derivation is to bring Ind* into play, where formula \mathcal{P} is, $[(St + x) = S(t + x)]$. Do not worry about how we got this for now. Given this much, the following setup is automatic,

- | | | |
|-----|--|-----------|
| | 1. $[(St + \emptyset) = S(t + \emptyset)]$ | T3.46 |
| | \vdots | |
| (Q) | 12. $[(St + x) = S(t + x)] \rightarrow [(St + Sx) = S(t + Sx)]$ | |
| | 13. $\forall x([(St + x) = S(t + x)] \rightarrow [(St + Sx) = S(t + Sx)])$ | 12 Gen |
| | 14. $\forall x[(St + x) = S(t + x)]$ | 1,13 Ind* |
| | 15. $\forall x[(St + x) = S(t + x)] \rightarrow [(St + s) = S(t + s)]$ | A4 |
| | 16. $[(St + s) = S(t + s)]$ | 15,14 MP |

We have the zero-case from T3.46 on (1); the goal is automatic once we have the result on (12). For (12), the antecedent at (2) is what we want, and the consequent is right but for the equivalences on (3) and (9). We use T3.37 to substitute terms into the consequent. The equivalence on (3) is a straightforward instance of T3.42*. We had to work (just a bit) starting again with T3.42* to get the equivalence on (9).

T3.48. $\text{PA} \vdash_{AD} [(t + s) = (s + t)]$ — *commutativity of addition*

- | | | |
|-----|--|-----------|
| 1. | $[(t + \emptyset) = t]$ | T3.41 |
| 2. | $[t = (\emptyset + t)]$ | T3.45* |
| 3. | $[(t + \emptyset) = (\emptyset + t)]$ | 1,2 T3.35 |
| 4. | $[(t + x) = (x + t)] \rightarrow [S(t + x) = S(x + t)]$ | T3.36 |
| 5. | $[S(t + x) = (t + Sx)]$ | T3.42* |
| 6. | $[S(t + x) = (t + Sx)] \rightarrow$
$[(S(t + x) = S(x + t)) \rightarrow [(t + Sx) = S(x + t)]]$ | T3.37 |
| 7. | $[S(t + x) = S(x + t)] \rightarrow [(t + Sx) = S(x + t)]$ | 6,5 MP |
| 8. | $[(t + x) = (x + t)] \rightarrow [(t + Sx) = S(x + t)]$ | 4,7 T3.2 |
| 9. | $[S(x + t) = (Sx + t)]$ | T3.47* |
| 10. | $[S(x + t) = (Sx + t)] \rightarrow$
$[(t + Sx) = S(x + t)] \rightarrow [(t + Sx) = (Sx + t)]$ | T3.37 |
| 11. | $[(t + Sx) = S(x + t)] \rightarrow [(t + Sx) = (Sx + t)]$ | 10,9 MP |
| 12. | $[(t + x) = (x + t)] \rightarrow [(t + Sx) = (Sx + t)]$ | 8,11 T3.2 |
| 13. | $\forall x([(t + x) = (x + t)] \rightarrow [(t + Sx) = (Sx + t)])$ | 12 Gen |
| 14. | $\forall x[(t + x) = (x + t)]$ | 3,13 Ind* |
| 15. | $\forall x[(t + x) = (x + t)] \rightarrow [(t + s) = (s + t)]$ | A4 |
| 16. | $[(t + s) = (s + t)]$ | 15,14 MP |

The pattern of this derivation is very much like ones we have seen before. Where \mathcal{P} is $[(t + x) = (x + t)]$ we have the zero-case at (3), and the derivation effectively reduces to getting (12). We get this by substituting into the consequent of (4) by means of the equivalences on (5) and (9).

T3.49. $\text{PA} \vdash_{AD} [((r + s) + \emptyset) = (r + (s + \emptyset))]$

Hint: Begin with $[(r + s) + \emptyset] = (r + s)$ as an instance of T3.41. The derivation is then a matter of using T3.41* to replace s in the right-hand side with $(s + \emptyset)$.

*T3.50. $PA \vdash_{AD} [(r + s) + t] = (r + (s + t))$ — *associativity of addition*

Hint: For an application of Ind*, let \mathcal{P} be $[(r + s) + x] = (r + (s + x))$. Start with $[(r + s) + x] = (r + (s + x)) \rightarrow [S((r + s) + x) = S(r + (s + x))]$ as an instance of T3.36, and substitute into the consequent as necessary by T3.42* to reach $[(r + s) + x] = (r + (s + x)) \rightarrow [((r + s) + Sx) = (r + (s + Sx))]$. The derivation is longish, but straightforward.

T3.51. $PA \vdash_{AD} [\emptyset \times t] = \emptyset$

Hint: For an application of Ind*, let \mathcal{P} be $[\emptyset \times x] = \emptyset$; then the derivation reduces to sowing $[\emptyset \times x] = \emptyset \rightarrow [\emptyset \times Sx] = \emptyset$. This is easy enough if you use T3.41* and T3.44* to show that $[\emptyset \times x] = (\emptyset \times Sx)$.

T3.52. $PA \vdash_{AD} [St \times \emptyset] = ((t \times \emptyset) + \emptyset)$

Hint: This does not require application of Ind*.

*T3.53. $PA \vdash_{AD} [St \times s] = ((t \times s) + s)$

Hint: For an application of Ind*, let \mathcal{P} be $[St \times x] = ((t \times x) + x)$. The derivation reduces to getting $[St \times x] = ((t \times x) + x) \rightarrow [St \times Sx] = ((t \times Sx) + Sx)$. For this, you can start with $[St \times x] = ((t \times x) + x) \rightarrow [(St \times x) + St] = (((t \times x) + x) + St)$ as an instance of T3.36, and substitute into the consequent. You may find it helpful to obtain $[x + St] = (t + Sx)$ and then $[(t \times x) + (x + St)] = ((t \times Sx) + Sx)$ as a preliminary result.

T3.54. $PA \vdash_{AD} [t \times s] = (s \times t)$ — *commutativity of multiplication*

Hint: For an application of Ind*, let \mathcal{P} be $[t \times x] = (x \times t)$. You can start with $[t \times x] = (x \times t) \rightarrow [((t \times x) + t) = ((x \times t) + t)]$ as an instance of T3.36, and substitute into the consequent.

We will stop here. With the derivation system ND of chapter 6, we obtain all these results and more. But that system is easier to manipulate than what we have so far in AD . Still, we have obtained some significant results! Perhaps you have heard from your mother's knee that $a + b = b + a$. But this is a sweeping general claim of the sort that cannot ever have all its instances checked. We have derived it from the Peano axioms. Of course, one might want to know about justifications for the Peano axioms. But that is another story.

*E3.10. Provide derivations to show each of T3.40, T3.41, T3.42, T3.43, T3.44, T3.49, T3.50, T3.51, T3.52, T3.53, and T3.54. Hint: you may find the AD Peano reference on p. 97 helpful.

E3.11. For each of the following concepts, explain in an essay of about two pages, so that Hannah could understand. In your essay, you should (i) identify the objects to which the concept applies, (ii) give and explain the definition, and give and explicate examples of your own construction (iii) where the concept applies, and (iv) where it does not. Your essay should exhibit an understanding of methods from the text.

- a. A *consequence* in a some axiomatic logic of Γ , and then a consequence in AD of Γ .
- b. An AD theorem of Peano arithmetic.
- c. Term t being *free for* variable x in formula \mathcal{A} along with the restrictions on A4 and A5.

Peano Arithmetic (AD)

- PA
1. $\sim(Sx = \emptyset)$
 2. $(Sx = Sy) \rightarrow (x = y)$
 3. $(x + \emptyset) = x$
 4. $(x + Sy) = S(x + y)$
 5. $(x \times \emptyset) = \emptyset$
 6. $(x \times Sy) = [(x \times y) + x]$
 7. $[\mathcal{P}_{\emptyset}^x \wedge \forall x(\mathcal{P} \rightarrow \mathcal{P}_{Sx}^x)] \rightarrow \forall x \mathcal{P}$

T3.38 $\mathcal{P}_{\emptyset}^x, \forall x(\mathcal{P} \rightarrow \mathcal{P}_{Sx}^x), \text{PA} \vdash_{AD} \forall x \mathcal{P} \quad \text{— Ind}^*$

T3.39 $\text{PA} \vdash_{AD} \sim(St = \emptyset)$

T3.40 $\text{PA} \vdash_{AD} (St = Ss) \rightarrow (t = s)$

T3.41 $\text{PA} \vdash_{AD} (t + \emptyset) = t$

T3.42 $\text{PA} \vdash_{AD} (t + Ss) = S(t + s)$

T3.43 $\text{PA} \vdash_{AD} (t \times \emptyset) = \emptyset$

T3.44 $\text{PA} \vdash_{AD} (t \times Ss) = [(t \times s) + t]$

T3.45 $\text{PA} \vdash_{AD} (\emptyset + t) = t$

T3.46 $\text{PA} \vdash_{AD} [(St + \emptyset) = S(t + \emptyset)]$

T3.47 $\text{PA} \vdash_{AD} [(St + s) = S(t + s)]$

T3.48 $\text{PA} \vdash_{AD} [(t + s) = (s + t)] \quad \text{— commutativity of addition}$

T3.49 $\text{PA} \vdash_{AD} [((r + s) + \emptyset) = (r + (s + \emptyset))]$

T3.50 $\text{PA} \vdash_{AD} [((r + s) + t) = (r + (s + t))] \quad \text{— associativity of addition}$

T3.51 $\text{PA} \vdash_{AD} [(\emptyset \times t) = \emptyset]$

T3.52 $\text{PA} \vdash_{AD} [(St \times \emptyset) = ((t \times \emptyset) + \emptyset)]$

T3.53 $\text{PA} \vdash_{AD} [(St \times s) = ((t \times s) + s)]$

T3.54 $\text{PA} \vdash_{AD} [(t \times s) = (s \times t)] \quad \text{— commutativity of multiplication}$

If T3. n is of the sort $(t = s)$, then T3. n^* is $(s = t)$.

Chapter 4

Semantics

Having introduced the grammar for our formal languages and even (if you did not skip the last chapter) done derivations in them, we need to say something about *semantics* — about the conditions under which their expressions are true and false. In addition to *logical validity* from [chapter 1](#) and *validity in AD* from [chapter 3](#), this will lead to a third, *semantic* notion of validity. Again, the discussion divides into the relatively simple sentential case, and then the full quantificational version. Recall that we are introducing formal languages in their “pure” form, apart from associations with ordinary language. Having discussed, in this chapter, conditions under which formal expressions are true and not, in the next chapter, we will finally turn to translation, and so to ways formal expressions are associated with ordinary ones.

4.1 Sentential

For any sentential or quantificational language, starting with a sentence and working up its tree, let us say the *basic* subformulas are the first sentences (without immediate subformulas or) with an immediate subformula that is not a sentence. For a sentential language basic sentences are the sentence letters, for all the subformulas are sentences and the atomics are precisely the first sentences without immediate subformulas. In the quantificational case, basic sentences may be more complex.¹ In this part, we treat basic sentences as atomic. Our initial focus is on forms with just operators \sim and \rightarrow . We begin with an account of the conditions under which

¹Thus the basic sentences of $A \wedge B$ are just the atomic subformulas A and B . But $Fa \wedge \exists xGx$, has atomic subformulas Fa and Gx , but basic parts Fa and $\exists xGx$ since an immediate subformula of $\exists xGx$ is not a sentence.

sentences are true and not true, learn to apply that account in arbitrary conditions, and turn to validity. The section concludes with applications to our abbreviations, \wedge , \vee , and \leftrightarrow .

4.1.1 Interpretations and Truth

Sentences are true and false relative to an *interpretation* of basic sentences. In the sentential case, the notion of an interpretation is particularly simple. For any formal language \mathcal{L} , a *sentential interpretation* assigns a truth value *true* or *false*, T or F, to each of its basic sentences. Thus, for \mathcal{L}_3 we might have interpretations I and J,

	I	A	B	C	D	E	F	G	H	...
		T	T	T	T	T	T	T	T	...
(A)	J	A	B	C	D	E	F	G	H	...
		T	T	F	F	T	T	F	F	...

When a sentence \mathcal{A} is T on an interpretation I, we write $I[\mathcal{A}] = T$, and when it is F, we write, $I[\mathcal{A}] = F$. Thus, in the above case, $J[B] = T$ and $J[C] = F$.

Truth for complex sentences depends on truth and falsity for their parts. In particular, for any interpretation I,

ST (\sim) For any sentence \mathcal{P} , $I[\sim\mathcal{P}] = T$ iff $I[\mathcal{P}] = F$; otherwise $I[\sim\mathcal{P}] = F$.

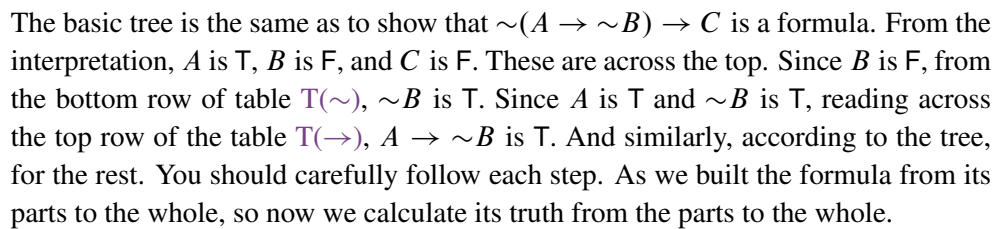
(\rightarrow) For any sentences \mathcal{P} and \mathcal{Q} , $I[(\mathcal{P} \rightarrow \mathcal{Q})] = T$ iff $I[\mathcal{P}] = F$ or $I[\mathcal{Q}] = T$ (or both); otherwise $I[(\mathcal{P} \rightarrow \mathcal{Q})] = F$.

Thus a basic sentence is true or false depending on the interpretation. For complex sentences, $\sim\mathcal{P}$ is true iff \mathcal{P} is not true; and $(\mathcal{P} \rightarrow \mathcal{Q})$ is true iff \mathcal{P} is not true or \mathcal{Q} is. (In the quantificational case, we will introduce a notion of *satisfaction* distinct from truth. However, in the sentential case, satisfaction and truth are the same: An arbitrary sentence \mathcal{A} is *satisfied* on a sentential interpretation I iff it is true on I. So definition ST is all we need.)

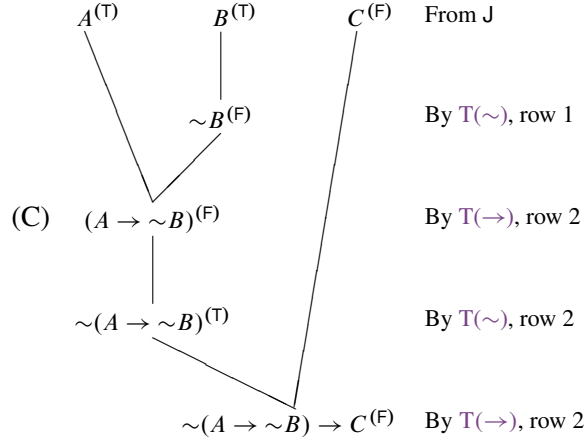
It is traditional to represent the information from ST(\sim) and ST(\rightarrow) in the following *truth tables*.

	\mathcal{P}	$\sim\mathcal{P}$		\mathcal{P}	\mathcal{Q}	$\mathcal{P} \rightarrow \mathcal{Q}$
T(\sim)	T	F	T(\rightarrow)	T	T	T
	F	T		T	F	F
				F	T	T
				F	F	T

ST works recursively. Whether a basic sentence is true comes directly from the interpretation; truth for other sentences depends on truth for their immediate subformulas — and can be read directly off the tables. As usual, we can use trees to see how it works. Suppose $I[A] = T$, $I[B] = F$, and $I[C] = F$. Then $I[\sim(A \rightarrow \sim B) \rightarrow C] = T$.



Here is the same formula considered on another interpretation. Where interpretation J is as on p. 99, $J[\sim(A \rightarrow \sim B) \rightarrow C] = F$.



This time, for both applications of $T(\rightarrow)$, the antecedent is T and the consequent is F; thus we are working on the second row of table $T(\rightarrow)$, and the conditionals evaluate to F. Again, you should follow each step in the tree.

E4.1. Where the interpretation is as J from p. 99, with $J[A] = T$, $J[B] = T$ and $J[C] = F$, use trees to decide whether the following sentences of \mathcal{L}_4 are T or F.

- | | |
|---|--|
| *a. $\sim A$ | b. $\sim\sim C$ |
| c. $A \rightarrow C$ | d. $C \rightarrow A$ |
| *e. $\sim(A \rightarrow A)$ | *f. $(\sim A \rightarrow A)$ |
| g. $\sim(A \rightarrow \sim C) \rightarrow C$ | h. $(\sim A \rightarrow C) \rightarrow C$ |
| *i. $(A \rightarrow \sim B) \rightarrow \sim(B \rightarrow \sim A)$ | j. $\sim(B \rightarrow \sim A) \rightarrow (A \rightarrow \sim B)$ |

4.1.2 Arbitrary Interpretations

Sentences are true and false relative to an interpretation. But whether an argument is *semantically valid* depends on truth and falsity relative to *every* interpretation. As a first step toward determining semantic validity, in this section, we generalize the method of the last section to calculate truth values relative to arbitrary interpretations.

First, any complex sentence has a *finite* number of basic sentences as components. It is thus possible simply to *list* all the possible interpretations of those basic sentences. If an expression has just one basic sentence \mathcal{A} , then on any interpretation whatsoever, that basic sentence must be T or F.

(D)

\mathcal{A}
<hr/>
T
F

If an expression has basic sentences \mathcal{A} and \mathcal{B} , then the possible interpretations of its basic sentences are,

(E)

\mathcal{A}	\mathcal{B}
<hr/>	<hr/>
T	T
T	F
F	T
F	F

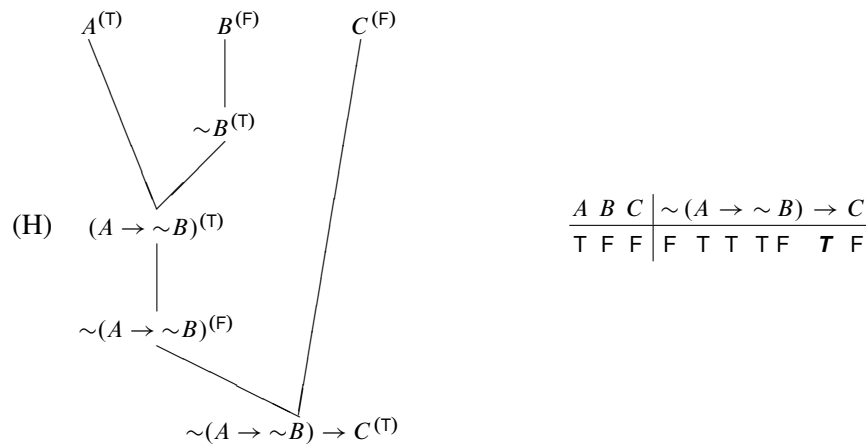
\mathcal{B} can take its possible values, T and F when \mathcal{A} is true, and \mathcal{B} can take its possible values, T and F when \mathcal{A} is false. And similarly, every time we add a basic sentence, we double the number of possible interpretations, so that n basic sentences always have 2^n possible interpretations. Thus the possible interpretations for three and four basic sentences are,

		\mathcal{A}	\mathcal{B}	\mathcal{C}	\mathcal{D}
		<hr/>	<hr/>	<hr/>	<hr/>
		T	T	T	T
		T	T	T	F
		T	T	F	T
		T	T	F	F
		<hr/>	<hr/>	<hr/>	<hr/>
		T	F	T	T
		T	F	T	F
		T	F	F	T
		T	F	F	F
		<hr/>	<hr/>	<hr/>	<hr/>
		F	T	T	T
		F	T	T	F
		F	T	F	T
		F	T	F	F
		<hr/>	<hr/>	<hr/>	<hr/>
		F	F	T	T
		F	F	T	F
		F	F	F	T
		F	F	F	F

Extra horizontal lines are added purely for visual convenience. There are $8 = 2^3$ combinations with three basic sentences and $16 = 2^4$ combinations with four. In general, to write down all the possible combinations for n basic sentences, begin by finding the total number $r = 2^n$ of combinations or rows. Then write down a column with half that many ($r/2$) Ts and half that many ($r/2$) Fs; then a column alternating half again as many ($r/4$) Ts and Fs; and a column alternating half again as many ($r/8$) Ts and Fs — continuing to the n^{th} column alternating groups of just one T and one F. Thus, for example, with four basic sentences, $r = 2^4 = 16$; so we begin with

a column consisting of $r/2 = 8$ Ts and $r/2 = 8$ Fs; this is followed by a column alternating groups of 4 Ts and 4 Fs, a column alternating groups of 2 Ts and 2 Fs, and a column alternating groups of 1 T and 1 F. And similarly in other cases.

Given an expression involving, say, four basic sentences, we could imagine doing trees for each of the 16 possible interpretations. But, to exhibit truth values for each of the possible interpretations, we can reduce the amount of work a bit — or at least represent it in a relatively compact form. Suppose $I[A] = T$, $I[B] = F$, and $I[C] = F$, and consider a tree as in (B) from above, along with a “compressed” version of the same information.



In the table on the right, we begin by simply listing the interpretation we will consider in the lefthand part: A is T, B is F and C is F. Then, under each basic sentence, we put its truth value, and for each formula, we list its truth value *under its main operator*. Notice that the calculation must proceed *precisely* as it does in the tree. It is because B is F, that we put T under the second \sim . It is because A is T and $\sim B$ is T that we put a T under the first \rightarrow . It is because $(A \rightarrow \sim B)$ is T that we put F under the first \sim . And it is because $\sim(A \rightarrow \sim B)$ is F and C is F that we put a T under the second \rightarrow . In effect, then, we work “down” through the tree, only in this compressed form. We might think of truth values from the tree as “squished” up into the one row. Because there is a T under its main operator, we conclude that the whole formula, $\sim(A \rightarrow \sim B) \rightarrow C$ is T when $I[A] = T$, $I[B] = F$, and $I[C] = F$. In this way, we might conveniently calculate and represent the truth value of $\sim(A \rightarrow \sim B) \rightarrow C$ for all eight of the possible interpretations of its basic sentences.

A	B	C	$\sim(A \rightarrow \sim B) \rightarrow C$
T	T	T	T
T	T	F	F
T	F	T	T
T	F	F	F
F	T	T	T
F	T	F	F
F	F	T	T
F	F	F	F

The emphasized column under the second \rightarrow indicates the truth value of $\sim(A \rightarrow \sim B) \rightarrow C$ for each of the interpretations on the left — which is to say, for every possible interpretation of the three basic sentences. So the only way for $\sim(A \rightarrow \sim B) \rightarrow C$ to be F is for C to be F, and A and B to be T. Our above tree (H) represents just the fourth row of this table.

In practice, it is easiest to work these *truth tables* “vertically.” For this, begin with the basic sentences in some standard order along with all their possible interpretations in the left-hand column. For \mathcal{L}_3 let the standard order be alphanumeric ($A, A_1, A_2, \dots, B, B_1, B_2, \dots, C, \dots$). And repeat truth values for basic sentences under their occurrences in the formula (this is not crucial, since truth values for basic sentences are already listed on the left; it will be up to you whether to repeat values for basic sentences). This is done in table (J) below.

A	B	C	$\sim(A \rightarrow \sim B) \rightarrow C$
T	T	T	T
T	T	F	F
T	F	T	T
T	F	F	F
F	T	T	T
F	T	F	F
F	F	T	T
F	F	F	F

A	B	C	$\sim(A \rightarrow \sim B) \rightarrow C$
T	T	T	T
T	T	F	F
T	F	T	T
T	F	F	F
F	T	T	T
F	T	F	F
F	F	T	T
F	F	F	F

Now, given the values for B as in (J), we are in a position to calculate the values for $\sim B$; so get the $\mathbf{T}(\sim)$ table in your mind, put your eye on the column under B in the formula (or on the left if you have decided not to repeat the values for B under its occurrence in the formula). Then fill in the column under the second \sim , reversing the values from under B . This is accomplished in (K). Given the values for A and $\sim B$, we are now in a position to calculate values for $A \rightarrow \sim B$; so get the $\mathbf{T}(\rightarrow)$ table in your head, and put your eye on the columns under A and $\sim B$. Then fill in the column under the first \rightarrow , going with F only when A is T and $\sim B$ is F. This is accomplished in (L).

(L)	$A \ B \ C$	$\sim(A \rightarrow \sim B) \rightarrow C$	(M)	$A \ B \ C$	$\sim(A \rightarrow \sim B) \rightarrow C$
	T T T	T F F T T		T T T	T T F F T T
	T T F	T F F T F		T T F	T T F F T F
	T F T	T T T F T		T F T	F T T T F T
	T F F	T T T F F		T F F	F T T T F F
	F T T	F T F T T		F T T	F F T F T T
	F T F	F T F T F		F T F	F F T F T F
	F F T	F T T F T		F F T	F F T T F T
	F F F	F T T F F		F F F	F F T T F F


Now we are ready to fill in the column under the first \sim . So get the **T**(\sim) table in your head, and put your eye on the column under the first \rightarrow . The column is completed in table (M). And the table is finished as in (I) by completing the column under the last \rightarrow , based on the columns under the first \sim and under the C . Notice again, that the order in which you work the columns exactly parallels the order from the tree.

As another example, consider these tables for $\sim(B \rightarrow A)$, the first with truth values repeated under basic sentences, the second without.

(N)	$A \ B$	$\sim(B \rightarrow A)$	(O)	$A \ B$	$\sim(B \rightarrow A)$
	T T	F T T T		T T	F T
	T F	F F T T		T F	F T
	F T	T T F F		F T	T F
	F F	F F T F		F F	F T

We complete the table as before. First, with our eye on the columns under B and A , we fill in the column under \rightarrow . Then, with our eye on that column, we complete the one under \sim . For this, first, notice that \sim is the *main* operator. You would *not*

It is worth asking what happens if basic sentences are listed in some order other than alphanumeric.

$A \ B$		$B \ A$	All the combinations are still listed, but their locations in a table change.
T T		T T	
T F		T F	
F T		F T	
F F		F F	

Each of the above tables lists all of the combinations for the basic sentences. But the first table has the interpretation I with $I[A] = T$ and $I[B] = F$ in the second row, where the second table has this combination in the third. Similarly, the tables exchange rows for the interpretation J with $J[A] = F$ and $J[B] = T$. As it turns out, the only real consequence of switching rows is that it becomes difficult to compare tables as, for example, with the back of the book. And it may matter as part of the standard of correctness for exercises!

calculate $\sim B$ and then the arrow! Rather, your calculations move from the smaller parts to the larger; so the arrow comes first and then the tilde. Again, the order is the same as on a tree. Second, if you do not repeat values for basic formulas, be careful about $B \rightarrow A$; the leftmost column of table (O), under A , is the column for the *consequent* and the column immediately to its right, under B , is for the *antecedent*; in this case, then, the second row under arrow is T and the *third* is F. Though it is fine to omit columns under basic sentences, as they are already filled in on the left side, you should *not* skip other columns, as they are essential building blocks for the final result.

E4.2. For each of the following sentences of \mathcal{L}_3 construct a truth table to determine its truth value for each of the possible interpretations of its basic sentences.

- *a. $\sim\sim A$
- b. $\sim(A \rightarrow A)$
- c. $(\sim A \rightarrow A)$
- *d. $(\sim B \rightarrow A) \rightarrow B$
- e. $\sim(B \rightarrow \sim A) \rightarrow B$
- f. $(A \rightarrow \sim B) \rightarrow \sim(B \rightarrow \sim A)$
- *g. $C \rightarrow (A \rightarrow B)$
- h. $[A \rightarrow (C \rightarrow B)] \rightarrow [(A \rightarrow C) \rightarrow (A \rightarrow B)]$
- *i. $(\sim A \rightarrow B) \rightarrow (\sim C \rightarrow D)$
- j. $\sim(A \rightarrow \sim B) \rightarrow \sim(C \rightarrow \sim D)$

4.1.3 Validity

As we have seen, sentences are true and false relative to an interpretation. For any interpretation, a complex sentence has some definite value. But whether an argument is *sententially valid* depends on truth and falsity relative to *every* interpretation. Suppose a formal argument has premises $\mathcal{P}_1 \dots \mathcal{P}_n$ and conclusion \mathcal{Q} . Then,

$\mathcal{P}_1 \dots \mathcal{P}_n$ *sententially entail* \mathcal{Q} ($\mathcal{P}_1 \dots \mathcal{P}_n \models_s \mathcal{Q}$) iff there is no sentential interpretation I such that $I[\mathcal{P}_1] = T$ and \dots and $I[\mathcal{P}_n] = T$ but $I[\mathcal{Q}] = F$.

We can put this more generally as follows. Suppose Γ (Gamma) is a set of formulas, and say $I[\Gamma] = T$ iff $I[\mathcal{P}] = T$ for each \mathcal{P} in Γ . Then,

SV Γ *sententially entails* \mathcal{Q} ($\Gamma \models_s \mathcal{Q}$) iff there is no sentential interpretation I such that $I[\Gamma] = T$ but $I[\mathcal{Q}] = F$.

Where the members of Γ are $\mathcal{P}_1 \dots \mathcal{P}_n$, this says the same thing as before. Γ sententially entails \mathcal{Q} when there is no sentential interpretation that makes each member of Γ true and \mathcal{Q} false. If Γ sententially entails \mathcal{Q} we say the *argument* whose premises are the members of Γ and conclusion is \mathcal{Q} is *sententially valid*. Γ does not sententially entail \mathcal{Q} ($\Gamma \not\models_s \mathcal{Q}$) when there is some sentential interpretation on which all the members of Γ are true, but \mathcal{Q} is false. We can think of the premises as *constraining* the interpretations that matter: for validity it is just the interpretations where the members of Γ are all true, on which the conclusion \mathcal{Q} cannot be false. If Γ has no members then there are no constraints on relevant interpretations, and the conclusion must be true on every interpretation in order for it to be valid. In this case, listing all the members of Γ individually, we simply write $\models_s \mathcal{Q}$, and if \mathcal{Q} is valid, \mathcal{Q} is *logically true* (a *tautology*). Notice the new double turnstile \models for this semantic notion, in contrast to the single turnstile \vdash for derivations from [chapter 3](#).

Given that we are already in a position to exhibit truth values for arbitrary interpretations, it is a simple matter to determine whether an argument is sententially valid. Where the premises and conclusion of an argument include basic sentences $\mathcal{B}_1 \dots \mathcal{B}_n$, begin by calculating the truth values of the premises and conclusion for each of the possible interpretations for $\mathcal{B}_1 \dots \mathcal{B}_n$. Then *look* to see if any interpretation makes all the premises true but the conclusion false. If no interpretation makes the premises true and the conclusion not, then by [SV](#), the argument is sententially valid. If some interpretation does make the premises true and the conclusion false, then it is not valid.

Thus, for example, suppose we want to know whether the following argument is sententially valid.

$$\begin{array}{l} (\sim A \rightarrow B) \rightarrow C \\ \text{(P)} \quad \frac{B}{C} \end{array}$$

By [SV](#), the question is whether there is an interpretation that makes the premises true and the conclusion not. So we begin by calculating the values of the premises and conclusion for each of the possible interpretations of the basic sentences in the premises and conclusion.

A	B	C	$(\sim A \rightarrow B) \rightarrow C$	B / C
T	T	T	T	T
T	T	F	F	F
T	F	T	T	T
T	F	F	F	F
F	T	T	T	T
F	T	F	F	F
F	F	T	T	T
F	F	F	T	F

Now we simply look to see whether any interpretation makes all the premises true but the conclusion not. Interpretations represented by the top row, ones that make A , B , and C all T, do not make the premises true and the conclusion not, because both the premises and the conclusion come out true. In the second row, the conclusion is false, but the first premise is false as well; so not *all* the premises are true *and* the conclusion is false. In the third row, we do not have either all the premises true or the conclusion false. In the fourth row, though the conclusion is false, the premises are not true. In the fifth row, the premises are true, but the conclusion is not false. In the sixth row, the first premise is not true, and in the seventh and eighth rows, the second premise is not true. So no interpretation makes the premises true and the conclusion false. So by **SV**, $(\sim A \rightarrow B) \rightarrow C, B \models_s C$. Notice that the only column that matters for a complex formula is the one under its main operator — the one that gives the value of *the sentence* for each of the interpretations; the other columns exist only to support the calculation of the value of the whole.

In contrast, $\sim[(B \rightarrow A) \rightarrow B] \not\models_s \sim(A \rightarrow B)$. That is, an argument with premise, $\sim[(B \rightarrow A) \rightarrow B]$ and conclusion $\sim(A \rightarrow B)$ is not sententially valid.

A	B	$\sim[(B \rightarrow A) \rightarrow B]$	$\sim(A \rightarrow B)$
T	T	F	F
T	F	T	T
F	T	F	F
F	F	T	T

(Q) \leftarrow

In the first row, the premise is F. In the second, the conclusion is T. In the third, the premise is F. However, in the last, the premise is T, and the conclusion is F. So there are interpretations (any interpretation that makes A and B both F) that make the premise T and the conclusion not true. So by **SV**, $\sim[(B \rightarrow A) \rightarrow B] \not\models_s \sim(A \rightarrow B)$, and the argument is not sententially valid. All it takes is *one* interpretation that makes all the premises T and the conclusion F to render an argument not sententially valid. Of course, there might be more than one, but one is enough!

As a final example, consider table (I) for $\sim(A \rightarrow \sim B) \rightarrow C$ on p. 104 above. From the table, there is an interpretation where the sentence is not true. Thus, by

SV, $\not\models_s \sim(A \rightarrow \sim B) \rightarrow C$. A sentence is valid only when it is true on every interpretation. Since there is an interpretation on which it is not true, the sentence is not valid (not a logical truth).

Since all it takes to demonstrate invalidity is *one* interpretation on which all the premises are true and the conclusion is false, we do not actually need an entire table to demonstrate invalidity. You may decide to produce a whole truth table in order to *find* an interpretation to demonstrate invalidity. But we can sometimes work “backward” from what we are trying to show to an interpretation that does the job. Thus, for example, to find the result from table (Q), we need an interpretation on which the premise is T and the conclusion is F. That is, we need a row like this,

(R)

A	B	$\sim[(B \rightarrow A) \rightarrow B]$	$/$	$\sim(A \rightarrow B)$
		T		F

In order for the premise to be T, the conditional in the brackets must be F. And in order for the conclusion to be F, the conditional must be T. So we can fill in this much.

(S)

A	B	$\sim[(B \rightarrow A) \rightarrow B]$	$/$	$\sim(A \rightarrow B)$
		T	F	F
				T

Since there are three ways for an arrow to be T, there is not much to be done with the conclusion. But since the conditional in the premise is F, we know that its antecedent is T and consequent is F. So we have,

(T)

A	B	$\sim[(B \rightarrow A) \rightarrow B]$	$/$	$\sim(A \rightarrow B)$
		T	T	F
		F	F	T

That is, if the conditional in the brackets is F, then $(B \rightarrow A)$ is T and B is F. But now we can fill in the information about B wherever it occurs. The result is as follows.

(U)

A	B	$\sim[(B \rightarrow A) \rightarrow B]$	$/$	$\sim(A \rightarrow B)$
F	T	F	F	T
F	F	T	F	F

Since the first B in the premise is F, the first conditional in the premise is T irrespective of the assignment to A . But, with B false, the only way for the conditional in the argument’s conclusion to be T is for A to be false as well. The result is our completed row.

(V)

A	B	$\sim[(B \rightarrow A) \rightarrow B]$	$/$	$\sim(A \rightarrow B)$
F	T	F	F	T
F	F	T	F	F

And we have recovered the row that demonstrates invalidity — without doing the entire table. In this case, the full table had only four rows, and we might just as well have done the whole thing. However, when there are many rows, this “shortcut” approach can be attractive. A disadvantage is that sometimes it is not obvious just

how to proceed. In this example each stage led to the next. At stage (S), there were three ways to make the conclusion true. We were able to proceed insofar as the premise forced the next step. But it might have been that neither the premise nor the conclusion forced a definite next stage. In this sort of case, you might decide to do the whole table, just so that you can grapple with all the different combinations in an orderly way.

Notice what happens when we try this approach with an argument that is not invalid. Returning to argument (P) above, suppose we try to find a row where the premises are T and the conclusion is F. That is, we set out to find a row like this,

$$(W) \quad \begin{array}{c|ccc} A & B & C & (\sim A \rightarrow B) \rightarrow C & B / C \\ \hline & & & T & T \quad F \end{array}$$

Immediately, we are in a position to fill in values for B and C .

$$(X) \quad \begin{array}{c|ccc} A & B & C & (\sim A \rightarrow B) \rightarrow C & B / C \\ \hline T & F & & T & T \quad F \quad T \quad F \end{array}$$

Since the first premise is a true arrow with a false consequent, its antecedent $(\sim A \rightarrow B)$ must be F. But this requires that $\sim A$ be T and that B be F.

$$(Y) \quad \begin{array}{c|cccc} A & B & C & (\sim A \rightarrow B) \rightarrow C & B / C \\ \hline T & F & & T \quad F \quad F/T & T \quad F \quad T \quad F \end{array}$$

And there is no way to set B to F, as we have already seen that it has to be T in order to keep the second premise true — and no interpretation makes B both T and F. At this stage, we know, in our hearts, that there is no way to make both of the premises true and the conclusion false. In Part II we will turn this knowledge into an official mode of reasoning for validity. However, for now, let us consider a single row of a truth table (or a marked row of a full table) sufficient to demonstrate *invalidity*, but require a full table, exhibiting all the options, to show that an argument is sententially valid.

You may encounter odd situations where premises are never T, where conclusions are never F, or whatever. But if you stick to the definition, always asking whether there is any interpretation of the basic sentences that makes all the premises T and the conclusion F, all will be well.

E4.3. For each of the following, use truth tables to decide whether the entailment claims hold. Notice that a couple of the tables are already done from E4.2.

*a. $A \rightarrow \sim A \models_s \sim A$

b. $\sim A \rightarrow A \models_s \sim A$

- *c. $A \rightarrow B, \sim A \models_s \sim B$
- d. $A \rightarrow B, \sim B \models_s \sim A$
- e. $\sim(A \rightarrow \sim B) \models_s B$
- f. $\models_s C \rightarrow (A \rightarrow B)$
- *g. $\models_s [A \rightarrow (C \rightarrow B)] \rightarrow [(A \rightarrow C) \rightarrow (A \rightarrow B)]$
- h. $(A \rightarrow B) \rightarrow \sim(B \rightarrow A), \sim A, \sim B \models_s \sim(C \rightarrow C)$
- i. $[A \rightarrow \sim(B \rightarrow \sim C)], [B \rightarrow (\sim C \rightarrow D)] \models_s A \rightarrow \sim(B \rightarrow \sim D)$
- j. $\sim[(A \rightarrow \sim(B \rightarrow \sim C)) \rightarrow D], \sim D \rightarrow A \models_s C$

4.1.4 Abbreviations

We turn, finally to applications for our abbreviations. Consider, first, a truth table for $\mathcal{P} \vee \mathcal{Q}$, that is for $\sim \mathcal{P} \rightarrow \mathcal{Q}$.

\mathcal{P}	\mathcal{Q}	$\sim \mathcal{P} \rightarrow \mathcal{Q}$		\mathcal{P}	\mathcal{Q}	$\mathcal{P} \vee \mathcal{Q}$
T	T	T	so that	T	T	T
T	F	T		T	F	T
F	T	T		F	T	T
F	F	F		F	F	F

When \mathcal{P} is T and \mathcal{Q} is T, $\mathcal{P} \vee \mathcal{Q}$ is T; when \mathcal{P} is T and \mathcal{Q} is F, $\mathcal{P} \vee \mathcal{Q}$ is T; and so forth. Thus, when \mathcal{P} is T and \mathcal{Q} is T, we *know* that $\mathcal{P} \vee \mathcal{Q}$ is T, without going through all the steps to get there in the unabbreviated form. Just as when \mathcal{P} is a formula and \mathcal{Q} is a formula, we move directly to the conclusion that $\mathcal{P} \vee \mathcal{Q}$ is a formula without explicitly working all the intervening steps, so if we know the truth value of \mathcal{P} and the truth value of \mathcal{Q} , we can move in a tree by the above table to the truth value of $\mathcal{P} \vee \mathcal{Q}$ without all the intervening steps. And similarly for the other abbreviating sentential operators. For \wedge ,

\mathcal{P}	\mathcal{Q}	$\sim(\mathcal{P} \rightarrow \sim \mathcal{Q})$		\mathcal{P}	\mathcal{Q}	$\mathcal{P} \wedge \mathcal{Q}$
T	T	T	so that	T	T	T
T	F	F		T	F	F
F	T	F		F	T	F
F	F	F		F	F	F

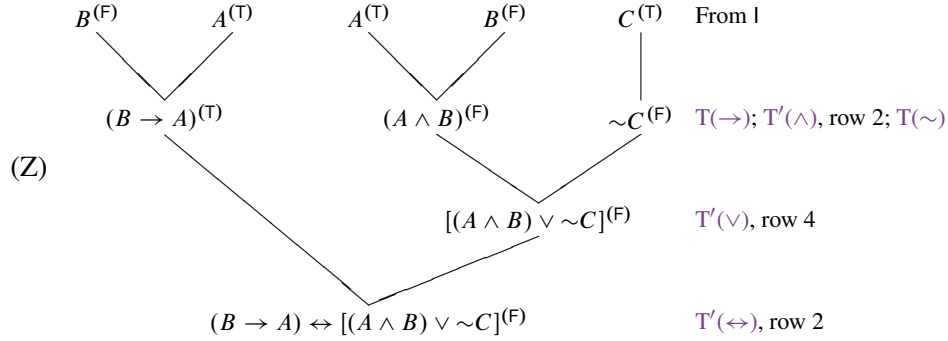
And for (\leftrightarrow) ,

\mathcal{P}	\mathcal{Q}	$\sim[(\mathcal{P} \rightarrow \mathcal{Q}) \rightarrow \sim(\mathcal{Q} \rightarrow \mathcal{P})]$		\mathcal{P}	\mathcal{Q}	$\mathcal{P} \leftrightarrow \mathcal{Q}$
T	T	T	T T T F F T T T	T	T	T
T	F	F	T F F T F F T T	T	F	F
F	T	F	F T T T T T F F	F	T	F
F	F	T	F F F F F T F	F	F	T

so that

As a help toward remembering these tables, notice that $\mathcal{P} \vee \mathcal{Q}$ is F only when \mathcal{P} is F and \mathcal{Q} is F; $\mathcal{P} \wedge \mathcal{Q}$ is T only when \mathcal{P} is T and \mathcal{Q} is T; and $\mathcal{P} \leftrightarrow \mathcal{Q}$ is T only when \mathcal{P} and \mathcal{Q} are the *same* and F when \mathcal{P} and \mathcal{Q} are different. We can think of these clauses as representing derived clauses $T'(\vee)$, $T'(\wedge)$, and $T'(\leftrightarrow)$ to the definition for truth.

And nothing prevents direct application of the derived tables in trees. Suppose, for example, $I[A] = T$, $I[B] = F$, and $I[C] = T$. Then $I[(B \rightarrow A) \leftrightarrow [(A \wedge B) \vee \sim C]] = F$.



We might get the same result by working through the full tree for the unabbreviated form. But there is no need. When A is T and B is F, we *know* that $(A \wedge B)$ is F; when $(A \wedge B)$ is F and $\sim C$ is F, we *know* that $[(A \wedge B) \vee C]$ is F; and so forth. Thus we move through the tree directly by the derived tables.

Similarly, we can work directly with abbreviated forms in truth tables.

There are a couple of different ways tables for our operators can be understood: First, as we shall see in [Part III](#), it is possible to take tables for operators other than \sim and \rightarrow as basic, say, just $T(\sim)$ and $T(\vee)$, or just $T(\sim)$ and $T(\wedge)$, and then abbreviate \rightarrow in terms of them. Challenge: What expression involving just \sim and \vee has the same table as \rightarrow ? what expression involving just \sim and \wedge ? Another option is to introduce all five as basic. Then the task is not *showing* that the table for \vee is TTTF — that is given; rather we simply notice that $\mathcal{P} \vee \mathcal{Q}$, say, is redundant with $\sim \mathcal{P} \rightarrow \mathcal{Q}$. Again, our approach with \sim and \rightarrow basic has the advantage of preserving relative simplicity in the basic language (though other minimal approaches would do so as well).

(AA)	A	B	C	$(B \rightarrow A) \leftrightarrow [(A \wedge B) \vee \sim C]$			
	T	T	T	T	T	T	T
	T	T	F	T	T	T	T
	T	F	T	F	T	F	T
	T	F	F	F	T	F	T
	F	T	T	T	F	F	T
	F	T	F	T	F	F	T
	F	F	T	F	F	F	T
	F	F	F	F	F	F	T

Tree (Z) represents just the third row of this table. As before, we construct the table “vertically,” with tables for abbreviating operators in mind as appropriate.

Finally, given that we have tables for abbreviated forms, we can use them for evaluation of *arguments* with abbreviated forms. Thus, for example, $A \leftrightarrow B$, $A \models_s A \wedge B$.

(AB)	A	B	$(A \leftrightarrow B)$	A	$(A \wedge B)$
	T	T	T	T	T
	T	F	F	T	F
	F	T	F	F	T
	F	F	F	F	F

There is no row where each of the premises is true and the conclusion is false. So the argument is sententially valid. And, from either of the following rows,

(AC)	A	B	C	D	$[(B \rightarrow A) \wedge (\sim C \vee D)]$	$[(A \leftrightarrow \sim D) \wedge (\sim D \rightarrow B)]$	B
	F	F	T	T	F	T	F
	F	F	F	T	F	T	F

we may conclude that $[(B \rightarrow A) \wedge (\sim C \vee D)], [(A \leftrightarrow \sim D) \wedge (\sim D \rightarrow B)] \not\models_s B$. In this case, the shortcut table is attractive relative to the full version with sixteen rows!

E4.4. For each of the following, use truth tables to decide whether the entailment claims hold.

a. $\models_s A \vee \sim A$

b. $A \leftrightarrow [\sim A \leftrightarrow (A \wedge \sim A)], A \rightarrow \sim(A \leftrightarrow A) \models_s \sim A \rightarrow A$

*c. $B \vee \sim C \models_s B \rightarrow C$

*d. $A \vee B, \sim C \rightarrow \sim A, \sim(B \wedge \sim C) \models_s C$

e. $A \rightarrow (B \vee C), C \leftrightarrow B, \sim C \models_s \sim A$

f. $\sim(A \wedge \sim B) \models_s \sim A \vee B$

Semantics Quick Reference (Sentential)

For any formal language \mathcal{L} , a *sentential interpretation* assigns a truth value *true* or *false*, T or F, to each of its basic sentences. Then for any interpretation I,

- ST (∼) For any sentence \mathcal{P} , $I[\sim\mathcal{P}] = \text{T}$ iff $I[\mathcal{P}] = \text{F}$; otherwise $I[\sim\mathcal{P}] = \text{F}$.
 (\rightarrow) For any sentences \mathcal{P} and \mathcal{Q} , $I[(\mathcal{P} \rightarrow \mathcal{Q})] = \text{T}$ iff $I[\mathcal{P}] = \text{F}$ or $I[\mathcal{Q}] = \text{T}$ (or both); otherwise $I[(\mathcal{P} \rightarrow \mathcal{Q})] = \text{F}$.

And for abbreviated expressions,

- ST' (\wedge) For any sentences \mathcal{P} and \mathcal{Q} , $I[(\mathcal{P} \wedge \mathcal{Q})] = \text{T}$ iff $I[\mathcal{P}] = \text{T}$ and $I[\mathcal{Q}] = \text{T}$; otherwise $I[(\mathcal{P} \wedge \mathcal{Q})] = \text{F}$.
 (\vee) For any sentences \mathcal{P} and \mathcal{Q} , $I[(\mathcal{P} \vee \mathcal{Q})] = \text{T}$ iff $I[\mathcal{P}] = \text{T}$ or $I[\mathcal{Q}] = \text{T}$ (or both); otherwise $I[(\mathcal{P} \vee \mathcal{Q})] = \text{F}$.
 (\leftrightarrow) For any sentences \mathcal{P} and \mathcal{Q} , $I[(\mathcal{P} \leftrightarrow \mathcal{Q})] = \text{T}$ iff $I[\mathcal{P}] = I[\mathcal{Q}]$; otherwise $I[(\mathcal{P} \leftrightarrow \mathcal{Q})] = \text{F}$.

If Γ (Gamma) is a set of formulas, $I[\Gamma] = \text{T}$ iff $I[\mathcal{P}] = \text{T}$ for each \mathcal{P} in Γ . Then, where the members of Γ are the formal premises of an argument, and sentence \mathcal{P} is its conclusion,

- SV Γ *sententially entails* \mathcal{P} iff there is no sentential interpretation I such that $I[\Gamma] = \text{T}$ but $I[\mathcal{P}] = \text{F}$.

We treat a single row of a truth table (or a marked row of a full table) as sufficient to demonstrate *invalidity*, but require a full table, exhibiting all the options, to show that an argument is *sententially valid*.

$$\text{g. } A \wedge (B \rightarrow C) \models_s (A \wedge B) \vee (A \wedge C)$$

$$\text{*h. } \models_s \sim(A \leftrightarrow B) \leftrightarrow (A \wedge \sim B)$$

$$\text{i. } A \vee (B \wedge \sim C), \sim(\sim B \vee C) \rightarrow \sim A \models_s \sim A \leftrightarrow \sim(C \vee \sim B)$$

$$\text{j. } A \vee B, \sim D \rightarrow (C \vee A) \models_s B \leftrightarrow \sim C$$

E4.5. For each of the following, use truth tables to decide whether the entailment claims hold. Hint: the trick here is to identify the *basic* sentences. After that,

everything proceeds in the usual way with truth values assigned to the basic sentences.

$$*a. \exists x Ax \rightarrow \exists x Bx, \sim \exists x Ax \models_s \exists x Bx$$

$$b. \forall x Ax \rightarrow \sim \exists x (Ax \wedge \forall y By), \exists x (Ax \wedge \forall y By) \models_s \sim \forall x Ax$$

E4.6. For each of the following concepts, explain in an essay of about two pages, so that Hannah could understand. In your essay, you should (i) identify the objects to which the concept applies, (ii) give and explain the definition, and give and explicate examples of your own construction (iii) where the concept applies, and (iv) where it does not. Your essay should exhibit an understanding of methods from the text.

- a. Sentential interpretations and truth for complex sentences.
- b. Sentential validity.

4.2 Quantificational

Semantics for the quantificational case work along the same lines as the sentential one. Sentences are true or false relative to an interpretation; arguments are semantically valid when there is no interpretation on which the premises are true and the conclusion is not. But, corresponding to differences between sentential and quantificational languages, the notion of an interpretation differs. And we introduce a preliminary notion of a *term* assignment, along with a preliminary notion of *satisfaction* distinct from truth, before we get to truth and validity. Certain issues are put off for [chapter 7](#) at the start of [Part II](#). However, we should be able to do enough to see *how* the definitions work. This time, we will say a bit more about connections to English, though it remains important to see the definitions for what they are, and we leave official discussion of translation to the next chapter.

4.2.1 Interpretations

Given a quantificational language \mathcal{L} , formulas are true relative to a *quantificational interpretation*. As in the sentential case, languages do not *come* associated with any interpretation. Rather, a language consists of symbols which may be interpreted in different ways. In the sentential case, interpretations assigned T or F to basic sentences — and the assignments were made in arbitrary ways. Now assignments are more complex, but remain arbitrary. In general,

- QI A *quantificational interpretation* I of language \mathcal{L} , consists of a nonempty set U , the *universe* of the interpretation, along with,
- (s) An assignment of a truth value $I[\mathcal{S}]$ to each sentence letter \mathcal{S} of \mathcal{L} .
 - (c) An assignment of a member $I[c]$ of U to each constant symbol c of \mathcal{L} .
 - (r) An assignment of an n -place relation $I[\mathcal{R}^n]$ on U to each n -place relation symbol \mathcal{R}^n of \mathcal{L} , where $I[=]$ is always assigned $\{\langle o, o \rangle \mid o \in U\}$.
 - (f) An assignment of a total n -place function $I[h^n]$ from U^n to U , to each n -place function symbol h^n of \mathcal{L} .

The notions of a *function* and a *relation* come from set theory, for which you might want to check out the [set theory](#) summary on p. 117. Conceived literally and mathematically, these assignments are themselves *functions* from symbols in the language \mathcal{L} to objects. Each sentence letter is associated with a truth value, T or F — this is no different than before. Each constant symbol is associated with some element of U . Each n -place relation symbol is associated with a subset of U^n — with a set whose members are of the sort $\langle a_1 \dots a_n \rangle$ where $a_1 \dots a_n$ are elements of U . And each n -place function symbol is associated with a set whose members are of the sort $\langle \langle a_1 \dots a_n \rangle, b \rangle$ where $a_1 \dots a_n$ and b are elements of U . And where $U = \{a, b, c \dots\}$, $I[=]$ is $\{\langle a, a \rangle, \langle b, b \rangle, \langle c, c \rangle, \dots\}$. Notice that U may be any non-empty set, and so need not be countable. Any such assignments count as a quantificational interpretation.

Intuitively, the universe contains whatever objects are under consideration in a given context. Thus one may ask whether “everyone” understands the notion of an interpretation, and have in mind some limited collection of individuals — not literally everyone. Constant symbols work like proper names: Constant symbol a names the object $I[a]$ with which it is associated. So, for example, in \mathcal{L}_q we might set $I[b]$ to Bill, and $I[h]$ to Hillary. Relation symbols are interpreted like predicates: Relation symbol \mathcal{R}^n *applies* to the n -tuples with which it is associated. Thus, in \mathcal{L}_q where U is the set of all people, we might set $I[H^1]$ to $\{o \mid o \text{ is happy}\}$,² and $I[L^2]$ to $\{\langle m, n \rangle \mid m \text{ loves } n\}$. Then if Bill is happy, H applies to Bill, and if Bill loves Hillary, L applies to $\langle \text{Bill}, \text{Hillary} \rangle$, though if she is mad enough, L might not apply to $\langle \text{Hillary}, \text{Bill} \rangle$. Function symbols are used to pick out one object by means of other(s). Thus, when we say that *Bill’s father* is happy, we pick out an object (the father) by means of another (Bill). Similarly, function symbols are like “oblique”

²Or $\{\langle o \rangle \mid o \text{ is happy}\}$. As mentioned in the [set theory](#) guide, one-tuples are collapsed into their members.

Basic Notions of Set Theory

- I. A *set* is a thing that may have other things as elements or members. If m is a member of set s we write $m \in s$. One set is identical to another iff their members are the same — so order is irrelevant. The members of a set may be specified by list: $\{\text{Sally, Bob, Jim}\}$, or by membership condition: $\{o \mid o \text{ is a student at CSUSB}\}$; read, ‘the set of all objects o such that o is a student at CSUSB’. Since sets are things, nothing prevents a set with other sets as members.
- II. Like a set, an *n -tuple* is a thing with other things as elements or members. For any integer n , an n -tuple has n elements, where order matters. 2-tuples are frequently referred to as “pairs.” An n -tuple may be specified by list: $\langle \text{Sally, Bob, Jim} \rangle$, or by membership condition, ‘the first 5 people (taken in order) in line at the Bursar’s window’. Nothing prevents sets of n -tuples, as $\{\langle m, n \rangle \mid m \text{ loves } n\}$; read, ‘the set of all m/n pairs such that the first member loves the second’. 1-tuples are frequently equated with their members. So, depending on context, $\{\text{Sally, Bob, Jim}\}$ may be $\{\langle \text{Sally} \rangle, \langle \text{Bob} \rangle, \langle \text{Jim} \rangle\}$.
- III. Set r is a *subset* of set s iff any member of r is also a member of s . If r is a subset of s we write $r \subseteq s$. r is a *proper subset* of s ($r \subset s$) iff $r \subseteq s$ but $r \neq s$. Thus, for example, the subsets of $\{m, n, o\}$ are $\{\}$, $\{m\}$, $\{n\}$, $\{o\}$, $\{m, n\}$, $\{m, o\}$, $\{n, o\}$, and $\{m, n, o\}$. All but $\{m, n, o\}$ are proper subsets of $\{m, n, o\}$. Notice that the *empty set* is a subset of any set s , for it is sure to be the case that any member of it is also a member of s .
- IV. The *union* of sets r and s is the set of all objects that are members of r or s . Thus, if $r = \{m, n\}$ and $s = \{n, o\}$, then the union of r and s , $(r \cup s) = \{m, n, o\}$. Given a larger collection of sets, s_1, s_2, \dots the union of them all, $\bigcup s_1, s_2, \dots$ is the set of all objects that are members of s_1 , or s_2 , or \dots . Similarly, the *intersection* of sets r and s is the set of all objects that are members of r and s . Thus the intersection of r and s , $(r \cap s) = \{n\}$, and $\bigcap s_1, s_2, \dots$ is the set of all objects that are members of s_1 , and s_2 , and \dots .
- V. Let s^n be the set of all n -tuples formed from members of s . Then an *n -place relation on set s* is any subset of s^n . Thus, for example, $\{\langle m, n \rangle \mid m \text{ is married to } n\}$ is a subset of the pairs of people, and so is a 2-place relation on the set of people. An *n -place function from r^n to s* is a set of pairs whose first member is an element of r^n and whose second member is an element of s — where no member of r^n is paired with more than one member of s . Thus $\langle \langle 1, 1 \rangle, 2 \rangle$ and $\langle \langle 1, 2 \rangle, 3 \rangle$ might be members of an addition function. $\langle \langle 1, 1 \rangle, 2 \rangle$ and $\langle \langle 1, 1 \rangle, 3 \rangle$ could not be members of the *same* function. A *total* function from r^n to s is one that pairs *each* member of r^n with some member of s . We think of the first element of these pairs as an *input*, and the second as the function’s *output* for that input. Thus if $\langle \langle m, n \rangle, o \rangle \in f$ we say $f(m, n) = o$.

names which pick out objects in response to inputs. Such behavior is commonplace in mathematics when we say, for example that $3 + 3$ is even — and we are talking about 6. Thus we might assign $\{\langle m, n \rangle \mid n \text{ is the father of } m\}$ to one-place function symbol f and $\{\langle \langle m, n \rangle, o \rangle \mid m \text{ plus } n = o\}$ to two-place function symbol p .

For some examples of interpretations, let us return to the language \mathcal{L}_{NT}^{\leq} from section 2.3.5 on p. 65. Recall that \mathcal{L}_{NT}^{\leq} includes just constant symbol \emptyset ; two-place relation symbols $<$, $=$; one-place function symbol S ; and two-place function symbols \times and $+$. Given these symbols, terms and formulas are generated in the usual way. Where \mathbb{N} is the set $\{0, 1, 2, \dots\}$ of *natural numbers*³ and the *successor* of any integer is the integer after it, the *standard* interpretation N1 for \mathcal{L}_{NT}^{\leq} has universe \mathbb{N} with,

$$\begin{aligned} \text{N1} \quad & \text{N1}[\emptyset] = 0 \\ & \text{N1}[<] = \{\langle m, n \rangle \mid m, n \in \mathbb{N}, \text{ and } m \text{ is less than } n\} \\ & \text{N1}[S] = \{\langle m, n \rangle \mid m, n \in \mathbb{N}, \text{ and } n \text{ is the successor of } m\} \\ & \text{N1}[+] = \{\langle \langle m, n \rangle, o \rangle \mid m, n, o \in \mathbb{N}, \text{ and } m \text{ plus } n \text{ equals } o\} \\ & \text{N1}[\times] = \{\langle \langle m, n \rangle, o \rangle \mid m, n, o \in \mathbb{N}, \text{ and } m \text{ times } n \text{ equals } o\} \end{aligned}$$

where it is automatic from Q1 that $\text{N1}[=]$ is $\{\langle 1, 1 \rangle, \langle 2, 2 \rangle, \langle 3, 3 \rangle, \dots\}$. The *standard interpretation* N of the minimal language \mathcal{L}_{NT} which omits the $<$ symbol is like N1 but without the interpretation of $<$. These definitions work just as we expect. Thus, for example,

$$\begin{aligned} & \text{N1}[S] = \{\langle 0, 1 \rangle, \langle 1, 2 \rangle, \langle 2, 3 \rangle, \dots\} \\ (\text{AD}) \quad & \text{N1}[<] = \{\langle 0, 1 \rangle, \langle 0, 2 \rangle, \langle 0, 3 \rangle, \dots, \langle 1, 2 \rangle, \langle 1, 3 \rangle, \dots\} \\ & \text{N1}[+] = \{\langle \langle 0, 0 \rangle, 0 \rangle, \langle \langle 0, 1 \rangle, 1 \rangle, \langle \langle 0, 2 \rangle, 2 \rangle, \dots, \langle \langle 1, 0 \rangle, 0 \rangle, \langle \langle 1, 1 \rangle, 2 \rangle, \dots\} \end{aligned}$$

The standard interpretation represents the way you have understood these symbols since grade school.

But there is nothing sacred about this interpretation. Thus, for example, we might introduce an I with $U = \{\text{Bill}, \text{Hill}\}$ and,

$$\begin{aligned} \text{I} \quad & \text{I}[\emptyset] = \text{Bill} \\ & \text{I}[<] = \{\langle \text{Hill}, \text{Hill} \rangle, \langle \text{Hill}, \text{Bill} \rangle\} \\ & \text{I}[S] = \{\langle \text{Bill}, \text{Bill} \rangle, \langle \text{Hill}, \text{Hill} \rangle\} \\ & \text{I}[+] = \{\langle \langle \text{Bill}, \text{Bill} \rangle, \text{Bill} \rangle, \langle \langle \text{Bill}, \text{Hill} \rangle, \text{Bill} \rangle, \langle \langle \text{Hill}, \text{Bill} \rangle, \text{Hill} \rangle, \langle \langle \text{Hill}, \text{Hill} \rangle, \text{Hill} \rangle\} \\ & \text{I}[\times] = \{\langle \langle \text{Bill}, \text{Bill} \rangle, \text{Hill} \rangle, \langle \langle \text{Bill}, \text{Hill} \rangle, \text{Bill} \rangle, \langle \langle \text{Hill}, \text{Bill} \rangle, \text{Bill} \rangle, \langle \langle \text{Hill}, \text{Hill} \rangle, \text{Bill} \rangle\} \end{aligned}$$

³There is a problem of terminology: Strangely, many texts for elementary and high school mathematics exclude zero from the natural numbers, where most higher-level texts do not. We take the latter course.

This assigns a member of the universe to the constant symbol; a set of pairs to the two-place relation symbol (where the interpretation of $=$ is automatic); a total 1-place function to S , and total 2-place functions to \times and $+$. So it counts as an interpretation of \mathcal{L}_{NT}^{\leq} .

It is frequently convenient to link assignments with bits of (relatively) ordinary language. This is a key to translation, as explored in the next chapter! But there is no requirement that we link up with ordinary language. All that is required is that we assign a member of U to the constant symbol, a subset of U^2 to the 2-place relation symbol, and a total function from U^n to U to each n -place function symbol. That is all that is required — and nothing beyond that is required in order to say what the function and predicate symbols “mean.” So I counts as a legitimate (though non-standard) interpretation of \mathcal{L}_{NT}^{\leq} . With a language like \mathcal{L}_q it is not always possible to specify assignments for *all* the symbols in the language. Even so, we can specify a *partial* interpretation — an interpretation for the symbols that matter in a given context.

E4.7. Suppose Bill and Hill have another child and (for reasons known only to them) name him Dill. Where $U = \{\text{Bill, Hill, Dill}\}$, give another interpretation J for \mathcal{L}_{NT}^{\leq} . Arrange your interpretation so that: (i) $J[\emptyset] \neq \text{Bill}$; (ii) there are exactly five pairs in $J[<]$; and (iii) for any m , $\langle m, \text{Bill} \rangle, \text{Dill}$ and $\langle \text{Bill}, m \rangle, \text{Dill}$ are in $J[+]$. Include $J[=]$ in your account. Hint: a two-place total function on a three-member universe should have $3^2 = 9$ members.

4.2.2 Term Assignments

For some language \mathcal{L} , say $U = \{o \mid o \text{ is a person}\}$, one-place predicate H is assigned the set of happy people, and constant b is assigned Bill. Perhaps H applies to Bill. In this case, Hb comes out true. Intuitively, however, we cannot say that Hx is either true or false on this interpretation, precisely because there is no particular individual that x picks out — we do not know *who* is supposed to be happy. However we will be able to say that Hx is *satisfied* or not when the interpretation is supplemented with a *variable (designation) assignment* d associating each variable with some individual in U .

Given a language \mathcal{L} and interpretation I , a *variable assignment* d is a total function from the variables of \mathcal{L} to objects in the universe U . Conceived pictorially, where $U = \{o_1, o_2, \dots\}$, d and h are variable assignments.

d	i	j	k	l	m	n	o	p	...
	↓	↓	↓	↓	↓	↓	↓	↓	
	o_1	o_2	o_3	o_4	o_5	o_6	o_7	o_8	

h	i	j	k	l	m	n	o	p	...
	↓	↓	↙	↓	↓	↓	↓	↓	
	o_1	o_2	o_3	o_4	o_5	o_6	o_7	o_8	

If d assigns o to x we write $d[x] = o$. So $d[k] = o_3$ and $h[k] = o_2$. Observe that the total function from variables to things assigns some element of U to every variable of \mathcal{L} . But this leaves room for one thing assigned to different variables, and things assigned to no variable at all. For any assignment d , $d(x|o)$ is the assignment that is just like d except that x is assigned to o . Thus, $d(k|o_2) = h$. Similarly,

k	i	j	k	l	m	n	o	p	...
	↓	↓	↙	↘	↓	↓	↓	↓	
	o_1	o_2	o_3	o_4	o_5	o_6	o_7	o_8	

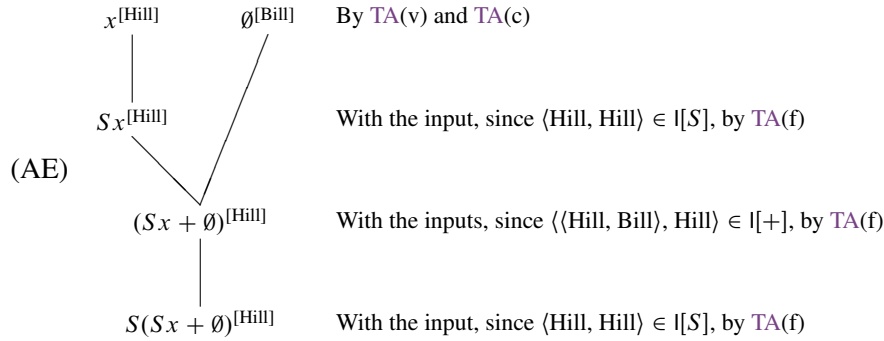
$d(k|o_2, l|o_5) = h(l|o_5) = k$. Of course, if some d already has x assigned to o , then $d(x|o)$ is just d . Thus, for example, $k(i|o_1)$ is just k itself. We will be willing to say that Hx is *satisfied* or not satisfied relative to an interpretation supplemented by a variable assignment. But before we get to satisfaction, we need the general notion of a *term* assignment.

In general, a term contributes to a formula by picking out some member of the universe U — terms act something like names. We have seen that an interpretation I assigns a member $I[c]$ of U to each constant symbol c . And a variable assignment d assigns a member $d[x]$ to each variable x . But these are assignments just to “basic” terms. An interpretation assigns to function symbols, not members of U , but certain complex sets. Still an interpretation I supplemented by a variable assignment d , is sufficient to associate a member $I_d[t]$ of U with any term t of \mathcal{L} . Where $\langle \langle a_1 \dots a_n \rangle, b \rangle \in I[h^n]$, let $I[h^n]\langle a_1 \dots a_n \rangle = b$; that is, $I[h^n]\langle a_1 \dots a_n \rangle$ is the thing the function $I[h^n]$ associates with input $\langle a_1 \dots a_n \rangle$. Thus, for example, $NI[+]\langle 1, 1 \rangle = 2$ and $I[+]\langle \text{Bill}, \text{Hill} \rangle = \text{Bill}$. Then for any interpretation I , variable assignment d , and term t ,

TA (c) If c is a constant, then $I_d[c] = I[c]$.

- (v) If x is a variable, then $l_d[x] = d[x]$.
- (f) If h^n is a function symbol and $t_1 \dots t_n$ are terms, then $l_d[h^n t_1 \dots t_n] = l[h^n](l_d[t_1] \dots l_d[t_n])$.

The first two clauses take over assignments to constants and variables from l and d . The last clause is parallel to the one by which terms are formed. The assignment to a complex term depends on assignments to the terms that are its parts, with the interpretation of the relevant function symbol. Again, the definition is recursive, and we can see how it works on a tree — in this case, one with the very same shape as the one by which we see that an expression is in fact a term. Say the interpretation of \mathcal{L}_{NT}^{\leq} is l as above, and $d[x] = \text{Hill}$; then $l_d[S(Sx + \emptyset)] = \text{Hill}$.



As usual, basic elements occur in the top row. Other elements are fixed by ones that come before. The hard part about definition **TA** is just reading clause (f). It is perhaps easier to apply in practice than to read. For a complex term, assignments to terms that are the parts, together with the assignment to the function symbol determine the assignment to the whole. And this is just what clause (f) says. For practice, convince yourself that $l_{d(x|Bill)}[S(Sx + \emptyset)] = \text{Bill}$, and where $N1$ is as above and $d[x] = 1$, $N1_d[S(Sx + \emptyset)] = 3$.

E4.8. For \mathcal{L}_{NT}^{\leq} and interpretation $N1$ as above on p. 118, let d include,

	w	x	y	z
d	\downarrow	\downarrow	\downarrow	\downarrow
	1	2	3	4

and use trees to determine each of the following.

- *a. $N1_d[+xS\emptyset]$
- b. $N1_d[x + (SS\emptyset \times x)]$

$$c. N1_d[w \times S(\emptyset + (y \times SSSz))]$$

$$*d. N1_{d(x|4)}[x + (SS\emptyset \times x)]$$

$$e. N1_{d(x|1, w|2)}[S(x \times (S\emptyset + Sw))]$$

E4.9. For \mathcal{L}_{NT}^{\leq} and interpretation I as above on p. 118, let d include,

	w	x	y	z
d	\downarrow	\downarrow	\downarrow	\downarrow
	Bill	Hill	Hill	Hill

and use trees to determine each of the following.

$$*a. I_d[+xS\emptyset]$$

$$b. I_d[x + (SS\emptyset \times x)]$$

$$c. I_d[w \times S(\emptyset + (y \times SSSz))]$$

$$*d. I_{d(x|Bill)}[x + (SS\emptyset \times x)]$$

$$e. I_{d(x|Bill, w|Hill)}[S(x \times (S\emptyset + Sw))]$$

E4.10. Consider your interpretation J for \mathcal{L}_{NT}^{\leq} from E4.7. Supposing that $d[w] = \text{Bill}$, $d[y] = \text{Hill}$, and $d[z] = \text{Dill}$, determine $J_d[w \times S(\emptyset + (y \times SSSz))]$. Explain how your interpretation has this result.

E4.11. For \mathcal{L}_q and an interpretation K with universe $U = \{\text{Amy}, \text{Bob}, \text{Chris}\}$ with,

$$\begin{aligned} K \quad & K[a] = \text{Amy} \\ & K[c] = \text{Chris} \\ & K[f^1] = \{\langle \text{Amy}, \text{Bob} \rangle, \langle \text{Bob}, \text{Chris} \rangle, \langle \text{Chris}, \text{Amy} \rangle\} \\ & K[g^2] = \{\langle \langle \text{Amy}, \text{Amy} \rangle, \text{Amy} \rangle, \langle \langle \text{Amy}, \text{Bob} \rangle, \text{Chris} \rangle, \langle \langle \text{Amy}, \text{Chris} \rangle, \text{Bob} \rangle, \langle \langle \text{Bob}, \text{Bob} \rangle, \text{Bob} \rangle, \langle \langle \text{Bob}, \text{Chris} \rangle, \text{Amy} \rangle, \langle \langle \text{Bob}, \text{Amy} \rangle, \text{Chris} \rangle, \langle \langle \text{Chris}, \text{Chris} \rangle, \text{Chris} \rangle, \langle \langle \text{Chris}, \text{Amy} \rangle, \text{Bob} \rangle, \langle \langle \text{Chris}, \text{Bob} \rangle, \text{Amy} \rangle\} \end{aligned}$$

where $d(x) = \text{Bob}$, $d(y) = \text{Amy}$ and $d(z) = \text{Bob}$, use trees to determine each of the following,

$$a. K_d[f^1c]$$

- *b. $K_d[g^2 y f^1 c]$
- c. $K_d[g^2 g^2 a x f^1 c]$
- d. $K_{d(x|_{\text{Chris}})}[g^2 g^2 a x f^1 c]$
- e. $K_{d(x|_{\text{Amy}})}[g^2 g^2 g^2 x y z g^2 f^1 a f^1 c]$

4.2.3 Satisfaction

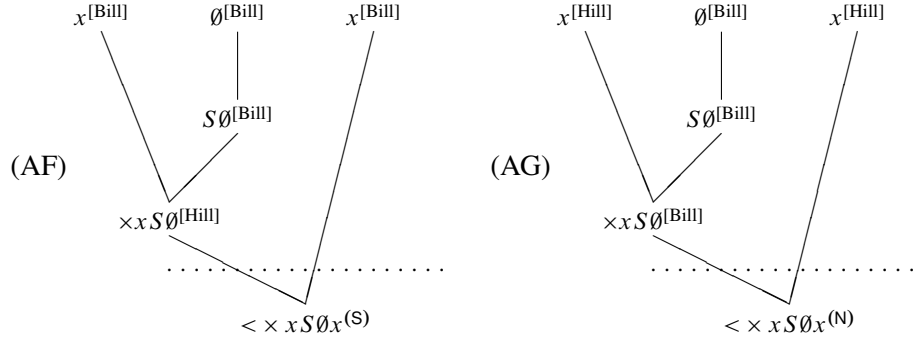
A term's assignment depends on an interpretation supplemented by an assignment for variables, that is, on some l_d . Similarly, a formula's *satisfaction* depends on both the interpretation and variable assignment. As we shall see, however, *truth* is fixed by the interpretation l alone — just as in the sentential case. If a formula \mathcal{P} is satisfied on l supplemented with d , we write $l_d[\mathcal{P}] = S$; if \mathcal{P} is not satisfied on l with d , $l_d[\mathcal{P}] = N$. For any interpretation l with variable assignment d ,

- SF (s) If \mathcal{S} is a sentence letter, then $l_d[\mathcal{S}] = S$ iff $l[\mathcal{S}] = T$; otherwise $l_d[\mathcal{S}] = N$.
- (r) If \mathcal{R}^n is an n -place relation symbol and $t_1 \dots t_n$ are terms, $l_d[\mathcal{R}^n t_1 \dots t_n] = S$ iff $\langle l_d[t_1] \dots l_d[t_n] \rangle \in l[\mathcal{R}^n]$; otherwise $l_d[\mathcal{R}^n t_1 \dots t_n] = N$.
- (\sim) If \mathcal{P} is a formula, then $l_d[\sim \mathcal{P}] = S$ iff $l_d[\mathcal{P}] = N$; otherwise $l_d[\sim \mathcal{P}] = N$.
- (\rightarrow) If \mathcal{P} and \mathcal{Q} are formulas, then $l_d[(\mathcal{P} \rightarrow \mathcal{Q})] = S$ iff $l_d[\mathcal{P}] = N$ or $l_d[\mathcal{Q}] = S$ (or both); otherwise $l_d[(\mathcal{P} \rightarrow \mathcal{Q})] = N$.
- (\forall) If \mathcal{P} is a formula and x is a variable, then $l_d[\forall x \mathcal{P}] = S$ iff for any $o \in U$, $l_{d(x|_o)}[\mathcal{P}] = S$; otherwise $l_d[\forall x \mathcal{P}] = N$.

SF(s), SF(\sim) and SF(\rightarrow) are closely related to ST from before, though satisfaction applies now to any *formulas* and not only to sentences. Other clauses are new.

SF(s) and SF(r) determine satisfaction for atomic formulas. Satisfaction for other formulas depends on satisfaction of their immediate subformulas. First, the satisfaction of a sentence letter works just like truth before: If a sentence letter is true on an interpretation, then it is satisfied. Thus satisfaction for sentence letters depends only on the interpretation, and not at all on the variable assignment.

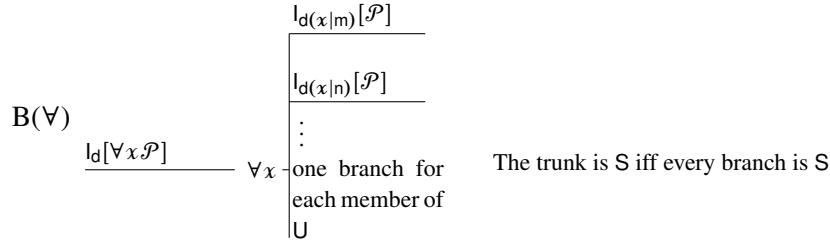
In contrast, to see if $\mathcal{R}^n t_1 \dots t_n$ is satisfied, we find out which things are assigned to the terms. It is natural to think about this on a tree like the one by which we show that the expression is a formula. Thus given interpretation l for \mathcal{L}_{NT}^{\leq} from p. 118, consider $(x \times S\emptyset) < x$; and compare cases with $d[x] = \text{Bill}$, and $h[x] = \text{Hill}$. It will be convenient to think about the expression in its unabbreviated form, $< \times x S\emptyset x$.



Above the dotted line, we calculate term assignments in the usual way. Assignment d is worked out on the left, and h on the right. But $< x x S \emptyset x$ is a formula of the sort $< t_1 t_2$. From diagram (AF), $l_d[x x S \emptyset] = \text{Hill}$, and $l_d[x] = \text{Bill}$. So the assignments to t_1 and t_2 are Hill and Bill. Since $\langle \text{Hill}, \text{Bill} \rangle \in I[<]$, by SF(r), $l_d[< x x S \emptyset x] = S$. But from (AG), $l_h[x x S \emptyset] = \text{Bill}$, and $l_h[x] = \text{Hill}$. And $\langle \text{Bill}, \text{Hill} \rangle \notin I[<]$, so by SF(r), $l_h[< x x S \emptyset x] = N$. $\mathcal{R}^n t_1 \dots t_n$ is satisfied just in case the n -tuple of the thing assigned to t_1 , and \dots and the thing assigned to t_n is in the set assigned to the relation symbol. To decide if $\mathcal{R}^n t_1 \dots t_n$ is satisfied, we find out what things are assigned to the term or terms, and then look to see whether the relevant ordered sequence is in the assignment. The simplest sort of case is when there is just one term. $l_d[\mathcal{R}^1 t] = S$ just in case $l_d[t] \in l_d[\mathcal{R}^1]$. When there is more than one term, we look for the objects taken in order.

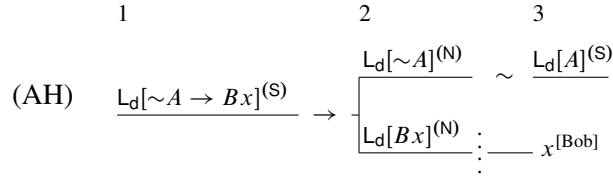
SF(\sim) and SF(\rightarrow) work just as before. And we could work out their consequences on trees or tables for satisfaction as before. In this case, though, to accommodate quantifiers it will be convenient to turn the “trees” on their sides. For this, we begin by constructing the tree in the “forward direction,” from left-to-right, and then determine satisfaction the other way — from the branch tips back to the trunk. Where the members of U are $\{m, n \dots\}$, the branch conditions are as follows:

	<i>forward</i>	<i>backward</i>
$B(s) \quad \underline{l_d[\mathcal{S}]}$	does not branch	the tip is S iff $l[\mathcal{S}] = T$
$B(r) \quad \underline{l_d[\mathcal{R}^n t_1 \dots t_n]}$	branches only for terms	the tip is S iff $\langle l_d[t_1] \dots l_d[t_n] \rangle \in I[\mathcal{R}^n]$
$B(\sim) \quad \underline{l_d[\sim \mathcal{P}]} \quad \sim \quad \underline{l_d[\mathcal{P}]}$		the trunk is S iff the branch is N
$B(\rightarrow) \quad \underline{l_d[(\mathcal{P} \rightarrow \mathcal{Q})]} \rightarrow \begin{array}{ l} \underline{l_d[\mathcal{P}]} \\ \underline{l_d[\mathcal{Q}]} \end{array}$		the trunk is S iff the top branch is N or the bottom branch is S (or both)



A formula branches according to its main operator. If it is atomic, it does not branch (or branches only for its terms). (AF) and (AG) are examples of branching for terms, only oriented vertically. If the main operator is \sim , a formula has just one branch; if its main operator is \rightarrow , it has two branches; and if its main operator is \forall it has as many branches as there are members of U . This last condition makes it impractical to construct these trees in all but the most simple cases — and impossible when U is infinite. Still, we can use them to see how the definitions work.

When there are no quantifiers, we should be able to recognize these trees as a mere “sideways” variant of ones we have seen before. Thus, suppose an interpretation L with $U = \{\text{Bob}, \text{Sue}, \text{Jim}\}$, $L[A] = T$, $L[B^1] = \{\text{Sue}\}$, and $L[C^2] = \{\langle \text{Bob}, \text{Sue} \rangle, \langle \text{Sue}, \text{Jim} \rangle\}$ where variable assignment $d[x] = \text{Bob}$. Then,



The main operator at stage (1) is \rightarrow ; so there are two branches. Bx on the bottom is atomic, so the formula branches no further — though we use TA to calculate the term assignment. On the top at (2), $\sim A$ has main operator \sim . So there is one branch. And we are done with the forward part of the tree. Given this, we can calculate satisfaction from the tips, back toward the trunk. Since $L[A] = T$, by B(s), the tip at (3) is S. And since this is S, by B(\sim), the top formula at (2) is N. But since $L_d[x] = \text{Bob}$, and $\text{Bob} \notin L[B]$, by B(r), the bottom at (2) is N. And with both the top and bottom at (2) N, by B(\rightarrow), the formula at (1) is S. So $L_d[\sim A \rightarrow Bx] = S$. You should be able to recognize that the diagram (AH) rotated counterclockwise by 90 degrees would be a mere variant of diagrams we have seen before. And the branch conditions merely implement the corresponding conditions from SF.

Things are more interesting when there are quantifiers. For a quantifier, there are as many branches as there are members of U . Thus working with the same in-

terpretation, consider $L_d[\forall y \sim Cxy]$. If there were just one thing in the universe, say $U = \{\text{Bob}\}$, the tree would branch as follows,

$$(AI) \quad \begin{array}{cccc} 1 & 2 & 3 & 4 \\ \underline{L_d[\forall y \sim Cxy]}^{(S)} & \forall y \quad \underline{L_{d(y|Bob)}[\sim Cxy]}^{(S)} & \sim \quad \underline{L_{d(y|Bob)}[Cxy]}^{(N)} & \begin{array}{l} \vdots \\ \swarrow \quad \searrow \\ x^{[Bob]} \\ y^{[Bob]} \end{array} \end{array}$$

The main operator at (1) is the universal quantifier. Supposing one thing in U , there is the one branch. Notice that the variable assignment d becomes $d(y|Bob)$. The main operator at (2) is \sim . So there is the one branch, carrying forward the assignment $d(y|Bob)$. The formula at (3) is atomic, so the only branching is for the term assignment. Then, in the backward direction, $L_{d(y|Bob)}$ still assigns Bob to x ; and $L_{d(y|Bob)}$ assigns Bob to y . Since $\langle \text{Bob}, \text{Bob} \rangle \notin L[C^2]$, the branch at (3) is N; so the branch at (2) is S. And since *all* the branches for the universal quantifier are S, by **B**(\forall), the formula at (1) is S.

But L was originally defined with $U = \{\text{Bob}, \text{Sue}, \text{Jim}\}$. Thus the quantifier requires not one but three branches, and the proper tree is as follows.

$$(AJ) \quad \begin{array}{cccc} 1 & 2 & 3 & 4 \\ \underline{L_d[\forall y \sim Cxy]}^{(N)} & \forall y \quad \begin{array}{l} \underline{L_{d(y|Bob)}[\sim Cxy]}^{(S)} \\ \underline{L_{d(y|Sue)}[\sim Cxy]}^{(N)} \\ \underline{L_{d(y|Jim)}[\sim Cxy]}^{(S)} \end{array} & \begin{array}{l} \sim \quad \underline{L_{d(y|Bob)}[Cxy]}^{(N)} \\ \sim \quad \underline{L_{d(y|Sue)}[Cxy]}^{(S)} \\ \sim \quad \underline{L_{d(y|Jim)}[Cxy]}^{(N)} \end{array} & \begin{array}{l} \begin{array}{l} \vdots \\ \swarrow \quad \searrow \\ x^{[Bob]} \\ y^{[Bob]} \end{array} \\ \begin{array}{l} \vdots \\ \swarrow \quad \searrow \\ x^{[Bob]} \\ y^{[Sue]} \end{array} \\ \begin{array}{l} \vdots \\ \swarrow \quad \searrow \\ x^{[Bob]} \\ y^{[Jim]} \end{array} \end{array} \end{array}$$

Now there are three branches for the quantifier. Note the modification of d on each branch, and the way the modified assignments carry forward and are used for evaluation at the tips. $d(y|Sue)$, say, has the same assignment to x as d , but assigns Sue to y . And similarly for the rest. This time, not all the branches for the universal quantifier are S. So the formula at (1) is N. You should convince yourself that it is S on l_h where $h[x] = \text{Jim}$. It would be S also with the assignment d as above, but formula Cyx .

(AK) on p. 128 is an example for $\forall x[(Sx < x) \rightarrow \forall y(Sy + \emptyset) = x]$ using interpretation l from p. 118 and \mathcal{L}_{NT}^{\leq} . This case should help you to see how all the parts fit together in a reasonably complex example. It turns out to be helpful to think about the formula in its unabbreviated form, $\forall x(<Sxx \rightarrow \forall y(=+Sy\emptyset x))$. For this case notice especially how when multiple quantifiers come off, a variable assignment

once modified is simply modified again for the new variable. If you follow through the details of this case by the definitions, you are doing well.

A word of advice: Once you have the idea, constructing these trees to determine satisfaction is a mechanical (and tedious) process. About the only way to go wrong or become confused is by skipping steps or modifying the form of trees. But, very often, skipping steps or modifying form does correlate with confusion! So it is best to stick with the official pattern — and so to follow the way it forces you through definitions **SF** and **TA**.

E4.12. Supplement interpretation K for E4.11 so that

$$\begin{aligned} K \quad & K[S] = \top \\ & K[H^1] = \{\text{Amy}, \text{Bob}\} \\ & K[L^2] = \{\langle \text{Amy}, \text{Amy} \rangle, \langle \text{Amy}, \text{Bob} \rangle, \langle \text{Bob}, \text{Bob} \rangle, \langle \text{Bob}, \text{Chris} \rangle, \langle \text{Amy}, \text{Chris} \rangle\} \end{aligned}$$

Where $d(x) = \text{Amy}$, $d(y) = \text{Bob}$, use trees to determine whether the following formulas are satisfied on K with d.

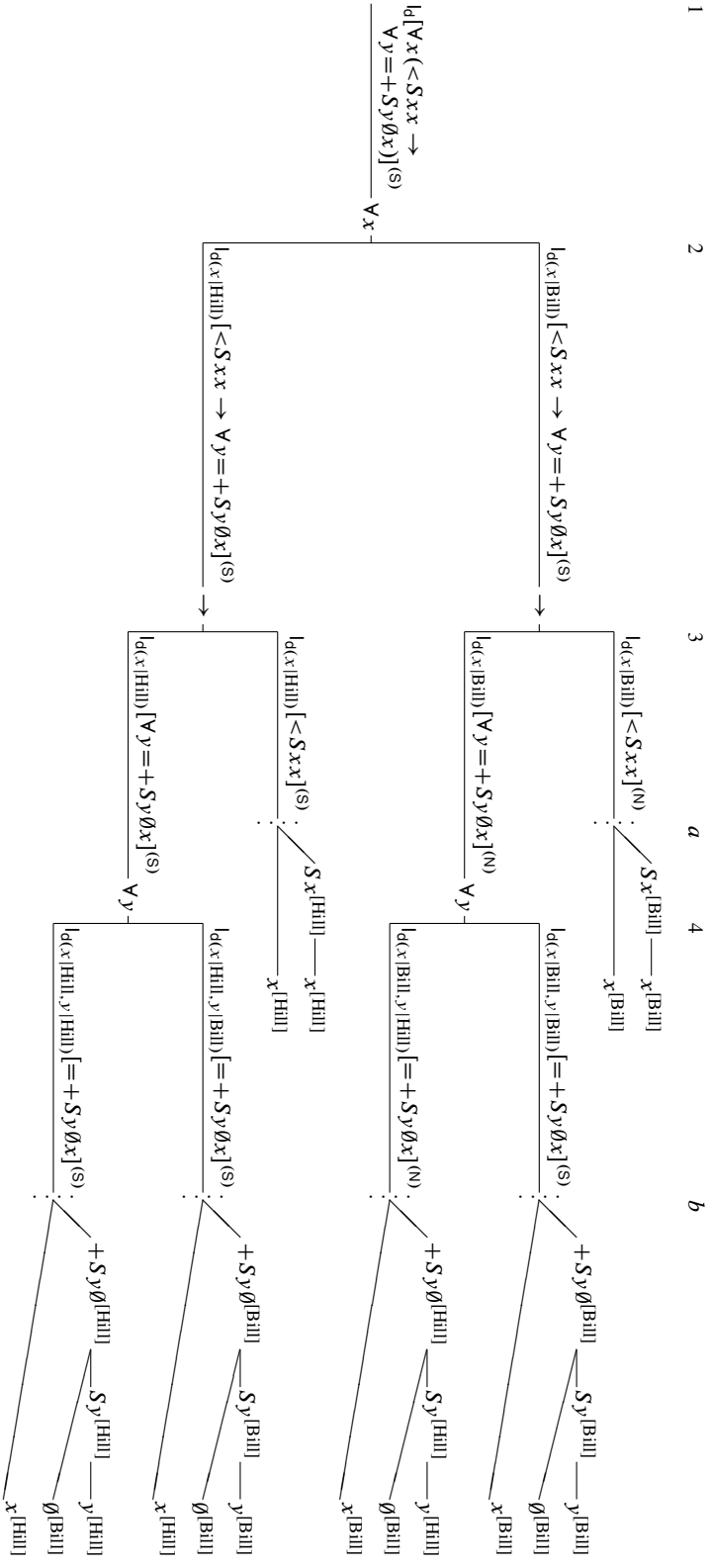
- | | |
|---------------------------------------|--|
| *a. Hx | b. Lxa |
| c. Hf^1y | d. $\forall xLyx$ |
| e. $\forall xLxg^2cx$ | *f. $\sim\forall x(Hx \rightarrow \sim S)$ |
| *g. $\forall y\sim\forall xLxy$ | *h. $\forall y\sim\forall xLyx$ |
| i. $\forall x(Hf^1x \rightarrow Lxx)$ | j. $\forall x(Hx \rightarrow \sim\forall y\sim Lyx)$ |

E4.13. What, if anything, changes with the variable assignment h where $h[x] = \text{Chris}$ and $h[y] = \text{Amy}$? Challenge: Explain why differences in the initial variable assignment *cannot* matter for the evaluation of (e) - (j).

4.2.4 Truth and Validity

It is a short step from satisfaction to definitions for *truth* and *validity*. Formulas are satisfied or not on an interpretation I together with a variable assignment d. But whether a formula is *true* or *false* on an interpretation depends on satisfaction relative to *every* variable assignment.

TI A formula \mathcal{P} is *true* on an interpretation I iff with any d for I, $I_d[\mathcal{P}] = S$. \mathcal{P} is *false* on I iff with any d for I, $I_d[\mathcal{P}] = N$.



Forward: Since there are two objects in U , there are two branches for each quantifier. At stage (2), for the x -quantifier, d is modified for assignments to x , and at stage (4) for the y -quantifier those assignments are modified again. $<Sxx$ and $=+Sy0x$ are atomic. Branching for terms continues at stages (a) and (b) in the usual way.

Backward: At the tips for terms apply the variable assignment from the corresponding atomic formula. Thus, in the top at (b) with $d(x|Bill, y|Bill)$, both x and y are assigned to Bill. The assignment to 0 comes from 1. For (4), recall that $[=]$ is automatically $\{(Bill, Bill), (Hill, Hill)\}$. After that, the calculation at each stage is straightforward.

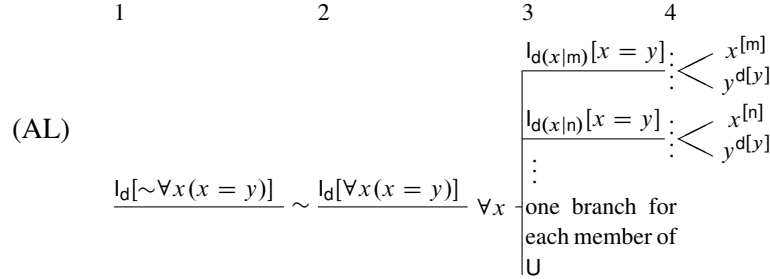
A formula is true on I just in case it is satisfied with every variable assignment for I . From (AJ), then, we are already in a position to see that $\forall y \sim Cxy$ is not true on L . For there is a variable assignment d on which it is N . Neither is $\forall y \sim Cxy$ false on L , insofar as it is satisfied when the assignment is h . Since there is an assignment on which it is N , it is not satisfied on *every* assignment, and so is not true. Since there is an assignment on which it is S , it is not N on *every* assignment, and so is not false. In contrast, from (AK), $\forall x[(Sx < x) \rightarrow \forall y(Sy + \emptyset) = x)]$ is true on I . For some variable assignment d , the tree shows directly that $I_d[\forall x[(Sx < x) \rightarrow \forall y(Sy + \emptyset) = x)]] = S$. But the reasoning for the tree *makes no assumptions whatsoever about* d . That is, with any variable assignment, we might have reasoned in just the same way, to reach the conclusion that the formula is satisfied. Since it comes out satisfied no matter what the variable assignment may be, by TI, it is true.

In general, if a *sentence* is satisfied on some d for I , then it is satisfied on every d for I . We shall demonstrate this more formally in chapter 8. However, we are already in a position to see the basic idea: In a sentence, every variable is bound; so by the time you get to formulas without quantifiers at the tips of a tree, assignments are of the sort, $d(x|m, y|n \dots)$ for every variable in the formula; so satisfaction depends just on assignments that are set on the branch itself, and the initial d is irrelevant to satisfaction at the tips — and thus to evaluation of the formula as a whole. Satisfaction depends on adjustments to the assignment that occur within the tree, rather than on the initial assignment itself. So every starting d has the same result. So if a sentence is satisfied on some d for I , it is satisfied on every d for I , and therefore true on I . Similarly, if a sentence is N on some d for I , it is N on every d for I , and therefore false on I .

In contrast, a formula with free variables may be sensitive to the initial variable assignment. Thus, in the ordinary case, Hx is not true and not false on an interpretation depending on the assignment to x . We have seen this pattern so far in examples and exercises: for formulas with free variables, there may be variable assignments where they are satisfied, and variable assignments where they are not. Therefore the formulas fail to be either true or false by TI. Sentences, on the other hand, are satisfied on every variable assignment if they are satisfied on any, and not satisfied on every assignment if they are not satisfied on any. Therefore the sentences from our examples and exercises come out either true or false.

But a word of caution is in order: Sentences are always true or false on an interpretation. And, in the ordinary case, formulas with free variables are neither true nor false. But this is not always so. $(x = x)$ is true on any I . (Why?) Similarly, $I[Hx] = T$ if $I[H] = U$ and F if $I[H] = \{\}$. And $\sim \forall x(x = y)$ is true on any I with a U that has more than one member. To see this, suppose for some I , $U = \{m, n \dots\}$;

then for an arbitrary d the tree is as follows,



No matter what d is like, at most one branch at (3) is S. If $d[y] = m$ then the top branch at (3) is S and the rest are N. If $d[y] = n$ then the second branch at (3) is S and the others are N. And so forth. So in this case where U has more than one member, at least one branch is N for any d . So the universally quantified expression is N for any d , and the negation at (1) is S for any d . So by **TI** it is true. So satisfaction for a *formula* may but need not be sensitive to the particular variable assignment under consideration. Again, though, a *sentence* is always true or false depending only on the interpretation. To show that a sentence is true, it is enough to show that it is satisfied on some d , from which it follows that it is satisfied on any. For a formula with free variables, the matter is more complex — though you can show that such a formula *not* true by finding an assignment that makes it N, and *not* false by finding an assignment that makes it S.

Given the notion of truth, *quantificational validity* works very much as before. Where Γ (Gamma) is a set of formulas, say $I[\Gamma] = T$ iff $I[\mathcal{P}] = T$ for each formula $\mathcal{P} \in \Gamma$. Then for any formula \mathcal{P} ,

QV Γ *quantificationally entails* \mathcal{P} iff there is no quantificational interpretation I such that $I[\Gamma] = T$ but $I[\mathcal{P}] \neq T$.

Γ quantificationally entails \mathcal{P} when there is no quantificational interpretation that makes the premises true and the conclusion not. If Γ quantificationally entails \mathcal{P} we write, $\Gamma \models \mathcal{P}$, and say an argument whose premises are the members of Γ and conclusion is \mathcal{P} is *quantificationally valid*. Γ does not quantificationally entail \mathcal{P} ($\Gamma \not\models \mathcal{P}$) when there is some quantificational interpretation on which all the premises are true, but the conclusion is not true (notice that there is a difference between being not true, and being false). As before, if $\mathcal{Q}_1 \dots \mathcal{Q}_n$ are the members of Γ , we sometimes write $\mathcal{Q}_1 \dots \mathcal{Q}_n \models \mathcal{P}$ in place of $\Gamma \models \mathcal{P}$. If there are no premises, listing all the members of Γ individually, we simply write $\models \mathcal{P}$. If $\models \mathcal{P}$, then \mathcal{P} is *logically*

true. Notice again the double turnstile \models , in contrast to the single turnstile \vdash for derivations.

In the quantificational case, *demonstrating* semantic validity is problematic. In the sentential case, we could simply *list* all the ways a sentential interpretation could make basic sentences T or F. In the quantificational case, it is not possible to list all interpretations. Consider just interpretations with universe \mathbb{N} : the interpretation of a one-place relation symbol \mathcal{R} might be $\{1\}$ or $\{2\}$ or $\{3\}$...; it might be $\{1, 2\}$ or $\{1, 3\}$, or $\{1, 3, 5 \dots\}$, or whatever. There are infinitely many options for this one relation symbol — and so at least as many for quantificational interpretations in general. Similarly, when the universe is so large, by our methods, we cannot calculate even *satisfaction* and *truth* in arbitrary cases — for quantifiers would have an infinite number of branches. One might begin to suspect that there is no way to demonstrate semantic validity in the quantificational case. There is a way. And we respond to this concern in [chapter 7](#).

For now, though, we rest content with demonstrating *invalidity*. To show that an argument is invalid, we do not need to consider all possible interpretations; it is enough to find one interpretation on which the premises are true, and the conclusion is not. (Compare the invalidity format from [chapter 1](#) and “shortcut” truth tables in this chapter.) An argument is quantificationally valid just in case there is no \mathcal{I} on which its premises are true, and its conclusion is not true. So to show that an argument is not quantificationally valid, it is sufficient to produce an interpretation that violates this condition — an interpretation on which its premises are true and conclusion is not. This should be enough at least to let us see *how* the definitions work, and we postpone the larger question about showing quantificational validity to later.

For now, then, our idea is to produce an interpretation, and then to use trees in order to show that the interpretation makes premises true, but the conclusion not. Thus, for example, for \mathcal{L}_q we can show that $\sim\forall xPx \not\models \sim Pa$ — that an argument with premise $\sim\forall xPx$ and conclusion $\sim Pa$ is not quantificationally valid. To see this, consider an \mathcal{I} with $U = \{1, 2\}$, $\mathcal{I}[P] = \{1\}$, and $\mathcal{I}[a] = 1$. Then $\sim\forall xPx$ is T on \mathcal{I} .

$$\begin{array}{cccc}
 1 & 2 & 3 & 4 \\
 (AM) & \frac{\mathcal{I}_d[\sim\forall xPx]^{(S)}}{\sim} & \frac{\mathcal{I}_d[\forall xPx]^{(N)}}{\forall x} & \frac{\mathcal{I}_{d(x|1)}[Px]^{(S)} : \text{---} x^{[1]} \quad \mathcal{I}_{d(x|2)}[Px]^{(N)} : \text{---} x^{[2]}}{\vdots}
 \end{array}$$

$\sim\forall xPx$ is satisfied with this \mathcal{d} for \mathcal{I} ; since it is a sentence it is satisfied with any \mathcal{d} for

1. So by **TI** it is true on I . But $\sim Pa$ is not true on this I .

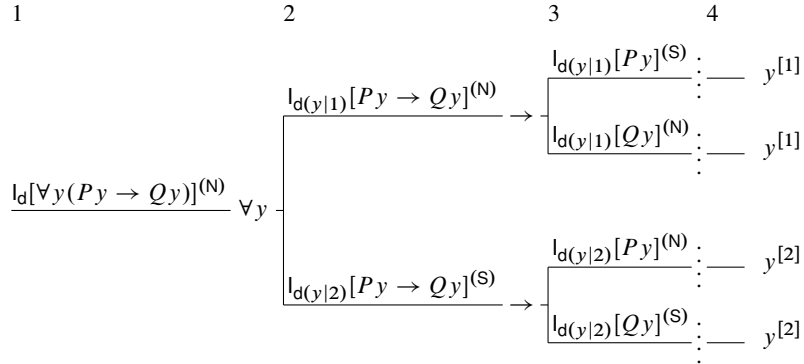
$$\begin{array}{ccc} 1 & 2 & 3 \\ \frac{I_d[\sim Pa]^{(N)}}{\sim} \sim \frac{I_d[Pa]^{(S)}}{\vdots} \text{---} & a^{[1]} \end{array}$$

By **TA(c)**, $I_d[a] = I[a]$. So the assignment to a is 1 and the formula at (2) is satisfied, so that the formula at (1) is not. So by **TI**, $I[\sim Pa] \neq T$. So there is an interpretation on which the premise is true and the conclusion is not; so $\sim \forall x Px \not\models \sim Pa$, and the argument is not quantificationally valid. Notice that it is sufficient to show that the conclusion is not true — which is not always the same as showing that the conclusion is false.

Here is another example. We show that $\sim \forall x \sim Px, \sim \forall x \sim Qx \not\models \forall y (Py \rightarrow Qy)$. One way to do this is with an I that has $U = \{1, 2\}$ where $I[P] = \{1\}$ and $I[Q] = \{2\}$. Then the premises are true.

$$\begin{array}{ccccc} 1 & 2 & 3 & 4 & 5 \\ \text{(AN)} \quad \frac{I_d[\sim \forall x \sim Px]^{(S)}}{\sim} \sim \frac{I_d[\forall x \sim Px]^{(N)}}{\forall x} \left[\begin{array}{l} \frac{I_{d(x|1)}[\sim Px]^{(N)}}{\sim} \sim \frac{I_{d(x|1)}[Px]^{(S)}}{\vdots} \text{---} x^{[1]} \\ \frac{I_{d(x|2)}[\sim Px]^{(S)}}{\sim} \sim \frac{I_{d(x|2)}[Px]^{(N)}}{\vdots} \text{---} x^{[2]} \end{array} \right. \\ \\ \frac{I_d[\sim \forall x \sim Qx]^{(S)}}{\sim} \sim \frac{I_d[\forall x \sim Qx]^{(N)}}{\forall x} \left[\begin{array}{l} \frac{I_{d(x|1)}[\sim Qx]^{(S)}}{\sim} \sim \frac{I_{d(x|1)}[Qx]^{(N)}}{\vdots} \text{---} x^{[1]} \\ \frac{I_{d(x|2)}[\sim Qx]^{(N)}}{\sim} \sim \frac{I_{d(x|2)}[Qx]^{(S)}}{\vdots} \text{---} x^{[2]} \end{array} \right. \end{array}$$

To make $\sim \forall x \sim Px$ true, we require that there is at least one thing in $I[P]$. We accomplish this by putting 1 in its interpretation. This makes the top branch at stage (4) S; this makes the top branch at (3) N; so the quantifier at (2) is N and the formula at (1) comes out S. Since it is a sentence and satisfied on the arbitrary assignment, it is true. $\sim \forall x \sim Qx$ is true for related reasons. For it to be true, we require at least one thing in $I[Q]$. This is accomplished by putting 2 in its interpretation. But this interpretation does not make the conclusion true.



The conclusion is not satisfied so long as something is in $I[P]$ but not in $I[Q]$. We accomplish this by making the thing in the interpretation of P *different* from the thing in the interpretation of Q . Since 1 is in $I[P]$ but not in $I[Q]$, there is an S/N pair at (3), so that the top branch at (2) is N and the formula at (1) is N. Since the formula is not satisfied, by **TI** it is not true. And since there is an interpretation on which the premises are true and the conclusion is not, by **QV**, the argument is not quantificationally valid.

In general, to show that an argument is not quantificationally valid, you want to think “backward” to see what kind of interpretation you need to make the premises true but the conclusion not true. It is to your advantage to think of simple interpretations. Remember that U need only be non-empty. So it will often do to work with universes that have just one or two members. And the interpretation of a relation symbol might even be empty! It is often convenient to let the universe be some set of integers. And, if there is any interpretation that demonstrates invalidity, there is sure to be one whose universe is some set of integers — but we will get to this in **Part III**.

E4.14. For language \mathcal{L}_q consider an interpretation I such that $U = \{1, 2\}$, and

$$\begin{aligned} I[a] &= 1 \\ I[A] &= T \\ I[P^1] &= \{1\} \\ I[f^1] &= \{\langle 1, 2 \rangle, \langle 2, 1 \rangle\} \end{aligned}$$

Use interpretation I and trees to show that (a) below is not quantificationally valid. Then each of the others can be shown to be invalid on an interpretation I^* that modifies just one of the main parts of I . Produce the modified interpretations, and use them to show that the other arguments also are invalid. Hint: If you are having trouble finding the appropriate modified interpretation, try

working out the trees on I, and think about what changes to the interpretation would have the results you want.

- a. $Pa \not\models \forall xPx$
- b. $\sim Pa \not\models \forall x\sim Px$
- *c. $\forall xPf^1x \not\models \forall xPx$
- d. $\forall x(Px \rightarrow Pf^1x) \not\models \forall x(Pf^1x \rightarrow Px)$
- e. $\forall xPx \rightarrow A \not\models \forall x(Px \rightarrow A)$

E4.15. Find interpretations and use trees to demonstrate each of the following. Be sure to explain why your interpretations and trees have the desired result.

- *a. $\forall x(Qx \rightarrow Px) \not\models \forall x(Px \rightarrow Qx)$
- b. $\forall x(Px \rightarrow Qx), \forall x(Rx \rightarrow \sim Px) \not\models \forall y(Ry \rightarrow Qy)$
- *c. $\sim \forall xPx \not\models \sim Pa$
- d. $\sim \forall xPx \not\models \forall x\sim Px$
- e. $\forall xPx \rightarrow \forall xQx, Qb \not\models Pa \rightarrow \forall xQx$
- f. $\sim(A \rightarrow \forall xPx) \not\models \forall x(A \rightarrow \sim Px)$
- g. $\forall x(Px \rightarrow Qx), \sim Qa \not\models \forall x\sim Px$
- *h. $\sim \forall y\forall xRxy \not\models \forall x\sim \forall yRxy$
- i. $\forall x\forall y(Rxy \rightarrow Ryx), \forall x\sim \forall y\sim Rxy \not\models \forall xRxx$
- j. $\forall x\forall y[y = f^1x \rightarrow \sim(x = f^1y)] \not\models \forall x(Px \rightarrow Pf^1x)$

4.2.5 Abbreviations

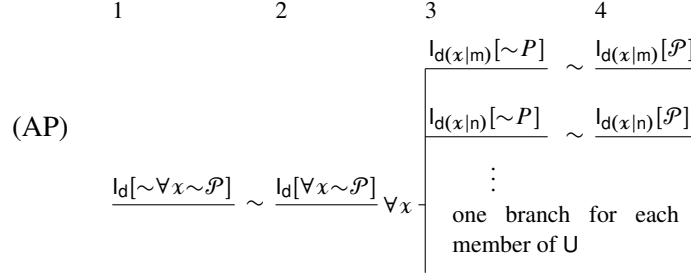
Finally, we turn to applications for abbreviations. Consider first a tree for $(\mathcal{P} \wedge \mathcal{Q})$, that is for $\sim(\mathcal{P} \rightarrow \sim\mathcal{Q})$.

$$\begin{array}{cccc}
 1 & 2 & 3 & 4 \\
 (\text{AO}) & \frac{l_d[\sim(\mathcal{P} \rightarrow \sim\mathcal{Q})]}{\sim} & \frac{l_d[\mathcal{P} \rightarrow \sim\mathcal{Q}]}{\rightarrow} & \frac{l_d[\mathcal{P}]}{l_d[\sim\mathcal{Q}] \sim l_d[\mathcal{Q}]}
 \end{array}$$

The formula at (1) is satisfied iff the formula at (2) is not. But the formula at (2) is not satisfied iff the top at (3) is satisfied and the bottom is not satisfied. And the bottom at (3) is not satisfied iff the formula at (4) is satisfied. So the formula at (1) is satisfied iff \mathcal{P} is satisfied and \mathcal{Q} is satisfied. The only way for $(\mathcal{P} \wedge \mathcal{Q})$ to be satisfied on some l and d , is for \mathcal{P} and \mathcal{Q} both to be satisfied on that l and d . If either \mathcal{P} or \mathcal{Q} is not satisfied, then $(\mathcal{P} \wedge \mathcal{Q})$ is not satisfied. Reasoning similarly for \vee , \leftrightarrow , and \exists , we get the following derived branch conditions.

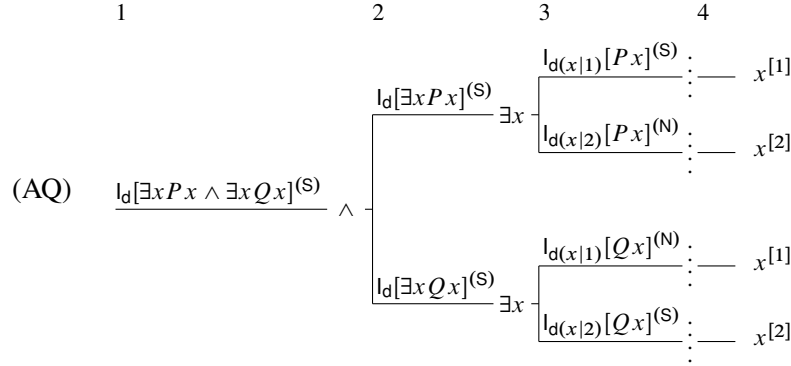
$$\begin{array}{ll}
 \text{B}(\wedge) \frac{l_d[(\mathcal{P} \wedge \mathcal{Q})]}{\wedge} \left[\begin{array}{c} l_d[\mathcal{P}] \\ l_d[\mathcal{Q}] \end{array} \right] & \text{the trunk is S iff both branches are S} \\
 \text{B}(\vee) \frac{l_d[(\mathcal{P} \vee \mathcal{Q})]}{\vee} \left[\begin{array}{c} l_d[\mathcal{P}] \\ l_d[\mathcal{Q}] \end{array} \right] & \text{the trunk is S iff at least one branch is S} \\
 \text{B}(\leftrightarrow) \frac{l_d[(\mathcal{P} \leftrightarrow \mathcal{Q})]}{\leftrightarrow} \left[\begin{array}{c} l_d[\mathcal{P}] \\ l_d[\mathcal{Q}] \end{array} \right] & \text{the trunk is S iff both branches are S or both are N} \\
 \text{B}(\exists) \frac{l_d[\exists x \mathcal{P}]}{\exists x} \left[\begin{array}{c} l_{d(x|m)}[\mathcal{P}] \\ l_{d(x|n)}[\mathcal{P}] \\ \vdots \\ \text{one branch for} \\ \text{each member of} \\ \text{U} \end{array} \right] & \text{The trunk is S iff at least one branch is S}
 \end{array}$$

The cases for \wedge , \vee , and \leftrightarrow work just as in the sentential case. For the last, consider a tree for $\sim\forall x \sim\mathcal{P}$, that is for $\exists x \mathcal{P}$.

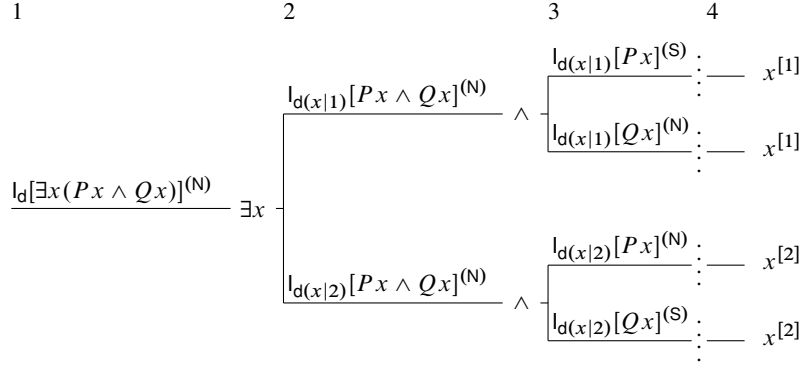


The formula at (1) is satisfied iff the formula at (2) is not. But the formula at (2) is not satisfied iff at least one of the branches at (3) is not satisfied. And for a branch at (3) to be not satisfied, the corresponding branch at (4) has to be satisfied. So $\exists x \mathcal{P}$ is satisfied on l with assignment d iff for some $o \in U$, \mathcal{P} is satisfied on l with $d[x|o]$; if there is no such $o \in U$, then $\exists x \mathcal{P}$ is N on l with d .

Given derived branch conditions, we can work directly with abbreviations in trees for determining satisfaction and truth. And the definition of validity applies in the usual way. Thus, for example, $\exists x P x \wedge \exists x Q x \not\models \exists x (P x \wedge Q x)$. To see this, consider an l with $l = \{1, 2\}$, $l[P] = \{1\}$ and $l[Q] = \{2\}$. The premise, $\exists x P x \wedge \exists x Q x$ is true on l . To see this, we construct a tree, making use of derived clauses as necessary.



The existentials are satisfied because at least one branch is satisfied, and the conjunction because both branches are satisfied, according to derived conditions $B(\exists)$ and $B(\wedge)$. So the formula is satisfied, and because it is a sentence, is true. But the conclusion, $\exists x (P x \wedge Q x)$ is not true.



The conjunctions at (2) are not satisfied, in each case because not both branches at (3) are satisfied. And the existential at (1) requires that at least one branch at (2) be satisfied; since none is satisfied, the main formula $\exists x(Px \wedge Qx)$ is not satisfied, and so by **TI** not true. Since there is an interpretation on which the premise is true and the conclusion is not, by **QV**, $\exists x Px \wedge \exists x Qx \not\models \exists x(Px \wedge Qx)$. As we will see in the next chapter, the intuitive point is simple: just because something is P and something is Q , it does not follow that something is both P and Q . And this is just what our interpretation l illustrates.

E4.16. On p. 135 we say that reasoning similar to that for \wedge results in other branch conditions. Give the reasoning similar to that for \wedge and \exists to demonstrate from trees the conditions **B**(\vee) and **B**(\leftrightarrow).

E4.17. Produce interpretations to demonstrate each of the following. Use trees, with derived clauses as necessary, to demonstrate your results. Be sure to explain why your interpretations and trees have the results they do.

- *a. $\exists x Px \not\models \forall y Py$
- b. $\exists x Px \not\models \exists y (Py \wedge Qy)$
- c. $\exists x Px \not\models \exists y Pf^1 y$
- d. $Pa \rightarrow \forall x Qx \not\models \exists x Px \rightarrow \forall x Qx$
- e. $\forall x \exists y Rxy \not\models \exists y \forall x Rxy$
- f. $\forall x Px \leftrightarrow \forall x Qx, \exists x \exists y (Px \wedge Qy) \not\models \exists y (Py \leftrightarrow Qy)$
- *g. $\forall x (\exists y Rxy \leftrightarrow \sim A) \not\models \exists x Rxx \vee A$

Semantics Quick Reference (quantificational)

For a quantificational language \mathcal{L} , a *quantificational interpretation* I consists of a nonempty set U , the *universe* of the interpretation, along with,

- QI (s) An assignment of a truth value $I[\mathcal{S}]$ to each sentence letter \mathcal{S} of \mathcal{L} .
- (c) An assignment of a member $I[c]$ of U to each constant symbol c of \mathcal{L} .
- (r) An assignment of an n -place relation $I[\mathcal{R}^n]$ on U to each n -place relation symbol \mathcal{R}^n of \mathcal{L} , where $I[=]$ is always assigned $\{\langle o, o \rangle \mid o \in U\}$.
- (f) An assignment of a total n -place function $I[h^n]$ from U^n to U , to each n -place function symbol h^n of \mathcal{L} .

Given a language \mathcal{L} and interpretation I , a *variable assignment* d is a total function from the variables of \mathcal{L} to objects in the universe U . Then for any interpretation I , variable assignment d , and term t ,

- TA (c) If c is a constant, then $I_d[c] = I[c]$.
- (v) If x is a variable, then $I_d[x] = d[x]$.
- (f) If h^n is a function symbol and $t_1 \dots t_n$ are terms, then $I_d[h^n t_1 \dots t_n] = I[h^n](I_d[t_1] \dots I_d[t_n])$.

For any interpretation I with variable assignment d ,

- SF (s) If \mathcal{S} is a sentence letter, then $I_d[\mathcal{S}] = S$ iff $I[\mathcal{S}] = T$; otherwise $I_d[\mathcal{S}] = N$.
- (r) If \mathcal{R}^n is an n -place relation symbol and $t_1 \dots t_n$ are terms, then $I_d[\mathcal{R}^n t_1 \dots t_n] = S$ iff $\langle I_d[t_1] \dots I_d[t_n] \rangle \in I[\mathcal{R}^n]$; otherwise $I_d[\mathcal{R}^n t_1 \dots t_n] = N$.
- (\sim) If \mathcal{P} is a formula, then $I_d[\sim \mathcal{P}] = S$ iff $I_d[\mathcal{P}] = N$; otherwise $I_d[\sim \mathcal{P}] = N$.
- (\rightarrow) If \mathcal{P} and \mathcal{Q} are formulas, then $I_d[(\mathcal{P} \rightarrow \mathcal{Q})] = S$ iff $I_d[\mathcal{P}] = N$ or $I_d[\mathcal{Q}] = S$ (or both); otherwise $I_d[(\mathcal{P} \rightarrow \mathcal{Q})] = N$.
- (\forall) If \mathcal{P} is a formula and x is a variable, then $I_d[\forall x \mathcal{P}] = S$ iff for any $o \in U$, $I_{d(x|o)}[\mathcal{P}] = S$; otherwise $I_d[\forall x \mathcal{P}] = N$.
- SF' (\wedge) If \mathcal{P} and \mathcal{Q} are formulas, then $I_d[(\mathcal{P} \wedge \mathcal{Q})] = S$ iff $I_d[\mathcal{P}] = S$ and $I_d[\mathcal{Q}] = S$; otherwise $I_d[(\mathcal{P} \wedge \mathcal{Q})] = N$.
- (\vee) If \mathcal{P} and \mathcal{Q} are formulas, then $I_d[(\mathcal{P} \vee \mathcal{Q})] = S$ iff $I_d[\mathcal{P}] = S$ or $I_d[\mathcal{Q}] = S$ (or both); otherwise $I_d[(\mathcal{P} \vee \mathcal{Q})] = N$.
- (\leftrightarrow) If \mathcal{P} and \mathcal{Q} are formulas, then $I_d[(\mathcal{P} \leftrightarrow \mathcal{Q})] = S$ iff $I_d[\mathcal{P}] = I_d[\mathcal{Q}]$; otherwise $I_d[(\mathcal{P} \leftrightarrow \mathcal{Q})] = N$.
- (\exists) If \mathcal{P} is a formula and x is a variable, then $I_d[\exists x \mathcal{P}] = S$ iff for some $o \in U$, $I_{d(x|o)}[\mathcal{P}] = S$; otherwise $I_d[\exists x \mathcal{P}] = N$.

TI A formula \mathcal{P} is *true* on an interpretation I iff with any d for I , $I_d[\mathcal{P}] = S$. \mathcal{P} is *false* on I iff with any d for I , $I_d[\mathcal{P}] = N$.

QV Γ *quantificationally entails* \mathcal{P} ($\Gamma \models \mathcal{P}$) iff there is no quantificational interpretation I such that $I[\Gamma] = T$ but $I[\mathcal{P}] \neq T$.

If $\Gamma \models \mathcal{P}$, an argument whose premises are the members of Γ and conclusion is \mathcal{P} is *quantificationally valid*.

- h. $\exists x(Px \wedge \exists yQy) \not\models \exists x\forall y(Px \wedge Qy)$
- i. $\forall x\forall y(Px \vee Qxy), \exists xPx \not\models \exists x\exists yQxy$
- j. $\exists x\exists y\sim(x = y) \not\models \forall x\forall y\exists z[\sim(x = z) \wedge \sim(y = z)]$

E4.18. Produce an interpretation to demonstrate each of the following (now in \mathcal{L}_{NT}^{\leq}). Use trees to demonstrate your results. Be sure to explain why your interpretations and trees have the results they do. Hint: When there are no premises, all you need is an interpretation where the expression is not true. You need not use the *standard* interpretation! In some cases, it may be convenient to produce only that part of the tree which is necessary for the result.

- a. $\not\models \forall x(x < Sx)$
- b. $\not\models (S\emptyset + S\emptyset) = SS\emptyset$
- c. $\not\models \exists x\sim[(x \times x) = x]$
- *d. $\not\models \forall x\forall y[\sim(x = y) \rightarrow (x < y \vee y < x)]$
- e. $\not\models \forall x\forall y\forall z[(x < y \wedge y < z) \rightarrow x < z]$

E4.19. For each of the following concepts, explain in an essay of about two pages, so that Hannah could understand. In your essay, you should (i) identify the objects to which the concept applies, (ii) give and explain the definition, and give and explicate examples of your own construction (iii) where the concept applies, and (iv) where it does not. Your essay should exhibit an understanding of methods from the text.

- a. Quantificational interpretations.
- b. Term assignments, satisfaction and truth.
- c. Quantificational validity.

Chapter 5

Translation

We have introduced logical validity from [chapter 1](#), along with notions of semantic validity from [chapter 4](#), and validity in an axiomatic derivation system from [chapter 3](#). But logical validity applies to arguments expressed in ordinary language, where the other notions apply to arguments expressed in a formal language. Our guiding idea has been to *use* the formal notions with application to ordinary arguments via *translation* from ordinary language to the formal ones. It is to the translation task that we now turn. After some general discussion, we will take up issues specific to the sentential, and then the quantificational, cases.

5.1 General

As speakers of ordinary languages (at least English for those reading this book) we presumably have some understanding of the conditions under which ordinary language sentences are true and false. Similarly, we now have an understanding of the conditions under which sentences of our formal languages are true and false. This puts us in a position to recognize when the conditions under which ordinary sentences are true are the *same* as the conditions under which formal sentences are true. And that is what we want: Our goal is to translate the premises and conclusion of ordinary arguments into formal expressions that are true when the ordinary sentences are true, and false when the ordinary sentences are false. Insofar as validity has to do with conditions under which sentences are true and false, our translations should thus be an adequate basis for evaluations of validity.

We can put this point with greater precision. Formal sentences are true and false relative to interpretations. As we have seen, many different interpretations of a formal language are possible. In the sentential case, any sentence letter can be true or false

— so that there are 2^n ways to interpret any n sentence letters. When we specify an interpretation, we select just one of the many available options. Thus, for example, we might set $I[B] = T$ and $I[H] = F$. But we might also specify an interpretation as follows,

- (A) B : Bill is happy
 H : Hillary is happy

intending B to take the same truth value as ‘Bill is happy’ and H the same as ‘Hillary is happy’. In this case, the single specification might result in different interpretations, depending on how the world is: Depending on how Bill and Hillary are, the interpretation of B might be true or false, and similarly for H . That is, specification (A) is really a *function* from ways the world could be (from complete and consistent stories) to interpretations of the sentence letters. It results in a specific or *intended* interpretation relative to any way the world could be. Thus, where ω (omega) ranges over ways the world could be, (A) is a function I which results in an intended interpretation I_ω corresponding to any such way — thus $I_\omega[B]$ is T if Bill is happy at ω and F if he is not.

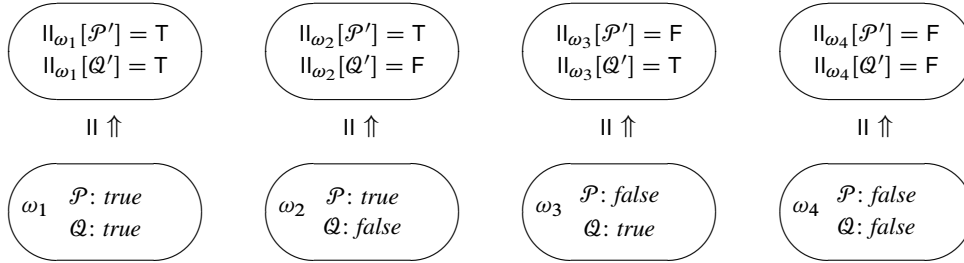
When we set out to translate some ordinary sentences into a formal language, we always begin by specifying an intended interpretation of the formal language for arbitrary ways the world can be. In the sentential case, this typically takes the form of a specification like (A). Then for any way the world can be ω there is an intended interpretation I_ω of the formal language. Given this, for an ordinary sentence \mathcal{A} , the aim is to produce a formal counterpart \mathcal{A}' such that $I_\omega[\mathcal{A}'] = T$ iff the ordinary \mathcal{A} is true in world ω . This is the content of saying we want to produce formal expressions that “are true when the ordinary sentences are true, and false when the ordinary sentences are false.” In fact, we can turn this into a *criterion of goodness* for translation.

CG Given some ordinary sentence \mathcal{A} , a translation consisting of an interpretation function I and formal sentence \mathcal{A}' is *good* iff it captures available sentential/quantificational structure and, where ω is any way the world can be, $I_\omega[\mathcal{A}'] = T$ iff \mathcal{A} is true at ω .

If there is a collection of sentences, a translation is *good* given an I where *each* member \mathcal{A} of the collection of sentences has an \mathcal{A}' such that $I_\omega[\mathcal{A}'] = T$ iff \mathcal{A} is true at ω . Set aside the question of what it is to capture “available” sentential/quantificational structure, this will emerge as we proceed. For now, the point is simply that we want formal sentences to be true on intended interpretations when originals are true at

corresponding worlds, and false on intended interpretations when originals are false. **CG** says that this correspondence is necessary for goodness. And, supposing that sufficient structure is reflected, according to **CG** such correspondence is sufficient as well.

The situation might be pictured as follows. There is a specification \parallel which results in an intended interpretation corresponding to any way the world can be. And corresponding to ordinary sentences \mathcal{P} and \mathcal{Q} there are formal sentences \mathcal{P}' and \mathcal{Q}' . Then,



The interpretation function results in an intended interpretation corresponding to each world. The translation is good only if no matter how the world is, the values of \mathcal{P}' and \mathcal{Q}' on the intended interpretations match the values of \mathcal{P} and \mathcal{Q} at the corresponding worlds or stories.

The premises and conclusion of an argument are some sentences. So the translation of an argument is *good* iff the translation of the sentences that are its premises and conclusion is good. And good translations of arguments put us in a position to *use* our machinery to evaluate questions of validity. Of course, so far, this is an abstract description of what we are about to do. But it should give some orientation, and help you understand what is accomplished as we proceed.

5.2 Sentential

We begin with the sentential case. Again, the general idea is to *recognize* when the conditions under which ordinary sentences are true are the *same* as the conditions under which formal ones are true. Surprisingly perhaps, the hardest part is on the side of recognizing truth conditions in ordinary language. With this in mind, let us begin with some definitions whose application is to expressions of *ordinary* language; after that, we will turn to a procedure for translation, and to discussion of particular operators.

5.2.1 Some Definitions

In this section, we introduce a series of definitions whose application is to ordinary language. These definitions are not meant to compete with anything you have learned in English class. They are rather specific to our purposes. With the definitions under our belt, we will be able to say with some precision what we want to do.

First, a *declarative sentence* is a sentence which has a truth value. ‘Snow is white’ and ‘Snow is green’ are declarative sentences — the first true and the second false. ‘Study harder!’ and ‘Why study?’ are sentences, but not declarative sentences. Given this, a *sentential operator* is an expression containing “blanks” such that when the blanks are filled with declarative sentences, the result is a declarative sentence. In ordinary speech and writing, such blanks do not typically appear (!) however punctuation and expression typically fill the same role. Examples are,

John believes that ____

John heard that ____

It is not the case that ____

____ and ____

‘John believes that snow is white’, ‘John believes that snow is green’, and ‘John believes that dogs fly’ are all sentences — some more plausibly true than others. Still, ‘Snow is white’, ‘Snow is green’, and ‘Dogs fly’ are all declarative sentences, and when we put them in the blank of ‘John believes that ____’ the result is a declarative sentence, where the same would be so for any declarative sentence in the blank; so ‘John believes that ____’ is a sentential operator. Similarly, ‘Snow is white and dogs fly’ is a declarative sentence — a false one, since dogs do not fly. And, so long as we put declarative sentences in the blanks of ‘____ and ____’ the result is always a declarative sentence. So ‘____ and ____’ is a sentential operator. In contrast,

When ____

____ is white ____

are not sentential operators. Though ‘Snow is white’ is a declarative sentence, ‘When snow is white’ is an adverbial clause, not a declarative sentence. And, though ‘Dogs fly’ and ‘Snow is green’ are declarative sentences, ‘Dogs fly is white snow is green’ is ungrammatical nonsense. If you can think of even one case where putting declarative

sentences in the blanks of an expression does not result in a declarative sentence, then the expression is not a sentential operator. So these are not sentential operators.

Now, as in these examples, we can think of some declarative sentences as generated by the combination of sentential operators with other declarative sentences. Declarative sentences generated from other sentences by means of sentential operators are *compound*; all others are *simple*. Thus, for example, ‘Bob likes Mary’ and ‘Socrates is wise’ are simple sentences, they do not have a declarative sentence in the blank of any operator. In contrast, ‘John believes that Bob likes Mary’ and ‘Jim heard that John believes that Bob likes Mary’ are compound. The first has a simple sentence in the blank of ‘John believes that ____’. The second puts a compound in the blank of ‘Jim heard that ____’.

For cases like these, the *main operator* of a compound sentence is that operator not in the blank of any other operator. The main operator of ‘John believes that Bob likes Mary’ is ‘John believes that ____’. And the main operator of ‘Jim heard that John believes that Bob likes Mary’ is ‘Jim heard that ____’. The main operator of ‘It is not the case that Bob likes Sue and it is not the case that Sue likes Bob’ is ‘____ and ____’, for that is the operator not in the blank of any other. Notice that the main operator of a sentence need not be the *first* operator in the sentence. Observe also that operator structure may not be obvious. Thus, for example, ‘Jim heard that Bob likes Sue and Sue likes Jim’ is capable of different interpretations. It might be, ‘Jim heard that Bob likes Sue and Sue likes Jim’ with main operator, ‘Jim heard that ____’ and the compound, ‘Bob likes Sue and Sue likes Jim’ in its blank. But it might be ‘Jim heard that Bob likes Sue and Sue likes Jim’ with main operator, ‘____ and ____’. The question is what Jim heard, and what the ‘and’ joins. As suggested above, punctuation and expression often serve in ordinary language to disambiguate confusing cases. These questions of interpretation are not peculiar to our purposes! Rather they are the ordinary questions that might be asked about what one is saying. The underline structure serves to disambiguate claims, to make it very clear how the operators apply.

When faced with a compound sentence, the best approach is start with the whole, rather than the parts. So begin with blank(s) for the main operator. Thus, as we have seen, the main operator of ‘It is not the case that Bob likes Sue, and it is not the case that Sue likes Bob’ is ‘____ and ____’. So begin with lines for that operator, ‘It is not the case that Bob likes Sue and it is not the case that Sue likes Bob’ (leaving space for lines above). Now focus on the sentence in one of the blanks, say the left; that sentence, ‘It is not the case that Bob likes Sue’ is a compound with main operator, ‘it is not the case that ____’. So add the underline for that operator, ‘It is not the case that Bob likes Sue and it is not the case that Sue likes Bob’. The sentence in the blank

of ‘it is not the case that ____’ is simple. So turn to the sentence in the right blank of the main operator. That sentence has main operator ‘it is not the case that ____’. So add an underline. In this way we end up with, ‘It is not the case that Bob likes Sue and it is not the case that Sue likes Bob’ where, again, the sentence in the last blank is simple. Thus, a complex problem is reduced to ones that are progressively more simple. Perhaps this problem was obvious from the start. But this approach will serve you well as problems get more complex!

We come finally to the key notion of a *truth functional* operator. A sentential operator is *truth functional* iff any compound generated by it has its truth value wholly determined by the truth values of the sentences in its blanks. We will say that the truth value of a compound is “determined” by the truth values of sentences in blanks just in case there is no way to switch the truth value of the whole while keeping truth values of sentences in the blanks constant. This leads to a test for truth functionality: We show that an operator is *not* truth functional, if we come up with some situation(s) where truth values of sentences in the blanks are the same, but the truth value of the resulting compounds are not. To take a simple case, consider ‘John believes that ____’. If things are pretty much as in the actual world, ‘Dogs fly’ and ‘There is a Santa’ are both false. But if John is a small child it may be that,

		Dogs fly	
(B)	John believes that	<u>There is a Santa</u>	
	F/T	F	

the compound is false with one in the blank, and true with the other. Thus the truth value of the compound is not wholly determined by the truth value of the sentence in the blank. We have found a situation where sentences with the same truth value in the blank result in a different truth value for the whole. Thus ‘John believes that ____’ is not truth functional. We might make the same point with a pair of sentences that are true, say ‘Dogs bark’ and ‘There are infinitely many prime numbers’ (be clear in your mind about how this works).

As a second example, consider, ‘____ because ____’. Suppose ‘You are happy’, ‘You got a good grade’, ‘There are fish in the sea’ and ‘You woke up this morning’ are all true.

	You are happy		You got a good grade	
(C)	<u>There are fish in the sea</u>	because	<u>You work up this morning</u>	
	T	T/F	T	

Still, it is natural to think that, the truth value of the compound, ‘You are happy because you got a good grade’ is true, but ‘There are fish in the sea because you woke up this morning’ is false. For perhaps getting a good grade makes you happy, but the fish in the sea have nothing to do with your waking up. Thus there are consistent

situations or stories where sentences in the blanks have the same truth values, but the compounds do not. Thus, by the definition, ‘_____ because _____’ is not a truth functional operator. To show that an operator is not truth functional it is sufficient to produce some situation of this sort: where truth values for sentences in the blanks match, but truth values for the compounds do not. Observe that sentences in the blanks are *fixed* but the value of the compound is not. Thus, it would be enough to find, say, a case where sentences in the first blank are T, sentences in the second are F but the value of the whole flips from T to F. To show that an operator is not truth functional, any matching combination that makes the whole switch value will do.

To show that an operator is truth functional, we need to show that no such cases are possible. For this, we show *how* the truth value of what is in the blank determines the truth value of the whole. As an example, consider first,

	It is not the case that _____	
(D)	F	T
	T	F

In this table, we represent the truth value of whatever is in the blank by the column under the blank, and the truth value for the whole by the column under the operator. If we put something true according to a consistent story into the blank, the resultant compound is sure to be false according to that story. Thus, for example, in the true story, ‘Snow is white’, ‘ $2 + 2 = 4$ ’ and ‘Dogs bark’ are all true; correspondingly, ‘It is not the case that snow is white’, ‘It is not the case that $2 + 2 = 4$ ’ and ‘It is not the case that dogs bark’ are all false. Similarly, if we put something false according to a story into the blank, the resultant compound is sure to be true according to the story. Thus, for example, in the true story, ‘Snow is green’ and ‘ $2 + 2 = 3$ ’ are both false. Correspondingly, ‘It is not the case that snow is green’ and ‘It is not the case that $2 + 2 = 3$ ’ are both true. It is no coincidence that the above table for ‘It is not the case that _____’ looks like the table for \sim . We will return to this point shortly.

For a second example of a truth functional operator, consider ‘_____ and _____’. This seems to have table,

	_____ and _____		
	T	T	T
(E)	T	F	F
	F	F	T
	F	F	F

Consider a situation where Bob and Sue each love themselves, but hate each other. Then Bob loves Bob and Sue loves Sue is true. But if at least one blank has a sentence that is false, the compound is false. Thus, for example, in that situation, Bob loves Bob and Sue loves Bob is false; Bob loves Sue and Sue loves Sue is false; and Bob

loves Sue and Sue loves Bob is false. For a compound, ‘____ and ____’ to be true, the sentences in both blanks have to be true. And if they are both true, the compound is itself true. So the operator is truth functional. Again, it is no coincidence that the table looks so much like the table for \wedge . To show that an operator is truth functional, it is sufficient to produce the table that shows how the truth values of the compound are fixed by the truth values of the sentences in the blanks.

Definitions for Translation

DC A *declarative sentence* is a sentence which has a truth value.

SO A *sentential operator* is an expression containing “blanks” such that when the blanks are filled with declarative sentences, the result is a declarative sentence.

CS Declarative sentences generated from other sentences by means of sentential operators are *compound*; all others are *simple*.

MO The *main operator* of a compound sentence is that operator not in the blank of any other operator.

TF A sentential operator is *truth functional* iff any compound generated by it has its truth value wholly determined by the truth values of the sentences in its blanks.

To show that an operator is not truth functional it is sufficient to produce some situation where truth values for sentences in the blanks are constant, but truth values for the compounds are not.

To show that an operator is truth functional, it is sufficient to produce the table that shows how the truth values of the compound are fixed by truth values of the sentences in the blanks.

For an interesting sort of case, consider the operator ‘According to every consistent story ____’, and the following attempted table,

	According to every consistent story ____	
(F)	?	T
	F	F

(On some accounts, this operator works like ‘Necessarily ____’). Say we put some sentence \mathcal{P} that is false according to a consistent story into the blank. Then since \mathcal{P} is false according to that very story, it is not the case that \mathcal{P} according to every consistent story — and the compound is sure to be false. So we fill in the bottom row under the operator as above. So far, so good. But consider ‘Dogs bark’ and ‘ $2 + 2 = 4$ ’. Both are true according to the true story. But only the second is true according to *every* consistent story. So the compound is false with the first in the blank, true with

the second. So ‘According to every consistent story ____’ is therefore *not* a truth functional operator. The truth value of the compound is not *wholly* determined by the truth value of the sentence in the blank. Similarly, it is natural to think that ‘____ because ____’ is false whenever one of the sentences in its blanks is false. It cannot be true that \mathcal{P} because \mathcal{Q} if not- \mathcal{P} , and it cannot be true that \mathcal{P} because \mathcal{Q} if not- \mathcal{Q} . If you are not happy, then it cannot be that you are happy because you understand the material; and if you do not understand the material, it cannot be that you are happy because you understand the material. So far, then, the table for ‘____ because ____’ is like the table for ‘____ and ____’.

		because	
	T	?	T
(G)	T	F	F
	F	F	T
	F	F	F

However, as we saw just above, in contrast to ‘____ and ____’, compounds generated by ‘____ because ____’ may or may not be true when sentences in the blanks are both true. So, although ‘____ and ____’ is truth functional, ‘____ because ____’ is not.

Thus the question is whether we can complete a table of the above sort: If there is a way to complete the table, the operator is truth functional. The test to show an operator is not truth functional simply finds some case to show that such a table cannot be completed.

E5.1. For each of the following, identify the simple sentences that are parts. If the sentence is compound, use underlines to exhibit its operator structure, and say what is its main operator.

- a. Bob likes Mary.
- b. Jim believes that Bob likes Mary.
- c. It is not the case that Bob likes Mary.
- d. Jane heard that it is not the case that Bob likes Mary.
- e. Jane heard that Jim believes that it is not the case that Bob likes Mary.
- f. Voldemort is very powerful, but it is not the case that Voldemort kills Harry at birth.
- g. Harry likes his godfather and Harry likes Dumbledore, but it is not the case that Harry likes his uncle.

- *h. Hermoine believes that studying is good, and Hermione studies hard, but Ron believes studying is good, and it is not the case that Ron studies hard.
- i. Malfoy believes mudbloods are scum, but it is not the case that mudbloods are scum; and Malfoy is a dork.
- j. Harry believes that Voldemort is evil and Hermione believes that Voldemort is evil, but it is not the case that Bellatrix believes that Voldemort is evil.

E5.2. Which of the following operators are truth functional and which are not? If the operator is truth functional, display the relevant table; if it is not, give cases that flip the value of the compound, with the value in the blanks constant.

- *a. It is a fact that ____
- b. Elmore believes that ____
- *c. ____ but ____
- d. According to some consistent story ____
- e. Although ____, ____
- *f. It is always the case that ____
- g. Sometimes it is the case that ____
- h. ____ therefore ____
- i. ____ however ____
- j. Either ____ or ____ (or both)

5.2.2 Parse Trees

We are now ready to outline a procedure for translation into our formal sentential language. In the end, you will often be able to see how translations should go and to write them down without going through all the official steps. However, the procedure should get you thinking in the right direction, and remain useful for complex cases. To translate some ordinary sentences $\mathcal{P}_1 \dots \mathcal{P}_n$ the basic translation procedure is,

- TP (1) Convert the ordinary $\mathcal{P}_1 \dots \mathcal{P}_n$ into corresponding ordinary equivalents exposing truth functional and operator structure.
- (2) Generate a “parse tree” for each of $\mathcal{P}_1 \dots \mathcal{P}_n$ and specify the interpretation function \mathbb{I} by assigning sentence letters to sentences at the bottom nodes.
- (3) Using sentence letters from \mathbb{I} and equivalent formal operators, construct a parallel tree that translates each node from the parse tree, to generate a formal \mathcal{P}'_i for each \mathcal{P}_i .

For now at least, the idea behind step (1) is simple: Sometimes all you need to do is expose operator structure by introducing underlines. In complex cases, this can be difficult! But we know how to do this. Sometimes, however, truth functional structure does not lie on the surface. Ordinary sentences are *equivalent* when they are true and false in exactly the same consistent stories. And we want ordinary equivalents exposing truth functional structure. Suppose \mathcal{P} is a sentence of the sort,

(H) Bob is not happy

Is this a truth functional compound? Not officially. There is no declarative sentence in the blank of a sentential operator; so it is not compound; so it is not a truth functional compound. But one might think that (H) is short for,

(I) It is not the case that Bob is happy

which is a truth functional compound. At least, (H) and (I) are equivalent in the sense that they are true and false in the same consistent stories. Similarly, ‘Bob and Carol are happy’ is not a compound of the sort we have described, because ‘Bob’ is not a declarative sentence. However, it is a short step from this sentence to the equivalent, ‘Bob is happy and Carol is happy’ which is an official truth functional compound. As we shall see, in some cases, this step can be more complex. But let us leave it at that for now.

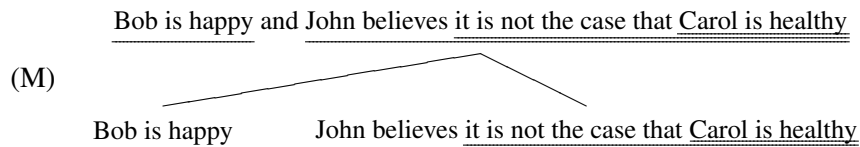
Moving to step (2), in a *parse tree* we begin with sentences constructed as in step (1). If a sentence has a *truth functional* main operator, then it branches downward for the sentence(s) in its blanks. If these have truth functional main operators, they branch for the sentences in *their* blanks; and so forth, until sentences are simple or have non-truth functional main operators. Then we construct the interpretation function \mathbb{I} by assigning a distinct sentence letter to each distinct sentence at a bottom node from a tree for the original $\mathcal{P}_1 \dots \mathcal{P}_n$.

Some simple examples should make this clear. Say we want to translate a collection of four sentences.

- The main operator is truth functional. So there is a branch for each of the sentences in its blanks. Observe that underlines continue to reflect the structure of *these* sentences

(so we “lift” the sentences from their blanks with structure intact). On the left, ‘Bob is healthy’ has no main operator, so it does not branch. On the right, ‘it is not the case that Carol is healthy’ has a truth functional main operator, and so branches. At bottom, we end up with ‘Bob is healthy’ and ‘Carol is healthy’. Neither has a letter, so we assign them ones. B_2 : Bob is healthy; C_2 : Carol is healthy.

The final sentence is equivalent to, Bob is happy and John believes it is not the case that Carol is healthy. It has a truth functional main operator. So there is a structured tree.



On the left, ‘Bob is happy’ is simple. On the right, ‘John believes it is not the case that Carol is healthy’ is complex. But its main operator is not truth functional. So *it does not branch*. We only branch for sentences in the blanks of truth functional main operators. Given this, we proceed in the usual way. ‘Bob is happy’ already has a letter. The other does not; so we give it one. J : John believes it is not the case that Carol is healthy.

And that is all. We have now compiled an interpretation function,

II B_1 : Bob is happy

C_1 : Carol is happy

B_2 : Bob is healthy

C_2 : Carol is healthy

J : John believes it is not the case that Carol is healthy

Of course, we might have chosen different letters. All that matters is that we have a distinct letter for each distinct sentence. Our intended interpretations are ones that capture available sentential structure, and make the sentence letters true in situations where these sentences are true and false when they are not. In the last case, there is a compulsion to think that we can somehow get down to the simple sentence ‘Carol is happy’. But resist temptation! A non-truth functional operator “seals off” that upon which it operates, and forces us to treat the compound as a unit. We do not automatically assign sentence letters to simple sentences, but rather to parts that are *not* truth functional compounds. Simple sentences fit this description. But so do compounds with non-truth-functional main operators.

E5.3. Use our method to expose truth functional structure and produce parse trees for each of the following. Use your trees to produce an interpretation function for the sentences. Hint: pay attention to punctuation as a guide to structure.

- a. Bingo is spotted, and Spot can play bingo.
- b. Bingo is not spotted, and Spot cannot play bingo.
- c. Bingo is spotted, and believes that Spot cannot play bingo.
- *d. It is not the case that: Bingo is spotted and Spot can play bingo.
- e. It is not the case that: Bingo is not spotted and Spot cannot play bingo.

E5.4. Use our method to expose truth functional structure and produce parse trees for each of the following. Use your trees to produce an interpretation function for the sentences.

- *a. People have rights and dogs have rights, but rocks do not.
- b. It is not the case that: rocks have rights, but people do not.
- c. Aliens believe that rocks have rights, but it is not the case that people believe it.
- d. Aliens landed in Roswell NM in 1947, and live underground but not in my backyard.
- e. Rocks do not have rights and aliens do not have rights, but people and dogs do.

5.2.3 Formal Sentences

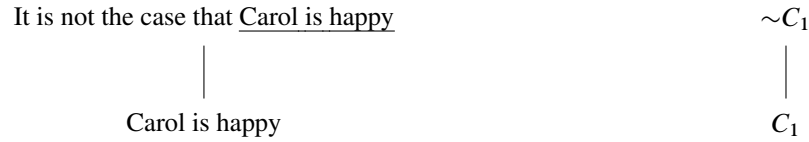
Now we are ready for step (3) of the translation procedure **TP**. Our aim is to generate translations by constructing a parallel tree where the force of ordinary truth functional operators is captured by *equivalent* formal operators. An ordinary truth functional operator has a table. Similarly, our formal expressions have tables. Say an ordinary truth functional operator is *equivalent* to some formal expression containing blanks just in case their tables are the same. Thus ‘ \sim _____’ is equivalent to ‘it is not the case that _____’. They are equivalent insofar as in each case, the whole has the opposite truth value of what is in the blank. Similarly, ‘_____ \wedge _____’ is equivalent to ‘_____

To see how this works, let us return to the sample sentences from above. Again, the idea is to generate a parallel tree. We begin by *using* the sentence letters from our interpretation function for the bottom nodes. The case is particularly simple when the tree has no structure. ‘Bob is happy’ had a simple unstructured tree, and we assigned it a sentence letter directly. Thus our original and parallel trees are,

As we have seen, the second sentence is equivalent to ‘It is not the case that Carol is happy’ with a parse tree as on the left below. We begin the parallel tree on the other side.

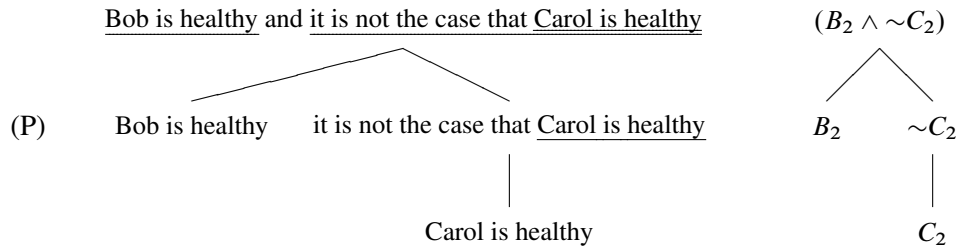
We know how to translate the bottom node. But now we want to capture the force of the truth functional operator with some equivalent formal operator(s). For this, we need a formal expression containing blanks whose table mirrors the table for the sentential operator in question. In this case, ‘ \sim _____’ works fine. That is, we have,

In each case, when the expression in the blank is T, the whole is F, and when the expression in the blank is F, the whole is T. So ‘ \sim ____’ is sufficient as a translation of ‘It is not the case that ____’. Other formal expressions might do just as well. Thus, for example, we might go with, ‘ $\sim\sim\sim$ ____’. The table for this is the same as the table for ‘ \sim ____’. But it is hard to see why we would do this, with \sim so close at hand. Now the idea is to apply the equivalent operator *to* the already translated expression from the blank. But this is easy to do. Thus we complete the parallel tree as follows.



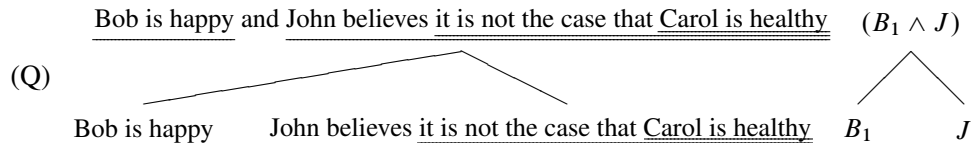
The result is the completed translation, $\sim C_1$.

The third sentence has a parse tree as on the left, and resultant parallel tree as on the right. As usual, we begin with sentence letters from the interpretation function for the bottom nodes.



Given translations for the bottom nodes, we work our way through the tree, applying equivalent operators to translations already obtained. As we have seen, a natural translation of ‘it is not the case that ____’ is ‘ \sim ____’. Thus, working up from ‘Carol is healthy’, our parallel to ‘it is not the case that Carol is healthy’ is $\sim C_2$. But now we have translations for both of the blanks of ‘____ and ____’. As we have seen, this has the same table as ‘ $(\text{____} \wedge \text{____})$ ’. So that is our translation. Again, other expressions might do. In particular, \wedge is an abbreviation with the same table as ‘ $\sim(\text{____} \rightarrow \sim \text{____})$ ’. In each case, the whole is true when the sentences in both blanks are true, and otherwise false. Since this is the same as for ‘____ and ____’, either would do as a translation. But again, the simplest thing is to go with ‘ $(\text{____} \wedge \text{____})$ ’. Thus the final result is $(B_2 \wedge \sim C_2)$. With the alternate translation for the main operator, the result would have been $\sim(B_2 \rightarrow \sim \sim C_2)$. Observe that the parallel tree is an upside-down version of the (by now quite familiar) tree by which we would show that the expression is a sentence.

Our last sentence is equivalent to, Bob is happy and John believes it is not the case that Carol is healthy. Given what we have done, the parallel tree should be easy to construct.



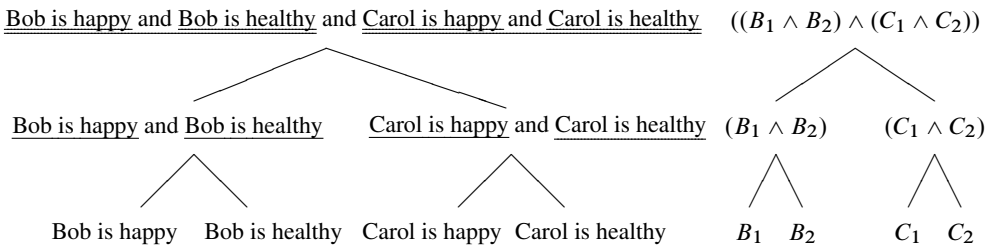
Given that the tree “bottoms out” on both ‘Bob is happy’ and ‘John believes it is not the case that Carol is healthy’ the only operator to translate is the main operator ‘____ and ____’. And we have just seen how to deal with that. The result is the completed translation, $(B_1 \wedge J)$.

Again, once you become familiar with this procedure, the full method, with the trees, may become tedious — and we will often want to set it to the side. But notice: the method breeds good habits! And the method puts us in a position to translate complex expressions, even ones that are so complex that we can barely grasp what they are saying. Beginning with the main operator, we break expressions down from complex parts to ones that are simpler. Then we construct translations, one operator at a time, where each step is manageable. Also, we should be able to see *why* the method results in good translations: For any situation and corresponding intended interpretation, truth values for *basic* parts are the same by the specification of the interpretation function. And given that operators are equivalent, truth values for parts built out of them must be the same as well, all the way up to the truth value of the whole. We satisfy the first part of our criterion CG insofar as the way we break down sentences in parse trees forces us to capture all the truth functional structure there is to be captured.

For a last example, consider, ‘Bob is happy and Bob is healthy and Carol is happy and Carol is healthy’. This is true only if ‘Bob is happy’, ‘Bob is healthy’, ‘Carol is happy’, and ‘Carol is healthy’ are all true. But the method may apply in different ways. We might at step one, treat the sentence as a complex expression involving multiple uses of ‘____ and ____’; perhaps something like,

(R) Bob is happy and Bob is healthy and Carol is happy and Carol is healthy

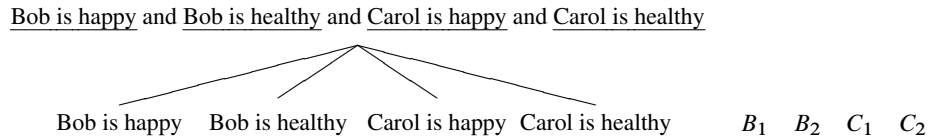
In this case, there is a straightforward move from the ordinary operators to formal ones in the final step. That is, the situation is as follows.



So we use multiple applications of our standard caret operator. But we might have treated the sentence as something like,

(S) Bob is happy and Bob is healthy and Carol is happy and Carol is healthy

involving a single four-blank operator, ‘____ and ____ and ____ and ____’, which yields true only when sentences in all its blanks are true. We have not seen anything like this before, but nothing stops a tree with four branches all at once. In this case, we would begin,



But now, for an equivalent operator we need a formal expression with *four* blanks that is true when sentences in all the blanks are true and otherwise false. Here is something that would do: ‘((____ \wedge ____) \wedge (____ \wedge ____))’. On either of these approaches, then, the result is $((B_1 \wedge B_2) \wedge (C_1 \wedge C_2))$. Other options might result in something like $((B_1 \wedge B_2) \wedge C_1) \wedge C_2$. In this way, there is room for shifting burden between steps one and three. Such shifting explains how step (1) can be more complex than it was initially represented to be. Choices about expanding truth functional structure in the initial stage may matter for what are the equivalent operators at the end. And the case exhibits how there are options for different, equally good, translations of the same ordinary expressions. What matters for **CG** is that resultant expressions capture available structure and be true when the originals are true and false when the originals are false. In most cases, one translation will be more *natural* than others, and it is good form to strive for natural translations. If there had been a comma so that the original sentence was, ‘Bob is happy and Bob is healthy, and Carol is happy and Carol is healthy’ it would have been most natural to go for an account along the lines of **(R)**. And it is crazy to use, say, ‘ $\sim\sim\sim$ ____’ when ‘ \sim ____’ will do as well.

***E5.5.** Construct parallel trees to complete the translation of the sentences from **E5.3** and **E5.4**. Hint: you will not need any operators other than \sim and \wedge .

E5.6. Use our method to translate each of the following. That is, generate parse trees with an interpretation function for all the sentences, and then parallel trees to produce formal equivalents.

- a. Plato and Aristotle were great philosophers, but Ayn Rand was not.
- b. Plato was a great philosopher, and everything Plato said was true, but Ayn Rand was not a great philosopher, and not everything she said was true.

- *c. It is not the case that: everything Plato, and Aristotle, and Ayn Rand said was true.
- d. Plato was a great philosopher but not everything he said was true, and Aristotle was a great philosopher but not everything he said was true.
- e. Not everyone agrees that Ayn Rand was not a great philosopher, and not everyone thinks that not everything she said was true.

E5.7. Use our method to translate each of the following. That is, generate parse trees with an interpretation function for all the sentences, and then parallel trees to produce formal equivalents.

- a. Bob and Sue and Jim will pass the class.
- b. Sue will pass the class, but it is not the case that: Bob will pass and Jim will pass.
- c. It is not the case that: Bob will pass the class and Sue will not.
- d. Jim will not pass the class, but it is not the case that: Bob will not pass and Sue will not pass.
- e. It is not the case that: Jim will pass and not pass, and it is not the case that: Sue will pass and not pass.

5.2.4 And, Or, Not

Our idea has been to recognize when truth conditions for ordinary and formal sentences are the same. As we have seen, this turns out to require recognizing when *operators* have the same tables. We have had a lot to say about ‘it is not the case that ____’ and ‘____ and ____’. We now turn to a more general treatment. We will not be able to provide a complete menu of ordinary operators. Rather, we will see that some uses of some ordinary operators can be appropriately translated by our symbols. We should be able to discuss enough cases for you to see how to approach others on a case-by-case basis. The discussion is organized around our operators, \sim , \wedge , \vee , \rightarrow and \leftrightarrow , taken in that order.

First, as we have seen, ‘It is not the case that ____’ has the same table as \sim . And various ordinary expressions may be equivalent to expressions involving this operator. Thus, ‘Bob is not married’ and ‘Bob is unmarried’ might be understood as

equivalent to ‘It is not the case that Bob is married’. Given this, we might assign a sentence letter, say, M to ‘Bob is married’ and translate $\sim M$. But the second case calls for comment. By comparison, consider, ‘Bob is unlucky’. Given what we have done, it is natural to treat ‘Bob is unlucky’ as equivalent to ‘It is not the case that Bob is lucky’; assign L to ‘Bob is lucky’; and translate $\sim L$. But this is not obviously right. Consider three situations: (i) Bob goes to Las Vegas with \$1,000, and comes away with \$1,000,000. (ii) Bob goes to Las Vegas with \$1,000, and comes away with \$100, having seen a show and had a good time. (iii) Bob goes to Las Vegas with \$1,000, falls into a manhole on his way into the casino, and has his money stolen by a light-fingered thief on the way down. In the first case he is lucky; in the third, unlucky. But, in the second, one might want to say that he was neither lucky nor unlucky. If this is right, ‘Bob is unlucky’ is *not* equivalent to ‘It is not the case that Bob is lucky’ — for it is not the case that Bob is lucky in *both* situations (ii) and (iii). Thus we might have to assign ‘Bob is lucky’ one letter, and ‘Bob is unlucky’ another.¹ Decisions about this sort of thing may depend heavily on context, and assumptions which are in the background of conversation. We will ordinarily *assume* contexts where there is no “neutral” state — so that being unlucky is not being lucky, and similarly in other cases.

Second, as we have seen, ‘____ and ____’ has the same table as \wedge . As you may recall from E5.2, another common operator that works this way is ‘____ but ____’. Consider, for example, ‘Bob likes Mary but Mary likes Jim’. Suppose Bob does like Mary and Mary likes Jim; then the compound sentence is true. Suppose one of the simples is false, Bob does not like Mary or Mary does not like Jim; then the compound is false. Thus ‘____ but ____’ has the table,

		but	
	T	T	T
(T)	T	F	F
	F	F	T
	F	F	F

and so has the same table as \wedge . So, in this case, we might assign B to ‘Bob likes Mary’ M to ‘Mary likes Jim’, and translate, $(B \wedge M)$. Of course, the ordinary expression ‘but’ carries a sense of opposition that ‘and’ does not. Our point is not that ‘and’ and ‘but’ somehow *mean* the same, but rather that compounds formed by

¹Or so we have to do in the context of our logic where T and F are the only truth values. Another option is to allow three values so that the one letter might be T, F or neither. It is possible to proceed on this basis — though the two valued (classical) approach has the virtue of relative simplicity! With the classical approach as background, some such alternatives are developed in Priest, *Non-Classical Logics*.

means of them are true and false under the same truth functional conditions. Another common operator with this table is ‘Although ____, ____’. You should convince yourself that this is so, and be able to find other ordinary terms that work just the same way.

Once again, however, there is room for caution in some cases. Consider, for example, ‘Bob took a shower and got dressed’. Given what we have done, it is natural to treat this as equivalent to ‘Bob took a shower and Bob got dressed’; assign letters S and D ; and translate $(S \wedge D)$. But this is not obviously right. Suppose Bob gets dressed, but then realizes that he is late for a date and forgot to shower, so he jumps in the shower fully clothed, and air-dries on the way. Then it is true that Bob took a shower, and true that Bob got dressed. But is it true that Bob took a shower and got dressed? If not — because the order is wrong — our translation $(S \wedge D)$ might be true when the original sentence is not. Again, decisions about this sort of thing depend heavily upon context and background assumptions. And there may be a distinction between what is *said* and what is conversationally *implied* in a given context. Perhaps what was said corresponds to the table, so that our translation is right, though there are certain assumptions typically made in conversation that go beyond. But we need not get into this. Our point is not that the ordinary ‘and’ *always* works like our operator \wedge ; rather the point is that some (indeed, many) ordinary uses are rightly regarded as having the same table.² Again, we will ordinarily *assume* a context where ‘and’, ‘but’ and the like have tables that correspond to \wedge .

The operator which is most naturally associated with \vee is ‘____ or ____’. In this case, there is room for caution from the start. Consider first a restaurant menu which says that you will get soup, or you will get salad, with your dinner. This is naturally understood as ‘you will get soup or you will get salad’ where the sentential operator is ‘____ or ____’. In this case, the table would seem to be,

²The ability to make this point is an important byproduct of our having introduced the formal operators “as themselves.” Where \wedge and the like are introduced as *being* direct translations of ordinary operators, a natural reaction to cases of this sort — a reaction had even by some professional logicians and philosophers — is that “the table is wrong.” But this is mistaken! \wedge has its own significance, which may or may not agree with the shifting meaning of ordinary terms. The situation is no different than for translation across ordinary languages, where terms may or may not have uniform equivalents.

But now, one may feel a certain tension with our account of what it is for an operator to be truth functional — for there seem to be contexts where the truth value of sentences in the blanks does not determine the truth value of the whole, even for a purportedly truth functional operator like ‘____ and ____’. However, we want to distinguish different *senses* in which an operator may be used (or an *ambiguity*, as between a *bank* of a river, and a *bank* where you deposit money), so that when an operator is used with just one sense it has some definite truth function.

		or	
	T	F	T
(U)	T	T	F
	F	T	T
	F	F	F

The compound is true if you get soup, true if you get salad, but not if you get neither or both. None of our operators has this table.

But contrast this case with one where a professor promises either to give you an ‘A’ on a paper, or to give you very good comments so that you will know what went wrong. Suppose the professor gets excited about your paper, giving you both an ‘A’ and comments. Presumably, she did not break her promise! That is, in this case, we seem to have, ‘I will give you an ‘A’ or I will give you comments’ with the table,

		or	
	T	T	T
(V)	T	T	F
	F	T	T
	F	F	F

The professor breaks her word just in case she gives you a low grade without comments. This table is identical to the table for \vee . For another case, suppose you set out to buy a power saw, and say to your friend ‘I will go to Home Depot or I will go Lowes’. You go to Home Depot, do not find what you want, so go to Lowes and make your purchase. When your friend later asks where you went, and you say you went to both, he or she will not say you lied (!) when you said where you were going — for your statement required only that you would try at least one of those places.

The grading and shopping cases represent the so-called “inclusive” use of ‘or’ — including the case when both components are T; the menu uses the “exclusive” use of ‘or’ — excluding the case when both are T. Ordinarily, we will *assume* that ‘or’ is used in its inclusive sense, and so is translated directly by \vee .³ Another operator that works this way is ‘___ unless ___’. Again, there are exclusive and inclusive senses — which you should be able to see by considering restaurant and grade examples as above. And again, we will ordinarily assume that the inclusive sense is intended. For the exclusive cases, we can generate the table by means of complex expressions. Thus, for example both $(\mathcal{P} \leftrightarrow \sim \mathcal{Q})$ and $[(\mathcal{P} \vee \mathcal{Q}) \wedge \sim(\mathcal{P} \wedge \mathcal{Q})]$ do the job. You should convince yourself that this is so.

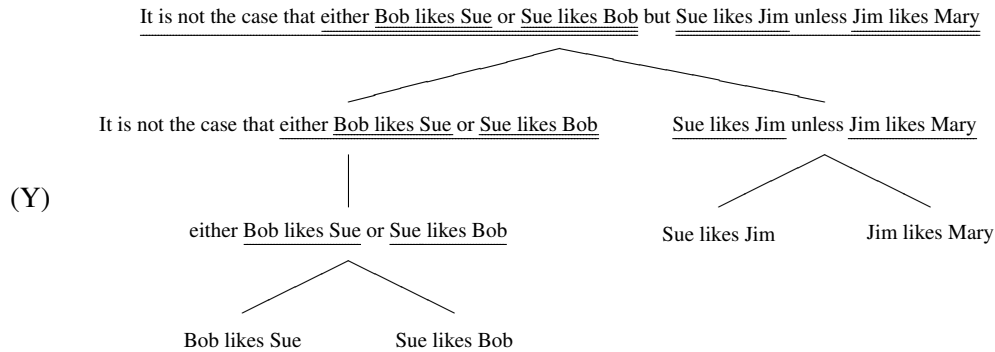
³Again, there may be a distinction between what is *said* and what is conversationally *implied* in a given context. Perhaps what was said generally corresponds to the inclusive table, though many uses are against background assumptions which automatically exclude the case when both are T. But we need not get into this. It is enough that some uses are according to the inclusive table.

Observe that ‘either ____ or ____’ says the same as ‘____ or ____’; and ‘both ____ and ____’ the same as ‘____ and ____’. So one might think that ‘either’ and ‘both’ have no real role. They do however serve a sort of “bracketing” function: Consider ‘neither Bob likes Sue nor Sue likes Bob’. This is most naturally understood as, ‘it is not the case that either Bob likes Sue or Sue likes Bob’ with translation $\sim(B \vee S)$. Observe that this division is required: An attempt to parse it to ‘it is not the case that either Bob likes Sue or Sue like Bob’ results in the fragment ‘either Bob likes Sue’ in the blank for ‘it is not the case that ____’. There would be an ambiguity about the main operator if ‘either’ were missing; but with it there, the only way to keep complete sentences in the blanks is to make ‘it is not the case that ____’ the main operator. Similarly, ‘not both Bob likes Sue and Sue likes Bob’ comes to ‘it is not the case that both Bob likes Sue and Sue likes Bob’ with translation $\sim(B \wedge S)$. It is possible to make these points directly. Thus, for example, ‘neither ____ nor ____’ has the following table,

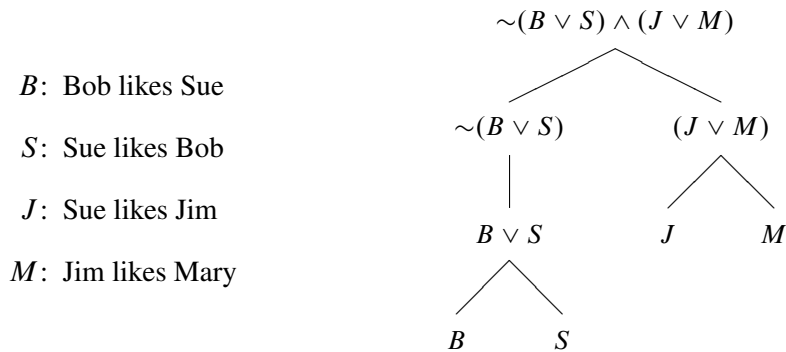
Neither ____ nor ____				\mathcal{P}	\mathcal{Q}	$\sim(\mathcal{P} \vee \mathcal{Q})$
(W)	F	T	T	T	T	F
	F	T	F	T	F	F
	F	F	T	F	T	F
	T	F	F	F	F	T

From (W) ‘neither Bob likes Sue nor Sue likes Bob’ is true just when ‘Bob likes Sue’ and ‘Sue likes Bob’ are both false, and otherwise false. No operator of our formal language has a table which is T just when components are both F. Still, we may form complex expressions which work this way. So from (X), $\sim(\mathcal{P} \vee \mathcal{Q})$ has the same table. Another expression that works this way is $\sim\mathcal{P} \wedge \sim\mathcal{Q}$. Either would be a good translation. Similarly both $\sim(\mathcal{P} \wedge \mathcal{Q})$ and $\sim\mathcal{P} \vee \sim\mathcal{Q}$ are a good translation for ‘not both ____ and ____’.

And we continue to work with complex forms on trees. Thus, for example, consider ‘Neither Bob likes Sue nor Sue likes Bob, but Sue likes Jim unless Jim likes Mary’. This is a mouthful, but we can deal with it in the usual way. The hard part, perhaps, is just exposing the operator structure.



Given this, with what we have said above, generate the interpretation function and then the parallel tree as follows.



We have seen that ‘ $___\vee___$ ’ is equivalent to ‘ $___$ unless $___$ ’; and that ‘neither $___$ nor $___$ ’ works like ‘it is not the case that $___$ or $___$ ’. Given these, everything works as before. Again, the complex problem is rendered simple, if we attack it one operator at a time. Another natural option would be $(\sim B \wedge \sim S) \wedge (J \vee M)$ with the alternate version of ‘neither $___$ nor $___$ ’.

E5.8. Using the interpretation function below, produce parse trees and then parallel ones to complete the translation for each of the following.

B: Bob likes Sue

S: Sue likes Bob

*B*₁: Bob is cool

*S*₁: Sue is cool

a. Bob likes Sue.

- b. Sue does not like Bob.
- c. Bob likes Sue and Sue likes Bob.
- d. Bob likes Sue or Sue likes Bob.
- e. Bob likes Sue unless she is not cool.
- f. Either Bob does not like Sue or Sue does not like Bob.
- g. Neither Bob likes Sue, nor Sue likes Bob.
- *h. Not both Bob and Sue are cool.
- i. Bob and Sue are cool, and Bob likes Sue, but Sue does not like Bob.
- j. Although neither Bob nor Sue are cool, either Bob likes Sue, or Sue likes Bob.

E5.9. Use our method to translate each of the following. That is, generate parse trees with an interpretation function for all the sentences, and then parallel trees to produce formal equivalents.⁴

- a. Harry is not a muggle.
- b. Neither Harry nor Hermione are muggles.
- c. Either Harry's or Hermione's parents are muggles.
- *d. Neither Harry, nor Ron, nor Hermione are muggles.
- e. Not both Harry and Hermione have muggle parents.
- f. The game of Quidditch continues unless the Snitch is caught.
- *g. Although blatching and blagging are illegal in Quidditch, the woolongong shimmy is not.
- h. Either the beater hits the bludger or you are not protected from it, and the bludger is a very heavy ball.

⁴My source for the information on Quidditch is Kennilworthy Whisp (aka, J.K. Rowling), *Quidditch Through the Ages*, along with a daughter who is a rabid fan of all things Potter.

- i. The Chudley Cannons are not the best Quidditch team ever, however they hope for the best.
- j. Harry won the Quidditch cup in his 3rd year at Hogwarts, but not in his 1st, 2nd, 4th, or 5th.

5.2.5 If, Iff

The operator which is most naturally associated with \rightarrow is ‘if ____ then ____’. Consider some fellow, perhaps of less than sterling character, of whom we assert, ‘If he loves her, then she is rich’. In this case, the table begins,

	If ____ then ____		
	T	T	T
(Z)	T	F	F
	F	?	T
	F	T	F

If ‘He loves her’ and ‘She is rich’ are both true, then what we said about him is true. If he loves her, but she is not rich, what we said was wrong. If he does not love her, and she is poor, then we are also fine, for all we said was that *if* he loves her, then she is rich. But what about the other case? Suppose he does not love her, but she is rich. There is a temptation to say that our conditional assertion is false. But do not give in! Notice: we did not say that he loves all the rich girls. All we said was that *if* he loves this particular girl, then she is rich. So the existence of rich girls he does not love does not undercut our claim. For another case, say you are trying to find the car he is driving and say ‘If he is in his own car, then it is a Corvette.’ That is, ‘If he is in his own car then it is a Corvette’. You would be mistaken if he has traded his Corvette for a Yugo. But say the Corvette is in the shop and he is driving a loaner that also happens to be a Corvette. Then ‘He is in his own car’ is F and ‘He is driving a Corvette’ is T. Still, there is nothing wrong with your claim — *if* he is in his own car, then it is a Corvette. Given this, we are left with the completed table,

	If ____ then ____		
	T	T	T
(AA)	T	F	F
	F	T	T
	F	T	F

which is identical to the table for \rightarrow . With L for ‘He loves her’ and R for ‘She is rich’, for ‘If he loves her then she is rich’ the natural translation is $(L \rightarrow R)$. Another case which works this way is He loves her only if she is rich. You should think through this as above. So far, perhaps, so good.

But the conditional calls for special comment. First, notice that the table shifts with the position of ‘if’. Suppose he loves her if she is rich. Intuitively, this says the same as, ‘If she is rich then he loves her’. This time, we are mistaken if she is rich and he does not love her. Thus, with the above table and assignments, we end up with translation $(R \rightarrow L)$. Notice that the order is switched around the arrow. We can make this point directly from the original claim.

	<u>he loves her if she is rich</u>		
	T	T	T
(AB)	T	T	F
	F	F	T
	F	T	F

The claim is false just in the case where she is rich but he does not love her. The result is *not* the same as the table for \rightarrow . What we need is an expression that is F in the case when L is F and R is T, and otherwise T. We get just this with $(R \rightarrow L)$. Of course, this is just the same result as by intuitively reversing the operator into the regular ‘If ____ then ____’ form.

In the formal language, the *order* of the components is crucial. In a true material conditional, the truth of the antecedent guarantees the truth of the consequent. In ordinary language, this role is played, not by the order of the components, but by operator placement. In general, *if* by itself is an *antecedent* indicator; and *only if* is a *consequent* indicator. That is, we get,

	If \mathcal{P} then \mathcal{Q}	\implies	$(\mathcal{P} \rightarrow \mathcal{Q})$
(AC)	\mathcal{P} if \mathcal{Q}	\implies	$(\mathcal{Q} \rightarrow \mathcal{P})$
	\mathcal{P} only if \mathcal{Q}	\implies	$(\mathcal{P} \rightarrow \mathcal{Q})$
	only if \mathcal{P}, \mathcal{Q}	\implies	$(\mathcal{Q} \rightarrow \mathcal{P})$

‘If’, taken alone, identifies what does the guaranteeing, and so the antecedent of our material conditional; ‘only if’ identifies what is guaranteed, and so the consequent.⁵

As we have just seen, the natural translation of ‘ \mathcal{P} if \mathcal{Q} ’ is $\mathcal{Q} \rightarrow \mathcal{P}$, and the translation of ‘ \mathcal{P} only if \mathcal{Q} ’ is $\mathcal{P} \rightarrow \mathcal{Q}$. Thus it should come as no surprise that the translation of ‘ \mathcal{P} if *and* only if \mathcal{Q} ’ is $(\mathcal{P} \rightarrow \mathcal{Q}) \wedge (\mathcal{Q} \rightarrow \mathcal{P})$, where this is precisely what is abbreviated by $(\mathcal{P} \leftrightarrow \mathcal{Q})$. We can also make this point directly. Consider, ‘he loves her if and only if she is rich’. The operator is truth functional, with the table,

⁵It may feel natural to convert ‘ \mathcal{P} unless \mathcal{Q} ’ to ‘ \mathcal{P} if not \mathcal{Q} ’ and translate $(\sim \mathcal{Q} \rightarrow \mathcal{P})$. This is fine and, as is clear from the abbreviated form, equivalent to $(\mathcal{Q} \vee \mathcal{P})$. However, with the extra negation and concern about direction of the arrow, it is easy to get confused on this approach — so the simple wedge is less likely to go wrong.

Cause and Conditional

It is important that the material conditional does *not* directly indicate causal connection. Suppose we have sentences S : You strike the match, and L : The match will light. And consider,

(i) If you strike the match then it will light $S \rightarrow L$

(ii) The match will light only if you strike it $L \rightarrow S$

with natural translations by our method on the right. Good. But, clearly the *cause* of the lighting is the striking. So the first arrow runs from cause to effect, and the second from effect to cause. Why? In (i) we represent the cause as *sufficient* for the effect: striking the match guarantees that it will light. In (ii) we represent the cause as *necessary* for the effect — the only way to get the match to light, is to strike it — so that the match’s lighting guarantees that it was struck.

There may be a certain *tendency* to associate the ordinary ‘if’ and ‘only if’ with cause, so that we say, ‘if \mathcal{P} then \mathcal{Q} ’ when we think of \mathcal{P} as a (sufficient) cause of \mathcal{Q} , and say ‘ \mathcal{P} only if \mathcal{Q} ’ when we think of \mathcal{Q} as a (necessary) cause of \mathcal{P} . But causal direction is not reflected by the arrow, which comes out ($\mathcal{P} \rightarrow \mathcal{Q}$) either way. The material conditional indicates *guarantee*.

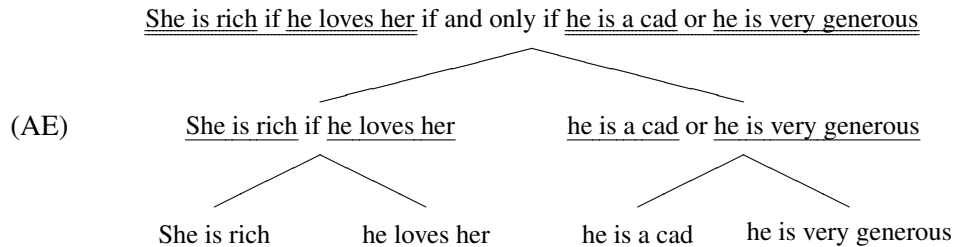
This point is important insofar as certain ordinary conditionals seem inextricably tied to causation. This is particularly the case with “subjunctive” conditionals (conditionals about what *would* have been). Suppose I was playing basketball and said, ‘If I had played Kobe, I would have won’ where this is, ‘If it were the case that I played Kobe then it would have been the case that I won the game’. Intuitively, this is false, Kobe would wipe the floor with me. But contrast, ‘If it were the case that I played Lassie then it would have been the case that I won the game’. Now, intuitively, this is true; Lassie has many talents but, presumably, basketball is not among them — and I could take her. But I have never played Kobe or Lassie, so both ‘I played Kobe’ and ‘I played Lassie’ are false. Thus the truth value of the whole conditional changes from false to true though the values of sentences in the blanks remain the same; and ‘If it were the case that ____ then it would have been the case that ____’ is not even truth functional. Subjunctive conditionals do offer a sort of guarantee, but the guarantee is for situations alternate to the way things actually are. So actual truth values do not determine the truth of the conditional.

Conditionals other than the material conditional are a central theme of Priest, *Non-Classical Logics*. As usual, we simply assume that ‘if’ and ‘only if’ are used in their truth functional sense, and so are given a good translation by \rightarrow .

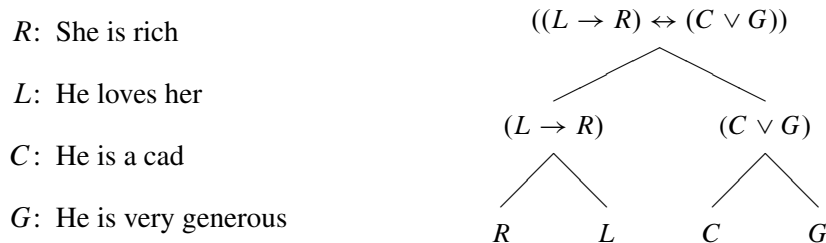
	<u>he loves her</u>	if and only if	<u>she is rich</u>
	T	T	T
(AD)	T	F	F
	F	F	T
	F	T	F

It cannot be that he loves her and she is not rich, because he loves her *only if* she is rich; so the second row is F. And it cannot be that she is rich and he does not love her, because he loves her *if* she is rich; so the third row is F. The conditional is true just when both she is rich and he loves her, or neither. Another operator that works this way is ‘ just in case ’. You should convince yourself that this is so. Notice that ‘if’, ‘only if’, and ‘if and only if’ play very different roles for translation — you almost want to think of them as completely different words: *if*, *onlyif*, and *ifandonlyif*, each with their own distinctive logical role. Do not get the different roles confused!

For an example that puts some of this together, consider, ‘She is rich if he loves her, if and only if he is a cad or very generous’. This comes to the following.



We begin by assigning sentence letters to the simple sentences at the bottom. Then the parallel tree is constructed as follows.



Observe that she is rich if he loves her is equivalent to $(L \rightarrow R)$, not the other way around. Then the wedge translates ‘ or ’, and the main operator has the same table as \leftrightarrow .

Notice again that our procedure for translating, one operator or part at a time, lets us translate even where the original is so complex that it is difficult to compre-

hend. The method forces us to capture all available truth functional structure, and the translation is thus good insofar as given the specified interpretation function, the method makes the formal sentence true at just the consistent stories where the original is true. It does this because the formal and informal sentences *work* the same way. Eventually, you want to be able to work translations without the trees! (And maybe you have already begun to do so.) In fact, it will be helpful to generate them from the *top down*, rather than from the bottom up, building the translation operator-by-operator as you take the sentence apart from the main operator. But, of course, the result should be the same no matter how you do it.

From definition AR on p. 5 an argument is some sentences, one of which (the conclusion) is taken to be supported by the remaining sentences (the premises). In some courses on logic or critical reasoning, one might spend a great deal of time learning to identify premises and conclusions in ordinary discourse. However, we have taken this much as given, representing arguments in *standard form*, with premises listed as complete sentences above a line, and the conclusion under. Thus, for example,

If you strike the match, then it will light
(AF) The match will not light
 You did not strike the match

is a simple argument of the sort we might have encountered in chapter 1. To translate the argument, we produce a translation for the premises and conclusion, retaining the “standard-form” structure. Thus as in the discussion of causation on p. 167, we might end up with an interpretation function and translation as below,

S: You strike the match	$S \rightarrow L$
	$\sim L$
L: The match will light	<u> </u>
	$\sim S$

The result is an object to which we can apply our semantic and derivation methods in a straightforward way.

And this is what we have been after: If a formal argument is sententially valid, then the corresponding ordinary argument must be logically valid. For some good formal translation of its premises and conclusion, suppose an argument is sententially valid; then by SV there is *no* interpretation on which the premises are true and the conclusion is false; so there is no *intended* interpretation on which the premises are true and the conclusion is false; but given a good translation, by CG, the ordinary-language premises and conclusion have the same truth values at any consistent story as formal expressions on the corresponding intended interpretation; so no *consistent*

story has the premises true and the conclusion false; so by **LV** the original argument is logically valid. We will make this point again, in some detail, in **Part III**. For now, notice that our formal methods, derivations and truth tables, apply to arguments of arbitrary complexity. So we are in a position to demonstrate validity for arguments that would have set us on our heels in **chapter 1**. With this in mind, consider again the butler case (**B**) that we began with from p. 2. The demonstration that the argument is logically valid is entirely straightforward, by a good translation and then truth tables to demonstrate semantic validity. (It remains for **Part III** to show how *derivations* matter for semantic validity.)

E5.10. Using the interpretation function below, produce parse trees and then parallel ones to complete the translation for each of the following.

L: Lassie barks

T: Timmy is in trouble

P: Pa will help

H: Lassie is healthy

- a. If Timmy is in trouble, then Lassie barks.
- b. Timmy is in trouble if Lassie barks.
- c. Lassie barks only if Timmy is in trouble.
- d. If Timmy is in trouble and Lassie barks, then Pa will help.
- *e. If Timmy is in trouble, then if Lassie barks Pa will help.
- f. If Pa will help only if Lassie barks, then Pa will help if and only if Timmy is in trouble.
- g. Pa will help if Lassie barks, just in case Lassie barks only if Timmy is in trouble.
- h. If Timmy is in trouble and Pa does not help, then Lassie is not healthy or does not bark.
- *i. If Timmy is in trouble, then either Lassie is not healthy or if Lassie barks then Pa will help.

- j. If Lassie neither barks nor is healthy, then Timmy is in trouble if Pa will not help.

E5.11. Use our method, with or without parse trees, to produce a translation, including interpretation function for the following.

- a. If animals feel pain, then animals have intrinsic value.
- b. Animals have intrinsic value only if they feel pain.
- c. Although animals feel pain, vegetarianism is not right.
- d. Animals do not have intrinsic value unless vegetarianism is not right.
- e. Vegetarianism is not right only if animals do not feel pain or do not have intrinsic value.
- f. If you think animals feel pain, then vegetarianism is right.
- *g. If you think animals do not feel pain, then vegetarianism is not right.
- h. If animals feel pain, then if animals have intrinsic value if they feel pain, then animals have intrinsic value.
- *i. Vegetarianism is right only if both animals feel pain, and animals have intrinsic value just in case they feel pain; but it is not the case that animals have intrinsic value just in case they feel pain.
- j. If animals do not feel pain if and only if you think animals do not feel pain, but you do think animals feel pain, then you do not think that animals feel pain.

E5.12. For each of the following arguments: (i) Produce a good translation, including interpretation function and translations for the premises and conclusion. Then (ii) use truth tables to determine whether the argument is sententially valid.

- *a. Our car will not run unless it has gasoline
 Our car has gasoline

 Our car will run

- b. If Bill is president, then Hillary is first lady
 Hillary is not first lady

 Bill is not president
- c. Snow is white and snow is not white
 Dogs can fly
- d. If Mustard murdered Boddy, then it happened in the library.
 The weapon was the pipe if and only if it did not happen in the library, and
 the weapon was not the pipe only if Mustard murdered him

 Mustard murdered Boddy
- e. There is evil
 If god is good, there is no evil unless he has an excuse for allowing it.
 If god is omnipotent, then he does not have an excuse for allowing evil.

 God is not both good and omnipotent.

E5.13. For each of the arguments in E5.12 that is sententially valid, produce a derivation to show that it is valid in *AD*.

E5.14. Use translation and truth tables to show that the butler argument (B) from p. 2 is semantically valid.

E5.15. For each of the following concepts, explain in an essay of about two pages, so that Hannah could understand. In your essay, you should (i) identify the objects to which the concept applies, (ii) give and explain the definition, and give and explicate examples of your own construction (iii) where the concept applies, and (iv) where it does not. Your essay should exhibit an understanding of methods from the text.

- a. Good translations.
- b. Truth functional operators
- c. Parse trees, interpretation functions and parallel trees

5.3 Quantificational

It is not surprising that our goals for the quantificational case remain very much as in the sentential one. We still want to produce translations — consisting of interpretation functions and formal sentences — which capture available structure, making a formal \mathcal{P}' true at intended interpretation $\|_{\omega}$ just when the corresponding ordinary \mathcal{P} is true at story ω . We do this as before, by assuring that the various parts of the ordinary and formal languages work the same way. Of course, now we are interested in capturing *quantificational* structure, and the interpretation and formal sentences are for *quantificational* languages.

In the last section, we developed a recipe for translating from ordinary language into sentential expressions, associating particular bits of ordinary language with various formal symbols. We might proceed in very much the same way here, moving from our notion of *truth-functional* operators, to that of *extensional* terms, relation symbols, and operators. Roughly, an ordinary term is *extensional* when the truth value of a sentence in which it appears depends just on the object to which it refers; an ordinary relation symbol is *extensional* when the truth value of a sentence in which it appears depends just on the objects to which it applies; and an ordinary operator is *extensional* when the truth value of a sentence in which it appears depends just on the satisfaction of expressions which appear in its blanks. Clearly the notion of an extensional operator at least is closely related to that of a truth functional operator. Extensional terms, relation symbols and operators in ordinary language work very much like corresponding ones in a formal quantificational language — where, again, the idea would be to identify bits of ordinary language which contribute to truth values in the same way as corresponding parts of the formal language.

However, in the quantificational case, an official *recipe* for translation is relatively complicated. It is better to work directly with the fundamental goal of producing formal translations that are true in the same situations as ordinary expressions. To be sure, certain patterns and strategies will emerge, but, again, we should think of what we are doing less as applying a recipe, than as directly using our understanding of what makes ordinary and formal sentences true to produce good translations. With this in mind, let us move directly to sample cases, beginning with those that are relatively simple, and advancing to ones that are more complex.

5.3.1 Simple Quantifications

First, sentences without quantifiers work very much as in the sentential case. Consider a simple example. Say we are confronted with ‘Bob is happy’. We might begin,

as in the sentential case, with the interpretation function,

B : Bob is happy

and use B for ‘Bob is happy’, $\sim B$ for ‘Bob is not happy’, and so forth. But this is to ignore structure we are now capable of capturing. Thus, in our standard quantificational language \mathcal{L}_q , we might let U be the set of all people, and set,

b : Bob

H^1 : $\{o \mid o \text{ is a happy person}\}$

Then we can use Hb for ‘Bob is happy’, $\sim Hb$ for ‘Bob is not happy’, and so forth. If \models_ω assigns Bob to b , and the set of happy things to H , then Hb is satisfied and true on \models_ω just in case Bob is happy at ω — which is just what we want. Similarly suppose we are confronted with ‘Bob’s father is happy’. In the sentential case, we might have tried, F : Bob’s father is happy. But this is to miss structure available to us now. So we might consider assigning a constant d to Bob’s father and going with Hd as above. But this also misses available structure. In this case, we can expand the interpretation function to include,

f^1 : $\{\langle m, n \rangle \mid m, n \in U \text{ and } n \text{ is the father of } m\}$

Then for any variable assignment d , $I_d[b] = \text{Bob}$ and $I_d[f^1b]$ is Bob’s father. So Hf^1b is satisfied and true just in case Bob’s father is happy. $\sim Hf^1b$ is satisfied just in case Bob’s father is not happy, and so forth — which is just what we want. In these cases without quantifiers, once we have translated simple sentences, everything else proceeds as in the sentential case. Thus, for example, for ‘Neither Bob nor his father is happy’ we might offer, $\sim(Hb \vee Hf^1b)$.

The situation gets more interesting when we add quantifiers. We will begin with cases where a quantifier’s scope includes neither binary operators nor other quantifiers, and gradually increase complexity. Consider the following interpretation function.

\models U : $\{o \mid o \text{ is a dog}\}$

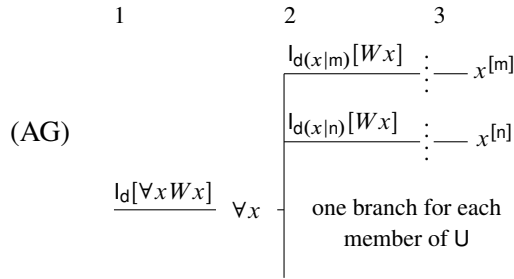
f^1 : $\{\langle m, n \rangle \mid m, n \in U \text{ and } n \text{ is the father of } m\}$

W^1 : $\{o \mid o \in U \text{ and } o \text{ will have its day}\}$

We assume that there is some definite content to a dog’s having its day, and that every dog *has* a father — if a dog “Adam” has no father at all, we will not have specified a legitimate function. (Why?) Say we want to translate the following sentences.

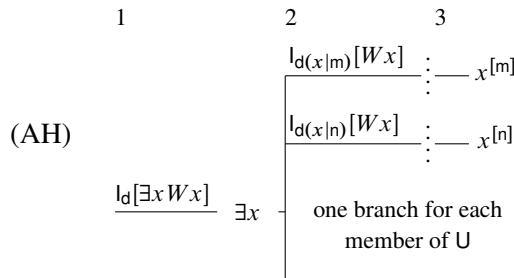
- (1) Every dog will have its day
- (2) Some dog will have its day
- (3) Some dog will not have its day
- (4) No dog will have its day

Assume ‘some’ means ‘at least one’. The first sentence is straightforward. $\forall x Wx$ is read, ‘for any x , Wx ’; it is true just in case every dog will have its day. Suppose \mathbb{I}_ω is an interpretation \mathbb{I} where the elements of U are m , n , and so forth. Then the tree is as below.



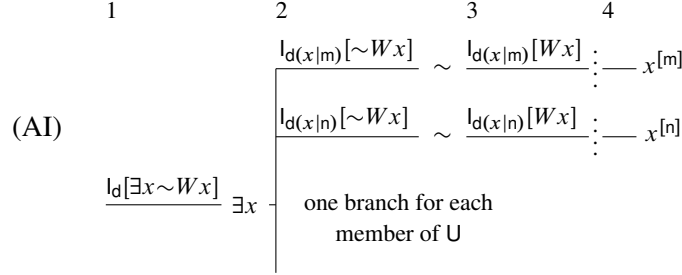
The formula at (1) is satisfied just in case each of the branches at (2) is satisfied. But this can be the case only if each member of U is in the interpretation of W — which given our interpretation function, can only be the case if each dog will have its day. If even one dog does not have its day, then $\forall x Wx$ is not satisfied, and is not true.

The second case is also straightforward. $\exists x Wx$ is read, ‘there is an x such that Wx ’; it is true just in case some dog will have its day.



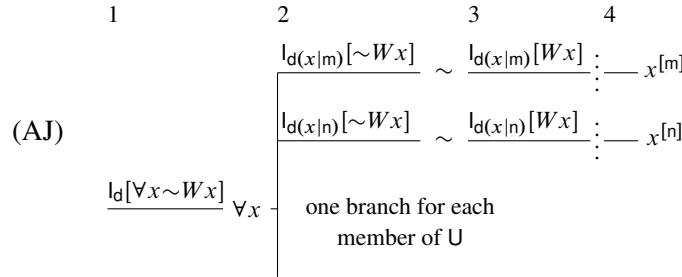
The formula at (1) is satisfied just in case at least one of the branches at (2) is satisfied. But this can be the case only if some member of U is in the interpretation of W — which, given the interpretation function, is to say that some dog will have its day.

The next two cases are only slightly more difficult. $\exists x \sim Wx$ is read, ‘there is an x such that not Wx ’; it is true just in case some dog will not have its day.



The formula at (1) is satisfied just in case at least one of the branches at (2) is satisfied. And a branch at (2) is satisfied just in case the corresponding branch at (3) is not satisfied. So $\exists x \sim Wx$ is satisfied and true just in case some member of U is not in the interpretation of W — just in case some dog does not have its day.

The last case is similar. $\forall x \sim Wx$ is read, ‘for any x , not Wx ’; it is true just in case every dog does not have its day.



The formula at (1) is satisfied just in case all of the branches at (2) are satisfied. And this is the case just in case none of the branches at (3) are satisfied. So $\forall x \sim Wx$ is satisfied and true just in case none of the members of U are in the interpretation of W — just in case no dog has its day.

Perhaps it has already occurred to you that there are other ways to translate these sentences. The following lists what we have done, with “quantifier switching” alternatives on the right.

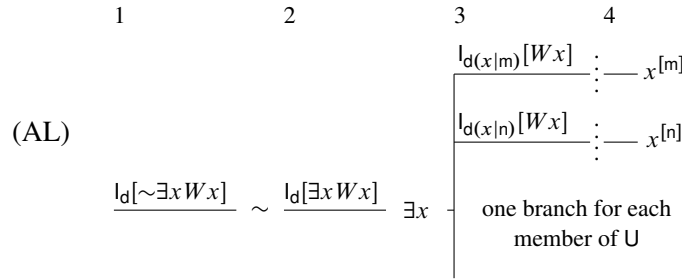
(AK)	Every dog will have its day	$\forall x Wx$	$\sim \exists x \sim Wx$
	Some dog will have its day	$\exists x Wx$	$\sim \forall x \sim Wx$
	Some dog will not have its day	$\exists x \sim Wx$	$\sim \forall x Wx$
	No dog will have its day	$\forall x \sim Wx$	$\sim \exists x Wx$

There are different ways to think about these alternatives. First, in ordinary language, beginning from the bottom, no dog will have its day, just in case not even one dog does. Similarly, moving up the list, some dog will not have its day, just in case not every dog does. And some dog will have its day just in case not every dog does not.

And every dog will have its day iff not even one dog does not. These equivalences may be difficult to absorb at first but, if you think about them, each should make sense.

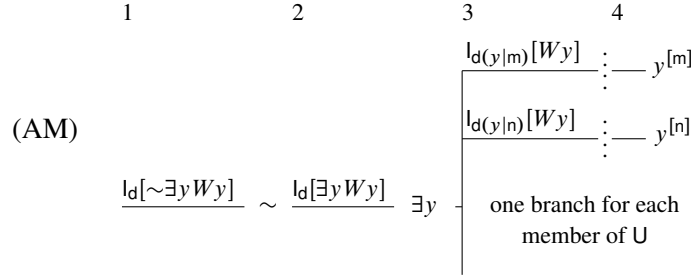
Next, we might think about the alternatives purely in terms of abbreviations. Notice that, in a tree, $I_d[\sim\sim\mathcal{P}]$ is always the same as $I_d[\mathcal{P}]$ — the tildes “cancel each other out.” But then, in the first case, $\sim\exists x\sim Wx$ abbreviates $\sim\sim\forall x\sim\sim Wx$ which is satisfied just in case $\forall x Wx$ is satisfied. In the second case, $\exists x Wx$ directly abbreviates $\sim\forall x\sim Wx$. In the third, $\exists x\sim Wx$ abbreviates $\sim\forall x\sim\sim Wx$ which is satisfied just in case $\sim\forall x Wx$ is satisfied. And, in the last case, $\sim\exists x Wx$ abbreviates $\sim\sim\forall x\sim Wx$, which is satisfied just in case $\forall x\sim Wx$ is satisfied. So, again, the alternatives are true under just the same conditions.

Finally, we might think about the alternatives directly, based on their branch conditions. Taking just the last case,



The formula at (1) is satisfied just in case the formula at (2) is not. But the formula at (2) is not satisfied just in case none of the branches at (3) is satisfied — and this can only happen if no dog is in the interpretation of W , where this is as it should be for ‘no dog will have its day’. In practice, there is no reason to prefer $\exists x\sim\mathcal{P}$ over $\sim\forall x\mathcal{P}$ or to prefer $\forall x\sim\mathcal{P}$ over $\sim\exists x\mathcal{P}$ — the choice is purely a matter of taste. It would be less natural to use $\sim\exists x\sim\mathcal{P}$ in place of $\forall x\mathcal{P}$, or $\sim\forall x\sim\mathcal{P}$ in place of $\exists x\mathcal{P}$. And it is a matter of good form to pursue translations that are natural. At any rate, all of the options satisfy CG. (But notice that we leave further room for alternatives among good answers, thus complicating comparisons with, for example, the back of the book!)

Observe that variables are *mere placeholders* for these expressions so that choice of variables also does not matter. Thus, in tree (AL) immediately above, the formula is true just in case no dog is in the interpretation of W . But we get the exact same result if the variable is y .



In either case, what matters in the end is whether the objects are in the interpretation of the relation symbol: whether $m \in I[W]$, and so forth. If none are, then the formulas are satisfied. Thus the formulas are satisfied under *exactly* the same conditions. And since one is satisfied iff the other is satisfied, one is a good translation iff the other is. So the choice of variables is up to you.

Given all this, we continue to treat truth functional operators as before — and we can continue to use underlines to expose truth functional structure. The difference is that what we would have seen as “simple” sentences have structure we were not able to expose before. So, for example, ‘Either every dog will have his day or no dog will have his day’ gets translation, $\forall x Wx \vee \forall x \sim Wx$; ‘Some dog will have its day and some dog will not have its day’, gets, $\exists x Wx \wedge \exists x \sim Wx$; and so forth. If we want to say that some dog is such that its father will have his day, we might try $\exists x Wf^1x$ — there is an x such that the *father of it* will have its day.

E5.16. On p. 177 we say that we may show directly, based on branch conditions, that the alternatives of table (AK) have the same truth conditions, but show it only for the last case. Use trees to demonstrate that the other alternatives are true under the same conditions. Be sure to explain how your trees have the desired results.

E5.17. Given the following partial interpretation function for \mathcal{L}_q , complete the translation for each of the following. Assume Phil 300 is a logic class with Ninfa and Harold as members in which each student is associated with a unique homework partner.

U: {o | o is a student in Phil 300}

a: Ninfa

d: Harold

p^1 : {⟨m, n⟩ | m, n ∈ U and n is the homework partner of m}

G^1 : $\{o \mid o \in U \text{ and } o \text{ gets a good grade}\}$

H^2 : $\{\langle m, n \rangle \mid m, n \in U \text{ and } m \text{ gets a higher grade than } n\}$

- a. Ninfa and Harold both get a good grade.
- b. Ninfa gets a good grade, but her homework partner does not.
- c. Ninfa gets a good grade only if both her homework partner and Harold do.
- d. Harold gets a higher grade than Ninfa.
- *e. If Harold gets a higher grade than Ninfa, then he gets a higher grade than her homework partner.
- f. Nobody gets a good grade.
- *g. If someone gets a good grade, then Ninfa's homework partner does.
- h. If Ninfa does not get a good grade, then nobody does.
- *i. Nobody gets a grade higher than their own grade.
- j. If no one gets a higher grade than Harold, then no one gets a good grade.

E5.18. Produce a good quantificational translation for each of the following. In this case you should provide an interpretation function for the sentences. Let U be the set of famous philosophers, and, assuming that each has a unique successor, implement a *successor* function.

- a. Plato is a good philosopher.
- b. Plato is better than Aristotle.
- c. Neither Plato is better than Aristotle, nor Aristotle is better than Plato.
- *d. If Plato is good, then his successor and successor's successor are good.
- e. No philosopher is better than his successor.
- f. Not every philosopher is better than Plato.
- g. If all philosophers are good, then Plato and Aristotle are good.

- h. If neither Plato nor his successor are good, then no philosopher is good.
- *i. If some philosopher is better than Plato, then Aristotle is.
- j. If every philosopher is better than his successor, then no philosopher is better than Plato.

5.3.2 Complex Quantifications

With a small change to our interpretation function, we introduce a new sort of complexity into our translations. Suppose \mathcal{U} includes not just all dogs, but all physical objects, so that our interpretation function \mathcal{I} has,

$$\begin{aligned}\mathcal{I} \quad \mathcal{U}: & \{o \mid o \text{ is a physical object}\} \\ W^1: & \{o \mid o \in \mathcal{U} \text{ and } o \text{ will have its day}\} \\ D^1: & \{o \mid o \in \mathcal{U} \text{ and } o \text{ is a dog}\}\end{aligned}$$

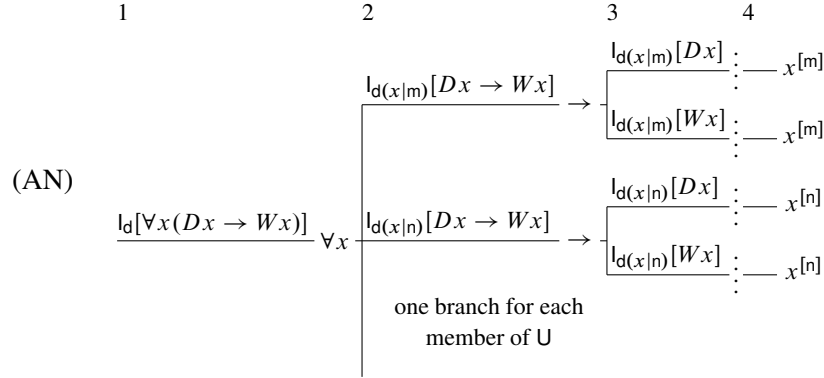
Thus the universe includes more than dogs, and D is a relation symbol with application to dogs. We set out to translate the same sentences as before.⁶

- (1) Every dog will have its day
- (2) Some dog will have its day
- (3) Some dog will not have its day
- (4) No dog will have its day

This time, $\forall x Wx$ does *not* say that every dog will have its day. $\forall x Wx$ is true just in case everything in \mathcal{U} , dogs along with everything else, will have its day. So it might be that every *dog* will have its day even though something else, for example my left sock, does not. So $\forall x Wx$ is not a good translation of ‘every dog will have its day’.

We do better with $\forall x(Dx \rightarrow Wx)$. $\forall x(Dx \rightarrow Wx)$ is read, ‘for any x if x is a dog, then x will have its day’; it is true just in case every dog will have its day. Again, suppose \mathcal{I}_ω is an interpretation \mathcal{I} such that the elements of \mathcal{U} are m, n, \dots

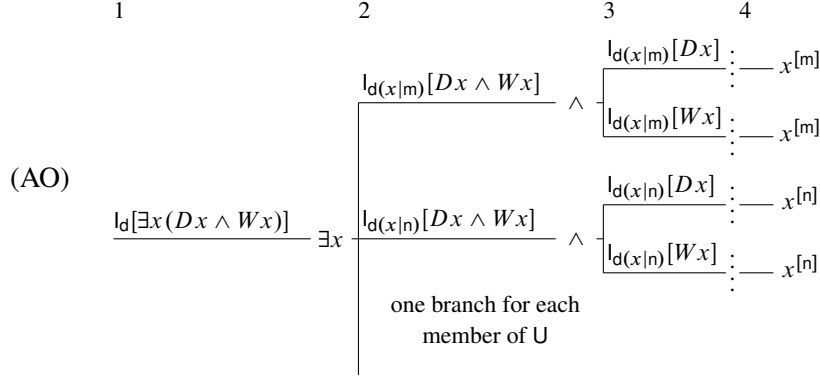
⁶Sentences of the sort, ‘all \mathcal{P} are \mathcal{Q} ’, ‘no \mathcal{P} are \mathcal{Q} ’, ‘some \mathcal{P} are \mathcal{Q} ’, and ‘some \mathcal{P} are not \mathcal{Q} ’ are, in a tradition reaching back to Aristotle, often associated with a “square of opposition” and called *A*, *E*, *I* and *O* sentences. In a context with the full flexibility of quantifier languages, there is little point to the special treatment, insofar as our methods apply to these as well as to ones that are more complex. For discussion, see Pietroski, “[Logical Form](#).”



The formula at (1) is satisfied just in case each of the branches at (2) is satisfied. And all the branches at (2) are satisfied just in case there is no S/N pair at (3). This is so just in case nothing in U is a dog that does not have its day; that is, just in case every dog has its day. It is important to see how this works: There is a branch at (2) for *each* thing in U. The key is that branches for things that are not dogs are “vacuously” satisfied *just because the things are not dogs*. If $\forall x(Dx \rightarrow Wx)$ is true, however, whenever a branch is for a thing that is a dog — so that a top branch of a pair at (3) is satisfied, that thing must be one that will have its day. If anything is a dog that does not have its day, there is a S/N pair at (3), and $\forall x(Dx \rightarrow Wx)$ is not satisfied and not true.

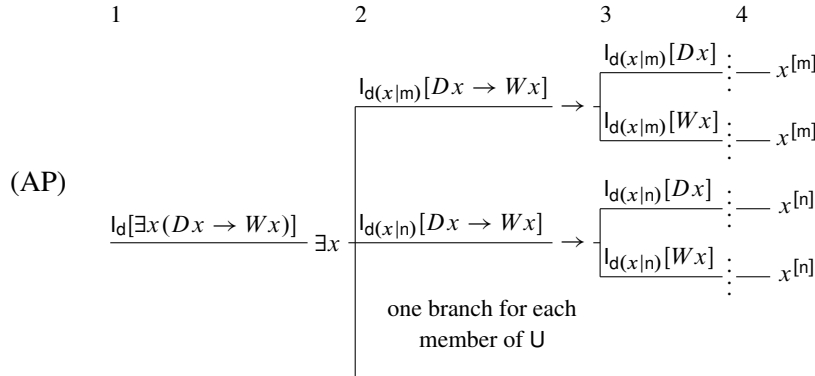
It is worth noting some expressions that do not result in a good translation. $\forall x Dx \wedge \forall x Wx$ is true just in case everything is a dog and everything will have its day. To make it false, all it takes is one thing that is not a dog, or one thing that will not have its day — but this is not what we want. If this is not clear, work it out on a tree. Similarly, $\forall x Dx \rightarrow \forall x Wx$ is true just in case *if* everything is a dog, then everything will have its day. To make it true, all it takes is one thing that is not a dog — then the antecedent is false, and the conditional is true; but again, this is not what we want. In the good translation, $\forall x(Dx \rightarrow Wx)$, the quantifier picks out each thing in U, the antecedent of the conditional identifies the ones we want to talk about, and the consequent says what we want to say about them.

Moving on to the second sentence, $\exists x(Dx \wedge Wx)$ is read, ‘there is an x such that x is a dog, and x will have its day’; it is true just in case some dog will have its day.



The formula at (1) is satisfied just in case one of the branches at (2) is satisfied. A branch at (2) is satisfied just in cases both branches in the corresponding pair at (3) are satisfied. And this is so just in case something is a dog that will have its day.

Again, it is worth noting expressions that do not result in good translation. $\exists x Dx \wedge \exists x Wx$ is true just in case something is a dog, and something will have its day — where these need not be the same; so $\exists x Dx \wedge \exists x Wx$ might be true even though no *dog* has its day. $\exists x(Dx \rightarrow Wx)$ is true just in case something is such that *if* it is a dog, then it will have its day.

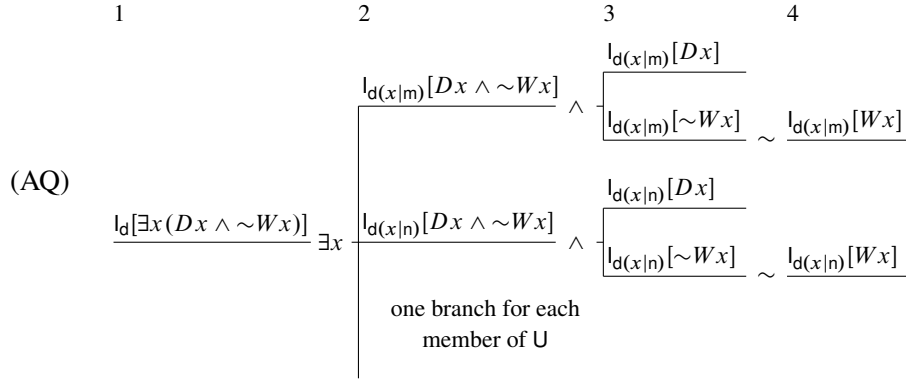


The formula at (1) is satisfied just in case one of the branches at (2) is satisfied; and a branch at (2) is satisfied just in case there is a pair at (3) in which the top is N or the bottom is S. So all we need for $\exists x(Dx \rightarrow Wx)$ to be true is for there to be even one thing that is not a dog — for example, my sock — or one thing that will have its day. So $\exists x(Dx \rightarrow Wx)$ can be true though no dog has its day.

The cases we have just seen are typical. Ordinarily, the existential quantifier operates on expressions with main operator \wedge . If it operates on an expression with main operator \rightarrow , the resultant expression is satisfied just by virtue of something

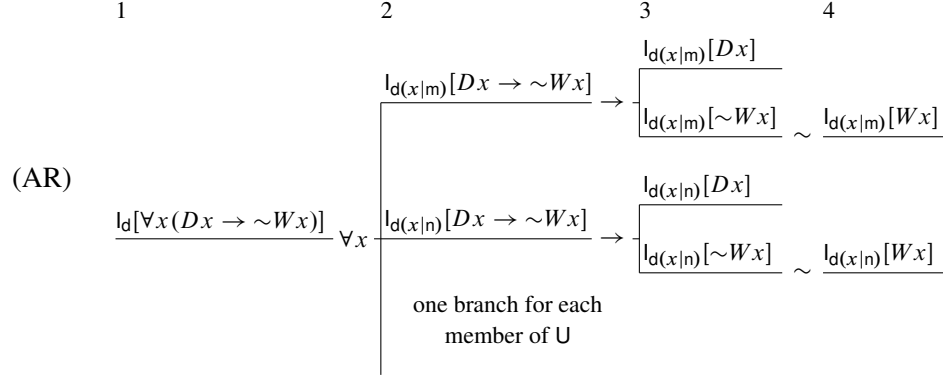
that does not satisfy the antecedent. And, ordinarily, the universal quantifier operates on expressions with main operator \rightarrow . If it operates on an expression with main operator \wedge , the expression is satisfied only if *everything* in U has features from both parts of the conjunction — and it is uncommon to say something about everything in U , as opposed to all the objects of a certain sort. Again, when the universal quantifier operates on an expression with main operator \rightarrow , the antecedent of the conditional identifies the objects we want to talk about, and the consequent says what we want to say about them.

Once we understand these two cases, the next two are relatively straightforward. $\exists x(Dx \wedge \sim Wx)$ is read, ‘there is an x such that x is a dog and x will not have its day’; it is true just in case some dog will not have its day. Here is the tree without branches for the (by now obvious) term assignments.



The formula at (1) is satisfied just in case some branch at (2) is satisfied. A branch at (2) is satisfied just in case the corresponding pair of branches at (3) is satisfied. And for a lower branch at (3) to be satisfied, the corresponding branch at (4) has to be unsatisfied. So for $\exists x(Dx \wedge \sim Wx)$ to be satisfied, there has to be something that is a dog and does not have its day. In principle, this is just like, ‘some dog will have its day’. We set out to say that some object of sort \mathcal{P} has feature \mathcal{Q} . For this, we say that there is an x that is of type \mathcal{P} , and has feature \mathcal{Q} . In ‘some dog will have its day’, \mathcal{Q} is the simple W . In this case, \mathcal{Q} is the slightly more complex $\sim W$.

Finally, $\forall x(Dx \rightarrow \sim Wx)$ is read, ‘for any x , if x is a dog, then x will not have its day’; it is true just in case every dog will not have its day — that is, just in case no dog will have its day.



The formula at (1) is satisfied just in case every branch at (2) is satisfied. Every branch at (2) is satisfied just in case there is no S/N pair at (3); and for this to be so there cannot be a case where a top at (3) is satisfied, and the corresponding bottom at (4) is satisfied as well. So $\forall x(Dx \rightarrow \sim Wx)$ is satisfied and true just in case nothing is a dog that will have its day. Again, in principle, this is like ‘every dog will have its day’. Using the universal quantifier, we pick out the class of things we want to talk about in the antecedent, and say what we want to say about the members of the class in the consequent. In this case, what we want to say is that things in the class will not have their day.

As before, quantifier-switching alternatives are possible. In the table below, alternatives to what we have done are listed on the right.

	Every dog will have its day	$\forall x(Dx \rightarrow Wx)$	$\sim \exists x(Dx \wedge \sim Wx)$
(AS)	Some dog will have its day	$\exists x(Dx \wedge Wx)$	$\sim \forall x(Dx \rightarrow \sim Wx)$
	Some dog will not have its day	$\exists x(Dx \wedge \sim Wx)$	$\sim \forall x(Dx \rightarrow Wx)$
	No dog will have its day	$\forall x(Dx \rightarrow \sim Wx)$	$\sim \exists x(Dx \wedge Wx)$

Beginning from the bottom, if not even one thing is a dog that will have its day, then no dog will have its day. Moving up, if it is not the case that everything that is a dog will have its day, then some dog will not. Similarly, if it is not the case that everything that is a dog will not have its day, then some dog does. And if not even one thing is a dog that does not have its day, then every dog will have its day. Again, choices among the alternatives are a matter of taste, though the latter ones may be more natural than the former. If you have any questions about how the alternatives work, work them through on trees.

Before turning to some exercises, let us generalize what we have done a bit. Include in our interpretation function,

$$H^1: \{o \mid o \text{ is happy}\}$$

C^1 : $\{o \mid o \text{ is a cat}\}$

Suppose we want to say, not that every dog will have its day, but that every happy dog will have its day. Again, in principle this is like what we have done. With the universal quantifier, we pick out the class of things we want to talk about in the antecedent — in this case, happy dogs, and say what we want about them in the consequent. Thus $\forall x[(Dx \wedge Hx) \rightarrow Wx]$ is true just in case everything that is both happy and a dog will have its day, which is to say, every happy dog will have its day. Similarly, if we want to say, every dog will or will not have its day, we might try, $\forall x[Dx \rightarrow (Wx \vee \sim Wx)]$. Or putting these together, for ‘every happy dog will or will not have its day’, $\forall x[(Dx \wedge Hx) \rightarrow (Wx \vee \sim Wx)]$. We consistently pick out the things we want to talk about in the antecedent, and say what we want about them with the consequent. Similar points apply to the existential quantifier. Thus ‘Some happy dog will have its day’ has natural translation, $\exists x[(Dx \wedge Hx) \wedge Wx]$ — something is a happy dog and will have its day. ‘Some happy dog will or will not have its day’ gets, $\exists x[(Dx \wedge Hx) \wedge (Wx \vee \sim Wx)]$. And so forth.

It is tempting to treat, ‘All dogs and cats will have their day’ similarly with translation, $\forall x[(Dx \wedge Cx) \rightarrow Wx]$. But this would be a mistake! We do not want to say that everything which is a dog *and* a cat will have its day — for nothing is both a dog and a cat! Rather, good translations are, $\forall x(Dx \rightarrow Wx) \wedge \forall x(Cx \rightarrow Wx)$ — all dogs will have their day *and* all cats will have their day or the more elegant, $\forall x[(Dx \vee Cx) \rightarrow Wx]$ — each thing that is either a dog *or* a cat will have its day. In the happy dog case, we needed to *restrict* to class under consideration to include just happy dogs; in this dog and cat case, we are not restricting the class, but rather expanding it to include both dogs and cats. The disjunction $(Dx \vee Cx)$ applies to things in the broader class which includes both dogs and cats.

This dog and cat case brings out the point that we do not merely “cookbook” from ordinary language to formal translations, but rather want truth conditions to match. And we can make the conditions match for expressions where standard language does not lie directly on the surface. Thus, consider, ‘Only dogs will have their day’. This does *not* say that all dogs will have their day. Rather it tells us that if something has its day, then it is a dog, $\forall x(Wx \rightarrow Dx)$. Similarly, ‘No dogs, except the happy ones, will have their day’, tells us that dogs that are not happy will not have their day, $\forall x[(Dx \wedge \sim Hx) \rightarrow \sim Wx]$. It is tempting to add that the happy dogs will have their day, but it is not clear that this is part of what we have actually *said*; ‘except’ seems precisely to *except* members of the specified class from what is said.⁷

⁷It may be that we conventionally use ‘except’ in contexts where the consequent is reversed for the excepted class, for example, ‘I like all foods except brussels sprouts’ — where I say it this way *because*

Further, as in the dog and cat case, sometimes surface language is positively misleading compared to standard readings. Consider, for example, ‘if some dog is happy, it will have its day’, and ‘if any dog is happy, then they all are’. It is tempting to translate the first, $\exists x[(Dx \wedge Hx) \rightarrow Wx]$ — but this is not right. All it takes to make this expression true is something that is not a happy dog (for example, my sock); if something is not a happy dog, then a branch for the conditional is satisfied, so that the existentially quantified expression is satisfied. But we want rather to say something about *all* dogs — if some (*arbitrary*) dog is happy it will have its day — so that no matter what dog you pick, if it is happy, then it will have its day; thus the correct translation is $\forall x[(Dx \wedge Hx) \rightarrow Wx]$. Similarly, it may be tempting to translate, the ‘any’ of ‘if any dog is happy, then they all are’ by the universal quantifier. But the correct translation is rather, $\exists x(Dx \wedge Hx) \rightarrow \forall x(Dx \rightarrow Hx)$ — if *some* dog is happy, then every dog is happy. The best way to approach these cases is to think directly about the *conditions* under which the ordinary expressions are true and false, and to produce formal translations that are true and false under the same conditions. For these last cases however, it is worth noting that when there is “pronominal” cross reference as, ‘if some/any \mathcal{P} is \mathcal{Q} then *it* has such-and-such features’ the statement translates most naturally with the universal quantifier. But when such cross-reference is absent as, ‘if some/any \mathcal{P} is \mathcal{Q} then so-and-so is such-and-such’ the statement translates naturally as a conditional with an existential antecedent. The point is not that there are no grammatical cues! But cues are not so simple that we can always simply read from ‘some’ to the existential quantifier, and from ‘any’ to the universal. Perhaps this is sufficient for us to move to the following exercises.

E5.19. Use trees to show that the quantifier-switching alternatives from (AS) are true and false under the same conditions as their counterparts. Be sure to explain how your trees have the desired results.

E5.20. Given the following partial interpretation function for \mathcal{L}_q , complete the translation for each of the following. (Perhaps these sentences reflect residual frustration over a Mustang the author owned in graduate school).

U : $\{o \mid o \text{ is a car}\}$

T^1 : $\{o \mid o \in U \text{ and } o \text{ is a Toyota}\}$

F^1 : $\{o \mid o \in U \text{ and } o \text{ is a Ford}\}$

I do not like brussels sprouts. But, again, it is not clear that I have actually said whether I like them or not.

E^1 : $\{o \mid o \in U \text{ and } o \text{ was built in the eighties}\}$

J^1 : $\{o \mid o \in U \text{ and } o \text{ is a piece of junk}\}$

R^1 : $\{o \mid o \in U \text{ and } o \text{ is reliable}\}$

- a. Some Ford is a piece of junk.
- *b. Some Ford is an unreliable piece of junk.
- c. Some Ford built in the eighties is a piece of junk.
- d. Some Ford built in the eighties is an unreliable piece of junk.
- e. Any Ford is a piece of junk.
- f. Any Ford is an unreliable piece of junk.
- *g. Any Ford built in the eighties is a piece of junk.
- h. Any Ford built in the eighties is an unreliable piece of junk.
- i. No reliable car is a piece of junk.
- j. No Toyota is an unreliable piece of junk.
- *k. If a car is unreliable, then it is a piece of junk.
- l. If some Toyota is unreliable, then every Ford is.
- m. Only Toyotas are reliable.
- n. Not all Toyotas and Fords are reliable.
- o. Any car, except for a Ford, is reliable.

E5.21. Given the following partial interpretation function for \mathcal{L}_q , complete the translation for each of the following. Assume that Bob is married, and that each married person has a unique “primary” spouse in case of more than one.

U : $\{o \mid o \text{ is a person who is married}\}$

b : Bob

s^1 : $\{\langle m, n \rangle \mid n \text{ is the (primary) spouse of } m\}$

A^1 : $\{o \mid o \in U \text{ and } o \text{ is having an affair}\}$

E^1 : $\{o \mid o \in U \text{ and } o \text{ is employed}\}$

H^1 : $\{o \mid o \in U \text{ and } o \text{ is happy}\}$

L^2 : $\{\langle m, n \rangle \mid m, n \in U \text{ and } m \text{ loves } n\}$

M^2 : $\{\langle m, n \rangle \mid m \text{ is married to } n\}$

- a. Bob's spouse is happy.
- *b. Someone is married to Bob.
- c. Anyone who loves their spouse is happy.
- d. Nobody who is happy and loves their spouse is having an affair.
- e. Someone is happy just in case they are employed.
- f. Someone is happy just in case someone is employed.
- g. Some happy people have affairs, and some do not.
- *h. Anyone who loves and is loved by their spouse is happy, though some are not employed.
- i. Only someone who loves their spouse and is employed is happy.
- j. Anyone who is unemployed and whose spouse is having an affair is unhappy.
- k. People who are unemployed and people whose spouse is having an affair are unhappy.
- *l. Anyone married to Bob is happy if Bob is not having an affair.
- m. Anyone married to Bob is happy only if Bob is employed and is not having an affair.
- n. If Bob is having an affair, then everyone married to him is unhappy, and nobody married to him loves him.
- o. Only unemployed people and unhappy people have affairs, but if someone loves and is loved by their spouse, then they are happy unless they are unemployed.

E5.22. Produce a good quantificational translation for each of the following. You should produce a single interpretation function with application to all of the sentences. Let U be the set of all animals.

- a. Not all animals make good pets.
- b. Dogs and cats make good pets.
- c. Some dogs are ferocious and make good pets, but no cat is both.
- d. No ferocious animal makes a good pet, unless it is a dog.
- e. No ferocious animal makes a good pet, unless Lassie is both.
- f. Some, but not all good pets are dogs.
- g. Only dogs and cats make good pets.
- h. Not all dogs and cats make good pets, but some do.
- i. If Lassie does not make a good pet, then the only good pet is a cat that is ferocious, or a dog that is not.
- j. A dog or cat makes a good pet if and only if it is not ferocious.

5.3.3 Overlapping Quantifiers

The full power of our quantificational languages emerges only when we allow one quantifier to appear in the scope of another.⁸ So let us turn to some cases of this sort. First, let U be the set of all people, and suppose the intended interpretation of L^2 is $\{\langle m, n \rangle \mid m, n \in U, \text{ and } m \text{ loves } n\}$. Say we want to translate,

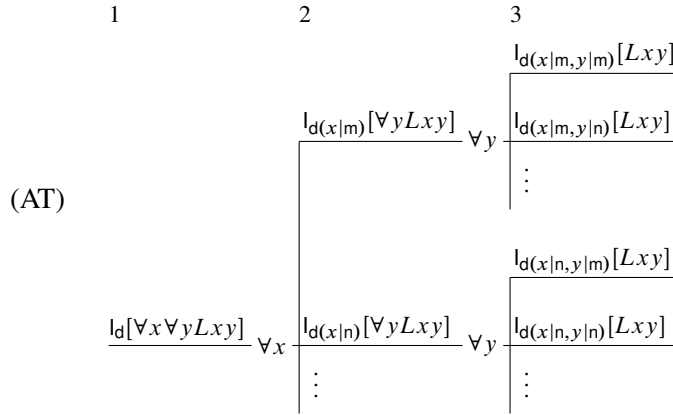
- (1) Everyone loves everyone.
- (2) Someone loves someone.
- (3) Everyone loves someone.
- (4) Everyone is loved by someone.
- (5) Someone loves everyone.

⁸Aristotle's categorical logic is capable of handling simple *A*, *E*, *I*, and *O* sentences — consider experience you may have had with “Venn diagrams.” But you will not be able to make his logic, or such diagrams apply to the full range of cases that follow (see note 6)!

(6) Someone is loved by everyone.

First, you should be clear how each of these differs from the others. In particular, it is enough for (4) ‘everyone is loved by someone’ that for each person there is a lover of them — perhaps their mother (or themselves); but for (6) ‘someone is loved by everyone’ we need some one person, say Elvis, that everyone loves. Similarly, it is enough for (3) ‘everyone loves someone’ that each person loves some person — perhaps their mother (or themselves); but for (5) ‘someone loves everyone’ we need some particularly loving individual, say Mother Theresa, who loves everyone.

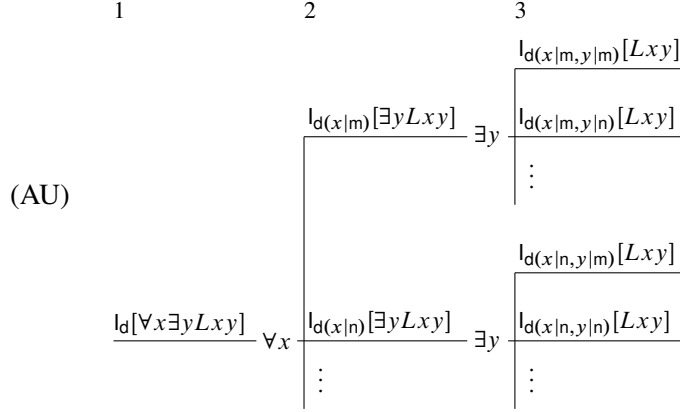
The first two are straightforward. $\forall x \forall y Lxy$ is read, ‘for any x and any y , x loves y ; it is true just in case everyone loves everyone.



The branch at (1) is satisfied just in case all of the branches at (2) are satisfied. And all of the branches at (2) are satisfied just in case all of the branches at (3) are satisfied. But every combination of objects appears at the branch tips. So $\forall x \forall y Lxy$ is satisfied and true just in case for any pair $\langle m, n \rangle \in U^2$, $\langle m, n \rangle$ is in the interpretation of L . Notice that the order of the quantifiers and variables makes no difference: for a given interpretation I , $\forall x \forall y Lxy$, $\forall y \forall x Lxy$, and $\forall y \forall x Lyx$ are all satisfied and true under the same condition — just when every $\langle m, n \rangle \in U^2$ is a member of $I[L]$.

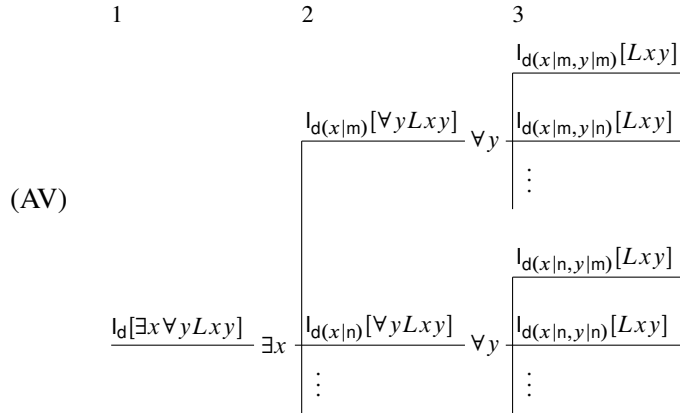
The case for the second sentence is similar. $\exists x \exists y Lxy$ is read, ‘there is an x and there is a y such that x loves y ; it is true just in case some $\langle m, n \rangle \in U^2$ is a member of $I[L]$ — just in case someone loves someone. The tree is like (AT) above, but with \exists uniformly substituted for \forall . Then the formula at (1) is satisfied iff a branch at (2) is satisfied; iff a branch at (3) is satisfied; iff someone loves someone. Again the order of the quantifiers does not matter.

The next cases are more interesting. $\forall x \exists y Lxy$ is read, ‘for any x there is a y such that x loves y ’; it is true just in case everyone loves someone.



The branch at (1) is satisfied just in case each of the branches at (2) is satisfied. And a branch at (2) is satisfied just in case at least one of the corresponding branches at (3) is satisfied. So $\forall x \exists y Lxy$ is satisfied just in case, no matter which o you pick, there is some p such that o loves p — so that everyone loves someone. This time, the order of the variables makes a difference: thus, $\forall x \exists y Lyx$ translates sentence (4). The picture is like the one above, with Lyx uniformly replacing Lxy . This expression is satisfied just in case no matter which o you pick, there is some p such that p loves o — so that everyone is loved by someone.

Finally, $\exists x \forall y Lxy$ is read, ‘there is an x such that for any y , x loves y ’; it is satisfied and true just in case someone loves everyone.



The branch at (1) is satisfied just in case some branch at (2) is satisfied. And a branch at (2) is satisfied just in case *each* of the corresponding branches at (3) is satisfied. So $\exists x \forall y Lxy$ is satisfied and true just in case there is some $o \in U$ such that, no matter what $p \in U$ you pick, $\langle o, p \rangle \in I[L]$ — just when there is someone who loves everyone. If we switch Lyx for Lxy , we get a tree for $\exists x \forall y Lyx$; this formula is true

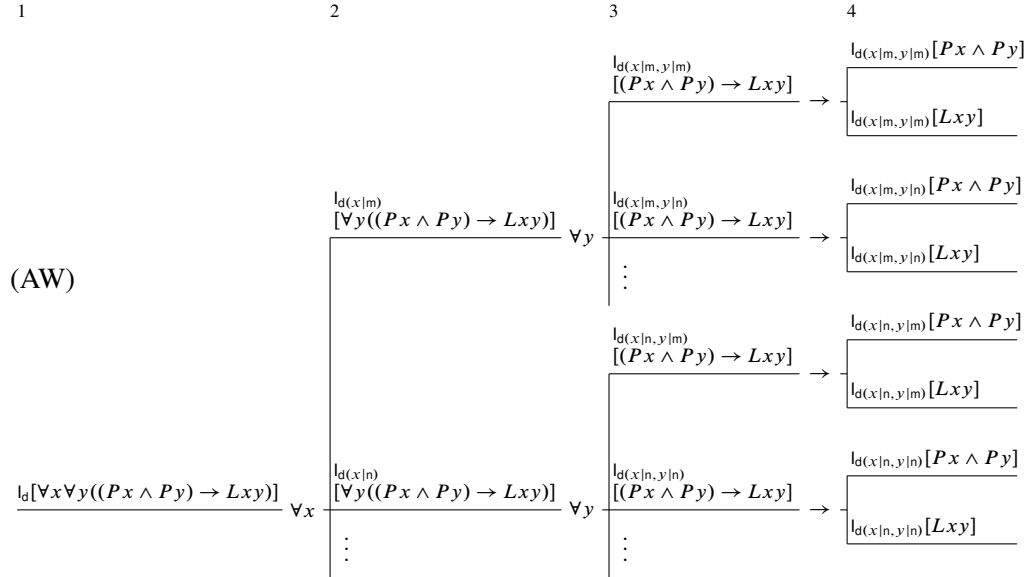
just when someone is loved by everyone. Switching the order of the quantifiers and variables makes no difference when quantifiers are the same. But it matters crucially when quantifiers are different!

Let us see what happens when, as before, we broaden the interpretation function so that U includes all physical objects.

- U : $\{o \mid o \text{ is a physical object}\}$
 P^1 : $\{o \mid o \in U \text{ and } o \text{ is a person}\}$
 L^2 : $\{\langle m, n \rangle \mid m, n \in U, \text{ and } m \text{ loves } n\}$

Let us set out to translate the same sentences as before.

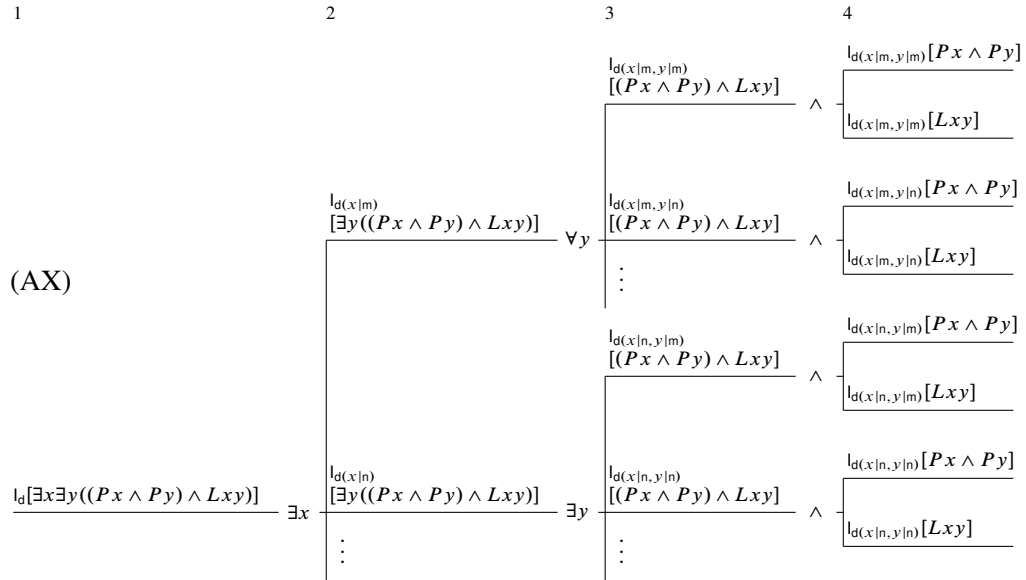
For ‘everyone loves everyone’, where we are talking about *people*, $\forall x \forall y Lxy$ will not do. $\forall x \forall y Lxy$ requires that each member of U love all the other members of U — but then we are requiring that my left sock love my computer, and so forth. What we need is rather, $\forall x \forall y [(Px \wedge Py) \rightarrow Lxy]$. With the last branch tips omitted, the tree is as follows.



The formula at (1) is satisfied iff all the branches at (2) are satisfied; all the branches at (2) are satisfied just in case all the branches at (3) are satisfied. And, for this to be the case, there can be no pair at (4) where the top is satisfied and the bottom is not. That is, there can be no o and p such that o and p are people, $o, p \in I[P]$, but o does not love p , $\langle o, p \rangle \notin I[L]$. The idea is very much as before: With the universal

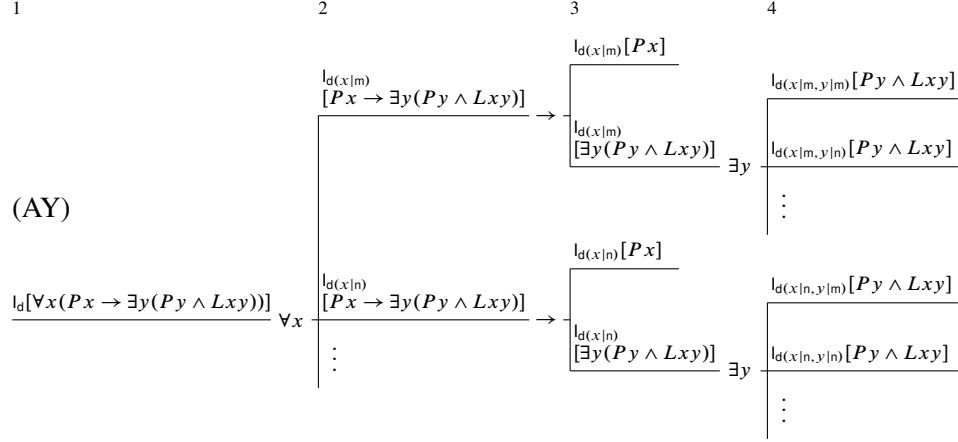
quantifiers, we select the things we want to talk about in the antecedent, we make sure that x and y pick out *people*, and then say what we want to say about the things in the consequent.

The case for ‘someone loves someone’ also works on close analogy with what has gone before. In this case, we do not use the conditional. If the quantifiers in the above tree were existential, all we would need is *one* branch at (2) to be satisfied, and *one* branch at (3) satisfied. And, for this, all we would need is one thing that is not a person — so that the top branch for the conditional is N, and the conditional is S. On the analogy with what we have seen before, what we want is something like, $\exists x \exists y [(Px \wedge Py) \wedge Lxy]$. There are some *people* x and y such that x loves y .



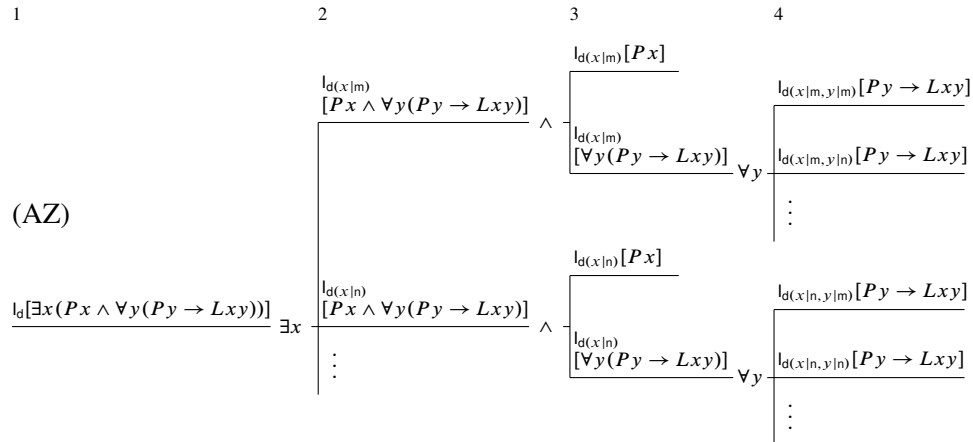
The formula at (1) is satisfied iff at least one branch at (2) is satisfied. At least one branch at (2) is satisfied just in case at least one branch at (3) is satisfied. And for this to be the case, we need some branch pair at (4) where both the top and the bottom are satisfied — some o and p such that o and p are people, $o, p \in I[P]$, and o loves p , $\langle o, p \rangle \in I[L]$.

In these cases, the order of the quantifiers and variables does not matter. But order matters when quantifiers are mixed. Thus, for ‘everyone loves someone’, $\forall x [Px \rightarrow \exists y (Py \wedge Lxy)]$ is good — if any thing x is a person, then there is some y such that y is a person and x loves y .



The formula at (1) is satisfied just in case all the branches at (2) are satisfied. All the branches at (2) are satisfied just in case no pair at (3) has the top satisfied and the bottom not. If x is assigned to something that is not a person, the branch at (2) is satisfied trivially. But where the assignment to x is some o that is a person, a bottom branch at (3) is satisfied just in case at least one of the corresponding branches at (4) is satisfied — just in case there is some p such that p is a person and o loves p . Notice, again, that the universal quantifier is associated with a conditional, and the existential with a conjunction. Similarly, we translate ‘everyone is loved by someone, $\forall x[Px \rightarrow \exists y(Py \wedge L_yx)]$. The tree is as above, with Lxy uniformly replaced by L_yx .

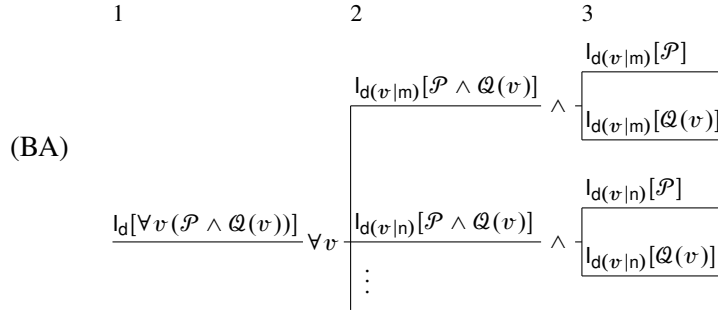
For ‘someone loves everyone, $\exists x[Px \wedge \forall y(Py \rightarrow Lxy)]$ is good — there is an x such that x is a person, and for any y , if y is a person, then x loves y .



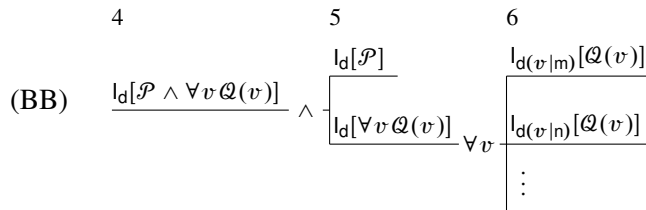
The formula at (1) is satisfied just in case some branch at (2) is satisfied. A branch at

(2) is satisfied just in case the corresponding pair at (3) is satisfied. The top of such a pair is satisfied when the assignment to x is some $o \in I[P]$; the bottom is satisfied just in case all of the corresponding branches at (4) are satisfied — just in case any p is such that *if* it is a person, then o loves it. So there has to be an o that loves every p . Similarly, you should be able to see that $\exists x[Px \wedge \forall y(Py \rightarrow Lyx)]$ is good for ‘someone is loved by everyone’.

Again, it may have occurred to you already that there are other options for these sentences. This time natural alternatives are not for quantifier switching, but for quantifier *placement*. For ‘someone loves everyone’ we have given, $\exists x[Px \wedge \forall y(Py \rightarrow Lxy)]$ with the universal quantifier on the inside. However, $\exists x \forall y[Px \wedge (Py \rightarrow Lxy)]$ would do as well. As a matter of strategy, it may be best to keep quantifiers as close as possible to that which they modify. However, we can show that, in this case, pushing the quantifier across that which it does not bind leaves the truth condition unchanged. Let us make the point generally. Say $Q(v)$ is a formula with variable v free, but \mathcal{P} is one in which v is not free. We are interested in the relation between $(\mathcal{P} \wedge \forall v Q(v))$ and $\forall v(\mathcal{P} \wedge Q(v))$. Here are the trees.



and,



The key is this: Since \mathcal{P} has no free instances of v , for any $o \in U$, $l_d[\mathcal{P}]$ is satisfied just in case $l_{d(v|o)}[\mathcal{P}]$ is satisfied; for if v is not free in \mathcal{P} , the assignment to v makes no difference to the evaluation of \mathcal{P} . In (BA), the formula at (1) is satisfied iff each of the branches at (2) is satisfied; and each of the branches at (2) is satisfied iff each of the branches at (3) is satisfied. In (BB) the formula at (4) is satisfied iff both branches

at (5) are satisfied. The bottom requires that all the branches at (6) are satisfied. But the branches at (6) are just like the bottom branches from (3) in (BA). And given the equivalence between $I_d[\mathcal{P}]$ and $I_{d(x|o)}[\mathcal{P}]$, the top at (5) is satisfied iff each of the tops at (3) is satisfied. So the one formula is satisfied iff the other is as well. Notice that this only works because v is not free in \mathcal{P} . So you can move the quantifier past the \mathcal{P} only if it does not bind a variable free in \mathcal{P} !

Parallel reasoning would work for any combination of \forall and \exists , with \wedge , \vee and \rightarrow . That is, supposing that v is not free in \mathcal{P} , each of the following pairs is equivalent.

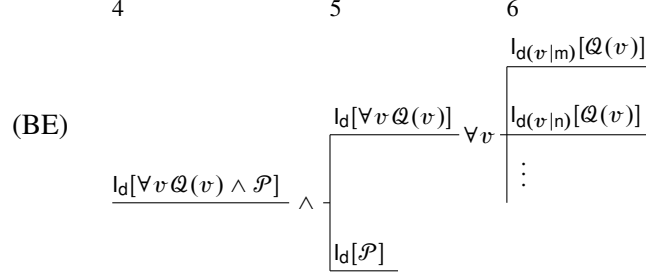
$$\begin{array}{ll}
 \forall v(\mathcal{P} \wedge \mathcal{Q}(v)) & \iff \mathcal{P} \wedge \forall v \mathcal{Q}(v) \\
 \exists v(\mathcal{P} \wedge \mathcal{Q}(v)) & \iff \mathcal{P} \wedge \exists v \mathcal{Q}(v) \\
 \forall v(\mathcal{P} \vee \mathcal{Q}(v)) & \iff \mathcal{P} \vee \forall v \mathcal{Q}(v) \\
 \exists v(\mathcal{P} \vee \mathcal{Q}(v)) & \iff \mathcal{P} \vee \exists v \mathcal{Q}(v) \\
 \forall v(\mathcal{P} \rightarrow \mathcal{Q}(v)) & \iff \mathcal{P} \rightarrow \forall v \mathcal{Q}(v) \\
 \exists v(\mathcal{P} \rightarrow \mathcal{Q}(v)) & \iff \mathcal{P} \rightarrow \exists v \mathcal{Q}(v)
 \end{array}
 \quad (\text{BC})$$

The comparison between $\forall y[Px \wedge (Py \rightarrow Lxy)]$ and $[Px \wedge \forall y(Py \rightarrow Lxy)]$ is an instance of the first pair. In effect, then, we can “push” the quantifier into the parentheses across a formula to which the quantifier does not apply, and “pull” it out across a formula to which the quantifier does not apply — without changing the conditions under which the formula is satisfied.

But we need to be more careful when the order of \mathcal{P} and $\mathcal{Q}(v)$ is reversed. Some cases work the way we expect. Consider $\forall v(\mathcal{Q}(v) \wedge \mathcal{P})$ and $(\forall v \mathcal{Q}(v) \wedge \mathcal{P})$.

$$\begin{array}{c}
 \text{(BD)} \quad \begin{array}{ccc}
 1 & 2 & 3 \\
 & \frac{I_{d(v|m)}[\mathcal{Q}(v) \wedge \mathcal{P}]}{\wedge} & \frac{I_{d(v|m)}[\mathcal{Q}(v)]}{I_{d(v|m)}[\mathcal{P}]} \\
 & \frac{I_{d(v|n)}[\mathcal{Q}(v) \wedge \mathcal{P}]}{\wedge} & \frac{I_{d(v|n)}[\mathcal{Q}(v)]}{I_{d(v|n)}[\mathcal{P}]} \\
 & \vdots & \\
 \frac{I_d[\forall v(\mathcal{Q}(v) \wedge \mathcal{P})]}{\forall v} & \frac{I_{d(v|n)}[\mathcal{Q}(v) \wedge \mathcal{P}]}{\wedge} & \frac{I_{d(v|n)}[\mathcal{Q}(v)]}{I_{d(v|n)}[\mathcal{P}]}
 \end{array}
 \end{array}$$

and,

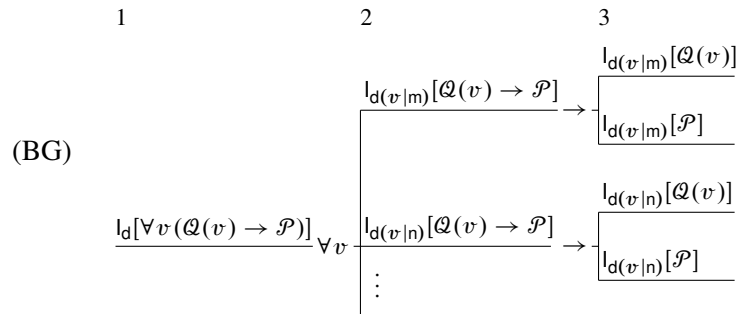


In this case, the reasoning is as before. In (BD), the formula at (1) is satisfied iff all the branches at (2) are satisfied; and all the branches at (2) are satisfied iff all the branches at (3) are satisfied. And in (BE), the formula at (4) is satisfied iff both branches at (5) are satisfied. And the top at (5) is satisfied iff all the branches at (6) are satisfied. But the branches at (6) are like the tops at (3). And given the equivalence between $l_d[\mathcal{P}]$ and $l_{d(x|o)}[\mathcal{P}]$, the bottom at (5) is satisfied iff the bottoms at (3) are satisfied. So, again, the formulas are satisfied under the same conditions. And similarly for different combinations of the quantifiers \forall or \exists and the operators \wedge or \vee . Thus our table extends as follows.

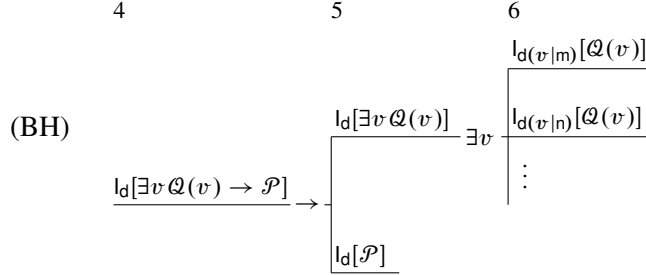
$$\begin{array}{lcl}
 \text{(BF)} & \forall v (Q(v) \wedge \mathcal{P}) & \iff (\forall v Q(v) \wedge \mathcal{P}) \\
 & \exists v (Q(v) \wedge \mathcal{P}) & \iff (\exists v Q(v) \wedge \mathcal{P}) \\
 & \forall v (Q(v) \vee \mathcal{P}) & \iff (\forall v Q(v) \vee \mathcal{P}) \\
 & \exists v (Q(v) \vee \mathcal{P}) & \iff (\exists v Q(v) \vee \mathcal{P})
 \end{array}$$

We can push a quantifier “into” the front part of a parenthesis or pull it out as above.

But the case is different when the main operator is \rightarrow . Consider trees for $\forall v (Q(v) \rightarrow \mathcal{P})$ and, noting the quantifier shift, for $(\exists v Q(v) \rightarrow \mathcal{P})$.



and



The formula at (4) is satisfied so long as at (5) the upper branch is N or bottom is S; and the top is N iff no branch at (6) is S; thus the formula at (4) is satisfied so long as none of the branches at (6) are S or the bottom at (5) is S; or, put the other way around, the formula at (4) is N iff one of the branches at (6) is S and the bottom at (5) is N. The formula at (1) is satisfied iff all the branches at (2) are satisfied; and all the branches at (2) are satisfied iff there is no S/N pair at (3); so the formula at (1) is N iff there is an S/N pair at (3). But, as before, the tops at (3) are the same as the branches at (6). And given the match between $l_d[\mathcal{P}]$ and $l_{d(x|o)}[\mathcal{P}]$, the bottoms at (3) are the same as the bottom at (5). So there is an S/N pair at (3) iff some branch at (6) is S and the bottom at (5) is N. So $\forall v(Q(v) \rightarrow \mathcal{P})$ and $(\exists v Q(v) \rightarrow \mathcal{P})$ are (not) satisfied under the same conditions. By similar reasoning, we are left with the following equivalences to complete our table.

$$\begin{array}{ll}
 \text{(BI)} & \forall v(Q(v) \rightarrow \mathcal{P}) \iff (\exists v Q(v) \rightarrow \mathcal{P}) \\
 & \exists v(Q(v) \rightarrow \mathcal{P}) \iff (\forall v Q(v) \rightarrow \mathcal{P})
 \end{array}$$

When a universal goes into the antecedent of a conditional, it flips to an existential. And when an existential quantifier goes in to the antecedent of a conditional, it flips to a universal. And similarly in the other direction.

Here is an explanation for what is happening: A universal quantifier outside parentheses requires that each inner conditional branch is satisfied; with tips for the consequent \mathcal{P} the same, this requires that either the consequent be S or every antecedent tip be N. But once the quantifier is pushed in, the resultant conditional $\mathcal{A} \rightarrow \mathcal{P}$ is satisfied only when the antecedent is N or the consequent is S; so the original requirement that all the antecedent tips be N is matched by the requirement that an *existential* \mathcal{A} be N. Similarly, an existential quantifier outside parentheses requires that some inner conditional branch is satisfied; with tips for the consequent \mathcal{P} the same, this requires either that the consequent be S or some tip for the antecedent be N. But once the quantifier is pushed in, the resultant conditional $\mathcal{A} \rightarrow \mathcal{P}$ is satisfied when the antecedent is N or the consequent is S; and the original requirement that some antecedent tip be N corresponds to the condition that a *universal* \mathcal{A} be N. This case differs from others insofar as the inner conditional branches are S

when the antecedent tips are N. In the standard cases, the branch is S when the tip remains S — and the quantifier goes in as one would expect. The place for caution is when a quantifier comes from or goes into the antecedent of a conditional.⁹

Return to ‘everybody loves somebody’. We gave as a translation, $\forall x[Px \rightarrow \exists y(Py \wedge Lxy)]$. But $\forall x\exists y[Px \rightarrow (Py \wedge Lxy)]$ does as well. To see this, notice that the immediate subformula, $[Px \rightarrow \exists y(Py \wedge Lxy)]$ is of the form $[\mathcal{P} \rightarrow \exists v\mathcal{Q}(v)]$ where \mathcal{P} has no free instance of the quantified variable y . The quantifier is in the consequent of the conditional, so $[Px \rightarrow \exists y(Py \wedge Lxy)]$ is equivalent to $\exists y[Px \rightarrow (Py \wedge Lxy)]$. So the larger formula $\forall x[Px \rightarrow \exists y(Py \wedge Lxy)]$ is equivalent to $\forall x\exists y[Px \rightarrow (Py \wedge Lxy)]$. And similarly in other cases. Officially, there is no reason to prefer one option over the other. Informally, however, there is perhaps less room for confusion when we keep quantifiers relatively close to the expressions they modify. One reason for this is that we continue to associate \forall with \rightarrow and \exists with \wedge . On this basis, $\forall x[Px \rightarrow \exists y(Py \wedge Lxy)]$ is to be preferred. If you have followed this discussion, you are doing well — and should be in a good position to think about the following exercises.

E5.23. Use trees to explain one of the equivalences in table (BC), and one of the equivalences in (BF), for an operator other than \wedge . Then use trees to explain the second equivalence in (BI). Be sure to explain how your trees justify the results.

E5.24. Explain why we have not listed quantifier placement equivalences matching $\forall v(\mathcal{P} \leftrightarrow \mathcal{Q}(v))$ with $(\mathcal{P} \leftrightarrow \forall v\mathcal{Q}(v))$. Hint: consider $\forall v(\mathcal{P} \leftrightarrow \mathcal{Q}(v))$ as an abbreviation of $\forall v[(\mathcal{P} \rightarrow \mathcal{Q}(v)) \wedge (\mathcal{Q}(v) \rightarrow \mathcal{P})]$; from trees, you can see that this is equivalent to $[\forall v(\mathcal{P} \rightarrow \mathcal{Q}(v)) \wedge \forall v(\mathcal{Q}(v) \rightarrow \mathcal{P})]$. Now, what is the consequence of quantifier placement difficulties for \rightarrow ? Would it work if the quantifier did not flip?

E5.25. Given the following partial interpretation function for \mathcal{L}_q , complete the translation for each of the following. (The last generates a famous paradox — can a barber shave himself?)

⁹Thus, for example, we should expect quantifier flipping when pushing into expressions $\forall v(\mathcal{P} \downarrow \mathcal{Q}(v))$ or $\forall v(\mathcal{Q}(v) \downarrow \mathcal{P})$ with a *neither-nor* operator true only when both sides are false. And this is just so: The universal expression is satisfied only when all the inner branches are satisfied; and the inner branches are satisfied just when all the tips are not. And this is like the condition from the existential quantifier in $\exists v\mathcal{Q} \downarrow \mathcal{P}$ or $\mathcal{P} \downarrow \exists v\mathcal{Q}$. And similarly for existentially quantified expressions with this operator.

U : $\{o \mid o \text{ is a person}\}$

b : Bob

B^1 : $\{o \mid o \in U \text{ and } o \text{ is a barber}\}$

M^1 : $\{o \mid o \in U \text{ and } o \text{ is a man}\}$

S^2 : $\{\langle m, n \rangle \mid m, n \in U \text{ and } m \text{ shaves } n\}$

- a. Bob shaves himself.
- b. Everyone shaves everyone.
- c. Someone shaves everyone.
- d. Everyone is shaved by someone.
- e. Someone is shaved by everyone.
- f. Not everyone shaves themselves.
- *g. Any man is shaved by someone.
- h. Some man shaves everyone.
- i. No man is shaved by all barbers.
- *j. Any man who shaves everyone is a barber.
- k. If someone shaves all men, then they are a barber.
- l. If someone shaves everyone, then they shave themselves.
- m. A barber shaves anyone who does not shave themselves.
- *n. A barber shaves only people who do not shave themselves.
- o. A barber shaves all and only people who do not shave themselves.

E5.26. Given an extended version of \mathcal{L}_{NT} and the standard interpretation N1 as below, complete the translation for each of the following. Recall that $<$ and $=$ are relation symbols, where S , \times and $+$ are function symbols. As we shall see shortly, it is possible to define E and P in the primitive vocabulary. Also the last sentence states the famous Goldbach conjecture, so far unproved!

U : \mathbb{N}

\emptyset : zero

S : $\{\langle m, n \rangle \mid m, n \in \mathbb{N}, \text{ and } n \text{ is the successor of } m\}$

$+$: $\{\langle \langle m, n \rangle, o \rangle \mid m, n, o \in \mathbb{N}, \text{ and } m \text{ plus } n \text{ equals } o\}$

\times : $\{\langle \langle m, n \rangle, o \rangle \mid m, n, o \in \mathbb{N}, \text{ and } m \text{ times } n \text{ equals } o\}$

$<$: $\{\langle m, n \rangle \mid m, n \in \mathbb{N}, \text{ and } m \text{ is less than } n\}$

E^1 : $\{o \mid o \in \mathbb{N} \text{ and } o \text{ is even}\}$

P^1 : $\{o \mid o \in \mathbb{N} \text{ and } o \text{ is prime}\}$

- *a. One plus one equals two.
- b. Three is greater than two.
- c. There is an even prime number.
- d. Zero is less than or equal to every number.
- e. There is a number less than or equal to every other.
- f. For any prime, there is one greater than it.
- *g. Any odd (non-even) number is equal to the successor of some even number.
- h. Some even number is not equal to the successor of any odd number.
- i. A number x is even iff it is equal to two times some y .
- j. A number x is odd if it is equal to two times some y plus one.
- k. Any odd number is equal to the sum of an odd and an even.
- l. Any even number not equal to zero is the sum of one odd with another.
- *m. The sum of one odd with another odd is even.
- n. No odd number is greater than every prime.
- o. Any even number greater than two is equal to the sum of two primes.

E5.27. Produce a good quantificational translation for each of the following. In this case you should provide an interpretation function for the sentences. Let U be the set of people, and, assuming that each has a unique best friend, implement a *best friend of* function.

- a. Bob's best friend likes all New Yorkers.
- b. Some New Yorker likes all Californians.
- c. No Californian likes all New Yorkers.
- d. Any Californian likes some New Yorker.
- e. Californians who like themselves, like at least some people who do not.
- f. New Yorkers who do not like themselves, do not like anybody.
- g. Nobody likes someone who does not like them.
- h. There is someone who dislikes every new Yorker, and is liked by every Californian.
- i. Anyone who likes themselves and dislikes every New Yorker, is liked by every Californian.
- j. Everybody who likes Bob's best friend likes some New Yorker who does not like Bob.

5.3.4 Equality

We complete our discussion of translation by turning to some important applications for equality. Adopt an interpretation function with U the set of people and,

b : Bob

c : Bob

f^1 : $\{\langle m, n \rangle \mid m, n \in U, \text{ where } n \text{ is the father of } m\}$

H^1 : $\{o \mid o \in U \text{ and } o \text{ is a happy person}\}$

(Maybe Bob's friends call him "Cronk.") The simplest applications for $=$ assert the identity of individuals. Thus, for example, $b = c$ is satisfied insofar as $\langle l_d[b], l_d[c] \rangle \in I[=]$. Similarly, $\exists x(b = f^1 x)$ is satisfied just in case Bob is someone's father. And, on the standard interpretation of \mathcal{L}_{NT} , $\exists x[(x + x) = (x \times x)]$ is satisfied insofar as, say, $\langle l_{d(x|2)}[x + x], l_{d(x|2)}[x \times x] \rangle \in I[=]$ — that is, $\langle 4, 4 \rangle \in I[=]$. If this last case is not clear, think about it on a tree.

We get to an interesting class of cases when we turn to *quantity* expressions. Thus, for example, we can easily say 'at least one person is happy', $\exists x Hx$. But notice that neither $\exists x Hx \wedge \exists y Hy$ nor $\exists x \exists y (Hx \wedge Hy)$ work for 'at least two people are happy'. For the first, it should be clear that each conjunct is satisfied, so that the conjunction is satisfied, so long as there is at least one happy person. And similarly for the second. To see this in a simple case, suppose Bob, Sue and Jim are the only people in U . Then the existentials for $\exists x \exists y (Hx \wedge Hy)$ result in nine branches of the following sort,

$$(BJ) \quad \begin{array}{c} 1 \\ \dots \end{array} \frac{l_{d(x|m,y|n)}[Hx \wedge Hy]}{\wedge} \begin{array}{c} 2 \\ \left[\begin{array}{c} l_{d(x|m,y|n)}[Hx] : \dots x^{[m]} \\ l_{d(x|m,y|n)}[Hy] : \dots y^{[n]} \end{array} \right] \end{array}$$

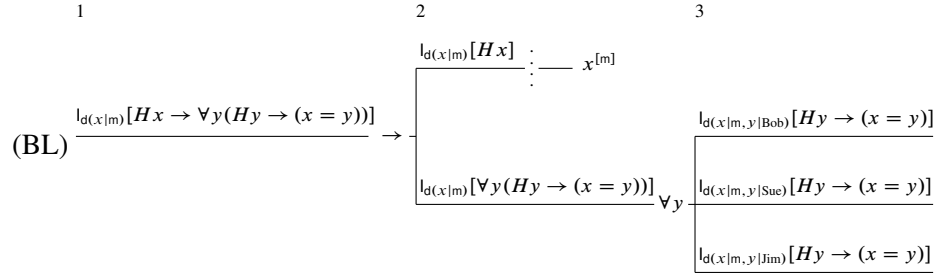
for some individuals m and n . Just one of these branches has to be satisfied in order for the main sentence to be satisfied and true. Clearly none of the tips are satisfied if none of Bob, Sue or Jim is happy; then the branches are N and $\exists x \exists y (Hx \wedge Hy)$ is N as well. But suppose just one of them, say Sue, is happy. Then on the branch for $d_{(x|_{Sue}, y|_{Sue})}$ both Hx and Hy are satisfied! Thus the conjunction is satisfied, and the existential is satisfied as well. So $\exists x \exists y (Hx \wedge Hy)$ does not require that at least two people are happy. The problem, again, is that the same person might satisfy both conjuncts at once.

But this case points the way to a good translation for 'at least two people are happy'. We get the right result with, $\exists x \exists y [(Hx \wedge Hy) \wedge \sim(x = y)]$. Now, in our simple example, the existentials result in nine branches as follows,

$$(BK) \quad \begin{array}{c} 1 \\ \dots \end{array} \frac{l_{d(x|m,y|n)}[(Hx \wedge Hy) \wedge \sim(x = y)]}{\wedge} \begin{array}{c} 2 \\ \left[\begin{array}{c} l_{d(x|m,y|n)}[Hx \wedge Hy] \wedge \left[\begin{array}{c} 3 \\ \left[\begin{array}{c} l_{d(x|m,y|n)}[Hx] : \dots x^{[m]} \\ l_{d(x|m,y|n)}[Hy] : \dots y^{[n]} \end{array} \right] \\ l_{d(x|m,y|n)}[\sim(x = y)] \sim \frac{l_{d(x|m,y|n)}[x = y]}{\left[\begin{array}{c} x^{[m]} \\ y^{[n]} \end{array} \right]} \end{array} \right] \end{array} \right]$$

The sentence is satisfied and true if at least one branch is satisfied. Now in the case where just Sue is happy, on the branch with $d_{(x|Sue, y|Sue)}$ both Hx and Hy are satisfied as before. But this branch has $x = y$ satisfied; so $\sim(x = y)$ is not satisfied, and the branch as a whole fails. But suppose both Bob and Sue are happy. Then on the branch with $d_{(x|Bob, y|Sue)}$ both Hx and Hy are satisfied; but this time, $x = y$ is not satisfied; so $\sim(x = y)$ is satisfied, and the branch is satisfied, so that the whole sentence, $\exists x \exists y [(Hx \wedge Hy) \wedge \sim(x = y)]$ is satisfied and true. That is, the sentence is satisfied and true just when the happy people assigned to x and y are distinct — just when there are at least two happy people. On this pattern, you should be able to see how to say there are at least three happy people, and so forth.

Now suppose we want to say, ‘at most one person is happy’. We have, of course, learned a couple of ways to say nobody is happy, $\forall x \sim Hx$ and $\sim \exists x Hx$. But for ‘at most one’ we need something like, $\forall x [Hx \rightarrow \forall y (Hy \rightarrow (x = y))]$. For this, in our simplified case, the universal quantifier yields three branches of the sort, $l_{d(x|m)}[Hx \rightarrow \forall y (Hy \rightarrow (x = y))]$. The beginning of the branch is as follows,



The universal $\forall x [Hx \rightarrow \forall y (Hy \rightarrow (x = y))]$ is satisfied and true if and only if all the conditional branches at (1) are satisfied. And the branches at (1) are satisfied so long as there is no S/N pair at (2). This is of course so if nobody is happy so that the top at (2) is never satisfied. But suppose m is a happy person, say, Sue and the top at (2) is satisfied. The bottom comes out S so long as Sue is the only happy person, so that any happy y is identical to her. In this case, again, we do not get an S/N pair. But suppose Jim, say, is also happy; then the very bottom branch at (3) fails; so the universal at (2) is N; so the conditional at (1) is N; and the entire sentence is N. Suppose x is assigned to a happy person; in effect, $\forall y (Hy \rightarrow (x = y))$ limits the range of happy things, telling us that *anything happy is it*. We get ‘at most two people are happy’ with $\forall x \forall y [(Hx \wedge Hy) \rightarrow \forall z (Hz \rightarrow (x = z \vee y = z))]$ — if some things are happy, then anything that is happy is one of them. And similarly in other cases.

To say ‘exactly one person is happy, it is enough to say at least one person is happy, and at most one person is happy. Thus, using what we have already done, $\exists x Hx \wedge \forall x [Hx \rightarrow \forall y (Hy \rightarrow (x = y))]$ does the job. But we can use the “limiting” strategy with the universal quantifier more efficiently. Thus, for example, if we want to say, ‘Bob is the only happy person’ we might try $Hb \wedge \forall y [Hy \rightarrow (b = y)]$ — Bob is happy, and every happy person *is* Bob. Similarly, for ‘exactly one person is happy’, $\exists x [Hx \wedge \forall y (Hy \rightarrow (x = y))]$ is good. We say that there is a happy person, and that all the happy people are identical to it. For ‘exactly two people are happy’, $\exists x \exists y [((Hx \wedge Hy) \wedge \sim(x = y)) \wedge \forall z (Hz \rightarrow [(x = z) \vee (y = z)])]$ does the job — there are at least two happy people, and anything that is a happy person is identical to one of them.

Phrases of the sort “the such-and-such” are *definite descriptions*. Perhaps it is natural to think “the such-and-such is so-and-so” *fails* when there is more than one such-and-such. Similarly, phrases of the sort “the such-and-such is so-and-so” seem to fail when nothing is such-and-such. Thus, for example, neither ‘The desk at CSUSB has graffiti on it’ nor ‘the present king of France is bald’ seem to be true. The first because the description fails to pick out just one object, and the second because the description does not pick out any object. Of course, if a description does pick out just one object, then the predicate must apply. So, for example, as I write, ‘The president of the USA is a woman’ is not true. There is exactly one object which is the president of the USA, but it is not a woman. And ‘the president of the USA is a man’ is true. In this case, exactly one object is picked out by the description, and the predicate does apply. Thus, in “[On Denoting](#),” Bertrand Russell famously proposes that a statement of the sort ‘the \mathcal{P} is \mathcal{Q} ’ is true just in case there is exactly one \mathcal{P} and it is \mathcal{Q} . On Russell’s account, then, where $\mathcal{P}(x)$ and $\mathcal{Q}(x)$ have variable x free, and $\mathcal{P}(v)$ is like $\mathcal{P}(x)$ but with free instances of x replaced by a new variable v , $\exists x [(\mathcal{P}(x) \wedge \forall v (\mathcal{P}(v) \rightarrow x = v)) \wedge \mathcal{Q}(x)]$ is good — there is a \mathcal{P} , it is the only \mathcal{P} , and it is \mathcal{Q} . Thus, for example, with the natural interpretation function, $\exists x [(Px \wedge \forall y (Py \rightarrow x = y)) \wedge Wx]$ translates ‘the president is a woman’. In a course on philosophy of language, one might spend a great deal of time discussing definite descriptions. But in ordinary cases we will simply assume Russell’s account for translating expressions of the sort, ‘the \mathcal{P} is \mathcal{Q} ’.

Finally, notice that equality can play a role in *exception* clauses. This is particularly important when making general comparisons. Thus, for example, if we want to say that zero is smaller than every other integer, with the standard interpretation N1 of $\mathcal{L}_{\mathbb{N}}$, $\forall x (\emptyset < x)$ is a mistake. This formula is satisfied only if zero is less than zero! What we want is rather, $\forall x [\sim(x = \emptyset) \rightarrow (\emptyset < x)]$. Similarly, if we want to say that there is a person taller than every other, we would not use $\exists x \forall y Txy$ where

Txy when x is taller than y . This would require that the tallest person be taller than herself! What we want is rather, $\exists x \forall y [\sim(x = y) \rightarrow Txy]$.

Observe that relations of this sort may play a role in definite descriptions. Thus it seems natural to talk about *the* smallest integer, or *the* tallest person. We might therefore additionally assert uniqueness with something like, $\exists x[x \text{ is taller than every other} \wedge \forall z(z \text{ is taller than every other} \rightarrow x = z)]$.¹⁰ However, we will not usually add the second clause, insofar as uniqueness follows automatically in these cases from the initial claim, $\exists x \forall y [\sim(x = y) \rightarrow Txy]$ together with the premise that *taller than* (*less than*) is asymmetric, that $\forall x \forall y (Txy \rightarrow \sim Tyx)$.¹¹ By itself, $\exists x \forall y [\sim(x = y) \rightarrow Txy]$ does not require uniqueness — it says only that there is a tallest object. When a relation is asymmetric, however, there cannot be multiple things with the relation to everything else. Thus, in these cases, for ‘The tallest person is happy’ it will be sufficient to conjoin ‘a tallest person is happy’ with asymmetry, $\exists x[\forall y(\sim(x = y) \rightarrow Txy) \wedge Hx] \wedge \forall x \forall y (Txy \rightarrow \sim Tyx)$. Taken together, these imply all the elements of Russell’s account.

E5.28. Given the following partial interpretation function for \mathcal{L}_q , complete the translation for each of the following.

U: $\{o \mid o \text{ is a snake in my yard}\}$

a : Aalph

G^1 : $\{o \mid o \in U \text{ and } o \text{ is in the grass}\}$

D^1 : $\{o \mid o \in U \text{ and } o \text{ is deadly}\}$

B^2 : $\{\langle m, n \rangle \mid m, n \in U \text{ and } m \text{ is bigger than } n\}$

- a. There is at least one snake in the grass.
- b. There are at least two snakes in the grass.
- *c. There are at least three snakes in the grass.
- d. There are no snakes in the grass.
- e. There is at most one snake in the grass.

¹⁰ $\exists x[\forall y(\sim(x = y) \rightarrow Txy) \wedge \forall z(\forall y(\sim(z = y) \rightarrow Tzy) \rightarrow x = z)]$.

¹¹If m is taller than everything other than itself, n is taller than everything other than itself, but $m \neq n$, then m is taller than n and n is taller than m . But this is impossible if the relation is asymmetric. So only one object can be taller than all the others.

- f. There are at most two snakes in the grass.
- g. There are at most three snakes in the grass.
- h. There is exactly one snake in the grass.
- i. There are exactly two snakes in the grass.
- j. There are exactly three snakes in the grass.
- *k. The snake in the grass is deadly.
- l. Aalph is the biggest snake.
- *m. Aalph is bigger than any other snake in the grass.
- n. The biggest snake in the grass is deadly.
- o. The smallest snake in the grass is deadly.

E5.29. Given \mathcal{L}_{NT}^{\leq} and a function for the standard interpretation as below, complete the translation for each of the following. Hint: Once you know how to say a number is odd or even, answers to some exercises will mirror ones from E5.26.

U: \mathbb{N}

\emptyset : zero

S: $\{\langle m, n \rangle \mid m, n \in \mathbb{N}, \text{ and } n \text{ is the successor of } m\}$

+: $\{\langle \langle m, n \rangle, o \rangle \mid m, n, o \in \mathbb{N}, \text{ and } m \text{ plus } n \text{ equals } o\}$

×: $\{\langle \langle m, n \rangle, o \rangle \mid m, n, o \in \mathbb{N}, \text{ and } m \text{ times } n \text{ equals } o\}$

<: $\{\langle m, n \rangle \mid m, n \in \mathbb{N}, \text{ and } m \text{ is less than } n\}$

- a. Any number is equal to itself (identity is *reflexive*).
- b. If a number a is equal to a number b , then b is equal to a (identity is *symmetric*).
- c. If a number a is equal to a number b and b is equal to c , then a is equal to c (identity is *transitive*).
- d. No number is less than itself (less than is *irreflexive*).

- *e. If a number a is less than a number b , then b is not less than a (less than is *asymmetric*).
- f. If a number a is less than a number b and b is less than c , then a is less than c (less than is *transitive*).
- g. There is no largest number.
- *h. Four is even (a number such that two times something is equal to it).
- i. Three is odd (such that two times something plus one is equal to it).
- *j. Any odd number is the sum of an odd and an even.
- k. Any even number other than zero is the sum of one odd with another.
- l. The sum of one odd with another odd is even.
- m. There is no largest even number.
- *n. Three is prime (a number divided by no number other than one and itself — though you will have to put this in terms of multipliers).
- o. Every prime except two is odd.

E5.30. For each of the following arguments: (i) Produce a good translation, including interpretation function and translations for the premises and conclusion. Then (ii) for each argument that is not quantificationally valid, produce an interpretation (trees optional) to show that the argument is not quantificationally valid.

- a. Only citizens can vote
 Hannah is a citizen
 —————
 Hannah can vote
- b. All citizens can vote
 If someone is a citizen, then their father is a citizen
 Hannah is a citizen
 —————
 Hannah's father can vote
- *c. Bob is taller than every other man
 —————
 Only Bob is taller than every other man

- d. Bob is taller than every other man
The *taller than* relation is asymmetric

Only Bob is taller than every other man
- e. Some happy animals are dogs
At most one happy dog is chasing a cat

Some happy dog is chasing a cat

E5.31. For each of the arguments in E530 that you have not shown is invalid, produce a derivation to show that it is valid in *AD*.

E5.32. For each of the following concepts, explain in an essay of about two pages, so that Hannah could understand. In your essay, you should (i) identify the objects to which the concept applies, (ii) give and explain the definition, and give and explicate examples of your own construction (iii) where the concept applies, and (iv) where it does not. Your essay should exhibit an understanding of methods from the text.

- a. Quantifier switching
- b. Quantifier placement
- c. Quantity expressions and definite descriptions

Chapter 6

Natural Deduction

Natural deductions systems are so-called because their rules formalize patterns of reasoning that occur in relatively ordinary “natural” contexts. Thus, initially at least, the rules of natural deduction systems are easier to motivate than the axioms and rules of axiomatic systems. By itself, this is sufficient to give natural deduction a special interest. As we shall see, natural deduction is also susceptible to proof *strategies* in a way that (primitive) axiomatic systems are not. If you have had another course in formal logic, you have probably been exposed to natural deduction. So, again, it may seem important to bring what we have done into contact with what you have encountered in other contexts. After some general remarks about natural deduction, we turn to the sentential and quantificational components of our system *ND*, and finally to an expanded system, *ND+*.

6.1 General

I begin this section with a few general remarks about derivation systems and derivation rules. We will then turn to some background notions for the particular rules of our official natural derivation systems.¹

6.1.1 Derivations as Games

In their essential nature, derivations are defined in terms of form. Both axiomatic and natural derivations can be seen as a kind of game — with the aim of getting from a starting point to a goal by rules. Taken as games, there is no immediate or obvious

¹Parts of this section are reminiscent of 3.1 and, especially if you skipped over that section, you may want to look over it now as additional background.

connection between derivations and semantic validity or truth. This point may have been particularly vivid with respect to axiomatic systems. In the case of natural derivations, the systems are driven by *rules* rather than axioms, and the rules may “make sense” in a way that axioms do not. Still, we can introduce natural derivations purely in their nature as games. Thus, for example, consider a system **N1** with the following rules.

$$\begin{array}{c}
 \text{N1} \quad \text{R1} \frac{\mathcal{P} \rightarrow \mathcal{Q}, \mathcal{P}}{\mathcal{Q}} \quad \text{R2} \frac{\mathcal{P} \vee \mathcal{Q}}{\mathcal{Q}} \quad \text{R3} \frac{\mathcal{P} \wedge \mathcal{Q}}{\mathcal{P}} \quad \text{R4} \frac{\mathcal{P}}{\mathcal{P} \vee \mathcal{Q}}
 \end{array}$$

In this system, R1: given formulas of the form $\mathcal{P} \rightarrow \mathcal{Q}$ and \mathcal{P} , one may move to \mathcal{Q} ; R2: given a formula of the form $\mathcal{P} \vee \mathcal{Q}$, one may move to \mathcal{Q} ; R3: given a formula of the form $\mathcal{P} \wedge \mathcal{Q}$, one may move to \mathcal{P} ; and R4: given a formula \mathcal{P} one may move to $\mathcal{P} \vee \mathcal{Q}$ for any \mathcal{Q} . For now, at least, the game is played as follows: One begins with some starting formulas and a goal. The starting formulas are like “cards” in your hand. One then applies the rules to obtain more formulas, to which the rules may be applied again and again. You win if you eventually obtain the goal formula. Each application of a rule is *independent* of the ones before — so all that matters for a given move is whether formulas are of the requisite forms; it does not matter what was \mathcal{P} or what was \mathcal{Q} in a previous application of the rules.

Let us consider some examples. At this stage, do not worry about strategy, about why we do what we do, as much as about how the rules work and the way the game is played. A game always begins with starting premises at the top, and goal on the bottom.

$$\begin{array}{c}
 \text{(A)} \quad \begin{array}{l|l}
 1. & A \rightarrow (B \wedge C) & \text{P(remise)} \\
 2. & A & \text{P(remise)} \\
 \hline
 & B \vee D & \text{(goal)}
 \end{array}
 \end{array}$$

The formulas on lines (1) and (2) are of the form $\mathcal{P} \rightarrow \mathcal{Q}$ and \mathcal{P} , where \mathcal{P} maps to A and \mathcal{Q} to $(B \wedge C)$; so we are in a position to apply rule R1 to get the \mathcal{Q} .

$$\begin{array}{c}
 \begin{array}{l|l}
 1. & A \rightarrow (B \wedge C) & \text{P(remise)} \\
 2. & A & \text{P(remise)} \\
 \hline
 3. & B \wedge C & 1,2 \text{ R1} \\
 & B \vee D & \text{(goal)}
 \end{array}
 \end{array}$$

The justification for our move — the way the rules apply — is listed on the right; in this case, we use the formulas on lines (1) and (2) according to rule R1 to get $B \wedge C$;

so that is indicated by the notation. Now, $B \wedge C$ is of the form $\mathcal{P} \wedge \mathcal{Q}$. So we can apply R3 to it in order to obtain the \mathcal{P} , namely B .

1.	$A \rightarrow (B \wedge C)$	P(remise)
2.	A	P(remise)
<hr/>		
3.	$B \wedge C$	1,2 R1
4.	B	3 R3
	$B \vee D$	(goal)

Notice that one application of a rule is independent of another. It does not matter what formula was \mathcal{P} or \mathcal{Q} in a previous move, for evaluation of this one. Finally, where \mathcal{P} is B , $B \vee D$ is of the form $\mathcal{P} \vee \mathcal{Q}$. So we can apply R4 to get the final result.

1.	$A \rightarrow (B \wedge C)$	P(remise)
2.	A	P(remise)
<hr/>		
3.	$B \wedge C$	1,2 R1
4.	B	3 R3
5.	$B \vee D$	4 R4 Win!

Notice that R4 leaves the \mathcal{Q} unrestricted: Given some \mathcal{P} , we can move to $\mathcal{P} \vee \mathcal{Q}$ for any \mathcal{Q} . Since we reached the goal from the starting sentences, we win! In this simple derivation system, any line of a successful derivation is a premise, or justified from lines before by the rules.

Here are a couple more examples, this time of completed derivations.

(B)	1.	$A \wedge C$	P
	2.	$(A \vee B) \rightarrow D$	P
	<hr/>		
	3.	A	1 R3
	4.	$A \vee B$	3 R4
	5.	D	2,4 R1
	6.	$D \vee (R \rightarrow S)$	5 R4 Win!

$A \wedge C$ is of the form $\mathcal{P} \wedge \mathcal{Q}$. So we can apply R3 to obtain the \mathcal{P} , in this case A . Then where \mathcal{P} is A , we use R4 to add on a B to get $A \vee B$. $(A \vee B) \rightarrow D$ and $A \vee B$ are of the form $\mathcal{P} \rightarrow \mathcal{Q}$ and \mathcal{P} ; so we apply R1 to get the \mathcal{Q} , that is D . Finally, where D is \mathcal{P} , $D \vee (R \rightarrow S)$ is of the form $\mathcal{P} \vee \mathcal{Q}$; so we apply R4 to get the final result. Notice again that the \mathcal{Q} may be any formula whatsoever.

Here is another example.

	1.	$(A \wedge B) \wedge D$	P
	2.	$(A \wedge B) \rightarrow C$	P
	3.	$A \rightarrow (C \rightarrow (B \wedge D))$	P
(C)	4.	$A \wedge B$	1 R3
	5.	C	2,4 R1
	6.	A	4 R3
	7.	$C \rightarrow (B \wedge D)$	3,6 R1
	8.	$B \wedge D$	7,5 R1
	9.	B	8 R3 Win!

You should be able to follow the steps. In this case, we use $A \wedge B$ on line (4) twice; once as part of an application of R1 to get C , and again in an application of R3 to get the A . Once you have a formula in your “hand” you can use it as many times and whatever way the rules will allow. Also, the order in which we worked might have been different. Thus, for example, we might have obtained A on line (5) and then C after. You win if you get to the goal by the rules; how you get there is up to you. Finally, it is tempting to think we could get B from, say, $A \wedge B$ on line (4). We will be able to do this in our official system. But the rules we have so far do not let us do so. R3 lets us move just to the left conjunct of a formula of the form $\mathcal{P} \wedge \mathcal{Q}$.

When there is a way to get from the premises of some argument to its conclusion by the rules of derivation system N , the premises *prove* the conclusion in system N . In this case, where Γ (Gamma) is the set of premises, and \mathcal{P} the conclusion we write $\Gamma \vdash_N \mathcal{P}$. If $\Gamma \vdash_N \mathcal{P}$ the argument is *valid* in derivation system N . Notice the distinction between this “single turnstile” \vdash and the double turnstile \models associated with semantic validity. As usual, if $\mathcal{Q}_1 \dots \mathcal{Q}_n$ are the members of Γ , we sometimes write $\mathcal{Q}_1 \dots \mathcal{Q}_n \vdash_N \mathcal{P}$ in place of $\Gamma \vdash_N \mathcal{P}$. If Γ has no members then, listing all the members of Γ individually, we simply write $\vdash_N \mathcal{P}$. In this case, \mathcal{P} is a *theorem* of derivation system N .

One can imagine setting up many different rule sets, and so many different games of this kind. In the end, we want our game to serve a specific purpose. That is, we want to use the game in the identification of valid arguments. In order for our games to be an indicator of validity, we would like it to be the case that $\Gamma \vdash_N \mathcal{P}$ iff $\Gamma \models \mathcal{P}$, that Γ *proves* \mathcal{P} iff Γ *entails* \mathcal{P} . In [Part III](#) we will show that our official derivation games have this property.

For now, we can at least see how this might be: Roughly, we impose the following condition on rules: we require of our rules that *the inputs always semantically entail the outputs*. Then if some premises are true, and we make a move to a formula, the formula we move to must be true; and if the formulas in our “hand” are all true, and we add some formula by another move, the formula we add must be true; and so

forth for each formula we add until we get to the goal, which will have to be true as well. So if the premises are true, the goal must be true as well. We will have much more to say about this later!

For now, notice that our rules R1, R3 and R4 each meet the proposed requirement on rules, but R2 does not.

(D)	\mathcal{P}	\mathcal{Q}	R1			R2			R3			R4		
			$\mathcal{P} \rightarrow \mathcal{Q}$	$\mathcal{P} / \mathcal{Q}$		$\mathcal{P} \vee \mathcal{Q} / \mathcal{Q}$			$\mathcal{P} \wedge \mathcal{Q} / \mathcal{P}$			$\mathcal{P} / \mathcal{P} \vee \mathcal{Q}$		
	T	T	T	T	T	T	T		T	T		T	T	
	T	F	F	T	F	T	F		F	T		T	T	
	F	T	T	F	T	T	T		F	F		F	T	
	F	F	T	F	F	F	F		F	F		F	F	

R1, R3 and R4 have no row where the input(s) are T and the output is F. But for R2, the second row has input T and output F. So R2 does not meet our condition. This does not mean that one cannot construct a *game* with R2 as a part. Rather, the point is that R2 will not help us accomplish what we want to accomplish with our games. As we demonstrate in [Part III](#), so long as rules meet the condition, a win in the game always corresponds to an argument that is semantically valid. Thus, for example, derivation (C), in which R2 does not appear, corresponds to the result that $(A \wedge B) \wedge D, (A \wedge B) \rightarrow C, A \rightarrow (C \rightarrow (B \wedge D)) \models_s B$.

(E)	$A \ B \ C \ D$				$(A \wedge B) \wedge D$		$(A \wedge B) \rightarrow C$		$A \rightarrow (C \rightarrow (B \wedge D)) / B$				
	T	T	T	T	T	T	T	T	T	T	T	T	T
	T	T	T	F	T	F	T	T	F	F	F	T	T
	T	T	F	T	T	T	T	F	T	T	T	T	T
	T	T	F	F	T	F	T	F	T	T	F	T	T
	T	F	T	T	F	F	F	T	F	F	F	F	F
	T	F	T	F	F	F	F	T	F	F	F	F	F
	T	F	F	T	F	F	F	T	T	T	F	F	F
	T	F	F	F	F	F	F	T	T	T	F	F	F
	F	T	T	T	F	F	F	T	T	T	T	T	T
	F	T	T	F	F	F	F	T	T	F	F	T	T
	F	T	F	T	F	F	F	T	T	T	T	T	T
	F	T	F	F	F	F	F	T	T	T	F	T	T
	F	F	T	T	F	F	F	T	T	F	F	F	F
	F	F	T	F	F	F	F	T	T	F	F	F	F
	F	F	F	T	F	F	F	T	T	T	F	F	F
	F	F	F	F	F	F	F	T	T	T	F	F	F

There is no row where the premises are T and the conclusion is F. As the number of rows goes up, we may decide that the games are dramatically easier to complete than the tables. And derivations are particularly important in the quantificational case, where we have not yet been able to demonstrate semantic validity at all.

E6.1. Show that each of the following is valid in *N1*. Complete (a) - (d) using just rules R1, R3 and R4. You will need an application of R2 for (e).

- *a. $(A \wedge B) \wedge C \vdash_{N1} A$
- b. $(A \wedge B) \wedge C, A \rightarrow (B \wedge C) \vdash_{N1} B$
- c. $(A \wedge B) \rightarrow (B \wedge A), A \wedge B \vdash_{N1} B \vee A$
- d. $R, [R \vee (S \vee T)] \rightarrow S \vdash_{N1} S \vee T$
- e. $A \vdash_{N1} A \rightarrow C$

*E6.2. (i) For each of the arguments in E6.1, use a truth table to decide if the argument is sententially valid. (ii) To what do you attribute the fact that a win in *N1* is not a sure indicator of semantic validity?

6.1.2 Auxiliary Assumptions

So far, our derivations have had the following form,

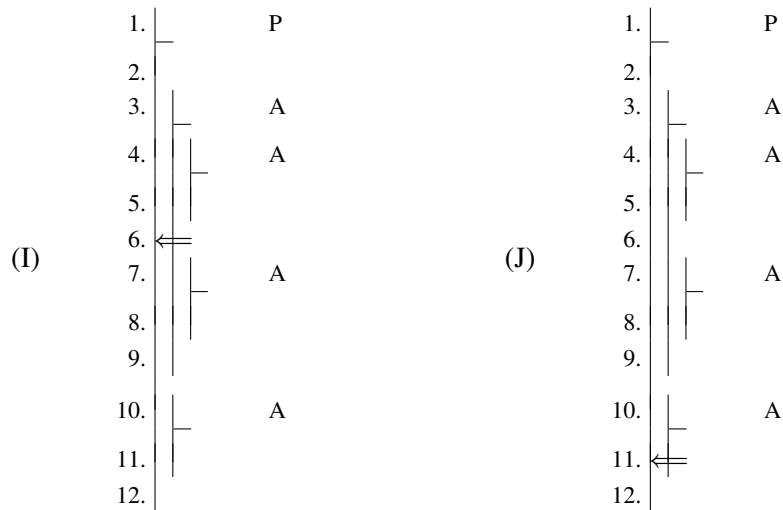
(F)	a.	\mathcal{A} \vdots	P(remise)
		\vdots	
	b.	\mathcal{B} \vdots	P(remise)
		\vdots	
	c.	\mathcal{G}	(goal)

We have some premise(s) at the top, and a conclusion at the bottom. The premises are against a line which indicates the range or *scope* over which the premises apply. In each case, the line extends from the premises to the conclusion, indicating that the conclusion is derived from them. It is always our aim to derive the conclusion under the scope of the premises alone. But our official derivation system will allow appeal to certain *auxiliary* assumptions in addition to premises. Any such assumption comes with a scope line of its own — indicating the range over which *it* applies. Thus, for example, derivations might be structured as follows.

(G)	a.	\mathcal{A}	P(remise)	(H)	a.	\mathcal{A}	P(remise)
	b.	\mathcal{B}	P(remise)		b.	\mathcal{B}	P(remise)
	c.	\mathcal{C}	A(ssumption)		c.	\mathcal{C}	A(ssumption)
	d.				d.	\mathcal{D}	A(ssumption)
	e.	\mathcal{G}	(goal)		e.		
					f.		
					g.	\mathcal{G}	(goal)

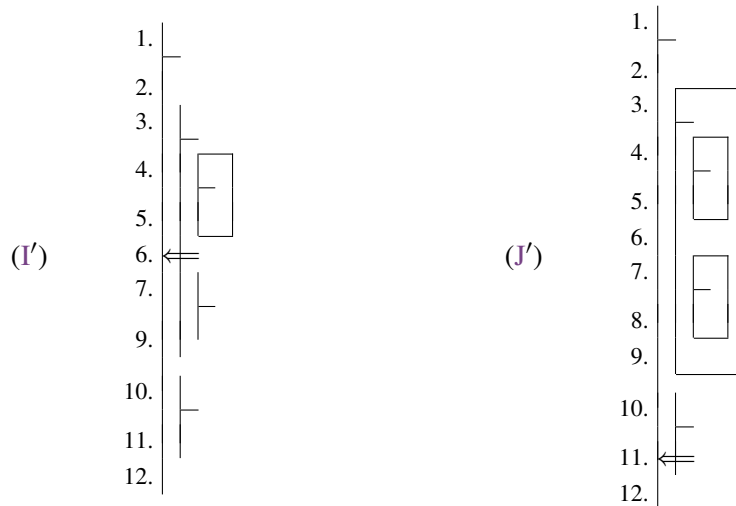
In each, there are premises \mathcal{A} through \mathcal{B} at the top and goal \mathcal{G} at the bottom. As indicated by the main leftmost scope line, the premises apply throughout the derivations, and the goal is derived under them. In case (G), there is an additional assumption at (c). As indicated by its scope line, that assumption applies from (c) - (d). In (H), there are a pair of additional assumptions. As indicated by the associated scope lines, the first applies over (c) - (f), and the second over (d) - (e). We will say that an auxiliary assumption, together with the formulas that fall under its scope, is a *subderivation*. Thus (G) has a subderivation on from (c) - (d). (H) has a pair of subderivations, one on (c) - (f), and another on (d) - (e). A derivation or subderivation may *include* various other subderivations. Any subderivation begins with an auxiliary assumption. In general we *cite* a subderivation by listing the line number on which it begins, then a dash, and the line number on which its scope line ends.

In contexts without auxiliary assumptions, we have been able freely to appeal to any formula already in our “hand.” Where there are auxiliary assumptions, however, we may appeal only to *accessible* subderivations and formulas. A formula is *accessible* at a given stage when it is obtained under assumptions all of which continue to apply. In practice, what this means is that for justification of a formula at line number i we can appeal only to formulas which appear immediately against scope lines extending as far as i . Thus, for example, with the scope structure as in (I) below, in the justification of line (6),



we could appeal only to formulas at (1), (2) and (3), for these are the only ones immediately against scope lines extending as far as (6). To see this, notice that scope lines extending as far as (6), are ones cut by the arrow at (6). Formulas at (4) and (5) are not against a line extending that far. Similarly, as indicated by the arrow in (J), for the justification of (11), we could appeal only to formulas at (1), (2), and (10). Formulas at other line numbers are not immediately against scope lines extending as far as (11). The accessible formulas are ones derived under assumptions all of which continue to apply.

It may be helpful to think of a completed subderivation as a sort of “box.” So long as you are under the scope of an assumption, the box is open and you can “see” the formulas under its scope. However, once you exit from an assumption, the box is closed, and the formulas inside are no longer available.



Thus, again, in (I') the formulas at (4) - (5) are locked away so that the only accessible lines are (1) - (3). Similarly, at line (11) of (J') all of (3) - (9) is unavailable.

Our aim is always to obtain the goal against the leftmost scope line — under the scope of the premises alone — and if the only formulas accessible for its justification are also against the leftmost scope line, it may appear mysterious why we would ever introduce auxiliary assumptions and subderivations at all. What is the point of auxiliary assumptions, if formulas under their scope are inaccessible for justification for the formula we want? The answer is that, though the formulas inside a box are unavailable *the box* may still be useful. Certain of our rules will appeal to entire subderivations (to the boxes), rather than to the formulas in them. A subderivation is *accessible* at a given stage when *it* is obtained under assumptions all of which continue to apply. In practice, what this means is that for a formula at line i , we can appeal to a box (to a subderivation) only if *it* (its scope line) is against a line which extends down to i .

Thus at line (6) of (I'), we would not be able to appeal to the formulas on lines (4) and (5) — they are inside the closed box. However, we *would* be able to appeal to the *box* on lines (4) - (5), for *it* is against a scope line cut by the arrow. Similarly, at line (11) of (J') we are not able to appeal to formulas on any of the lines (3) - (9), for they are inside the closed boxes. Similarly, we cannot appeal to the *boxes* on (4) - (5) or (7) - (8) for they are locked inside the larger box. However, we can appeal to the larger subderivation on (3) - (9) insofar as it is against a line cut by the arrow. Observe that one can appeal to a box only after it is closed – so, for example, at (11) of (J') there is not (yet) a closed box at (10) - (11) and so no available subderivation to which one may appeal.

Putting this together, at (12) we can appeal to the subderivations at (3) - (9) and (10) - (11); the ones at (4) - (5) and (7) - (8) remain inaccessible. The justification for line (12) might therefore appeal to the formulas on lines (1) and (2) or to the subderivations on lines (3) - (9) and (10) - (11). Again line (12) does not have access to the *formulas* inside the subderivations from lines (3) - (9) and (10) - (11). So the subderivations are accessible even where the formulas inside them are not, and there may be a point to the subderivations even where the formulas *inside* the subderivation are inaccessible.

Definitions for Auxiliary Assumptions

SD An auxiliary assumption, together with the formulas that fall under its scope, is a *subderivation*.

FA A formula is *accessible* at a given stage when it is obtained under assumptions all of which continue to apply.

SA A subderivation is *accessible* at a given stage when it (as a whole) is obtained under assumptions all of which continue to apply.

In practice, what this means is that for justification of a formula at line i we can appeal to another formula only if it is immediately against a scope line extending as far as i .

And in practice, for justification of a formula at line i , we can appeal to a subderivation only if its whole *scope line* is itself immediately against a scope line extending as far as i .

All this will become more concrete as we turn now to the rules of our official system *ND*. We can reinforce the point about accessibility of *formulas* by introducing the first, and simplest, rule of our official system. If a formula \mathcal{P} appears on an accessible line a of a derivation, we may repeat it by the rule *reiteration*, with justification a R.

a.	\mathcal{P}	
R	\mathcal{P}	a R

It should be obvious why reiteration satisfies our basic condition on rules. If \mathcal{P} is true, *of course* \mathcal{P} is true. So this rule could never lead from a formula that is true, to one that is not. Observe, though, that the line a must be *accessible*. If in (I) the assumption at line (3) were a formula \mathcal{P} , then we could conclude \mathcal{P} with justification 3 R at lines (5), (6), (8) or (9). We could not obtain \mathcal{P} with the same justification at (11) or (12) without violating the rule, because (3) is not accessible for justification of (11) or (12). You should be clear about why this is so.

*E6.3. Consider a derivation with the following structure.

1.		P
2.		A
3.		
4.		A
5.		A
6.		
7.		
8.		

For each of the lines (3), (6), (7) and (8) which lines are accessible? which subderivations (if any) are accessible? That is, complete the following table.

	accessible lines	accessible subderivations
line 3		
line 6		
line 7		
line 8		

*E6.4. Suppose in a derivation with structure as in E6.3 we have obtained a formula \mathcal{A} on line (3). (i) On what lines would we be allowed to conclude \mathcal{A} by 3 R? Suppose there is a formula \mathcal{B} on line (4). (ii) On what lines would we be allowed to conclude \mathcal{B} by 4 R? Hint: this is just a question about accessibility, asking where it is possible to use lines (3) and (4).

6.2 Sentential

Our system **N1** set up the basic idea of derivations as games. We begin presentation of our official natural deduction system *ND* with rules whose application is just to sentential forms — to forms involving \sim , and \rightarrow (and so to \wedge , \vee , and \leftrightarrow). Though the only operators in the forms are sentential, the forms may apply to expressions in either a sentential language like \mathcal{L}_s , or a quantificational one like \mathcal{L}_q . For the most part, though, we simply focus on \mathcal{L}_s . In a derivation, each formula is either a premise, an auxiliary assumption, or is justified by the rules. As we will see, auxiliary assumptions are always introduced in conjunction with an *exit strategy*. In addition to reiteration, the sentential part of *ND* includes two rules for each of the five sentential operators — for a total of eleven rules. For each of the operators, there is an ‘I’

or *introduction* rule, and an ‘E’ or *exploitation* rule.² As we will see, this division helps structure the way we approach derivations: To generate a formula with main operator \star , you will typically use the corresponding introduction rule. To make use of a formula with main operator \star , you will typically employ the exploitation rule for that operator.

6.2.1 \rightarrow and \wedge

Let us start with the I- and E-rules for \rightarrow and \wedge . We have already seen the exploitation rule for \rightarrow . It is R1 of system N1. If formulas $\mathcal{P} \rightarrow \mathcal{Q}$ and \mathcal{P} appear on accessible lines a and b of a derivation, we may conclude \mathcal{Q} with justification $a, b \rightarrow E$.

$\rightarrow E$	a.	$\mathcal{P} \rightarrow \mathcal{Q}$	
	b.	\mathcal{P}	
		\mathcal{Q}	$a, b \rightarrow E$

Intuitively, if it is true that *if \mathcal{P} then \mathcal{Q}* , and it is true that \mathcal{P} , then \mathcal{Q} must be true as well. And, on table (D) we saw that if both $\mathcal{P} \rightarrow \mathcal{Q}$ and \mathcal{P} are true, then \mathcal{Q} is true. Notice that we *do not* somehow get the \mathcal{P} from $\mathcal{P} \rightarrow \mathcal{Q}$. Rather, we exploit $\mathcal{P} \rightarrow \mathcal{Q}$ when, given that \mathcal{P} also is true, we use \mathcal{P} together with $\mathcal{P} \rightarrow \mathcal{Q}$ to conclude \mathcal{Q} . So this rule requires two input “cards.” The $\mathcal{P} \rightarrow \mathcal{Q}$ card sits idle without a \mathcal{P} to activate it. The order in which $\mathcal{P} \rightarrow \mathcal{Q}$ and \mathcal{P} appear does not matter so long as they are both accessible. However, you should cite them in the standard order — line for the conditional first, then the antecedent. As in the axiomatic system from chapter 3, this rule is sometimes called *modus ponens*.

Here is an example. We show, $L, L \rightarrow (A \wedge K), (A \wedge K) \rightarrow (L \rightarrow P) \vdash_{ND} P$.

(K)	1.	L	P
	2.	$L \rightarrow (A \wedge K)$	P
	3.	$(A \wedge K) \rightarrow (L \rightarrow P)$	P
	4.	$A \wedge K$	2,1 $\rightarrow E$
	5.	$L \rightarrow P$	3,4 $\rightarrow E$
	6.	P	5,1 $\rightarrow E$

$L \rightarrow (A \wedge K)$ and L are of the form $\mathcal{P} \rightarrow \mathcal{Q}$ and \mathcal{P} where L is the \mathcal{P} and $A \wedge K$ is \mathcal{Q} . So we use them to conclude $A \wedge K$ by $\rightarrow E$ on (4). But then $(A \wedge K) \rightarrow (L \rightarrow P)$ and $A \wedge K$ are of the form $\mathcal{P} \rightarrow \mathcal{Q}$ and \mathcal{P} , so we use them to conclude \mathcal{Q} , in this

²I- and E-rules are often called *introduction* and *elimination* rules. This can lead to confusion as E-rules do not necessarily eliminate anything. The above, which is becoming more common, is more clear.

case, $L \rightarrow P$, on line (5). Finally $L \rightarrow P$ and L are of the form $\mathcal{P} \rightarrow \mathcal{Q}$ and \mathcal{P} , and we use them to conclude P on (6). Notice that,

(L)	1.	$(A \rightarrow B) \wedge C$	P
	2.	A	P
	3.	B	1,2 \rightarrow E Mistake!

misapplies the rule. $(A \rightarrow B) \wedge C$ is not of the form $\mathcal{P} \rightarrow \mathcal{Q}$ — the main operator being \wedge , so that the formula is of the form $\mathcal{P} \wedge \mathcal{Q}$. The rule \rightarrow E applies just to formulas with main operator \rightarrow . If we want to use $(A \rightarrow B) \wedge C$ with A to conclude B , we would first have to isolate $A \rightarrow B$ on a line of its own. We might have done this in N1. But there is no rule for this (yet) in ND!

\rightarrow I is our first rule that requires a subderivation. Once we understand this rule, the rest are mere variations on a theme. \rightarrow I takes as its input an entire subderivation. Given an accessible subderivation which begins with assumption \mathcal{P} on line a and ends with \mathcal{Q} against the assumption's scope line at b , one may conclude $\mathcal{P} \rightarrow \mathcal{Q}$ with justification a - $b \rightarrow$ I.

\rightarrow I	a.	\mathcal{P}	A (\mathcal{Q}, \rightarrow I)	or	a.	\mathcal{P}	A (g, \rightarrow I)
	b.	\mathcal{Q}			b.	\mathcal{Q}	
		$\mathcal{P} \rightarrow \mathcal{Q}$	a-b \rightarrow I			$\mathcal{P} \rightarrow \mathcal{Q}$	a-b \rightarrow I

Note that the auxiliary assumption comes with a stated *exit strategy*: In this case the exit strategy includes the *formula* \mathcal{Q} with which the subderivation is to end, and an indication of the rule (\rightarrow I) by which exit is to be made. We might write out the entire formula inside the parentheses as on the left. In practice, however, this is tedious, and it is easier just to write the formula at the bottom of the scope line where we will need it in the end. Thus in the parentheses on the right ' g ' is a simple *pointer* to the goal formula at the end of the scope line. Note that the pointer is empty unless there is a formula to which it points, and *the exit strategy therefore is not complete unless the goal formula is stated*. In this case, the strategy includes the pointer to the goal formula, along with the indication of the rule (\rightarrow I) by which exit is to be made. Again, at the time we make the assumption, we write the \mathcal{Q} down as part of the strategy for exiting the subderivation. But this does not mean the \mathcal{Q} is justified! The \mathcal{Q} is rather introduced as a new goal. Notice also that the justification a - $b \rightarrow$ I does not refer to the *formulas* on lines a and b . These are inaccessible. Rather, the justification appeals to the subderivation which begins on line a and ends on line b — where this subderivation is accessible even though the formulas in it are not. So there is a difference between the comma and the hyphen, as they appear in justifications.

For this rule, we assume the antecedent, reach the consequent, and conclude to the conditional by \rightarrow I. Intuitively, if an assumption \mathcal{P} leads to \mathcal{Q} then we know that *if \mathcal{P} then \mathcal{Q}* . On truth tables, if there is a sententially valid argument from some other premises together with assumption \mathcal{P} to conclusion \mathcal{Q} , then there is no row where those other premises are true and the assumption \mathcal{P} is true but \mathcal{Q} is false — but this is just to say that there is no row where the other premises are true and $\mathcal{P} \rightarrow \mathcal{Q}$ is false. We will have much more to say about this in [Part III](#).

For an example, suppose we are confronted with the following.

(M)	1.	$A \rightarrow B$	P
	2.	$B \rightarrow C$	P
	<hr/>		
		$A \rightarrow C$	

In general, we use an introduction rule to *produce* some formula — typically one already given as a goal. \rightarrow I generates $\mathcal{P} \rightarrow \mathcal{Q}$ given a subderivation that starts with the \mathcal{P} and ends with the \mathcal{Q} . Thus to reach $A \rightarrow C$, we need a subderivation that starts with A and ends with C . So we set up to reach $A \rightarrow C$ with the assumption A and an exit strategy to produce $A \rightarrow C$ by \rightarrow I. For this we set the consequent C as a subgoal.

1.	$A \rightarrow B$	P
2.	$B \rightarrow C$	P
<hr/>		
3.	A	$A (g, \rightarrow I)$
	C	
	$A \rightarrow C$	

Again, we have not yet reached C or $A \rightarrow C$. Rather, we have assumed A and set C as a subgoal, with the strategy of terminating our subderivation by an application of \rightarrow I. This much is stated in the exit strategy. As it happens, C is easy to get.

1.	$A \rightarrow B$	P
2.	$B \rightarrow C$	P
<hr/>		
3.	A	$A (g, \rightarrow I)$
	B	$1,3 \rightarrow E$
	C	$2,4 \rightarrow E$
	$A \rightarrow C$	

Having reached C , and so completed the subderivation, we are in a position to execute our exit strategy and conclude $A \rightarrow C$ by \rightarrow I.

1.	$A \rightarrow B$	P
2.	$B \rightarrow C$	P
<hr/>		
3.	A	$A (g, \rightarrow I)$
4.	B	$1,3 \rightarrow E$
5.	C	$2,4 \rightarrow E$
6.	$A \rightarrow C$	$3-5 \rightarrow I$

We appeal to the subderivation that starts with the assumption of the antecedent, and reaches the consequent. Notice that the $\rightarrow I$ setup is driven, not by available premises and assumptions, but by where we want to get. We will say something more systematic about strategy once we have introduced all the rules. But here is the fundamental idea: *think goal directedly*. We begin with $A \rightarrow C$ as a goal. Our idea for producing it leads to C as a new goal. And the new goal is relatively easy to obtain.

Here is another example, one that should illustrate the above point about strategy, as well as the rule. Say we want to show $A \vdash_{ND} B \rightarrow (C \rightarrow A)$.

(N)	1.	A	P
	<hr/>		
		$B \rightarrow (C \rightarrow A)$	

Forget about the premise! Since the goal is of the form $\mathcal{P} \rightarrow \mathcal{Q}$, we set up to get it by $\rightarrow I$.

1.	A	P
2.	B	$A (g, \rightarrow I)$
<hr/>		
	$C \rightarrow A$	
	$B \rightarrow (C \rightarrow A)$	

We need a subderivation that starts with the antecedent, and ends with the consequent. So we assume the antecedent, and set the consequent as a new goal. In this case, the new goal $C \rightarrow A$ has main operator \rightarrow , so we set up again to reach it by $\rightarrow I$.

1.	A	P
2.	B	$A (g, \rightarrow I)$
3.	C	$A (g, \rightarrow I)$
<hr/>		
	A	
	$C \rightarrow A$	
	$B \rightarrow (C \rightarrow A)$	

The pointer g in an exit strategy points to the goal formula at the bottom of its scope line. Thus g for assumption B at (2) points to $C \rightarrow A$ at the bottom of its line, and g for assumption C at (3) points to A at the bottom of *its* line. Again, for the conditional, we assume the antecedent, and set the consequent as a new goal. And this last goal is particularly easy to reach. It follows immediately by reiteration from (1). Then it is a simple matter of executing the exit strategies with which our auxiliary assumptions were introduced.

1.	A	P
2.	B	$A (g, \rightarrow I)$
3.	C	$A (g, \rightarrow I)$
4.	A	1 R
5.	$C \rightarrow A$	3-4 $\rightarrow I$
6.	$B \rightarrow (C \rightarrow A)$	2-5 $\rightarrow I$

The subderivation which begins on (3) and ends on (4) begins with the antecedent and ends with the consequent of $C \rightarrow A$. So we conclude $C \rightarrow A$ on (5) by 3-4 $\rightarrow I$. The subderivation which begins on (2) and ends at (5) begins with the antecedent and ends with the consequent of $B \rightarrow (C \rightarrow A)$. So we reach $B \rightarrow (C \rightarrow A)$ on (6) by 2-5 $\rightarrow I$. Notice again how our overall reasoning is driven by the goals, rather than the premises and assumptions. It is sometimes difficult to motivate strategy when derivations are short and relatively easy. But this sort of thinking will stand you in good stead as problems get more difficult!

Given what we have done, the E- and I- rules for \wedge are completely straightforward. If $\mathcal{P} \wedge \mathcal{Q}$ appears on some accessible line a of a derivation, then you may move to the \mathcal{P} , or to the \mathcal{Q} with justification $a \wedge E$.

$\wedge E$	a.	$\mathcal{P} \wedge \mathcal{Q}$		a.	$\mathcal{P} \wedge \mathcal{Q}$	
		\mathcal{P}	$a \wedge E$		\mathcal{Q}	$a \wedge E$

Either qualifies as an instance of the rule. The left-hand case was R3 from [N1](#). Intuitively, $\wedge E$ should be clear. If \mathcal{P} and \mathcal{Q} is true, then \mathcal{P} is true. And if \mathcal{P} and \mathcal{Q} is true, then \mathcal{Q} is true. We saw a table for the left-hand case in [\(D\)](#). The other is similar. The \wedge introduction rule is equally straightforward. If \mathcal{P} and \mathcal{Q} appear on accessible lines a and b of a derivation, then you may move to $\mathcal{P} \wedge \mathcal{Q}$ with justification $a, b \wedge I$.

$\wedge I$	a.	\mathcal{P}	
	b.	\mathcal{Q}	
		$\mathcal{P} \wedge \mathcal{Q}$	$a, b \wedge I$

The order in which \mathcal{P} and \mathcal{Q} appear is irrelevant, though you should cite them in the specified order, line for the left conjunct first, and then for the right. If \mathcal{P} is true and \mathcal{Q} is true, then \mathcal{P} and \mathcal{Q} is true. Similarly, on a table, any line with both \mathcal{P} and \mathcal{Q} true has $\mathcal{P} \wedge \mathcal{Q}$ true.

Here is a simple example, demonstrating the *associativity* of conjunction.

(O)	1.	$A \wedge (B \wedge C)$	P
	2.	A	1 \wedge E
	3.	$B \wedge C$	1 \wedge E
	4.	B	3 \wedge E
	5.	C	3 \wedge E
	6.	$A \wedge B$	2,4 \wedge I
	7.	$(A \wedge B) \wedge C$	6,5 \wedge I

Notice that we could not get the B alone or the C alone without first isolating $B \wedge C$ on (3). As before, our rules apply just to the *main* operator. In effect, we take apart the premise with the E-rule, and put the conclusion together with the I-rule. Of course, as with \rightarrow I and \rightarrow E, rules for other operators do not always let us get to the parts and put them together in this simple and symmetric way.

Words to the wise:

- A common mistake made by beginning students is to assimilate other rules to \wedge E and \wedge I — moving, say, from $\mathcal{P} \rightarrow \mathcal{Q}$ alone to \mathcal{P} or \mathcal{Q} , or from \mathcal{P} and \mathcal{Q} to $\mathcal{P} \rightarrow \mathcal{Q}$. *Do not forget what you have learned! Do not make this mistake!* The \wedge rules are particularly easy. But each operator has its own special character. Thus \rightarrow E requires two “cards” to play. And \rightarrow I takes a subderivation as input.
- Another common mistake is to assume a formula \mathcal{P} merely because it would be nice to have access to \mathcal{P} . *Do not make this mistake!* An assumption always comes with an exit strategy, and is useful only for application of the exit rule. At this stage, then, the *only* reason to assume \mathcal{P} is to produce a formula of the sort $\mathcal{P} \rightarrow \mathcal{Q}$ by \rightarrow I.

A final example brings together all of the rules so far (except R).

(P)	1.	$A \rightarrow C$	P
	2.	$A \wedge B$	A (g, \rightarrow I)
	3.	A	2 \wedge E
	4.	C	1,3 \rightarrow E
	5.	B	2 \wedge E
	6.	$B \wedge C$	5,4 \wedge I
	7.	$(A \wedge B) \rightarrow (B \wedge C)$	2-6 \rightarrow I

We set up to obtain the overall goal by \rightarrow I. This generates $B \wedge C$ as a subgoal. We get $B \wedge C$ by getting the B and the C . Here is our guiding idea for strategy (which may now seem obvious): As you focus on a goal, to generate a formula with main operator \star , consider producing it by \star I. Thus, if the main operator of a goal or subgoal is \rightarrow , consider producing the formula by \rightarrow I; if the main operator of a goal is \wedge , consider producing it by \wedge I. This much should be sufficient for you to approach the following exercises. As you do the derivations, it is good simply to leave plenty of space on the page for your derivation as you state goal formulas, and let there be blank lines if room remains.³

E6.5. Complete the following derivations by filling in justifications for each line. Hint: it may be convenient to xerox the problems, and fill in your answers directly on the copy.

- a.

1.	$(A \wedge B) \rightarrow C$
2.	$B \wedge A$
<hr style="width: 100%;"/>	
3.	B
4.	A
5.	$A \wedge B$
6.	C
- b.

1.	$(R \rightarrow L) \wedge [(S \vee R) \rightarrow (T \leftrightarrow K)]$
2.	$(R \rightarrow L) \rightarrow (S \vee R)$
<hr style="width: 100%;"/>	
3.	$R \rightarrow L$
4.	$S \vee R$
5.	$(S \vee R) \rightarrow (T \leftrightarrow K)$
6.	$T \leftrightarrow K$

³Typing on a computer, it is easy to push lines down if you need more room. It is not so easy with pencil and paper, and worse with pen! If you decide to type, most word processors have a symbol font, with the capability of assigning symbols to particular keys. Assigning keys is far more efficient than finding characters over and over in menus.

- c.
- | | |
|-----|--|
| 1. | B |
| 2. | $(A \rightarrow B) \rightarrow (B \rightarrow (L \wedge S))$ |
| 3. | A |
| 4. | B |
| 5. | $A \rightarrow B$ |
| 6. | $B \rightarrow (L \wedge S)$ |
| 7. | $L \wedge S$ |
| 8. | S |
| 9. | L |
| 10. | $S \wedge L$ |
- d.
- | | |
|-----|--|
| 1. | $A \wedge B$ |
| 2. | C |
| 3. | A |
| 4. | $A \wedge C$ |
| 5. | $C \rightarrow (A \wedge C)$ |
| 6. | C |
| 7. | B |
| 8. | $B \wedge C$ |
| 9. | $C \rightarrow (B \wedge C)$ |
| 10. | $[C \rightarrow (A \wedge C)] \wedge [C \rightarrow (B \wedge C)]$ |
- e.
- | | |
|----|-----------------------------------|
| 1. | $(A \wedge S) \rightarrow C$ |
| 2. | A |
| 3. | S |
| 4. | $A \wedge S$ |
| 5. | C |
| 6. | $S \rightarrow C$ |
| 7. | $A \rightarrow (S \rightarrow C)$ |

E6.6. The following are not legitimate *ND* derivations. In each case, explain why.

- *a.
- | | | |
|----|---|--------------|
| 1. | $(A \wedge B) \wedge (C \rightarrow B)$ | P |
| 2. | A | 1 \wedge E |
- b.
- | | | |
|----|---|---------------------|
| 1. | $(A \wedge B) \wedge (C \rightarrow A)$ | P |
| 2. | C | P |
| 3. | A | 1,2 \rightarrow E |

$$\begin{array}{ll}
 \text{c. } 1. & (R \wedge S) \wedge (C \rightarrow A) \quad \text{P} \\
 & \hline
 2. & C \rightarrow A \quad 1 \wedge E \\
 3. & A \quad 2 \rightarrow E
 \end{array}$$

$$\begin{array}{ll}
 \text{d. } 1. & A \rightarrow B \quad \text{P} \\
 & \hline
 2. & A \wedge C \quad A(g, \rightarrow I) \\
 & \hline
 3. & A \quad 2 \wedge E \\
 4. & B \quad 1, 3 \rightarrow E
 \end{array}$$

$$\begin{array}{ll}
 \text{e. } 1. & A \rightarrow B \quad \text{P} \\
 & \hline
 2. & A \wedge C \quad A(g, \rightarrow I) \\
 & \hline
 3. & A \quad 2 \wedge E \\
 4. & B \quad 1, 3 \rightarrow E \\
 5. & C \quad 2 \wedge E \\
 6. & A \wedge C \quad 3, 5 \wedge I
 \end{array}$$

Hint: For this problem, think carefully about the exit strategy and the scope lines. Do we have the conclusion where we want it?

E6.7. Provide derivations to show each of the following.

$$\text{a. } A \wedge B \vdash_{ND} B \wedge A$$

$$*\text{b. } A \wedge B, B \rightarrow C \vdash_{ND} C$$

$$\text{c. } A \wedge (A \rightarrow (A \wedge B)) \vdash_{ND} B$$

$$\text{d. } A \wedge B, B \rightarrow (C \wedge D) \vdash_{ND} A \wedge D$$

$$*\text{e. } A \rightarrow (A \rightarrow B) \vdash_{ND} A \rightarrow B$$

$$\text{f. } A, (A \wedge B) \rightarrow (C \wedge D) \vdash_{ND} B \rightarrow C$$

$$\text{g. } C \rightarrow A, C \rightarrow (A \rightarrow B) \vdash_{ND} C \rightarrow (A \wedge B)$$

$$*\text{h. } A \rightarrow B, B \rightarrow C \vdash_{ND} (A \wedge K) \rightarrow C$$

$$\text{i. } A \rightarrow B \vdash_{ND} (A \wedge C) \rightarrow (B \wedge C)$$

$$\text{j. } D \wedge E, (D \rightarrow F) \wedge (E \rightarrow G) \vdash_{ND} F \wedge G$$

$$\text{k. } O \rightarrow B, B \rightarrow S, S \rightarrow L \vdash_{ND} O \rightarrow L$$

- *1. $A \rightarrow B \vdash_{ND} (C \rightarrow A) \rightarrow (C \rightarrow B)$
- m. $A \rightarrow (B \rightarrow C) \vdash_{ND} B \rightarrow (A \rightarrow C)$
- n. $A \rightarrow (B \rightarrow C), D \rightarrow B \vdash_{ND} A \rightarrow (D \rightarrow C)$
- o. $A \rightarrow B \vdash_{ND} A \rightarrow (C \rightarrow B)$

6.2.2 \sim and \vee

Now let us consider the I- and E-rules for \sim and \vee . The two rules for \sim are quite similar to one another. Each appeals to a single subderivation. For \sim I, given an accessible subderivation which begins with assumption \mathcal{P} on line a , and ends with a formula of the form $\mathcal{Q} \wedge \sim\mathcal{Q}$ against its scope line on line b , one may conclude $\sim\mathcal{P}$ by a - b \sim I. For \sim E, given an accessible subderivation which begins with assumption $\sim\mathcal{P}$ on line a , and ends with a formula of the form $\mathcal{Q} \wedge \sim\mathcal{Q}$ against its scope line on line b , one may conclude \mathcal{P} by a - b \sim E.

\sim I	a.	$\left \begin{array}{c} \mathcal{P} \\ \hline \end{array} \right.$	$A(c, \sim I)$	\sim E	a.	$\left \begin{array}{c} \sim\mathcal{P} \\ \hline \end{array} \right.$	$A(c, \sim E)$
	b.	$\left \begin{array}{c} \mathcal{Q} \wedge \sim\mathcal{Q} \\ \hline \end{array} \right.$	a - b \sim I		b.	$\left \begin{array}{c} \mathcal{Q} \wedge \sim\mathcal{Q} \\ \hline \end{array} \right.$	a - b \sim E
		$\sim\mathcal{P}$				\mathcal{P}	

\sim I introduces an expression with main operator tilde, adding tilde to the assumption \mathcal{P} . \sim E exploits the assumption $\sim\mathcal{P}$, with a result that takes the tilde off. For these rules, the formula \mathcal{Q} may be *any* formula, so long as $\sim\mathcal{Q}$ is *it* with a tilde in front. Because \mathcal{Q} may be any formula, when we declare our exit strategy for the assumption, we might have no particular goal formula in mind. So, where g always points to a formula written at the bottom of a scope line, c is not a pointer to any particular formula. Rather, when we declare our exit strategy, we merely indicate our intent to obtain some contradiction, and then to exit by \sim I or \sim E.

Intuitively, if an assumption leads to a result that is false, the assumption is wrong. So if the assumption \mathcal{P} leads to $\mathcal{Q} \wedge \sim\mathcal{Q}$, then $\sim\mathcal{P}$; and if the assumption $\sim\mathcal{P}$ leads to $\mathcal{Q} \wedge \sim\mathcal{Q}$, then \mathcal{P} . On tables, there can be no row where $\mathcal{Q} \wedge \sim\mathcal{Q}$ is true; so if every row where some premises together with assumption \mathcal{P} are true would have to make $\mathcal{Q} \wedge \sim\mathcal{Q}$ true, then there can be no row where those other premises are true and \mathcal{P} is true — so any row where the other premises are true is one where \mathcal{P} is false, and $\sim\mathcal{P}$ is therefore true. Similarly when the assumption is $\sim\mathcal{P}$, any row where the other premises are true has to be one where $\sim\mathcal{P}$ is false, so that \mathcal{P} is true. Again, we will have much more to say about this reasoning in [Part III](#).

Here are some examples of these rules. Notice that, again, we introduce subderivations with the overall goal in mind.

(Q)	1.	$A \rightarrow B$	P
	2.	$A \rightarrow \sim B$	P
	3.	A	$A(c, \sim I)$
	4.	B	$1, 3 \rightarrow E$
	5.	$\sim B$	$2, 3 \rightarrow E$
	6.	$B \wedge \sim B$	$4, 5 \wedge I$
	7.	$\sim A$	$3-6, \sim I$

We begin with the goal of obtaining $\sim A$. The natural way to obtain this is by $\sim I$. So we set up a subderivation with that in mind. Since the goal is $\sim A$, we begin with A , and go for a contradiction. In this case, the contradiction is easy to obtain, by a couple applications of $\rightarrow E$ and then $\wedge I$.

Here is another case that may be more interesting.

(R)	1.	$\sim A$	P
	2.	$B \rightarrow A$	P
	3.	$L \wedge B$	$A(c, \sim I)$
	4.	B	$3 \wedge E$
	5.	A	$2, 4 \rightarrow E$
	6.	$A \wedge \sim A$	$5, 1 \wedge I$
	7.	$\sim(L \wedge B)$	$3-6 \sim I$

This time, the original goal is $\sim(L \wedge B)$. It is of the form $\sim \mathcal{P}$, so we set up to obtain it with a subderivation that begins with the \mathcal{P} , that is, $L \wedge B$. In this case, the contradiction is $A \wedge \sim A$. Once we have the contradiction, we simply apply our exit strategy.

A simplification. Let \perp (bottom) abbreviate an arbitrary contradiction — say $Z \wedge \sim Z$. Adopt a rule $\perp I$ as on the left below,

$\perp I$	a.	\mathcal{Q}	(S)	1.	\mathcal{Q}
	b.	$\sim \mathcal{Q}$		2.	$\sim \mathcal{Q}$
		\perp		3.	$\sim(Z \wedge \sim Z)$
		$a, b \perp I$		4.	$\mathcal{Q} \wedge \sim \mathcal{Q}$
				5.	$Z \wedge \sim Z$
					$A(c \sim E)$
					$1, 2 \wedge I$
					$3-4 \sim E$

Given \mathcal{Q} and $\sim \mathcal{Q}$ on accessible lines, we move directly to \perp by $\perp I$. This is an example of a *derived* rule. For given \mathcal{Q} and $\sim \mathcal{Q}$, we can always derive $Z \wedge \sim Z$ (that is, \perp) as in (S) on the right. Given this, the $\sim I$ and $\sim E$ rules appear in the forms,

$\sim I$	a.	$\left \begin{array}{l} \mathcal{P} \\ \hline \end{array} \right.$	$A(c, \sim I)$	$\sim E$	a.	$\left \begin{array}{l} \sim \mathcal{P} \\ \hline \end{array} \right.$	$A(c, \sim E)$
	b.	$\left \begin{array}{l} \perp \\ \hline \sim \mathcal{P} \end{array} \right.$	$a-b \sim I$		b.	$\left \begin{array}{l} \perp \\ \hline \mathcal{P} \end{array} \right.$	$a-b \sim E$

Since \perp is (abbreviates) a sentence of the form $\mathcal{Q} \wedge \sim \mathcal{Q}$, the subderivations for $\sim I$ and $\sim E$ are appropriately concluded with \perp . Observe that with \perp at the bottom the $\sim I$ and $\sim E$ rules have a particular goal sentence, very much like $\rightarrow I$. However, the \mathcal{Q} and $\sim \mathcal{Q}$ required to obtain \perp by $\perp I$ are the same as would be required for $\mathcal{Q} \wedge \sim \mathcal{Q}$ on the original form of the rules. For this reason, we declare our exit strategy with a c rather than g any time the goal is \perp . At one level, this simplification is a mere notational convenience: having obtained \mathcal{Q} and $\sim \mathcal{Q}$, we move to \perp , instead of writing out the complex conjunction $\mathcal{Q} \wedge \sim \mathcal{Q}$. However, there are contexts where it will be convenient to have a *particular* contradiction as goal. Thus this is the standard form in which we use these rules.

Here is an example of the rules in this form, this time for $\sim E$.

(T)	1.	$\left \begin{array}{l} \sim \sim A \\ \hline \end{array} \right.$	P
	2.	$\left \begin{array}{l} \sim A \\ \hline \end{array} \right.$	$A(c, \sim E)$
	3.	$\left \begin{array}{l} \perp \\ \hline \end{array} \right.$	$2,1 \perp I$
	4.	A	$2-3 \sim E$

It is no surprise that we can derive A from $\sim \sim A$! This is how to do it in *ND*. Again, do not begin by thinking about the premise. The goal is A , and we can get it with a subderivation that starts with $\sim A$, by a $\sim E$ exit strategy. In this case the \mathcal{Q} and $\sim \mathcal{Q}$ for $\perp I$ are $\sim A$ and $\sim \sim A$ — that is $\sim A$ with a tilde in front of it. Though very often (at least in the beginning) an atomic and its negation will do for your contradiction, \mathcal{Q} and $\sim \mathcal{Q}$ need not be simple. Observe that $\sim E$ is a strange and powerful rule: Though an E-rule, effectively it can be used in pursuit of any goal whatsoever — to obtain formula \mathcal{P} by $\sim E$, all one has to do is obtain a contradiction from the assumption of \mathcal{P} with a tilde in front. As in this last example (T), $\sim E$ is particularly useful when the goal is an atomic formula, and thus without a main operator, so that there is no straightforward way for regular introduction rules to apply. In this way, it plays the role of a sort of “back door” introduction role.

The $\vee I$ and $\vee E$ rules apply methods we have already seen. For $\vee I$, given an accessible formula \mathcal{P} on line a , one may move to either $\mathcal{P} \vee \mathcal{Q}$ or to $\mathcal{Q} \vee \mathcal{P}$ for any formula \mathcal{Q} , with justification $a \vee I$.

$\vee I$	a.	\mathcal{P}	
		$\mathcal{P} \vee \mathcal{Q}$	a $\vee I$

	a.	\mathcal{P}	
		$\mathcal{Q} \vee \mathcal{P}$	a $\vee I$

The left-hand case was R4 from [N1](#). Also, we saw an intuitive version of this rule as *addition* on p. [28](#). Table (D) exhibits the left-hand case. And the other side should be clear as well: Any row of a table where \mathcal{P} is true has both $\mathcal{P} \vee \mathcal{Q}$ and $\mathcal{Q} \vee \mathcal{P}$ true.

Here is a simple example.

(U)	1.	P	P
	2.	$(P \vee Q) \rightarrow R$	P
	3.	$P \vee Q$	1 $\vee I$
	4.	R	2,3 $\rightarrow E$

It is easy to get R once we have $P \vee Q$. And we build $P \vee Q$ directly from the P . Note that we could have done the derivation as well if (2) had been, say, $(P \vee [K \wedge (L \leftrightarrow T)]) \rightarrow R$ and we used $\vee I$ to add $[K \wedge (L \leftrightarrow T)]$ to the P all at once.

The inputs to $\vee E$ are a formula of the form $\mathcal{P} \vee \mathcal{Q}$ and *two* subderivations. Given an accessible formula of the form $\mathcal{P} \vee \mathcal{Q}$ on line a , with an accessible subderivation beginning with assumption \mathcal{P} on line b and ending with conclusion \mathcal{C} against its scope line at c , and an accessible subderivation beginning with assumption \mathcal{Q} on line d and ending with conclusion \mathcal{C} against its scope line at e , one may conclude \mathcal{C} with justification $a, b-c, d-e \vee E$.

$\vee E$	a.	$\mathcal{P} \vee \mathcal{Q}$	
	b.	\mathcal{P}	A (g, a $\vee E$)
	c.	\mathcal{C}	
	d.	\mathcal{Q}	A (g, a $\vee E$)
	e.	\mathcal{C}	
		\mathcal{C}	a, b-c, d-e $\vee E$

Given a disjunction $\mathcal{P} \vee \mathcal{Q}$, one subderivation begins with \mathcal{P} , and the other with \mathcal{Q} ; both concluding with \mathcal{C} . This time our exit strategy includes markers for the new subgoals, along with a notation that we exit by appeal to the disjunction on line a and $\vee E$. Intuitively, if we know it is one or the other, and *either* leads to some conclusion, then the conclusion must be true. Here is an example a student gave me near graduation time: She and her mother were shopping for a graduation dress. They narrowed it down to dress A or dress B . Dress A was expensive, and if they bought it, her mother would be mad. But dress B was ugly and if they bought it

the student would complain and her mother would be mad. Conclusion: her mother would be mad — and this without knowing which dress they were going to buy! On a truth table, if rows where \mathcal{P} is true have \mathcal{C} true, and rows where \mathcal{Q} is true have \mathcal{C} true, then any row with $\mathcal{P} \vee \mathcal{Q}$ true must have \mathcal{C} true as well.

Here are a couple of examples. The first is straightforward, and illustrates both the $\vee\text{I}$ and $\vee\text{E}$ rules.

(V)	1.	$A \vee B$	P
	2.	$A \rightarrow C$	P
	3.	A	A (g, $\vee\text{E}$)
	4.	C	2,3 $\rightarrow\text{E}$
	5.	$B \vee C$	4 $\vee\text{I}$
	6.	B	A (g, $\vee\text{E}$)
	7.	$B \vee C$	6 $\vee\text{I}$
	8.	$B \vee C$	1,3-5,6-7 $\vee\text{E}$

We have the disjunction $A \vee B$ as premise, and original goal $B \vee C$. And we set up to obtain the goal by $\vee\text{E}$. For this, one subderivation starts with A and ends with $B \vee C$, and the other starts with B and ends with $B \vee C$. As it happens, these subderivations are easy to complete.

Very often, beginning students resist using $\vee\text{E}$ — no doubt because it is relatively messy. *But this is a mistake — $\vee\text{E}$ is your friend!* In fact, with this rule, we have a case where it pays to look at the premises for general strategy. Again, we will have more to say later. But if you have a premise or accessible line of the form $\mathcal{P} \vee \mathcal{Q}$, you should go for your goal, whatever it is, by $\vee\text{E}$. Here is why: As you go for the goal in the first subderivation, you have whatever premises were accessible before, *plus* \mathcal{P} ; and as you go for the goal in the second subderivation, you have whatever premises were accessible before *plus* \mathcal{Q} . So you can only be better off in your quest to reach the goal. In many cases where a premise has main operator \vee , there is no way to complete the derivation except by $\vee\text{E}$. The above example (V) is a case in point.

Here is a relatively messy example, which should help you be sure you understand the \vee rules. It illustrates the *associativity* of disjunction.

	1.	$A \vee (B \vee C)$	P
	2.	A	$A (g, 1\vee E)$
	3.	$A \vee B$	$2 \vee I$
	4.	$(A \vee B) \vee C$	$3 \vee I$
	5.	$B \vee C$	$A (g, 1\vee E)$
(W)	6.	B	$A (g, 5\vee E)$
	7.	$A \vee B$	$6 \vee I$
	8.	$(A \vee B) \vee C$	$7 \vee I$
	9.	C	$A (g, 5\vee E)$
	10.	$(A \vee B) \vee C$	$9 \vee I$
	11.	$(A \vee B) \vee C$	$5,6-8,9-10 \vee E$
	12.	$(A \vee B) \vee C$	$1,2-4,5-11 \vee E$

The premise has main operator \vee . So we set up to obtain the goal by $\vee E$. This gives us subderivations starting with A and $B \vee C$, each with $(A \vee B) \vee C$ as goal. The first is easy to complete by a couple instances of $\vee I$. But the assumption of the second, $B \vee C$ has main operator \vee . So we set up to obtain *its* goal by $\vee E$. This gives us subderivations starting with B and C , each again having $(A \vee B) \vee C$ as goal. Again, these are easy to complete by application of $\vee I$. The final result follows by the planned applications of $\vee E$. If you have been able to follow this case, you are doing well!

E6.8. Complete the following derivations by filling in justifications for each line.

a.	1.	$\sim B$
	2.	$(\sim A \vee C) \rightarrow (B \wedge C)$
	3.	$\sim A$
	4.	$\sim A \vee C$
	5.	$B \wedge C$
	6.	B
	7.	\perp
	8.	A

- b.
$$\begin{array}{l|l} 1. & R \\ 2. & \sim(S \vee T) \\ \hline 3. & R \rightarrow S \\ \hline 4. & S \\ 5. & S \vee T \\ 6. & \perp \\ 7. & \sim(R \rightarrow S) \end{array}$$
- c.
$$\begin{array}{l|l} 1. & (R \wedge S) \vee (K \wedge L) \\ \hline 2. & R \wedge S \\ \hline 3. & R \\ 4. & S \\ 5. & S \wedge R \\ 6. & (S \wedge R) \vee (L \wedge K) \\ \hline 7. & K \wedge L \\ \hline 8. & K \\ 9. & L \\ 10. & L \wedge K \\ 11. & (S \wedge R) \vee (L \wedge K) \\ 12. & (S \wedge R) \vee (L \wedge K) \end{array}$$
- d.
$$\begin{array}{l|l} 1. & A \vee B \\ \hline 2. & A \\ \hline 3. & A \rightarrow B \\ \hline 4. & B \\ 5. & (A \rightarrow B) \rightarrow B \\ \hline 6. & B \\ \hline 7. & A \rightarrow B \\ \hline 8. & B \\ 9. & (A \rightarrow B) \rightarrow B \\ 10. & (A \rightarrow B) \rightarrow B \end{array}$$

- e.
- | | | |
|-----|---------------------------------|--|
| 1. | $\sim B$ | |
| 2. | $\sim A \rightarrow (A \vee B)$ | |
| 3. | $\sim A$ | |
| 4. | $A \vee B$ | |
| 5. | A | |
| 6. | A | |
| 7. | B | |
| 8. | $\sim A$ | |
| 9. | \perp | |
| 10. | A | |
| 11. | A | |
| 12. | \perp | |
| 13. | A | |

E6.9. The following are not legitimate *ND* derivations. In each case, explain why.

- a.
- | | | |
|----|------------|------------|
| 1. | $A \vee B$ | P |
| 2. | B | 1 \vee E |
- b.
- | | | |
|----|-------------------|---------------------|
| 1. | $\sim A$ | P |
| 2. | $B \rightarrow A$ | P |
| 3. | B | A (c, \sim I) |
| 4. | A | 2,3 \rightarrow E |
| 5. | $\sim B$ | 3-4 \sim I |
- *c.
- | | | |
|----|----------|-----------------|
| 1. | W | P |
| 2. | R | A (c, \sim I) |
| 3. | $\sim W$ | A (c, \sim I) |
| 4. | \perp | 1,3 \perp I |
| 5. | $\sim R$ | 2-4 \sim I |

- d.

1.	$A \vee B$	P
2.	$\overline{}$	
3.	A	$A (g, 1 \vee E)$
4.	A	2 R
5.	$\overline{}$	
6.	B	$A (g, 1 \vee E)$
7.	A	3 R
8.	A	1,2-3,4-5 $\vee E$
- e.

1.	$A \vee B$	P
2.	$\overline{}$	
3.	A	$A (g, 1 \vee E)$
4.	A	2 R
5.	$\overline{}$	
6.	A	$A (c, \sim I)$
7.	$\overline{}$	
8.	B	$A (g, 1 \vee E)$
9.	A	4 R
10.	A	1,2-3,5-6 $\vee E$

E6.10. Produce derivations to show each of the following.

- a. $\sim A \vdash_{ND} \sim(A \wedge B)$
- b. $A \vdash_{ND} \sim\sim A$
- *c. $\sim A \rightarrow B, \sim B \vdash_{ND} A$
- d. $A \rightarrow B \vdash_{ND} \sim(A \wedge \sim B)$
- e. $\sim A \rightarrow B, B \rightarrow A \vdash_{ND} A$
- f. $A \wedge B \vdash_{ND} (R \leftrightarrow S) \vee B$
- *g. $A \vee (A \wedge B) \vdash_{ND} A$
- h. $S, (B \vee C) \rightarrow \sim S \vdash_{ND} \sim B$
- i. $A \vee B, A \rightarrow B, B \rightarrow A \vdash_{ND} A \wedge B$
- j. $A \rightarrow B, (B \vee C) \rightarrow D, D \rightarrow \sim A \vdash_{ND} \sim A$
- k. $A \vee B \vdash_{ND} B \vee A$
- *l. $A \rightarrow \sim B \vdash_{ND} B \rightarrow \sim A$

$$\text{m. } (A \wedge B) \rightarrow \sim A \vdash_{ND} A \rightarrow \sim B$$

$$\text{n. } A \vee \sim \sim B \vdash_{ND} A \vee B$$

$$\text{o. } A \vee B, \sim B \vdash_{ND} A$$

6.2.3 \leftrightarrow

We complete our presentation of rules for the sentential part of *ND* with the rules $\leftrightarrow\text{E}$ and $\leftrightarrow\text{I}$. Given that $\mathcal{P} \leftrightarrow \mathcal{Q}$ abbreviates the same as $(\mathcal{P} \rightarrow \mathcal{Q}) \wedge (\mathcal{Q} \rightarrow \mathcal{P})$, it is not surprising that rules for \leftrightarrow work like ones for arrow, but going two ways. For $\leftrightarrow\text{E}$, if formulas $\mathcal{P} \leftrightarrow \mathcal{Q}$ and \mathcal{P} appear on accessible lines a and b of a derivation, we may conclude \mathcal{Q} with justification $a, b \leftrightarrow\text{E}$; and similarly but in the other direction, if formulas $\mathcal{P} \leftrightarrow \mathcal{Q}$ and \mathcal{Q} appear on accessible lines a and b of a derivation, we may conclude \mathcal{P} with justification $a, b \leftrightarrow\text{E}$.

$$\begin{array}{c} \leftrightarrow\text{E} \end{array} \quad \begin{array}{c} \text{a. } \mathcal{P} \leftrightarrow \mathcal{Q} \\ \text{b. } \mathcal{P} \\ \hline \mathcal{Q} \end{array} \quad \begin{array}{c} \text{a, b } \leftrightarrow\text{E} \end{array} \quad \begin{array}{c} \text{a. } \mathcal{P} \leftrightarrow \mathcal{Q} \\ \text{b. } \mathcal{Q} \\ \hline \mathcal{P} \end{array} \quad \begin{array}{c} \text{a, b } \leftrightarrow\text{E} \end{array}$$

$\mathcal{P} \leftrightarrow \mathcal{Q}$ thus works like either $\mathcal{P} \rightarrow \mathcal{Q}$ or $\mathcal{Q} \rightarrow \mathcal{P}$. Intuitively given \mathcal{P} if and *only* if \mathcal{Q} , then if \mathcal{P} is true, \mathcal{Q} is true. And given \mathcal{P} if and only if \mathcal{Q} , then if \mathcal{Q} is true \mathcal{P} is true. On tables, if $\mathcal{P} \leftrightarrow \mathcal{Q}$ is true, then \mathcal{P} and \mathcal{Q} have the same truth value. So if $\mathcal{P} \leftrightarrow \mathcal{Q}$ is true and \mathcal{P} is true, \mathcal{Q} is true as well; and if $\mathcal{P} \leftrightarrow \mathcal{Q}$ is true and \mathcal{Q} is true, \mathcal{P} is true as well.

Given that $\mathcal{P} \leftrightarrow \mathcal{Q}$ can be exploited like $\mathcal{P} \rightarrow \mathcal{Q}$ or $\mathcal{Q} \rightarrow \mathcal{P}$, it is not surprising that introducing $\mathcal{P} \leftrightarrow \mathcal{Q}$ is like introducing both $\mathcal{P} \rightarrow \mathcal{Q}$ and $\mathcal{Q} \rightarrow \mathcal{P}$. The input to $\leftrightarrow\text{I}$ is *two* subderivations. Given an accessible subderivation beginning with assumption \mathcal{P} on line a and ending with conclusion \mathcal{Q} against its scope line on b , and an accessible subderivation beginning with assumption \mathcal{Q} on line c and ending with conclusion \mathcal{P} against its scope line on d , one may conclude $\mathcal{P} \leftrightarrow \mathcal{Q}$ with justification, $a-b, c-d \leftrightarrow\text{I}$.

$$\begin{array}{c} \leftrightarrow\text{I} \end{array} \quad \begin{array}{c} \text{a. } \mathcal{P} \\ \hline \mathcal{Q} \\ \text{b. } \mathcal{Q} \\ \hline \mathcal{Q} \\ \text{c. } \mathcal{Q} \\ \hline \mathcal{P} \\ \text{d. } \mathcal{P} \\ \hline \mathcal{P} \leftrightarrow \mathcal{Q} \end{array} \quad \begin{array}{c} \text{A (g, } \leftrightarrow\text{I)} \\ \\ \\ \text{A (g, } \leftrightarrow\text{I)} \\ \\ \text{a-b, c-d } \leftrightarrow\text{I} \end{array}$$

Intuitively, if an assumption \mathcal{P} leads to \mathcal{Q} and the assumption \mathcal{Q} leads to \mathcal{P} , then we know that *if* \mathcal{P} then \mathcal{Q} , and *if* \mathcal{Q} then \mathcal{P} — which is to say that \mathcal{P} if and only if \mathcal{Q} . On truth tables, if there is a sententially valid argument from some other premises together with assumption \mathcal{P} , to conclusion \mathcal{Q} , then there is no row where those other premises are true and assumption \mathcal{P} is true and \mathcal{Q} is false; and if there is a sententially valid argument from those other premises together with assumption \mathcal{Q} to conclusion \mathcal{P} , then there is no row where those other premises are true and the assumption \mathcal{Q} is true and \mathcal{P} is false; so on rows where the other premises are true, \mathcal{P} and \mathcal{Q} do not have different values, and the biconditional $\mathcal{P} \leftrightarrow \mathcal{Q}$ is true.

Here are a couple of examples. The first is straightforward, and exercises both the $\leftrightarrow I$ and $\leftrightarrow E$ rules. We show, $A \leftrightarrow B, B \leftrightarrow C \vdash_{ND} A \leftrightarrow C$.

(X)	1.	$A \leftrightarrow B$	P
	2.	$B \leftrightarrow C$	P
	3.	A	A (g, $\leftrightarrow I$)
	4.	B	1,3 $\leftrightarrow E$
	5.	C	2,4 $\leftrightarrow E$
	6.	C	A (g, $\leftrightarrow I$)
	7.	B	2,6 $\leftrightarrow E$
	8.	A	1,7 $\leftrightarrow E$
	9.	$A \leftrightarrow C$	3-5,6-8 $\leftrightarrow I$

Our original goal is $A \leftrightarrow C$. So it is natural to set up subderivations to get it by $\leftrightarrow I$. Once we have done this, the subderivations are easily completed by applications of $\leftrightarrow E$.

Here is an interesting case that again exercises both rules. We show, $A \leftrightarrow (B \leftrightarrow C), C \vdash_{ND} A \leftrightarrow B$.

ND Quick Reference (Sentential)**R** (reiteration)

a. \mathcal{P}

\mathcal{P} a R

 \sim I (negation intro)

a. \mathcal{P} A (c, \sim I)

b. $\mathcal{Q} \wedge \sim \mathcal{Q}$ (\perp)

$\sim \mathcal{P}$ a-b \sim I

 \sim E (negation exploit)

a. $\sim \mathcal{P}$ A (c, \sim E)

b. $\mathcal{Q} \wedge \sim \mathcal{Q}$ (\perp)

\mathcal{P} a-b \sim E

 \wedge I (conjunction intro)

a. \mathcal{P}

b. \mathcal{Q}

$\mathcal{P} \wedge \mathcal{Q}$ a,b \wedge I

 \wedge E (conjunction exploit)

a. $\mathcal{P} \wedge \mathcal{Q}$

\mathcal{P} a \wedge E

 \wedge E (conjunction exploit)

a. $\mathcal{P} \wedge \mathcal{Q}$

\mathcal{Q} a \wedge E

 \vee I (disjunction intro)

a. \mathcal{P}

$\mathcal{P} \vee \mathcal{Q}$ a \vee I

 \vee I (disjunction intro)

a. \mathcal{P}

$\mathcal{Q} \vee \mathcal{P}$ a \vee I

 \vee E (disjunction exploit)

a. $\mathcal{P} \vee \mathcal{Q}$

b. \mathcal{P} A (g, a \vee E)

c. \mathcal{C}

d. \mathcal{Q} A (g, a \vee E)

e. \mathcal{C}

\mathcal{C} a,b-c,d-e \vee E

 \rightarrow I (conditional intro)

a. \mathcal{P} A (g, \rightarrow I)

b. \mathcal{Q}

$\mathcal{P} \rightarrow \mathcal{Q}$ a-b \rightarrow I

 \rightarrow E (conditional exploit)

a. $\mathcal{P} \rightarrow \mathcal{Q}$

b. \mathcal{P}

\mathcal{Q} a,b \rightarrow E

 \leftrightarrow I (biconditional intro)

a. \mathcal{P} A (g, \leftrightarrow I)

b. \mathcal{Q}

c. \mathcal{Q} A (g, \leftrightarrow I)

d. \mathcal{P}

$\mathcal{P} \leftrightarrow \mathcal{Q}$ a-b,c-d \leftrightarrow I

 \leftrightarrow E (biconditional exploit)

a. $\mathcal{P} \leftrightarrow \mathcal{Q}$

b. \mathcal{P}

\mathcal{Q} a,b \leftrightarrow E

 \leftrightarrow E (biconditional exploit)

a. $\mathcal{P} \leftrightarrow \mathcal{Q}$

b. \mathcal{Q}

\mathcal{P} a,b \leftrightarrow E

 \perp I (bottom intro)

a. \mathcal{Q}

b. $\sim \mathcal{Q}$

\perp a,b \perp I

	1.	$A \leftrightarrow (B \leftrightarrow C)$	P
	2.	C	P
	3.	A	A (g, \leftrightarrow I)
	4.	$B \leftrightarrow C$	1,3 \leftrightarrow E
	5.	B	4,2 \leftrightarrow E
(Y)	6.	B	A (g, \leftrightarrow I)
	7.	B	A (g, \leftrightarrow I)
	8.	C	2 R
	9.	C	A (g, \leftrightarrow I)
	10.	B	6 R
	11.	$B \leftrightarrow C$	7-8,9-10 \leftrightarrow I
	12.	A	1,11 \leftrightarrow E
	13.	$A \leftrightarrow B$	3-5,6-12 \leftrightarrow I

We begin by setting up the subderivations to get $A \leftrightarrow B$ by \leftrightarrow I. This first is easily completed with a couple applications of \leftrightarrow E. To reach the goal for the second by means of the premise (1) we need $B \leftrightarrow C$ as our second “card.” So we set up to reach *that*. As it happens, the extra subderivations at (7) - (8) and (9) - (10) are easy to complete. Again, if you have followed so far, you are doing well. We will be in a better position to *create* such derivations after our discussion of strategy.

So much for the rules for this sentential part of *ND*. Before we turn in the next sections to strategy, let us note a couple of features of the rules that may so-far have gone without notice. First, premises are not always necessary for *ND* derivations. Thus, for example, $\vdash_{ND} A \rightarrow A$.

	1.	A	A (g, \rightarrow I)
(Z)	2.	A	1 R
	3.	$A \rightarrow A$	1-2 \rightarrow I

If there are no premises, do not panic! Begin in the usual way. In this case, the original goal is $A \rightarrow A$. So we set up to obtain it by \rightarrow I. And the subderivation is particularly simple. Notice that our derivation of $A \rightarrow A$ corresponds to the fact from truth tables that $\models_s A \rightarrow A$. And we *need* to be able to derive $A \rightarrow A$ from no premises if there is to be the right sort of correspondence between derivations in *ND* and semantic validity — if we are to have $\Gamma \models \mathcal{P}$ iff $\Gamma \vdash_{ND} P$.

Second, observe again that every subderivation comes with an exit strategy. The exit strategy says whether you intend to complete the subderivation with a particular goal, or by obtaining a contradiction, and then how the subderivation is to be used

once complete. There are just five rules which appeal to a subderivation: \rightarrow I, \sim I, \sim E, \vee E, and \leftrightarrow I. You will complete the subderivation, and then use it by one of these rules. So these are the *only* rules which may appear in an exit strategy. If you do not understand this, then you need to go back and think about the rules until you do.

Finally, it is worth noting a strange sort of case, with application to rules that can take more than one input of the same type. Consider a simple demonstration that $A \vdash_{ND} A \wedge A$. We might proceed as in (AA) on the left,

(AA)	1.	A	P	(AB)	1.	A	P
	2.	A	1 R		2.	A	
	3.	$A \wedge A$	1,2 \wedge I		3.	$A \wedge A$	1,1 \wedge I

We begin with A , reiterate so that A appears on different lines, and apply \wedge I. But we might have proceeded as in (AB) on the right. The rule requires an accessible line on which the left conjunct appears — which we have at (1), and an accessible line on which the right conjunct appears *which we also have* on (1). So the rule takes an input for the left conjunct and an input for the right — they just happen to be the same thing. A similar point applies to rules \vee E and \leftrightarrow I which take more than one subderivation as input. Suppose we want to show $A \vee A \vdash_{ND} A$.⁴

(AC)	1.	$A \vee A$	P	(AD)	1.	$A \vee A$	P
	2.	A	$A (g, 1 \vee E)$		2.	A	$A (g, 1 \vee E)$
	3.	A	2 R		3.	A	2 R
	4.	A	$A (g, 1 \vee E)$		4.	A	1,2-3,2-3 $\vee E$
	5.	A	4 R				
	6.	A	1,2-3,4-5 $\vee E$				

In (AC), we begin in the usual way to get the main goal by \vee E. This leads to the subderivations (2) - (3) and (4) - (5), the first moving from the left disjunct to the goal, and the second from the right disjunct to the goal. But the left and right disjuncts are the same! So we might have simplified as in (AD). \vee E still requires three inputs: First an accessible disjunction, which we find on (1); second an accessible subderivation which moves from the left disjunct to the goal, which we find on (2) - (3); third a subderivation which moves from the right disjunct to the goal — *but we have this* on (2) - (3). So the justification at (4) of (AD) appeals to the three relevant facts, by appeal to the same subderivation twice. Similarly one could imagine a quick-and-dirty demonstration that $\vdash_{ND} A \leftrightarrow A$.

⁴I am reminded of an irritating character in *Groundhog Day* who repeatedly asks, “Am I right or am I right?” If he implies that the disjunction is true, it follows that he is right.

E6.11. Complete the following derivations by filling in justifications for each line.

a. 1. $A \leftrightarrow B$
 |
 2. A
 |
 3. B
 4. $A \rightarrow B$

b. 1. $A \leftrightarrow B$
 2. $\sim B$
 |
 3. A
 |
 4. B
 5. \perp
 6. $\sim A$

c. 1. $A \leftrightarrow \sim A$
 |
 2. A
 |
 3. $\sim A$
 4. \perp
 5. $\sim A$
 6. A
 7. \perp
 8. $\sim(A \leftrightarrow \sim A)$

d. 1. A
 |
 2. $\sim A$
 |
 3. A
 4. $\sim A \rightarrow A$
 |
 5. $\sim A \rightarrow A$
 |
 6. $\sim A$
 |
 7. A
 8. \perp
 9. A
 10. $A \leftrightarrow (\sim A \rightarrow A)$

e.	1.	$\sim A$	
	2.	$\sim B$	
	3.	A	
	4.	$\sim B$	
	5.	\perp	
	6.	B	
	7.	B	
	8.	$\sim A$	
	9.	\perp	
	10.	A	
	11.	$A \leftrightarrow B$	

E6.12. Each of the following are not legitimate *ND* derivations. In each case, explain why.

a.	1.	A	P
	2.	B	P
	3.	$A \leftrightarrow B$	1,2 \leftrightarrow I
b.	1.	$A \rightarrow B$	P
	2.	B	P
	3.	A	1,2 \rightarrow E
*c.	1.	$A \leftrightarrow B$	P
	2.	A	1 \leftrightarrow E
d.	1.	B	P
	2.	A	A (g, \leftrightarrow I)
	3.	B	1 R
	4.	B	A (g, \leftrightarrow I)
	5.	A	2 R
	6.	$A \leftrightarrow B$	2-3,4-5 \leftrightarrow I

e.	1.	$\sim A$	P
	2.	B	A (g, \rightarrow I)
	3.	$\sim A$	A (g, \leftrightarrow I)
	4.	B	2 R
	5.	B	2 R
	6.	$B \rightarrow B$	2-5 \rightarrow I
	7.	B	A (g, \leftrightarrow I)
	8.	$\sim A$	1 R
	9.	$\sim A \leftrightarrow B$	3-4,7-8 \leftrightarrow I

E6.13. Produce derivations to show each of the following.

- *a. $(A \wedge B) \leftrightarrow A \vdash_{ND} A \rightarrow B$
- b. $A \leftrightarrow (A \vee B) \vdash_{ND} B \rightarrow A$
- c. $A \leftrightarrow B, B \leftrightarrow C, C \leftrightarrow D, \sim A \vdash_{ND} \sim D$
- d. $A \leftrightarrow B \vdash_{ND} (A \rightarrow B) \wedge (B \rightarrow A)$
- *e. $A \leftrightarrow (B \wedge C), B \vdash_{ND} A \leftrightarrow C$
- f. $(A \rightarrow B) \wedge (B \rightarrow A) \vdash_{ND} (A \leftrightarrow B)$
- g. $A \rightarrow (B \leftrightarrow C) \vdash_{ND} (A \wedge B) \leftrightarrow (A \wedge C)$
- h. $A \leftrightarrow B, C \leftrightarrow D \vdash_{ND} (A \wedge C) \leftrightarrow (B \wedge D)$
- i. $\vdash_{ND} A \leftrightarrow A$
- j. $\vdash_{ND} (A \wedge B) \leftrightarrow (B \wedge A)$
- *k. $\vdash_{ND} \sim\sim A \leftrightarrow A$
- l. $\vdash_{ND} (A \leftrightarrow B) \rightarrow (B \leftrightarrow A)$
- m. $(A \wedge B) \leftrightarrow (A \wedge C) \vdash_{ND} A \rightarrow (B \leftrightarrow C)$
- n. $\sim A \rightarrow B, A \rightarrow \sim B \vdash_{ND} \sim A \leftrightarrow B$
- o. $A, B \vdash_{ND} \sim A \leftrightarrow \sim B$

6.2.4 Strategies for a Goal

It is natural to introduce derivation rules, as we have, with relatively simple cases. And you may or may not have been able to see from the start in some cases how derivations would go. But derivations are not always so simple, and (short of genius) nobody can always see how they go. Perhaps this has already been an issue! So we want to think about derivation strategies. As we shall see later, for the quantificational case at least, it is not *possible* to produce a mechanical algorithm adequate to complete every completable derivation. However, as with chess or other games of strategy, it is possible to say a good deal about how to approach problems effectively. We have said quite a bit already. In this section, we pull together some of the themes, and present the material more systematically.

For natural derivation systems, the overriding strategy is to *work goal directedly*. What you do at any stage is directed primarily, not by what you have, but by where you want to be. Suppose you are trying to show that $\Gamma \vdash_{ND} \mathcal{P}$. You are given \mathcal{P} as your goal. Perhaps it is tempting to begin by using E-rules to “see what you can get” from the members of Γ . There is nothing wrong with a bit of this in order to simplify your premises (like arranging the cards in your hand into some manageable order), but the main work of doing a derivation does not begin until you focus on the goal. This is not to say that your premises play no role in strategic thinking. Rather, it is to rule out doing things with them which are not purposefully directed at the end. In the ordinary case, applying the strategies for your goal dictates some new goal; applying strategies for this new goal dictates another; and so forth, until you come to a goal that is easily achieved.

The following *strategies for a goal* are arranged in rough priority order:

- SG 1. If accessible lines contain explicit contradiction, use $\sim E$ to reach goal.
- 2. Given an accessible formula with main operator \vee , use $\vee E$ to reach goal.
- 3. If goal is “in” accessible lines (set goals and) attempt to exploit it out.
- 4. To reach goal with main operator \star , use $\star I$ (careful with \vee).
- 5. Try $\sim E$ (especially for atomics and sentences with \vee as main operator).

If a high priority strategy applies, use it. If one does not apply, simply “fall through” to the next. The priority order is not necessarily a frequency order. The frequency will likely be something like SG4, SG3, SG5, SG2, SG1. But high priority strategies are such that you should adopt them if they are available — even though most often you will fall through to ones that are more frequently used. I take up the strategies in the priority order.

SG1 *If accessible lines contain explicit contradiction, use $\sim E$ to reach goal.* For goal \mathcal{B} , with an explicit contradiction accessible, you can simply *assume* $\sim \mathcal{B}$, use your contradiction, and conclude \mathcal{B} .

<i>given</i>	a.	\mathcal{A}	<i>use</i>	a.	\mathcal{A}	
	b.	$\sim \mathcal{A}$		b.	$\sim \mathcal{A}$	
		\mathcal{B}		c.	$\sim \mathcal{B}$	$\mathcal{A} (c, \sim E)$
		(goal)		d.	\perp	$a, b \perp I$
					\mathcal{B}	$c-d \sim E$

That is it! No matter what your goal is, given an accessible contradiction, you can reach that goal by $\sim E$. Since this strategy always delivers, you should jump on it whenever it is available. As an example, try to show, $A, \sim A \vdash_{ND} (R \wedge S) \rightarrow T$. Your derivation need not involve $\rightarrow I$. Hint: I mean it! This section will be far more valuable if you work these examples, and so think through the steps. Here it is in two stages.

(AE)	1.	A	P	1.	A	P
	2.	$\sim A$	P	2.	$\sim A$	P
	3.	$\sim[(R \vee S) \rightarrow T]$	$\mathcal{A} (c, \sim E)$	3.	$\sim[(R \vee S) \rightarrow T]$	$\mathcal{A} (c, \sim E)$
		$(R \vee S) \rightarrow T$		4.	\perp	$1, 2 \perp I$
				5.	$(R \vee S) \rightarrow T$	$3-4 \sim E$

As soon as we see the accessible contradiction, we assume the negation of our goal, with a plan to exit by $\sim E$. This is accomplished on the left. Then it is a simple matter of applying the contradiction, and going to the conclusion by $\sim E$.

For this strategy, it is not required that accessible lines “contain” a contradiction only when you already have \mathcal{Q} and $\sim \mathcal{Q}$ for $\perp I$. However, the intent is that it should be no real work to obtain them. Perhaps an application of $\wedge E$ or the like does the job. It should be possible to obtain the contradiction directly by some E-rule(s). If you can do this, then your derivation is over: assuming the opposite, applying the rules, and then $\sim E$ reaches the goal. If there is no simple way to obtain a contradiction, fall through to the next strategy.

SG2 *Given an accessible formula with main operator \vee , use $\vee E$ to reach goal.* As suggested above, you may prefer to avoid $\vee E$. But this is a mistake — $\vee E$ is your friend! Suppose you have some accessible lines including a disjunction $\mathcal{A} \vee \mathcal{B}$ with goal \mathcal{C} . If you go for *that very goal* by $\vee E$, the result is a pair of subderivations with goal \mathcal{C} — where, in the one case, all those very same accessible lines *and* \mathcal{A} are

accessible, and in the other case, all those very same lines *and* \mathcal{B} are accessible. So, in each subderivation, you can only be better off in your attempt to reach \mathcal{C} .

<i>given</i>	a.	$A \vee B$	<i>use</i>	a.	$A \vee B$	
		C (goal)		b.	A	$A (g, a\vee E)$
				c.	\mathcal{C}	(goal)
				d.	B	$A (g, a\vee E)$
				e.	\mathcal{C}	(goal)
					\mathcal{C}	$a,b-c,d-e \vee E$

As an example, try to show, $A \rightarrow B, A \vee (A \wedge B) \vdash_{ND} A \wedge B$. Try showing it without $\vee E$! Here is the derivation in stages.

(AF)	1.	$A \rightarrow B$	P	1.	$A \rightarrow B$	P
	2.	$A \vee (A \wedge B)$	P	2.	$A \vee (A \wedge B)$	P
	3.	A	$A (g, 2\vee E)$	3.	A	$A (g, 2\vee E)$
		$A \wedge B$		4.	B	$1,3 \rightarrow E$
		$A \wedge B$	$A (g, 2\vee E)$	5.	$A \wedge B$	$3,4 \wedge I$
		$A \wedge B$		6.	$A \wedge B$	$A (g, 2\vee E)$
		$A \wedge B$		7.	$A \wedge B$	6 R
				8.	$A \wedge B$	$1,2-5,6-7 \vee E$

When we start, there is no accessible contradiction. So we fall through to **SG2**. Since a premise has main operator \vee , we set up to get the goal by $\vee E$. This leads to a pair of simple subderivations. Once we do this, we treat the disjunction as effectively “used up” so that **SG2** does not apply to it again. Notice that there is almost nothing one *could* do except set up this way — and that once you do, it is easy!

SG3 *If goal is “in” accessible lines (set goals and) attempt to exploit it out.* In most derivations, you will work toward goals which are successively closer to what can be obtained directly from accessible lines. And you finally come to a goal which can be obtained directly. If it can be obtained directly, do so! In some cases, however, you will come to a stage where your goal exists in accessible lines, but can be obtained only by means of some other result. In this case, you can set that other result as a *new* goal. A typical case is as follows.

<i>given</i>	a.	$\mathcal{A} \rightarrow \mathcal{B}$	<i>use</i>	a.	$\mathcal{A} \rightarrow \mathcal{B}$
		\mathcal{B} (goal)		b.	\mathcal{A} (goal)
					\mathcal{B} a,b \rightarrow E

The \mathcal{B} exists in the premises. You cannot get it without the \mathcal{A} . So you set \mathcal{A} as a new goal and use it to get the \mathcal{B} . It is impossible to represent all the cases where this strategy applies. The idea is that the complete goal exists in accessible lines, and can either be obtained directly by an E-rule, or by an E-rule with some new goal. Observe that the strategy would not apply in case you have $A \rightarrow B$ and are going for A . Then the goal exists as part of a premise all right. But there is no obvious result such that obtaining it would give you a way to exploit $A \rightarrow B$ to get the A .

As an example, let us try to show $(A \rightarrow B) \wedge (B \rightarrow C), (L \leftrightarrow S) \rightarrow A, (L \leftrightarrow S) \wedge H \vdash_{ND} C$. Here is the derivation in four stages.

(AG)	1.	$(A \rightarrow B) \wedge (B \rightarrow C)$	P	1.	$(A \rightarrow B) \wedge (B \rightarrow C)$	P
	2.	$(L \leftrightarrow S) \rightarrow A$	P	2.	$(L \leftrightarrow S) \rightarrow A$	P
	3.	$(L \leftrightarrow S) \wedge H$	P	3.	$(L \leftrightarrow S) \wedge H$	P
	4.	$B \rightarrow C$	1 \wedge E	4.	$B \rightarrow C$	1 \wedge E
				5.	$A \rightarrow B$	1 \wedge E
					A	
		B			B	5, \rightarrow E
		C	4, \rightarrow E		C	4, \rightarrow E

The original goal C exists in the premises, as the consequent of the right conjunct of (1). It is easy to isolate the $B \rightarrow C$, but this leaves us with the B as a new goal to get the C . B also exists in the premises, as the consequent of the left conjunct of (1). Again, it is easy to isolate $A \rightarrow B$, but this leaves us with A as a new goal. We are not in a position to fill in the entire justification for our new goals, but there is no harm filling in what we can, to remind us where we are going. So far, so good.

1.	$(A \rightarrow B) \wedge (B \rightarrow C)$	P	1.	$(A \rightarrow B) \wedge (B \rightarrow C)$	P
2.	$(L \leftrightarrow S) \rightarrow A$	P	2.	$(L \leftrightarrow S) \rightarrow A$	P
3.	$(L \leftrightarrow S) \wedge H$	P	3.	$(L \leftrightarrow S) \wedge H$	P
4.	$B \rightarrow C$	1 \wedge E	4.	$B \rightarrow C$	1 \wedge E
5.	$A \rightarrow B$	1 \wedge E	5.	$A \rightarrow B$	1 \wedge E
	$L \leftrightarrow S$		6.	$L \leftrightarrow S$	3 \wedge E
	A	2, \rightarrow E	7.	A	2,6 \rightarrow E
	B	5, \rightarrow E	8.	B	5,7 \rightarrow E
	C	4, \rightarrow E	9.	C	4,8 \rightarrow E

But A also exists in the premises, as the consequent of (2); to get it, we set $L \leftrightarrow S$ as a goal. But $L \leftrightarrow S$ exists in the premises, and is easy to get by $\wedge E$. So we complete the derivation with the steps that motivated the subgoals in the first place. Observe the way we move from one goal to the next, until finally there is a stage where **SG3** applies in its simplest form, so that $L \leftrightarrow S$ is obtained directly.

SG4 *To reach goal with main operator \star , use $\star I$ (careful with \vee).* This is the most frequently used strategy, the one most likely to structure your derivation as a whole. $\sim E$ to the side, the basic structure of I-rules and E-rules in *ND* gives you just one way to generate a formula with main operator \star , whatever that may be. In the ordinary case, then, you can *expect* to obtain a formula with main operator \star by the corresponding I-rule. Thus, for a typical example,

given	$\mathcal{A} \rightarrow \mathcal{B}$ (goal)	use	a.	\mathcal{A}	$A(g, \rightarrow I)$
			b.	\mathcal{B}	(goal)
				$\mathcal{A} \rightarrow \mathcal{B}$	a-b $\rightarrow I$

Again, it is difficult to represent all the cases where this strategy might apply. It makes sense to consider it for formulas with any main operator. Be cautious, however, for formulas with main operator \vee . There are cases where it is possible to prove a disjunction, but not to prove it by $\vee I$ — as one might have conclusive reason to believe the butler *or* the maid did it, without conclusive reason to believe the butler did it, or conclusive reason to believe the maid did it (perhaps the butler and maid were the only ones with means and motive). You should consider the strategy for \vee . But it does not always work.

As an example, let us show $D \vdash_{ND} A \rightarrow (B \rightarrow (C \rightarrow D))$. Here is the derivation in four stages.

(AH)	1.	D	P	1.	D	P
	2.	A	$A(g, \rightarrow I)$	2.	A	$A(g, \rightarrow I)$
				3.	B	$A(g, \rightarrow I)$
					$C \rightarrow D$	
		$B \rightarrow (C \rightarrow D)$			$B \rightarrow (C \rightarrow D)$	3- $\rightarrow I$
		$A \rightarrow (B \rightarrow (C \rightarrow D))$	2- $\rightarrow I$		$A \rightarrow (B \rightarrow (C \rightarrow D))$	2- $\rightarrow I$

Initially, there is no contradiction or disjunction in the premises, and neither do we see the goal. So we fall through to strategy **SG4** and, since the main operator of the goal is \rightarrow , set up to get it by $\rightarrow I$. This gives us $B \rightarrow (C \rightarrow D)$ as a new goal. Since

this has main operator \rightarrow , and it remains that other strategies do not apply, we fall through to **SG4**, and set up to get it by \rightarrow I. This gives us $C \rightarrow D$ as a new goal.

1.	D	P	1.	D	P
2.	A	$A (g, \rightarrow I)$	2.	A	$A (g, \rightarrow I)$
3.	B	$A (g, \rightarrow I)$	3.	B	$A (g, \rightarrow I)$
4.	C	$A (g, \rightarrow I)$	4.	C	$A (g, \rightarrow I)$
	D		5.	D	1 R
	$C \rightarrow D$	4- \rightarrow I	6.	$C \rightarrow D$	4-5 \rightarrow I
	$B \rightarrow (C \rightarrow D)$	3- \rightarrow I	7.	$B \rightarrow (C \rightarrow D)$	3-6 \rightarrow I
	$A \rightarrow (B \rightarrow (C \rightarrow D))$	2- \rightarrow I	8.	$A \rightarrow (B \rightarrow (C \rightarrow D))$	2-7 \rightarrow I

As before, with $C \rightarrow D$ as the goal, there is no contradiction on accessible lines, no accessible formula has main operator \vee , and the goal does not itself appear on accessible lines. Since the main operator is \rightarrow , we set up again to get it by \rightarrow I. This gives us D as a new subgoal. But D does exist on an accessible line. Thus we are faced with a particularly simple instance of strategy **SG3**. To complete the derivation, we simply reiterate D from (1), and follow our exit strategies as planned.

SG5 Try \sim E (especially for atomics and sentences with \vee as main operator). The previous strategy has no application to atomics, because they *have* no main operator, and we have suggested that it is problematic for disjunctions. This last strategy applies particularly in those cases. So it is applicable in cases where other strategies seem not to apply.

given	A (goal)	use	a.	$\sim A$ $A (c, \sim E)$
			b.	\perp
				A a-b $\sim E$

It is possible to obtain *any* formula by \sim E, by assuming the negation of it and going for a contradiction. So this strategy is generally applicable. And it cannot hurt: If you could have reached the goal anyway, you can obtain the goal A under the assumption, and then use *it* for a contradiction with the assumed $\sim A$ — which lets you exit the assumption with the A you would have had anyway. And the assumption may help: for, as with \vee E, in going for the contradiction you have whatever accessible lines you had before, *plus* the new assumption. And, in many cases, the assumption puts you in a position to make progress you would not have been able to make before.

As a simple example of the strategy, try showing, $\sim A \rightarrow B, \sim B \vdash_{ND} A$. Here is the derivation in two stages.

(AI)	1.	$\sim A \rightarrow B$	P	1.	$\sim A \rightarrow B$	P
	2.	$\sim B$	P	2.	$\sim B$	P
	3.	$\sim A$	A (c, $\sim E$)	3.	$\sim A$	A (c, $\sim E$)
		\perp		4.	B	1,3 $\rightarrow E$
		A	3- $\sim E$	5.	\perp	4,2 $\perp I$
				6.	A	3-5 $\sim E$

Sometimes the occasion between this strategy and **SG1** can seem obscure (and, in the end, it may not be all that important to separate them). However, for the first, accessible lines *by themselves* are sufficient for a contradiction. In this example, from the premises we have $\sim B$, but cannot get the B and so do have a contradiction. So **SG1** does not apply. There is no formula with main operator \vee . Similarly, though $\sim A$ is in the antecedent of (1), there is no obvious way to exploit the premise to isolate the A ; so we do not see the goal in the relevant form in the premises. The goal A has no operators, so it has no main operator and strategy **SG4** does not apply. So we fall through to strategy **SG5**, and set up to get the goal by $\sim E$. In this case, the subderivation is particularly easy to complete. Perhaps the case is too easy. Still, in contrast to **SG1**, the contradiction does not become available until after you make the assumption. In the case of **SG1**, it is the prior availability of the contradiction that drives your assumption.

Here is an extended example which combines a number of the strategies considered so far. We show that $B \vee A \vdash_{ND} \sim A \rightarrow B$. You want especially to absorb the *mode of thinking* about this case as a way to approach exercises.

(AJ)	1.	$B \vee A$	P
		$\sim A \rightarrow B$	

There is no contradiction in accessible premises; so strategy **SG1** is inapplicable. Strategy **SG2** tells us to go for the goal by $\vee E$. Another option is to fall through to **SG4** and go for $\sim A \rightarrow B$ by $\rightarrow I$ and then apply $\vee E$ to get the B , but $\rightarrow I$ has lower priority, and let us follow the official procedure.

1.	$B \vee A$	P	
2.	B	A (g, \vee E)	
	$\sim A \rightarrow B$		Given an accessible line with main operator \vee , use \vee E to reach goal.
	A	A (g, \vee E)	
	$\sim A \rightarrow B$		
	$\sim A \rightarrow B$	1, \neg , \neg \vee E	

Having set up for \vee E on line (1), we treat $B \vee A$ as effectively “used up” and so out of the picture. Concentrating, for the moment, on the first subderivation, there is no contradiction on accessible lines; neither is there another accessible disjunction; and the goal is not in the premises. So we fall through to **SG4**.

1.	$B \vee A$	P	
2.	B	A (g, \vee E)	
3.	$\sim A$	A (g, \rightarrow I)	
	B		
	$\sim A \rightarrow B$	3- \neg \rightarrow I	To reach goal with main operator \rightarrow , use \rightarrow I.
	A	A (g, \vee E)	
	$\sim A \rightarrow B$		
	$\sim A \rightarrow B$	1, \neg , \neg \vee E	

In this case, the subderivation is easy to complete. The new goal, B exists as such in the premises. So we are faced with a simple instance of **SG3**, and so can complete the subderivation.

1.	$B \vee A$	P	
2.	B	A (g, \vee E)	
3.	$\sim A$	A (g, \rightarrow I)	
4.	B	2 R	
5.	$\sim A \rightarrow B$	3-4 \rightarrow I	The first subderivation is completed by reiterat- ing B from line (2), and following the exit strat- egy.
6.	A	A (g, \vee E)	
	$\sim A \rightarrow B$		
	$\sim A \rightarrow B$	1, \neg , \neg \vee E	

For the second main subderivation tick off in your head: there is no accessible contradiction; neither is there another accessible formula with main operator \vee ; and the goal is not in the premises. So we fall through to strategy **SG4**.

1.	$B \vee A$	P	
2.	B	A (g, \vee E)	
3.	$\sim A$	A (g, \rightarrow I)	
4.	B	2 R	
5.	$\sim A \rightarrow B$	3-4 \rightarrow I	
6.	A	A (g, \vee E)	To reach goal with main operator \rightarrow , use \rightarrow I.
7.	$\sim A$	A (g, \rightarrow I)	
	B		
	$\sim A \rightarrow B$	7- \rightarrow I	
	$\sim A \rightarrow B$	1, \rightarrow , \vee E	

In this case, there *is* an accessible contradiction at (6) and (7). So **SG1** applies, and we are in a position to complete the derivation as follows.

1.	$B \vee A$	P	
2.	B	A (g, \vee E)	
3.	$\sim A$	A (g, \rightarrow I)	
4.	B	2 R	
5.	$\sim A \rightarrow B$	3-4 \rightarrow I	
6.	A	A (g, \vee E)	If accessible lines contain explicit contradiction, use \sim E to reach goal.
7.	$\sim A$	A (g, \rightarrow I)	
8.	$\sim B$	A (c, \sim E)	
9.	\perp	6,7 \perp I	
10.	B	8-9 \sim E	
11.	$\sim A \rightarrow B$	7-10 \rightarrow I	
12.	$\sim A \rightarrow B$	1,2-5,6-11 \vee E	

This derivation is fairly complicated! But we did not need to see how the whole thing would go from the start. Indeed, it is hard to see how one could do so. Rather it was enough to see, at each stage, what to do next. That is the beauty of our goal-oriented approach.

A couple of final remarks before we turn to exercises: First, as we have said from the start, assumptions are only introduced in conjunction with exit strategies. This

almost requires goal-directed thinking. And it is important to see how pointless are assumptions without an exit strategy! Results inside subderivations cannot be used for a final conclusion except insofar as there is a way to exit the subderivation and use it whole. So the point of the strategy is to ensure that the subderivation has a use for getting where you want to go.

Second, in going for a contradiction, as with SG4 or SG5, the new goal is not a definite formula — any contradiction is sufficient for the rule and for a derivation of \perp . So the strategies for a goal do not directly apply. This motivates the “strategies for a contradiction” of the next section. For now, I will say just this: If there is a contradiction to be had, and you can reduce formulas on accessible lines to atomics and negated atomics, the contradiction *will* appear at that level. So one way to go for a contradiction is simply by applying E-rules to accessible lines, to generate what atomics and negated atomics you can.

Proof for the following theorems are left as exercises. You should not start them now, but wait for the assignment in E6.16. The first three may remind you of axioms from chapter 3 the fourth has an application in Part IV. The others foreshadow rules from the system $ND+$, which we will see shortly.

$$T6.1. \vdash_{ND} \mathcal{P} \rightarrow (\mathcal{Q} \rightarrow \mathcal{P})$$

$$T6.2. \vdash_{ND} (\mathcal{Q} \rightarrow (\mathcal{P} \rightarrow \mathcal{Q})) \rightarrow ((\mathcal{Q} \rightarrow \mathcal{P}) \rightarrow (\mathcal{Q} \rightarrow \mathcal{Q}))$$

$$*T6.3. \vdash_{ND} (\sim \mathcal{Q} \rightarrow \sim \mathcal{P}) \rightarrow ((\sim \mathcal{Q} \rightarrow \mathcal{P}) \rightarrow \mathcal{Q})$$

$$T6.4. \mathcal{A} \rightarrow (\mathcal{B} \rightarrow \mathcal{C}), \mathcal{D} \rightarrow (\mathcal{C} \rightarrow \mathcal{E}), \mathcal{D} \rightarrow \mathcal{B} \vdash_{ND} \mathcal{A} \rightarrow (\mathcal{D} \rightarrow \mathcal{E})$$

$$T6.5. \mathcal{A} \rightarrow \mathcal{B}, \sim \mathcal{B} \vdash_{ND} \sim \mathcal{A}$$

$$T6.6. \mathcal{A} \rightarrow \mathcal{B}, \mathcal{B} \rightarrow \mathcal{C} \vdash_{ND} \mathcal{A} \rightarrow \mathcal{C}$$

$$T6.7. \mathcal{A} \vee \mathcal{B}, \sim \mathcal{A} \vdash_{ND} \mathcal{B}$$

$$T6.8. \mathcal{A} \vee \mathcal{B}, \sim \mathcal{B} \vdash_{ND} \mathcal{A}$$

$$\text{T6.9. } \mathcal{A} \leftrightarrow \mathcal{B}, \sim \mathcal{A} \vdash_{ND} \sim \mathcal{B}$$

$$\text{T6.10. } \mathcal{A} \leftrightarrow \mathcal{B}, \sim \mathcal{B} \vdash_{ND} \sim \mathcal{A}$$

$$\text{T6.11. } \vdash_{ND} (\mathcal{A} \wedge \mathcal{B}) \leftrightarrow (\mathcal{B} \wedge \mathcal{A})$$

$$\text{*T6.12. } \vdash_{ND} (\mathcal{A} \vee \mathcal{B}) \leftrightarrow (\mathcal{B} \vee \mathcal{A})$$

$$\text{T6.13. } \vdash_{ND} (\mathcal{A} \rightarrow \mathcal{B}) \leftrightarrow (\sim \mathcal{B} \rightarrow \sim \mathcal{A})$$

$$\text{T6.14. } \vdash_{ND} [\mathcal{A} \rightarrow (\mathcal{B} \rightarrow \mathcal{C})] \leftrightarrow [(\mathcal{A} \wedge \mathcal{B}) \rightarrow \mathcal{C}]$$

$$\text{T6.15. } \vdash_{ND} [\mathcal{A} \wedge (\mathcal{B} \wedge \mathcal{C})] \leftrightarrow [(\mathcal{A} \wedge \mathcal{B}) \wedge \mathcal{C}]$$

$$\text{T6.16. } \vdash_{ND} [\mathcal{A} \vee (\mathcal{B} \vee \mathcal{C})] \leftrightarrow [(\mathcal{A} \vee \mathcal{B}) \vee \mathcal{C}]$$

$$\text{T6.17. } \vdash_{ND} \mathcal{A} \leftrightarrow \sim \sim \mathcal{A}$$

$$\text{T6.18. } \vdash_{ND} \mathcal{A} \leftrightarrow (\mathcal{A} \wedge \mathcal{A})$$

$$\text{T6.19. } \vdash_{ND} \mathcal{A} \leftrightarrow (\mathcal{A} \vee \mathcal{A})$$

E6.14. For each of the following, (i) which goal strategy applies? and (ii) what is the next step? If the strategy calls for a new subgoal, show the subgoal; if it calls for a subderivation, set up the subderivation. In each case, *explain* your response. Hint: Each goal strategy applies once.

$$\begin{array}{lll} \text{a.} & 1. & \sim A \vee B \quad \text{P} \\ & 2. & A \quad \text{P} \\ & & \hline & & B \end{array}$$

b.	1.	$J \wedge S$	P
	2.	$S \rightarrow K$	P
		<hr/>	
		K	

*c.	1.	$\sim A \leftrightarrow B$	P
		<hr/>	
		$B \leftrightarrow \sim A$	

d.	1.	$A \leftrightarrow \sim B$	P
	2.	$\sim A$	P
		<hr/>	
		B	

e.	1.	$A \wedge B$	P
	2.	$\sim A$	P
		<hr/>	
		$K \vee J$	

E6.15. Produce derivations to show each of the following. No worked out answers are provided. However, if you get stuck, you will find strategy hints in the back.

*a. $A \leftrightarrow (A \rightarrow B) \vdash_{ND} A \rightarrow B$

*b. $(A \vee B) \rightarrow (B \leftrightarrow D), B \vdash_{ND} B \wedge D$

*c. $\sim(A \wedge C), \sim(A \wedge C) \leftrightarrow B \vdash_{ND} A \vee B$

*d. $A \wedge (C \wedge \sim B), (A \vee D) \rightarrow \sim E \vdash_{ND} \sim E$

*e. $A \rightarrow B, B \rightarrow C \vdash_{ND} A \rightarrow C$

*f. $(A \wedge B) \rightarrow (C \wedge D) \vdash_{ND} [(A \wedge B) \rightarrow C] \wedge [(A \wedge B) \rightarrow D]$

*g. $A \rightarrow (B \rightarrow C), (A \wedge D) \rightarrow E, C \rightarrow D \vdash_{ND} (A \wedge B) \rightarrow E$

*h. $(A \rightarrow B) \wedge (B \rightarrow C), [(D \vee E) \vee H] \rightarrow A, \sim(D \vee E) \wedge H \vdash_{ND} C$

*i. $A \rightarrow (B \wedge C), \sim C \vdash_{ND} \sim(A \wedge D)$

*j. $A \rightarrow (B \rightarrow C), D \rightarrow B \vdash_{ND} A \rightarrow (D \rightarrow C)$

$$*k. A \rightarrow (B \rightarrow C) \vdash_{ND} \sim C \rightarrow \sim(A \wedge B)$$

$$*l. (A \wedge \sim B) \rightarrow \sim A \vdash_{ND} A \rightarrow B$$

$$*m. \sim B \leftrightarrow A, C \rightarrow B, A \wedge C \vdash_{ND} \sim K$$

$$*n. \sim A \vdash_{ND} A \rightarrow B$$

$$*o. \sim A \leftrightarrow \sim B \vdash_{ND} A \leftrightarrow B$$

$$*p. (A \vee B) \vee C, B \leftrightarrow C \vdash_{ND} C \vee A$$

$$*q. \vdash_{ND} A \rightarrow (A \vee B)$$

$$*r. \vdash_{ND} A \rightarrow (B \rightarrow A)$$

$$*s. \vdash_{ND} (A \leftrightarrow B) \rightarrow (A \rightarrow B)$$

$$*t. \vdash_{ND} (A \wedge \sim A) \rightarrow (B \wedge \sim B)$$

$$*u. \vdash_{ND} (A \rightarrow B) \rightarrow [(C \rightarrow A) \rightarrow (C \rightarrow B)]$$

$$*v. \vdash_{ND} [(A \rightarrow B) \wedge \sim B] \rightarrow \sim A$$

$$*w. \vdash_{ND} A \rightarrow [B \rightarrow (A \rightarrow B)]$$

$$*x. \vdash_{ND} \sim A \rightarrow [(B \wedge A) \rightarrow C]$$

$$*y. \vdash_{ND} (A \rightarrow B) \rightarrow [\sim B \rightarrow \sim(A \wedge D)]$$

*E6.16. Produce derivations to demonstrate each of T6.1 - T6.19. This is a mix — some repetitious, some challenging! But, when we need the results later, we will be glad to have done them now. Hint: do not worry if one or two get a bit longer than you are used to — they should!

6.2.5 Strategies for a Contradiction

In going for a contradiction, the \mathcal{Q} and $\sim\mathcal{Q}$ can be any sentence. So the strategies for reaching a definite goal do not apply. This motivates *strategies for a contradiction*. Again, the strategies are in rough priority order.

- SC
1. Break accessible formulas down into atomics and negated atomics.
 2. Given a disjunction in a subderivation for $\sim E$ or $\sim I$, go for \perp by $\vee E$.

3. Set as goal the opposite of some negation (something that cannot itself be broken down). Then apply strategies for a goal to reach it.
4. For some \mathcal{P} such that both \mathcal{P} and $\sim\mathcal{P}$ lead to contradiction: Assume \mathcal{P} ($\sim\mathcal{P}$), obtain the first contradiction, and conclude $\sim\mathcal{P}$ (\mathcal{P}); then obtain the second contradiction — this is the one you want.

Again, the priority order is not the frequency order. The frequency is likely to be something like **sc1**, **sc3**, **sc4**, **sc2**. Also sometimes, but not always, **sc3** and **sc4** coincide: in deriving the opposite of some negation, you end up assuming a \mathcal{P} such that \mathcal{P} and $\sim\mathcal{P}$ lead to contradiction.

SC1. *Break accessible formulas down into atomics and negated atomics.* As we have already said, if there is a contradiction to be had, and you can break premises into atomics and negated atomics, the contradiction *will* appear at that level. Thus, for example,

(AK)	1.	$A \wedge B$	P	1.	$A \wedge B$	P
	2.	$\sim B$	P	2.	$\sim B$	P
	3.	C	A (c, \sim I)	3.	C	A (c, \sim I)
		\perp		4.	A	1 \wedge E
		$\sim C$	2- \perp \sim I	5.	B	1 \wedge E
				6.	\perp	5,2 \perp I
				7.	$\sim C$	2-6 \sim I

Our strategy for the main goal is **sg4** with an application of \sim **I**. Then the aim is to obtain a contradiction. And our first thought is to break accessible lines down to atomics and negated atomics. Perhaps this example is too simple. And you may wonder about the point of getting A at (4) — there *is* no need for A at (4). But this merely illustrates the point: if you can get to atomics and negated atomics (“randomly” as it were) the contradiction will appear in the end.

As another example, try showing $A \wedge (B \wedge \sim C), \sim F \rightarrow D, (A \wedge D) \rightarrow C \vdash_{ND} F$. Here is the completed derivation in two stages.

(AL)	1.	$A \wedge (B \wedge \sim C)$	P
	2.	$\sim F \rightarrow D$	P
	3.	$(A \wedge D) \rightarrow C$	P
	4.	$\sim F$	A (c, $\sim E$)
		\vdash	
		\perp	
		F	4- $\sim E$
	1.	$A \wedge (B \wedge \sim C)$	P
	2.	$\sim F \rightarrow D$	P
	3.	$(A \wedge D) \rightarrow C$	P
	4.	$\sim F$	A (c, $\sim E$)
	5.	D	2,4 $\rightarrow E$
	6.	A	1 $\wedge E$
	7.	$A \wedge D$	6,5 $\wedge I$
	8.	C	3,7 $\rightarrow E$
	9.	$B \wedge \sim C$	1 $\wedge E$
	10.	$\sim C$	9 $\wedge E$
	11.	\perp	8,10 $\perp I$
	11.	F	4-10 $\sim E$

This time, our strategy for the goal falls through to **SG5**. After that, again, our goal is to obtain a contradiction — and our first thought is to break premises down to atomics and negated atomics. The assumption $\sim F$ gets us D with (2). We can get A from (1), and then C with the A and D together. Then $\sim C$ follows from (1) by a couple applications of $\wedge E$. You might proceed to get the atomics in a different order, but the basic idea of any such derivation is likely to be the same.

SC2. Given a disjunction in a subderivation for $\sim E$ or $\sim I$, go for \perp by $\vee E$. This strategy applies only occasionally, though it is related to one that is common for the quantificational case. In most cases, you will have applied $\vee E$ by **SG2** prior to setting up for $\sim E$ or $\sim I$. In some cases, however, a disjunction is “uncovered” only inside a subderivation for a tilde rule. In any such case, **SC2** has high priority for the same reasons as **SG2**: You can only be *better off* in your attempt to reach a contradiction inside the subderivations for $\vee E$ than before. So the strategy says to set \perp as the goal you need for $\sim E$ or $\sim I$, and go for *it* by $\vee E$.

given	a.	\mathcal{P}	A (c, $\sim I$)
	b.	$\mathcal{A} \vee \mathcal{B}$	
		\vdash	
		\perp	
		$\sim \mathcal{P}$	a- $\sim I$
use	a.	\mathcal{P}	A (c, $\sim I$)
	b.	$\mathcal{A} \vee \mathcal{B}$	
	c.	\mathcal{A}	A (c, b $\vee E$)
		\vdash	
	d.	\perp	
	e.	\mathcal{B}	A (c, c $\vee E$)
		\vdash	
	f.	\perp	
	g.	\perp	b,c-d,e-f $\vee E$
		$\sim \mathcal{P}$	a-g $\sim I$

Observe that, since the subderivations for $\vee E$ have goal \perp , they have exit strategy c rather than g . Here is another advantage of our standard use of \perp . Because \perp is a particular sentence, it works as a goal sentence for this rule. We might obtain \perp by one contradiction in the first subderivation, and by another in the second. But, once we have obtained \perp in each, we are in a position to exit by $\vee E$ in the usual way, and so to apply $\sim I$.

Here is an example. We show $\sim A \wedge \sim B \vdash_{ND} \sim(A \vee B)$. The derivation is in four stages.

(AM)	1.	$\sim A \wedge \sim B$	P
	2.	$A \vee B$	A (c, $\sim I$)
		\perp	
		$\sim(A \vee B)$	2- $\sim I$

1.	$\sim A \wedge \sim B$	P
2.	$A \vee B$	A (c, $\sim I$)
3.	A	A (c, $2\vee E$)
	\perp	
	B	A (c, $2\vee E$)
	\perp	
	\perp	2, $_, _ \vee E$
	$\sim(A \vee B)$	2- $\sim I$

In this case, our strategy for the goal is **SG4**. The disjunction appears only inside the subderivation as the assumption for $\sim I$. We might obtain $\sim A$ and $\sim B$ from (1) but after that, there are no more atomics or negated atomics to be had. So we fall through to **SC2**, with \perp as the goal for $\vee E$.

1.	$\sim A \wedge \sim B$	P
2.	$A \vee B$	A (c, $\sim I$)
3.	A	A (c, $2\vee E$)
4.	$\sim A$	1 $\wedge E$
5.	\perp	3,4 $\perp I$
6.	B	A (c, $2\vee E$)
	\perp	
	\perp	2,3-5, $_ \vee E$
	$\sim(A \vee B)$	2- $\sim I$

1.	$\sim A \wedge \sim B$	P
2.	$A \vee B$	A (c, $\sim I$)
3.	A	A (c, $2\vee E$)
4.	$\sim A$	1 $\wedge E$
5.	\perp	3,4 $\perp I$
6.	B	A (c, $2\vee E$)
7.	$\sim B$	1 $\wedge E$
8.	\perp	6,7 $\perp I$
9.	\perp	2,3-5,6-8 $\vee E$
10.	$\sim(A \vee B)$	2-11 $\sim I$

The first subderivation is easily completed from atomics and negated atomics. And the second is completed the same way. Observe that it is only because of our assumptions for $\vee E$ that we are able to get the contradictions at all.

SC3. Set as goal the opposite of some negation (something that cannot itself be broken down). Then apply standard strategies for the goal. You will find yourself using this strategy often, after SC1. In the ordinary case, if accessible formulas cannot be broken into atomics and negated atomics, it is because complex forms are “sealed off” by main operator \sim . The tilde blocks SC1 or SC2. But you can turn this lemon to lemonade: taking the complex $\sim Q$ as one half of a contradiction, set Q as goal. For some complex Q ,

given	a.	$\sim Q$		use	a.	$\sim Q$	
	b.	A	$A(c, \sim I)$		b.	A	$A(c, \sim I)$
		\perp			c.	Q	(goal)
		$\sim A$				\perp	$c, a \perp I$

We are after a contradiction. Supposing that we cannot break $\sim Q$ into its parts, our efforts to apply other strategies for a contradiction are frustrated. But SC3 offers an alternative: Set Q itself as a new goal and use this with $\sim Q$ to reach \perp . Then strategies for the new goal take over. If we reach the new goal, we have the contradiction we need.

As an example, try showing $B, \sim(A \rightarrow B) \vdash_{ND} \sim A$. Here is the derivation in four stages.

(AN)	1.	B	P	1.	B	P
	2.	$\sim(A \rightarrow B)$	P	2.	$\sim(A \rightarrow B)$	P
	3.	A	$A(c, \sim I)$	3.	A	$A(c, \sim I)$
		\perp			$A \rightarrow B$	(goal)
		$\sim A$	$3-\sim I$		\perp	$\rightarrow, 2 \perp I$

Our strategy for the goal is SG4; for main operator \sim we set up to get the goal by $\sim I$. So we need a contradiction. In this case, there is nothing to be done by way of obtaining atomics and negated atomics, and there is no disjunction. So we fall through to strategy SC3. $\sim(A \rightarrow B)$ on (2) has main operator \sim , so we set $A \rightarrow B$ as a new subgoal with the idea to use it for contradiction.

1.	B	P
2.	$\sim(A \rightarrow B)$	P
3.	A	$A(c, \sim I)$
4.	A	$A(g, \rightarrow I)$
	B	(goal)
	$A \rightarrow B$	4- $\rightarrow I$
	\perp	$\neg 2 \perp I$
	$\sim A$	3- $\sim I$

1.	B	P
2.	$\sim(A \rightarrow B)$	P
3.	A	$A(c, \sim I)$
4.	A	$A(g, \rightarrow I)$
5.	B	1 R
6.	$A \rightarrow B$	4-5 $\rightarrow I$
7.	\perp	6,2 $\perp I$
8.	$\sim A$	3-7 $\sim I$

Since $A \rightarrow B$ is a definite subgoal, we proceed with strategies for the goal in the usual way. The main operator is \rightarrow so we set up to get it by $\rightarrow I$. The subderivation is particularly easy to complete. And we finish by executing the exit strategies as planned.

SC4. For some \mathcal{P} such that both \mathcal{P} and $\sim\mathcal{P}$ lead to contradiction: Assume \mathcal{P} ($\sim\mathcal{P}$), obtain the first contradiction, and conclude $\sim\mathcal{P}$ (\mathcal{P}); then obtain the second contradiction — this is the one you want.

given	a.	\mathcal{A}	$A(c, \sim I)$	use	a.	\mathcal{A}	$A(c, \sim I)$
		\perp			b.	\mathcal{P}	$A(c, \sim I)$
		$\sim\mathcal{A}$			c.	\perp	
						$\sim\mathcal{P}$	b-c $\sim I$
					d.	\perp	
						$\sim\mathcal{A}$	a-d $\sim I$

The essential point is that both \mathcal{P} and $\sim\mathcal{P}$ somehow lead to contradiction. Thus the assumption of one leads by $\sim I$ or $\sim E$ to the other; and since *both* lead to contradiction, you end up with the contradiction you need. This is often a powerful way of making progress when none seems possible by other means.

Let us try to show $A \leftrightarrow B$, $B \leftrightarrow C$, $C \leftrightarrow \sim A \vdash_{ND} K$. Here is the derivation in four stages.

(AO)	1.	$A \leftrightarrow B$	P
	2.	$B \leftrightarrow C$	P
	3.	$C \leftrightarrow \sim A$	P
	4.	$\sim K$	A (c, $\sim E$)
		\perp	
		K	4- $\sim E$

1.	$A \leftrightarrow B$	P
2.	$B \leftrightarrow C$	P
3.	$C \leftrightarrow \sim A$	P
4.	$\sim K$	A (c, $\sim E$)
5.	A	A (c, $\sim I$)
	\perp	
	$\sim A$	5- $\sim I$
	\perp	
	K	4- $\sim E$

Our strategy for the goal falls all the way through to **SG5**. So we assume the negation of the goal, and go for a contradiction. In this case, there are no atomics or negated atomics to be had. There is no disjunction under the scope of the negation, and no formula is itself a negation such that we could reiterate and build up to the opposite. But given formula A we can use $\leftrightarrow E$ to reach $\sim A$ and so contradiction. And, similarly, given $\sim A$ we can use $\leftrightarrow E$ to reach A and so contradiction. So, following **SC4**, we assume one of them to get the other.

1.	$A \leftrightarrow B$	P
2.	$B \leftrightarrow C$	P
3.	$C \leftrightarrow \sim A$	P
4.	$\sim K$	A (c, $\sim E$)
5.	A	A (c, $\sim I$)
6.	B	1,5 $\leftrightarrow E$
7.	C	2,6 $\leftrightarrow E$
8.	$\sim A$	3,7 $\leftrightarrow E$
9.	\perp	5,8 $\perp I$
10.	$\sim A$	5-9 $\sim I$
	\perp	
	K	4- $\sim E$

1.	$A \leftrightarrow B$	P
2.	$B \leftrightarrow C$	P
3.	$C \leftrightarrow \sim A$	P
4.	$\sim K$	A (c, $\sim E$)
5.	A	A (c, $\sim I$)
6.	B	1,5 $\leftrightarrow E$
7.	C	2,6 $\leftrightarrow E$
8.	$\sim A$	3,7 $\leftrightarrow E$
9.	\perp	5,8 $\perp I$
10.	$\sim A$	5-9 $\sim I$
11.	C	3,10 $\leftrightarrow E$
12.	B	2,11 $\leftrightarrow E$
13.	A	1,12 $\leftrightarrow E$
14.	\perp	13,10 $\perp I$
15.	K	4-14 $\sim E$

The first contradiction appears easily at the level of atomics and negated atomics. This gives us $\sim A$. And with $\sim A$, the second contradiction also comes easily, at the level of atomics and negated atomics.

Though it can be useful, this strategy is often difficult to see. And there is no obvious way to give a strategy for using the strategy! The best thing to say is that you should *look for it* when the other strategies seem to fail.

Let us consider an extended example which combines some of the strategies. We show that $\sim A \rightarrow B \vdash_{ND} B \vee A$.

(AP)	1.	$\sim A \rightarrow B$	P
		$B \vee A$	

In this case, we do not see a contradiction in the premises; there is no formula with main operator \vee in the premises; and the goal does not appear in the premises. So we might try going for the goal by $\vee I$ in application of SG4. This would require getting a B or an A . It is reasonable to go this way, but it turns out to be a dead end. (You should convince yourself that this is so.) Thus we fall through to SG5.

1.	$\sim A \rightarrow B$	P	
2.	$\sim(B \vee A)$	A (c, $\sim E$)	Especially considering our goal has main operator \vee , set up to get the goal by $\sim E$.
	\perp		
	$B \vee A$	2- $\sim E$	
	$B \vee A$		

To get a contradiction, our first thought is to go for atomics and negated atomics. But there is nothing to be done. Similarly, there is no formula with main operator \vee . So we fall through to SC3 and continue as follows.

1.	$\sim A \rightarrow B$	P	
2.	$\sim(B \vee A)$	A (c, $\sim E$)	Given a negation that cannot be broken down, set up to get the contradiction by building up to the opposite.
	$B \vee A$		
	\perp	$\sim, 2 \perp I$	
	$B \vee A$	2- $\sim E$	
	$B \vee A$		

It might seem that we have made no progress, since our new goal is no different than the original! But there is progress insofar as we have a premise not available before (more on this in a moment). At this stage, we *can* get the goal by $\vee I$. Either side will work, but it is easier to start with the A . So we set up for that.

1.	$\sim A \rightarrow B$	P	
2.	$\sim(B \vee A)$	A (c, \sim E)	
	A		For a goal with main operator \vee , go for the goal by \vee I
	$B \vee A$	$_ \vee$ I	
	\perp	$_, 2 \perp$ I	
	$B \vee A$	2- $_ \sim$ E	

Now the goal is atomic. Again, there is no contradiction or formula with main operator \vee in the premises. The goal is not in the premises in any form we can hope to exploit. And the goal has no main operator. So, again, we fall through to **SG5**.

1.	$\sim A \rightarrow B$	P	
2.	$\sim(B \vee A)$	A (c, \sim E)	
3.	$\sim A$	A (c, \sim E)	
	\perp		Especially for atomics, go for the goal by \sim E
	A	3- $_ \sim$ E	
	$B \vee A$	$_ \vee$ I	
	\perp	$_, 2 \perp$ I	
	$B \vee A$	2- $_ \sim$ E	

Again, our first thought is to get atomics and negated atomics. We can get B from lines (1) and (3) by \rightarrow E. But that is all. So we will not get a contradiction from atomics and negated atomics alone. There is no formula with main operator \vee . However, the possibility of getting a B suggests that we *can* build up to the opposite of line (2). That is, we complete the subderivation as follows, and follow our exit strategies to complete the whole.

1.	$\sim A \rightarrow B$	P	
2.	$\sim(B \vee A)$	A (c, \sim E)	
3.	$\sim A$	A (c, \sim E)	
4.	B	1,3 \rightarrow E	
5.	$B \vee A$	4 \vee I	
6.	\perp	5,2 \perp I	
7.	A	3-6 \sim E	
8.	$B \vee A$	7 \vee I	
9.	\perp	8,2 \perp I	
10.	$B \vee A$	2-9 \sim E	Get the contradiction by building up to the opposite of an existing negation.

A couple of comments: First, observe that we build up to the opposite of $\sim(B \vee A)$ *twice*, coming at it from different directions. First we obtain the left side B and use $\vee I$ to obtain the whole, then the right side A and use $\vee I$ to obtain the whole. This is typical with negated disjunctions. Second, note that this derivation might be reconceived as an instance of **SC4**. $\sim A$ gets us B , and so $B \vee A$, which contradicts $\sim(B \vee A)$. But A gets us $B \vee A$ which, again, contradicts $\sim(B \vee A)$. So both A and $\sim A$ lead to contradiction; so we assume one ($\sim A$), and get the first contradiction; this gets us A , from which the second contradiction follows.

The general pattern of this derivation is typical for formulas with main operator \vee in *ND*. For $\mathcal{P} \vee \mathcal{Q}$ we may not be able to prove either \mathcal{P} or \mathcal{Q} from scratch — so that the formula is not directly provable by $\vee I$. However, it may be *indirectly* provable. If it is provable at all, it *must* be that the negation of one side forces the other. So it must be possible to get the \mathcal{P} or the \mathcal{Q} under the *additional* assumption that the other is false. This makes possible an argument of the following form.

(AQ)	a.	$\sim(\mathcal{P} \vee \mathcal{Q})$	$A(c, \sim E)$
	b.	$\sim \mathcal{P}$	$A(c, \sim E)$
		\vdots	
	c.	\mathcal{Q}	
	d.	$\mathcal{P} \vee \mathcal{Q}$	$c \vee I$
	e.	\perp	$d, a \perp I$
	f.	\mathcal{P}	$b-e \sim E$
	g.	$\mathcal{P} \vee \mathcal{Q}$	$f \vee I$
	h.	\perp	$g, a \perp I$
	i.	$\mathcal{P} \vee \mathcal{Q}$	$a-h \sim E$

The “work” in this routine is getting from the negation of one side of the disjunction to the other. Thus if from the assumption $\sim \mathcal{P}$ it is possible to derive \mathcal{Q} , all the rest is automatic! We have just seen an extended example (**AP**) of this pattern. It may be seen as an application of **SC3** or **SC4** (or both). Where a disjunction may be provable but not provable by $\vee I$, it *will* work by this method! So in difficult cases when the goal is a disjunction, it is wise to think about whether you can get one side from the negation of the other. If you can, set up as above. (And reconsider this method, when we get to a simplified version in the extended system *ND+*).

This example was fairly difficult! You may see some longer, but you will not see many harder. The strategies are not a cookbook for performing all derivations — doing derivations remains an art. But the strategies will give you a good start, and take you a long way through the exercises that follow. The theorems immediately below again foreshadow rules of *ND+*.

$$*T6.20. \vdash_{ND} \sim(\mathcal{A} \wedge \mathcal{B}) \leftrightarrow (\sim\mathcal{A} \vee \sim\mathcal{B})$$

$$T6.21. \vdash_{ND} \sim(\mathcal{A} \vee \mathcal{B}) \leftrightarrow (\sim\mathcal{A} \wedge \sim\mathcal{B})$$

$$T6.22. \vdash_{ND} (\sim\mathcal{A} \rightarrow \mathcal{B}) \leftrightarrow (\mathcal{A} \vee \mathcal{B})$$

$$T6.23. \vdash_{ND} (\mathcal{A} \rightarrow \mathcal{B}) \leftrightarrow (\sim\mathcal{A} \vee \mathcal{B})$$

$$T6.24. \vdash_{ND} [\mathcal{A} \wedge (\mathcal{B} \vee \mathcal{C})] \leftrightarrow [(\mathcal{A} \wedge \mathcal{B}) \vee (\mathcal{A} \wedge \mathcal{C})]$$

$$T6.25. \vdash_{ND} [\mathcal{A} \vee (\mathcal{B} \wedge \mathcal{C})] \leftrightarrow [(\mathcal{A} \vee \mathcal{B}) \wedge (\mathcal{A} \vee \mathcal{C})]$$

$$T6.26. \vdash_{ND} (\mathcal{A} \leftrightarrow \mathcal{B}) \leftrightarrow [(\mathcal{A} \rightarrow \mathcal{B}) \wedge (\mathcal{B} \rightarrow \mathcal{A})]$$

$$T6.27. \vdash_{ND} (\mathcal{A} \leftrightarrow \mathcal{B}) \leftrightarrow [(\mathcal{A} \wedge \mathcal{B}) \vee (\sim\mathcal{A} \wedge \sim\mathcal{B})]$$

E6.17. Each of the following begins with a simple application of \sim I or \sim E for **sg4** or **sg5**. Complete the derivations, and *explain* your use of strategies for a contradiction. Hint: Each of the strategies for a contradiction is used at least once.

- *a.
- | | | |
|----|--------------------|-----------------|
| 1. | $A \wedge B$ | P |
| 2. | $\sim(A \wedge C)$ | P |
| | | |
| 3. | C | A (c, \sim I) |
| | \perp | |
| | $\sim C$ | |
-
- b.
- | | | |
|----|--------------------------------------|-----------------|
| 1. | $(\sim B \vee \sim A) \rightarrow D$ | P |
| 2. | $C \wedge \sim D$ | P |
| | | |
| 3. | $\sim B$ | A (c, \sim E) |
| | \perp | |
| | B | |

c. 1. $A \wedge B$ P
 2. $\sim A \vee \sim B$ A (c, \sim I)
 \perp
 $\sim(\sim A \vee \sim B)$

d. 1. $A \leftrightarrow \sim A$ P
 2. B A (c, \sim I)
 \perp
 $\sim B$

e. 1. $\sim(A \rightarrow B)$ P
 2. $\sim A$ A (c, \sim E)
 \perp
 A

E6.18. Produce derivations to show each of the following. No worked out answers are provided. However, if you get stuck, you will find strategy hints in the back.

- *a. $A \rightarrow \sim(B \wedge C), B \rightarrow C \vdash_{ND} A \rightarrow \sim B$
- *b. $\vdash_{ND} \sim(A \rightarrow A) \rightarrow A$
- *c. $A \vee B \vdash_{ND} \sim(\sim A \wedge \sim B)$
- *d. $\sim(A \wedge B), \sim(A \wedge \sim B) \vdash_{ND} \sim A$
- *e. $\vdash_{ND} A \vee \sim A$
- *f. $\vdash_{ND} A \vee (A \rightarrow B)$
- *g. $A \vee \sim B, \sim A \vee \sim B \vdash_{ND} \sim B$
- *h. $A \leftrightarrow (\sim B \vee C), B \rightarrow C \vdash_{ND} A$
- *i. $A \leftrightarrow B \vdash_{ND} (C \leftrightarrow A) \leftrightarrow (C \leftrightarrow B)$
- *j. $A \leftrightarrow \sim(B \leftrightarrow \sim C), \sim(A \vee B) \vdash_{ND} C$
- *k. $[C \vee (A \vee B)] \wedge (C \rightarrow E), A \rightarrow D, D \rightarrow \sim A \vdash_{ND} C \vee B$

$$*l. \sim(A \rightarrow B), \sim(B \rightarrow C) \vdash_{ND} \sim D$$

$$*m. C \rightarrow \sim A, \sim(B \wedge C) \vdash_{ND} (A \vee B) \rightarrow \sim C$$

$$*n. \sim(A \leftrightarrow B) \vdash_{ND} \sim A \leftrightarrow B$$

$$*o. A \leftrightarrow B, B \leftrightarrow \sim C \vdash_{ND} \sim(A \leftrightarrow C)$$

$$*p. A \vee B, \sim B \vee C, \sim C \vdash_{ND} A$$

$$*q. (\sim A \vee C) \vee D, D \rightarrow \sim B \vdash_{ND} (A \wedge B) \rightarrow C$$

$$*r. A \vee D, \sim D \leftrightarrow (E \vee C), (C \wedge B) \vee [C \wedge (F \rightarrow C)] \vdash_{ND} A$$

$$*s. (A \vee B) \vee (C \wedge D), (A \leftrightarrow E) \wedge (B \rightarrow F), G \leftrightarrow \sim(E \vee F), C \rightarrow B \vdash_{ND} \sim G$$

$$*t. (A \vee B) \wedge \sim C, \sim C \rightarrow (D \wedge \sim A), B \rightarrow (A \vee E) \vdash_{ND} E \vee F$$

*E6.19. Produce derivations to demonstrate each of T6.20 - T6.27.

E6.20. Produce derivations to show each of the following. These are particularly challenging. If you can get them, you are doing very well! (In keeping with the spirit of the challenge, no help is provided in the back of the book.)

$$a. A \leftrightarrow (B \leftrightarrow C) \vdash_{ND} (A \leftrightarrow B) \leftrightarrow C$$

$$b. (A \vee B) \rightarrow (A \vee C) \vdash_{ND} A \vee (B \rightarrow C)$$

$$c. A \rightarrow (B \vee C) \vdash_{ND} (A \rightarrow B) \vee (A \rightarrow C)$$

$$d. (A \leftrightarrow B) \leftrightarrow (C \leftrightarrow D) \vdash_{ND} (A \leftrightarrow C) \rightarrow (B \rightarrow D)$$

$$e. \sim(A \leftrightarrow B), \sim(B \leftrightarrow C), \sim(C \leftrightarrow A) \vdash_{ND} \sim K$$

E6.21. For each of the following, produce a good translation including interpretation function. Then use a derivation to show that the argument is valid in *ND*. The first two are suggested from the history of philosophy; the last is our familiar case from p. 2.

- a. We have knowledge about numbers.
 If Platonism is true, then numbers are not in spacetime.
 Either numbers are in spacetime, or we do not interact with them.
 We have knowledge about numbers only if we interact with them.

 Platonism is not true.
- b. There is evil.
 If god is good, then there is no evil unless he has morally sufficient reasons for allowing it.
 If god is both omnipotent and omniscient, then he does not have morally sufficient reasons for allowing evil.

 God is not good, omnipotent and omniscient.
- c. If Bob goes to the fair, then so do Daniel and Edward. Albert goes to the fair only if Bob or Carol go. If Daniel goes, then Edward goes only if Fred goes. But not both Fred and Albert go. So Albert goes to the fair only if Carol goes too.
- d. If I think dogs fly, then I am insane or they have really big ears. But if dogs do not have really big ears, then I am not insane. So either I do not think dogs fly, or they have really big ears.
- e. If the maid did it, then it was done with a revolver only if it was done in the parlor. But if the butler is innocent, then the maid did it unless it was done in the parlor. The maid did it only if it was done with a revolver, while the butler is guilty if it did happen in the parlor. So the butler is guilty.

E6.22. For each of the following concepts, explain in an essay of about two pages, so that Hannah could understand. In your essay, you should (i) identify the objects to which the concept applies, (ii) give and explain the definition, and give and explicate examples of your own construction (iii) where the concept applies, and (iv) where it does not. Your essay should exhibit an understanding of methods from the text.

- a. Derivations as games, and the condition on rules.
- b. Accessibility, and auxiliary assumptions.
- c. The rules $\forall I$ and $\forall E$.

- d. The strategies for a goal.
- e. The strategies for a contradiction.

6.3 Quantificational

Our full system *ND* includes all the rules for the sentential part of *ND*, along with I- and E-rules for \forall and \exists and for equality. After some quick introductory remarks, we will take up the new rules, and say a bit about strategy.

First, we do not sacrifice any of the rules we have so far. Recall that our rules apply to formulas of quantificational languages as well as to formulas of sentential ones. Thus, for example, $Fx \rightarrow \forall x Fx$ and Fx are of the form $\mathcal{P} \rightarrow \mathcal{Q}$ and \mathcal{P} . So we might move from them to $\forall x Fx$ by $\rightarrow E$ as before. And similarly for other rules. Here is a short example.

(AR)	1. $\forall x Fx \wedge \exists x \forall y (Hx \vee Zy)$	P
	2. Kx	A (g, \rightarrow I)
	3. $\forall x Fx$	1 \wedge E
	4. $Kx \rightarrow \forall x Fx$	2-3 \rightarrow I

The goal is of the form $\mathcal{P} \rightarrow \mathcal{Q}$; so we set up to get it in the usual way. And the subderivation is particularly simple. Notice that formulas of the sort $\forall x (Kx \rightarrow Fx)$ and Kx are *not* of the form $\mathcal{P} \rightarrow \mathcal{Q}$ and \mathcal{P} . The main operator of $\forall x (Kx \rightarrow Fx)$ is $\forall x$, not \rightarrow . So $\rightarrow E$ does not apply. That is why we need new rules for the quantificational operators.

For our quantificational rules, we need a couple of notions already introduced in [chapter 3](#). Again, for any formula \mathcal{A} , variable x , and term t , say \mathcal{A}_t^x is \mathcal{A} with all the free instances of x replaced by t . And t is *free for* x in \mathcal{A} iff all the variables in the replacing instances of t remain free after substitution in \mathcal{A}_t^x . Thus, for example,

$$(AS) \quad (\forall x Rxy \vee Px)_y^x \text{ is } \forall x Rxy \vee Py$$

There are three instances of x in $\forall x Rxy \vee Px$, but only the last is free; so y is substituted only for that instance. Since the substituted y is free in the resultant expression, y is free for x in $\forall x Rxy \vee Px$. Similarly,

$$(AT) \quad [\forall x (x = y) \vee Ryx]_{f^1x}^y \text{ is } \forall x (x = f^1x) \vee Rf^1xx$$

Both instances of y in $\forall x (x = y) \vee Ryx$ are free; so our substitution replaces both. But the x in the first instance of f^1x is bound upon substitution; so f^1x is not free

for y in $\forall x(x = y) \vee Ryx$. Notice that if x is not free in \mathcal{A} , then replacing every free instance of x in \mathcal{A} with some term results in no change. So if x is not free in \mathcal{A} , then \mathcal{A}_t^x is \mathcal{A} . Similarly, \mathcal{A}_x^x is just \mathcal{A} itself. Further, any variable x is sure to be free for itself in a formula \mathcal{A} — if every *free* instance of variable x is “replaced” with x , then the replacing instances are sure to be free! And constants are sure to be free for a variable x in a formula \mathcal{A} . Since a constant c is a term without variables, no variable in the replacing term is bound upon substitution for free instances of x .

With this said, we are ready to turn to our rules. We begin with the easier ones, and work from there.

6.3.1 $\forall E$ and $\exists I$

$\forall E$ and $\exists I$ are straightforward. For the former, for any variable x , given an accessible formula $\forall x\mathcal{P}$ on line a , if term t is free for x in \mathcal{P} , one may move to \mathcal{P}_t^x with justification, $a \forall E$.

$\forall E$	a.	$\forall x\mathcal{P}$	provided t is free for x in \mathcal{P}
		\mathcal{P}_t^x a $\forall E$	

$\forall E$ removes a quantifier, and substitutes a term t for resulting free instances of x , so long as t is free in the resulting formula. Observe that t is *always* free if it is a constant, or a variable that does not appear at all in \mathcal{P} . We sometimes say that variable x is *instantiated* by term t . Thus, for example, $\forall x\exists yLxy$ is of the form $\forall x\mathcal{P}$, where \mathcal{P} is $\exists yLxy$. So by $\forall E$ we can move from $\forall x\exists yLxy$ to $\exists yLay$, removing the quantifier and substituting a for x . And similarly, since the complex terms f^1a and g^2zb are free for x in $\exists yLxy$, $\forall E$ legitimates moving from $\forall x\forall yLxy$ to $\exists yLf^1ay$ or $\exists yLg^2zby$. What we cannot do is move from $\forall x\exists yLxy$ to $\exists yLyy$ or $\exists yLf^1yy$. These violate the constraint insofar as a variable of the substituted term is bound by a quantifier in the resulting formula.

Intuitively, the motivation for this rule is clear: If \mathcal{P} is satisfied for *every* assignment to variable x , then it is sure to be satisfied for the thing assigned to t , whatever that thing may be. Thus, for example, if everyone loves someone, $\forall x\exists yLxy$, it is sure to be the case that Al, and Al’s father love someone — that $\exists yLay$ and $\exists yLf^1ay$. But from everyone loves someone, it does not follow that anyone loves themselves, that $\exists yLyy$, or that anyone is loved by their father $\exists yLf^1yy$. Though we know Al and Al’s father loves someone, we do not know who that someone might be. We therefore require that the replacing term be independent of quantifiers in the rest of the formula.

Here are some examples. Notice that we continue to apply bottom-up goal-oriented thinking.

	1.	$\forall x \forall y Hxy$	P
	2.	$Hcf^2ab \rightarrow \forall z Kz$	P
	<hr/>		
(AU)	3.	$\forall y Hcy$	1 $\forall E$
	4.	Hcf^2ab	3 $\forall E$
	5.	$\forall z Kz$	2,4 $\rightarrow E$
	6.	Kb	5 $\forall E$

Our original goal is Kb . We could get this by $\forall E$ if we had $\forall z Kz$. So we set that as a subgoal. This leads to Hcf^2ab as another subgoal. And we get this from (1) by two applications of $\forall E$. The constant c is free for x in $\forall y Hxy$ so we move from $\forall x \forall y Hxy$ to $\forall y Hcy$ by $\forall E$. And the complex term f^2ab is free for y in Hcy , so we move from $\forall y Hcy$ to Hcf^2ab by $\forall E$. And similarly, we get Kb from $\forall z Kz$ by $\forall E$.

Here is another example, also illustrating strategic thinking.

	1.	$\forall x Bx$	P
	2.	$\forall x (Cx \rightarrow \sim Bx)$	P
	<hr/>		
	3.	Ca	A ($c, \sim I$)
(AV)	4.	$Ca \rightarrow \sim Ba$	2 $\forall E$
	5.	$\sim Ba$	4,3 $\rightarrow E$
	6.	Ba	1 $\forall E$
	7.	\perp	6,5 $\perp I$
	8.	$\sim Ca$	3-7 $\sim I$

Our original goal is $\sim Ca$; so we set up to get it by $\sim I$. And our contradiction appears at the level of atomics and negated atomics. The constant a is free for x in $Cx \rightarrow \sim Bx$. So we move from $\forall x (Cx \rightarrow \sim Bx)$ to $Ca \rightarrow \sim Ba$ by $\forall E$. And similarly, we move from $\forall x Bx$ to Ba by $\forall E$. Notice that we could use $\forall E$ to instantiate the universal quantifiers to *any* terms. We pick the constant a because it does us some good in the context of our assumption Ca — itself driven by the goal, $\sim Ca$. And it is typical to “swoop” in with universal quantifiers to put variables on terms that matter in a given context.

$\exists I$ is equally straightforward. For variable x , given an accessible formula \mathcal{P}_t^x on line a , where term t is free for x in formula \mathcal{P} , one may move to $\exists x \mathcal{P}$, with justification, $a \exists I$.

$\exists I$	a.	\mathcal{P}_t^x	
		$\exists x \mathcal{P}$	$a \exists I$ provided t is free for x in \mathcal{P}

The statement of this rule is somewhat in reverse from the way one expects it to be: Supposing that t is free for x in \mathcal{P} , when one removes the quantifier from the *result* and replaces every free instance of x with t one ends up with the *start*. A consequence is that one starting formula might legitimately lead to different results by $\exists\text{I}$. Thus if \mathcal{P} is any of Fxx , Fxa , or Fax , then \mathcal{P}_a^x is Faa . So $\exists\text{I}$ allows a move from Faa to any of $\exists xFxx$, $\exists xFax$ or $\exists xFxa$. In doing a derivation, there is a sense in which we replace one or more instances of a in Faa with x , and add the quantifier to get the result. But then notice that not every instance of the term need be replaced. Officially the rule is stated the other way: Removing the quantifier from the result, and replacing free instances of the variable, yields the initial formula. Be clear about this in your mind. The requirement that t be free for x in \mathcal{P} prevents moving from $\forall yLyy$ or $\forall yLf^1yy$ to $\exists x\forall yLxy$. The term from which we generalize must be free in the sense that it has no bound variable!

Again, the motivation for this rule is clear. If \mathcal{P} is satisfied for the individual assigned to t , it is sure to be satisfied for *some* individual. Thus, for example, if Al or Al's father love everyone, $\forall yLay$ or $\forall yLf^1ay$, it is sure to be the case that someone loves everyone $\exists x\forall yLxy$. But from the premise that everyone loves themselves $\forall yLyy$, or that everyone is loved by their father $\forall yLf^1yy$ it does not follow that someone loves everyone. Again, the constraint on the rule requires that the term on which we generalize be independent of quantifiers in the rest of the formula.

Here are a couple of examples. The first is relatively simple. The second illustrates the “duality” between $\forall\text{E}$ and $\exists\text{I}$.

(AW)	1.	Ha	P
	2.	$\exists yHy \rightarrow \forall xJx$	P
	3.	$\exists yHy$	1 $\exists\text{I}$
	4.	$\forall xJx$	2,3 $\rightarrow\text{E}$
	5.	Ja	4 $\forall\text{E}$
	6.	$Ha \wedge Ja$	1,5 $\wedge\text{I}$
	7.	$\exists x(Hx \wedge Jx)$	6 $\exists\text{I}$

$Ha \wedge Ja$ is $(Hx \wedge Jx)_a^x$ so we can get $\exists x(Hx \wedge Jx)$ from $Ha \wedge Ja$ by $\exists\text{I}$. Ha is already a premise, so we set Ja as a subgoal. Ja comes by $\forall\text{E}$ from $\forall xJx$, and to get this we set $\exists yHy$ as another subgoal. And $\exists yHy$ follows directly by $\exists\text{I}$ from Ha . Observe that, for now, the natural way to produce a formula with main operator \exists is by $\exists\text{I}$. You should fold this into your strategic thinking.

For the second example recall, from translations, that $\sim\forall x\sim\mathcal{P}$ is equivalent to $\exists x\mathcal{P}$, and $\sim\exists x\sim\mathcal{P}$ is equivalent to $\forall x\mathcal{P}$. Given this, it turns out that we can use the universal rule with an effect something like $\exists\text{I}$, and the existential rule with an effect like $\forall\text{E}$. The following pair of derivations illustrate this point.

(AX)	1.	$\left \begin{array}{l} Pa \\ \hline \end{array} \right.$	P	(AY)	1.	$\left \begin{array}{l} \sim \exists x \sim Px \\ \hline \end{array} \right.$	P
	2.	$\left \begin{array}{l} \forall x \sim Px \\ \hline \end{array} \right.$	A (c, \sim I)		2.	$\left \begin{array}{l} \sim Pa \\ \hline \end{array} \right.$	A (c, \sim E)
	3.	$\left \begin{array}{l} \sim Pa \\ \hline \end{array} \right.$	2 \forall E		3.	$\left \begin{array}{l} \exists x \sim Px \\ \hline \end{array} \right.$	2 \exists I
	4.	$\left \begin{array}{l} \perp \\ \hline \end{array} \right.$	1,3 \perp I		4.	$\left \begin{array}{l} \perp \\ \hline \end{array} \right.$	3,1 \perp I
	5.	$\sim \forall x \sim Px$	2-4 \sim I		5.	Pa	2-4 \sim E

By \exists I we could move from Pa to $\exists x Px$ in one step. In (AX) we use the universal rule to move from the same premise to the equivalent $\sim \forall x \sim Px$. Indeed, $\exists x Px$ abbreviates this very expression. Similarly, by \forall E we could move from $\forall x Px$ to Pa in one step. In (AY), we move to the same result by the existential rule from the equivalent $\sim \exists x \sim Px$. Thus there is a sense in which, in the presence of rules for negation, the work done by one of these quantifier rules is very similar to, or can substitute for, the work done by the other.

E6.23. Complete the following derivations by filling in justifications for each line. Then for each application of \forall E or \exists I, show that the “free for” constraint is met. Hint: it may be convenient to xerox the problems, and fill in your answers directly on the copy.

- a. $\left| \begin{array}{l} 1. \forall x (Ax \rightarrow Bx f^1 x) \\ 2. \forall x Ax \\ 3. Af^1 c \\ 4. Af^1 c \rightarrow Bf^1 c f^1 f^1 c \\ 5. Bf^1 c f^1 f^1 c \end{array} \right.$

- *b. $\left| \begin{array}{l} 1. Gaa \\ 2. \exists y Gay \\ 3. \exists x \exists y Gxy \end{array} \right.$

- c. $\left| \begin{array}{l} 1. \forall x (Rx \wedge Jx) \\ 2. Rk \wedge Jk \\ 3. Rk \\ 4. Jk \\ 5. Jk \wedge Rk \\ 6. \exists y (Jy \wedge Ry) \end{array} \right.$

- d.
$$\begin{array}{l|l} 1. & \exists x(Rx \wedge Gx) \rightarrow \forall yFy \\ 2. & \forall zGz \\ 3. & Ra \\ \hline 4. & Ga \\ 5. & Ra \wedge Ga \\ 6. & \exists x(Rx \wedge Gx) \\ 7. & \forall yFy \\ 8. & Fg^2ax \end{array}$$
- e.
$$\begin{array}{l|l} 1. & \sim \exists zFg^1z \\ \hline 2. & \forall xFx \\ \hline 3. & Fg^1k \\ 4. & \exists zFg^1z \\ 5. & \perp \\ 6. & \sim \forall xFx \end{array}$$

E6.24. The following are not legitimate *ND* derivations. In each case, explain why.

- a.
$$\begin{array}{l|l} 1. & \forall xFx \leftrightarrow Gx \quad P \\ 2. & Fj \leftrightarrow Gj \quad 1 \text{ } \forall E \end{array}$$
- *b.
$$\begin{array}{l|l} 1. & \forall x\exists yGxy \quad P \\ 2. & \exists yGyy \quad 1 \text{ } \forall E \end{array}$$
- c.
$$\begin{array}{l|l} 1. & \forall y(Fay \rightarrow Gy) \quad P \\ 2. & Fay \rightarrow Gf^1b \quad 1 \text{ } \forall E \end{array}$$
- d.
$$\begin{array}{l|l} 1. & \forall yGf^2xyy \quad P \\ 2. & \exists x\forall yGxy \quad 1 \text{ } \exists I \end{array}$$
- e.
$$\begin{array}{l|l} 1. & Gj \quad P \\ 2. & \exists xGf^1x \quad 1 \text{ } \exists I \end{array}$$

E6.25. Provide derivations to show each of the following.

- a. $\forall xFx \vdash_{ND} Fa \wedge Fb$
- *b. $\forall x\forall yFxy \vdash_{ND} Fab \wedge Fba$

- c. $\forall x(Gf^1x \rightarrow \forall yAyx), Gf^1b \vdash_{ND} Af^1cb$
- d. $\forall x\forall y(Hxy \rightarrow Dyx), \sim Dab \vdash_{ND} \sim Hba$
- e. $\vdash_{ND} [\forall x\forall yFxy \wedge \forall x(Fxx \rightarrow A)] \rightarrow A$
- f. $Fa, Ga \vdash_{ND} \exists x(Fx \wedge Gx)$
- *g. $Gaf^1z \vdash_{ND} \exists x\exists yGxy$
- h. $\vdash_{ND} (Fa \vee Fb) \rightarrow \exists xFx$
- i. $Gaa \vdash_{ND} \exists x\exists y(Kxx \rightarrow Gxy)$
- j. $\forall xFx, Ga \vdash_{ND} \exists y(Fy \wedge Gy)$
- *k. $\forall x(Fx \rightarrow Gx), \exists yGy \rightarrow Ka \vdash_{ND} Fa \rightarrow \exists xKx$
- l. $\forall x\forall yHxy \vdash_{ND} \exists y\exists xHyx$
- m. $\forall x(\sim Bx \rightarrow Kx), \sim Kf^1x \vdash_{ND} Bf^1x$
- n. $\forall x\forall y(Fxy \rightarrow \sim Fyx) \vdash_{ND} \exists z\sim Fzz$
- o. $\forall x(Fx \rightarrow Gx), Fa \vdash_{ND} \exists x(\sim Gx \rightarrow Hx)$

6.3.2 $\forall I$ and $\exists E$

In parallel with $\forall E$ and $\exists I$, rules for $\forall I$ and $\exists E$ are a linked pair. $\forall I$ is as follows: For variables v and x , given an accessible formula \mathcal{P}_v^x at line a , where v is free for x in \mathcal{P} , v is not free in any undischarged assumption, and v is not free in $\forall x\mathcal{P}$, one may move to $\forall x\mathcal{P}$ with justification $a \forall I$.

$\forall I$	a.	\mathcal{P}_v^x	provided (i) v is free for x in \mathcal{P} , (ii) v is not free in any undischarged auxiliary assumption, and (iii) v is not free in $\forall x\mathcal{P}$
		$\forall x\mathcal{P} \quad a \forall I$	

The form of this rule is like a constrained $\exists I$ when t is a variable: from \mathcal{P}_v^x we move to the quantified expression $\forall x\mathcal{P}$. The underlying difference is in the special constraints. First, the combination of (i) and (iii) require that v and x appear free in just the same places. If v is free for x in \mathcal{P} , then v is free in \mathcal{P}_v^x everywhere x is free in \mathcal{P} ; if v is not free in $\forall x\mathcal{P}$, then v is free in \mathcal{P}_v^x only where x is free in \mathcal{P} . So you get back-and-forth between \mathcal{P} and \mathcal{P}_v^x by replacing every free x with v or every free v with x . This two-way requirement is not present for $\exists I$.

In addition, v cannot be free in an auxiliary assumption still in effect when $\forall I$ is applied. Recall that a formula is true when it is satisfied on any variable assignment. As it turns out (and we shall see in detail in [Part II](#)), the truth of a formula with a free variable therefore implies the truth of its universal quantification. But this is not so under the scope of an assumption in which the variable is free. Under the scope of an assumption with a free variable, we effectively *constrain* the range of assignments under consideration to ones where the assumption is satisfied. Thus under any such assumption, the move to a universal quantification is not justified. For the universal quantification to be justified, the formula must be satisfied for *any* assignment to v , and when v is free in an undischarged assumption we do not have that guarantee. Only when assignments to v are arbitrary, when reasoning with respect to v might apply to any individual, is the move from \mathcal{P}_v^x to $\forall x\mathcal{P}$ justified. Again, observe that no such constraint is required for $\exists I$, which depends on satisfaction for just a single individual, so that any assignment and term will do.

Once you get your mind around them, these constraints are not difficult. Somehow, though, managing them is a common source of frustration for beginning students. However, there is a simple way to be sure that the constraints are met. Suppose you have been following the strategies, along the lines from before, and come to a goal of the sort, $\forall x\mathcal{P}$. It is natural to expect to get this by $\forall I$ from \mathcal{P}_v^x . You will be sure to satisfy the constraints, if you set \mathcal{P}_v^x as a subgoal, *where v does not appear elsewhere in the derivation*. If v does not otherwise appear in the derivation, (i) there cannot be any v quantifier in \mathcal{P} , so v is sure to be free for x in \mathcal{P} . If v does not otherwise appear in the derivation, (ii) v cannot appear in any assumption, and so be free in an undischarged assumption. And if v does not otherwise appear in the derivation, (iii) it cannot appear at all in $\forall x\mathcal{P}$, and so cannot be free in $\forall x\mathcal{P}$. It is not always *necessary* to use a new variable in order to satisfy the constraints, and sometimes it is possible to simplify derivations by clever variable selection. However, we shall make it our standard procedure to do so.

Here are some examples. The first is very simple, but illustrates the basic idea underlying the rule.

(AZ)	1.	$\forall x(Hx \wedge Mx)$	P		1.	$\forall x(Hx \wedge Mx)$	P
		Hj			2.	$Hj \wedge Mj$	1 $\forall E$
		$\forall yHy$			3.	Hj	2 $\wedge E$
			$_ \forall I$		4.	$\forall yHy$	3 $\forall I$

The goal is $\forall yHy$. So, picking a variable new to the derivation, we set up to get this by $\forall I$ from Hj . This goal is easy to obtain from the premise by $\forall E$ and $\wedge E$. If every x is such that both Hx and Mx , it is not surprising that every y is such that Hy .

The general content from the quantifier is converted to the form with free variables, manipulated by ordinary rules, and converted back to quantified form. This is typical.

Another example has free variables in an auxiliary assumption.

(BA)	1.	$\forall x(Ex \rightarrow Sx)$	P	1.	$\forall x(Ex \rightarrow Sx)$	P
	2.	$\forall z(Sz \rightarrow Kz)$	P	2.	$\forall z(Sz \rightarrow Kz)$	P
				3.	Ej	A ($g, \rightarrow I$)
				4.	$Ej \rightarrow Sj$	1 $\forall E$
				5.	Sj	4,3 $\rightarrow E$
				6.	$Sj \rightarrow Kj$	2 $\forall E$
				7.	Kj	6,5 $\rightarrow E$
		$Ej \rightarrow Kj$		8.	$Ej \rightarrow Kj$	3-7 $\rightarrow I$
		$\forall x(Ex \rightarrow Kx)$	— $\forall I$	9.	$\forall x(Ex \rightarrow Kx)$	8 $\forall I$

Given the goal $\forall x(Ex \rightarrow Kx)$, we immediately set up to get it by $\forall I$ from $Ej \rightarrow Kj$. At that stage, j does not appear elsewhere in the derivation, and we can therefore be sure that the constraints will be met when it comes time to apply $\forall I$. The derivation is completed by the usual strategies. Observe that j appears in an auxiliary assumption at (3). This is no problem insofar as the assumption is discharged by the time $\forall I$ is applied. We would not, however, be able to conclude, say, $\forall xSx$ or $\forall xKx$ inside the subderivation, since at that stage, the variable j is free in the undischarged assumption. But, of course, given the strategies, there should be no temptation whatsoever to do so! For when we set up for $\forall I$, we set up to do it in a way that is sure to satisfy the constraints.

A last example introduces multiple quantifiers and, again, emphasizes the importance of following the strategies. Insofar as the conclusion merely exchanges variables with the premise, it is no surprise that there is a way for it to be done.

(BB)	1.	$\forall x(Gx \rightarrow \forall yFyx)$	P	1.	$\forall x(Gx \rightarrow \forall yFyx)$	P
				2.	Gj	A ($g, \rightarrow I$)
					Fkj	
		$Gj \rightarrow \forall xFxy$			$\forall xFxy$	— $\forall I$
		$\forall y(Gy \rightarrow \forall xFxy)$	— $\forall I$		$Gj \rightarrow \forall xFxy$	2-— $\rightarrow I$
					$\forall y(Gy \rightarrow \forall xFxy)$	— $\forall I$

First, we set up to get $\forall y(Gy \rightarrow \forall xFxy)$ from $Gj \rightarrow \forall xFxy$. The variable j does not appear in the derivation, so we expect that the constraints on $\forall I$ will be satisfied. But our new goal is a conditional, so we set up to go for it by $\rightarrow I$ in the usual way. This leads to $\forall xFxy$ as a goal, and we set up to get it from Fkj , where

k does not otherwise appear in the derivation. Observe that we have at this stage an undischarged assumption in which j appears. However, our plan is to generalize on k . Since k is new at this stage, we are fine. Of course, this assumes that we are following the strategies so that our new variable automatically avoids variables free in assumptions under which this instance of $\forall I$ falls. This goal is easily obtained and the derivation completed as follows.

1.	$\forall x(Gx \rightarrow \forall yFyx)$	P
2.	Gj	A ($g, \rightarrow I$)
3.	$Gj \rightarrow \forall yFyj$	1 $\forall E$
4.	$\forall yFyj$	3,2 $\rightarrow E$
5.	Fkj	4 $\forall E$
6.	$\forall xFxy$	5 $\forall I$
7.	$Gj \rightarrow \forall xFxy$	2-6 $\rightarrow I$
8.	$\forall y(Gy \rightarrow \forall xFxy)$	7 $\forall I$

When we apply $\forall I$ the first time, we replace each instance of k with x and add the x quantifier. When we apply $\forall I$ the second time, we replace each instance of j with y and add the y quantifier. This is just how we planned for the rules to work.

$\exists E$ appeals to both a formula and a subderivation. For variables v and x , given an accessible formula $\exists x\mathcal{P}$ at a , and an accessible subderivation beginning with \mathcal{P}_v^x at b and ending with \mathcal{Q} against its scope line at c — where v is free for x in \mathcal{P} , v is free in no undischarged assumption, v is not free in $\exists x\mathcal{P}$ or in \mathcal{Q} , one may move to \mathcal{Q} , with justification $a, b-c \exists E$.

$\exists E$	a.	$\exists x\mathcal{P}$	
	b.	\mathcal{P}_v^x	A ($g, a\exists E$)
	c.	\mathcal{Q}	provided (i) v is free for x in \mathcal{P} , (ii) v is not free in any undischarged auxiliary assumption, and (iii) v is not free in $\exists x\mathcal{P}$ or in \mathcal{Q}
		\mathcal{Q}	a, b-c $\exists E$

Notice that the assumption comes with an exit strategy as usual. We can think of this rule on analogy with $\forall E$. A universally quantified expression is something like a big conjunction: if $\forall x\mathcal{P}$, then this element of U is \mathcal{P} and that element of U is \mathcal{P} and \dots . And an existentially quantified expression is something like a big disjunction: if $\exists x\mathcal{P}$, then this element of U is \mathcal{P} or that element of U is \mathcal{P} or \dots . What we need to show is that no matter which thing happens to be the one that is \mathcal{P} , we get the result that \mathcal{Q} . Given this, we are in a position to conclude that \mathcal{Q} . As for the case of $\forall I$, then, the constraints guarantee that our reasoning applies to any individual.

Again, if you are following the strategies, a simple way to guarantee that the constraints are met is to use a variable new to the derivation for the assumption. Suppose

you are going for goal \mathcal{Q} . In parallel with \vee , when presented with an accessible formula with main operator \exists , it is wise to go for the entire goal by $\exists\text{E}$.

(BC)	a.	$\exists x \mathcal{P}$	
		\mathcal{Q}	(goal)
	a.	$\exists x \mathcal{P}$	
	b.	\mathcal{P}_v^x	$A(g, a\exists\text{E})$
		\mathcal{Q}	(goal)
	c.	\mathcal{Q}	$a, b-c \exists\text{E}$

If v does not otherwise appear in the derivation, then (i) there is no v quantifier in \mathcal{P} and v is sure to be free for x in \mathcal{P} . If v does not otherwise appear in the derivation (ii) v does not appear in any other assumption and so is not free in any undischarged auxiliary assumption. And if v does not otherwise appear in the derivation (iii) v does not appear in either $\exists x \mathcal{P}$ or in \mathcal{Q} and so is not free in $\exists x \mathcal{P}$ or in \mathcal{Q} . Thus we adopt the same simple expedient to guarantee that the constraints are met. Of course, this presupposes we are following the strategies enough so that other assumptions are in place when we make the assumption for $\exists\text{E}$, and that we are clear about the exit strategy, so that we know what \mathcal{Q} will be! The variable is new relative to this much setup.

Here are some examples. The first is particularly simple, and should seem intuitively right. Notice again, that given an accessible formula with main operator \exists , we go directly for the goal by $\exists\text{E}$.

(BD)	1.	$\exists x(Fx \wedge Gx)$	P
	2.	$Fj \wedge Gj$	$A(g, 1\exists\text{E})$
		$\exists x Fx$	
		$\exists x Fx$	$1, _ \exists\text{E}$
	1.	$\exists x(Fx \wedge Gx)$	P
	2.	$Fj \wedge Gj$	$A(g, 1\exists\text{E})$
	3.	Fj	$2 \wedge\text{E}$
	4.	$\exists x Fx$	$3 \exists\text{I}$
	5.	$\exists x Fx$	$1, 2-4 \exists\text{E}$

Given an accessible formula with main operator \exists , we go for the goal by $\exists\text{E}$. This gives us a subderivation with the same goal, and our assumption with the new variable. As it turns out, this goal is easy to obtain, with instances of $\wedge\text{E}$ and $\exists\text{I}$. We could not do $\forall\text{I}$ to introduce $\forall x Fx$ under the scope of the assumption with j free. But $\exists\text{I}$ is *not* so constrained. So we complete the derivation as above. If some x is such that both Fx and Gx then of course some x is such that Fx . Again, we are able to take the quantifier off, manipulate the expressions with free variables, and put the quantifier back on.

Observe that the following is a mistake. It violates the third constraint that v the variable to which we instantiate the existential, is not free in \mathcal{Q} the formula that results from $\exists\text{E}$.

(BE)	1.	$\exists x(Fx \wedge Gx)$	P
	2.	$Fj \wedge Gj$	A (g, $\exists E$)
	3.	Fj	$2 \wedge E$
	4.	Fj	1,2-3 $\exists E$ Mistake!
	5.	$\exists xFx$	4 $\exists I$

If you are following the strategies, there should be no temptation to do this. In the above example (BD), we go for the goal $\exists xFx$ by $\exists E$. At that stage, the variable of the assumption j is new to the derivation and so does not appear in the goal. So all is well. This case (BE) does not introduce a variable that is new relative to the goal of the subderivation, and so runs into trouble.

Very often, a goal from $\exists E$ is existentially quantified — for introducing an existential quantifier is one way of eliminating the variable from the assumption so that it is not free in the goal. In fact, we do not have to think much about this, insofar as we explicitly introduce the assumption by a variable not in the goal. However, it is not always the case that the goal for $\exists E$ is existentially quantified. Here is a simple case of that sort.

(BF)	1.	$\exists xFx$	P	1.	$\exists xFx$	P
	2.	$\forall z(\exists yFy \rightarrow Gz)$	P	2.	$\forall z(\exists yFy \rightarrow Gz)$	P
	3.	Fj	A (g, $\exists E$)	3.	Fj	A (g, $\exists E$)
				4.	$\exists yFy \rightarrow Gk$	2 $\forall E$
				5.	$\exists yFy$	3 $\exists I$
				6.	Gk	4,5 $\rightarrow E$
		$\forall xGx$		7.	$\forall xGx$	6 $\forall I$
		$\forall xGx$	1, $_ \exists E$	8.	$\forall xGx$	1,3-7 $\exists E$

Again, given an existential premise, we set up to reach the goal by $\exists E$, where the variable in the assumption is new. In this case, the goal is universally quantified, and illustrates the point that any formula may be the goal for $\exists E$. In this case, we reach the goal in the usual way. To reach $\forall xGx$ set Gk as goal; at this stage, k is new to the derivation, and so not free in any undischarged assumption. So there is no problem about $\forall I$. Then it is a simple matter of exploiting accessible lines for the result.

Here is an example with multiple quantifiers. It is another case which makes sense insofar as the premise and conclusion merely exchange variables.

(BG)	1.	$\exists x(Fx \wedge \exists yGxy)$	P
	2.	$Fj \wedge \exists yGjy$	A (g, 1 \exists E)
		$\exists y(Fy \wedge \exists xGyx)$	
		$\exists y(Fy \wedge \exists xGyx)$	1, 2- \exists E
	1.	$\exists x(Fx \wedge \exists yGxy)$	P
	2.	$Fj \wedge \exists yGjy$	A (g, 1 \exists E)
	3.	$\exists yGjy$	2 \wedge E
	4.	Gjk	A (g, 3 \exists E)
		$\exists y(Fy \wedge \exists xGyx)$	
		$\exists y(Fy \wedge \exists xGyx)$	3, 4- \exists E
		$\exists y(Fy \wedge \exists xGyx)$	1, 2- \exists E

The premise is an existential, so we go for the goal by \exists E. This gives us the first subderivation, with the same goal, and new variable j substituted for x . But just a bit of simplification gives us another existential on line (3). Thus, following the standard strategies, we set up to go for the goal again by \exists E. At this stage, j is no longer new, so we set up another subderivation with new variable k substituted for y . Now the derivation is reasonably straightforward.

1.	$\exists x(Fx \wedge \exists yGxy)$	P
2.	$Fj \wedge \exists yGjy$	A (g, 1 \exists E)
3.	$\exists yGjy$	2 \wedge E
4.	Gjk	A (g, 3 \exists E)
5.	$\exists xGjx$	4 \exists I
6.	Fj	2 \wedge E
7.	$Fj \wedge \exists xGjx$	6,5 \wedge I
8.	$\exists y(Fy \wedge \exists xGyx)$	7 \exists I
9.	$\exists y(Fy \wedge \exists xGyx)$	3, 4-8 \exists E
10.	$\exists y(Fy \wedge \exists xGyx)$	1, 2-9 \exists E

\exists I applies in the scope of the subderivations. And we put Fj and $\exists xGjx$ together so that the outer quantifier goes on properly, with y in the right slots.

Finally, observe that \forall I and \exists I also constitute a dual to one another. The derivations to show this are relatively difficult. But to not worry about that. It is enough to understand the steps. For the parallel to \forall I, suppose the constraints are met for a derivation of $\forall xPx$ from Pj . And for the parallel to \exists E, suppose it is possible to derive Q by \exists E from $\exists xPx$; so from application of that rule, in a subderivation, we can get Q from Pj .

(BH)	$ \begin{array}{lcl} 1. & & Pj \quad P \\ 2. & & \exists x \sim Px \quad A(c, \sim I) \\ 3. & & \sim Pj \quad A(c, 2\exists E) \\ 4. & & \perp \quad 1,3 \perp I \\ 5. & & \perp \quad 2,3-4 \exists E \\ 6. & & \sim \exists x \sim Px \quad 2-5 \sim I \end{array} $	
(BI)	$ \begin{array}{lcl} 1. & & \sim \forall x \sim Px \quad P \\ 2. & & \sim Q \quad A(c, \sim E) \\ 3. & & Pj \quad A(c, \sim I) \\ & & \vdots \\ 4. & & Q \quad (\text{somehow}) \\ 5. & & \perp \quad 4,2 \perp I \\ 6. & & \sim Pj \quad 3-5 \sim I \\ 7. & & \forall x \sim Px \quad 6 \forall I \\ 8. & & \perp \quad 7,1 \perp I \\ 9. & & Q \quad 2-8 \sim E \end{array} $	

Where Pj is a premise, it would be possible to derive $\forall x Px$ in one step by $\forall I$. But in (BH) from the same start, we derive the equivalent $\sim \exists x \sim Px$ by the existential rule. Since conditions for the universal rule apply, j is not free in an undischarged assumption, is free for x in $\sim Px$ and is not free in $\exists x \sim Px$. In this case, it matters that \perp abbreviates $Z \wedge \sim Z$ and so includes no instance of j . So the constraints are satisfied. Similarly, if it is possible to derive Q by $\exists E$ from $\exists x Px$, we would set up a subderivation starting with Pj , derive Q and use $\exists E$ to exit with the Q . In (BI) we begin with the equivalent $\sim \forall x \sim Px$ and, supposing it is possible in a subderivation to derive Q from Pj , use the universal rule to derive Q . Since conditions for the existential rule apply, j is free for x in $\sim Px$ and not free in $\forall x \sim Px$. Observe also that the assumption Pj is discharged by the time $\forall I$ is applied, and that the constraint on $\exists E$ requires that j is not free in Q or other undischarged assumptions. Thus, again, there is a sense in which in the presence of rules for negation, the work done by one of these quantifier rules is very similar to, or can substitute for, the work done by the other.

E6.26. Complete the following derivations by filling in justifications for each line.

Then for each application of $\forall I$ or $\exists E$ show that the constraints are met by running through each of the three requirements. Hint: it may be convenient to xerox the problems, and fill in your answers directly on the copy.

- a.
$$\begin{array}{lcl}
 1. & | & \forall x (Hx \rightarrow Rx) \\
 2. & | & \forall y Hy \\
 3. & | & Hj \rightarrow Rj \\
 4. & | & Hj \\
 5. & | & Rj \\
 6. & | & \forall z Rz
 \end{array}$$

- *b. $\begin{array}{l|l} 1. & \forall y(Fy \rightarrow Gy) \\ 2. & \exists zFz \\ \hline 3. & Fj \\ \hline 4. & Fj \rightarrow Gj \\ 5. & Gj \\ 6. & \exists xGx \\ 7. & \exists xGx \end{array}$
- c. $\begin{array}{l|l} 1. & \exists x\forall y\forall zHxyz \\ \hline 2. & \forall y\forall zHjyz \\ \hline 3. & \forall zHjf^1kz \\ 4. & Hjf^1kf^1k \\ 5. & \exists xHxf^1kf^1k \\ 6. & \forall y\exists xHxf^1yf^1y \\ 7. & \forall y\exists xHxf^1yf^1y \end{array}$
- d. $\begin{array}{l|l} 1. & \forall y\forall x(Fx \rightarrow By) \\ \hline 2. & \exists xFx \\ \hline 3. & Fj \\ \hline 4. & \forall x(Fx \rightarrow Bk) \\ 5. & Fj \rightarrow Bk \\ 6. & Bk \\ 7. & Bk \\ 8. & \exists xFx \rightarrow Bk \\ 9. & \forall y(\exists xFx \rightarrow By) \end{array}$
- e. $\begin{array}{l|l} 1. & \exists x(Fx \rightarrow \forall yGy) \\ \hline 2. & Fj \rightarrow \forall yGy \\ \hline 3. & Fj \\ \hline 4. & \forall yGy \\ 5. & Gk \\ 6. & Fj \rightarrow Gk \\ 7. & \forall y(Fj \rightarrow Gy) \\ 8. & \exists x\forall y(Fx \rightarrow Gy) \\ 9. & \exists x\forall y(Fx \rightarrow Gy) \end{array}$

E6.27. The following are not legitimate *ND* derivations. In each case, explain why.

- *a. 1. $Gjy \rightarrow Fjy$ P
 2. $\forall z(Gzy \rightarrow Fjy)$ 1 $\forall I$
- b. 1. $\exists x\forall yByx$ P
 2. $\forall yByy$ A (g, 1 $\exists E$)
 3. Baa 2 $\forall E$
 4. Baa 1,2-3 $\exists E$
- c. 1. $\exists xByx$ P
 2. Byy A (g, 1 $\exists E$)
 3. $\exists yByy$ 2 $\exists I$
 4. $\exists yByy$ 1,2-3 $\exists E$
- d. 1. $\forall x\exists yLxy$ P
 2. $\exists yLjy$ 1 $\forall E$
 3. Ljk A (g, 2 $\exists E$)
 4. $\forall xLxk$ 3 $\forall I$
 5. $\exists y\forall xLxy$ 4 $\exists I$
 6. $\exists y\forall xLxy$ 2,3-5 $\exists E$
- e. 1. $\forall x(Hx \rightarrow Gx)$ P
 2. $\exists xHx$ P
 3. Hj A (g, 2 $\exists E$)
 4. $Hj \rightarrow Gj$ 1 $\forall E$
 5. Gj 4,3 $\rightarrow E$
 6. Gj 2,3-5 $\exists E$
 7. $\forall xGx$ 6 $\forall I$

E6.28. Provide derivations to show each of the following.

- a. $\forall xKxx \vdash_{ND} \forall zKzz$
- b. $\exists xKxx \vdash_{ND} \exists zKzz$
- *c. $\forall x\sim Kx, \forall x(\sim Kx \rightarrow \sim Sx) \vdash_{ND} \forall x(Hx \vee \sim Sx)$
- d. $\vdash_{ND} \forall xHf^1x \rightarrow \forall xHf^1g^1x$
- e. $\forall x\forall y(Gy \rightarrow Fx) \vdash_{ND} \forall x(\forall yGy \rightarrow Fx)$

- *f. $\exists y B y y y \vdash_{ND} \exists x \exists y \exists z B x y z$
- g. $\forall x [(Hx \wedge \sim Kx) \rightarrow Ix], \exists y (Hy \wedge Gy), \forall x (Gx \wedge \sim Kx) \vdash_{ND} \exists y (Iy \wedge Gy)$
- h. $\forall x (Ax \rightarrow Bx) \vdash_{ND} \exists z Az \rightarrow \exists z Bz$
- i. $\exists x \sim (Cx \vee \sim Rx) \vdash_{ND} \exists x \sim Cx$
- j. $\exists x (Nx \vee Lxx), \forall x \sim Nx \vdash_{ND} \exists y Ly y$
- k. $\forall x \forall y (Fx \rightarrow Gy) \vdash_{ND} \forall x (Fx \rightarrow \forall y Gy)$
- l. $\forall x (Fx \rightarrow \forall y Gy) \vdash_{ND} \forall x \forall y (Fx \rightarrow Gy)$
- m. $\exists x (Mx \wedge \sim Kx), \exists y (\sim Oy \wedge Wy) \vdash_{ND} \exists x \exists y (\sim Kx \wedge \sim Oy)$
- n. $\forall x (Fx \rightarrow \exists y Gxy) \vdash_{ND} \forall x [Fx \rightarrow \exists y (Gxy \vee \sim Hxy)]$
- o. $\forall x \exists y Rxy, \forall x \forall y (Rxy \rightarrow Ryx) \vdash_{ND} \forall x \exists y (Rxy \wedge Ryx)$

6.3.3 Strategy

Our strategies remain very much as before. They are modified only to accommodate the parallels between \wedge and \forall , and between \vee and \exists . I restate the strategies in their expanded form, and give some examples of each. As before, we begin with strategies for reaching a determinate goal.

- SG
1. If accessible lines contain explicit contradiction, use $\sim E$ to reach goal.
 2. Given an accessible formula with main operator \exists or \vee , use $\exists E$ or $\vee E$ to reach goal (watch “screened” variables).
 3. If goal is “in” accessible lines (set goals and) attempt to exploit it out.
 4. To reach goal with main operator \star , use $\star I$ (careful with \vee and \exists).
 5. Try $\sim E$ (especially for atomics and formulas with \vee or \exists as main operator).

And we have strategies for reaching a contradiction.

- SC
1. Break accessible formulas down into atomics and negated atomics.
 2. Given an existential or disjunction in a subderivation for $\sim E$ or $\sim I$, go for \perp by $\exists E$ or $\vee E$ (watch “screened” variables).
 3. Set as goal the opposite of some negation (something that cannot itself be broken down). Then apply strategies for a goal to reach it.

4. For some \mathcal{P} such that both \mathcal{P} and $\sim\mathcal{P}$ lead to contradiction: Assume \mathcal{P} ($\sim\mathcal{P}$), obtain the first contradiction, and conclude $\sim\mathcal{P}$ (\mathcal{P}); then obtain the second contradiction — this is the one you want.

As before, these are listed in priority order, though the frequency order may be different. If a high priority strategy does not apply, simply fall through to one that does. In each case, you may want to refer back to the corresponding discussion in the sentential case for further discussion and examples.

SG1. *If accessible lines contain explicit contradiction, use $\sim E$ to reach goal.* The strategy is unchanged from before. If premises contain an explicit contradiction, we can assume the negation of our goal, bring the contradiction under the assumption, and conclude to the original goal. Since this always works, we want to jump on it whenever it is available. The only thing to add for the quantificational case is that accessible lines might “contain” a contradiction that is just a short step away buried in quantified expressions. Thus, for example,

(BJ)	1.	$\forall x Fx$	P	1.	$\forall x Fx$	P
	2.	$\forall y \sim Fy$	P	2.	$\forall y \sim Fy$	P
				3.	$\sim Gz$	A (g, $\sim E$)
				4.	Fx	1 $\forall E$
				5.	$\sim Fx$	2 $\forall E$
				6.	\perp	4,5 $\perp I$
		Gz		7.	Gz	3-6 $\sim E$

Though $\forall x Fx$ and $\forall y \sim Fy$ are not themselves an explicit contradiction, they lead by $\forall E$ directly to expressions that are. Given the analogy between \wedge and \forall , it is as if we had $F \wedge G$ and $\sim F \wedge G$ in the premises. In the sentential case, we would not hesitate to go for the goal by $\sim E$. And similarly here.

SG2. *Given an accessible formula with main operator \exists or \forall , use $\exists E$ or $\forall E$ to reach goal* (watch “screened” variables). What is new for this strategy is the existential quantifier. Motivation is the same as before: With goal \mathcal{Q} , and an accessible line with main operator \exists , go for the goal by $\exists E$. Then you have all the same accessible formulas as before, with the addition of the assumption. So you will (typically) be better off in your attempt to reach \mathcal{Q} . We have already emphasized this strategy in introducing the rules. Here is an example.

(BK)	1.	$\exists x Fx$	P		1.	$\exists x Fx$	P
	2.	$\exists y Gy$	P		2.	$\exists y Gy$	P
	3.	$\exists z Fz \rightarrow \forall y Fy$	P		3.	$\exists z Fz \rightarrow \forall y Fy$	P
	4.	Fj	A (g, 1 \exists E)		4.	Fj	A (g, 1 \exists E)
	5.	Gk	A (g, 2 \exists E)		5.	Gk	A (g, 2 \exists E)
					6.	$\exists z Fz$	4 \exists I
					7.	$\forall y Fy$	3,6 \rightarrow E
					8.	Fk	7 \forall E
					9.	$Fk \wedge Gk$	8,5 \wedge I
		$\exists x (Fx \wedge Gx)$			10.	$\exists x (Fx \wedge Gx)$	9 \exists I
		$\exists x (Fx \wedge Gx)$	2, 5- \exists E		11.	$\exists x (Fx \wedge Gx)$	2, 5-10 \exists E
		$\exists x (Fx \wedge Gx)$	1, 4- \exists E		12.	$\exists x (Fx \wedge Gx)$	1, 4-11 \exists E

The premise at (3) has main operator \rightarrow and so is not existentially quantified. But the first two premises have main operator \exists . So we set up to reach the goal with two applications of \exists E. It does not matter which we do first, as either way, we end up with the same accessible formulas to reach the goal at the innermost subderivation. Once we have the subderivations set up, the rest is straightforward.

Given what we have said, it might appear mysterious how one could be anything but better off going directly for a goal by \exists E or \forall E. But consider the derivations below.

(BL)	1.	$\forall x \exists y Fxy$	P		1.	$\forall x \exists y Fxy$	P
	2.	$\forall x \forall y (Fxy \rightarrow Gxy)$	P		2.	$\forall x \forall y (Fxy \rightarrow Gxy)$	P
	3.	$\exists y Fjy$	1 \forall E		3.	$\exists y Fjy$	1 \forall E
	4.	Fjk	A (g, 3 \exists E)		4.	Fjk	A (g, 3 \exists E)
	5.	$\forall y (Fjy \rightarrow Gjy)$	2 \forall E	(BM)	5.	$\forall y (Fjy \rightarrow Gjy)$	2 \forall E
	6.	$Fjk \rightarrow Gjk$	5 \forall E		6.	$Fjk \rightarrow Gjk$	5 \forall E
	7.	Gjk	6,4 \rightarrow E		7.	Gjk	6,4 \rightarrow E
	8.	$\exists y Gjy$	7 \exists I		8.	$\exists y Gjy$	7 \exists I
	9.	$\forall x \exists y Gxy$!Mistake!		9.	$\exists y Gjy$	3,4-8 \exists E
	10.	$\forall x \exists y Gxy$	3,4-9 \exists E		10.	$\forall x \exists y Gxy$	9 \forall I

In derivation (BL), we isolate the existential on line (3) and go for the goal, $\forall x \exists y Gxy$ by \exists E. But something is in fact lost when we set up for the subderivation — the variable j , that was not in any undischarged assumption and therefore available for \forall I, gets “screened off” by the assumption and so lost for universal generalization. So at step (9), we are blocked from using (8) and \forall I to reach the goal. The problem is solved in (BM) by letting variable j pass into the subderivation and back out, where it is available again for \forall I. This requires passing over our second strategy for a goal

for at least a step, to set up a new goal $\exists y Gjy$, to which we apply the second strategy in the usual way. Observe that the restriction on $\exists E$ blocks a goal in which k is free, but there is no problem about j . This simple case illustrates the sort of context where caution is required in application of SG2.

SG3. *If goal is “in” accessible lines (set goals and) attempt to exploit it out.* This is the same strategy as before. The only thing to add is that we should consider the instances of a universally quantified expression as already “in” the expression (as if it were a big conjunction). Thus, for example,

(BN)	1.	$Ga \rightarrow \forall xFx$	P	1.	$Ga \rightarrow \forall xFx$	P	
	2.	$\forall xGx$	P	2.	$\forall xGx$	P	
		<hr/>			3.	Ga	2 $\forall E$
		$\forall xFx$		4.	$\forall xFx$	1,3 $\rightarrow E$	
		Fa	$_ \forall E$	5.	Fa	4 $\forall E$	

The original goal Fa is “in” the consequent of (1), $\forall x Fx$. So we set $\forall x Fx$ as a subgoal. This leads to Ga as another subgoal, and we find this “in” the premise at (2). Very often, the difficult part of a derivation is deciding how to exploit quantifiers to reach a goal. In this case, the choice was trivial. But it is not always so easy.

SG4. *To reach goal with main operator \star , use $\star I$ (careful with \vee and \exists).* As before, this is your “bread-and-butter” strategy. You will come to it over and over. Of new applications, the most automatic is for \forall . For a simple case,

(BO)	1.	$\forall x Gx$	P	1.	$\forall x Gx$	P	
	2.	$\forall y Fy$	P	2.	$\forall y Fy$	P	
		<hr/>			3.	Gj	1 $\forall E$
		$Fj \wedge Gj$		4.	Fj	2 $\forall E$	
		$\forall z (Fz \wedge Gz)$	$_ \forall I$	5.	$Fj \wedge Gj$	4,3 $\wedge I$	
				6.	$\forall z (Fz \wedge Gz)$	5 $\forall I$	

Given a goal with main operator \forall , we immediately set up to get it by $\forall I$. This leads to $Fj \wedge Gj$ with the new variable j as a subgoal. After that, completing the derivation is easy. Observe that this strategy does not always work for formulas with main operator \vee and \exists .

SG5. *Try $\sim E$ (especially for atomics and formulas with \vee or \exists as main operator).* Recall that atomics now include more than just sentence letters. Thus, for example, this rule might have special application for goals of the sort Fab or Gz . And, just as one might have good reason to accept that \mathcal{P} or \mathcal{Q} , without having good reason to

accept that \mathcal{P} , or that \mathcal{Q} , so one might have reason to accept that $\exists x\mathcal{P}$ without having to accept that any particular individual is \mathcal{P} — as one might be quite confident that *someone* did it, without evidence sufficient to convict any particular individual. Thus there are contexts where it is possible to derive $\exists x\mathcal{P}$ but not possible to reach it directly by $\exists\text{I}$. SG5 has special application in those contexts. Thus, consider the following example.

(BP)	$ \begin{array}{lcl} 1. & \sim\forall xAx & \text{P} \\ 2. & \begin{array}{ l} \sim\exists x\sim Ax \\ \hline \perp \\ \exists x\sim Ax \end{array} & \begin{array}{l} \text{A } (c, \sim\text{E}) \\ \\ 2-_ \sim\text{E} \end{array} \end{array} $	$ \begin{array}{lcl} 1. & \sim\forall xAx & \text{P} \\ 2. & \begin{array}{ l} \sim\exists x\sim Ax \\ \hline \sim Aj \\ \hline \exists x\sim Ax \\ \perp \\ Aj \\ \forall xAx \\ \perp \\ \exists x\sim Ax \end{array} & \begin{array}{l} \text{A } (c, \sim\text{E}) \\ \text{A } (c, \sim\text{E}) \\ 3 \exists\text{I} \\ 4,1 \perp\text{I} \\ 3-5 \sim\text{E} \\ 6 \forall\text{I} \\ 7,2 \perp\text{I} \\ 2-8 \sim\text{E} \end{array} \end{array} $
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Our initial goal is $\exists x\sim Ax$. There is no contradiction in the premises; there is no disjunction or existential in the premises; we do not see the goal in the premises; and attempts to reach the goal by $\exists\text{I}$ are doomed to fail. So we fall through to SG5, and set up to reach the goal by $\sim\text{E}$. As it happens, the contradiction is not easy to get! We can think of the derivation as involving applications of either SC3 or SC4. We take up this sort of case below. For now, the important point is just the setup on the left.

Where strategies for a goal apply in the context of some determinate goal, strategies for a contradiction apply when the goal is just some contradiction — and any contradiction will do. Again, there is nothing fundamentally changed from the sentential case, though we can illustrate some special quantificational applications.

SC1. *Break accessible formulas down into atomics and negated atomics.* This works just as before. The only point to emphasize for the quantificational case is one we made for SG1 above, that relevant atomics may be “contained” in quantified expressions. So going for atomics and negated atomics may include “shaking” quantified expressions to see what falls out. Here is a simple example.

(BQ)	1.	$\sim Fa$	P
	2.	$\forall x(Fx \wedge Gx)$	A (c, \sim I)
		\perp	
		$\sim \forall x(Fx \wedge Gx)$	2- \sim I
	1.	$\sim Fa$	P
	2.	$\forall x(Fx \wedge Gx)$	A (c, \sim I)
	3.	$Fa \wedge Ga$	2 \forall E
	4.	Fa	3 \wedge E
	5.	\perp	4,1 \perp I
	6.	$\sim \forall x(Fx \wedge Gx)$	2-5 \sim I

Our strategy for the goal is **SG4**. For an expression with main operator \sim , we go for the goal by \sim I. We already have $\sim Fa$ toward a contradiction at the level of atomics and negated atomics. And Fa comes from the universally quantified expression by \forall E.

SC2. Given an existential or disjunction in a subderivation for \sim E or \sim I, go for \perp by \exists E or \vee E (watch “screened” variables). Where applications of this strategy were infrequent in the sentential case, they will be much more common now. Motivation is unchanged from **SG2**: In your attempt to reach a contradiction, you have all the same accessible formulas as before, with the addition of the assumption. So you will (typically) be better off in your attempt to reach a contradiction. Here is an example.

(BR)	1.	$\forall x \sim Ax$	P
	2.	$\exists x Ax$	A (c, \sim I)
		\perp	
		$\sim \exists x Ax$	2- \sim I
	1.	$\forall x \sim Ax$	P
	2.	$\exists x Ax$	A (c, \sim I)
	3.	Aj	A (c, 2 \exists E)
		\perp	
		\perp	2,3- \sim \exists E
		$\sim \exists x Ax$	2- \sim I

We set up to reach the main goal by \sim I. This gives us an existentially quantified expression at (2), where the goal is a contradiction. **SC2** tells us to go for \perp by \exists E. Observe that, because the goal is \perp , the exit strategy is c rather than g . But by application of **SC1**, this subderivation is easy.

1.	$\forall x \sim Ax$	P
2.	$\exists x Ax$	A (c, \sim I)
3.	Aj	A (c, 2 \exists E)
4.	$\sim Aj$	1 \forall E
5.	\perp	3,4 \perp I
6.	\perp	2,3-5 \exists E
7.	$\sim \exists x Ax$	2-6 \sim I

With Aj on line (3) and $\sim Aj$ “contained” on line (1), the derivation is easy. But as occurs with the parallel goal-directed strategy, the contradiction would not even have been possible without the assumption Aj for $\exists E$.

As can occur with applications of **SG2**, it is wise to be careful about applications of this strategy when assumptions for $\exists E$ or $\forall E$ “screen off” variables that would otherwise be available for $\forall I$. Here is a version of the example from before to illustrate the point.

(BS)	1.	$\sim \forall x \exists y Gxy$	P	(BT)	1.	$\sim \forall x \exists y Gxy$	P
	2.	$\forall x \forall y (Fxy \rightarrow Gxy)$	P		2.	$\forall x \forall y (Fxy \rightarrow Gxy)$	P
	3.	$\forall x \exists y Fxy$	A (c $\sim I$)		3.	$\forall x \exists y Fxy$	A (c $\sim I$)
	4.	$\exists y Fjy$	3 $\forall E$		4.	$\exists y Fjy$	3 $\forall E$
	5.	Fjk	A (c, 4 $\exists E$)		5.	Fjk	A (g, 4 $\exists E$)
	6.	$\forall y (Fjy \rightarrow Gjy)$	2 $\forall E$		6.	$\forall y (Fjy \rightarrow Gjy)$	2 $\forall E$
	7.	$Fjk \rightarrow Gjk$	6 $\forall E$		7.	$Fjk \rightarrow Gjk$	6 $\forall E$
	8.	Gjk	7,5 $\rightarrow E$		8.	Gjk	7,5 $\rightarrow E$
	9.	$\exists y Gjy$	8 $\exists I$		9.	$\exists y Gjy$	8 $\exists I$
	10.	$\forall x \exists y Gxy$!Mistake!		10.	$\exists y Gjy$	4,5-9 $\exists E$
	11.	\perp	10,1 $\perp I$		11.	$\forall x \exists y Gxy$	10 $\forall I$
	12.	\perp	4,5-11 $\exists E$		12.	\perp	11,1 $\perp I$
	13.	$\sim \forall x \exists y Fxy$	3-12 $\sim I$		13.	$\sim \forall x \exists y Fxy$	3-12 $\sim I$

In derivation **(BS)**, we isolate the existential on line (4) and set up to go for contradiction by $\exists E$. But something is in fact lost when we set up for the subderivation — the variable j , that was not in any undischarged assumption and therefore available for $\forall I$, gets “screened off” by the assumption and so lost for universal generalization. So at step (10), we are blocked from using (9) and $\forall I$ to reach the goal. Again, the problem is solved in **(BT)** by letting variable j pass into the subderivation and back out, where it is available for $\forall I$. We do this by letting the goal for $\exists E$ be not \perp but rather the formula which results in \perp , and obtaining \perp once we get that formula out. This simple case illustrates the sort of context where caution is required in application of **SC2**.

SC3. *Set as goal the opposite of some negation (something that cannot itself be broken down); then apply strategies for a goal to reach it.* In principle, this strategy is unchanged from before, though of course there are new applications for quantified expressions. **(BT)** above includes a case of this. Here is another quick example.

(BU)	1.	$\neg \exists x Ax$	P
	2.	Aj	A (c, \sim I)
		\perp	
		$\neg Aj$	2- $\neg \sim$ I
		$\forall x \neg Ax$	$\neg \forall$ I
	1.	$\neg \exists x Ax$	P
	2.	Aj	A (c, \sim I)
	3.	$\exists x Ax$	2 \exists I
	4.	\perp	3,1 \perp I
	5.	$\neg Aj$	2-4 \sim I
	6.	$\forall x \neg Ax$	5 \forall I

Our strategy for the goal is **SG4**. We plan on reaching $\forall x \neg Ax$ by **\forall I**. So we set $\neg Aj$ as a subgoal. Again the strategy for the goal is **SG4**, and we set up to get $\neg Aj$ by **\sim I**. Other than the assumption itself, there are no atomics and negated atomics to be had. There is no existential or disjunction in the scope of the subderivation. But the premise is a negated expression. So we set $\exists x Ax$ as a goal. But this is easy as it comes in one step by **\exists I**.

SC4. For some \mathcal{P} such that both \mathcal{P} and $\neg \mathcal{P}$ lead to contradiction: Assume \mathcal{P} ($\neg \mathcal{P}$), obtain the first contradiction, and conclude $\neg \mathcal{P}$ (\mathcal{P}); then obtain the second contradiction — this is the one you want. As in the sentential case, this strategy often coincides with **SC3** — in building up to the opposite of something that cannot be broken down, one assumes a \mathcal{P} such that both \mathcal{P} and $\neg \mathcal{P}$ result in contradiction. Corresponding to the pattern with \forall , this often happens when some accessible expression is a negated existential. Here is a challenging example.

(BV)	1.	$\forall x (\neg Ax \rightarrow Kx)$	P
	2.	$\neg \forall y Ky$	P
	3.	$\neg \exists w Aw$	A (c, \sim E)
		\perp	
		$\exists w Aw$	3- $\neg \sim$ E
	1.	$\forall x (\neg Ax \rightarrow Kx)$	P
	2.	$\neg \forall y Ky$	P
	3.	$\neg \exists w Aw$	A (c, \sim E)
	4.	Aj	A (c, \sim I)
	5.	$\exists w Aw$	4 \exists I
	6.	\perp	5,3 \perp I
	7.	$\neg Aj$	4-6 \sim I
	8.	$\neg Aj \rightarrow Kj$	1 \forall E
	9.	Kj	8,7 \rightarrow E
	10.	$\forall y Ky$	9 \forall I
	11.	\perp	10,2 \perp I
	12.	$\exists w Aw$	3-11 \sim E

Once we decide that we cannot get the goal directly by **\exists I**, the strategy for a goal falls through to **SG5**. And, as it turns out, both Aj and $\neg Aj$ lead to contradiction. So we assume one and get the contradiction; this gives us the other which leads to contradiction as well. The decision to assume Aj may seem obscure! But it is a common pattern: Given $\neg \exists x \mathcal{P}$, assume an instance, \mathcal{P}_v^x for some variable v , or

at least something that will yield \mathcal{P}_v^x . Then $\exists\text{I}$ gives you $\exists x\mathcal{P}$, and so the first contradiction. So you conclude $\sim\mathcal{P}_v^x$ — and this *outside* the scope of the assumption, where $\forall\text{I}$ and the like might apply for v . In effect, you come with an instance “underneath” the negated existential, where the result is a negation of the instance, which has some chance to give you what you want. For another example of this pattern, see (BP) above.

Notice that such cases can also be understood as driven by applications of SC3. In (BV), we set the opposite of the formula on (2) as goal. This leads to Kj and then $\sim Aj$ as subgoals. To reach $\sim Aj$, we assume Aj , and get this by building to the opposite of $\sim\exists wAw$. And similarly in (BP).

Again, these strategies are not a cookbook for performing all derivations — doing derivations remains an art. But the strategies will give you a good start, and take you a long way through the exercises that follow, including derivation of the theorems immediately below.

T6.28. $\vdash_{ND} \forall x\mathcal{P} \rightarrow \mathcal{P}_t^x$ where term t is free for variable x in formula \mathcal{P}

*T6.29. $\mathcal{P} \rightarrow \mathcal{Q} \vdash_{ND} \mathcal{P} \rightarrow \forall x\mathcal{Q}$ where variable x is not free in formula \mathcal{P}

T6.30. $\vdash_{ND} \sim\forall x\mathcal{P} \leftrightarrow \exists x\sim\mathcal{P}$ for any variable x and formula \mathcal{P}

T6.31. $\vdash_{ND} \sim\exists x\mathcal{P} \leftrightarrow \forall x\sim\mathcal{P}$ for any variable x and formula \mathcal{P}

E6.29. For each of the following, (i) which strategies for a goal apply? and (ii) show the next two steps. If the strategies call for a new subgoal, show the subgoal; if they call for a subderivation, set up the subderivation. In each case, *explain* your response. Hint: each of the strategies for a goal is used at least once.

- *a. 1. $\exists x\exists y(Fxy \wedge Gyx)$ P
 \vdash
 $\exists x\exists yFyx$
- b. 1. $\forall y[(Hy \wedge Fy) \rightarrow Gy]$ P
 2. $\forall zFz \wedge \sim\forall xKxb$ P
 \vdash
 $\forall x(Hx \rightarrow Gx)$

- c. 1. $\forall x[Fx \rightarrow \forall y(Gy \rightarrow Rxy)]$ P
 2. $\forall x(Hx \rightarrow Gx)$ P
 3. $Fa \wedge Hb$ P
 —
 Rab
- d. 1. $\forall x\forall y(Rxy \rightarrow \sim Ryx)$ P
 2. Raa P
 —
 $\exists z\exists ySyz$
- e. 1. $\sim\forall x(Fx \vee A)$ P
 —
 $\exists x\sim Fx$

E6.30. Each of the following sets up an application of \sim I or \sim E for SG4 or SG5. Complete the derivations, and *explain* your use of strategies for a contradiction. Hint: Each of the strategies for a contradiction is used at least once.

- *a. 1. $\sim\exists x(Fx \wedge Gx)$ P
 2. Fj A (g, \rightarrow I)
 3. Gj A (c, \sim I)
 —
 \perp
 $\sim Gj$ 3- \sim I
 $Fj \rightarrow \sim Gj$ 2- \rightarrow I
 $\forall x(Fx \rightarrow \sim Gx)$ \rightarrow \forall I
- b. 1. $\forall x(Fx \rightarrow \forall y\sim Fy)$ P
 2. $\exists xFx$ A (c, \sim I)
 —
 \perp
 $\sim\exists xFx$ 2- \sim I

c.	1.	$\forall x(Fx \rightarrow \forall yRxy)$	P
	2.	$\sim Rab$	P
	3.	Fa	A (c, \sim I)
		\perp	
		$\sim Fa$	3- \sim I
d.	1.	$\sim \forall xFx$	P
	2.	$\sim \exists x(\sim Fx \vee A)$	A (c, \sim E)
		\perp	
		$\exists x(\sim Fx \vee A)$	2- \sim E
e.	1.	$\exists x(Ax \leftrightarrow \sim Ax)$	A (c, \sim I)
		\perp	
		$\sim \exists x(Ax \leftrightarrow \sim Ax)$	1- \sim I

E6.31. Produce derivations to show each of the following. Though no full answers are provided, strategy hints are available for the first problems. If you get the last few on your own, you are doing very well!

*a. $\forall x(\sim Bx \rightarrow \sim Wx), \exists xWx \vdash_{ND} \exists xBx$

*b. $\forall x\forall y\forall zGxyz \vdash_{ND} \forall x\forall y\forall z(Hxyz \rightarrow Gzyx)$

*c. $\forall x[Ax \rightarrow \forall y(\sim Dxy \leftrightarrow Bf^1f^1y)], \forall x(Ax \wedge \sim Bx) \vdash_{ND} \forall xDf^1xf^1x$

*d. $\forall x(Hx \rightarrow \forall yRxyb), \forall x\forall z(Razx \rightarrow Sxzz) \vdash_{ND} Ha \rightarrow \exists xSxcc$

*e. $\sim \forall x(Fx \wedge Abx) \leftrightarrow \sim \forall xKx, \forall y[\exists x\sim(Fx \wedge Abx) \wedge Ryy] \vdash_{ND} \sim \forall xKx$

*f. $\exists x(Jxa \wedge Cb), \exists x(Sx \wedge Hxx), \forall x[(Cb \wedge Sx) \rightarrow \sim Ax] \vdash_{ND} \exists z(\sim Az \wedge Hzz)$

*g. $\forall x\forall y(Dxy \rightarrow Cxy), \forall x\exists yDxy, \forall x\forall y(Cyx \rightarrow Dxy) \vdash_{ND} \exists x\exists y(Cxy \wedge Cyx)$

*h. $\forall x\forall y[(Ry \vee Dx) \rightarrow \sim Ky], \forall x\exists y(Ax \rightarrow \sim Ky), \exists x(Ax \vee Rx) \vdash_{ND} \exists x\sim Kx$

*i. $\forall y(My \rightarrow Ay), \exists x\exists y[(Bx \wedge Mx) \wedge (Ry \wedge Syx)], \exists xAx \rightarrow \forall y\forall z(Syz \rightarrow Ay) \vdash_{ND} \exists x(Rx \wedge Ax)$

*j. $\forall x\forall y[(Hby \wedge Hxb) \rightarrow Hxy], \forall z(Bz \rightarrow Hbz), \exists x(Bx \wedge Hxb) \vdash_{ND} \exists z[Bz \wedge \forall y(By \rightarrow Hzy)]$

- *k. $\forall x((Fx \wedge \sim Kx) \rightarrow \exists y[(Fy \wedge Hyx) \wedge \sim Ky]),$
 $\forall x[(Fx \wedge \forall y[(Fy \wedge Hyx) \rightarrow Ky]) \rightarrow Kx] \rightarrow Ma \vdash_{ND} Ma$
- *l. $\forall x\forall y[(Gx \wedge Gy) \rightarrow (Hxy \rightarrow Hyx)], \forall x\forall y\forall z([(Gx \wedge Gy) \wedge Gz] \rightarrow$
 $[(Hxy \wedge Hyz) \rightarrow Hxz]) \vdash_{ND} \forall w([Gw \wedge \exists z(Gz \wedge Hwz)] \rightarrow Hww)$
- *m. $\forall x\forall y[(Ax \wedge By) \rightarrow Cxy], \exists y[Ey \wedge \forall w(Hw \rightarrow Cyw)], \forall x\forall y\forall z[(Cxy \wedge$
 $Cyz) \rightarrow Cxz], \forall w(Ew \rightarrow Bw) \vdash_{ND} \forall z\forall w[(Az \wedge Hw) \rightarrow Czw]$
- *n. $\forall x\exists y\forall z(Axyz \vee Bzyx), \sim\exists x\exists y\exists zBzyx \vdash_{ND} \forall x\exists y\forall zAxyz$
- *o. $A \rightarrow \exists xFx \vdash_{ND} \exists x(A \rightarrow Fx)$
- *p. $\forall xFx \rightarrow A \vdash_{ND} \exists x(Fx \rightarrow A)$
- q. $\forall x(Fx \rightarrow Gx), \forall x\forall y(Rxy \rightarrow Syx), \forall x\forall y(Sxy \rightarrow Syx)$
 $\vdash_{ND} \forall x[\exists y(Fx \wedge Rxy) \rightarrow \exists y(Gx \wedge Sxy)]$
- r. $\exists y\forall xRxy, \forall x(Fx \rightarrow \exists ySyx), \forall x\forall y(Rxy \rightarrow \sim Sxy) \vdash_{ND} \exists x\sim Fx$
- s. $\exists x\forall y[(Fx \vee Gy) \rightarrow \forall z(Hxy \rightarrow Hyz)], \exists z\forall x\sim Hxz \vdash_{ND} \exists y\forall x(Fy \rightarrow \sim Hyx)$
- t. $\forall x\forall y[\exists zHyx \rightarrow Hxy] \vdash_{ND} \exists x\exists yHxy \rightarrow \forall x\forall yHxy$
- u. $\exists x(Fx \wedge \forall y[(Gy \wedge Hy) \rightarrow \sim Sxy]), \forall x\forall y([(Fx \wedge Gy) \wedge Jy] \rightarrow \sim Sxy),$
 $\forall x\forall y([(Fx \wedge Gy) \wedge Rxy] \rightarrow Sxy), \exists x(Gx \wedge (Jx \vee Hx))$
 $\vdash_{ND} \exists x\exists y((Fx \wedge Gy) \wedge \sim Rxy)$
- v. $\vdash_{ND} \exists x\forall y(Fx \rightarrow Fy)$
- w. $\vdash_{ND} \exists x(\exists yFy \rightarrow Fx)$
- x. $\exists x\forall y[\exists z(Fzy \rightarrow \exists wFyw) \rightarrow Fxy] \vdash_{ND} \exists xFxx$
- y. $\vdash_{ND} \forall x\exists y\forall z[\exists wTxyw \rightarrow \exists wTxzw]$
- z. $\vdash_{ND} \forall x\exists y(Fx \vee Gy) \rightarrow \exists y\forall x(Fx \vee Gy)$

*E6.32. Produce derivations to demonstrate each of T6.28 - T6.31, explaining for each application how quantifier restrictions are met. Hint: You might try working test versions where \mathcal{P} and \mathcal{Q} are atomics Px and Qx ; then you can think about the general case.

6.3.4 =I and =E

We complete the system *ND* with I- and E- rules for equality. Strictly, = is not an operator at all; it is a two-place relation symbol. However, because its interpretation is standardized across all interpretations, it is possible to introduce rules for its behavior. The =I rule is particularly simple. At any stage in a derivation, for any term t , one may write down $t = t$ with justification =I.

$$\text{=I} \quad \left| \begin{array}{l} t = t \end{array} \right. \quad \text{=I}$$

Strictly, without any inputs, this is an *axiom* of the sort we encountered in [chapter 3](#). It is a formula which may be asserted at any stage in a derivation. Its motivation should be clear. Since for any m in the universe U , $\langle m, m \rangle$ is in the interpretation of =, $t = t$ is sure to be satisfied, no matter what the assignment to t might be. Thus, in \mathcal{L}_q , $a = a$, $x = x$, and $f^2az = f^2az$ are formulas that might be justified by =I.

=E is more interesting and, in practice, more useful. Say an arbitrary term is *free* in a formula iff every variable in it is free. Automatically, then, any term without variables is free in any formula. And say $\mathcal{P}^t/_s$ is \mathcal{P} where some, but not necessarily all, free instances of term t may be replaced by term s . Then, given an accessible formula \mathcal{P} on line a and the atomic formula $t = s$ or $s = t$ on accessible line b , one may move to $\mathcal{P}^t/_s$, where s is free for all the replaced instances of t in \mathcal{P} , with justification a, b =E.

$$\text{=E} \quad \left| \begin{array}{l} \text{a. } \mathcal{P} \\ \text{b. } t = s \end{array} \right. \quad \begin{array}{l} \mathcal{P}^t/_s \quad \text{a, b =E} \end{array}$$

$$\text{=E} \quad \left| \begin{array}{l} \text{a. } \mathcal{P} \\ \text{b. } s = t \end{array} \right. \quad \begin{array}{l} \mathcal{P}^t/_s \quad \text{a, b =E} \end{array}$$

provided that term s is free
for all the replaced instances of
term t in formula \mathcal{P}

If the assignment to some terms is the same, this rule lets us replace free instances of the one term by the other in any formula. Again, the motivation should be clear. On trees, the only thing that matters about a term is the thing to which it refers. So if \mathcal{P} with term t is satisfied, and the assignment to t is the same as the assignment to s , then \mathcal{P} with s in place of t should be satisfied as well. When a term is not free, it is not the assignment to the term that is doing the work, but rather the way it is bound. So we restrict ourselves to contexts where it is just the assignment that matters!

Because we need not replace all free instances of one term with the other, this rule has some special applications that are worth noticing. Consider the formulas $Raba$ and $a = b$. The following lists all the formulas that could be derived from them in one step by =E.

ND Quick Reference (Quantificational) $\forall E$ (universal exploit)

a.	$\forall x \mathcal{P}$	
	\mathcal{P}_t^x	a $\forall E$

 $\forall I$ (universal intro)

a.	\mathcal{P}_v^x	
	$\forall x \mathcal{P}$	a $\forall I$

 $=I$ (equality intro)

	$t = t$	$=I$
--	---------	------

 $\exists I$ (existential intro)

a.	\mathcal{P}_t^x	provided t is free for x in \mathcal{P}
	$\exists x \mathcal{P}$	a $\exists I$

 $\exists E$ (existential exploit)

a.	$\exists x \mathcal{P}$	provided (i) v is free for x in \mathcal{P} , (ii) v is not free in any undischarged auxiliary assumption, and (iii) v is not free in $\forall x \mathcal{P}$ / in $\exists x \mathcal{P}$ or in \mathcal{Q}
b.	\mathcal{P}_v^x	A ($g, a \exists E$)
c.	\mathcal{Q}	
	\mathcal{Q}	a,b-c $\exists E$

 $=E$ (equality exploit)

a.	\mathcal{P}	\mathcal{P}	provided that term s is free for all the replaced instances of term t in formula \mathcal{P}
b.	$t = s$	$s = t$	
	\mathcal{P}^t/s	\mathcal{P}^t/s	a,b $=E$

	1.	$Raba$	P
	2.	$a = b$	P
	3.	$Rbba$	1,2 $=E$
(BW)	4.	$Rabb$	1,2 $=E$
	5.	$Rbbb$	1,2 $=E$
	6.	$Raaa$	1,2 $=E$
	7.	$a = a$	2,2 $=E$
	8.	$b = b$	2,2 $=E$

(3) and (4) replace one instance of a with b . (5) replaces both instances of a with b . (6) replaces the instance of b with a . We could reach, say, $Raab$, but this would require another step — which we could take from any of (4), (5) or (6). You should be clear about why this is so. (7) and (8) are different. We have a formula $a = b$, and an equality $a = b$. In (7) we use the equality to replace one instance of b in the formula with a . In (8) we use the equality to replace one instance of a in the formula with b . Of course (7) and (8) might equally have been derived by $=I$. Notice also that $=E$ is not restricted to atomic formulas, or to simple terms. Thus, for example,

	1.	$\forall y(Rax \wedge Kxy)$	P
	2.	$x = f^3azx$	P
(BX)	3.	$\forall y(Raf^3azx \wedge Kxy)$	1,2 =E
	4.	$\forall y(Rax \wedge Kf^3azxy)$	1,2 =E
	5.	$\forall y(Raf^3azx \wedge Kf^3azxy)$	1,2 =E

lists the steps that are legitimate applications of =E to (1) and (2). What we could not do is use, $x = f^3azy$ to with (1) to reach say, $\forall y(Raf^3azy \wedge Kxy)$, since f^3azy is not free for any instance of x in $\forall y(Rax \wedge Kxy)$. And of course, we could not replace any instances of y in $\forall y(Rax \wedge Kxy)$ since none of them are free.

There is not much new to say about strategy, except that you should include =E among the stock of rules you use to identify what is “contained” in the premises. It may be that a goal is contained in the premises, when terms only need to be switched by some equality. Thus, for goal Fa , with Fb explicitly in the premises, it might be worth setting $a = b$ as a subgoal, with the intent using the equality to switch the terms.

Preliminary Results. Rather than dwell on strategy as such, let us consider a few substantive applications. First, you should find derivation of the following theorems straightforward. Thus, for example, T6.32 and T6.35 take just one step. The first three may remind you of axioms from chapter 3. The others represent important features of equality.

T6.32. $\vdash_{ND} x = x$

*T6.33. $\vdash_{ND} (x_i = y) \rightarrow (h^n x_1 \dots x_i \dots x_n = h^n x_1 \dots y \dots x_n)$

T6.34. $\vdash_{ND} (x_i = y) \rightarrow (\mathcal{R}^n x_1 \dots x_i \dots x_n \rightarrow \mathcal{R}^n x_1 \dots y \dots x_n)$

T6.35. $\vdash_{ND} t = t$ *reflexivity of equality*

T6.36. $\vdash_{ND} (t = s) \rightarrow (s = t)$ *symmetry of equality*

T6.37. $\vdash_{ND} (r = s) \rightarrow [(s = t) \rightarrow (r = t)]$ *transitivity of equality*

For a more substantive case, suppose we want to show that the following argument is valid in *ND*.

	$\exists x[(Dx \wedge \forall y(Dy \rightarrow x = y)) \wedge Bx]$	The dog is barking
(BY)	$\exists x(Dx \wedge Cx)$	Some dog is chasing a cat
	$\exists x[Dx \wedge (Bx \wedge Cx)]$	Some dog is barking and chasing a cat

Using the methods of [chapter 5](#), this might translate something like the argument on the right. We set out to do the derivation in the usual way.

1.	$\exists x[(Dx \wedge \forall y(Dy \rightarrow x = y)) \wedge Bx]$	P
2.	$\exists x(Dx \wedge Cx)$	P
3.	$(Dj \wedge \forall y(Dy \rightarrow j = y)) \wedge Bj$	A (g, 1 \exists E)
4.	$Dk \wedge Ck$	A (g, 2 \exists E)
	$Dj \wedge (Bj \wedge Cj)$	
	$\exists x[Dx \wedge (Bx \wedge Cx)]$	$_ \exists$ I
	$\exists x[Dx \wedge (Bx \wedge Cx)]$	2,4- $_ \exists$ E
	$\exists x[Dx \wedge (Bx \wedge Cx)]$	1,3- $_ \exists$ E

Given two existentials in the premises, we set up to get the goal by two applications of \exists E. And we can get the conclusion from $Dj \wedge (Bj \wedge Cj)$ by \exists I. Dj and Bj are easy to get from (3). But we do not have Cj . What we have is rather Ck . The existentials in the assumptions are instantiated to different (new) variables — and they *must* be so instantiated if we are to meet the constraints on \exists E. From $\exists x\mathcal{P}$ and $\exists x\mathcal{Q}$ it does not follow that any one thing is both \mathcal{P} and \mathcal{Q} . In this case, however, we are given that there is just one dog. And we can use this to force an equivalence between j and k . Then we get the result by $=$ E.

1.	$\exists x[(Dx \wedge \forall y(Dy \rightarrow x = y)) \wedge Bx]$	P
2.	$\exists x(Dx \wedge Cx)$	P
3.	$(Dj \wedge \forall y(Dy \rightarrow j = y)) \wedge Bj$	A (g, 1 \exists E)
4.	$Dk \wedge Ck$	A (g, 2 \exists E)
5.	Bj	3 \wedge E
6.	$Dj \wedge \forall y(Dy \rightarrow j = y)$	3 \wedge E
7.	Dj	6 \wedge E
8.	$\forall y(Dy \rightarrow j = y)$	6 \wedge E
9.	$Dk \rightarrow j = k$	8 \forall E
10.	Dk	4 \wedge E
11.	$j = k$	9,10 \rightarrow E
12.	Ck	4 \wedge E
13.	Cj	12,11 $=$ E
14.	$Bj \wedge Cj$	5,13 \wedge I
15.	$Dj \wedge (Bj \wedge Cj)$	7,14 \wedge I
16.	$\exists x[Dx \wedge (Bx \wedge Cx)]$	15 \exists I
17.	$\exists x[Dx \wedge (Bx \wedge Cx)]$	2,4-16 \exists E
18.	$\exists x[Dx \wedge (Bx \wedge Cx)]$	1,3-17 \exists E

Though there are a few steps, the work to get it done is simple. This is a very common pattern: Arbitrary individuals are introduced as if they were distinct. But uniqueness clauses let us establish an identity between them. Given this, facts about the one transfer to the other by $=$ E.

At this stage, it would be appropriate to take on E6.33 and E6.34.

Robinson Arithmetic, Q. A very important application, already encountered in chapter 3, is to mathematics. For this, \mathcal{L}_{NT} is like \mathcal{L}_{NT}^{\leq} in section 2.3.5 on p. 65 but without $<$. There are the constant symbol \emptyset , the function symbols S , $+$ and \times , and the relation symbol $=$. Let $s \leq t$ abbreviate $\exists v(v + s = t)$, and $s < t$ abbreviate $\exists v(Sv + s = t)$ where v is a variable that does not appear in s or t . We shall also require a species of *bounded* quantifiers. So, $(\forall x \leq t)\mathcal{P}$ abbreviates $\forall x(x \leq t \rightarrow \mathcal{P})$ and $(\exists x \leq t)\mathcal{P}$ abbreviates $\exists x(x \leq t \wedge \mathcal{P})$, and similarly for $(\forall x < t)\mathcal{P}$ and $(\exists x < t)\mathcal{P}$, where x does not occur in t .

Observe that simple derived introduction and exploitation rules are possible for the bounded quantifiers. So, for example,

($\forall E$)	($\exists I$)	($\forall I$)	($\exists E$)
$\begin{array}{l} \text{a. } (\forall x < t)\mathcal{P} \\ \text{b. } s < t \\ \hline \mathcal{P}_s^x \end{array}$ <p style="text-align: center;">provided s is free for x in \mathcal{P}</p>	$\begin{array}{l} \text{a. } \mathcal{P}_s^x \\ \text{b. } s < t \\ \hline (\exists x < t)\mathcal{P} \end{array}$	$\begin{array}{l} \text{a. } v < t \\ \hline \mathcal{P}_v^x \\ (\forall x < t)\mathcal{P} \end{array}$ <p style="text-align: center;">provided v is free for x in \mathcal{P}, not free in any undischarged assumption and not free in the quantified expression or \mathcal{Q}</p>	$\begin{array}{l} \text{a. } (\exists x < t)\mathcal{P} \\ \text{b. } \mathcal{P}_v^x \\ \text{c. } v < t \\ \hline \mathcal{Q} \end{array}$

So, for example, for ($\forall E$), unabbreviation and then $\forall E$ with $\rightarrow E$ give the desired result. The other cases are just as easy, and left as an exercise.

Officially, formulas of \mathcal{L}_{NT} may be treated as uninterpreted. It is natural, however, to think of them with their usual meanings, with \emptyset for zero, S the successor function, $+$ the addition function, \times the multiplication function, and $=$ the equality relation. But, again, we do not need to think about that for now.

We will say that a formula \mathcal{P} is an *ND theorem of Robinson Arithmetic* just in case \mathcal{P} follows in *ND* given as premises the following axioms for Robinson Arithmetic.⁵ These axioms are presented as formulas with free variables. But given $\forall I$ and $\forall E$, they are equivalent to universally quantified forms — and we might as well have stated the axioms as universally quantified sentences.

- Q 1. $\sim(Sx = \emptyset)$
 2. $(Sx = Sy) \rightarrow (x = y)$
 3. $(x + \emptyset) = x$
 4. $(x + Sy) = S(x + y)$
 5. $(x \times \emptyset) = \emptyset$
 6. $(x \times Sy) = [(x \times y) + x]$
 7. $\sim(x = \emptyset) \rightarrow \exists y(x = Sy)$

In the ordinary case we suppress mention of Q1 - Q7 as premises, and simply write $Q \vdash_{ND} \mathcal{P}$ to indicate that \mathcal{P} is an *ND theorem of Robinson arithmetic* — that there is an *ND* derivation of \mathcal{P} which may include appeal to any of Q1 - Q7.

The axioms set up a basic version of arithmetic on the non-negative integers. Intuitively, \emptyset is not the successor of any non-negative integer (Q1); if the successor

⁵After R. Robinson, “An Essentially Undecidable Axiom System.” Again (p. 88n2) observe that ‘theorem’ is context-relative. A theorem of Robinson arithmetic which results only given Q1 - Q7 is not a theorem of ND just because it takes some of Q1 - Q7 for its derivation.

\mathcal{L}_{NT} reference*Vocabulary:*constant: \emptyset one-place function symbol: S two-place function symbols: $+$, \times relation symbol: $=$ *Abbreviations:* $s \leq t$ abbreviates $\exists v(v + s = t)$ $s < t$ abbreviates $\exists v(Sv + s = t)$ — where v does not appear in s or t $(\forall x \leq t)\mathcal{P}$ abbreviates $\forall x(x \leq t \rightarrow \mathcal{P})$ $(\forall x < t)\mathcal{P}$ abbreviates $\forall x(x < t \rightarrow \mathcal{P})$ $(\exists x \leq t)\mathcal{P}$ abbreviates $\exists x(x \leq t \wedge \mathcal{P})$ $(\exists x < t)\mathcal{P}$ abbreviates $\exists x(x < t \wedge \mathcal{P})$ — where x does not appear in t

In *ND*, the bounded quantifiers have natural derived introduction and exploitation rules $(\forall E)$, $(\forall I)$, $(\exists E)$, $(\exists I)$ along with a bounded quantifier negation **BQN**. In addition, on the standard interpretation for number theory there are derived semantic conditions for the inequalities T12.5 and for the bounded quantifiers T12.6 and T12.7.

of x is the same as the successor of y , then x is y (Q2); x plus \emptyset is equal to x (Q3); x plus one more than y is equal to one more than x plus y (Q4); x times \emptyset is equal to \emptyset (Q5); x times one more than y is equal to x times y plus x (Q6); and any number other than \emptyset is a successor (Q7).

If some \mathcal{P} is derived directly from some of Q1 - Q7 then it is trivially an *ND* theorem of Robinson Arithmetic. But if the members of a set Γ are *ND* theorems of Robinson Arithmetic, and $\Gamma \vdash_{ND} \mathcal{P}$, then \mathcal{P} is an *ND* theorem of Robinson Arithmetic as well — for any derivation of \mathcal{P} from some theorems might be extended into one which derives the theorems, and then goes on from there to obtain \mathcal{P} . In the ordinary case, then, we *build* to increasingly complex results: having once demonstrated a theorem by a derivation, we feel free simply to *cite* it as a premise in the next derivation. So the collection of formulas we count as premises increases from one derivation to the next.

Though the application to arithmetic is interesting, there is in principle *nothing different* about derivations for \mathbf{Q} from ones we have done before: We are moving from premises to a goal. As we make progress, however, there will be an increasing number of premises available, and it may be relatively challenging to recognize *which* premises are relevant to a given goal.

Let us start with some simple generalizations of $\mathbf{Q1}$ - $\mathbf{Q7}$. As they are stated, $\mathbf{Q1}$ - $\mathbf{Q7}$ are formulas involving *variables*. But they permit derivation of corresponding principles for arbitrary terms s and t . The derivations all follow the same $\forall\mathbf{I}$, $\forall\mathbf{E}$ pattern.

T6.38. $\mathbf{Q} \vdash_{ND} \sim(S t = \emptyset)$

1.	$\sim(S x = \emptyset)$	$\mathbf{Q1}$
2.	$\forall x \sim(S x = \emptyset)$	1 $\forall\mathbf{I}$
3.	$\sim(S t = \emptyset)$	2 $\forall\mathbf{E}$

Observe that since $\sim(S x = \emptyset)$ has no quantifiers, term t is sure to be free for x in $\sim(S x = \emptyset)$. So there is no problem about the restriction on $\forall\mathbf{E}$. And since t is any term, substituting \emptyset and $(S\emptyset + y)$ and the like for t , we have that $\sim(S\emptyset = \emptyset)$, $\sim(S(S\emptyset + y) = \emptyset)$ and the like are all instances of T6.38. The next theorems are similar.

T6.39. $\mathbf{Q} \vdash_{ND} (S t = S s) \rightarrow (t = s)$

1.	$(S x = S y) \rightarrow (x = y)$	$\mathbf{Q2}$
2.	$\forall u [(S u = S y) \rightarrow (u = y)]$	1 $\forall\mathbf{I}$
3.	$\forall v \forall u [(S u = S v) \rightarrow (u = v)]$	2 $\forall\mathbf{I}$
4.	$\forall u [(S u = S s) \rightarrow (u = s)]$	3 $\forall\mathbf{E}$
5.	$(S t = S s) \rightarrow (t = s)$	4 $\forall\mathbf{E}$

Observe that for (4) it is important that term s not include any variable u . Thus for this derivation we simply choose u so that it is not a variable in s .

*T6.40. $\mathbf{Q} \vdash_{ND} (t + \emptyset) = t$

T6.41. $\mathbf{Q} \vdash_{ND} (t + S s) = S(t + s)$

T6.42. $\mathbf{Q} \vdash_{ND} (t \times \emptyset) = \emptyset$

$$\text{T6.43. } Q \vdash_{ND} (t \times S\mathfrak{s}) = ((t \times \mathfrak{s}) + t)$$

$$\text{T6.44. } Q \vdash_{ND} \sim(t = \emptyset) \rightarrow \exists y(t = Sy)$$

where variable y does not appear in t

Given these results, we are ready for some that are more interesting. Let us show that $1 + 1 = 2$. That is, that $S\emptyset + S\emptyset = SS\emptyset$.

$$\text{T6.45. } Q \vdash_{ND} S\emptyset + S\emptyset = SS\emptyset$$

1.	$(S\emptyset + S\emptyset) = S(S\emptyset + \emptyset)$	T6.41
2.	$(S\emptyset + \emptyset) = S\emptyset$	T6.40
3.	$(S\emptyset + S\emptyset) = SS\emptyset$	1,2 =E

Given the premises, this derivation is simple. Given that $(S\emptyset + \emptyset) = S\emptyset$ from (2), we can replace $S\emptyset + \emptyset$ with $S\emptyset$ by =E. This is just what we do, substituting into the first premise. The first premise is an instance of T6.41 that has $S\emptyset$ for t , and \emptyset for \mathfrak{s} . (2) is an instance of T6.40 with $S\emptyset$ for t . Be sure you understand each step.

Observe the way Q3 and Q4 work together: Q3 (T6.40) gives the sum of any term with zero; and given the sum of a term with any number, Q4 (T6.41) gives the sum of that term and one more than it. So we can calculate the sum of a term and zero from T6.40, and then with T6.41 get the sum of it and one, then it and two, and so forth. So, for example, $Q \vdash_{ND} SS\emptyset + SSS\emptyset = SSSSS\emptyset$. From T6.41, $2 + 3$ depends on $2 + 2$; but then $2 + 2$ depends on $2 + 1$; $2 + 1$ on $2 + 0$; and we get the latter directly. So we start with T6.40 and T6.41.

$$\text{(BZ)} \quad \begin{array}{l|l} 1. & (SS\emptyset + \emptyset) = SS\emptyset \\ 2. & (SS\emptyset + S\emptyset) = S(SS\emptyset + \emptyset) \\ 3. & (SS\emptyset + S\emptyset) = SSS\emptyset \end{array} \quad \begin{array}{l} \text{T6.40} \\ \text{T6.41} \\ 1,2 =E \end{array}$$

We use (1) to put the known value of $SS\emptyset + \emptyset$ in to the right side of (2). But now the value of $SS\emptyset + S\emptyset$ is known, and we can use T6.41 again.

$$\text{(CA)} \quad \begin{array}{l|l} 1. & (SS\emptyset + \emptyset) = SS\emptyset \\ 2. & (SS\emptyset + S\emptyset) = S(SS\emptyset + \emptyset) \\ 3. & (SS\emptyset + S\emptyset) = SSS\emptyset \\ 4. & (SS\emptyset + SSS\emptyset) = S(SS\emptyset + S\emptyset) \\ 5. & (SS\emptyset + SSS\emptyset) = SSSS\emptyset \end{array} \quad \begin{array}{l} \text{T6.40} \\ \text{T6.41} \\ 1,2 =E \\ \text{T6.41} \\ 3,4 =E \end{array}$$

This time, we use 3 to put the known value of $SS\emptyset + S\emptyset$ into the right side of (4). And we can use T6.41 again to get the final result. Since we are in *ND*, we sort the premises to the top to get,

(CB)	1.	$(SS\emptyset + \emptyset) = SS\emptyset$	T6.40
	2.	$(SS\emptyset + S\emptyset) = S(SS\emptyset + \emptyset)$	T6.41
	3.	$(SS\emptyset + SS\emptyset) = S(SS\emptyset + S\emptyset)$	T6.41
	4.	$(SS\emptyset + SSS\emptyset) = S(SS\emptyset + SS\emptyset)$	T6.41
	5.	$(SS\emptyset + S\emptyset) = SSS\emptyset$	1,2 =E
	6.	$(SS\emptyset + SS\emptyset) = SSSS\emptyset$	3,5 =E
	7.	$(SS\emptyset + SSS\emptyset) = SSSSS\emptyset$	4,6 =E

Again, the left term $SS\emptyset$ is given from T6.40; we use multiple applications of T6.41 to increase the next term to $SSS\emptyset$ for the final result. And similarly for multiplication: Q5 (T6.42) gives the product of any term with zero; and given the product of a term with any number, Q6 (T6.43) gives the product of that term and one more than it. So we can calculate the product of a term and zero from T6.42, and then with T6.43 get the product of it and one, it and two, and so forth. Here is a general result of the same type.

T6.46. $Q \vdash_{ND} t + S\emptyset = St$

Hint: You can do this in three lines.

Of course, we may manipulate other operators in the usual way.

(CC)	1.	$(j + Sk) = S(j + k)$	T6.41
	2.	$\exists y(j + y = S\emptyset)$	A (g, \rightarrow I)
	3.	$j + k = S\emptyset$	A (g, $2\exists$ E)
	4.	$j + Sk = SS\emptyset$	1,3 =E
	5.	$\exists y(j + y = SS\emptyset)$	4 \exists I
	6.	$\exists y(j + y = SS\emptyset)$	2,3-5 \exists E
	7.	$\exists y(j + y = S\emptyset) \rightarrow \exists y(j + y = SS\emptyset)$	2-6 \rightarrow I
	8.	$\forall x[\exists y(x + y = S\emptyset) \rightarrow \exists y(x + y = SS\emptyset)]$	7 \forall I

The basic setup for \forall I, \rightarrow I and \exists E is by now routine. The real work is where we use (1) and (3) to obtain $j + Sk = SS\emptyset$. Above, we have used T6.41 with application to “closed” terms without free variables, built up from \emptyset . But nothing stops this application of the theorem in a generic form. Here are a couple of theorems that will be of interest later.

T6.47. $Q \vdash_{ND} \forall x(x \leq \emptyset \rightarrow x = \emptyset)$

Hints: Be sure you are clear about what is being asked for; at some stage, you will need to unpack the abbreviation. Do not forget that you can appeal to T6.38 and T6.44.

T6.48. $Q \vdash_{ND} \forall x \sim(x < \emptyset)$

Hint: This reduces to a difficult application of SC4. From $\exists v(Sv + j = \emptyset)$, and using T6.44, assume $j \neq \emptyset$ to obtain a first contradiction; and you will be able to obtain contradiction from $j = \emptyset$ as well. You will need a couple applications of SC2 to extract contradictions from applications of $\exists E$.

With this much, you should be able to work E6.35 now.

Robinson Arithmetic is interesting. Its axioms are sufficient to prove arbitrary facts about particular numbers. Its language and derivation system are just strong enough to support Gödel's incompleteness result, on which it is not possible for a “nicely specified” theory including a sufficient amount of arithmetic to have as consequences \mathcal{P} or $\sim\mathcal{P}$ for every \mathcal{P} (Part IV). But we do not need Gödel's result to see that Robinson Arithmetic is incomplete: It turns out that many true generalizations are not provable in Robinson Arithmetic. So, for example, neither $\forall x \forall y[(x \times y) = (y \times x)]$, nor its negation is provable.⁶ So Robinson Arithmetic is a particularly weak theory.

Peano Arithmetic. Though Robinson Arithmetic leaves even standard results like commutation for multiplication unproven, it is possible to strengthen the derivation system to obtain such results. Thus such standard generalizations are provable in Peano Arithmetic.⁷ This is the system we encountered in chapter 3, but now with ND — so that when \mathcal{P} is derived from the axioms it is an *ND theorem of Peano Arithmetic*. For this, let PA1 - PA6 be the same as Q1 - Q6. Replace Q7 as follows. For any formula \mathcal{P} ,

$$\text{PA7 } [\mathcal{P}_{\emptyset}^x \wedge \forall x(\mathcal{P} \rightarrow \mathcal{P}_{Sx}^x)] \rightarrow \forall x \mathcal{P}$$

is an axiom. If a formula \mathcal{P} applies to \emptyset , and for any x , if \mathcal{P} applies to x , then it also applies to Sx , then \mathcal{P} applies to every x . This schema represents the *principle of mathematical induction*. We will have much more to say about the principle of mathematical induction in Part II. For now, it is enough merely to *recognize* its instances. Thus, for example, if \mathcal{P} is $\sim(x = Sx)$, then $\mathcal{P}_{\emptyset}^x$ is $\sim(\emptyset = S\emptyset)$, and \mathcal{P}_{Sx}^x is $\sim(Sx = SSx)$. So,

⁶A semantic demonstration of this negative result is left as an exercise for chapter 7. But we already understand the basic idea from chapter 4: To show that a conclusion does not follow, produce an interpretation on which the axioms are true, but the conclusion is not. The connection between derivations and the semantic results must wait for chapter 10.

⁷After the work of R. Dedekind and G. Peano. For historical discussion, see Wang, “The Axiomatization of Arithmetic.”

$$[\sim(\emptyset = S\emptyset) \wedge \forall x(\sim(x = Sx) \rightarrow \sim(Sx = SSx))] \rightarrow \forall x \sim(x = Sx)$$

is an instance of the scheme. You should see why this is so.

It will be convenient to have the principle of mathematical induction in a rule form. Given $\mathcal{P}_{\emptyset}^x$ and $\forall x(\mathcal{P} \rightarrow \mathcal{P}_{Sx}^x)$ on accessible lines a and b , one may move to $\forall x \mathcal{P}$ with justification a, b IN.

IN	a.	$\mathcal{P}_{\emptyset}^x$		1.	$\mathcal{P}_{\emptyset}^x$	P
	b.	$\forall x(\mathcal{P} \rightarrow \mathcal{P}_{Sx}^x)$		2.	$\forall x(\mathcal{P} \rightarrow \mathcal{P}_{Sx}^x)$	P
				3.	$[\mathcal{P}_{\emptyset}^x \wedge \forall x(\mathcal{P} \rightarrow \mathcal{P}_{Sx}^x)] \rightarrow \forall x \mathcal{P}$	PA7
		$\forall x \mathcal{P}$	a, b IN	4.	$\mathcal{P}_{\emptyset}^x \wedge \forall x(\mathcal{P} \rightarrow \mathcal{P}_{Sx}^x)$	1, 2 \wedge I
				5.	$\forall x \mathcal{P}$	3, 4 \rightarrow E

The rule is justified from PA7 by reasoning as on the right. That is, given $\mathcal{P}_{\emptyset}^x$ and $\forall x(\mathcal{P} \rightarrow \mathcal{P}_{Sx}^x)$ on accessible lines, one can always conjoin them, then with an instance of PA7 as a premise reach $\forall x \mathcal{P}$ by \rightarrow E. The use of IN merely saves a couple steps, and avoids some relatively long formulas we would have to deal with using P7 alone. Thus, from our previous example, to apply IN we need $\mathcal{P}_{\emptyset}^x$ and $\forall x(\mathcal{P} \rightarrow \mathcal{P}_{Sx}^x)$ to move to $\forall x \mathcal{P}$. So, if \mathcal{P} is $\sim(x = Sx)$, we would need $\sim(\emptyset = S\emptyset)$ and $\forall x[\sim(x = Sx) \rightarrow \sim(Sx = SSx)]$ to move to $\forall x \sim(x = Sx)$ by IN. You should see that this is no different from before.

In this system, there is no need for an axiom like Q7, insofar as we shall be able to derive it with the aid of PA7. That is, for y not in t we shall be able to show,

$$\text{T6.49. } \text{PA} \vdash_{ND} \sim(t = \emptyset) \rightarrow \exists y(t = Sy)$$

Since it is to follow from PA1 - PA7, the proof must, of course, not depend on Q7 and so on any of T6.44, T6.47, or T6.48.

But T6.49 has Q7 as an instance. Given this, any ND theorem of Q is automatically an ND theorem of PA — for we can derive T6.49, and use it as it would have been used in a derivation for Q. We thus freely use any theorem from Q in the derivations that follow.

With these axioms, including the principle of mathematical induction, in hand we set out to show some general principles of commutativity, associativity and distribution for addition and multiplication. But we build gradually to them. For a first application of IN, let \mathcal{P} be $(\emptyset + x) = x$; then $\mathcal{P}_{\emptyset}^x$ is $(\emptyset + \emptyset) = \emptyset$ and \mathcal{P}_{Sx}^x is $(\emptyset + Sx) = Sx$.

$$\text{T6.50. } \text{PA} \vdash_{ND} (\emptyset + t) = t$$

1.	$(\emptyset + \emptyset) = \emptyset$	T6.40
2.	$(\emptyset + Sj) = S(\emptyset + j)$	T6.41
3.	$(\emptyset + j) = j$	A (g, \rightarrow I)
4.	$(\emptyset + Sj) = Sj$	2,3 =E
5.	$[(\emptyset + j) = j] \rightarrow [(\emptyset + Sj) = Sj]$	3-4 \rightarrow I
6.	$\forall x[(\emptyset + x) = x] \rightarrow [(\emptyset + Sx) = Sx]$	5 \forall I
7.	$\forall x[(\emptyset + x) = x]$	1,6 IN
8.	$(\emptyset + t) = t$	7 \forall E

The key to this derivation, and others like it, is bringing **IN** into play. That we want to do this, is sufficient to drive us to the following as setup.

(CD)	$(\emptyset + \emptyset) = \emptyset$	(goal)
	$(\emptyset + j) = j$	A (g, \rightarrow I)
	$(\emptyset + Sj) = Sj$	(goal)
	$[(\emptyset + j) = j] \rightarrow [(\emptyset + Sj) = Sj]$	\rightarrow I
	$\forall x[(\emptyset + x) = x] \rightarrow [(\emptyset + Sx) = Sx]$	\forall I
	$\forall x[(\emptyset + x) = x]$	IN
	$(\emptyset + t) = t$	\forall E

Our aim is to get the goal by **\forall E** from $\forall x[(\emptyset + x) = x]$. And we will get this by **IN**. So we need the inputs to **IN**, $\mathcal{P}_{\emptyset}^x$, that is, $(\emptyset + \emptyset) = \emptyset$, and $\forall x(\mathcal{P} \rightarrow \mathcal{P}_{Sx}^x)$, that is, $\forall x[(\emptyset + x) = x] \rightarrow [(\emptyset + Sx) = Sx]$. As is often the case, $\mathcal{P}_{\emptyset}^x$, here $(\emptyset + \emptyset) = \emptyset$, is easy to get. It is natural to get the latter by **\forall I** from $[(\emptyset + j) = j] \rightarrow [(\emptyset + Sj) = Sj]$, and to go for this by **\rightarrow I**. The work of the derivation is reaching our two goals. But that is not hard. The first is an immediate instance of T6.40. And the second follows from the equality on (3), with an instance of T6.41. We are in a better position to *think* about which (axioms or) theorems we need as premises once we have gone through this standard setup for **IN**. We will see this pattern over and over.

T6.51. $\text{PA} \vdash_{ND} (St + \emptyset) = S(t + \emptyset)$

1.	$(St + \emptyset) = St$	T6.40
2.	$(t + \emptyset) = t$	T6.40
3.	$(St + \emptyset) = S(t + \emptyset)$	1,2 =E

This simple derivation results by using the equality on (2) to justify a substitution for t in (1). This result forms the “zero case” for the one that follows.

T6.52. $\text{PA} \vdash_{ND} (St + s) = S(t + s)$

1.	$(St + \emptyset) = S(t + \emptyset)$	T6.51
2.	$(t + Sj) = S(t + j)$	T6.41
3.	$(St + Sj) = S(St + j)$	T6.41
4.	$(St + j) = S(t + j)$	A ($g, \rightarrow I$)
5.	$(St + Sj) = SS(t + j)$	3,4 =E
6.	$(St + Sj) = S(t + Sj)$	5,2 =E
7.	$[(St + j) = S(t + j)] \rightarrow [(St + Sj) = S(t + Sj)]$	4-6 $\rightarrow I$
8.	$\forall x([(St + x) = S(t + x)] \rightarrow [(St + Sx) = S(t + Sx)])$	7 $\forall I$
9.	$\forall x[(St + x) = S(t + x)]$	1,8 IN
10.	$(St + s) = S(t + s)$	9 $\forall E$

Again, the idea is to bring **IN** into play. Here \mathcal{P} is $(St + x) = S(t + x)$. Given that we have the zero-case on line (1), with standard setup, the derivation reduces to obtaining the formula on (6) given the assumption on (4). Line (6) is like (3) except for the right-hand side. So it is a matter of applying the equalities on (4) and (2) to reach the goal. You should study this derivation, to be sure that you follow the applications of **=E**. If you do, you are managing some reasonably complex applications of the rule!

T6.53. $\text{PA} \vdash_{ND} (t + s) = (s + t)$ *commutativity of addition*

1.	$(t + \emptyset) = t$	T6.40
2.	$(\emptyset + t) = t$	T6.50
3.	$(t + Sj) = S(t + j)$	T6.41
4.	$(Sj + t) = S(j + t)$	T6.52
5.	$(t + \emptyset) = (\emptyset + t)$	1,2 =E
6.	$(t + j) = (j + t)$	A ($g, \rightarrow I$)
7.	$(t + Sj) = S(j + t)$	3,6 =E
8.	$(t + Sj) = (Sj + t)$	7,4 =E
9.	$[(t + j) = (j + t)] \rightarrow [(t + Sj) = (Sj + t)]$	6-8 $\rightarrow I$
10.	$\forall x([(t + x) = (x + t)] \rightarrow [(t + Sx) = (Sx + t)])$	9 $\forall I$
11.	$\forall x[(t + x) = (x + t)]$	5,10 IN
12.	$(t + s) = (s + t)$	11 $\forall E$

Again the derivation is by **IN** where \mathcal{P} is $(t + x) = (x + t)$. We achieve the zero case on (5) from (1) and (2). So the derivation reduces to getting (8) given the assumption on (6). The left-hand side of (8) is like (3). So it is a matter of applying the equalities on (6) and then (4) to reach the goal. Very often the challenge in these cases is not so much doing the derivations, as organizing in your mind which equalities you have, and which are required to reach the goal.

T6.53 is an interesting result! No doubt, you have heard from your mother's knee that $(t + s) = (s + t)$. But it is a sweeping claim with application to *all* numbers. Surely you have not been able to test every case. But here we have a derivation of the result, from the Peano Axioms. And similarly for results that follow. Now that you have this result, recognize that you can use instances of it to switch around terms in additions — just as you would have done automatically for addition in elementary school.

*T6.54. $\text{PA} \vdash_{ND} [(r + s) + \emptyset] = [r + (s + \emptyset)]$

Hint: Begin with $((r + s) + \emptyset) = (r + s)$ as an instance of T6.40. The derivation is then a simple matter of using T6.40 again to “replace” s in the right-hand side with $s + \emptyset$.

*T6.55. $\text{PA} \vdash_{ND} [(r + s) + t] = [r + (s + t)]$ *associativity of addition*

Hint: For an application of **IN** let \mathcal{P} be $[(r + s) + x] = [r + (s + x)]$. You already have the zero case from T6.54. Inside the subderivation for $\rightarrow\text{I}$, use the assumption together with some instances of T6.41 to reach the goal.

Again, once you have this result, be aware that you can use its instances for association as you would have done long ago. It is good to *think* about what the different theorems give you, so that you can make sense of what to use where!

T6.56. $\text{PA} \vdash_{ND} (t \times S\emptyset) = t$

Hint: This does not require **IN**. It is a rather a simple result which you can do in just five lines.

T6.57. $\text{PA} \vdash_{ND} (\emptyset \times t) = \emptyset$

Hint: For an application of **IN**, let \mathcal{P} be $(\emptyset \times x) = \emptyset$. The derivation is easy enough with an application of T6.42 for the zero case, and instances of T6.43 and T6.40 for the main result.

T6.58. $\text{PA} \vdash_{ND} (St \times \emptyset) = [(t \times \emptyset) + \emptyset]$

Hint: This does not require **IN**. It follows rather by some simple applications of T6.40 and T6.42.

T6.59. $\text{PA} \vdash_{ND} (St \times s) = [(t \times s) + s]$

Hint: For this longish derivation, plan to reach the goal through **IN** where \mathcal{P} is $(St \times x) = [(t \times x) + x]$. You will be able to use your assumption for \rightarrow **I** with an instance of T6.43 to show $(St \times Sj) = [(t \times j) + j] + St$. And you should be able to use associativity and the like to manipulate the right-hand side into the result you want. You will need several theorems as premises.

T6.60. $\text{PA} \vdash_{ND} (t \times s) = (s \times t)$ *commutativity for multiplication*

Hint: Plan on reaching the goal by **IN** where \mathcal{P} is $(t \times x) = (x \times t)$. Apart from theorems for the zero case, you will need an instance of T6.43, and an instance of T6.59.

T6.61. $\text{PA} \vdash_{ND} [r \times (s + 0)] = [(r \times s) + (r \times 0)]$

Hint: You will not need **IN** for this.

T6.62. $\text{PA} \vdash_{ND} [r \times (s + t)] = [(r \times s) + (r \times t)]$ *distributivity*

Hint: Plan on reaching the goal by **IN** where \mathcal{P} is $[r \times (s + x)] = [(r \times s) + (r \times x)]$. Perhaps the simplest thing is to start with $[r \times (s + Sj)] = [r \times (s + Sj)]$ by **=I**. Then the left side is what you want, and you can work on the right. Working on the right-hand side, $(s + Sj) = S(s + j)$ by T6.41. And $[r \times S(s + j)] = [(r \times (s + j)) + r]$ by T6.43. With this, you will be able to apply the assumption for \rightarrow **I**. And further simplification should get you to your goal.

T6.63. $\text{PA} \vdash_{ND} [(s + t) \times r] = [(s \times r) + (t \times r)]$ *distributivity*

Hint: You will not need **IN** for this. Rather, it is enough to use T6.62 with a few applications of T6.60.

T6.64. $\text{PA} \vdash_{ND} (r + s) \times (t + u) = [(r \times s) + (r \times u)] + [(s \times t) + s \times u]$

Hint: This is a simple application of distributivity.

T6.65. $\text{PA} \vdash_{ND} [(s \times t) \times 0] = [s \times (t \times 0)]$

Hint: This is easy without an application of **IN**.

T6.66. $\text{PA} \vdash_{ND} [(s \times t) \times r] = [s \times (t \times r)]$ *associativity of multiplication*

Hint: Go after the goal by **IN** where \mathcal{P} is $[(s \times t) \times x] = [s \times (t \times x)]$. You should be able to use the assumption with T6.43 to show that $[(s \times t) \times Sj] = [(s \times (t \times j)) + (s \times t)]$; then you can reduce the right hand side to what you want.

T6.67. $\text{PA} \vdash_{ND} (r + t = s + t) \rightarrow r = s$ *cancellation law for addition*

T6.68. $\text{PA} \vdash_{ND} (s \neq \emptyset \wedge t \times s = r \times s) \rightarrow t = r$ *cancellation law for multiplication*

After you have completed the exercises, if you are looking for more to do, you might take a look at the additional results from T13.13 on p. 633 — or, really, once you get started all of section 13.2 - 13.6 is a playground for proofs in PA.

Peano Arithmetic is thus sufficient for results we could not obtain in Q alone — for “ordinary” arithmetic. However, insofar as it includes the language and results of Q, it too is sufficient for Gödel’s incompleteness theorem. So PA is not complete, and it is not possible for a nicely specified theory including PA to be such that it proves either \mathcal{P} or $\sim\mathcal{P}$ for every \mathcal{P} . But such results must wait for later.

***E6.33.** Produce derivations to show T6.32 - T6.37. Hint: it may help to begin with concrete versions of the theorems and then move to the general case. Thus, for example, for T6.33, show that $\vdash_{ND} (y = j) \rightarrow (g^3xyz = g^3xjz)$. Then you will be able to show the general case.

E6.34. Produce derivations to show each of the following.

- *a.** $\vdash_{ND} \forall x \exists y (x = y)$
- b. $\vdash_{ND} \forall x \exists y (f^1x = y)$
- c. $\vdash_{ND} \forall x \forall y [(Fx \wedge \sim Fy) \rightarrow \sim(x = y)]$
- d. $\forall x (Rxa \rightarrow x = c), \forall x (Rxb \rightarrow x = d), \exists x (Rxa \wedge Rxb) \vdash_{ND} c = d$
- e. $\vdash_{ND} \forall x [\sim(f^1x = x) \rightarrow \forall y ((f^1x = y) \rightarrow \sim(x = y))]$
- f. $\vdash_{ND} \forall x \forall y [(f^1x = y \wedge f^1y = x) \rightarrow f^1f^1x = x]$

Robinson and Peano Arithmetic (ND)Q/PA 1. $\sim(Sx = \emptyset)$ 2. $(Sx = Sy) \rightarrow (x = y)$ 3. $(x + \emptyset) = x$ 4. $(x + Sy) = S(x + y)$ 5. $(x \times \emptyset) = \emptyset$ 6. $(x \times Sy) = [(x \times y) + x]$

IN	a.	$\mathcal{P}_{\emptyset}^x$	a,b IN
	b.	$\forall x(\mathcal{P} \rightarrow \mathcal{P}_{Sx}^x)$	
		$\forall x \mathcal{P}$	

*Derived from PA7*Q7 $\sim(x = \emptyset) \rightarrow \exists y(x = Sy)$ PA7 $[\mathcal{P}_{\emptyset}^x \wedge \forall x(\mathcal{P} \rightarrow \mathcal{P}_{Sx}^x)] \rightarrow \forall x \mathcal{P}$ T6.38 $Q \vdash_{ND} \sim(S t = \emptyset)$ T6.39 $Q \vdash_{ND} (S t = S s) \rightarrow (t = s)$ T6.40 $Q \vdash_{ND} (t + \emptyset) = t$ T6.41 $Q \vdash_{ND} (t + S s) = S(t + s)$ T6.42 $Q \vdash_{ND} (t \times \emptyset) = \emptyset$ T6.43 $Q \vdash_{ND} (t \times S s) = ((t \times s) + t)$ T6.44 $Q \vdash_{ND} \sim(t = \emptyset) \rightarrow \exists y(t = Sy)$ where variable y does not appear in t T6.45 $Q \vdash_{ND} S\emptyset + S\emptyset = SS\emptyset$ T6.46 $Q \vdash_{ND} t + S\emptyset = St$ T6.47 $Q \vdash_{ND} \forall x(x \leq \emptyset \rightarrow x = \emptyset)$ T6.48 $Q \vdash_{ND} \forall x \sim(x < \emptyset)$ T6.49 $PA \vdash_{ND} \sim(t = \emptyset) \rightarrow \exists y(t = Sy)$ (y not in t) and so Q7T6.50 $PA \vdash_{ND} (\emptyset + t) = t$ T6.51 $PA \vdash_{ND} (St + \emptyset) = S(t + \emptyset)$ T6.52 $PA \vdash_{ND} (St + s) = S(t + s)$ T6.53 $PA \vdash_{ND} (t + s) = (s + t)$ commutativity of additionT6.54 $PA \vdash_{ND} [(r + s) + \emptyset] = [r + (s + \emptyset)]$ T6.55 $PA \vdash_{ND} [(r + s) + t] = [r + (s + t)]$ associativity of additionT6.56 $PA \vdash_{ND} (t \times S\emptyset) = t$ T6.57 $PA \vdash_{ND} (\emptyset \times t) = \emptyset$ T6.58 $PA \vdash_{ND} (St \times \emptyset) = [(t \times \emptyset) + \emptyset]$ T6.59 $PA \vdash_{ND} (St \times s) = [(t \times s) + s]$ T6.60 $PA \vdash_{ND} (t \times s) = (s \times t)$ commutativity for multiplicationT6.61 $PA \vdash_{ND} [r \times (s + \emptyset)] = [(r \times s) + (r \times \emptyset)]$ T6.62 $PA \vdash_{ND} [r \times (s + t)] = [(r \times s) + (r \times t)]$ distributivityT6.63 $PA \vdash_{ND} [(s + t) \times r] = [(s \times r) + (t \times r)]$ distributivityT6.64 $PA \vdash_{ND} (r + s) \times (t + u) = [(r \times s) + (r \times u)] + [(s \times t) + s \times u]$ T6.65 $PA \vdash_{ND} [(s \times t) \times \emptyset] = [s \times (t \times \emptyset)]$ T6.66 $PA \vdash_{ND} [(s \times t) \times r] = [s \times (t \times r)]$ associativity of multiplicationT6.67 $PA \vdash_{ND} (r + t = s + t) \rightarrow r = s$ cancellation law for additionT6.68 $PA \vdash_{ND} (s \neq \emptyset \wedge t \times s = r \times s) \rightarrow t = r$ cancellation law for multiplication

- g. $\exists x \exists y Hxy, \forall y \forall z (Dyz \leftrightarrow Hzy), \forall x \forall y (\sim Hxy \vee x = y)$
 $\vdash_{ND} \exists x (Hxx \wedge Dxx)$
- h. $\forall x \forall y [(Rxy \wedge Ryx) \rightarrow x = y], \forall x \forall y (Rxy \rightarrow Ryx)$
 $\vdash_{ND} \forall x [\exists y (Rxy \vee Ryx) \rightarrow Rxx]$
- i. $\exists x \forall y (x = y \leftrightarrow Fy), \forall x (Gx \rightarrow Fx) \vdash_{ND} \forall x \forall y [(Gx \wedge Gy) \rightarrow x = y]$
- j. $\forall x [Fx \rightarrow \exists y (Gyx \wedge \sim Gxy)], \forall x \forall y [(Fx \wedge Fy) \rightarrow x = y]$
 $\vdash_{ND} \forall x (Fx \rightarrow \exists y \sim Fy)$
- k. $\exists x Fx, \forall x \forall y [x = y \vee \sim (Fx \wedge Fy)] \vdash_{ND} \exists x \forall y (x = y \leftrightarrow Fy)$

***E6.35.** Produce derivations to show derived rules for the bounded quantifiers along with T6.40 - T6.44, T6.46 - T6.48 and each of the following. You should hold off on derivations for T6.47 and T6.48 until the end. For any problem, you may appeal to results before.

***a.** $Q \vdash_{ND} (SS\emptyset + S\emptyset) = SSS\emptyset$

b. $Q \vdash_{ND} (SS\emptyset + SS\emptyset) = SSSS\emptyset$

c. $Q \vdash_{ND} (\emptyset + S\emptyset) = S\emptyset$

d. $Q \vdash_{ND} (S\emptyset \times S\emptyset) = S\emptyset$

e. $Q \vdash_{ND} (SS\emptyset \times SS\emptyset) = SSSS\emptyset$

Hint: You may decide some preliminary results will be helpful.

***f.** $Q \vdash_{ND} \sim \exists x (x + SS\emptyset = S\emptyset)$

Hint: Do not forget that you can appeal to T6.38 and T6.39.

g. $Q \vdash_{ND} \forall x [(x = \emptyset \vee x = S\emptyset) \rightarrow x \leq S\emptyset]$

h. $Q \vdash_{ND} \forall x [(x = \emptyset \vee x = S\emptyset) \rightarrow x < SS\emptyset]$

i. $Q \vdash_{ND} (\forall x \leq S\emptyset)(x = \emptyset \vee x = S\emptyset)$

Hint: You will be able to use T6.47 to show that if $a + b = \emptyset$ then $b = \emptyset$.

j. $Q \vdash_{ND} (\forall x \leq S\emptyset)(x \leq SS\emptyset)$

Hint: You may find the previous result helpful.

*E6.36. Produce derivations to show T6.54 - T6.68.

E6.37. Produce a derivation to show T6.49 and so that any *ND* theorem of **Q** is an *ND* theorem of **PA**. Hint: For an application of **IN** let \mathcal{P} be $\sim(x = \emptyset) \rightarrow \exists y(x = Sy)$.

6.4 The system *ND+*

ND+ includes all the rules of *ND*, with four new inference rules, and some new *replacement* rules. It is not possible to derive anything in *ND+* that cannot already be derived in *ND*. Thus the new rules do not add extra derivation power. They are rather “shortcuts” for things that can already be done in *ND*. This is particularly obvious in the case of the inference rules.

For the first, suppose in an *ND* derivation, we have $\mathcal{P} \rightarrow \mathcal{Q}$ and $\sim\mathcal{Q}$ and want to reach $\sim\mathcal{P}$. No doubt, we would proceed as follows.

(CE)	1.	$\mathcal{P} \rightarrow \mathcal{Q}$	P
	2.	$\sim\mathcal{Q}$	P
	<hr/>		
	3.	\mathcal{P}	A (c, \sim I)
	4.	\mathcal{Q}	1,3 \rightarrow E
	5.	\perp	4,1 \perp I
	6.	$\sim\mathcal{P}$	3-5 \sim I

We assume \mathcal{P} , get the contradiction, and conclude by \sim I. Perhaps you have done this so many times that you can do it in your sleep. In *ND+* you are given a way to shortcut the routine, and go directly from an accessible $\mathcal{P} \rightarrow \mathcal{Q}$ on *a*, and an accessible $\sim\mathcal{Q}$ on *b* to $\sim\mathcal{P}$ with justification *a,b* MT (*modus tollens*).

MT	a	$\mathcal{P} \rightarrow \mathcal{Q}$	
	b	$\sim\mathcal{Q}$	
		$\sim\mathcal{P}$	a,b MT

The justification for this is that the rule does not let you do anything that you could not already do in *ND*. So if the rules of *ND* preserve truth, this rule preserves truth. And, as a matter of fact, we already demonstrated that $\mathcal{P} \rightarrow \mathcal{Q}, \sim\mathcal{Q} \vdash_{ND} \sim\mathcal{P}$ in T6.5. Similarly, T6.6, T6.7, T6.8, T6.9 and T6.10 justify the other inference rules included in *ND+*.

NB	a	$\mathcal{P} \leftrightarrow \mathcal{Q}$		a	$\mathcal{P} \leftrightarrow \mathcal{Q}$	
	b	$\sim \mathcal{P}$		b	$\sim \mathcal{Q}$	
		$\sim \mathcal{Q}$	a,b NB		$\sim \mathcal{P}$	a,b NB

NB (*negated biconditional*) lets you move from a biconditional and the negation of one side, to the negation of the other. It is like **MT**, but with the arrow going both ways. The parts are justified in T6.9 and T6.10.

DS	a	$\mathcal{P} \vee \mathcal{Q}$		a	$\mathcal{P} \vee \mathcal{Q}$	
	b	$\sim \mathcal{P}$		b	$\sim \mathcal{Q}$	
		\mathcal{Q}	a,b DS		\mathcal{P}	a,b DS

DS (*disjunctive syllogism*) lets you move from a disjunction and the negation of one side, to the other side of the disjunction. We saw an intuitive version of this rule on p. 28. The two parts are justified by T6.7 and T6.8.

HS	a	$\mathcal{O} \rightarrow \mathcal{P}$	
	b	$\mathcal{P} \rightarrow \mathcal{Q}$	
		$\mathcal{O} \rightarrow \mathcal{Q}$	a,b HS

HS (*hypothetical syllogism*) is a principle of transitivity by which you may string a pair of conditionals together into one. It is justified by T6.6.

Each of these rules should be clear, and easy to use. Here is an example that puts all of them together into one derivation.

(CF)	1.	$A \leftrightarrow B$	P
	2.	$\sim B$	P
	3.	$A \vee (C \rightarrow D)$	P
	4.	$D \rightarrow B$	P
	5.	$\sim A$	1,2 NB
	6.	$C \rightarrow D$	3,5 DS
	7.	$C \rightarrow B$	6,4 HS
	8.	$\sim C$	7,2 MT
	1.	$A \leftrightarrow B$	P
	2.	$\sim B$	P
	3.	$A \vee (C \rightarrow D)$	P
	4.	$D \rightarrow B$	P
	5.	A	A (g, $(3\vee E)$)
	7.	C	A (c, $\sim I$)
	8.	B	1,5 $\leftrightarrow E$
	9.	\perp	8,2 $\perp I$
	10.	$\sim C$	6-8 $\sim I$
	10.	$C \rightarrow D$	A (g, $3\vee E$)
	11.	C	A (c, $\sim I$)
	12.	D	10,11 $\sim E$
	13.	B	4,12 $\rightarrow E$
	14.	\perp	13,2 $\perp I$
	15.	$\sim C$	11-14 $\sim I$
	16.	$\sim C$	3,5-9,10-15 $\vee E$

We can do it by our normal methods with the rules of *ND* as on the right. But it is easier with the shortcuts from *ND+* as on the left. It may take you some time to “see” applications of the new rules when you are doing derivations, but the simplification makes it worth getting used to them.

The replacement rules of *ND+* are different from ones we have seen before in two respects. First, replacement rules go in two directions. Consider the following simple rule.

$$\text{DN} \quad \mathcal{P} \triangleleft \triangleright \sim\sim\mathcal{P}$$

According to **DN** (*double negation*), given \mathcal{P} on an accessible line a , you may move to $\sim\sim\mathcal{P}$ with justification a DN; and given $\sim\sim\mathcal{P}$ on an accessible line a , you may move to \mathcal{P} with justification a DN. This two-way rule is justified by T6.17, in which we showed $\vdash_{ND} \mathcal{P} \leftrightarrow \sim\sim\mathcal{P}$. Given \mathcal{P} we could use the routine from one half of the derivation to reach $\sim\sim\mathcal{P}$, and given $\sim\sim\mathcal{P}$ we could use the routine from the other half of the derivation to reach \mathcal{P} .

But, further, we can use replacement rules to replace a subformula that is just a proper part of another formula. Thus, for example, in the following list, we could move in one step by **DN** from the formula on the left, to any of the ones on the right, and from any of the ones on the right, to the one on the left.

		$\sim\sim[A \wedge (B \rightarrow C)]$
		$\sim\sim A \wedge (B \rightarrow C)$
(CG)	$A \wedge (B \rightarrow C)$	$A \wedge \sim\sim(B \rightarrow C)$
		$A \wedge (\sim\sim B \rightarrow C)$
		$A \wedge (B \rightarrow \sim\sim C)$

The first application is of the sort we have seen before, in which the whole formula is replaced. In the second, the replacement is between the subformulas A and $\sim\sim A$. In the third, between the subformulas $(B \rightarrow C)$ and $\sim\sim(B \rightarrow C)$. The fourth switches B and $\sim\sim B$ and the last C and $\sim\sim C$. Thus the **DN** rule allows the substitution of any subformula \mathcal{P} with one of the form $\sim\sim\mathcal{P}$, and vice versa.

The application of replacement rules to subformulas is not so easily justified as their application to whole formulas. A complete justification that **ND+** does not let you go beyond what can be derived in **ND** will have to wait for **Part III**. Roughly, though, the idea is this: given a complex formula, we can take it apart, do the replacement, and then put it back together. Here is a very simple example from above.

	1.	$A \wedge (B \rightarrow C)$	P
	2.	A	1 \wedge E
	3.	$\sim(B \rightarrow C)$	A (c, \sim I)
	4.	$B \rightarrow C$	1 \wedge E
	5.	\perp	4,3 \perp I
	6.	$\sim\sim(B \rightarrow C)$	3-5 \sim I
	7.	$A \wedge \sim\sim(B \rightarrow C)$	2,6 \wedge I

(CH)	1.	$A \wedge (B \rightarrow C)$	P
	2.	$A \wedge \sim\sim(B \rightarrow C)$	1 DN

On the left, we make the move from $A \wedge (B \rightarrow C)$ to $A \wedge \sim\sim(B \rightarrow C)$ in one step by **DN**. On the right, using just the rules of **ND**, we begin by taking off the A . Then we convert $B \rightarrow C$ to $\sim\sim(B \rightarrow C)$, and put it back together with the A . Though we will not be able to show that sort of thing is generally possible until **Part III**, for now I will continue to say that replacement rules are “justified” by the corresponding biconditionals. As it happens, for replacement rules, the biconditionals play a crucial role in the demonstration that $\Gamma \vdash_{ND} \mathcal{P}$ iff $\Gamma \vdash_{ND+} \mathcal{P}$.

The rest of the replacement rules work the same way.

Com	$\mathcal{P} \wedge \mathcal{Q} \triangleleft \triangleright \mathcal{Q} \wedge \mathcal{P}$
	$\mathcal{P} \vee \mathcal{Q} \triangleleft \triangleright \mathcal{Q} \vee \mathcal{P}$

Com (*commutation*) lets you reverse the order of conjuncts or disjuncts around an operator. By **Com** you could go from, say, $A \wedge (B \vee C)$ to $(B \vee C) \wedge A$, switching the order around \wedge , or from $A \wedge (B \vee C)$ to $A \wedge (C \vee B)$, switching the order around \vee . You should be clear about why this is so. The two forms are justified by **T6.11** and **T6.12**.

$$\begin{array}{l} \text{Assoc} \quad \mathcal{O} \wedge (\mathcal{P} \wedge \mathcal{Q}) \triangleleft \triangleright (\mathcal{O} \wedge \mathcal{P}) \wedge \mathcal{Q} \\ \quad \mathcal{O} \vee (\mathcal{P} \vee \mathcal{Q}) \triangleleft \triangleright (\mathcal{O} \vee \mathcal{P}) \vee \mathcal{Q} \end{array}$$

Assoc (*association*) lets you shift parentheses for conjoined or disjoined formulas. The two forms are justified by T6.15 and T6.16.

$$\begin{array}{l} \text{Idem} \quad \mathcal{P} \triangleleft \triangleright \mathcal{P} \wedge \mathcal{P} \\ \quad \mathcal{P} \triangleleft \triangleright \mathcal{P} \vee \mathcal{P} \end{array}$$

Idem (*idempotence*) exposes the equivalence between \mathcal{P} and $\mathcal{P} \wedge \mathcal{P}$, and between \mathcal{P} and $\mathcal{P} \vee \mathcal{P}$. The two forms are justified by T6.18 and T6.19.

$$\begin{array}{l} \text{Impl} \quad \mathcal{P} \rightarrow \mathcal{Q} \triangleleft \triangleright \sim \mathcal{P} \vee \mathcal{Q} \\ \quad \sim \mathcal{P} \rightarrow \mathcal{Q} \triangleleft \triangleright \mathcal{P} \vee \mathcal{Q} \end{array}$$

Impl (*implication*) lets you move between a conditional and a corresponding disjunction. Thus, for example, by the first form of Impl you could move from $A \rightarrow (\sim B \vee C)$ to $\sim A \vee (\sim B \vee C)$, using the rule from left-to-right, or to $A \rightarrow (B \rightarrow C)$, using the rule from right-to-left. As we will see, this rule can be particularly useful. The two forms are justified by T6.22 and T6.23.

$$\text{Trans} \quad \mathcal{P} \rightarrow \mathcal{Q} \triangleleft \triangleright \sim \mathcal{Q} \rightarrow \sim \mathcal{P}$$

Trans (*transposition*) lets you reverse the antecedent and consequent around a conditional — subject to the addition or removal of negations. From left-to-right, this rule should remind you of MT, as Trans plus $\rightarrow E$ has the same effect as one application of MT. Trans is justified by T6.13.

$$\begin{array}{l} \text{DeM} \quad \sim(\mathcal{P} \wedge \mathcal{Q}) \triangleleft \triangleright \sim \mathcal{P} \vee \sim \mathcal{Q} \\ \quad \sim(\mathcal{P} \vee \mathcal{Q}) \triangleleft \triangleright \sim \mathcal{P} \wedge \sim \mathcal{Q} \end{array}$$

DeM (*DeMorgan*) should remind you of equivalences we learned in chapter 5, for *not both* (the first form) and *neither nor* (the second form). This rule also can be very useful. The two forms are justified by T6.20 and T6.21.

$$\text{Exp} \quad \mathcal{O} \rightarrow (\mathcal{P} \rightarrow \mathcal{Q}) \triangleleft \triangleright (\mathcal{O} \wedge \mathcal{P}) \rightarrow \mathcal{Q}$$

Exp (*exportation*) is another equivalence that may have arisen in translation. It is justified by T6.14.

$$\begin{array}{l} \text{Equiv} \quad \mathcal{P} \leftrightarrow \mathcal{Q} \triangleleft \triangleright (\mathcal{P} \rightarrow \mathcal{Q}) \wedge (\mathcal{Q} \rightarrow \mathcal{P}) \\ \quad \mathcal{P} \leftrightarrow \mathcal{Q} \triangleleft \triangleright (\mathcal{P} \wedge \mathcal{Q}) \vee (\sim \mathcal{P} \wedge \sim \mathcal{Q}) \end{array}$$

Equiv (*equivalence*) converts between a biconditional, and the corresponding pair of conditionals, or converts between a biconditional and a formula on which the sides are both true or both false. The two forms are justified by T6.26 and T6.27.

$$\begin{array}{l} \text{Dist} \quad \mathcal{O} \wedge (\mathcal{P} \vee \mathcal{Q}) \triangleleft \triangleright (\mathcal{O} \wedge \mathcal{P}) \vee (\mathcal{O} \wedge \mathcal{Q}) \\ \quad \mathcal{O} \vee (\mathcal{P} \wedge \mathcal{Q}) \triangleleft \triangleright (\mathcal{O} \vee \mathcal{P}) \wedge (\mathcal{O} \vee \mathcal{Q}) \end{array}$$

Dist (*distribution*) works something like the mathematical principle for multiplying across a sum. In each case, moving from left to right, the operator from outside attaches to each of the parts inside the parenthesis, and the operator from inside becomes the main operator. The two forms are justified by T6.24 and T6.25.

$$\begin{array}{l} \text{QN} \quad \sim \forall x \mathcal{P} \quad \triangleleft \triangleright \quad \exists x \sim \mathcal{P} \\ \quad \quad \sim \exists x \mathcal{P} \quad \triangleleft \triangleright \quad \forall x \sim \mathcal{P} \end{array}$$

QN (*quantifier negation*) is another principle we encountered in chapter 5. It lets you push or pull a negation across a quantifier, with a corresponding flip from one quantifier to the other. The forms are justified by T6.30 and T6.31.

$$\begin{array}{l} \text{BQN} \quad \sim(\forall x \leq t) \mathcal{P} \quad \triangleleft \triangleright \quad (\exists x \leq t) \sim \mathcal{P} \qquad \sim(\forall x < t) \mathcal{P} \quad \triangleleft \triangleright \quad (\exists x < t) \sim \mathcal{P} \\ \quad \quad \sim(\exists x \leq t) \mathcal{P} \quad \triangleleft \triangleright \quad (\forall x \leq t) \sim \mathcal{P} \qquad \sim(\exists x < t) \mathcal{P} \quad \triangleleft \triangleright \quad (\forall x < t) \sim \mathcal{P} \end{array}$$

BQN (*bounded quantifier negation*) applies to the abbreviations introduced on p. 305. It works by analogy with QN. Its demonstration requires a new theorem:

T6.69. The following result in PA:

- (a) $\vdash_{ND} \sim(\forall x \leq t) \mathcal{P} \leftrightarrow (\exists x \leq t) \sim \mathcal{P}$
- (b) $\vdash_{ND} \sim(\exists x \leq t) \mathcal{P} \leftrightarrow (\forall x \leq t) \sim \mathcal{P}$.
- (c) $\vdash_{ND} \sim(\forall x < t) \mathcal{P} \leftrightarrow (\exists x < t) \sim \mathcal{P}$
- (d) $\vdash_{ND} \sim(\exists x < t) \mathcal{P} \leftrightarrow (\forall x < t) \sim \mathcal{P}$.

Demonstration of this result is left to E6.39.

Thus end the rules of *ND+*. They are a lot to absorb at once. But you do not need to absorb all the rules at once. Again, the rules do not let you do anything you could not already do in *ND*. For the most part, you should proceed as if you were in *ND*. If an *ND+* shortcut occurs to you, use it. You will gradually become familiar with more and more of the special *ND+* rules. Perhaps, though, we can make a few observations about strategy that will get you started. First, again, do not get too distracted by the extra rules! You should continue with the overall goal-directed approach from *ND*. There are, however, a few contexts where special rules from *ND+* can make a substantive difference. I comment on three.

First, as we have seen, in *ND*, formulas with \vee can be problematic. $\vee E$ is awkward to apply, and $\vee I$ does not always work. In simple cases, DS can get you out of $\vee E$. But this is not always so, and you will want to keep $\vee E$ among your standard strategies. More importantly, *Impl* can convert between awkward formulas with

ND+ Quick Reference*Inference Rules***MT** (*Modus Tollens*)

a	$\mathcal{P} \rightarrow \mathcal{Q}$
b	$\sim \mathcal{Q}$
	$\sim \mathcal{P}$ a,b MT

NB (*Negated Biconditional*)

a	$\mathcal{P} \leftrightarrow \mathcal{Q}$
b	$\sim \mathcal{P}$
	$\sim \mathcal{Q}$ a,b NB

NB (*Negated Biconditional*)

a	$\mathcal{P} \leftrightarrow \mathcal{Q}$
b	$\sim \mathcal{Q}$
	$\sim \mathcal{P}$ a,b NB

DS (*Disjunctive Syllogism*)

a	$\mathcal{P} \vee \mathcal{Q}$
b	$\sim \mathcal{P}$
	\mathcal{Q} a,b DS

DS (*Disjunctive Syllogism*)

a	$\mathcal{P} \vee \mathcal{Q}$
b	$\sim \mathcal{Q}$
	\mathcal{P} a,b DS

HS (*Hypothetical Syllogism*)

a	$\mathcal{O} \rightarrow \mathcal{P}$
b	$\mathcal{P} \rightarrow \mathcal{Q}$
	$\mathcal{O} \rightarrow \mathcal{Q}$ a,b HS

*Replacement Rules***DN** $\mathcal{P} \triangleleft \triangleright \sim \sim \mathcal{P}$

Com $\mathcal{P} \wedge \mathcal{Q} \triangleleft \triangleright \mathcal{Q} \wedge \mathcal{P}$
 $\mathcal{P} \vee \mathcal{Q} \triangleleft \triangleright \mathcal{Q} \vee \mathcal{P}$

Assoc $\mathcal{O} \wedge (\mathcal{P} \wedge \mathcal{Q}) \triangleleft \triangleright (\mathcal{O} \wedge \mathcal{P}) \wedge \mathcal{Q}$
 $\mathcal{O} \vee (\mathcal{P} \vee \mathcal{Q}) \triangleleft \triangleright (\mathcal{O} \vee \mathcal{P}) \vee \mathcal{Q}$

Idem $\mathcal{P} \triangleleft \triangleright \mathcal{P} \wedge \mathcal{P}$
 $\mathcal{P} \triangleleft \triangleright \mathcal{P} \vee \mathcal{P}$

Impl $\mathcal{P} \rightarrow \mathcal{Q} \triangleleft \triangleright \sim \mathcal{P} \vee \mathcal{Q}$
 $\sim \mathcal{P} \rightarrow \mathcal{Q} \triangleleft \triangleright \mathcal{P} \vee \mathcal{Q}$

Trans $\mathcal{P} \rightarrow \mathcal{Q} \triangleleft \triangleright \sim \mathcal{Q} \rightarrow \sim \mathcal{P}$

DeM $\sim(\mathcal{P} \wedge \mathcal{Q}) \triangleleft \triangleright \sim \mathcal{P} \vee \sim \mathcal{Q}$
 $\sim(\mathcal{P} \vee \mathcal{Q}) \triangleleft \triangleright \sim \mathcal{P} \wedge \sim \mathcal{Q}$

Exp $\mathcal{O} \rightarrow (\mathcal{P} \rightarrow \mathcal{Q}) \triangleleft \triangleright (\mathcal{O} \wedge \mathcal{P}) \rightarrow \mathcal{Q}$

Equiv $\mathcal{P} \leftrightarrow \mathcal{Q} \triangleleft \triangleright (\mathcal{P} \rightarrow \mathcal{Q}) \wedge (\mathcal{Q} \rightarrow \mathcal{P})$
 $\mathcal{P} \leftrightarrow \mathcal{Q} \triangleleft \triangleright (\mathcal{P} \wedge \mathcal{Q}) \vee (\sim \mathcal{P} \wedge \sim \mathcal{Q})$

Dist $\mathcal{O} \wedge (\mathcal{P} \vee \mathcal{Q}) \triangleleft \triangleright (\mathcal{O} \wedge \mathcal{P}) \vee (\mathcal{O} \wedge \mathcal{Q})$
 $\mathcal{O} \vee (\mathcal{P} \wedge \mathcal{Q}) \triangleleft \triangleright (\mathcal{O} \vee \mathcal{P}) \wedge (\mathcal{O} \vee \mathcal{Q})$

QN $\sim \forall x \mathcal{P} \triangleleft \triangleright \exists x \sim \mathcal{P}$
 $\sim \exists x \mathcal{P} \triangleleft \triangleright \forall x \sim \mathcal{P}$

BQN $\sim(\forall x \leq t) \mathcal{P} \triangleleft \triangleright (\exists x \leq t) \sim \mathcal{P}$ $\sim(\forall x < t) \mathcal{P} \triangleleft \triangleright (\exists x < t) \sim \mathcal{P}$
 $\sim(\exists x \leq t) \mathcal{P} \triangleleft \triangleright (\forall x \leq t) \sim \mathcal{P}$ $\sim(\exists x < t) \mathcal{P} \triangleleft \triangleright (\forall x < t) \sim \mathcal{P}$

main operator \vee and more manageable ones with main operator \rightarrow . For premises, this does not help much. **DS** gets you just as much as **Impl** and then $\rightarrow E$ or **MT** (think about it). But converting to \rightarrow does matter when a *goal* has main operator \vee . Although a disjunction may be derivable, but not by **$\vee I$** , if a conditional is derivable, it *is* derivable by **$\rightarrow I$** . Thus to reach a goal with main operator \vee , consider going for the corresponding \rightarrow , and converting with **Impl**.

given	$\mathcal{A} \vee \mathcal{B}$ (goal)	use	a.	$\sim \mathcal{A}$	$\mathcal{A} (g \rightarrow I)$
			b.	\mathcal{B}	(goal)
				$\sim \mathcal{A} \rightarrow \mathcal{B}$	$\rightarrow I$
				$\mathcal{A} \vee \mathcal{B}$	Impl

And the other form of **Impl** may be helpful for a goal of the sort $\sim \mathcal{A} \vee \mathcal{B}$. Here is a quick example.

(CI)	1.	$\sim \mathcal{A}$	$\mathcal{A} (g, \rightarrow I)$	1.	$\sim (\mathcal{A} \vee \sim \mathcal{A})$	$\mathcal{A} (c, \sim E)$
	2.	$\sim \mathcal{A}$	1 R	2.	\mathcal{A}	$\mathcal{A} (c, \sim I)$
	3.	$\sim \mathcal{A} \rightarrow \sim \mathcal{A}$	1-2 $\rightarrow I$	3.	$\mathcal{A} \vee \sim \mathcal{A}$	2 $\vee I$
	4.	$\mathcal{A} \vee \sim \mathcal{A}$	3 Impl	4.	\perp	4,1 $\perp I$
				5.	$\sim \mathcal{A}$	2-4 $\sim I$
				6.	$\mathcal{A} \vee \sim \mathcal{A}$	5 $\vee I$
				7.	\perp	6,1 $\perp I$
				8.	$\mathcal{A} \vee \sim \mathcal{A}$	1-7 $\sim E$

The derivation on the left using **Impl** is completely trivial, requiring just a derivation of $\sim \mathcal{A} \rightarrow \sim \mathcal{A}$. But the derivation on the right is not. It falls through to **SG5**, and then requires a challenging application of **SC3** or **SC4**. This proposed strategy replaces or simplifies the pattern (**AQ**) for disjunctions described on p. 268. Observe that the *work* — getting to one side of a disjunction from the negation of the other, is exactly the same. It is only that we use the derived rule to simplify away the distracting and messy setup.

Second, among the most useless formulas for exploitation are ones with main operator \sim . But the combination of **QN**, **DeM**, **Impl**, and **Equiv** let you “push” negations into arbitrary formulas. Thus you can convert formulas with main operator \sim into a more useful form. To see how these rules can be manipulated, consider the following sequence.

(CJ)	1.	$\neg\exists x(Ax \rightarrow Bx)$	P
	2.	$\forall x\neg(Ax \rightarrow Bx)$	1 QN
	3.	$\forall x\neg(\neg Ax \vee Bx)$	2 Impl
	4.	$\forall x(\neg\neg Ax \wedge \neg Bx)$	3 DeM
	5.	$\forall x(Ax \wedge \neg Bx)$	4 DN

We begin with the negation as main operator, and end with a negation only against an atomic. This sort of thing is often very useful. For example, in going for a contradiction, you have the option of “breaking down” a formula with main operator \sim rather than automatically building up to its opposite, according to **SC3**. And other strategies can be affected as well. Thus, for example, if you see a negated universal on some accessible line, you should think of it as if it were an existentially quantified expression: push the negation through, get the existential, and go for the goal by **∃E** as usual. Here is an example.

(ZZ)	1.	$\neg\forall x(Fx \rightarrow Gx)$	P
	2.	$\exists x\neg(Fx \rightarrow Gx)$	1 QN
	3.	$\neg(Fj \rightarrow Gj)$	A (g, $\exists E$)
	4.	$\neg(\neg Fj \vee Gj)$	3 Impl
	5.	$\neg\neg Fj \wedge \neg Gj$	4 DeM
	6.	$\neg Gj$	5 $\wedge E$
	7.	$\exists x\neg Gx$	6 $\exists I$
	8.	$\exists x\neg Gx$	2,3-7 $\exists E$
	1.	$\neg\forall x(Fx \rightarrow Gx)$	P
	2.	$\neg\exists x\neg Gx$	A (c $\sim E$)
	3.	Fj	A (g, $\rightarrow I$)
	4.	$\neg Gj$	A (c, $\sim E$)
	5.	$\exists x\neg Gx$	4 $\exists I$
	6.	\perp	5,2 $\perp I$
	7.	Gj	4-6 $\sim E$
	8.	$Fj \rightarrow Gj$	3-7 $\rightarrow I$
	9.	$\forall x(Fx \rightarrow Gx)$	8 $\forall I$
	10.	\perp	9,1 $\perp I$
	11.	$\exists x\neg Gx$	2-10 $\sim E$

The derivation on the left is much to be preferred over the one on the right, where we are caught up in a difficult case of **SG5** and then **SC3** or **SC4**. But, after **QN**, the derivation on the left is straightforward — and would be relatively straightforward even if we missed the uses of **Impl** and **DeM**. Observe that, as above, the uses of **Impl** and **DeM** help us convert the negated conditional into a conjunction that can be broken into its parts.

Finally, observe that derivations which can be conducted entirely by replacement rules are “reversible.” Thus, for a simple case,

(ZZ)	1.	$\neg(A \wedge \neg B)$	$A (g, \leftrightarrow I)$
	2.	$\neg A \vee \neg \neg B$	1 DeM
	3.	$\neg A \vee B$	2 DN
	4.	$A \rightarrow B$	3 Impl
	5.	$A \rightarrow B$	$A (g, \leftrightarrow I)$
	6.	$\neg A \vee B$	5 Impl
	7.	$\neg A \vee \neg \neg B$	6 DN
	8.	$\neg(A \wedge \neg B)$	7 DeM
	9.	$\neg(A \wedge \neg B) \leftrightarrow (A \rightarrow B)$	1-4,5-8 $\leftrightarrow I$

We set up for $\leftrightarrow I$ in the usual way. Then the subderivations work by precisely the same steps, **DeM**, **DN**, **Impl**, but in the reverse order. This is not surprising since replacement rules work in both directions. Notice that reversal does *not* generally work where regular inference rules are involved.

The rules of *ND+* are not a “magic bullet” to make all difficult derivations go away! Rather, with the derived rules, we set aside a certain sort of difficulty that should no longer worry us, so that we are in a position to take on new challenges without becoming overwhelmed by details.

E6.38. Produce derivations to show each of the following.

- a. $\neg \exists x(\neg Rx \wedge Sxx), Saa \vdash_{ND+} Ra$
- b. $\forall x(\neg Ax f^1 x \vee \exists y Bg^1 y) \vdash_{ND+} \exists x A f^1 x f^1 f^1 x \rightarrow \exists y Bg^1 y$
- c. $\forall x[(\neg Cxb \vee Hx) \rightarrow Lxx], \exists y \neg Lyy \vdash_{ND+} \exists x Cxb$
- d. $\neg \exists x(Fx \wedge Gx) \vee \exists x \neg Gx, \forall y Gy \vdash_{ND+} \forall z(Fz \rightarrow \neg Gz)$
- e. $\forall x Fx, \forall z Hx \vdash_{ND+} \neg \exists y(\neg Fy \vee \neg Hy)$
- *f. $\forall x \forall y \exists z A f^1 x y z, \forall x \forall y \forall z [A x y z \rightarrow \neg (C x y z \vee B z y x)]$
 $\vdash_{ND+} \exists x \exists y \neg \forall z B z g^1 y f^1 g^1 x$
- g. $\neg \exists x \forall y (Pxy \wedge \neg Qxy) \vdash_{ND+} \forall x \exists y (Pxy \rightarrow Qxy)$
- h. $\neg \exists y (Ty \vee \exists x \neg Hxy) \vdash_{ND+} \forall x \forall y Hxy \wedge \forall x \neg Tx$
- i. $\exists x (Fx \rightarrow \exists y \neg Fy) \vdash_{ND+} \neg \forall x Fx$
- j. $\vdash_{ND+} \forall x (Ax \rightarrow Bx) \vee \exists x Ax$
- k. $\vdash_{ND+} \forall x (Fx \vee A) \rightarrow (\forall x Fx \vee A)$

- l. $\exists x(Fx \leftrightarrow Gx), \forall x[Gx \rightarrow (Hx \rightarrow Jx)]$
 $\vdash_{ND+} \exists xJx \vee [\forall xFx \rightarrow \exists x(Gx \wedge \sim Hx)]$
- m. $\exists x[\sim Bxa \wedge \forall y(Cy \rightarrow \sim Gxy)], \forall z[\sim \forall y(Wy \rightarrow Gzy) \rightarrow Bza]$
 $\vdash_{ND+} \forall x(Cx \rightarrow \sim Wx)$
- *n. $\exists xFx \rightarrow \sim \forall yGy, \forall x(Kx \rightarrow \exists yJy), \exists y\sim Gy \rightarrow \exists xKx$
 $\vdash_{ND+} \sim \exists xFx \vee \exists yJy$
- o. $\exists zQz \rightarrow \forall w(Lww \rightarrow \sim Hw), \exists xBx \rightarrow \forall y(Ay \rightarrow Hy)$
 $\vdash_{ND+} \exists w(Qw \wedge Bw) \rightarrow \forall y(Lyy \rightarrow \sim Ay)$
- p. $\sim \forall x(\sim Px \vee \sim Hx) \rightarrow \forall x[Cx \wedge \forall y(Ly \rightarrow Axy)], \exists x[Hx \wedge \forall y(Ly \rightarrow Axy)] \rightarrow$
 $\forall x(Rx \wedge \forall yBxy) \vdash_{ND+} \sim \forall x\forall yBxy \rightarrow \forall x(\sim Px \vee \sim Hx)$
- q. $\vdash_{ND+} (\exists xAx \rightarrow \exists xBx) \rightarrow \exists x(Ax \rightarrow Bx)$
- r. $\forall xFx \rightarrow A \vdash_{ND+} \exists x(Fx \rightarrow A)$
- s. $\forall x\exists y(Ax \vee By) \vdash_{ND+} \exists y\forall x(Ax \vee By)$
- t. $\forall xFx \leftrightarrow \sim \exists x\exists yRxy \vdash_{ND+} \exists x\forall y\forall z(Fx \rightarrow \sim Ryz)$

E6.39. Provide derivations to demonstrate BQN for the bounded quantifiers (in the case of \leq). That is show T6.69a and T6.69b. Hint: Do not forget your derived rules for the bounded quantifiers.

E6.40. For each of the following, produce a translation into \mathcal{L}_q , including interpretation function and formal sentences, and show that the resulting arguments are valid in ND .

- a. If a first person is taller than a second, then the second is not taller than the first. So nobody is taller than themselves. (An asymmetric relation is irreflexive.)
- b. A barber shaves all and only people who do not shave themselves. So there are no barbers.
- c. Bob is taller than every other man. If a first person is taller than a second, then the second is not taller than the first. So only Bob is taller than every other man.

- d. There is at most one dog, and at least one flea. Any dog is a host for some flea, and any flea has a dog for a host. So there is exactly one dog.
- e. Some conception includes god. If one conception includes a thing and another does not, then the greatness of the thing in the first exceeds its greatness in the other. The greatness of no thing in any conception exceeds that of god in a true conception. Therefore, god is included in any true conception.

Hints: Let your universe include conceptions, things in them, along with measures of greatness. Then implement a greatness function $g^2 = \{\langle m, n \rangle, o \mid o \text{ is the greatness of } m \text{ in conception } n\}$. With an appropriate relation symbol, the greatness of a thing in one conception then exceeds that of a thing in another if something like Eg^2wxg^2yz . This, of course, is a version of Anselm's Ontological Argument. For discussion see, Plantinga, *God, Freedom, and Evil*.

- E6.41. For each of the following concepts, explain in an essay of about two pages, so that Hannah could understand. In your essay, you should (i) identify the objects to which the concept applies, (ii) give and explain the definition, and give and explicate examples of your own construction (iii) where the concept applies, and (iv) where it does not. Your essay should exhibit an understanding of methods from the text.
- a. The rules $\forall I$ and $\exists E$, including especially restrictions on the rules.
 - b. The axioms of Q and PA and the way theorems derive from them.
 - c. The relation between the rules of ND and the rules of $ND+$.

Part II

Transition: Reasoning About Logic

Introductory

We have expended a great deal of energy learning to do logic. What we have learned constitutes the complete classical predicate calculus with equality. This is a system of tremendous power including for reasoning in foundations of arithmetic.

But our work itself raises questions. In [chapter 4](#) we used truth trees and tables for an account of the conditions under which sentential formulas are true and arguments are valid. In the quantificational case, though, we were not able to use our graphical methods for a general account of truth and validity — there were simply too many branches, and too many interpretations, for a general account by means of trees. Thus there is an open question about whether and how quantificational validity can be shown.

And once we have introduced our notions of validity, many interesting questions can be asked about how they work: are the arguments that are valid in *AD* the same as the ones that are valid in *ND*? are the arguments that are valid in *ND* the same as the ones that are quantificationally valid? Are the theorems of *Q* the same as the theorems of *PA*? are theorems of *PA* the same as the truths on *N* the standard interpretation for number theory? Is it possible for a computing device to identify the theorems of the different logical systems?

It is one thing to ask such questions, and perhaps amazing that there are demonstrable answers. We will come to that. However, in this short section we do not attempt answers. Rather, we put ourselves in a position to think about answers by introducing methods for thinking about logic. Thus this part looks both backward and forward: By our methods we plug the hole left from [chapter 4](#): in [chapter 7](#) we accomplish what could not be done with the tables and trees of [chapter 4](#), and are able to demonstrate quantificational validity. At the same time, we lay a foundation to ask and answer core questions about logic.

[Chapter 7](#) begins with our basic method of reasoning from definitions. [Chapter 8](#) introduces mathematical induction. These methods are important not only for results, but for their own sakes, as part of the “package” that comes with mathematical logic.

Chapter 7

Direct Semantic Reasoning

It is the task of this chapter to think about reasoning directly from definitions. Frequently, students who already reason quite skillfully with definitions flounder when asked to do so explicitly, in the style of this chapter.¹ Thus I propose to begin in a restricted context — one with which we are already familiar, using a fairly rigid framework as a guide. Perhaps you first learned to ride a bicycle with training wheels, but eventually learned to ride without them, and so to go faster, and to places other than the wheels would let you go. Similarly, in the end, we will want to apply our methods beyond the restricted context in which we begin, working outside the initial framework. But the framework should give us a good start. In this section, then, I introduce the framework in the context of reasoning for specifically *semantic* notions, and against the background of semantic reasoning we have already done.

In [chapter 4](#) we used truth trees and tables for an account of the conditions under which sentential formulas are true and arguments are valid. In the quantificational case, though, we were not able to use our graphical methods for a general account of truth and validity — there were simply too many branches, and too many interpretations, for a general account by means of trees. For a complete account, we will need to reason more directly from the definitions. But the tables and trees *do* exhibit the semantic definitions. So we can build on what we have already done with them. Our goal will be to move past the tables and trees, and learn to function without

¹The ability to reason clearly and directly with definitions is important not only here, but also beyond. In philosophy, compare the humorous, but also serious, verb *to chisholm* after Roderick Chisholm, who was a master of the technique — where one proposes a definition; considers a counterexample; modifies to account for the example; considers another counterexample; modifies again; and so forth. As, “He started with definition (d.8) and kept chisholming away at it until he ended up with (d.8''''''''')” (*The Philosopher’s Lexicon*). Such reasoning is impossible to understand apart from explicit attention to consequences of definitions of the sort we have in mind.

them. After some general remarks, we start with the sentential case, and move to the quantificational.

7.1 General

I begin with some considerations about what we are trying to accomplish, and how it is related to what we have done. Consider the following row of a truth table, meant to show that $B \rightarrow C \not\models_s \sim B$.

(A)

B	C	$B \rightarrow C$	$/$	$\sim B$
T	T	T	T	F

Since there is an interpretation on which the premise is true and the conclusion is not, the argument is not sententially valid. Now, what justifies the move from $I[B] = T$ and $I[C] = T$, to the conclusion that $B \rightarrow C$ is T ? One might respond, “the truth table.” But the truth table, $T(\rightarrow)$ is itself derived from definition $ST(\rightarrow)$. According to $ST(\rightarrow)$, for sentences \mathcal{P} and \mathcal{Q} , $I[(\mathcal{P} \rightarrow \mathcal{Q})] = T$ iff $I[\mathcal{P}] = F$ or $I[\mathcal{Q}] = T$ (or both). In this case, $I[C] = T$; so $I[B] = F$ or $I[C] = T$; so the condition from $ST(\rightarrow)$ is met, and $I[B \rightarrow C] = T$. It may seem odd to move from $I[C] = T$; to $I[B] = F$ or $I[C] = T$, when in fact $I[B] = T$; but it is certainly *correct* — just as for $\forall I$ in ND , the point is merely to make explicit that, in virtue of the fact that $I[C] = T$, the interpretation meets the disjunctive condition from $ST(\rightarrow)$. And what justifies the move from $I[B] = T$ to the conclusion that $I[\sim B] = F$? $ST(\sim)$. According to $ST(\sim)$, for any sentence \mathcal{P} , $I[\sim \mathcal{P}] = T$ iff $I[\mathcal{P}] = F$. In this case, $I[B] = T$; and since $I[B]$ is not F , $I[\sim B]$ is not T ; so $I[\sim B] = F$. Similarly, definition SV justifies the conclusion that the argument is not sententially valid. According to SV , $\Gamma \models_s \mathcal{P}$ just in case there is no sentential interpretation I such that $I[\Gamma] = T$ but $I[\mathcal{P}] = F$. Since we have produced an I such that $I[B \rightarrow C] = T$ but $I[\sim B] = F$, it follows that $B \rightarrow C \not\models_s \sim B$. So the definitions drive the tables.

In [chapter 4](#), we used tables to express these conditions. But we *might* have reasoned directly.

- (B) Consider any interpretation I such that $I[B] = T$ and $I[C] = T$. Since $I[C] = T$, $I[B] = F$ or $I[C] = T$; so by $ST(\rightarrow)$, $I[B \rightarrow C] = T$. But since $I[B] = T$, by $ST(\sim)$, $I[\sim B] = F$. So there is a sentential interpretation I such that $I[B \rightarrow C] = T$ but $I[\sim B] = F$; so by SV , $B \rightarrow C \not\models_s \sim B$.

Presumably, all this is “contained” in the one line of the truth table, when we use it to conclude that the argument is not sententially valid.

Similarly, consider the following table, meant to show that $\sim \sim A \models_s \sim A \rightarrow A$.

(C)

A	$\sim \sim A$	$\sim A \rightarrow A$
T	T	T
F	F	F

Since there is no row where the premise is true and the conclusion is false, the argument is sententially valid. Again, **ST**(\sim) and **ST**(\rightarrow) justify the way you build the table. And **SV** lets you conclude that the argument is sententially valid. Since no row makes the premise true and the conclusion false, and any sentential interpretation is like some row in its assignment to A , no sentential interpretation makes the premise true and conclusion false; so, by **SV**, the argument is sententially valid.

Thus the table represents reasoning as follows (omitting the second row). To follow, notice how we simply reason through each “place” in a row, and then about whether the row shows invalidity.

- (D) For any sentential interpretation I , either (i) $I[A] = T$ or (ii) $I[A] = F$. Suppose (i); then $I[A] = T$; so by **ST**(\sim), $I[\sim A] = F$; so by **ST**(\sim) again, $I[\sim \sim A] = T$. But $I[A] = T$, and by **ST**(\sim), $I[\sim A] = F$; from either of these it follows that $I[\sim A] = F$ or $I[A] = T$; so by **ST**(\rightarrow), $I[\sim A \rightarrow A] = T$. From this either $I[\sim \sim A] = F$ or $I[\sim A \rightarrow A] = T$; so it is not the case that $I[\sim \sim A] = T$ and $I[\sim A \rightarrow A] = F$. Suppose (ii); then by related reasoning...it is not the case that $I[\sim \sim A] = T$ and $I[\sim A \rightarrow A] = F$. So no interpretation makes it the case that $I[\sim \sim A] = T$ and $I[\sim A \rightarrow A] = F$. So by **SV**, $\sim \sim A \models_s \sim A \rightarrow A$.

Thus we might recapitulate reasoning in the table. Perhaps we typically “whip through” tables without explicitly considering all the definitions involved. But the definitions *are* involved when we complete the table.

Strictly, though, not all of this is necessary for the conclusion that the argument is valid. Thus, for example, in the reasoning at (i), for the conditional there is no need to establish that both $I[\sim A] = F$ and that $I[A] = T$. From either, it follows that $I[\sim A] = F$ or $I[A] = T$; and so by **ST**(\rightarrow) that $I[\sim A \rightarrow A] = T$. So we might have omitted one or the other. Similarly at (i) there is no need to make the point that $I[\sim \sim A] = T$. What matters is that $I[\sim A \rightarrow A] = T$, so that $I[\sim \sim A] = F$ or $I[\sim A \rightarrow A] = T$, and it is therefore not the case that $I[\sim \sim A] = T$ and $I[\sim A \rightarrow A] = F$. So reasoning for the full table might be “shortcut” as follows.

- (E) For any sentential interpretation either (i) $I[A] = T$ or (ii) $I[A] = F$. Suppose (i); then $I[A] = T$; so $I[\sim A] = F$ or $I[A] = T$; so by **ST**(\rightarrow), $I[\sim A \rightarrow A] = T$. From this either $I[\sim \sim A] = F$ or $I[\sim A \rightarrow A] = T$; so it is not the case that $I[\sim \sim A] = T$ and $I[\sim A \rightarrow A] = F$. Suppose (ii); then $I[A] = F$; so by **ST**(\sim), $I[\sim A] = T$; so by **ST**(\sim) again, $I[\sim \sim A] = F$; so either $I[\sim \sim A] = F$ or $I[\sim A \rightarrow A] = T$; so it is not the case that $I[\sim \sim A] = T$ and $I[\sim A \rightarrow A] = F$. So no interpretation makes it the case that $I[\sim \sim A] = T$ and $I[\sim A \rightarrow A] = F$. So by **SV**, $\sim \sim A \models_s \sim A \rightarrow A$.

This is better. These shortcuts may reflect what you have already done when you realize that, say, a true conclusion eliminates the need to think about the premises on some row of a table. Though the shortcuts make things better, however, the idea of reasoning in this way corresponding to a 4, 8 or more (!) row table remains painful. But there is a way out.

Recall what happens when you apply the short truth-table method from [chapter 4](#) to valid arguments. You start with the assumption that the premises are true and the conclusion is not. If the argument is valid, you reach some conflict so that it is not, in fact, possible to complete the row. Then, as we said on p. 110, you know “in your heart” that the argument is valid. Let us turn this into an official argument form.

(F) Suppose $\sim\sim A \not\models_s \sim A \rightarrow A$; then by [SV](#), there is an I such that $I[\sim\sim A] = T$ and $I[\sim A \rightarrow A] = F$. From the former, by [ST\(\$\sim\$ \)](#), $I[\sim A] = F$. But from the latter, by [ST\(\$\rightarrow\$ \)](#), $I[\sim A] = T$ and $I[A] = F$; and since $I[\sim A] = T$, $I[\sim A] \neq F$. This is impossible; reject the assumption: $\sim\sim A \models_s \sim A \rightarrow A$.

This is better still. The assumption that the argument is invalid leads to the conclusion that for some I , $I[\sim A] = T$ and $I[\sim A] = F$; but a formula is T just in case it is not F , so this is impossible and we reject the assumption. The pattern is like $\sim I$ in [ND](#). This approach is particularly important insofar as we do not reason individually about each of the possible interpretations. This is nice in the sentential case, when there are too many to reason about conveniently. And in the quantificational case, we will not be *able* to argue individually about each of the possible interpretations. So we need to avoid talking about interpretations one-by-one.

Thus we arrive at two strategies: To show that an argument is invalid, we produce an interpretation, and show by the definitions that it makes the premises true and the conclusion not. That is what we did in [\(B\)](#) above. To show that an argument is valid, we assume the opposite, and show by the definitions that the assumption leads to contradiction. Again, that is what we did just above, at [\(F\)](#).

Before we get to the details, let us consider an important point about what we are trying to do: Our *reasoning* takes place in the metalanguage, based on the definitions — where object-level expressions are *uninterpreted* apart from the definitions. To see this, ask yourself whether a sentence \mathcal{P} conflicts with $\mathcal{P} \mid \mathcal{P}$. “Well,” you might respond, “I have never encountered this symbol ‘ \mid ’ before, so I am not in a position to say.” But that is the point: whether \mathcal{P} conflicts with $\mathcal{P} \mid \mathcal{P}$ depends entirely on a definition for *stroke* ‘ \mid ’. As it happens, this symbol is typically read “not-both” as given by what might be a further clause of [ST](#),

ST(I) For any sentences \mathcal{P} and \mathcal{Q} , $I[(\mathcal{P} \mid \mathcal{Q})] = T$ iff $I[\mathcal{P}] = F$ or $I[\mathcal{Q}] = F$ (or both); otherwise $I[(\mathcal{P} \mid \mathcal{Q})] = F$.

The resultant table is,

	\mathcal{P}	\mathcal{Q}	$\mathcal{P} \mid \mathcal{Q}$
T(I)	T	T	F
	T	F	T
	F	T	T
	F	F	T

$\mathcal{P} \mid \mathcal{Q}$ is false when \mathcal{P} and \mathcal{Q} are both T, and otherwise true. Given this, \mathcal{P} does conflict with $\mathcal{P} \mid \mathcal{P}$. Suppose $\mathcal{I}[\mathcal{P}] = \text{T}$ and $\mathcal{I}[\mathcal{P} \mid \mathcal{P}] = \text{T}$; from the latter, by **ST(I)**, $\mathcal{I}[\mathcal{P}] = \text{F}$ or $\mathcal{I}[\mathcal{P}] = \text{F}$; either way, $\mathcal{I}[\mathcal{P}] = \text{F}$; but this is impossible given our assumption that $\mathcal{I}[\mathcal{P}] = \text{T}$. In fact, $\mathcal{P} \mid \mathcal{P}$ has the same table as $\sim\mathcal{P}$, and $\mathcal{P} \mid (\mathcal{Q} \mid \mathcal{Q})$ the same as $\mathcal{P} \rightarrow \mathcal{Q}$.

	\mathcal{P}	$\mathcal{P} \mid \mathcal{P}$		\mathcal{P}	\mathcal{Q}	$\mathcal{P} \mid (\mathcal{Q} \mid \mathcal{Q})$
(G)	T	F		T	T	T F
	T	T		T	F	F T
	F			F	T	T F
	F			F	F	T T

From this, we *might* have treated \sim and \rightarrow , and so \wedge , \vee and \leftrightarrow , all as abbreviations for expressions whose only operator is \mid . At best, however, this leaves official expressions difficult to read. Here is the point that matters: Operators have their significance entirely from the definitions. In this chapter, we make metalinguistic claims *about* object expressions, where these can only be based on the definitions. \mathcal{P} and $\mathcal{P} \mid \mathcal{P}$ do not themselves conflict, apart from the definition which makes \mathcal{P} with $\mathcal{P} \mid \mathcal{P}$ have the consequence that $\mathcal{I}[\mathcal{P}] = \text{T}$ and $\mathcal{I}[\mathcal{P}] = \text{F}$. And similarly for operators with which we are more familiar. At every stage, it is the *definitions* which justify conclusions.

7.2 Sentential

With this much said, it remains possible to become confused about details while working with the definitions. It is one thing to be able to follow such reasoning — as I hope you have been able to do — and another to produce it. The idea now is to make use of something at which we are already good, doing derivations, to further structure and guide the way we proceed. The result will be a sort of derivation system for reasoning about definitions. We build up this system in stages.

7.2.1 Truth

Let us begin with some notation. Where the script characters $\mathcal{A}, \mathcal{B}, \mathcal{C}, \mathcal{D} \dots$ represent object expressions in the usual way, let the Fraktur characters $\mathfrak{A}, \mathfrak{B}, \mathfrak{C}, \mathfrak{D} \dots$

represent *metalinguistic* expressions (‘ \mathfrak{A} ’ is the Fraktur ‘A’). Thus \mathfrak{A} might represent an expression of the sort $I[B] = \top$. Then \Rightarrow and \Leftrightarrow are the metalinguistic conditional and biconditional respectively; \neg , Δ , ∇ and \perp represent metalinguistic negation, conjunction, disjunction and contradiction. In practice, negation is indicated by the slash (\neq) as well.

Now consider the following restatement of definition [ST](#). Each clause is given in both a positive and a negative form. For any sentences \mathcal{P} and \mathcal{Q} and interpretation I ,

$$\begin{aligned} \text{ST} \quad (\sim) \quad I[\sim \mathcal{P}] = \top &\Leftrightarrow I[\mathcal{P}] \neq \top & I[\sim \mathcal{P}] \neq \top &\Leftrightarrow I[\mathcal{P}] = \top \\ (\rightarrow) \quad I[\mathcal{P} \rightarrow \mathcal{Q}] = \top &\Leftrightarrow I[\mathcal{P}] \neq \top \vee I[\mathcal{Q}] = \top & I[\mathcal{P} \rightarrow \mathcal{Q}] \neq \top &\Leftrightarrow I[\mathcal{P}] = \top \Delta I[\mathcal{Q}] \neq \top \end{aligned}$$

Given the new symbols, and that a sentence is **F** iff it is not true, this is a simple restatement of [ST](#). As we develop our formal system, we will treat the metalinguistic biconditionals both as (replacement) rules and as axioms. Thus, for example, it will be legitimate to move by [ST](#)(\sim) directly from $I[\mathcal{P}] \neq \top$ to $I[\sim \mathcal{P}] = \top$, moving from right-to-left across the arrow. And similarly in the other direction. Alternatively, it will be appropriate to assert by [ST](#)(\sim) the entire biconditional, that $I[\sim \mathcal{P}] = \top \Leftrightarrow I[\mathcal{P}] \neq \top$. For now, we will mostly use the biconditionals, in the first form, as rules.

To manipulate the definitions, we require some rules. These are like ones you have seen before, only pitched at the metalinguistic level.

com	$\mathfrak{A} \nabla \mathfrak{B} \Leftrightarrow \mathfrak{B} \nabla \mathfrak{A}$		$\mathfrak{A} \Delta \mathfrak{B} \Leftrightarrow \mathfrak{B} \Delta \mathfrak{A}$
idm	$\mathfrak{A} \Leftrightarrow \mathfrak{A} \nabla \mathfrak{A}$		$\mathfrak{A} \Leftrightarrow \mathfrak{A} \Delta \mathfrak{A}$
dem	$\neg(\mathfrak{A} \Delta \mathfrak{B}) \Leftrightarrow \neg \mathfrak{A} \nabla \neg \mathfrak{B}$		$\neg(\mathfrak{A} \nabla \mathfrak{B}) \Leftrightarrow \neg \mathfrak{A} \Delta \neg \mathfrak{B}$
cnj	$\frac{\mathfrak{A}, \mathfrak{B}}{\mathfrak{A} \Delta \mathfrak{B}}$	$\frac{\mathfrak{A} \Delta \mathfrak{B}}{\mathfrak{A}}$	$\frac{\mathfrak{A} \Delta \mathfrak{B}}{\mathfrak{B}}$
dsj	$\frac{\mathfrak{A}}{\mathfrak{A} \nabla \mathfrak{B}}$	$\frac{\mathfrak{B}}{\mathfrak{A} \nabla \mathfrak{B}}$	$\frac{\mathfrak{A} \nabla \mathfrak{B}, \neg \mathfrak{A}}{\mathfrak{B}} \quad \frac{\mathfrak{A} \nabla \mathfrak{B}, \neg \mathfrak{B}}{\mathfrak{A}}$
neg	$\mathfrak{A} \Leftrightarrow \neg \neg \mathfrak{A}$	$\frac{\mathfrak{A}}{\perp} \quad \neg \mathfrak{A}$	$\frac{\neg \mathfrak{A}}{\perp} \quad \mathfrak{A} \quad \text{bot} \quad \frac{\mathfrak{A}, \neg \mathfrak{A}}{\perp}$

Each of these should remind you of rules from *ND* or *ND+*. In practice, we will allow generalized versions of [cnj](#) that let us move directly from $\mathfrak{A}_1, \mathfrak{A}_2 \dots \mathfrak{A}_n$ to $\mathfrak{A}_1 \Delta \mathfrak{A}_2 \Delta \dots \Delta \mathfrak{A}_n$. Similarly, we will allow applications of [dsj](#) and [dem](#) that skip officially required applications of [neg](#). Thus, for example, instead of going from $\neg \mathfrak{A} \nabla \mathfrak{B}$ to $\neg \mathfrak{A} \nabla \neg \neg \mathfrak{B}$ and then by [dem](#) to $\neg(\mathfrak{A} \Delta \neg \mathfrak{B})$, we might move by [dem](#)

directly from $\neg\mathcal{A} \vee \mathcal{B}$ to $\neg(\mathcal{A} \Delta \neg\mathcal{B})$. All this should become more clear as we proceed.

With definition [ST](#) and these rules, we can begin to reason about consequences of the definition. Suppose we want to show that an interpretation with $I[A] = I[B] = T$ is such that $I[\sim(A \rightarrow \sim B)] = T$.

(H)	1. $I[A] = T$	prem	We are given that $I[A] = T$ and $I[B] = T$. From the latter, by ST (\sim), $I[\sim B] \neq T$; so $I[A] = T$ and $I[\sim B] \neq T$; so by ST (\rightarrow), $I[A \rightarrow \sim B] \neq T$; so by ST (\sim), $I[\sim(A \rightarrow \sim B)] = T$.
	2. $I[B] = T$	prem	
	3. $I[\sim B] \neq T$	2 ST (\sim)	
	4. $I[A] = T \Delta I[\sim B] \neq T$	1,3 conj	
	5. $I[A \rightarrow \sim B] \neq T$	4 ST (\rightarrow)	
	6. $I[\sim(A \rightarrow \sim B)] = T$	5 ST (\sim)	

The reasoning on the left is a metalinguistic *derivation* in the sense that every step is either a premise, or justified by a definition or rule. You should be able to follow each step. On the right, we simply “tell the story” of the derivation — mirroring it step-for-step. This latter style is the one we want to develop. As we shall see, it gives us power to go beyond where the formalized derivations will take us. But the derivations serve a purpose. If we can do them, we can *use* them to construct reasoning of the sort we want. Each stage on one side corresponds to one on the other. So the derivations can guide us as we construct our reasoning, and constrain the moves we make. Note: First, on the right, we replace line references with language (“from the latter”) meant to serve the same purpose. Second, the metalinguistic symbols, \Rightarrow , \Leftrightarrow , \neg , Δ , \vee are replaced with ordinary language on the right side. Finally, on the right, though we cite every *definition* when we use it, we do not cite the additional *rules* (in this case [conj](#)). In general, as much as possible, you should strive to put the reader (and yourself at a later time) in a position to follow your reasoning — supposing just a basic familiarity with the definitions.

Consider now another example. Suppose we want to show that an interpretation with $I[B] \neq T$ is such that $I[\sim(A \rightarrow \sim B)] \neq T$.

(I)	1. $I[B] \neq T$	prem	We are given that $I[B] \neq T$; so by ST (\sim), $I[\sim B] = T$; so $I[A] \neq T$ or $I[\sim B] = T$; so by ST (\rightarrow), $I[A \rightarrow \sim B] = T$; so by ST (\sim), $I[\sim(A \rightarrow \sim B)] \neq T$.
	2. $I[\sim B] = T$	1 ST (\sim)	
	3. $I[A] \neq T \vee I[\sim B] = T$	2 dsj	
	4. $I[A \rightarrow \sim B] = T$	3 ST (\rightarrow)	
	5. $I[\sim(A \rightarrow \sim B)] \neq T$	4 ST (\sim)	

Observe that, for a true conditional, on its right-hand side [ST](#)(\rightarrow) *requires* a disjunction sort $I[\mathcal{P}] \neq T \vee I[\mathcal{B}] = T$ — so that (3) yields (4). [ST](#)(\rightarrow) requires a conjunction $I[\mathcal{P}] = T \Delta I[\mathcal{B}] \neq T$ just when the conditional is false. Do not get these cases confused, and think that somehow a conjunction of antecedent and consequent yields a

true arrow! Here is another derivation of the same result, this time beginning with the opposite and breaking down to the parts, for an application of **neg**.

(J)	1.	$I[\sim(A \rightarrow \sim B)] = T$	assp	Suppose $I[\sim(A \rightarrow \sim B)] = T$; then from ST (\sim), $I[A \rightarrow \sim B] \neq T$; so by ST (\rightarrow), $I[A] = T$ and $I[\sim B] \neq T$; so by ST (\sim), $I[B] = T$. But we are given that $I[B] \neq T$. This is impossible; reject the assumption: $I[\sim(A \rightarrow \sim B)] \neq T$.
	2.	$I[A \rightarrow \sim B] \neq T$	1 ST (\sim)	
	3.	$I[A] = T \Delta I[\sim B] \neq T$	2 ST (\rightarrow)	
	4.	$I[\sim B] \neq T$	3 cnj	
	5.	$I[B] = T$	4 ST (\sim)	
	6.	$I[B] \neq T$	prem	
	7.	\perp	5,6 bot	
	8.	$I[\sim(A \rightarrow \sim B)] \neq T$	1-7 neg	

This version takes a couple more lines. But it works as well, and provides a useful illustration of the (neg) rule. As usual, reasonings on the one side mirror that on the other. So we can use the formalized derivation as a guide for the reasoning on the right. Again, we leave out the special metalinguistic symbols. And again we cite all instances of definitions, but not the additional rules.

As you work the exercises that follow, to the extent that you can, it is good to have one line depend on the one before or in the immediate neighborhood, so as to minimize the need for extended references in the written versions. As you work these and other problems, you may find the **sentential metalinguistic reference** on p. 350 helpful.

E7.1. Suppose $I[A] = T$, $I[B] \neq T$ and $I[C] = T$. For each of the following, produce a formalized derivation, and then non-formalized reasoning to demonstrate either that it is or is not true on I . Hint: You may find a quick row of the truth table helpful to let you see which you want to show. Also, (e) is much easier than it looks.

- a. $\sim B \rightarrow C$
- *b. $\sim B \rightarrow \sim C$
- c. $\sim[(A \rightarrow \sim B) \rightarrow \sim C]$
- d. $\sim[A \rightarrow (B \rightarrow \sim C)]$
- e. $\sim A \rightarrow [((A \rightarrow B) \rightarrow C) \rightarrow \sim(\sim C \rightarrow B)]$

7.2.2 Validity

So far, we have been able to reason about **ST** and truth. Let us now extend results to validity. For this, we need to augment our formalized system. Let ‘ S ’ be a metalinguistic existential quantifier — it asserts the existence of some *object*. For now,

‘ S ’ will appear only in contexts asserting the existence of *interpretations*. Thus, for example, $SI(I[\mathcal{P}] = T)$ says there is an interpretation I such that $I[\mathcal{P}] = T$, and $\neg SI(I[\mathcal{P}] = T)$ says it is not the case that there is an interpretation I such that $I[\mathcal{P}] = T$. Given this, we can state **SV** as follows, again in positive and negative forms.

$$\begin{aligned} \text{SV} \quad & \neg SI(I[\mathcal{P}_1] = T \Delta \dots \Delta I[\mathcal{P}_n] = T \Delta I[\mathcal{Q}] \neq T) \Leftrightarrow \mathcal{P}_1 \dots \mathcal{P}_n \models_s \mathcal{Q} \\ & SI(I[\mathcal{P}_1] = T \Delta \dots \Delta I[\mathcal{P}_n] = T \Delta I[\mathcal{Q}] \neq T) \Leftrightarrow \mathcal{P}_1 \dots \mathcal{P}_n \not\models_s \mathcal{Q} \end{aligned}$$

These should look familiar. An argument is valid when it is *not* the case that there is some interpretation that makes the premises true and the conclusion not. An argument is invalid if there is some interpretation that makes the premises true and the conclusion not.

Again, we need rules to manipulate the new operator. In general, whenever a metalinguistic *term* t first appears outside the scope of a metalinguistic quantifier, it is labeled *arbitrary* or *particular*. For the sentential case, terms will always be of the sort I, J, \dots , for *interpretations*, and labeled ‘particular’ when they first appear apart from the quantifier S . Say $\mathfrak{A}[t]$ is some metalinguistic expression in which term t appears, and $\mathfrak{A}[u]$ is like $\mathfrak{A}[t]$ but with free instances of t replaced by u . Perhaps $\mathfrak{A}[t]$ is $I[A] = T$ and $\mathfrak{A}[u]$ is $J[A] = T$. Then,

$$\begin{array}{c} \text{exs} \quad \frac{\mathfrak{A}[u] \quad u \text{ arbitrary or particular}}{St\mathfrak{A}[t]} \qquad \frac{St\mathfrak{A}[t]}{\mathfrak{A}[u]} \quad u \text{ particular and new} \end{array}$$

As an instance of the left-hand “introduction” rule, we might move from $J[A] = T$, for a J labeled either arbitrary or particular, to $SI(I[A] = T)$. If interpretation J is such that $J[A] = T$, then there is *some* interpretation I such that $I[A] = T$. For the other “exploitation” rule, we may move from $SI(I[A] = T)$ to the result that $J[A] = T$ so long as J is identified as *particular* and is new to the derivation, in the sense required for $\exists E$ in [chapter 6](#). In particular, it must be that the term does not so-far appear outside the scope of a metalinguistic quantifier, and does not appear free in the final result of the derivation. Given that some I is such that $I[A] = T$, we set up J as a particular interpretation for which it is so.²

In addition, it will be helpful to allow a rule which lets us make assertions by *inspection* about already given interpretations — and we will limit justifications by

²Observe that, insofar as it is quantified, term I may itself be new in the sense that it does not so far appear outside the scope of a quantifier. Thus we may be justified in moving from $SI(I[A] = T)$ to $I[A] = T$, with I particular. However, as a matter of style, we will typically switch terms upon application of the **exs** rule.

(ins) just to assertions about interpretations (and, later, variable assignments). Thus, for example, in the context of an interpretation I on which $I[A] = T$, we might allow,

n. $I[A] = T$ ins (I particular)

as a line of one of our derivations. In this case, I is a *name* of the interpretation, and listed as particular on first use.

Now suppose we want to show that $(B \rightarrow \sim D), \sim B \not\models_s D$. Recall that our strategy for showing that an argument is invalid is to *produce* an interpretation, and show that it makes the premises true and the conclusion not. So consider an interpretation J such that $J[B] \neq T$ and $J[D] \neq T$.

(K)	1. $J[B] \neq T$	ins (J particular)
	2. $J[B] \neq T \vee J[\sim D] = T$	1 dsj
	3. $J[B \rightarrow \sim D] = T$	2 ST (\rightarrow)
	4. $J[\sim B] = T$	1 ST (\sim)
	5. $J[D] \neq T$	ins
	6. $J[B \rightarrow \sim D] = T \Delta J[\sim B] = T \Delta J[D] \neq T$	3,4,5 cnj
	7. $SI(I[B \rightarrow \sim D] = T \Delta I[\sim B] = T \Delta I[D] \neq T)$	6 exs
	8. $B \rightarrow \sim D, \sim B \not\models_s D$	7 SV

(1) and (5) are by inspection of the interpretation J , where an individual name is always labeled “particular” when it first appears. At (6) we have a conclusion about interpretation J , and at (7) we generalize to the existential, for an application of **SV** at (8). Here is the corresponding informal reasoning.

$J[B] \neq T$; so either $J[B] \neq T$ or $J[\sim D] = T$; so by **ST**(\rightarrow), $J[B \rightarrow \sim D] = T$. But since $J[B] \neq T$, by **ST**(\sim), $J[\sim B] = T$. And $J[D] \neq T$. So $J[B \rightarrow \sim D] = T$ and $J[\sim B] = T$ but $J[D] \neq T$. So there is an interpretation I such that $I[B \rightarrow \sim D] = T$ and $I[\sim B] = T$ but $I[D] \neq T$. So by **SV**, $(B \rightarrow \sim D), \sim B \not\models_s D$

It should be clear that this reasoning reflects that of the derivation. The derivation thus constrains the steps we make, and guides us to our goal. We show the argument is invalid by showing that there exists an interpretation on which the premises are true and the conclusion is not.

Say we want to show that $\sim(A \rightarrow B) \models_s A$. To show that an argument is valid, our idea has been to assume otherwise, and show that the assumption leads to contradiction. So we might reason as follows.

(L)	1.	$\sim(A \rightarrow B) \not\models_s A$	assp
	2.	$SI(I[\sim(A \rightarrow B)] = T \Delta I[A] \neq T)$	1 SV
	3.	$J[\sim(A \rightarrow B)] = T \Delta J[A] \neq T$	2 exs (J particular)
	4.	$J[\sim(A \rightarrow B)] = T$	3 cnj
	5.	$J[A \rightarrow B] \neq T$	4 ST (\sim)
	6.	$J[A] = T \Delta J[B] \neq T$	5 ST (\rightarrow)
	7.	$J[A] = T$	6 cnj
	8.	$J[A] \neq T$	3 cnj
	9.	\perp	7,8 bot
	10.	$\sim(A \rightarrow B) \models_s A$	1-9 neg

Suppose $\sim(A \rightarrow B) \not\models_s A$; then by **SV** there is some I such that $I[\sim(A \rightarrow B)] = T$ and $I[A] \neq T$. Let J be a particular interpretation of this sort; then $J[\sim(A \rightarrow B)] = T$ and $J[A] \neq T$. From the former, by **ST**(\sim), $J[A \rightarrow B] \neq T$; so by **ST**(\rightarrow), $J[A] = T$ and $J[B] \neq T$. So both $J[A] = T$ and $J[A] \neq T$. This is impossible; reject the assumption: $\sim(A \rightarrow B) \models_s A$.

At (2) we have the result that there is some interpretation on which the premise is true and the conclusion is not. At (3), we set up to reason about a particular J for which this is so. J does not so-far appear in the derivation, and does not appear in the goal at (9). So we instantiate to it. This puts us in a position to reason by **ST**. The pattern is typical. Given that the assumption leads to contradiction, we are justified in rejecting the assumption, and thus conclude that the argument is valid. It is important that we show the argument is valid, without reasoning individually about every possible interpretation of the basic sentences!

Notice that we can also reason generally about *forms*. Here is a case of that sort.

T7.4s. $\models_s (\sim Q \rightarrow \sim P) \rightarrow [(\sim Q \rightarrow P) \rightarrow Q]$

1.	$\not\models_s (\sim Q \rightarrow \sim P) \rightarrow ((\sim Q \rightarrow P) \rightarrow Q)$	assp
2.	$SI(I[(\sim Q \rightarrow \sim P) \rightarrow ((\sim Q \rightarrow P) \rightarrow Q)] \neq T)$	1 SV
3.	$J[(\sim Q \rightarrow \sim P) \rightarrow ((\sim Q \rightarrow P) \rightarrow Q)] \neq T$	2 exs (J particular)
4.	$J[\sim Q \rightarrow \sim P] = T \Delta J[(\sim Q \rightarrow P) \rightarrow Q] \neq T$	3 ST(\rightarrow)
5.	$J[(\sim Q \rightarrow P) \rightarrow Q] \neq T$	4 cnj
6.	$J[\sim Q \rightarrow P] = T \Delta J[Q] \neq T$	5 ST(\rightarrow)
7.	$J[Q] \neq T$	6 cnj
8.	$J[\sim Q] = T$	7 SF(\sim)
9.	$J[\sim Q \rightarrow P] = T$	6 cnj
10.	$J[\sim Q] \neq T \vee J[P] = T$	9 ST(\rightarrow)
11.	$J[P] = T$	8,10 dsj
12.	$J[\sim Q \rightarrow \sim P] = T$	4 cnj
13.	$J[\sim Q] \neq T \vee J[\sim P] = T$	12 ST(\rightarrow)
14.	$J[\sim P] = T$	8,13 dsj
15.	$J[P] \neq T$	14 ST(\sim)
16.	\perp	11,15 bot
17.	$\models_s (\sim Q \rightarrow \sim P) \rightarrow ((\sim Q \rightarrow P) \rightarrow Q)$	1-16 neg

Suppose $\not\models_s (\sim Q \rightarrow \sim P) \rightarrow ((\sim Q \rightarrow P) \rightarrow Q)$; then by SV there is some I such that $I[(\sim Q \rightarrow \sim P) \rightarrow ((\sim Q \rightarrow P) \rightarrow Q)] \neq T$. Let J be a particular interpretation of this sort; then $J[(\sim Q \rightarrow \sim P) \rightarrow ((\sim Q \rightarrow P) \rightarrow Q)] \neq T$; so by ST(\rightarrow), $J[\sim Q \rightarrow \sim P] = T$ and $J[(\sim Q \rightarrow P) \rightarrow Q] \neq T$; from the latter, by ST(\rightarrow), $J[\sim Q \rightarrow P] = T$ and $J[Q] \neq T$; from the latter of these, by ST(\sim), $J[\sim Q] = T$. Since $J[\sim Q \rightarrow P] = T$, by ST(\rightarrow), $J[\sim Q] \neq T$ or $J[P] = T$; but $J[\sim Q] = T$, so $J[P] = T$. Since $J[\sim Q \rightarrow \sim P] = T$, by ST(\rightarrow), $J[\sim Q] \neq T$ or $J[\sim P] = T$; but $J[\sim Q] = T$, so $J[\sim P] = T$; so by ST(\sim), $J[P] \neq T$. This is impossible; reject the assumption: $\models_s (\sim Q \rightarrow \sim P) \rightarrow ((\sim Q \rightarrow P) \rightarrow Q)$.

Observe that the steps represented by (11) and (14) are not by cnj but by the dsj rule with $\mathfrak{A} \vee \mathfrak{B}$ and $\neg \mathfrak{A}$ for the result that \mathfrak{B} .³ Observe also that contradictions are obtained at the *metalinguistic* level. Thus $J[P] = T$ at (11) does not contradict $J[\sim P] = T$ at (14). Of course, it is a short step to the result that $J[P] = T$ and $J[\sim P] \neq T$ which do contradict. As a general point of strategy, it is much easier to manage a negated conditional than an unnegated one — for the negated conditional yields a conjunctive result, and the unnegated a disjunctive. Thus we begin above with the negated conditionals, and use the results to set up applications of dsj. This is typical. Similarly we can show,

T7.1s. $\mathcal{P}, \mathcal{P} \rightarrow \mathcal{Q} \models_s \mathcal{Q}$

³Or, rather, we have $\neg \mathfrak{A} \vee \mathfrak{B}$ and \mathfrak{A} — and thus skip application of neg to obtain the proper $\neg \neg \mathfrak{A}$ for this application of dsj.

$$\text{T7.2s. } \models_s \mathcal{P} \rightarrow (\mathcal{Q} \rightarrow \mathcal{P})$$

$$\text{T7.3s. } \models_s (\mathcal{O} \rightarrow (\mathcal{P} \rightarrow \mathcal{Q})) \rightarrow ((\mathcal{O} \rightarrow \mathcal{P}) \rightarrow (\mathcal{O} \rightarrow \mathcal{Q}))$$

T7.1s - T7.4s should remind you of the axioms and rule for the sentential part of *AD* from chapter 3. These results (or, rather, analogues for the quantificational case) play an important role for things to come.

These derivations are structurally much simpler than ones you have seen before from *ND*. The challenge is accommodating new notation with the different mix of rules. Again, to show that an argument is invalid, produce an interpretation; then use it for a demonstration that there exists an interpretation that makes premises true and the conclusion not. To show that an argument is valid, suppose otherwise; then demonstrate that your assumption leads to contradiction. The derivations then provide the pattern for your informal reasoning.

E7.2. Produce a formalized derivation, and then informal reasoning to demonstrate each of the following. To show invalidity, you will have to *produce* an interpretation to which your argument refers.

$$\text{*a. } A \rightarrow B, \sim A \not\models_s \sim B$$

$$\text{*b. } A \rightarrow B, \sim B \models_s \sim A$$

$$\text{c. } A \rightarrow B, B \rightarrow C, C \rightarrow D \models_s A \rightarrow D$$

$$\text{d. } A \rightarrow B, B \rightarrow \sim A \models_s \sim A$$

$$\text{e. } A \rightarrow B, \sim A \rightarrow \sim B \not\models_s \sim(A \rightarrow \sim B)$$

$$\text{f. } (\sim A \rightarrow B) \rightarrow A \models_s \sim A \rightarrow \sim B$$

$$\text{g. } \sim A \rightarrow \sim B, B \models_s \sim(B \rightarrow \sim A)$$

$$\text{h. } A \rightarrow B, \sim B \rightarrow A \not\models_s A \rightarrow \sim B$$

$$\text{i. } \not\models_s [(A \rightarrow B) \rightarrow (A \rightarrow C)] \rightarrow [(A \rightarrow B) \rightarrow C]$$

$$\text{j. } \models_s (A \rightarrow B) \rightarrow [(B \rightarrow \sim C) \rightarrow (C \rightarrow \sim A)]$$

E7.3. Provide demonstrations for T7.1s - T7.3s in the informal style. Hint: you may or may not find that truth tables, or formalized derivations, would be helpful as a guide.

7.2.3 Derived Rules

Finally, for this section on sentential forms, we expand the range of our results by means of some rules for \Rightarrow and \Leftrightarrow .

$$\begin{array}{c}
 \text{cnd} \quad \frac{\mathcal{A} \Rightarrow \mathcal{B}, \mathcal{A}}{\mathcal{B}} \qquad \frac{\mathcal{A} \qquad \mathcal{A} \Rightarrow \mathcal{B}, \mathcal{B} \Rightarrow \mathcal{C}}{\mathcal{A} \Rightarrow \mathcal{C}} \\
 \qquad \qquad \qquad \frac{\mathcal{A} \Rightarrow \mathcal{B}, \mathcal{B}}{\mathcal{A} \Rightarrow \mathcal{B}}
 \end{array}$$

$$\begin{array}{c}
 \text{bcnd} \quad \frac{\mathcal{A} \Leftrightarrow \mathcal{B}, \mathcal{A}}{\mathcal{B}} \qquad \frac{\mathcal{A} \Leftrightarrow \mathcal{B}, \mathcal{B}}{\mathcal{A}} \qquad \frac{\mathcal{A} \Rightarrow \mathcal{B}, \mathcal{B} \Rightarrow \mathcal{A}}{\mathcal{A} \Leftrightarrow \mathcal{B}} \qquad \frac{\mathcal{A} \Leftrightarrow \mathcal{B}, \mathcal{B} \Leftrightarrow \mathcal{C}}{\mathcal{A} \Leftrightarrow \mathcal{C}}
 \end{array}$$

We will also allow versions of **bcnd** which move from, say, $\mathcal{A} \Leftrightarrow \mathcal{B}$ and $\neg \mathcal{A}$, to $\neg \mathcal{B}$ (like **NB** from *ND+*). And we will allow generalized versions of these rules moving directly from, say, $\mathcal{A} \Rightarrow \mathcal{B}$, $\mathcal{B} \Rightarrow \mathcal{C}$, and $\mathcal{C} \Rightarrow \mathcal{D}$ to $\mathcal{A} \Rightarrow \mathcal{D}$; and similarly, from $\mathcal{A} \Leftrightarrow \mathcal{B}$, $\mathcal{B} \Leftrightarrow \mathcal{C}$, and $\mathcal{C} \Leftrightarrow \mathcal{D}$ to $\mathcal{A} \Leftrightarrow \mathcal{D}$. In this last case, the natural informal description is, \mathcal{A} iff \mathcal{B} ; \mathcal{B} iff \mathcal{C} ; \mathcal{C} iff \mathcal{D} ; so \mathcal{A} iff \mathcal{D} . In real cases, however, repetition of terms can be awkward and get in the way of reading. In practice, then, the pattern collapses to, \mathcal{A} iff \mathcal{B} ; iff \mathcal{C} ; iff \mathcal{D} ; so \mathcal{A} iff \mathcal{D} — where this is understood as in the official version.

Also, when demonstrating that $\mathcal{A} \Rightarrow \mathcal{B}$, in many cases, it is helpful to get \mathcal{B} by **neg**; officially, the pattern is as on the left,

$$\begin{array}{c}
 \mathcal{A} \\
 \hline
 \neg \mathcal{B} \\
 \hline
 \perp \\
 \mathcal{B} \\
 \hline
 \mathcal{A} \Rightarrow \mathcal{B}
 \end{array}$$

But the result is automatic once we derive a contradiction from \mathcal{A} and $\neg \mathcal{B}$; so, in practice, this pattern collapses into:

$$\begin{array}{c}
 \mathcal{A} \Delta \neg \mathcal{B} \\
 \hline
 \perp \\
 \hline
 \mathcal{A} \Rightarrow \mathcal{B}
 \end{array}$$

So to demonstrate a conditional, it is enough to derive a contradiction from the antecedent and negation of the consequent. Let us also include among our definitions, (abv) for unpacking abbreviations. This is to be understood as justifying any biconditional $\mathcal{A} \Leftrightarrow \mathcal{A}'$ where \mathcal{A}' abbreviates \mathcal{A} . Such a biconditional can be used as either an axiom or a rule.

We are now in a position to produce derived clauses for **ST**. In table form, we have already seen derived forms for **ST** from **chapter 4**. But we did not then have the official means to extend the definition.

$$\begin{array}{l}
 \text{ST}' \quad (\wedge) \quad I[\mathcal{P} \wedge \mathcal{Q}] = \text{T} \Leftrightarrow I[\mathcal{P}] = \text{T} \Delta I[\mathcal{Q}] = \text{T} \\
 \qquad \qquad \qquad I[\mathcal{P} \wedge \mathcal{Q}] \neq \text{T} \Leftrightarrow I[\mathcal{P}] \neq \text{T} \vee I[\mathcal{Q}] \neq \text{T}
 \end{array}$$

$$\begin{aligned}
(\vee) \quad & I[\mathcal{P} \vee \mathcal{Q}] = \text{T} \Leftrightarrow I[\mathcal{P}] = \text{T} \vee I[\mathcal{Q}] = \text{T} \\
& I[\mathcal{P} \vee \mathcal{Q}] \neq \text{T} \Leftrightarrow I[\mathcal{P}] \neq \text{T} \Delta I[\mathcal{Q}] \neq \text{T} \\
(\Leftrightarrow) \quad & I[\mathcal{P} \Leftrightarrow \mathcal{Q}] = \text{T} \Leftrightarrow (I[\mathcal{P}] = \text{T} \Delta I[\mathcal{Q}] = \text{T}) \vee (I[\mathcal{P}] \neq \text{T} \Delta I[\mathcal{Q}] \neq \text{T}) \\
& I[\mathcal{P} \Leftrightarrow \mathcal{Q}] \neq \text{T} \Leftrightarrow (I[\mathcal{P}] = \text{T} \Delta I[\mathcal{Q}] \neq \text{T}) \vee (I[\mathcal{P}] \neq \text{T} \Delta I[\mathcal{Q}] = \text{T})
\end{aligned}$$

Again, you should recognize the derived clauses based on what you already know from truth tables.

First, consider the positive form for $\text{ST}'(\wedge)$. We reason about the arbitrary interpretation. The demonstration begins by **abv**, and strings together biconditionals to reach the final result.

- | | | |
|-----|---|-----------------------------|
| | 1. $I[\mathcal{P} \wedge \mathcal{Q}] = \text{T} \Leftrightarrow I[\sim(\mathcal{P} \rightarrow \sim\mathcal{Q})] = \text{T}$ | abv (I arbitrary) |
| | 2. $I[\sim(\mathcal{P} \rightarrow \sim\mathcal{Q})] = \text{T} \Leftrightarrow I[\mathcal{P} \rightarrow \sim\mathcal{Q}] \neq \text{T}$ | ST (\sim) |
| (M) | 3. $I[\mathcal{P} \rightarrow \sim\mathcal{Q}] \neq \text{T} \Leftrightarrow I[\mathcal{P}] = \text{T} \Delta I[\sim\mathcal{Q}] \neq \text{T}$ | ST (\rightarrow) |
| | 4. $I[\mathcal{P}] = \text{T} \Delta I[\sim\mathcal{Q}] \neq \text{T} \Leftrightarrow I[\mathcal{P}] = \text{T} \Delta I[\mathcal{Q}] = \text{T}$ | ST (\sim) |
| | 5. $I[\mathcal{P} \wedge \mathcal{Q}] = \text{T} \Leftrightarrow I[\mathcal{P}] = \text{T} \Delta I[\mathcal{Q}] = \text{T}$ | 1,2,3,4 bcnd |

This derivation puts together a string of biconditionals of the form $\mathfrak{A} \Leftrightarrow \mathfrak{B}$, $\mathfrak{B} \Leftrightarrow \mathfrak{C}$, $\mathfrak{C} \Leftrightarrow \mathfrak{D}$, $\mathfrak{D} \Leftrightarrow \mathfrak{E}$; the conclusion follows by **bcnd**. Notice that we use the abbreviation and first two definitions as axioms, to state the biconditionals. Technically, (4) results from an implicit $I[\mathcal{P}] = \text{T} \Delta I[\sim\mathcal{Q}] \neq \text{T} \Leftrightarrow I[\mathcal{P}] = \text{T} \Delta I[\sim\mathcal{Q}] \neq \text{T}$ with **ST**(\sim) as a replacement rule, substituting $I[\mathcal{Q}] = \text{T}$ for $I[\sim\mathcal{Q}] \neq \text{T}$ on the right-hand side. In the “collapsed” biconditional form, the result is as follows.

By **abv**, $I[\mathcal{P} \wedge \mathcal{Q}] = \text{T}$ iff $I[\sim(\mathcal{P} \rightarrow \sim\mathcal{Q})] = \text{T}$; by **ST**(\sim), iff $I[\mathcal{P} \rightarrow \sim\mathcal{Q}] \neq \text{T}$; by **ST**(\rightarrow), iff $I[\mathcal{P}] = \text{T}$ and $I[\sim\mathcal{Q}] \neq \text{T}$; by **ST**(\sim), iff $I[\mathcal{P}] = \text{T}$ and $I[\mathcal{Q}] = \text{T}$. So $I[\mathcal{P} \wedge \mathcal{Q}] = \text{T}$ iff $I[\mathcal{P}] = \text{T}$ and $I[\mathcal{Q}] = \text{T}$.

In this abbreviated form, each stage implies the next from start to finish. But similarly, each stage implies the one before from finish to start. So one might think of it as demonstrating conditionals in both directions all at once for eventual application of **bcnd**. Because we have just shown a biconditional, it follows immediately that $I[\mathcal{P} \wedge \mathcal{Q}] \neq \text{T}$ just in case the right hand side fails — just in case one of $I[\mathcal{P}] \neq \text{T}$ or $I[\mathcal{Q}] \neq \text{T}$. However, we can also make the point directly.

By **abv**, $I[\mathcal{P} \wedge \mathcal{Q}] \neq \text{T}$ iff $I[\sim(\mathcal{P} \rightarrow \sim\mathcal{Q})] \neq \text{T}$; by **ST**(\sim), iff $I[\mathcal{P} \rightarrow \sim\mathcal{Q}] = \text{T}$; by **ST**(\rightarrow), iff $I[\mathcal{P}] \neq \text{T}$ or $I[\sim\mathcal{Q}] = \text{T}$; by **ST**(\sim), iff $I[\mathcal{P}] \neq \text{T}$ or $I[\mathcal{Q}] \neq \text{T}$. So $I[\mathcal{P} \wedge \mathcal{Q}] \neq \text{T}$ iff $I[\mathcal{P}] \neq \text{T}$ or $I[\mathcal{Q}] \neq \text{T}$.

Reasoning for $\text{ST}'(\vee)$ is similar. For $\text{ST}'(\Leftrightarrow)$ it will be helpful to introduce, as a derived rule, a sort of distribution principle.

$$\text{dst} \quad [(\neg \mathcal{A} \vee \mathcal{B}) \Delta (\neg \mathcal{B} \vee \mathcal{A})] \Leftrightarrow [(\mathcal{A} \Delta \mathcal{B}) \vee (\neg \mathcal{A} \Delta \neg \mathcal{B})]$$

To show this, our basic idea will be to obtain the conditional going in both directions, and then apply **bcnd**. Here is the argument from left-to-right.

1.	$[(\neg \mathcal{A} \vee \mathcal{B}) \Delta (\neg \mathcal{B} \vee \mathcal{A})] \Delta \neg[(\mathcal{A} \Delta \mathcal{B}) \vee (\neg \mathcal{A} \Delta \neg \mathcal{B})]$	assp
2.	$\neg[(\mathcal{A} \Delta \mathcal{B}) \vee (\neg \mathcal{A} \Delta \neg \mathcal{B})]$	1 cnj
3.	$(\neg \mathcal{A} \vee \mathcal{B}) \Delta (\neg \mathcal{B} \vee \mathcal{A})$	1 cnj
4.	$\neg \mathcal{A} \vee \mathcal{B}$	3 cnj
5.	$\neg \mathcal{B} \vee \mathcal{A}$	3 cnj
6.	$\neg(\mathcal{A} \Delta \mathcal{B}) \Delta \neg(\neg \mathcal{A} \Delta \neg \mathcal{B})$	2 dem
7.	$\neg(\mathcal{A} \Delta \mathcal{B})$	6 cnj
8.	$\neg(\neg \mathcal{A} \Delta \neg \mathcal{B})$	6 cnj
9.	$\neg \mathcal{A} \vee \neg \mathcal{B}$	7 dem
10.	$\mathcal{A} \vee \mathcal{B}$	8 dem
11.	\mathcal{A}	assp
12.	\mathcal{B}	4,11 dsj
13.	$\neg \mathcal{B}$	9,11 dsj
14.	\perp	10,11 bot
15.	$\neg \mathcal{A}$	11-14 neg
16.	$\neg \mathcal{B}$	5,15 dsj
17.	\mathcal{B}	10,15 dsj
18.	\perp	16,17 bot
19.	$[(\neg \mathcal{A} \vee \mathcal{B}) \Delta (\neg \mathcal{B} \vee \mathcal{A})] \Rightarrow [(\mathcal{A} \Delta \mathcal{B}) \vee (\neg \mathcal{A} \Delta \neg \mathcal{B})]$	1-18 cnd

The conditional is demonstrated in the “collapsed” form, where we assume the antecedent with the negation of the consequent, and go for a contradiction. Note the little subderivation at (11) - (14); we have accumulated disjunctions at (4), (5), (9) and (10), but do not have any of the “sides”; often the way to make headway is to assume the negation of one side; this can feed into **dsj** and **neg** (the idea is related to **SC4**). Demonstration of the conditional in the other direction is left as an exercise. Given **dst**, you should be able to demonstrate **ST**(\Leftrightarrow), also in the collapsed biconditional style. You will begin by observing by **abv** that $I[\mathcal{P} \Leftrightarrow \mathcal{Q}] = \top$ iff $I[\sim((\mathcal{P} \rightarrow \mathcal{Q}) \rightarrow \sim(\mathcal{Q} \rightarrow \mathcal{P}))] = \top$; by **neg** iff The negative side is relatively straightforward, and does not require **dst**.

Having established the derived clauses for **ST'**, we can use them directly in our reasoning. Thus, for example, let us show that $B \vee (A \wedge \sim C)$, $(C \rightarrow A) \Leftrightarrow B \not\models_s \sim(A \wedge C)$. For this, consider an interpretation J such that $J[A] = J[B] = J[C] = \top$.

Metalinguistic Quick Reference (sentential)

DEFINITIONS:

ST	$(\sim) \models [\sim \mathcal{P}] = \mathsf{T} \Leftrightarrow \models [\mathcal{P}] \neq \mathsf{T}$	$\models [\sim \mathcal{P}] \neq \mathsf{T} \Leftrightarrow \models [\mathcal{P}] = \mathsf{T}$
	$(\rightarrow) \models [\mathcal{P} \rightarrow \mathcal{Q}] = \mathsf{T} \Leftrightarrow \models [\mathcal{P}] \neq \mathsf{T} \vee \models [\mathcal{Q}] = \mathsf{T}$	$\models [\mathcal{P} \rightarrow \mathcal{Q}] \neq \mathsf{T} \Leftrightarrow \models [\mathcal{P}] = \mathsf{T} \wedge \models [\mathcal{Q}] \neq \mathsf{T}$
	$(\mid) \models [\mathcal{P} \mid \mathcal{Q}] = \mathsf{T} \Leftrightarrow \models [\mathcal{P}] \neq \mathsf{T} \vee \models [\mathcal{Q}] \neq \mathsf{T}$	$\models [\mathcal{P} \mid \mathcal{Q}] \neq \mathsf{T} \Leftrightarrow \models [\mathcal{P}] = \mathsf{T} \wedge \models [\mathcal{Q}] = \mathsf{T}$
ST'	$(\wedge) \models [\mathcal{P} \wedge \mathcal{Q}] = \mathsf{T} \Leftrightarrow \models [\mathcal{P}] = \mathsf{T} \wedge \models [\mathcal{Q}] = \mathsf{T}$	
	$\models [\mathcal{P} \wedge \mathcal{Q}] \neq \mathsf{T} \Leftrightarrow \models [\mathcal{P}] \neq \mathsf{T} \vee \models [\mathcal{Q}] \neq \mathsf{T}$	
	$(\vee) \models [\mathcal{P} \vee \mathcal{Q}] = \mathsf{T} \Leftrightarrow \models [\mathcal{P}] = \mathsf{T} \vee \models [\mathcal{Q}] = \mathsf{T}$	
	$\models [\mathcal{P} \vee \mathcal{Q}] \neq \mathsf{T} \Leftrightarrow \models [\mathcal{P}] \neq \mathsf{T} \wedge \models [\mathcal{Q}] \neq \mathsf{T}$	
	$(\leftrightarrow) \models [\mathcal{P} \leftrightarrow \mathcal{Q}] = \mathsf{T} \Leftrightarrow (\models [\mathcal{P}] = \mathsf{T} \wedge \models [\mathcal{Q}] = \mathsf{T}) \vee (\models [\mathcal{P}] \neq \mathsf{T} \wedge \models [\mathcal{Q}] \neq \mathsf{T})$	
	$\models [\mathcal{P} \leftrightarrow \mathcal{Q}] \neq \mathsf{T} \Leftrightarrow (\models [\mathcal{P}] = \mathsf{T} \wedge \models [\mathcal{Q}] \neq \mathsf{T}) \vee (\models [\mathcal{P}] \neq \mathsf{T} \wedge \models [\mathcal{Q}] = \mathsf{T})$	
SV	$\neg \mathsf{SI}(\models [\mathcal{P}_1] = \mathsf{T} \wedge \dots \wedge \models [\mathcal{P}_n] = \mathsf{T} \wedge \models [\mathcal{Q}] \neq \mathsf{T}) \Leftrightarrow \mathcal{P}_1 \dots \mathcal{P}_n \models_s \mathcal{Q}$	
	$\mathsf{SI}(\models [\mathcal{P}_1] = \mathsf{T} \wedge \dots \wedge \models [\mathcal{P}_n] = \mathsf{T} \wedge \models [\mathcal{Q}] \neq \mathsf{T}) \Leftrightarrow \mathcal{P}_1 \dots \mathcal{P}_n \not\models_s \mathcal{Q}$	

abv Abbreviation allows $\mathcal{A} \Leftrightarrow \mathcal{A}'$ where \mathcal{A}' abbreviates \mathcal{A} .

RULES:

com	$\mathfrak{A} \vee \mathfrak{B} \Leftrightarrow \mathfrak{B} \vee \mathfrak{A}$	$\mathfrak{A} \Delta \mathfrak{B} \Leftrightarrow \mathfrak{B} \Delta \mathfrak{A}$		
idm	$\mathfrak{A} \Leftrightarrow \mathfrak{A} \vee \mathfrak{A}$	$\mathfrak{A} \Leftrightarrow \mathfrak{A} \Delta \mathfrak{A}$		
dem	$\neg(\mathfrak{A} \Delta \mathfrak{B}) \Leftrightarrow \neg \mathfrak{A} \vee \neg \mathfrak{B}$	$\neg(\mathfrak{A} \vee \mathfrak{B}) \Leftrightarrow \neg \mathfrak{A} \Delta \neg \mathfrak{B}$		
cnj	$\frac{\mathfrak{A}, \mathfrak{B}}{\mathfrak{A} \Delta \mathfrak{B}}$	$\frac{\mathfrak{A} \Delta \mathfrak{B}}{\mathfrak{A}}$	$\frac{\mathfrak{A} \Delta \mathfrak{B}}{\mathfrak{B}}$	
dsj	$\frac{\mathfrak{A}}{\mathfrak{A} \vee \mathfrak{B}}$	$\frac{\mathfrak{B}}{\mathfrak{A} \vee \mathfrak{B}}$	$\frac{\mathfrak{A} \vee \mathfrak{B}, \neg \mathfrak{A}}{\mathfrak{B}}$	$\frac{\mathfrak{A} \vee \mathfrak{B}, \neg \mathfrak{B}}{\mathfrak{A}}$
neg	$\mathfrak{A} \Leftrightarrow \neg \neg \mathfrak{A}$	$\frac{\mathfrak{A}}{\perp}$	$\frac{\neg \mathfrak{A}}{\perp}$	bot $\frac{\mathfrak{A}, \neg \mathfrak{A}}{\perp}$
exs	$\frac{\mathfrak{A}[\mathfrak{u}]}{\text{St}\mathfrak{A}[\mathfrak{t}]}$ u arbitrary or particular	$\frac{\text{St}\mathfrak{A}[\mathfrak{t}]}{\mathfrak{A}[\mathfrak{u}]}$ u particular and new		
cnd	$\frac{\mathfrak{A} \Rightarrow \mathfrak{B}, \mathfrak{A}}{\mathfrak{B}}$	$\frac{\mathfrak{A}}{\mathfrak{B} \Rightarrow \mathfrak{A}}$	$\frac{\mathfrak{A} \Rightarrow \mathfrak{B}, \mathfrak{B} \Rightarrow \mathfrak{C}}{\mathfrak{A} \Rightarrow \mathfrak{C}}$	$\frac{\mathfrak{A} \Delta \neg \mathfrak{B}}{\perp}$
bcnd	$\frac{\mathfrak{A} \Leftrightarrow \mathfrak{B}, \mathfrak{A}}{\mathfrak{B}}$	$\frac{\mathfrak{A} \Leftrightarrow \mathfrak{B}, \mathfrak{B}}{\mathfrak{A}}$	$\frac{\mathfrak{A} \Rightarrow \mathfrak{B}, \mathfrak{B} \Rightarrow \mathfrak{A}}{\mathfrak{A} \Leftrightarrow \mathfrak{B}}$	$\frac{\mathfrak{A} \Leftrightarrow \mathfrak{B}, \mathfrak{B} \Leftrightarrow \mathfrak{C}}{\mathfrak{A} \Leftrightarrow \mathfrak{C}}$
dst	$[(\neg \mathfrak{A} \vee \mathfrak{B}) \Delta (\neg \mathfrak{B} \vee \mathfrak{A})] \Leftrightarrow [(\mathfrak{A} \Delta \mathfrak{B}) \vee (\neg \mathfrak{A} \Delta \neg \mathfrak{B})]$			

ins Inspection allows assertions about interpretations and variable assignments.

(N)	1. $J[A] = T$	ins (J particular)
	2. $J[C] = T$	ins
	3. $J[A] = T \Delta J[C] = T$	1,2 cnj
	4. $J[A \wedge C] = T$	3 $ST'(\wedge)$
	5. $J[\sim(A \wedge C)] \neq T$	4 $ST(\sim)$
	6. $J[B] = T$	ins
	7. $J[B] = T \nabla J[A \wedge \sim C] = T$	6 dsj
	8. $J[B \vee (A \wedge \sim C)] = T$	7 $ST'(\vee)$
	9. $J[C] \neq T \nabla J[A] = T$	1, dsj
	10. $J[C \rightarrow A] = T$	9 $ST(\rightarrow)$
	11. $J[C \rightarrow A] = T \Delta J[B] = T$	10,6 cnj
	12. $(J[C \rightarrow A] = T \Delta J[B] = T) \nabla (J[C \rightarrow A] \neq T \Delta J[B] \neq T)$	11 dsj
	13. $J[(C \rightarrow A) \leftrightarrow B] = T$	12, $ST'(\leftrightarrow)$
	14. $J[B \vee (A \wedge \sim C)] = T \Delta J[(C \rightarrow A) \leftrightarrow B] = T \Delta J[\sim(A \wedge C)] \neq T$	8,13,5 cnj
	15. $SI[J[B \vee (A \wedge \sim C)] = T \Delta I[(C \rightarrow A) \leftrightarrow B] = T \Delta I[\sim(A \wedge C)] \neq T]$	14 exs
	16. $B \vee (A \wedge \sim C), (C \rightarrow A) \leftrightarrow B \not\models_s \sim(A \wedge C)$	15 SV

Since $J[A] = T$ and $J[C] = T$, by $ST'(\wedge)$, $J[A \wedge C] = T$; so by $ST(\sim)$, $J[\sim(A \wedge C)] \neq T$. Since $J[B] = T$, either $J[B] = T$ or $J[A \wedge \sim C] = T$; so by $ST'(\vee)$, $J[B \vee (A \wedge \sim C)] = T$. Since $J[A] = T$, either $J[C] \neq T$ or $J[A] = T$; so by $ST(\rightarrow)$, $J[C \rightarrow A] = T$; so both $J[C \rightarrow A] = T$ and $J[B] = T$; so either both $J[C \rightarrow A] = T$ and $J[B] = T$ or both $J[C \rightarrow A] \neq T$ and $J[B] \neq T$; so by $ST'(\leftrightarrow)$, $J[(C \rightarrow A) \leftrightarrow B] = T$. So $J[B \vee (A \wedge \sim C)] = T$ and $J[(C \rightarrow A) \leftrightarrow B] = T$ but $J[\sim(A \wedge C)] \neq T$; so there exists an interpretation I such that $I[B \vee (A \wedge \sim C)] = T$ and $I[(C \rightarrow A) \leftrightarrow B] = T$ but $I[\sim(A \wedge C)] \neq T$; so by **SV**, $B \vee (A \wedge \sim C), (C \rightarrow A) \leftrightarrow B \not\models_s \sim(A \wedge C)$.

Similarly we can show that $A \rightarrow (B \vee C), C \leftrightarrow B, \sim C \models_s \sim A$. As usual, our strategy is to assume otherwise, and go for contradiction.

	1.	$A \rightarrow (B \vee C), C \leftrightarrow B, \sim C \not\models_s \sim A$	assp
	2.	$SI(I[A \rightarrow (B \vee C)] = T \Delta I[C \leftrightarrow B] = T \Delta I[\sim C] = T \Delta I[\sim A] \neq T)$	1 SV
	3.	$J[A \rightarrow (B \vee C)] = T \Delta J[C \leftrightarrow B] = T \Delta J[\sim C] = T \Delta J[\sim A] \neq T$	2 exs (J particular)
	4.	$J[\sim C] = T$	3 cnj
	5.	$J[C] \neq T$	4 ST(\sim)
	6.	$J[C] \neq T \vee J[B] \neq T$	5 dsj
	7.	$\neg(J[C] = T \Delta J[B] = T)$	6 dem
	8.	$J[C \leftrightarrow B] = T$	3 cnj
	9.	$(J[C] = T \Delta J[B] = T) \vee (J[C] \neq T \Delta J[B] \neq T)$	8 ST'(\leftrightarrow)
(O)	10.	$J[C] \neq T \Delta J[B] \neq T$	9,7 dsj
	11.	$\neg(J[C] = T \vee J[B] = T)$	10 dem
	12.	$J[\sim A] \neq T$	3 cnj
	13.	$J[A] = T$	12 ST(\sim)
	14.	$J[A \rightarrow (B \vee C)] = T$	3 cnj
	15.	$J[A] \neq T \vee J[B \vee C] = T$	14 ST(\rightarrow)
	16.	$J[B \vee C] = T$	13,15 dsj
	17.	$J[B] = T \vee J[C] = T$	16 ST'(\vee)
	18.	$J[C] = T \vee J[B] = T$	17 com
	19.	\perp	11,18 bot
	20.	$A \rightarrow (B \vee C), C \leftrightarrow B, \sim C \models_s \sim A$	1-19 neg

Suppose $A \rightarrow (B \vee C), C \leftrightarrow B, \sim C \not\models_s \sim A$; then by SV there is some I such that $I[A \rightarrow (B \vee C)] = T$, and $I[C \leftrightarrow B] = T$, and $I[\sim C] = T$, but $I[\sim A] \neq T$. Let J be a particular interpretation of this sort; then $J[A \rightarrow (B \vee C)] = T$, and $J[C \leftrightarrow B] = T$, and $J[\sim C] = T$, but $J[\sim A] \neq T$. Since $J[\sim C] = T$, by ST(\sim), $J[C] \neq T$; so either $J[C] \neq T$ or $J[B] \neq T$; so it is not the case that both $J[C] = T$ and $J[B] = T$. But $J[C \leftrightarrow B] = T$; so by ST'(\leftrightarrow), both $J[C] = T$ and $J[B] = T$, or both $J[C] \neq T$ and $J[B] \neq T$; but not the former, so $J[C] \neq T$ and $J[B] \neq T$; so it is not the case that either $J[C] = T$ or $J[B] = T$. $J[\sim A] \neq T$; so by ST(\sim), $J[A] = T$. But $J[A \rightarrow (B \vee C)] = T$; so by ST(\rightarrow), $J[A] \neq T$ or $J[B \vee C] = T$; but $J[A] = T$; so $J[B \vee C] = T$; so by ST'(\vee), $J[B] = T$ or $J[C] = T$; so either $J[C] = T$ or $J[B] = T$. But this is impossible; reject the assumption: $A \rightarrow (B \vee C), C \leftrightarrow B, \sim C \not\models_s \sim A$.

Though the formalized derivations are useful to discipline the way we reason, in the end, you may find the written versions to be both quicker, and easier to follow. As you work the exercises, try to free yourself from the formalized derivations to work the informal versions independently — though you should continue to use the formalized versions as a check for your work.

*E7.4. Complete the demonstration of derived clauses of \mathcal{ST}' by completing the demonstration for \mathcal{dst} from right-to-left, and providing non-formalized reasonings for both the positive and negative parts of $\mathcal{ST}'(\vee)$ and $\mathcal{ST}'(\leftrightarrow)$.

E7.5. Using $\mathcal{ST}(I)$ as above on p. 337, produce non-formalized reasonings to show each of the following. Again, you may or may not find formalized derivations helpful — but your reasoning should be no less clean than that guided by the rules. Hint, by $\mathcal{ST}(I)$, $I[\mathcal{P} \mid \mathcal{Q}] \neq T$ iff $I[\mathcal{P}] = T$ and $I[\mathcal{Q}] = T$.

- a. $I[\mathcal{P} \mid \mathcal{P}] = T$ iff $I[\sim \mathcal{P}] = T$
- *b. $I[\mathcal{P} \mid (\mathcal{Q} \mid \mathcal{Q})] = T$ iff $I[\mathcal{P} \rightarrow \mathcal{Q}] = T$
- c. $I[(\mathcal{P} \mid \mathcal{P}) \mid (\mathcal{Q} \mid \mathcal{Q})] = T$ iff $I[\mathcal{P} \vee \mathcal{Q}] = T$
- d. $I[(\mathcal{P} \mid \mathcal{Q}) \mid (\mathcal{P} \mid \mathcal{Q})] = T$ iff $I[\mathcal{P} \wedge \mathcal{Q}] = T$

E7.6. Produce non-formalized reasoning to demonstrate each of the following.

- a. $A \rightarrow (B \wedge C), C \leftrightarrow B, \sim C \models_s \sim A$
- *b. $\sim(A \leftrightarrow B), \sim A, \sim B \models_s C \wedge \sim C$
- *c. $\sim(\sim A \wedge \sim B) \not\models_s A \wedge B$
- d. $\sim\sim A \rightarrow \sim\sim B, \sim B \rightarrow \sim A \not\models_s B \rightarrow A$
- e. $A \wedge (B \rightarrow C) \not\models_s (A \wedge C) \vee (A \wedge B)$
- f. $[(C \vee D) \wedge B] \rightarrow A, D \models_s B \rightarrow A$
- g. $\models_s [A \vee ((C \rightarrow \sim B) \wedge \sim A)] \vee \sim A$
- h. $D \rightarrow (A \rightarrow B), \sim A \rightarrow \sim D, C \wedge D \models_s B$
- i. $(\sim A \vee B) \rightarrow (C \wedge D), \sim(\sim A \vee B) \not\models_s \sim(C \wedge D)$
- j. $A \wedge (B \vee C), (\sim C \vee D) \wedge (D \rightarrow \sim D) \models_s A \wedge B$

7.3 Quantificational

So far, we might have obtained sentential results for validity and invalidity by truth tables. But our method positions us to make progress for the quantificational case, compared to what we were able to do before. Again, we will depend on, and gradually expand our formalized system as a guide.

7.3.1 Satisfaction

Given what we have done, it is easy to state definition **SF** for satisfaction as it applies to sentence letters, \sim , and \rightarrow . In this case, as described in [chapter 4](#), we are reasoning about *satisfaction*, and satisfaction depends not just on interpretations, but on interpretations with variable assignments. For \mathcal{S} an arbitrary sentence letter and \mathcal{P} and \mathcal{Q} any formulas, where I_d is an interpretation with variable assignment,

$$\begin{array}{ll} \text{SF} \quad (s) \quad I_d[\mathcal{S}] = S \Leftrightarrow I[\mathcal{S}] = T & I_d[\mathcal{S}] \neq S \Leftrightarrow I[\mathcal{S}] \neq T \\ (\sim) \quad I_d[\sim\mathcal{P}] = S \Leftrightarrow I_d[\mathcal{P}] \neq S & I_d[\sim\mathcal{P}] \neq S \Leftrightarrow I_d[\mathcal{P}] = S \\ (\rightarrow) \quad I_d[\mathcal{P} \rightarrow \mathcal{Q}] = S \Leftrightarrow I_d[\mathcal{P}] \neq S \vee I_d[\mathcal{Q}] = S & I_d[\mathcal{P} \rightarrow \mathcal{Q}] \neq S \Leftrightarrow I_d[\mathcal{P}] = S \wedge I_d[\mathcal{Q}] \neq S \end{array}$$

Again, you should recognize this as a simple restatement of **SF** from p. 123. Rules for manipulating the definitions remain as before. Already, then, we can produce derived clauses for \vee , \wedge and \leftrightarrow .

$$\begin{array}{l} \text{SF}' \quad (\vee) \quad I_d[(\mathcal{P} \vee \mathcal{Q})] = S \Leftrightarrow I_d[\mathcal{P}] = S \vee I_d[\mathcal{Q}] = S \\ \quad \quad \quad I_d[(\mathcal{P} \vee \mathcal{Q})] \neq S \Leftrightarrow I_d[\mathcal{P}] \neq S \wedge I_d[\mathcal{Q}] \neq S \\ (\wedge) \quad I_d[(\mathcal{P} \wedge \mathcal{Q})] = S \Leftrightarrow I_d[\mathcal{P}] = S \wedge I_d[\mathcal{Q}] = S \\ \quad \quad \quad I_d[(\mathcal{P} \wedge \mathcal{Q})] \neq S \Leftrightarrow I_d[\mathcal{P}] \neq S \vee I_d[\mathcal{Q}] \neq S \\ (\leftrightarrow) \quad I_d[(\mathcal{P} \leftrightarrow \mathcal{Q})] = S \Leftrightarrow (I_d[\mathcal{P}] = S \wedge I_d[\mathcal{Q}] = S) \vee (I_d[\mathcal{P}] \neq S \wedge I_d[\mathcal{Q}] \neq S) \\ \quad \quad \quad I_d[(\mathcal{P} \leftrightarrow \mathcal{Q})] \neq S \Leftrightarrow (I_d[\mathcal{P}] = S \wedge I_d[\mathcal{Q}] \neq S) \vee (I_d[\mathcal{P}] \neq S \wedge I_d[\mathcal{Q}] = S) \end{array}$$

All these are like ones from before. For the first,

$$\begin{array}{ll} 1. \quad I_d[\mathcal{P} \vee \mathcal{Q}] = S \Leftrightarrow I_d[\sim\mathcal{P} \rightarrow \mathcal{Q}] = S & \text{abv} \\ (P) \quad 2. \quad I_d[\sim\mathcal{P} \rightarrow \mathcal{Q}] = S \Leftrightarrow I_d[\sim\mathcal{P}] \neq S \vee I_d[\mathcal{Q}] = S & \text{SF}(\rightarrow) \\ 3. \quad I_d[\sim\mathcal{P}] \neq S \vee I_d[\mathcal{Q}] = S \Leftrightarrow I_d[\mathcal{P}] = S \vee I_d[\mathcal{Q}] = S & \text{SF}(\sim) \\ 4. \quad I_d[\mathcal{P} \vee \mathcal{Q}] = S \Leftrightarrow I_d[\mathcal{P}] = S \vee I_d[\mathcal{Q}] = S & 1,2,3 \text{ bend} \end{array}$$

Again, line (3) results from an implicit $I_d[\sim\mathcal{P}] \neq S \vee I_d[\mathcal{Q}] = S \Leftrightarrow I_d[\sim\mathcal{P}] \neq S \vee I_d[\mathcal{Q}] = S$ with **ST**(\sim) as a replacement rule, substituting $I_d[\mathcal{P}] = S$ for $I_d[\sim\mathcal{P}] \neq S$ on the right-hand side. The informal reasoning is straightforward.

By **abv**, $I_d[\mathcal{P} \vee \mathcal{Q}] = S$ iff $I_d[\sim\mathcal{P} \rightarrow \mathcal{Q}] = S$; by **SF**(\rightarrow), iff $I_d[\sim\mathcal{P}] \neq S$ or $I_d[\mathcal{Q}] = S$; by **SF**(\sim), iff $I_d[\mathcal{P}] = S$ or $I_d[\mathcal{Q}] = S$. So $I_d[\mathcal{P} \vee \mathcal{Q}] = S$ iff $I_d[\mathcal{P}] = S$ or $I_d[\mathcal{Q}] = S$.

The reasoning is as before, except that our condition for satisfaction depends on an interpretation with variable assignment, rather than an interpretation alone.

Of course, given these definitions, we can use them in our reasoning. As a simple example, let us demonstrate that if $I_d[\mathcal{P} \vee \mathcal{Q}] = S$ and $I_d[\sim \mathcal{Q}] = S$, then $I_d[\mathcal{P}] = S$.

(Q)	1.	$I_d[\mathcal{P} \vee \mathcal{Q}] = S \Delta I_d[\sim \mathcal{Q}] = S$	assp
	2.	$I_d[\mathcal{P} \vee \mathcal{Q}] = S$	1 cnj
	3.	$I_d[\mathcal{P}] = S \nabla I_d[\mathcal{Q}] = S$	2 SF'(\vee)
	4.	$I_d[\sim \mathcal{Q}] = S$	1 cnj
	5.	$I_d[\mathcal{Q}] \neq S$	4 SF(\sim)
	6.	$I_d[\mathcal{P}] = S$	3,5 dsj
	7.	$(I_d[\mathcal{P} \vee \mathcal{Q}] = S \Delta I_d[\sim \mathcal{Q}] = S) \Rightarrow I_d[\mathcal{P}] = S$	1-6 cnd

Suppose $I_d[\mathcal{P} \vee \mathcal{Q}] = S$ and $I_d[\sim \mathcal{Q}] = S$. From the former, by SF'(\vee), $I_d[\mathcal{P}] = S$ or $I_d[\mathcal{Q}] = S$; but $I_d[\sim \mathcal{Q}] = S$; so by SF(\sim), $I_d[\mathcal{Q}] \neq S$; so $I_d[\mathcal{P}] = S$. So if $I_d[\mathcal{P} \vee \mathcal{Q}] = S$ and $I_d[\sim \mathcal{Q}] = S$, then $I_d[\mathcal{P}] = S$.

Again, basic reasoning is as in the sentential case, except that we carry along reference to variable assignments.

Observe that, given $I[A] = T$ for a sentence letter A , to show that $I_d[A \vee B] = S$, we reason,

(R)	1.	$I[A] = T$	ins
	2.	$I_d[A] = S$	1 SF(s)
	3.	$I_d[A] = S \nabla I_d[B] = S$	2 dsj
	4.	$I_d[A \vee B] = S$	3 SF'(\vee)

moving by SF(s) from the premise that the letter is true, to the result that it is satisfied, so that we are in a position to apply other clauses of the definition for satisfaction. SF applies to *satisfaction* not truth! So we have to bridge from one to the other before SF can apply!

This much should be straightforward, but let us pause to demonstrate derived clauses for satisfaction, and reinforce familiarity with the quantificational definition SF. As you work these and other problems, you may find the [quantificational metalinguistic reference](#) on p. 372 helpful.

E7.7. Produce formalized derivations and then informal reasoning to complete demonstrations for both positive and negative parts of derived clauses for SF'. Hint: you have been through the reasoning before!

*E7.8. Consider some l_d and suppose $l[A] = T$, $l[B] \neq T$ and $l[C] = T$. For each of the expressions in E7.1, produce the formalized and then informal reasoning to demonstrate either that it is or is not *satisfied* on l_d .

7.3.2 Validity

In the quantificational case, there is a distinction between satisfaction and truth. We have been working with the definition for satisfaction. But validity is defined in terms of truth. So to reason about validity, we need a bridge from satisfaction to truth that applies beyond the case of sentence letters. For this, let ‘A’ be a metalinguistic universal quantifier. So, for example, $Ad(l_d[\mathcal{P}] = S)$ says that any variable assignment d is such that $l_d[\mathcal{P}] = S$. Then we have,

$$TI \quad l[\mathcal{P}] = T \Leftrightarrow Ad(l_d[\mathcal{P}] = S) \quad l[\mathcal{P}] \neq T \Leftrightarrow Sd(l_d[\mathcal{P}] \neq S)$$

\mathcal{P} is true on l iff it is satisfied for any variable assignment d . \mathcal{P} is not true on l iff it is not satisfied for some variable assignment d . The definition QV is like SV.

$$QV \quad \neg SI(l[\mathcal{P}_1] = T \Delta \dots \Delta l[\mathcal{P}_n] = T \Delta l[\mathcal{Q}] \neq T) \Leftrightarrow \mathcal{P}_1 \dots \mathcal{P}_n \models \mathcal{Q} \\ SI(l[\mathcal{P}_1] = T \Delta \dots \Delta l[\mathcal{P}_n] = T \Delta l[\mathcal{Q}] \neq T) \Leftrightarrow \mathcal{P}_1 \dots \mathcal{P}_n \not\models \mathcal{Q}$$

An argument is quantificationally valid just in case there is no interpretation on which the premises are true and the conclusion is not. Of course, we are now talking about quantificational interpretations. Again, all of this repeats what was established in chapter 4.

To manipulate the universal quantifier, we will need some new rules. In chapter 6, we used $\forall E$ to instantiate to *any* term — variable, constant, or otherwise. But $\forall I$ was restricted — the idea being to generalize only on variables for truly *arbitrary* individuals. Corresponding restrictions are enforced here by the way terms are introduced. We generalize *from* variables for arbitrary individuals, but may instantiate *to* variables or constants of any kind. The universal rules are,

$$\text{unv} \quad \frac{At\mathfrak{A}[t]}{\mathfrak{A}[u]} \quad u \text{ of any type} \quad \frac{\mathfrak{A}[u]}{At\mathfrak{A}[t]} \quad u \text{ arbitrary and new}$$

If some \mathfrak{A} is true for any t , then it is true for individual u . Thus we might move from the generalization, $Ad(l_d[A] = S)$ to the particular claim $l_h[A] = S$ for assignment h . For the right-hand “introduction” rule, we require that u be new in the sense required for $\forall I$ in chapter 6. In particular, if u is new to a derivation for goal $At\mathfrak{A}[t]$, u will not appear free in any undischarged assumption when the universal rule is applied

(typically, our derivations will be so simple that this will not be an issue). If we can show, say, $I_h[A] = S$ for arbitrary assignment h , then it is appropriate to move to the conclusion $Ad(I_d[A] = S)$. We will also accept a metalinguistic quantifier negation, as in *ND+*.

$$\text{qn} \quad \neg At \mathfrak{A} \Leftrightarrow St \neg \mathfrak{A} \qquad \neg St \mathfrak{A} \Leftrightarrow At \neg \mathfrak{A}$$

With these definitions and rules, we are ready to reason about validity — at least for sentential forms. Suppose we want to show,

T7.1. $\mathcal{P}, \mathcal{P} \rightarrow \mathcal{Q} \models \mathcal{Q}$

1.	$\mathcal{P}, \mathcal{P} \rightarrow \mathcal{Q} \neq \mathcal{Q}$	assp
2.	$SI(I[\mathcal{P}] = T \Delta I[\mathcal{P} \rightarrow \mathcal{Q}] = T \Delta I[\mathcal{Q}] \neq T)$	1 QV
3.	$J[\mathcal{P}] = T \Delta J[\mathcal{P} \rightarrow \mathcal{Q}] = T \Delta J[\mathcal{Q}] \neq T)$	2 exs (J particular)
4.	$J[\mathcal{Q}] \neq T$	3 conj
5.	$Sd(J_d[\mathcal{Q}] \neq S)$	4 TI
6.	$J_h[\mathcal{Q}] \neq S$	5 exs (h particular)
7.	$J[\mathcal{P} \rightarrow \mathcal{Q}] = T$	3 conj
8.	$Ad(J_d[\mathcal{P} \rightarrow \mathcal{Q}] = S)$	7 TI
9.	$J_h[\mathcal{P} \rightarrow \mathcal{Q}] = S$	8 unv
10.	$J_h[\mathcal{P}] \neq S \nabla J_h[\mathcal{Q}] = S$	9 SF(\rightarrow)
11.	$J_h[\mathcal{P}] \neq S$	6,10 dsj
12.	$J[\mathcal{P}] = T$	3 conj
13.	$Ad(J_d[\mathcal{P}] = S)$	12 TI
14.	$J_h[\mathcal{P}] = S$	13 unv
15.	\perp	11,14 bot
16.	$\mathcal{P}, \mathcal{P} \rightarrow \mathcal{Q} \models \mathcal{Q}$	1-15 neg

As usual, we begin with the assumption that the theorem is not valid, and apply the definition of validity for the result that the premises are true and the conclusion not. The goal is a contradiction. What is interesting are the applications of **TI** to bridge between truth and satisfaction. We begin by working on the conclusion. Since the conclusion is not true, by **TI** with **exs** we introduce a new variable assignment h on which the conclusion is not satisfied. Then, because the premises are true, by **TI** with **unv** the premises are satisfied on that very same assignment h . Then we use **SF** in the usual way. All this is like the strategy from *ND* by which we jump on existentials: If we had started with the premises, the requirement from **exs** that we instantiate to a *new* term would have forced a *different* variable assignment. But, by beginning with the conclusion, and coming with the universals from the premises after, we bring results into contact for contradiction.

Suppose $\mathcal{P}, \mathcal{P} \rightarrow \mathcal{Q} \not\models \mathcal{Q}$. Then by **QV**, there is some I such that $I[\mathcal{P}] = T$ and $I[\mathcal{P} \rightarrow \mathcal{Q}] = T$ but $I[\mathcal{Q}] \neq T$; let J be a particular interpretation of this sort; then $J[\mathcal{P}] = T$ and $J[\mathcal{P} \rightarrow \mathcal{Q}] = T$ but $J[\mathcal{Q}] \neq T$. From the latter, by **TI**, there is some d such that $J_d[\mathcal{Q}] \neq S$; let h be a particular assignment of this sort; then $J_h[\mathcal{Q}] \neq S$. But since $J[\mathcal{P} \rightarrow \mathcal{Q}] = T$, by **TI**, for any d , $J_d[\mathcal{P} \rightarrow \mathcal{Q}] = S$; so $J_h[\mathcal{P} \rightarrow \mathcal{Q}] = S$; so by **SF**(\rightarrow), $J_h[\mathcal{P}] \neq S$ or $J_h[\mathcal{Q}] = S$; so $J_h[\mathcal{P}] \neq S$. But since $J[\mathcal{P}] = T$, by **TI**, for any d , $J_d[\mathcal{P}] = S$; so $J_h[\mathcal{P}] = S$. This is impossible; reject the assumption: $\mathcal{P}, \mathcal{P} \rightarrow \mathcal{Q} \models \mathcal{Q}$.

Similarly we can show,

$$T7.2. \models \mathcal{P} \rightarrow (\mathcal{Q} \rightarrow \mathcal{P})$$

$$T7.3. \models (\mathcal{Q} \rightarrow (\mathcal{P} \rightarrow \mathcal{Q})) \rightarrow ((\mathcal{Q} \rightarrow \mathcal{P}) \rightarrow (\mathcal{Q} \rightarrow \mathcal{Q}))$$

$$T7.4. \models (\sim \mathcal{Q} \rightarrow \sim \mathcal{P}) \rightarrow [(\sim \mathcal{Q} \rightarrow \mathcal{P}) \rightarrow \mathcal{Q}]$$

T7.5. There is no interpretation I and formula \mathcal{P} such that $I[\mathcal{P}] = T$ and $I[\sim \mathcal{P}] = T$.

Hint: Your goal is to show $\neg SI(I[\mathcal{P}] = T \Delta I[\sim \mathcal{P}] = T)$. You can get this by **neg**.

In each case, the pattern is the same: Bridge assumptions about truth to definition **SF** by **TI** with **exs** and **unv**. Reasoning with **SF** is as before. Given the requirement that the metalinguistic existential quantifier always be instantiated to a *new* variable or constant, it makes sense always to instantiate that which is not true, and so comes out as a metalinguistic existential, first, and then come with universals on “top” of terms already introduced. This is what we did above, and is like your derivation strategy in *ND*.

***E7.9.** Produce formalized derivations and non-formalized reasoning to show that a,b,f,g,h from E7.6 are quantificationally valid.

E7.10. Provide demonstrations for T7.2, T7.3, T7.4 and T7.5 in the non-formalized style. Hint: You may or may not decide that formalized derivations would be helpful.

7.3.3 Terms and Atomics

So far, we have addressed only validity for sentential forms, and have not even seen the (r) and (\forall) clauses for **SF**. We will get the quantifier clause in the next section. Here we come to the atomic clause for definition **SF**, but must first address the connection with interpretations *via* definition **TA**. For constant c , variable x , and complex term $h^n t_1 \dots t_n$, we say $I[h^n](\langle a_1 \dots a_n \rangle)$ is the thing the function $I[h^n]$ associates with input $\langle a_1 \dots a_n \rangle$ (see p. 120).

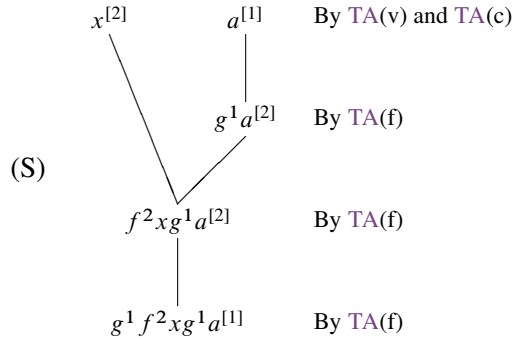
- TA (c) $I_d[c] = I[c]$.
 (v) $I_d[x] = d[x]$.
 (f) $I_d[h^n t_1 \dots t_n] = I[h^n](I_d[t_1] \dots I_d[t_n])$

This is a direct restatement of the definition. To manipulate it, we need rules for equality.

$$\text{eq} \quad t = t \qquad t = u \Leftrightarrow u = t \qquad \frac{t = u, u = v}{t = v} \qquad \frac{t = u, \mathcal{A}[t]}{\mathcal{A}[u]}$$

These should remind you of results from *ND*. We will allow generalized versions so that from $t = u$, $u = v$, and $v = w$, we might move directly to $t = w$. And we will not worry much about order around the equals sign so that, for example, we could move directly from $t = u$ and $\mathcal{A}[u]$ to $\mathcal{A}[t]$ without first converting $t = u$ to $u = t$ as required by the rule as stated. As in other cases, we will treat clauses from **TA** as both axioms and rules, though as usual, we typically take them as rules.

Let us consider first how this enables us to determine term assignments. Here is a relatively complex case. Suppose I has $U = \{1, 2\}$, $I[f^2] = \{\langle \langle 1, 1 \rangle, 1 \rangle, \langle \langle 1, 2 \rangle, 1 \rangle, \langle \langle 2, 1 \rangle, 2 \rangle, \langle \langle 2, 2 \rangle, 2 \rangle\}$, $I[g^1] = \{\langle 1, 2 \rangle, \langle 2, 1 \rangle\}$, and $I[a] = 1$. Recall that one-tuples are equated with their members so that $I[g^1]$ is officially $\{\langle \langle 1 \rangle, 2 \rangle, \langle \langle 2 \rangle, 1 \rangle\}$. Suppose $d[x] = 2$ and consider $I_d[g^1 f^2 x g^1 a]$. We might do this on a tree as in [chapter 4](#).



Perhaps we whip through this on the tree. But the derivation follows the very same path, with explicit appeal to the definitions at every stage. In the derivation below, lines (1) - (4) cover the top row by application of **TA(v)** and **TA(c)**. Lines (5) - (7) are like the second row, using the assignment to a with the interpretation of g^1 to determine the assignment to g^1a . Lines (8) - (10) cover the third row. And (11) - (13) use this to reach the final result.

- | | |
|------------------------------------|--------------------|
| 1. $I[a] = 1$ | ins (I particular) |
| 2. $I_d[a] = 1$ | 1 TA(c) |
| 3. $d[x] = 2$ | ins (d particular) |
| 4. $I_d[x] = 2$ | 3 TA(v) |
| 5. $I_d[g^1a] = I[g^1](1)$ | 2 TA(f) |
| 6. $I[g^1](1) = 2$ | ins |
| 7. $I_d[g^1a] = 2$ | 5,6 eq |
| 8. $I_d[f^2xg^1a] = I[f^2](2, 2)$ | 4,7 TA(f) |
| 9. $I[f^2](2, 2) = 2$ | ins |
| 10. $I_d[f^2xg^1a] = 2$ | 8,9 eq |
| 11. $I_d[g^1f^2xg^1a] = I[g^1](2)$ | 10 TA(f) |
| 12. $I[g^1](2) = 1$ | ins |
| 13. $I_d[g^1f^2xg^1a] = 1$ | 11,12 eq |

As with trees, to discover that to which a complex term is assigned, we find the assignment to the parts. Beginning with assignments to the parts, we work up to the assignment to the whole. Notice that assertions about the interpretation and the variable assignment are justified by **ins**. And notice the way we use **TA** as a rule at (2) and (4), and then again at (5), (8) and (11).

$I[a] = 1$; so by **TA(c)**, $I_d[a] = 1$. And $d[x] = 2$; so by **TA(v)**, $I_d[x] = 2$. Since $I_d[a] = 1$, by **TA(f)**, $I_d[g^1a] = I[g^1](1)$; but $I[g^1](1) = 2$; so $I_d[g^1a] = 2$. Since $I_d[x] = 2$ and $I_d[g^1a] = 2$, by **TA(f)**, $I_d[f^2xg^1a] = I[f^2](2, 2)$; but $I[f^2](2, 2) = 2$; so $I_d[f^2xg^1a] = 2$. And from this, by **TA(f)**, $I_d[g^1f^2xg^1a] = I[g^1](2)$; but $I[g^1](2) = 1$; so $I_d[g^1f^2xg^1a] = 1$.

With the ability to manipulate terms by **TA**, we can think about satisfaction and truth for arbitrary formulas without quantifiers. This brings us to **SF(r)**. Say \mathcal{R}^n is an n -place relation symbol, and $t_1 \dots t_n$ are terms.

$$\text{SF(r)} \quad I_d[\mathcal{R}^n t_1 \dots t_n] = S \Leftrightarrow \langle I_d[t_1] \dots I_d[t_n] \rangle \in I[\mathcal{R}^n]$$

$$I_d[\mathcal{R}^n t_1 \dots t_n] \neq S \Leftrightarrow \langle I_d[t_1] \dots I_d[t_n] \rangle \notin I[\mathcal{R}^n]$$

This is a simple restatement of the definition from p. 123 in chapter 4. In fact, because of the simple negative version, we will apply the definition just in its positive form, and generate the negative case directly from it (as in **NB** from **ND+**).

Let us expand the above interpretation and variable assignment so that $I[A^1] = \{2\}$ (or $\{\langle 2 \rangle\}$) and $I[B^2] = \{\langle 1, 2 \rangle, \langle 2, 1 \rangle\}$. Then $I[Af^2xa] = S$.

	1. $d[x] = 2$	ins (d particular)
	2. $I_d[x] = 2$	1 TA(v)
	3. $I[a] = 1$	ins (I particular)
	4. $I_d[a] = 1$	3 TA(c)
(T)	5. $I_d[f^2xa] = I[f^2]\langle 2, 1 \rangle$	2,4 TA(f)
	6. $I[f^2]\langle 2, 1 \rangle = 2$	ins
	7. $I_d[f^2xa] = 2$	5,6 eq
	8. $I_d[Af^2xa] = S \Leftrightarrow \langle 2 \rangle \in I[A]$	7 SF(r)
	9. $\langle 2 \rangle \in I[A]$	ins
	10. $I_d[Af^2xa] = S$	8,9 bcnd

Again, this mirrors what we did with trees — moving through term assignments, to the value of the atomic. Observe that satisfaction is not the same as truth! Insofar as d is particular, (unv) does not apply for the result that Af^2xa is satisfied on every variable assignment, and so by TI that the formula is true. In this case, it is a simple matter to identify a variable assignment other than d on which the formula is not satisfied, and so to show that it is not true on I . Set $h[x] = 1$.

	1. $h[x] = 1$	ins (h particular)
	2. $I_h[x] = 1$	1 TA(v)
	3. $I[a] = 1$	ins (I particular)
	4. $I_h[a] = 1$	3 TA(c)
	5. $I_h[f^2xa] = I[f^2]\langle 1, 1 \rangle$	2,4 TA(f)
	6. $I[f^2]\langle 1, 1 \rangle = 1$	ins
	7. $I_h[f^2xa] = 1$	5,6 eq
	8. $I_h[Af^2xa] = S \Leftrightarrow \langle 1 \rangle \in I[A]$	7 SF(r)
	9. $\langle 1 \rangle \notin I[A]$	ins
	10. $I_h[Af^2xa] \neq S$	8,9 bcnd
	11. $Sd(I_d[Af^2xa] \neq S)$	10 exs
	12. $I[Af^2xa] \neq T$	11 TI

Given that it is not satisfied on the particular variable assignment h , (exs) and TI give the result that Af^2xa is not true. In this case, we simply *pick* the variable assignment we want: since the formula is not satisfied on this assignment, there is an assignment on which it is not satisfied; so it is not true. For a formula that is not a sentence, this is often the way to go. Just as it may be advantageous to find a particular interpretation to show invalidity, so it may be advantageous to seek out particular variable assignments for truth, in the case of open formulas.

$h[x] = 1$; so by TA(v), $I_h[x] = 1$. And $I[a] = 1$; so by TA(c), $I_h[a] = 1$. So by TA(f), $I_h[f^2xa] = I[f^2]\langle 1, 1 \rangle$; but $I[f^2]\langle 1, 1 \rangle = 1$; so $I_h[f^2xa] = 1$. So by SF(r),

$l_h[Af^2xa] = S$ iff $\langle 1 \rangle \in l[A]$; but $\langle 1 \rangle \notin l[A]$; so $l_h[Af^2xa] \neq S$. So there is a variable assignment d such that $l_d[Af^2xa] \neq S$; so by **TI**, $l[Af^2xa] \neq T$.

In contrast, even though it has free variables, Bxg^1x is true on this l . To show truth — a fact about *every* variable assignment — assume otherwise, and demonstrate a contradiction. This parallels our strategy for validity. Say o is a metalinguistic variable that ranges over members of U . In this case, it will be necessary to make an assertion by **ins** that $Ao(o = 1 \vee o = 2)$. This is clear enough, since $U = \{1, 2\}$.

(U)	1.	$l[Bxg^1x] \neq T$	assp (l particular)
	2.	$Sd(l_d[Bxg^1x] \neq S)$	1 TI
	3.	$l_h[Bxg^1x] \neq S$	2 exs (h particular)
	4.	$Ao(o = 1 \vee o = 2)$	ins
	5.	$l_h[x] = 1 \vee l_h[x] = 2$	4 unv
	6.	$l_h[x] = 1$	assp
	7.	$l_h[g^1x] = l[g^1]\langle 1 \rangle$	6 TA(f)
	8.	$l[g^1]\langle 1 \rangle = 2$	ins
	9.	$l_h[g^1x] = 2$	7,8 eq
	10.	$l_h[Bxg^1x] = S \Leftrightarrow \langle 1, 2 \rangle \in l[B]$	6,9 SF(r)
	11.	$\langle 1, 2 \rangle \notin l[B]$	10,3 bcnd
	12.	$\langle 1, 2 \rangle \in l[B]$	ins
	13.	\perp	11,12 bot
	14.	$l_h[x] \neq 1$	6-13 neg
	15.	$l_h[x] = 2$	5,14 dsj
	16.	$l_h[g^1x] = l[g^1]\langle 2 \rangle$	15 TA(f)
	17.	$l[g^1]\langle 2 \rangle = 1$	ins
	18.	$l_h[g^1x] = 1$	16,17 eq
	19.	$l_h[Bxg^1x] = S \Leftrightarrow \langle 2, 1 \rangle \in l[B]$	15,18 SF(r)
	20.	$\langle 2, 1 \rangle \notin l[B]$	19,3 bcnd
	21.	$\langle 2, 1 \rangle \in l[B]$	ins
	22.	\perp	20,21 bot
	23.	$l[Bxg^1x] = T$	1-22 neg

Up to this point, by **ins** we have made only particular claims about an assignment or interpretation, for example that $\langle 2, 1 \rangle \in l[B]$ or that $l[g^1]\langle 2 \rangle = 1$. This is the typical use of **ins**. In this case, however, at (4), we make a universal claim about U , any $o \in U$ is equal to 1 or 2. Since $l_h[x]$ is a metalinguistic term, picking out some member of U , we instantiate the universal to it, with the result that $l_h[x] = 1$ or $l_h[x] = 2$. When U is small, this is often helpful: By **ins** we identify all the members of U ; then we are in a position to argue about them individually. This argument works because we get the result no matter which thing $l_h[x]$ happens to be.

Suppose $I[Bxg^1x] \neq T$; then by **TI**, for some d , $I_d[Bxg^1x] \neq S$; let h be a particular assignment of this sort; then $I_h[Bxg^1x] \neq S$. Since $U = \{1, 2\}$, $I_h[x] = 1$ or $I_h[x] = 2$. Suppose the former; then by **TA(f)**, $I_h[g^1x] = I[g^1](1)$; but $I[g^1](1) = 2$; so $I_h[g^1x] = 2$; so by **SF(r)**, $I_h[Bxg^1x] = S$ iff $\langle 1, 2 \rangle \in I[B]$; so $\langle 1, 2 \rangle \notin I[B]$; but $\langle 1, 2 \rangle \in I[B]$; and this is impossible; reject the assumption; $I_h[x] \neq 1$. So $I_h[x] = 2$; so by **TA(f)**, $I_h[g^1x] = I[g^1](2)$; but $I[g^1](2) = 1$; so $I_h[g^1x] = 1$; so by **SF(r)**, $I_h[Bxg^1x] = S$ iff $\langle 2, 1 \rangle \in I[B]$; so $\langle 2, 1 \rangle \notin I[B]$. But $\langle 2, 1 \rangle \in I[B]$. And this is impossible; reject the original assumption: $I[Bxg^1x] = T$.

To show that the formula is true, we assume otherwise. If there are no free variables, the argument may be straightforward. In this case with free variables, however, we are forced to reason individually about each of the possible assignments to x . It remains that we have been forced into cases. This is doable when U is small. We will have to consider other options when it is larger!

E7.11. Consider an I and d such that $U = \{1, 2\}$, $I[a] = 1$, $I[f^2] = \{\langle (1, 1), 2 \rangle, \langle (1, 2), 1 \rangle, \langle (2, 1), 1 \rangle, \langle (2, 2), 2 \rangle\}$, $I[g^1] = \{\langle 1, 1 \rangle, \langle 2, 1 \rangle\}$, $d[x] = 1$ and $d[y] = 2$. Produce formalized derivations and non-formalized reasoning to determine the assignment I_d for each of the following.

- a. a
- b. g^1y
- *c. g^1g^1x
- d. f^2g^1ax
- e. $f^2g^1af^2yx$

E7.12. Augment the above interpretation for E7.11 so that $I[A^1] = \{1\}$ and $I[B^2] = \{\langle 1, 2 \rangle, \langle 2, 2 \rangle\}$. Produce formalized derivations and non-formalized reasoning to demonstrate each of the following.

- a. $I_d[Ax] = S$
- *b. $I[Byx] \neq T$
- c. $I[Bg^1ay] \neq T$
- d. $I[Aa] = T$
- e. $I[\sim Bxg^1x] = T$

7.3.4 Quantifiers

We are finally ready to think more generally about validity and truth for quantifier forms. For this, we will complete our formalized system by adding the quantifier clause to definition SF.

$$\text{SF}(\forall) \quad I_d[\forall x \mathcal{P}] = S \Leftrightarrow A_o(I_{d(x|o)}[\mathcal{P}] = S) \quad I_d[\forall x \mathcal{P}] \neq S \Leftrightarrow S_o(I_{d(x|o)}[\mathcal{P}] \neq S)$$

This is a simple statement of the definition from p. 123. Again, we treat the metalinguistic individual variable ‘o’ as implicitly restricted to the members of U (for any $o \in U \dots$). You should think about this in relation to trees: From $I_d[\forall x \mathcal{P}]$ there are branches with $I_{d(x|o)}[\mathcal{P}]$ for each object $o \in U$. The universal is satisfied when each branch is satisfied; not satisfied when some branch is unsatisfied. That is what is happening above. We have the derived clause too.

$$\text{SF}'(\exists) \quad I_d[\exists x \mathcal{P}] = S \Leftrightarrow S_o(I_{d(x|o)}[\mathcal{P}] = S) \quad I_d[\exists x \mathcal{P}] \neq S \Leftrightarrow A_o(I_{d(x|o)}[\mathcal{P}] \neq S)$$

The existential is satisfied when some branch is satisfied; not satisfied when every branch is not satisfied. For the positive form,

- | | | |
|-----|--|--------------|
| | 1. $I_d[\exists x \mathcal{P}] = S \Leftrightarrow I_d[\sim \forall x \sim \mathcal{P}] = S$ | abv |
| | 2. $I_d[\sim \forall x \sim \mathcal{P}] = S \Leftrightarrow I_d[\forall x \sim \mathcal{P}] \neq S$ | SF(∼) |
| (V) | 3. $I_d[\forall x \sim \mathcal{P}] \neq S \Leftrightarrow S_o(I_{d(x o)}[\sim \mathcal{P}] \neq S)$ | SF(∀) |
| | 4. $S_o(I_{d(x o)}[\sim \mathcal{P}] \neq S) \Leftrightarrow S_o(I_{d(x o)}[\mathcal{P}] = S)$ | SF(∼) |
| | 5. $I_d[\exists x \mathcal{P}] = S \Leftrightarrow S_o(I_{d(x o)}[\mathcal{P}] = S)$ | 1,2,3,4 bcnd |

By **abv**, $I_d[\exists x \mathcal{P}] = S$ iff $I_d[\sim \forall x \sim \mathcal{P}] = S$; by **SF(∼)** iff $I_d[\forall x \sim \mathcal{P}] \neq S$; by **SF(∀)**, iff for some $o \in U$, $I_{d(x|o)}[\sim \mathcal{P}] \neq S$; by **SF(∼)**, iff for some $o \in U$, $I_{d(x|o)}[\mathcal{P}] = S$. So $I_d[\exists x \mathcal{P}] = S$ iff there is some $o \in U$ such that $I_{d(x|o)}[\mathcal{P}] = S$.

Recall that we were not able to use trees to demonstrate validity in the quantificational case, because there were too many interpretations to have trees for all of them, and because universes may have too many members to have branches for all their members. But this is not a special difficulty for us now. For a simple case, let us show that $\models \forall x (Ax \rightarrow Ax)$.

(W)	1.	$\not\models \forall x(Ax \rightarrow Ax)$	assp
	2.	$Sl(l[\forall x(Ax \rightarrow Ax)] \neq T)$	1 QV
	3.	$J[\forall x(Ax \rightarrow Ax)] \neq T$	2 exs (J particular)
	4.	$Sd(J_d[\forall x(Ax \rightarrow Ax)] \neq S)$	3 TI
	5.	$J_h[\forall x(Ax \rightarrow Ax)] \neq S$	4 exs (h particular)
	6.	$So(J_{h(x o)}[Ax \rightarrow Ax] \neq S)$	5 SF (\forall)
	7.	$J_{h(x m)}[Ax \rightarrow Ax] \neq S$	6 exs (m particular)
	8.	$J_{h(x m)}[Ax] = S \Delta J_{h(x m)}[Ax] \neq S$	7 SF (\rightarrow)
	9.	$J_{h(x m)}[Ax] = S$	8 cnj
	10.	$J_{h(x m)}[Ax] \neq S$	8 cnj
	11.	\perp	9,10 bot
	12.	$\models \forall x(Ax \rightarrow Ax)$	1-11 neg

If $\forall x(Ax \rightarrow Ax)$ is not valid, there has to be *some* l on which it is not true. If $\forall x(Ax \rightarrow Ax)$ is not true on some l , there has to be some d on which it is not satisfied. And if the universal is not satisfied, there has to be some $o \in U$ for which the corresponding “branch” is not satisfied. But this is impossible — for we cannot have a branch where this is so.

Suppose $\not\models \forall x(Ax \rightarrow Ax)$; then by **QV**, there is some l such that $l[\forall x(Ax \rightarrow Ax)] \neq T$. Let J be a particular interpretation of this sort; then $J[\forall x(Ax \rightarrow Ax)] \neq T$; so by **TI**, for some d , $J_d[\forall x(Ax \rightarrow Ax)] \neq S$. Let h be a particular assignment of this sort; then $J_h[\forall x(Ax \rightarrow Ax)] \neq S$; so by **SF**(\forall), there is some $o \in U$ such that $J_{h(x|o)}[Ax \rightarrow Ax] \neq S$. Let m be a particular individual of this sort; then $J_{h(x|m)}[Ax \rightarrow Ax] \neq S$; so by **SF**(\rightarrow), $J_{h(x|m)}[Ax] = S$ and $J_{h(x|m)}[Ax] \neq S$. But this is impossible; reject the assumption: $\models \forall x(Ax \rightarrow Ax)$.

Notice, again, that the general strategy is to instantiate metalinguistic existential quantifiers as quickly as possible. Contradictions tend to arise at the level of atomic expressions and individuals.

Here is a case that is similar, but somewhat more involved. We show, $\forall x(Ax \rightarrow Bx), \exists x Ax \models \exists z Bz$. Here is a start.

	1.	$\forall x(Ax \rightarrow Bx), \exists xAx \not\models \exists zBz$	assp
	2.	$SI(I[\forall x(Ax \rightarrow Bx)] = T \Delta I[\exists xAx] = T \Delta I[\exists zBz] \neq T)$	1 QV
	3.	$J[\forall x(Ax \rightarrow Bx)] = T \Delta J[\exists xAx] = T \Delta J[\exists zBz] \neq T$	2 exs (J particular)
	4.	$J[\exists zBz] \neq T$	3 cnj
	5.	$Sd(J_d[\exists zBz] \neq S)$	4 TI
	6.	$J_h[\exists zBz] \neq S$	5 exs (h particular)
	7.	$J[\exists xAx] = T$	3 cnj
	8.	$Ad(J_d[\exists xAx] = S)$	7 TI
	9.	$J_h[\exists xAx] = S$	8 unv
(X)	10.	$So(J_{h(x o)}[Ax] = S)$	9 SF'(\exists)
	11.	$J_{h(x m)}[Ax] = S$	10 exs (m particular)
	12.	$J[\forall x(Ax \rightarrow Bx)] = T$	3 cnj
	13.	$Ad(J_d[\forall x(Ax \rightarrow Bx)] = S)$	12 TI
	14.	$J_h[\forall x(Ax \rightarrow Bx)] = S$	13 unv
	15.	$Ao(J_{h(x o)}[Ax \rightarrow Bx] = S)$	14 SF(\forall)
	16.	$J_{h(x m)}[Ax \rightarrow Bx] = S$	15 unv
	17.	$J_{h(x m)}[Ax] \neq S \nabla J_{h(x m)}[Bx] = S$	16 SF(\rightarrow)
	18.	$J_{h(x m)}[Bx] = S$	17,11 dsj
	19.	$Ao(J_{h(z o)}[Bz] \neq S)$	6 SF'(\exists)
	20.	$J_{h(z m)}[Bz] \neq S$	19 unv

Note again the way we work with the metalinguistic quantifiers: We begin with the conclusion, because it is the one that requires a particular variable assignment; the premises can then be instantiated to that same assignment. Similarly, with that particular variable assignment on the table, we focus on the second premise, because it is the one that requires an instantiation to a particular individual. The other premise and the conclusion then come in later with universal quantifications that go onto the same thing. Also, $h(x|m)[Ax] = S$ contradicts $h(x|m)[Ax] \neq S$; this justifies **dsj** at (18). However $J_{h(x|m)}[Bx] = S$ at (18) does not contradict $J_{h(z|m)}[Bz] \neq S$ at (20). There would have been a contradiction if the variable had been the same. But it is not. However, with the distinct variables, we can bring out the contradiction by “forcing the result into the interpretation” as follows.

21.	$h(x m)[x] = m$	ins
22.	$J_{h(x m)}[x] = m$	21 TA(v)
23.	$J_{h(x m)}[Bx] = S \Leftrightarrow m \in J[B]$	22 SF(r)
24.	$m \in J[B]$	23,18 bcnd
25.	$h(z m)[z] = m$	ins
26.	$J_{h(z m)}[z] = m$	25 TA(v)
27.	$J_{h(z m)}[Bz] = S \Leftrightarrow m \in J[B]$	26 SF(r)
28.	$m \notin J[B]$	27,20 bcnd
29.	\perp	24,28 bot
30.	$\forall x(Ax \rightarrow Bx), \exists xAx \models \exists zBz$	1-29 neg

The assumption that the argument is not valid leads to the result that there is some interpretation J and $m \in U$ such that $m \in J[B]$ and $m \notin J[B]$; so there can be no such interpretation, and the argument is quantificationally valid. Observe that, though we do not know anything else about h , simple inspection reveals that $h(x|m)$ assigns object m to x . So we allow ourselves to assert it at (21) by **ins**; and similarly at (25). This pattern of moving from facts about satisfaction, to facts about the interpretation is typical.

Suppose $\forall x(Ax \rightarrow Bx)$, $\exists xAx \not\models \exists zBz$; then by **QV**, there is some I such that $I[\forall x(Ax \rightarrow Bx)] = T$ and $I[\exists xAx] = T$ but $I[\exists zBz] \neq T$. Let J be a particular interpretation of this sort; then $J[\forall x(Ax \rightarrow Bx)] = T$ and $J[\exists xAx] = T$ but $J[\exists zBz] \neq T$. From the latter, by **TI**, there is some d such that $J_d[\exists zBz] \neq S$. Let h be a particular assignment of this sort; then $J_h[\exists zBz] \neq S$. Since $J[\exists xAx] = T$, by **TI**, for any d , $J_d[\exists xAx] = S$; so $J_h[\exists xAx] = S$; so by **SF'(\exists)** there is some $o \in U$ such that $J_{h(x|o)}[Ax] = S$. Let m be a particular individual of this sort; then $J_{h(x|m)}[Ax] = S$. Since $J[\forall x(Ax \rightarrow Bx)] = T$, by **TI**, for any d , $J_d[\forall x(Ax \rightarrow Bx)] = S$; so $J_h[\forall x(Ax \rightarrow Bx)] = S$; so by **SF(\forall)**, for any $o \in U$, $J_{h(x|o)}[Ax \rightarrow Bx] = S$; so $J_{h(x|m)}[Ax \rightarrow Bx] = S$; so by **SF(\rightarrow)**, either $J_{h(x|m)}[Ax] \neq S$ or $J_{h(x|m)}[Bx] = S$; so $J_{h(x|m)}[Bx] = S$; $h(x|m)[x] = m$; so by **TA(v)**, $J_{h(x|m)}[x] = m$; so by **SF(r)**, $J_{h(x|m)}[Bx] = S$ iff $m \in J[B]$; so $m \in J[B]$. But since $J_d[\exists zBz] \neq S$, by **SF'(\exists)**, for any $o \in U$, $J_{h(z|o)}[Bz] \neq S$; so $J_{h(z|m)}[Bz] \neq S$; $h(z|m)[z] = m$; so by **TA(v)**, $J_{h(z|m)}[z] = m$; so by **SF(r)**, $J_{h(z|m)}[Bz] = S$ iff $m \in J[B]$; so $m \notin J[B]$. This is impossible; reject the assumption: $\forall x(Ax \rightarrow Bx)$, $\exists xAx \models \exists zBz$.

Observe again the repeated use of the pattern that moves from truth through **TI** with the quantifier rules to satisfaction, so that **SF** gets a grip, and the pattern that moves through satisfaction to the interpretation. These should be nearly automatic.

Here is an example that is particularly challenging in the way quantifier rules apply. We show, $\exists x\forall yAxy \models \forall y\exists xAxy$.

	1.	$\exists x \forall y Axy \not\models \forall y \exists x Axy$	assp
	2.	$SI(I[\exists x \forall y Axy] = T \Delta I[\forall y \exists x Axy] \neq T)$	1 QV
	3.	$J[\exists x \forall y Axy] = T \Delta J[\forall y \exists x Axy] \neq T$	2 exs (J particular)
	4.	$J[\forall y \exists x Axy] \neq T$	3 cnj
	5.	$Sd(J_d[\forall y \exists x Axy] \neq S)$	4 TI
	6.	$J_h[\forall y \exists x Axy] \neq S$	5 exs (h particular)
	7.	$So(J_{h(y o)}[\exists x Axy] \neq S)$	6 SF (\forall)
	8.	$J_{h(y m)}[\exists x Axy] \neq S$	7 exs (m particular)
	9.	$J[\exists x \forall y Axy] = T$	3 cnj
	10.	$Ad(J_d[\exists x \forall y Axy] = S)$	9 TI
(Y)	11.	$J_h[\exists x \forall y Axy] = S$	10 exs
	12.	$So(J_{h(x o)}[\forall y Axy] = S)$	11 SF' (\exists)
	13.	$J_{h(x n)}[\forall y Axy] = S$	12 exs (n particular)
	14.	$Ad(J_{h(x n,y o)}[Axy] = S)$	13 SF (\forall)
	15.	$J_{h(x n,y m)}[Axy] = S$	14 unv
	16.	$Ad(J_{h(y m,x o)}[Axy] \neq S)$	8 SF' (\exists)
	17.	$J_{h(y m,x n)}[Axy] \neq S$	16 unv
	18.	$h(y m, x n) = h(x n, y m)$	ins
	19.	$J_{h(x n,y m)}[Axy] \neq S$	17,18 eq
	20.	\perp	15,19 bot
	21.	$\exists x \forall y Axy \models \forall y \exists x Axy$	1-20 neg

When multiple quantifiers come off, variable assignments are simply modified again — just as with trees. Observe again that we instantiate the metalinguistic existential quantifiers before universals. Also, the different existential quantifiers go to *different* individuals, to respect the requirement that individuals from **exs** be *new*. The key to this derivation is getting out *both* metalinguistic existentials for *m* and *n* *before* applying the corresponding universals — and what makes the derivation difficult is seeing that this needs to be done. Strictly, the variable assignment at (15) is the same as the one at (17), only the names are variants of one another. Thus we observe by **ins** that the assignments are the same, and apply **eq** for the contradiction. Another approach would have been to push for contradiction at the level of the interpretation. Thus, after (17) we might have continued,

18.	$h(x n, y m)[x] = n$	ins
19.	$h(x n, y m)[y] = m$	ins
20.	$J_{h(x n, y m)}[x] = n$	18 TA(v)
21.	$J_{h(x n, y m)}[y] = m$	19 TA(v)
22.	$J_{h(x n, y m)}[Axy] = S \Leftrightarrow \langle n, m \rangle \in I[A]$	20,21 SF(r)
23.	$\langle n, m \rangle \in I[A]$	22,15 bcnd
24.	$h(y m, x n)[x] = n$	ins
25.	$h(y m, x n)[y] = m$	ins
26.	$J_{h(y m, x n)}[x] = n$	24 TA(v)
27.	$J_{h(y m, x n)}[y] = m$	25 TA(v)
28.	$J_{h(y m, x n)}[Axy] = S \Leftrightarrow \langle n, m \rangle \in I[A]$	26,27 SF(r)
29.	$\langle n, m \rangle \notin I[A]$	28,17 bcnd
30.	\perp	23,29 bot

This takes more steps, but follows a standard pattern. And you want to be particularly good at this pattern. We use facts about satisfaction to say that individuals assigned to terms are or are not, in the interpretation of the relation symbol. Something along these lines would have been required if the conclusion had been, say, $\forall w \exists z Azw$. Based on this latter strategy, here is the non-formalized version.

Suppose $\exists x \forall y Axy \not\models \forall y \exists x Axy$; then by **QV** there is some I such that $I[\exists x \forall y Axy] = T$ and $I[\forall y \exists x Axy] \neq T$; let J be a particular interpretation of this sort; then $J[\exists x \forall y Axy] = T$ and $J[\forall y \exists x Axy] \neq T$. From the latter, by **TI**, there is some d such that $J_d[\forall y \exists x Axy] \neq S$; let h be a particular assignment of this sort; then $J_h[\forall y \exists x Axy] \neq S$; so by **SF(V)**, there is some $o \in U$ such that $J_{h(y|o)}[\exists x Axy] \neq S$; let m be a particular individual of this sort; then $J_{h(y|m)}[\exists x Axy] \neq S$. Since $J[\exists x \forall y Axy] = T$, by **TI** for any d , $J_d[\exists x \forall y Axy] = S$; so $J_h[\exists x \forall y Axy] = S$; so by **SF'(E)**, there is some $o \in U$ such that $J_{h(x|o)}[\forall y Axy] = S$; let n be a particular individual of this sort; then $J_{h(x|n)}[\forall y Axy] = S$; so by **SF(V)**, for any $o \in U$, $J_{h(x|n, y|o)}[Axy] = S$; so $J_{h(x|n, y|m)}[Axy] = S$. $h(x|n, y|m)[x] = n$ and $h(x|n, y|m)[y] = m$; so by **TA(v)**, $J_{h(x|n, y|m)}[x] = n$ and $J_{h(x|n, y|m)}[y] = m$; so by **SF(r)**, $J_{h(x|n, y|m)}[Axy] = S$ iff $\langle n, m \rangle \in I[A]$; so $\langle n, m \rangle \in I[A]$. Since $J_{h(y|m)}[\exists x Axy] \neq S$, by **SF'(E)**, for any $o \in U$, $J_{h(y|m, x|o)}[Axy] \neq S$; so $J_{h(y|m, x|n)}[Axy] \neq S$. $h(y|m, x|n)[x] = n$ and $h(y|m, x|n)[y] = m$; so by **TA(v)**, $J_{h(y|m, x|n)}[x] = n$ and $J_{h(y|m, x|n)}[y] = m$; so by **SF(r)**, $J_{h(y|m, x|n)}[Axy] = S$ iff $\langle n, m \rangle \in I[A]$; so $\langle n, m \rangle \notin I[A]$. This is impossible; reject the assumption: $\exists x \forall y Axy \models \forall y \exists x Axy$.

Try reading that to your roommate or parents! If you have followed to this stage, you have accomplished something significant. These are important results, given that we wondered in [chapter 4](#) how this sort of thing could be done at all.

Here is a last trick that can sometimes be useful. Suppose we are trying to show $\forall xPx \models Pa$. We will come to a stage, where we want to use the premise to instantiate a variable o to the thing that is $J_h[a]$. So we might move directly from $Ad(J_{h(x|o)}[Px] = S)$ to $J_{h(x|J_h[a])}[Px] = S$ by **unv**. But this is ugly, and hard to follow. An alternative is allow a rule (def) that defines m as a metalinguistic term for the *same* object as $J_h[a]$. The result is as follows.

	1.	$\forall xPx \not\models Pa$	assp
	2.	$SI(I[\forall xPx] = T \Delta I[Pa] \neq T)$	1 QV
	3.	$J[\forall xPx] = T \Delta J[Pa] \neq T$	2 exs (J particular)
	4.	$J[Pa] \neq T$	3 cnj
	5.	$Sd(J_d[Pa] \neq S)$	4 TI
	6.	$J_h[Pa] \neq S$	5 exs (h particular)
	7.	$J_h[a] = m$	def (m particular)
	8.	$J_h[Pa] = S \Leftrightarrow m \in I[P]$	7 SF(r)
	9.	$m \notin I[P]$	6,8 bcnd
(Z)	10.	$J[\forall xPx] = T$	2 cnj
	11.	$Ad(J_d[\forall xPx] = S)$	10 TI
	12.	$J_h[\forall xPx] = S$	11 unv
	13.	$Ad(J_{h(x o)}[Px] = S)$	12 SF(V)
	14.	$J_{h(x m)}[Px] = S$	13 unv
	15.	$h(x m)[x] = m$	ins
	16.	$J_{h(x m)}[x] = m$	15 TA(v)
	17.	$J_{h(x m)}[Px] = S \Leftrightarrow m \in I[P]$	16 SF(r)
	18.	$m \in I[P]$	17,14 bcnd
	19.	\perp	9,18 bot
	20.	$\forall xPx \models Pa$	1-19 neg

The result adds a couple lines, but is perhaps easier to follow. Though an interpretation is not specified, we can be sure that $J_h[a]$ is some particular member of U ; we simply let m designate that individual, and instantiate the universal to it.

Suppose $\forall xPx \not\models Pa$; then by **QV**, there is some I such that $I[\forall xPx] = T$ and $I[Pa] \neq T$; let J be a particular interpretation of this sort; then $J[\forall xPx] = T$ and $J[Pa] \neq T$. From the latter, by **TI**, there is some d such that $J_d[Pa] \neq S$; let h be a particular assignment of this sort; then $J_h[Pa] \neq S$; where $m = J_h[a]$, by **SF(r)**, $J_h[Pa] = S$ iff $m \in I[P]$; so $m \notin I[P]$. Since $J[\forall xPx] = T$, by **TI**, for any d , $J_d[\forall xPx] = S$; so $J_h[\forall xPx] = S$; so by **SF(V)**, for any $o \in U$, $J_{h(x|o)}[Px] = S$; so $J_{h(x|m)}[Px] = S$; $h(x|m)[x] = m$; so by **TA(v)**, $J_{h(x|m)}[x] = m$; so by **SF(r)**, $J_{h(x|m)}[Px] = S$ iff $m \in I[P]$; so $m \in I[P]$. This is impossible; reject the assumption: $\forall xPx \not\models Pa$.

Since we can instantiate $Ao(J_{h(x|o)}[Px] = S)$ to any object, we can instantiate it to the one that happens to be $J_h[a]$. The extra name streamlines the process. One can always do without the name. But there is no harm introducing it when it will help.

At this stage, we have the tools for a proof of the following theorems that will be useful for later chapters.

T7.6. $\models \forall x(\mathcal{P} \rightarrow \mathcal{Q}) \rightarrow (P \rightarrow \forall xQ)$ — where x is not free in \mathcal{P}

Hint: This is straightforward with an application of T8.4.

T7.7. For any I and \mathcal{P} , $I[\mathcal{P}] = T$ iff $I[\forall x\mathcal{P}] = T$

Hint: If \mathcal{P} is satisfied for the arbitrary assignment, you may conclude that it is satisfied on one like $h(x|m)$. In the other direction, if you can instantiate o to any object, you can instantiate it to the thing that is $h[x]$. But by *ins*, h with *this* assigned to x , just *is* h . So after substitution, you can end up with the very same assignment as the one with which you started.

T7.6 is related to A5 of *AD*. T7.7 is interesting insofar as it underlies principles like A4 and Gen in *AD* or $\forall E$ and $\forall I$ in *ND*. We further explore this link in following chapters.

E7.13. Produce formalized derivations and non-formalized reasoning to demonstrate each of the following.

- a. $\models \forall x(Ax \rightarrow \sim\sim Ax)$
- b. $\models \sim\exists x(Ax \wedge \sim Ax)$
- *c. $Pa \models \exists xPx$
- d. $\forall x(Ax \wedge Bx) \models \forall yBy$
- e. $\forall yPy \models \forall xPf^1x$
- f. $\exists yAy \models \exists x(Ax \vee Bx)$
- g. $\sim\forall x(Ax \rightarrow Dx) \models \exists x(Ax \wedge \sim Dx)$
- h. $\forall x(Ax \rightarrow Bx), \forall x(Bx \rightarrow Cx) \models \forall x(Ax \rightarrow Cx)$
- i. $\forall x\forall yAxy \models \forall y\forall xAxy$

Metalinguistic Quick Reference (quantificational)

DEFINITIONS:

- SF** (s) $\text{Id}[\delta] = S \Leftrightarrow \text{I}[\delta] = T$
 (r) $\text{Id}[\mathcal{R}^n t_1 \dots t_n] = S \Leftrightarrow \langle \text{Id}[t_1] \dots \text{Id}[t_n] \rangle \in \text{I}[\mathcal{R}^n]$
 (\sim) $\text{Id}[\sim \mathcal{P}] = S \Leftrightarrow \text{Id}[\mathcal{P}] \neq S$
 $\text{Id}[\sim \mathcal{P}] \neq S \Leftrightarrow \text{Id}[\mathcal{P}] = S$
 (\rightarrow) $\text{Id}[\mathcal{P} \rightarrow \mathcal{Q}] = S \Leftrightarrow \text{Id}[\mathcal{P}] \neq S \vee \text{Id}[\mathcal{Q}] = S$
 $\text{Id}[\mathcal{P} \rightarrow \mathcal{Q}] \neq S \Leftrightarrow \text{Id}[\mathcal{P}] = S \Delta \text{Id}[\mathcal{Q}] \neq S$
 (\forall) $\text{Id}[\forall x \mathcal{P}] = S \Leftrightarrow \text{Ao}(\text{Id}(x|o)[\mathcal{P}] = S)$
 $\text{Id}[\forall x \mathcal{P}] \neq S \Leftrightarrow \text{So}(\text{Id}(x|o)[\mathcal{P}] \neq S)$
- SF'** (\vee) $\text{Id}[(\mathcal{P} \vee \mathcal{Q})] = S \Leftrightarrow \text{Id}[\mathcal{P}] = S \vee \text{Id}[\mathcal{Q}] = S$
 $\text{Id}[(\mathcal{P} \vee \mathcal{Q})] \neq S \Leftrightarrow \text{Id}[\mathcal{P}] \neq S \Delta \text{Id}[\mathcal{Q}] \neq S$
 (\wedge) $\text{Id}[(\mathcal{P} \wedge \mathcal{Q})] = S \Leftrightarrow \text{Id}[\mathcal{P}] = S \Delta \text{Id}[\mathcal{Q}] = S$
 $\text{Id}[(\mathcal{P} \wedge \mathcal{Q})] \neq S \Leftrightarrow \text{Id}[\mathcal{P}] \neq S \vee \text{Id}[\mathcal{Q}] \neq S$
 (\leftrightarrow) $\text{Id}[(\mathcal{P} \leftrightarrow \mathcal{Q})] = S \Leftrightarrow (\text{Id}[\mathcal{P}] = S \Delta \text{Id}[\mathcal{Q}] = S) \vee (\text{Id}[\mathcal{P}] \neq S \Delta \text{Id}[\mathcal{Q}] \neq S)$
 $\text{Id}[(\mathcal{P} \leftrightarrow \mathcal{Q})] \neq S \Leftrightarrow (\text{Id}[\mathcal{P}] = S \Delta \text{Id}[\mathcal{Q}] \neq S) \vee (\text{Id}[\mathcal{P}] \neq S \Delta \text{Id}[\mathcal{Q}] = S)$
 (\exists) $\text{Id}[\exists x \mathcal{P}] = S \Leftrightarrow \text{So}(\text{Id}(x|o)[\mathcal{P}] = S)$
 $\text{Id}[\exists x \mathcal{P}] \neq S \Leftrightarrow \text{Ao}(\text{Id}(x|o)[\mathcal{P}] \neq S)$
- TA** (c) $\text{Id}[c] = \text{I}[c]$
 (v) $\text{Id}[x] = \text{d}[x]$
 (f) $\text{Id}[\hbar^n t_1 \dots t_n] = \text{I}[\hbar^n] \langle \text{Id}[t_1] \dots \text{Id}[t_n] \rangle$
- TI** $\text{I}[\mathcal{P}] = T \Leftrightarrow \text{Ad}(\text{Id}[\mathcal{P}] = S)$
 $\text{I}[\mathcal{P}] \neq T \Leftrightarrow \text{Sd}(\text{Id}[\mathcal{P}] \neq S)$
- QV** $\neg \text{Sl}(\text{I}[\mathcal{P}_1] = T \Delta \dots \Delta \text{I}[\mathcal{P}_n] = T \Delta \text{I}[\mathcal{Q}] \neq T) \Leftrightarrow \mathcal{P}_1 \dots \mathcal{P}_n \models \mathcal{Q}$
 $\text{Sl}(\text{I}[\mathcal{P}_1] = T \Delta \dots \Delta \text{I}[\mathcal{P}_n] = T \Delta \text{I}[\mathcal{Q}] \neq T) \Leftrightarrow \mathcal{P}_1 \dots \mathcal{P}_n \not\models \mathcal{Q}$

RULES:

All the rules from the [sentential metalinguistic reference](#) (p. 350) plus:

- unv** $\frac{\text{At}\mathfrak{A}[t]}{\mathfrak{A}[u]} \quad u \text{ of any type} \qquad \frac{\mathfrak{A}[u]}{\text{At}\mathfrak{A}[t]} \quad u \text{ arbitrary and new}$
- qn** $\neg \text{At}\mathfrak{A} \Leftrightarrow \text{St}\neg\mathfrak{A} \qquad \neg \text{St}\mathfrak{A} \Leftrightarrow \text{At}\neg\mathfrak{A}$
- eq** $t = t \qquad t = u \Leftrightarrow u = t \qquad \frac{t = u, u = v}{t = v} \qquad \frac{t = u, \mathfrak{A}[t]}{\mathfrak{A}[u]}$

def Defines one metalinguistic term t by another u so that $t = u$.

$$j. \forall x \exists y (Ay \rightarrow Bx) \models \forall x (\forall y Ay \rightarrow Bx)$$

*E7.14. Provide a demonstrations for (a) T7.6 and then (b) T7.7, both in the non-formalized style. Hint: You may or may not decide that formalized derivations would be helpful.

7.3.5 Invalidity

We already have in hand concepts required for showing invalidity. Difficulties are mainly strategic and practical. As usual, for invalidity, the idea is to produce an interpretation, and show that it makes the premises true and the conclusion not. Here is a case parallel to one you worked with trees in homework from E4.14. We show $\forall x P f^1 x \not\models \forall x P x$. For the interpretation J set, $U = \{1, 2\}$, $J[P] = \{1\}$, $J[f^1] = \{\langle 1, 1 \rangle, \langle 2, 1 \rangle\}$. We want to take advantage of the particular features of this interpretation to show that it makes the premise true and the conclusion not. Begin as follows.

	1.	$J[\forall x P x] = T$	assp (J particular)
	2.	$Ad(J_d[\forall x P x] = S)$	1 TI
	3.	$J_h[\forall x P x] = S$	2 unv (h particular)
	4.	$Ad(J_{h(x o)}[P x] = S)$	3 SF(\forall)
	5.	$J_{h(x 2)}[P x] = S$	4 unv
(AA)	6.	$h(x 2)[x] = 2$	ins
	7.	$J_{h(x 2)}[x] = 2$	6 TA(v)
	8.	$J_{h(x 2)}[P x] = S \Leftrightarrow 2 \in J[P]$	7 SF(r)
	9.	$2 \in J[P]$	8,5 bcnd
	10.	$2 \notin J[P]$	ins
	11.	\perp	9,10 bot
	12.	$J[\forall x P x] \neq T$	1-11 neg

This much is straightforward. We instantiate the metalinguistic universal quantifier to 2, because that is the individual which exposes the conclusion as not true. Now one option is to reason individually about each member of U. This is always possible, and sometimes necessary. Thus the argument is straightforward but tedious by methods we have seen before.

12.	$J[\forall x P f^1 x] \neq T$	assp
13.	$Sd(J_d[\forall x P f^1 x] \neq S)$	12 TI
14.	$J_h[\forall x P f^1 x] \neq S$	13 <i>exs</i> (h particular)
15.	$So(J_{h(x o)}[P f^1 x] \neq S)$	14 <i>SF</i> (\forall)
16.	$J_{h(x m)}[P f^1 x] \neq S$	15 <i>exs</i> (m particular)
17.	$h(x m)[x] = m$	<i>ins</i>
18.	$J_{h(x m)}[x] = m$	17 <i>TA</i> (v)
19.	$ Ao(o = 1 \vee o = 2)$	<i>ins</i>
20.	$m = 1 \vee m = 2$	19 <i>unv</i>
21.	$m = 1$	assp
22.	$J_{h(x m)}[x] = 1$	18,21 <i>eq</i>
23.	$J_{h(x m)}[f^1 x] = J[f^1](1)$	22 <i>TA</i> (f)
24.	$J[f^1](1) = 1$	<i>ins</i>
25.	$J_{h(x m)}[f^1 x] = 1$	24,23 <i>eq</i>
26.	$J_{h(x m)}[P f^1 x] = S \Leftrightarrow 1 \in J[P]$	25 <i>SF</i> (r)
27.	$1 \notin J[P]$	26,16 <i>bcnd</i>
28.	$1 \in J[P]$	<i>ins</i>
29.	\perp	27,28 <i>bot</i>
30.	$m \neq 1$	21-29 <i>neg</i>
31.	$m = 2$	20,30 <i>dsj</i>
32.	$J_{h(x m)}[x] = 2$	18,31 <i>eq</i>
33.	$J_{h(x m)}[f^1 x] = J[f^1](2)$	32 <i>TA</i> (f)
34.	$J[f^1](2) = 1$	<i>ins</i>
35.	$J_{h(x m)}[f^1 x] = 1$	34,33 <i>eq</i>
36.	$J_{h(x m)}[P f^1 x] = S \Leftrightarrow 1 \in J[P]$	35 <i>SF</i> (r)
37.	$1 \notin J[P]$	36,16 <i>bcnd</i>
38.	$1 \in J[P]$	<i>ins</i>
39.	\perp	37,38 <i>bot</i>
40.	$J[\forall x P f^1 x] = T$	12-39 <i>neg</i>
41.	$J[\forall x P f^1 x] = T \Delta J[\forall x P x] \neq T$	11,40 <i>cnj</i>
42.	$SI(I[\forall x P f^1 x] = T \Delta I[\forall x P x] \neq T)$	41 <i>exs</i>
43.	$\forall x P f^1 x \not\models \forall x P x$	42 <i>QV</i>

m has to be some member of U , so we instantiate the universal at (19) to it, and reason about the cases individually. This reflects what we have done before.

But this interpretation is designed so that no matter what o may be, $I[f^1](o) = 1$. And, rather than the simple generalization about the universe of discourse, we might have generalized by *ins* about the interpretation of the function symbol itself. Thus, we might have substituted for lines (19) - (34) as follows.

19.	$J_{h(x m)}[f^1x] = J[f^1](m)$	18 TA(f)
20.	$ Ao(J[f^1](o)) = 1$	ins
21.	$J[f^1](m) = 1$	20 unv
22.	$J_{h(x m)}[f^1x] = 1$	19,21 eq

picking up with (35) after. This is better! Before, we found the contradiction when m was 1 and again when m was 2. But, in either case, the reason for the contradiction is that the function has output 1. So this version avoids the cases, by reasoning directly about the result from the function. Here is the non-formalized version on this latter strategy.

Suppose $J[\forall xPx] = T$; then by **TI**, for any d , $J_d[\forall xPx] = S$; let h be a particular assignment; then $J_h[\forall xPx] = S$; so by **SF(V)**, for any $o \in U$, $J_{h(x|o)}[Px] = S$; so $J_{h(x|2)}[Px] = S$; $h(x|2)[x] = 2$; so by **TA(v)**, $J_{h(x|2)}[x] = 2$; so by **SF(r)**, $J_{h(x|2)}[Px] = S$ iff $2 \in J[P]$; so $2 \in J[P]$. But $2 \notin J[P]$. This is impossible; reject the assumption: $J[\forall xPx] \neq T$.

Suppose $J[\forall xPf^1x] \neq T$; then by **TI**, for some d , $J_d[\forall xPf^1x] \neq S$; let h be a particular assignment of this sort; then $J_h[\forall xPf^1x] \neq S$; so by **SF(V)**, for some $o \in U$, $J_{h(x|o)}[Pf^1x] \neq S$; let m be a particular individual of this sort; then $J_{h(x|m)}[Pf^1x] \neq S$. $h(x|m)[x] = m$; so by **TA(v)**, $J_{h(x|m)}[x] = m$; so by **TA(f)**, $J_{h(x|m)}[f^1x] = J[f^1](m)$; but for any $o \in U$, $J[f^1](o) = 1$; so $J[f^1](m) = 1$; so $J_{h(x|m)}[f^1x] = 1$; so by **SF(r)**, $J_{h(x|m)}[Pf^1x] = S$ iff $1 \in J[P]$; so $1 \in J[P]$; but $1 \notin J[P]$. This is impossible; reject the assumption: $J[\forall xPf^1x] = T$.

So there is an interpretation I such that $I[\forall xPf^1x] = T$ and $I[\forall xPx] \neq T$; so by **QV**, $\forall xPf^1x \not\models \forall xPx$.

Reasoning about cases is possible, and sometimes necessary, when the universe is small. But it is often convenient to organize your reasoning by generalizations about the interpretation as above. Such generalizations are required when the universe is large.

Here is a case that requires such generalizations, insofar as the universe U for the interpretation to show invalidity has infinitely many members. We show $\forall x \forall y (Sx = Sy \rightarrow x = y) \not\models \exists x (Sx = \emptyset)$. First note that no interpretation with finite U makes the premise true and conclusion false. For suppose U has finitely many members and the successor function is represented by arrows as follows,

$$o_0 \longrightarrow o_1 \longrightarrow o_2 \longrightarrow o_3 \longrightarrow o_4 \longrightarrow o_5 \dots o_n$$

with $I[\emptyset] = o_0$. So $I[S]$ includes $\langle o_0, o_1 \rangle$, $\langle o_1, o_2 \rangle$, $\langle o_2, o_3 \rangle$, and so forth. What is paired with o_n ? It cannot be any of o_1 through o_n , or the premise is violated, because some one thing is the successor of different elements (you should see how this works). And if the conclusion is false, it cannot be o_0 either. And similarly for any

finite universe. But, as should be obvious by consideration of a standard interpretation of the symbols, the argument is not valid. To show this, let the interpretation be \mathcal{N} , where,

$$U = \{0, 1, 2 \dots\}$$

$$\mathcal{N}[\emptyset] = 0$$

$$\mathcal{N}[S] = \{\langle 0, 1 \rangle, \langle 1, 2 \rangle, \langle 2, 3 \rangle \dots\}$$

$$\mathcal{N}[=] = \{\langle 0, 0 \rangle, \langle 1, 1 \rangle, \langle 2, 2 \rangle \dots\}$$

First we show that $\mathcal{N}[\exists x(Sx = \emptyset)] \neq T$. Note that we might have specified the interpretation for equality by saying something like, $\text{AoAp}(\langle o, p \rangle \in \mathcal{N}[=] \Leftrightarrow o = p)$. Similarly, the interpretation of S is such that no o has a successor equal to zero — $\text{Ao}(\mathcal{N}[S]\langle o \rangle \neq 0)$. We will simply appeal to these facts by *ins* in the following.

	1.	$\mathcal{N}[\exists x(Sx = \emptyset)] = T$	assp (N particular)
	2.	$\text{Ad}(\mathcal{N}_d[\exists x(Sx = \emptyset)] = S)$	1 <i>TI</i>
	3.	$\mathcal{N}_h[\exists x(Sx = \emptyset)] = S$	2 <i>exs</i> (h particular)
	4.	$S\text{o}(\mathcal{N}_{h(x o)}[Sx = \emptyset] = S)$	3 <i>SF'(\exists)</i>
	5.	$\mathcal{N}_{h(x m)}[Sx = \emptyset] = S$	4 <i>exs</i> (m particular)
	6.	$\mathcal{N}[\emptyset] = 0$	<i>ins</i>
	7.	$\mathcal{N}_{h(x m)}[\emptyset] = 0$	6 <i>TA(c)</i>
	8.	$\mathcal{N}_{h(x m)}[Sx = \emptyset] = S \Leftrightarrow \langle \mathcal{N}_{h(x m)}[Sx], 0 \rangle \in \mathcal{N}[=]$	7 <i>SF(r)</i>
	9.	$\langle \mathcal{N}_{h(x m)}[Sx], 0 \rangle \in \mathcal{N}[=]$	8,5 <i>bcnd</i>
(AB)	10.	$\text{AoAp}(\langle o, p \rangle \in \mathcal{N}[=] \Rightarrow o = p)$	<i>ins</i>
	11.	$\mathcal{N}_{h(x m)}[Sx] = 0$	10,9 <i>unv</i>
	12.	$h(x m)[x] = m$	<i>ins</i>
	13.	$\mathcal{N}_{h(x m)}[x] = m$	12 <i>TA(v)</i>
	14.	$\mathcal{N}_{h(x m)}[Sx] = \mathcal{N}[S]\langle m \rangle$	13 <i>TA(f)</i>
	15.	$\mathcal{N}[S]\langle m \rangle = 0$	11,14 <i>eq</i>
	16.	$\text{Ao}(\mathcal{N}[S]\langle o \rangle \neq 0)$	<i>ins</i>
	17.	$\mathcal{N}[S]\langle m \rangle \neq 0$	16 <i>unv</i>
	18.	\perp	15,17 <i>bot</i>
	19.	$\mathcal{N}[\exists x(Sx = \emptyset)] \neq T$	1-18 <i>neg</i>

Most of this is as usual. What is interesting is that at (10) we assert that for any o and p in U , if $\langle o, p \rangle \in U$, then $o = p$ by *ins*. This should be obvious from the initial (automatic) specification of $\mathcal{N}[=]$. And at (16) we assert that no o is such that $\langle o, 0 \rangle \in \mathcal{N}[S]$. Again, this should be clear from the specification of $\mathcal{N}[S]$. In this case, there is no way to instantiate the metalinguistic quantifiers to *every* member of U , on the pattern of what we have been able to do with two-member universes! But we do not have to, as the general facts are sufficient for the result.

Suppose $N[\exists x(Sx = \emptyset)] = T$; then by **TI**, for any d , $N_d[\exists x(Sx = \emptyset)] = S$; let h be some particular d ; then $N_h[\exists x(Sx = \emptyset)] = S$; so by **SF'(\exists)**, for some $o \in U$, $N_{h(x|o)}[Sx = \emptyset] = S$; let m be a particular individual of this sort; then $N_{h(x|m)}[Sx = \emptyset] = S$. $N[\emptyset] = 0$; so by **TA(c)**, $N_{h(x|m)}[\emptyset] = 0$; so by **SF(r)**, $N_{h(x|m)}[Sx = \emptyset] = S$ iff $\langle N_{h(x|m)}[Sx], 0 \rangle \in N[=]$; so $\langle N_{h(x|m)}[Sx], 0 \rangle \in N[=]$; but for any $o, p \in U$, if $\langle o, p \rangle \in N[=]$ then $o = p$; so $N_{h(x|m)}[Sx] = 0$. $h(x|m)[x] = m$; so by **TA(v)**, $N_{h(x|m)}[x] = m$; so by **TA(f)**, $N_{h(x|m)}[Sx] = N[S](m)$; so $N[S](m) = 0$. But for any $o \in U$, $N[S](o) \neq 0$; so $N[S](m) \neq 0$. This is impossible; reject the assumption: $N[\exists x(Sx = \emptyset)] \neq T$.

Given what we have already seen, this should be straightforward. Demonstration that $N[\forall x \forall y(Sx = Sy \rightarrow x = y)] = T$, and so that the argument is not valid, is left as an exercise. Hint: In addition to facts about equality, you may find it helpful to assert $AoAp(o \neq p \Rightarrow N[S](o) \neq N[S](p))$. Be sure that you understand this, before you assert it! Of course, we have here something that could never have been accomplished with trees, insofar as the universe is infinite!

Recall that the interpretation of equality is the same across all interpretations. Thus our general assertion is possible in case of the arbitrary interpretation, and we are positioned to prove some last theorems.

T7.8. $\models (t = t)$

Hint: By **ins** for any l , and any $o \in U$, $\langle o, o \rangle \in N[=]$. Given this, the argument is easy.

*T7.9. $\models (x_i = y) \rightarrow (h^n x_1 \dots x_i \dots x_n = h^n x_1 \dots y \dots x_n)$

Hint: If you have trouble with this, try showing a simplified version: $\models (x = y) \rightarrow (h^1 x = h^1 y)$.

T7.10. $\models (x_i = y) \rightarrow (\mathcal{R}^n x_1 \dots x_i \dots x_n \rightarrow \mathcal{R}^n x_1 \dots y \dots x_n)$

Hint: If you have trouble with this, try showing a simplified version: $\models (x = y) \rightarrow (Rx \rightarrow Ry)$.

At this stage, we have introduced a method for reasoning about semantic definitions. As you continue to work with the definitions, it should become increasingly clear how they fit together into a coherent (and pleasing) whole. In later chapters, we will leave the formalized system behind as we encounter further definitions in diverse contexts. But from this chapter you should have gained a solid grounding in the *sort* of thing we will want to do.

Theorems of Chapter 7

$$\text{T7.1s } \mathcal{P}, \mathcal{P} \rightarrow \mathcal{Q} \models_s \mathcal{Q}$$

$$\text{T7.2s } \models_s \mathcal{P} \rightarrow (\mathcal{Q} \rightarrow \mathcal{P})$$

$$\text{T7.3s } \models_s (\mathcal{O} \rightarrow (\mathcal{P} \rightarrow \mathcal{Q})) \rightarrow ((\mathcal{O} \rightarrow \mathcal{P}) \rightarrow (\mathcal{O} \rightarrow \mathcal{Q}))$$

$$\text{T7.4s } \models_s (\sim \mathcal{Q} \rightarrow \sim \mathcal{P}) \rightarrow [(\sim \mathcal{Q} \rightarrow \mathcal{P}) \rightarrow \mathcal{Q}]$$

$$\text{T7.1 } \mathcal{P}, \mathcal{P} \rightarrow \mathcal{Q} \models \mathcal{Q}$$

$$\text{T7.2 } \models \mathcal{P} \rightarrow (\mathcal{Q} \rightarrow \mathcal{P})$$

$$\text{T7.3 } \models (\mathcal{O} \rightarrow (\mathcal{P} \rightarrow \mathcal{Q})) \rightarrow ((\mathcal{O} \rightarrow \mathcal{P}) \rightarrow (\mathcal{O} \rightarrow \mathcal{Q}))$$

$$\text{T7.4 } \models (\sim \mathcal{Q} \rightarrow \sim \mathcal{P}) \rightarrow [(\sim \mathcal{Q} \rightarrow \mathcal{P}) \rightarrow \mathcal{Q}]$$

T7.5 There is no interpretation I and formula \mathcal{P} such that $I[\mathcal{P}] = \text{T}$ and $I[\sim \mathcal{P}] = \text{T}$.

T7.7 For any I and \mathcal{P} , $I[\mathcal{P}] = \text{T}$ iff $I[\forall x \mathcal{P}] = \text{T}$

$$\text{T7.8 } \models (t = t)$$

$$\text{T7.9 } \models (x_i = y) \rightarrow (h^n x_1 \dots x_i \dots x_n = h^n x_1 \dots y \dots x_n)$$

$$\text{T7.10 } \models (x_i = y) \rightarrow (\mathcal{R}^n x_1 \dots x_i \dots x_n \rightarrow \mathcal{R}^n x_1 \dots y \dots x_n)$$

E7.15. Produce interpretations (with, if necessary, variable assignments) and then formalized derivations and non-formalized reasoning to show each of the following.

a. $\exists x P x \not\models P a$

*b. $\not\models f^1 g^1 x = g^1 f^1 x$

c. $\exists x F x, \exists y G y \not\models \exists z (F z \wedge G z)$

d. $\forall x \exists y A x y \not\models \exists y \forall x A x y$

e. $\forall x \exists y (A y \rightarrow B x) \not\models \forall x (\exists y A y \rightarrow B x)$

*E7.16. Provide demonstrations for (simplified versions of) T7.8 - T7.10 in the non-formalized style. Hint: You may or may not decide that a formalized derivation would be helpful. Challenge: can you show the theorems in their general form?

E7.17. Show that $N[\forall x \forall y (Sx = Sy \rightarrow x = y)] = T$, and so complete the demonstration that $\forall x \forall y (Sx = Sy \rightarrow x = y) \not\models \exists x (Sx = \emptyset)$. You may simply assert that $N[\exists x (Sx = \emptyset)] \neq T$ with justification, “from the text.”

E7.18. Suppose we want to show that $\forall x \exists y Rxy, \forall x \exists y Ryx, \forall x \forall y \forall z ((Rxy \wedge Ryz) \rightarrow Rxz) \not\models \exists x Rxx$.

- *a. Explain why no interpretation with a finite universe will do.
- b. Explain why the standard interpretation N with $U = \{0, 1, 2, \dots\}$ and $N[R] = \{\langle m, n \rangle \mid m < n\}$ will not do.
- c. Find an appropriate interpretation and use it to show that $\forall x \exists y Rxy, \forall x \exists y Ryx, \forall x \forall y \forall z ((Rxy \wedge Ryz) \rightarrow Rxz) \not\models \exists x Rxx$.

E7.19. Here is an interpretation to show $\not\models \exists x \forall y [(Axy \wedge \sim Axy) \rightarrow (Axx \leftrightarrow Ayy)]$.

$$U = \{1, 2, 3, \dots\}$$

$$I[A] = \{\langle m, n \rangle \mid m \leq n \text{ and } m \text{ is odd, or } m < n \text{ and } m \text{ is even}\}$$

So $I[A]$ has members,

$$\langle 1, 1 \rangle, \langle 1, 2 \rangle, \langle 1, 3 \rangle, \dots \quad \langle 2, 3 \rangle, \langle 2, 4 \rangle, \langle 2, 5 \rangle, \dots$$

$$\langle 3, 3 \rangle, \langle 3, 4 \rangle, \langle 3, 5 \rangle, \dots \quad \langle 4, 5 \rangle, \langle 4, 6 \rangle, \langle 4, 7 \rangle, \dots$$

and so forth. Try to understand *why* this works, and why \leq or $<$ will not work by themselves. Then see if you can find an interpretation where U has \leq four members, and use your interpretation to demonstrate that $\not\models \exists x \forall y [(Axy \wedge \sim Axy) \rightarrow (Axx \leftrightarrow Ayy)]$.

- E7.20. Consider \mathcal{L}_{NT} as in chapter 6 (p. 305) with just constant \emptyset , the function symbols S , $+$ and \times , and the relation symbol $=$ along with the axioms of Robinson Arithmetic as in the Robinson and Peano reference on p. 318. Then (i) use the standard interpretation N to show that $Q \not\models \sim \forall x[(\emptyset \times x) = \emptyset]$ and $Q \not\models \sim \forall x \forall y[(x \times y) = (y \times x)]$. And (ii) take a nonstandard interpretation that has $U = \{0, 1, 2, \dots, a\}$ for some object a that is not a number; assign 0 to \emptyset in the usual way. Then set,

$\frac{S}{i} \mid \frac{i+1}{a}$	$\frac{+}{i} \mid \frac{j}{a} \quad \frac{a}{a}$	$\frac{\times}{0} \mid \frac{0}{0} \quad \frac{j \neq 0}{0} \quad \frac{a}{a}$
		$\frac{i \neq 0}{a} \mid \frac{0}{0} \quad \frac{i \times j}{a} \quad \frac{a}{a}$

Use this interpretation to show $Q \not\models \forall x[(\emptyset \times x) = \emptyset]$ and $Q \not\models \forall x \forall y[(x \times y) = (y \times x)]$. This result, together with T10.3 according to which our derivation system is *sound* is sufficient to show that Robinson Arithmetic is not (negation) *complete* — there are sentences \mathcal{P} of \mathcal{L}_{NT} such that Q proves neither \mathcal{P} nor $\sim \mathcal{P}$.

- E7.21. For each of the following concepts, explain in an essay of about two pages, so that Hannah could understand. In your essay, you should (i) identify the objects to which the concept applies, (ii) give and explain the definition, and give and explicate examples of your own construction (iii) where the concept applies, and (iv) where it does not. Your essay should exhibit an understanding of methods from the text.
- The difference between satisfaction and truth.
 - The definitions $SF(r)$ and $SF(\forall)$.
 - The way your reasoning works. For this you can provide an example of some reasonably complex but clean bits of reasoning, (a) for validity, and (b) for invalidity. Then explain to Hannah how your reasoning works. That is, provide her a commentary on what you have done, so that she could understand.

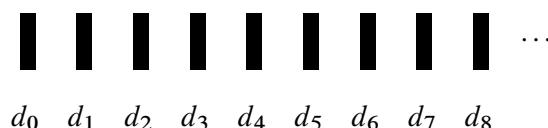
Chapter 8

Mathematical Induction

In [chapter 1](#), (p. 12), we distinguished *deductive* from *inductive* arguments. As described there, in a deductive argument, conclusions are supposed to be *guaranteed* by premises. In an inductive argument, conclusions are merely made probable or plausible. Typical cases of inductive arguments involve generalization from cases. Thus, for example, one might reason from the premise that every crow we have ever seen is black, to the conclusion that all crows are black. The premise does not *guarantee* the conclusion, but it does give it some probability or plausibility. Similarly, mathematical induction involves a sort of generalization. But mathematical induction is a *deductive* argument form. The conclusion of a valid argument by mathematical induction is *guaranteed* by its premises. So mathematical induction is to be distinguished from the sort of induction described in [chapter 1](#). In this chapter, I begin with a general characterization of mathematical induction, and turn to a series of examples. Some of the examples will matter for things to come. But the primary aim is to gain facility with this crucial argument form.

8.1 General Characterization

Arguments by mathematical induction apply to objects that are arranged in *series*. The conclusion of an argument by mathematical induction is that all the elements of the series are of a certain sort. For cases with which we will be concerned, the elements of a series are ordered by integers: there is an initial member member, one after that, and so forth (we may thus think of a series as a *function* from the integers to the members). Consider, for example, a series of dominoes.



This series is ordered spatially. d_0 is the initial domino, d_1 the next, and so forth. Alternatively, we might think of the series as defined by a function \mathcal{D} from the natural numbers to the dominoes, with $\mathcal{D}(0) = d_0$, $\mathcal{D}(1) = d_1$ and so forth — where this ordering is merely *exhibited* by the spatial arrangement.

Suppose we are interested in showing that *all* the dominoes fall, and consider the following two claims:

- (i) the first domino falls
- (ii) for any domino, if all the ones prior to it fall, then it falls.

By itself, (i) does not tell us that all the dominoes fall. For all we know, there might be some flaw in the series so that for some $j < k$, d_j falls, but d_k does not. Perhaps the space between d_{k-1} and d_k is too large. In this case, under ordinary circumstances, neither d_k nor any of the dominoes after it fall. (ii) tells us that there is no such flaw in the series — if all the dominoes up to d_k fall, then d_k falls. But (ii) is not, by itself, sufficient for the conclusion that all the dominoes fall. From the fact that the dominoes are so arranged, it does not follow that *any* of the dominoes fall. Perhaps you do the arrangement, and are so impressed with your work, that you leave the setup forever as a memorial!

However, given both (i) and (ii), it is safe to conclude that all the dominoes fall. There are a couple of ways to see this. First, we can reason from one domino to the next. By (i), the first domino falls. This means that all the dominoes prior to the second domino fall. So by (ii), the second falls. But this means all the dominoes prior to the third fall. So by (ii), the third falls. So all the dominoes prior to the fourth fall. And so forth. Thus we reach the conclusion that each domino falls. So all the dominoes fall. Here is another way to make the point: Suppose not every member of the series falls. Then there must be some *least* member d_a of the series which does not fall. d_a cannot be the first member of the series, since by (i) the first member of the series falls. And since d_a is the least member of the series which does not fall, all the members of the series prior to it *do* fall! So by (ii), d_a falls. This is impossible; reject the assumption: every member of the series falls.

Suppose we have some reason for accepting (i) that the first domino falls — perhaps you push it with your finger. Suppose further, that we have some “special reason” for moving from the premise that all the dominoes prior to an arbitrary d_k

fall, to the conclusion that d_k falls — perhaps the setup only gets better and better as the series continues, and the builder gains experience. Then we might attempt to show that all the dominoes fall as follows.

(A)	a.	d_0 falls	prem (d_0 particular)
	b.	all the dominoes prior to d_k fall	assp (d_k arbitrary)
		\vdots	
	c.	d_k falls	“special reason”
	d.	if all the dominoes prior to d_k fall, then d_k falls	b-c cnd
	e.	for any domino, if all the dominoes prior to it fall, then it falls	d unv
	f.	every domino falls	a,e induction

(a) is just (i); d_0 falls because you push it. (e) is (ii); to get this, we reason from the assumption at (b), and the “special reason,” to the conclusion that d_k falls, and then move to (e) by **cnd** and **unv**. The conclusion that every domino falls follows from (a) and (e) by mathematical induction. This is in fact how we reason. However, all the moves are automatic once we complete the subderivation — the moves by **cnd** to get (d), by **unv** to get (e), and by mathematical induction to get (f) are automatic once we reach (c). In practice, then, those steps are usually left implicit and omitted. Having gotten (a) and, from the assumption that all the dominoes prior to d_k fall, reached the conclusion that d_k falls, we move directly to the conclusion that all the dominoes fall.

Thus we arrive at a general form for arguments by mathematical induction. Suppose we want to show that \mathcal{P} holds for each member of some series. Then an argument from mathematical induction goes as follows.

(B) *Basis*: Show that \mathcal{P} holds for the first member of the series.

Assp: Assume, for arbitrary k , that \mathcal{P} holds for every member of the series prior to the k th member.

Show: Show that \mathcal{P} holds for the k th member.

Indct: Conclude that \mathcal{P} holds for every member of the series.

In the domino case, for the *basis* we show (i). At the *assp* (assumption) step, we assume that all the dominoes prior to d_k fall. In the *show* step, we would complete the subderivation with the conclusion that domino d_k falls. From this, moves by **cnd**, to the conditional statement, and by **unv** to its generalization, are omitted, and we move directly to the conclusion that all the dominoes fall. Notice that the assumption is nothing more than a standard assumption for the (suppressed) application of **cnd**.

Perhaps the “special reason” is too special, and it is not clear how we might generally reason from the assumption that some \mathcal{P} holds for every member of a series

prior to the k th, to the conclusion that it holds for the k th. For our purposes, the key is that such reasoning is possible in contexts characterized by *recursive definitions*. As we have seen, a recursive definition always moves from the parts to the whole. There are some *basic* elements, and some rules for combining elements to form further elements. In general, it is a fallacy (the fallacy of *composition*) to move directly from characteristics of parts, to characteristics of a whole. From the fact that the bricks are small, it does not follow that a building made from them is small. But there are cases where facts about parts, together with the way they are arranged, are sufficient for conclusions about wholes. If the bricks are hard, it may be that the building is hard. And similarly with recursive definitions.

To see how this works, let us turn to another example. We show that every *term* of a certain language has an odd number of symbols. Recall that the recursive definition **TR** tells us how terms are formed from others. Variables and constants are terms; and if h^n is a n -place function symbol and $t_1 \dots t_n$ are n terms, then $h^n t_1 \dots t_n$ is a term. On tree diagrams, across the top are variables and constants — terms with no function symbols; in the next row are terms constructed out of them, and for any $n > 1$, terms in row n are constructed out of terms from earlier rows. Let this *series* of rows be our series for mathematical induction. Every term must appear in some row of a tree. We consider a series whose first element consists of terms which appear in the top row of a tree, whose second element consists of terms which appear in the second, and so forth. Let \mathcal{L}_t be a language with variables and constants as usual, but just two function symbols, a two-place function symbol f^2 and a four-place function symbol g^4 . We show, by induction on the rows in which terms appear, that the total number of symbols in any term t of this language is odd. Here is the argument:

(C) *Basis*: If t appears in a top row (row zero), then it is a variable or a constant; in this case, t consists of just one variable or constant symbol; so the total number of symbols in t is odd.

Assp: For any i such that $0 \leq i < k$, the total number of symbols in any t appearing in row i is odd.

Show: The total number of symbols in any t appearing in row k is odd.

If t appears in row k , then it is of the form $f^2 t_1 t_2$ or $g^4 t_1 t_2 t_3 t_4$ where $t_1 \dots t_4$ appear in rows prior to k . So there are two cases.

(f) Suppose t is $f^2 t_1 t_2$. Let a be the total number of symbols in t_1 and b be the total number of symbols in t_2 ; then the total number of symbols in t is $(a + b) + 1$: all the symbols in t_1 , all the symbols in t_2 , plus the symbol f^2 . Since t_1 and t_2 each appear in rows prior to k , by assumption, both a and b are odd. But the sum of two odds is an even,

and the sum of an even plus one is odd; so $(a + b) + 1$ is odd; so the total number of symbols in t is odd.

- (g) Suppose t is $g^4 t_1 t_2 t_3 t_4$. Let a be the total number of symbols in t_1 , b be the total number of symbols in t_2 , c be the total number of symbols in t_3 and d be the total number of symbols in t_4 ; then the total number of symbols in t is $[(a + b) + (c + d)] + 1$. Since $t_1 \dots t_4$ each appear in rows prior to k , by assumption a, b, c and d are all odd. But the sum of two odds is an even; the sum of two evens is an even, and the sum of an even plus one is odd; so $[(a + b) + (c + d)] + 1$ is odd; so the total number of symbols in t is odd.

In either case, then, if t appears in row k , the total number of symbols in t is odd.

Indct: For any term t in \mathcal{L}_t , the total number of symbols in t is odd.

Notice that this argument is *entirely structured by the recursive definition for terms*. The definition **TR** includes clauses (v) and (c) for terms that appear in the top row. In the basis stage, we show that all such terms consist of an odd number of symbols. Then, for (suppressed) application of **cnd** and **gen** we assume that all terms prior to an arbitrary row k have an odd number of symbols. The show line simply announces what we plan to do. The sentence after derives directly from clause (f) of **TR**: In this case, there are just two ways to construct terms out of other terms. If $f^2 t_1 t_2$ appears in row k , t_1 and t_2 must appear in previous rows. So, by the assumption, they have an odd number of symbols. And similarly for $g^4 t_1 t_2 t_3 t_4$. In the reasoning for the show stage we demonstrate that, either way, if the total number of symbols in the parts are odd, then the total number of symbols in the whole is odd. It follows that every term in this language \mathcal{L}_t consists of an odd number of symbols.

Returning to the domino analogy, the basis is like (i), where we show that the first member of the series falls — terms appearing in the top row always have an odd number of symbols. Then, for arbitrary k , we assume that all the members of the series prior to the k th fall — that terms appearing in rows prior to the k th always have an odd number of symbols. We then reason that, given this, the k th member falls — terms constructed out of others which, by assumption have an odd number of symbols, must themselves have an odd number of symbols. From this, (ii) follows by **cnd** and **unv**, and the general conclusion by mathematical induction.

The argument works for the same reasons as before: Insofar as a variable or constant is regarded as a single element of the vocabulary, it is automatic that variables and constants have an odd number of symbols. Given this, where function symbols

are also regarded as single elements of the vocabulary, expressions in the next row of a tree, as f^2xc , or g^4xyz , must have an odd number of symbols — one function symbol, plus two or four variables and constants. But if terms from the first and second rows of a tree have an odd number of symbols, by reasoning from the show step, terms constructed out of *them* must have an odd number of symbols as well. And so forth. Alternatively, suppose some terms in \mathcal{L}_t have an even number of symbols; then there must be a least row a where such terms appear. From the basis, this row a is not the first. But since a is the least row at which terms have an even number of symbols, terms at all the earlier rows must have an odd number of symbols. But then, by reasoning as in the show step, terms at row a have an odd number of symbols. Reject the assumption, no terms in \mathcal{L}_t have an even number of symbols.

In practice, for this sort of case, it is common to reason, not based on the row in which a term appears, but on the number of function symbols in the term. This differs in detail, but not in effect, from what we have done. In our trees, it may be that a term in the third row, combining one from the first and one from the second, has two function symbols, as f^2xf^2ab , or it may be that a term in the third row, combining ones from the second, has three function symbols, as $f^2f^2xyf^2ab$, or five, as $g^4f^2xyf^2abf^2zwf^2cd$, and so forth. However, it remains that the total number of function symbols in each of some terms $s_1 \dots s_n$ is fewer than the total number of function symbols in $h^n s_1 \dots s_n$; for the latter includes all the function symbols in $s_1 \dots s_n$ plus h^n . Thus we may consider the series: terms with no function symbols, terms with one function symbol, and so forth — and be sure that for any $n > 0$, terms at stage n are constructed of ones before. Here is a sketch of the argument modified along these lines.

(D) *Basis:* If t has no function symbols, then it is a variable or a constant; in this case, t consists of just the one variable or constant symbol; so the total number of symbols in t is odd.

Assp: For any i such that $0 \leq i < k$, the total number of symbols in t with i function symbols is odd.

Show: The total number of symbols in t with k function symbols is odd.

If t has k function symbols, then it is of the form $f^2t_1t_2$ or $g^4t_1t_2t_3t_4$ where $t_1 \dots t_4$ have less than k function symbols. So there are two cases.

(f) Suppose t is $f^2t_1t_2$. [As before...] the total number of symbols in t is odd.

- (g) Suppose t is $g^4 t_1 t_2 t_3 t_4$. [As before...] the total number of symbols in t is odd.

In either case, then, if t has k function symbols, then the total number of symbols in t is odd.

Indct: For any term t in \mathcal{L}_t , the total number of symbols in t is odd.

Here is the key point: If $f^2 t_1 t_2$ has k function symbols, the total number of function symbols in t_1 and t_2 combined has to be $k - 1$; and since the number of function symbols in t_1 and in t_2 must individually be less than or equal to the combined total, the number of function symbols in t_1 and the number of function symbols in t_2 must also be less than k . And similarly for $g^4 t_1 t_2 t_3 t_4$. That is why the inductive assumption applies to $t_1 \dots t_4$, and reasoning in the cases can proceed as before. If you find this confusing, you might picture our trees “regimented” so that rows correspond to the number of function symbols. Then this reasoning is no different than before.

8.2 Preliminary Examples

Let us turn now to a series of examples, meant to illustrate mathematical induction in a variety of contexts. Some of the examples have to do with our subject matter. But some do not. For now, the primary aim is to gain facility with the argument form. As you work through the cases, think about *why* the induction works. At first, examples may be difficult to follow. But they should be more clear by the end.

8.2.1 Case

First, a case where the conclusion may seem too obvious even to merit argument. We show that, any (official) formula \mathcal{P} of a quantificational language has an equal number of left and right parentheses. Again, the relevant definition **FR** is recursive. Its basis clause specifies formulas without operator symbols; these occur across the top row of our trees. **FR** then includes clauses which say how complex formulas are constructed out of those that are less complex. We take as our series, formulas with no operator symbols, formulas with one operator symbol, and so forth; thus the argument is by induction on the *number of operator symbols*. As in the above case with terms, this orders formulas so that we can use facts from the recursive definition in our reasoning. Let us say $L(\mathcal{P})$ is the number of left parentheses in \mathcal{P} , and $R(\mathcal{P})$ is the number of right parentheses in \mathcal{P} . Our goal is to show that for any formula \mathcal{P} , $L(\mathcal{P}) = R(\mathcal{P})$.

Induction Schemes

Schemes for mathematical induction sometimes appear in different forms. But for our purposes, these amount to the same thing. Suppose a series of objects, and consider the following.

- | | |
|--|--|
| I. | |
| (a) Show that \mathcal{P} holds for the first member | |
| (b) Assume that \mathcal{P} holds for members $< k$ | |
| (c) Show \mathcal{P} holds for member k | This is the form as we have seen it. |
| (d) Conclude \mathcal{P} holds for every member | |
| II. | |
| (a) Show that \mathcal{P} holds for the first member | |
| (b) Assume that \mathcal{P} holds for members $\leq j$ | This comes to the same thing if we think of j as $k - 1$. Then \mathcal{P} holds for members $\leq j$ just in case it holds for members $< k$. |
| (c) Show \mathcal{P} holds for member $j + 1$ | |
| (d) Conclude \mathcal{P} holds for every member | |
| III. | |
| (a) Show that \mathcal{Q} holds for the first member | |
| (b) Assume that \mathcal{Q} holds for member j | This comes to the same thing if we think of j as $k - 1$ and \mathcal{Q} as the proposition that \mathcal{P} holds for members $\leq j$. |
| (c) Show \mathcal{Q} holds for member $j + 1$ | |
| (d) Conclude \mathcal{Q} holds for every member | |

And similarly the other forms follow from ours. So, though in a given context, one form may be more convenient than another, the forms are equivalent — or at least they are equivalent for sequences corresponding to the natural numbers.

Where ω is the first infinite ordinal, there is no ordinal α such that $\alpha + 1 = \omega$. So for a sequence ordered by these ordinals, our assumption that \mathcal{P} holds for all the members $< k$ might hold though there *is* no $j = k - 1$ as in the second and third cases. So the equivalence between the forms breaks down for series that are so ordered. We do not need to worry about infinite ordinals, as our concerns will be restricted to series ordered by the integers.

Our form of induction (I) is known as “Strong Induction,” for its relatively strong inductive assumption, and the third as “Weak.” The second is a sometimes-encountered blend of the other two.

(E) *Basis*: If \mathcal{P} has no operator symbols, then \mathcal{P} is a sentence letter \mathcal{S} or an atomic $\mathcal{R}^n t_1 \dots t_n$ for some relation symbol \mathcal{R}^n and terms $t_1 \dots t_n$. In either case, \mathcal{P} has no parentheses. So $L(\mathcal{P}) = 0$ and $R(\mathcal{P}) = 0$; so $L(\mathcal{P}) = R(\mathcal{P})$.

Assp: For any i such that $0 \leq i < k$, if \mathcal{P} has i operator symbols, then $L(\mathcal{P}) = R(\mathcal{P})$.

Show: For every \mathcal{P} with k operator symbols, $L(\mathcal{P}) = R(\mathcal{P})$.

If \mathcal{P} has k operator symbols, then it is of the form $\sim \mathcal{A}$, $(\mathcal{A} \rightarrow \mathcal{B})$, or $\forall x \mathcal{A}$ for variable x and formulas \mathcal{A} and \mathcal{B} with $< k$ operator symbols.

(\sim) Suppose \mathcal{P} is $\sim \mathcal{A}$. Then $L(\mathcal{P}) = L(\mathcal{A})$ and $R(\mathcal{P}) = R(\mathcal{A})$. But by assumption $L(\mathcal{A}) = R(\mathcal{A})$; so $L(\mathcal{P}) = R(\mathcal{P})$.

(\rightarrow) Suppose \mathcal{P} is $(\mathcal{A} \rightarrow \mathcal{B})$. Then $L(\mathcal{P}) = L(\mathcal{A}) + L(\mathcal{B}) + 1$ and $R(\mathcal{P}) = R(\mathcal{A}) + R(\mathcal{B}) + 1$. But by assumption $L(\mathcal{A}) = R(\mathcal{A})$, and $L(\mathcal{B}) = R(\mathcal{B})$; so the sums are the same, and $L(\mathcal{P}) = R(\mathcal{P})$.

(\forall) Suppose \mathcal{P} is $\forall x \mathcal{A}$. Then as in the case for (\sim), $L(\mathcal{P}) = L(\mathcal{A})$ and $R(\mathcal{P}) = R(\mathcal{A})$. But by assumption $L(\mathcal{A}) = R(\mathcal{A})$; so $L(\mathcal{P}) = R(\mathcal{P})$.

If \mathcal{P} has k operator symbols, $L(\mathcal{P}) = R(\mathcal{P})$.

Indct: For any formula \mathcal{P} , $L(\mathcal{P}) = R(\mathcal{P})$.

No doubt, you already knew that the numbers of left and right parentheses match. But, presumably, you knew it by reasoning of *this very sort*. Atomic formulas have no parentheses; after that, parentheses are always added in pairs; so, no matter how complex a formula may be, there is never a left parenthesis without a right to match. Reasoning by mathematical induction may thus seem perfectly natural! All we have done is to make explicit the various stages that are required to reach the conclusion. But it is important to make the stages explicit, for in many cases results are not so obvious. Here are some closely related problems.

***E8.1.** For any (official) formula \mathcal{P} of a quantificational language, where $A(\mathcal{P})$ is the number of its atomic formulas, and $C(\mathcal{P})$ is the number of its arrow symbols, show that $A(\mathcal{P}) = C(\mathcal{P}) + 1$. Hint: Argue by induction on the number of operator symbols in \mathcal{P} . For the basis, when \mathcal{P} has no operator symbols, it is an atomic, so that $A(\mathcal{P}) = 1$ and $C(\mathcal{P}) = 0$. Then, as above, you will have cases for \sim , \rightarrow , and \forall . The hardest case is when \mathcal{P} is of the form $(\mathcal{A} \rightarrow \mathcal{B})$.

E8.2. Consider now expressions which allow abbreviations (\vee) , (\wedge) , (\leftrightarrow) , and (\exists) . Where $A(\mathcal{P})$ is the number of atomic formulas in \mathcal{P} and $B(\mathcal{P})$ is the number of its binary operators, show that $A(\mathcal{P}) = B(\mathcal{P}) + 1$. Hint: now you have seven cases: (\sim) , (\rightarrow) , and (\forall) as before, but also cases for (\vee) , (\wedge) , (\leftrightarrow) , and (\exists) . This suggests the beauty of reasoning just about the minimal language!

8.2.2 Case

Mathematical induction is so-called because many applications occur in mathematics. It will be helpful to have a couple of examples of this sort. These should be illuminating — at least if you do not get bogged down in the details of the arithmetic! The series of odd integers is 1, 3, 5, 7 ... where the n th odd integer is $2n - 1$. (The n th even integer is $2n$; to find the n th odd, go to the even just above it, and come down one.) Let $S(n)$ be the sum of the first n odd integers. So $S(1) = 1$, $S(2) = 1 + 3 = 4$, $S(3) = 1 + 3 + 5 = 9$, $S(4) = 1 + 3 + 5 + 7 = 16$ and, in general,

$$S(n) = 1 + 3 + \dots + (2n - 1)$$

We consider the series of these sums, $S(1)$, $S(2)$, and so forth, and show that, for any $n \geq 1$, $S(n) = n^2$. The key to our argument is the realization that the sum of all the odd numbers up to the n th odd number is equal to the sum of all the odd numbers up to the $(n - 1)$ th odd number plus the n th odd number. That is, since the n th odd number is $2n - 1$, $S(n) = S(n - 1) + (2n - 1)$. We argue by induction on the series of sums.

(F) *Basis*: If $n = 1$ then $S(n) = 1$ and $n^2 = 1$; so $S(n) = n^2$.

Assp: For any i , $1 \leq i < k$, $S(i) = i^2$.

Show: $S(k) = k^2$. As above, $S(k) = S(k - 1) + (2k - 1)$. But since $k - 1 < k$, by the inductive assumption, $S(k - 1) = (k - 1)^2$; so $S(k) = (k - 1)^2 + (2k - 1) = (k^2 - 2k + 1) + (2k - 1) = k^2$. So $S(k) = k^2$.

Indct: For any n , $S(n) = n^2$.

As is often the case in mathematical arguments, the k th element is completely determined by the one before; so we do not need to consider any more than this one way that elements at stage k are determined by those at earlier stages.¹ Surely this is

¹Thus arguments by induction in arithmetic and geometry are often conveniently cast according to the third “weak” induction scheme from [induction schemes](#) on p. 388. But, as above, our standard scheme applies as well.

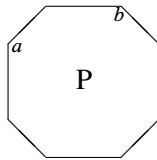
an interesting result — though you might have wondered about it after testing initial cases, we have a demonstration that it holds for every n .

***E8.3.** Let $S(n)$ be the sum of the first n even integers; that is $S(n) = 2 + 4 + \dots + 2n$. So $S(1) = 2$, $S(2) = 2 + 4 = 6$, $S(3) = 2 + 4 + 6 = 12$, and so forth. Show, by mathematical induction, that for any $n \geq 1$, $S(n) = n(n + 1)$.

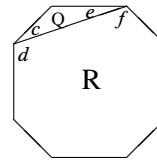
E8.4. Let $S(n)$ be the sum of the first n integers; that is $S(n) = 1 + 2 + 3 + \dots + n$. So $S(1) = 1$, $S(2) = 1 + 2 = 3$, $S(3) = 1 + 2 + 3 = 6$, and so forth. Show, by mathematical induction, that for any $n \geq 1$, $S(n) = n(n + 1)/2$.

8.2.3 Case

Now a case from geometry. Where a polygon is *convex* iff each of its interior angles is less than 180° , we show that the sum of the interior angles in any convex polygon with n sides, $S(P) = (n - 2)180^\circ$. Let us consider polygons with three sides, polygons with four sides, polygons with five sides, and so forth. The key is that any n -sided polygon may be regarded as one with $n - 1$ sides combined with a triangle. Thus given an n -sided polygon P ,

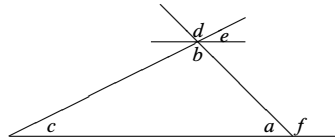


Construct a line connecting opposite ends of a pair of adjacent sides.



The result is a triangle Q and a figure R with $n - 1$ sides, where $a = c + d$ and $b = e + f$. The sum of the interior angles of P is the same as the sum of the interior angles of Q plus the sum of the interior angles of R . Once we realize this, our argument by mathematical induction is straightforward. For any convex n -sided polygon P , we show that the sum of the interior angles of P , $S(P) = (n - 2)180^\circ$. The argument is by induction on the number n of sides of the polygon.

(G) *Basis:* If $n = 3$, then P is a triangle; but by reasoning as follows,



By definition, $a + f = 180^\circ$; but $b = d$ and if the horizontal lines are parallel, $c = e$ and $d + e = f$; so $a + (b + c) = a + (d + e) = a + f = 180^\circ$.

the sum of the angles in a triangle is 180° . So $S(P) = 180$. But $(3 - 2)180 = 180$. So $S(P) = (n - 2)180$.

Assp: For any i , $3 \leq i < k$, every P with i sides has $S(P) = (i - 2)180$.

Show: For every P with k sides, $S(P) = (k - 2)180$.

If P has k sides, then for some triangle Q and polygon R with $k - 1$ sides, $S(P) = S(Q) + S(R)$. Q is a triangle, so $S(Q) = 180$. Since $k - 1 < k$, the inductive assumption applies to R ; so $S(R) = ((k - 1) - 2)180$. So $S(P) = 180 + ((k - 1) - 2)180 = (1 + k - 1 - 2)180 = (k - 2)180$. So $S(P) = (k - 2)180$.

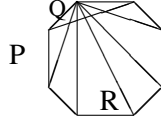
Indct: For any n -sided polygon P , $S(P) = (n - 2)180$.

Perhaps reasoning in the basis brings back good (or bad) memories of high school geometry! But you do not have to worry about that. In this case, the sum of the angles of a figure with n sides is completely determined once we are given the sum of the angles for a figure with $n - 1$ sides. So we do not need to consider any more than this one way that elements at stage k are determined by those at earlier stages.

It is worth noting however that we do not have to see a k -sided polygon as composed of a triangle and a figure with $k - 1$ sides. For consider *any* diagonal of a k -sided polygon; it divides the figure into two, each with $< k$ sides. So the inductive assumption applies to each figure. So we might reason about the angles of a k -sided figure as the sum of angles of these arbitrary parts, as in the exercise that follows.

***E8.5.** Using the fact that any diagonal of a k -sided polygon divides it into two polygons with $< k$ sides, show by mathematical induction that the sum of the interior angles of any convex polygon P , $S(P) = (n - 2)180$. Hint: If a figure has k sides, then for some a such that both a and $k - a$ are at least two (> 1), a diagonal divides it into a figure Q with $a + 1$ sides (a sides from P , plus the diagonal), and a figure R with $(k - a) + 1$ sides (the remaining sides from P , plus the diagonal). From $a > 1$, $k + a > k + 1$ so that $k > k - a + 1$; and from $k - a > 1$, $k > a + 1$. So the inductive assumption applies to both Q and R .

E8.6. Where P is a convex polygon with n sides, and $D(P)$ is the number of its diagonals (where a *diagonal* is a line from one vertex to another that is not a side), show by mathematical induction that any P with $n \geq 3$ sides is such that $D(P) = n(n - 3)/2$.



Hint: When you add a triangle to a convex figure to form a new convex figure with k sides, the diagonals are all the diagonals you had before, plus the base of the triangle, plus $k - 3$ lines from vertices not belonging to the triangle to the apex of the triangle.

Also, in case your algebra is rusty, $(k - 1)(k - 4) = k^2 - 5k + 4$.

8.2.4 Case

Finally we take up a couple of cases of real interest for our purposes — though we limit consideration just to sentential forms. We have seen cases structured by the recursive definitions **TR** and **FR**. Here is one that uses **ST**. Say a formula is in *normal* form iff its only operators are \vee , \wedge , and \sim , and the only instances of \sim are immediately prefixed to atomics (of course, any normal form is an abbreviation of a formula whose only operators are \rightarrow and \sim). Where \mathcal{P} is a normal form, let \mathcal{P}' be like \mathcal{P} except that \vee and \wedge are interchanged and, for a sentence letter \mathcal{S} , \mathcal{S} and $\sim\mathcal{S}$ are interchanged. Thus, for example, if \mathcal{P} is an atomic A , then \mathcal{P}' is $\sim A$, if \mathcal{P} is $(A \vee (\sim B \wedge C))$, then \mathcal{P}' is $(\sim A \wedge (B \vee \sim C))$. We show that if \mathcal{P} is in normal form, then $\models[\sim\mathcal{P}] = \text{T}$ iff $\models[\mathcal{P}'] = \text{T}$. Thus, for the case we have just seen,

$$\models[\sim(A \vee (\sim B \wedge C))] = \text{T} \quad \text{iff} \quad \models[(\sim A \wedge (B \vee \sim C))] = \text{T}$$

So the result works like a generalized semantic version of **DeM** in combination with **DN**: When you push a negation into a normal form, \wedge flips to \vee , \vee flips to \wedge , and atomics switch between \mathcal{S} and $\sim\mathcal{S}$.

Our argument is by induction on the number of operators in a formula \mathcal{P} . Let \mathcal{P} be any normal form.

(H) *Basis*: If \mathcal{P} has no operators, then \mathcal{P} is an atomic \mathcal{S} ; so $\sim\mathcal{P} = \sim\mathcal{S}$ and $\mathcal{P}' = \sim\mathcal{S}$; so $\models[\sim\mathcal{P}] = \text{T}$ iff $\models[\mathcal{P}'] = \text{T}$.

Assp: For any i , $0 \leq i < k$, any \mathcal{P} in normal form with i operator symbols is such that $\models[\sim\mathcal{P}] = \text{T}$ iff $\models[\mathcal{P}'] = \text{T}$.

Show: Any \mathcal{P} in normal form with k operator symbols is such that $\models[\sim\mathcal{P}] = \text{T}$ iff $\models[\mathcal{P}'] = \text{T}$.

If \mathcal{P} is in normal form and has k operator symbols, then it is of the form $\sim\mathcal{S}$, $\mathcal{A} \vee \mathcal{B}$, or $\mathcal{A} \wedge \mathcal{B}$ where \mathcal{S} is atomic and \mathcal{A} and \mathcal{B} are in normal form with less than k operator symbols. So there are three cases.

(\sim) Suppose \mathcal{P} is $\sim\mathcal{S}$. Then $\sim\mathcal{P}$ is $\sim\sim\mathcal{S}$, and \mathcal{P}' is \mathcal{S} . So $\models[\sim\mathcal{P}] = \text{T}$ iff $\models[\sim\sim\mathcal{S}] = \text{T}$; by **ST**(\sim) iff $\models[\sim\mathcal{S}] \neq \text{T}$; by **ST**(\sim) again iff $\models[\mathcal{S}] = \text{T}$; iff $\models[\mathcal{P}'] = \text{T}$. So $\models[\sim\mathcal{P}] = \text{T}$ iff $\models[\mathcal{P}'] = \text{T}$.

(\vee) Suppose \mathcal{P} is $\mathcal{A} \vee \mathcal{B}$. Then $\sim \mathcal{P}$ is $\sim(\mathcal{A} \vee \mathcal{B})$, and \mathcal{P}' is $\mathcal{A}' \wedge \mathcal{B}'$. So $\llbracket \sim \mathcal{P} \rrbracket = \text{T}$ iff $\llbracket \sim(\mathcal{A} \vee \mathcal{B}) \rrbracket = \text{T}$; by **ST**(\sim) iff $\llbracket \mathcal{A} \vee \mathcal{B} \rrbracket \neq \text{T}$; by **ST'**(\vee) iff $\llbracket \mathcal{A} \rrbracket \neq \text{T}$ and $\llbracket \mathcal{B} \rrbracket \neq \text{T}$; by **ST**(\sim) iff $\llbracket \sim \mathcal{A} \rrbracket = \text{T}$ and $\llbracket \sim \mathcal{B} \rrbracket = \text{T}$; by assumption iff $\llbracket \mathcal{A}' \rrbracket = \text{T}$ and $\llbracket \mathcal{B}' \rrbracket = \text{T}$; by **ST'**(\wedge) iff $\llbracket \mathcal{A}' \wedge \mathcal{B}' \rrbracket = \text{T}$; iff $\llbracket \mathcal{P}' \rrbracket = \text{T}$. So $\llbracket \sim \mathcal{P} \rrbracket = \text{T}$ iff $\llbracket \mathcal{P}' \rrbracket = \text{T}$.

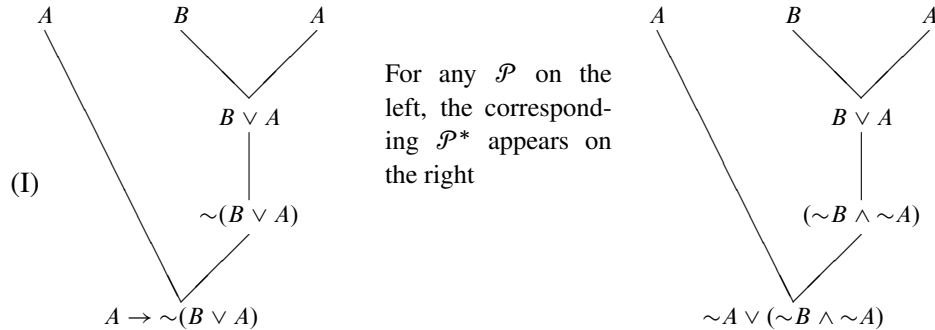
(\wedge) Homework.

Every \mathcal{P} with k operator symbols is such that $\llbracket \sim \mathcal{P} \rrbracket = \text{T}$ iff $\llbracket \mathcal{P}' \rrbracket = \text{T}$.

Indct: Every \mathcal{P} is such that $\llbracket \sim \mathcal{P} \rrbracket = \text{T}$ iff $\llbracket \mathcal{P}' \rrbracket = \text{T}$.

For the show step, it is important that \mathcal{A} and \mathcal{B} are in normal form. If they were not, then the inductive assumption, which applies only to formulas in normal form, would not apply to them. Similarly, it is important that \mathcal{A} and \mathcal{B} have $< k$ operators. If they did not, then the inductive assumption, which applies only to formulas with $< k$ operators, would not apply to them. The pattern here is typical: In the cases, we break down to parts to which the assumption applies, apply the assumption, and put the resultant parts back together. In the second case, we assert that if \mathcal{P} is $\mathcal{A} \vee \mathcal{B}$, then \mathcal{P}' is $\mathcal{A}' \wedge \mathcal{B}'$. Here \mathcal{A} and \mathcal{B} may be complex. We do the conversion on \mathcal{P} iff we do the conversion on its main operator, and then do the conversion on its parts. And similarly for (\wedge). It is this which enables us to feed into the inductive assumption. Notice that it is convenient to cast reasoning in the “collapsed” biconditional style.

Where \mathcal{P} is *any* form whose operators are \sim , \vee , \wedge , or \rightarrow , we now show that \mathcal{P} is equivalent to a normal form. Consider a transform \mathcal{P}^* defined as follows: For atomic \mathcal{S} , $\mathcal{S}^* = \mathcal{S}$; for arbitrary formulas \mathcal{A} and \mathcal{B} with just those operators, $(\mathcal{A} \vee \mathcal{B})^* = (\mathcal{A}^* \vee \mathcal{B}^*)$, $(\mathcal{A} \wedge \mathcal{B})^* = (\mathcal{A}^* \wedge \mathcal{B}^*)$, and with prime defined as above, $(\mathcal{A} \rightarrow \mathcal{B})^* = ([\mathcal{A}^*]' \vee \mathcal{B}^*)$, and $[\sim \mathcal{A}]^* = [\mathcal{A}^*]'$. To see how this works, consider how you would construct \mathcal{P}^* on a tree.



For the last line, A^* is A and $A^{*'} is $\sim A$. The star-transform, and the right-hand tree works very much like unabbreviating from [subsection 2.2.3](#). The conversion of a complex formula depends on the conversion of its parts. So starting with the parts, we construct the star-transform of the whole, one component at a time. Observe that, at each stage of the right-hand tree, the result is a normal form.$

We show by mathematical induction on the number of operators in \mathcal{P} that \mathcal{P}^* must be a normal form and that $\llbracket \mathcal{P} \rrbracket = \text{T}$ iff $\llbracket \mathcal{P}^* \rrbracket = \text{T}$. For the argument it will be important, not only to use the inductive assumption, but also the result from above that for any \mathcal{P} in normal form, $\llbracket \sim \mathcal{P} \rrbracket = \text{T}$ iff $\llbracket \mathcal{P}' \rrbracket = \text{T}$. In order to apply this result, it will be crucial that every \mathcal{P}^* is in normal form! Let \mathcal{P} be any formula with just operators \sim, \vee, \wedge and \rightarrow . Here is an outline of the argument, with parts left as homework.

T8.1. For any \mathcal{P} whose operators are \sim, \vee, \wedge and \rightarrow , \mathcal{P}^* is in normal form and $\llbracket \mathcal{P} \rrbracket = \text{T}$ iff $\llbracket \mathcal{P}^* \rrbracket = \text{T}$.

Basis: If \mathcal{P} is an atomic \mathcal{S} , then $\mathcal{P}^* = \mathcal{S}$. But an atomic \mathcal{S} is in normal form; so \mathcal{P}^* is in normal form; and since they are the same $\llbracket \mathcal{P} \rrbracket = \text{T}$ iff $\llbracket \mathcal{P}^* \rrbracket = \text{T}$.

Assp: For any i , $0 \leq i < k$ if \mathcal{P} has i operator symbols, then \mathcal{P}^* is in normal form and $\llbracket \mathcal{P} \rrbracket = \text{T}$ iff $\llbracket \mathcal{P}^* \rrbracket = \text{T}$.

Show: If \mathcal{P} has k operator symbols, then \mathcal{P}^* is in normal form and $\llbracket \mathcal{P} \rrbracket = \text{T}$ iff $\llbracket \mathcal{P}^* \rrbracket = \text{T}$.

If \mathcal{P} has k operator symbols, then \mathcal{P} is of the form $\sim \mathcal{A}$, $\mathcal{A} \vee \mathcal{B}$, $\mathcal{A} \wedge \mathcal{B}$, or $\mathcal{A} \rightarrow \mathcal{B}$ for formulas \mathcal{A} and \mathcal{B} with less than k operator symbols.

(\sim) Suppose \mathcal{P} is $\sim \mathcal{A}$. Then $\mathcal{P}^* = [\mathcal{A}^*]'$. By assumption \mathcal{A}^* is in normal form; so since the prime operation converts a normal form to another normal form, $[\mathcal{A}^*]'$ is in normal form; so \mathcal{P}^* is in normal form. $\llbracket \mathcal{P} \rrbracket = \text{T}$ iff $\llbracket \sim \mathcal{A} \rrbracket = \text{T}$; by [PT\(\$\sim\$ \)](#), iff $\llbracket \mathcal{A} \rrbracket \neq \text{T}$; by assumption iff $\llbracket \mathcal{A}^* \rrbracket \neq \text{T}$; by [PT\(\$\sim\$ \)](#) iff $\llbracket \sim(\mathcal{A}^*) \rrbracket = \text{T}$; by assumption \mathcal{A}^* is in normal form, so by our previous result, iff $\llbracket (\mathcal{A}^*)' \rrbracket = \text{T}$; iff $\llbracket \mathcal{P}^* \rrbracket = \text{T}$. So $\llbracket \mathcal{P} \rrbracket = \text{T}$ iff $\llbracket \mathcal{P}^* \rrbracket = \text{T}$.

(\wedge) Homework.

(\vee) Homework.

(\rightarrow) Homework.

In any case, if \mathcal{P} has k operator symbols, \mathcal{P}^* is in normal form and $\llbracket \mathcal{P} \rrbracket = \text{T}$ iff $\llbracket \mathcal{P}^* \rrbracket = \text{T}$.

Indct: For any \mathcal{P} , \mathcal{P}^* is in normal form and $I[\mathcal{P}] = \text{T}$ iff $I[\mathcal{P}^*] = \text{T}$.

The inductive assumption applies just to formulas with $< k$ operator symbols. So it applies just to formulas on the order of \mathcal{A} and \mathcal{B} . The result from before applies to any formulas in normal form. So it applies to \mathcal{A}^* , once we have determined that \mathcal{A}^* is in normal form.

- E8.7. Complete induction (H) to show that every \mathcal{P} in normal form is such that $I[\sim\mathcal{P}] = \text{T}$ iff $I[\mathcal{P}'] = \text{T}$. You should set up the whole induction with statements for the basis, assumption and show parts. But then you may appeal to the text for parts already done, as the text appeals to homework. Hint: If $\mathcal{P} = (\mathcal{A} \wedge \mathcal{B})$ then $\mathcal{P}' = (\mathcal{A}' \vee \mathcal{B}')$.
- E8.8. Complete T8.1 to show that any \mathcal{P} with just operators \sim, \vee, \wedge and \rightarrow has a \mathcal{P}^* in normal form such that $I[\mathcal{P}] = \text{T}$ iff $I[\mathcal{P}^*] = \text{T}$. Again, you should set up the whole induction with statements for the basis, assumption and show parts. But then you may appeal to the text for parts already done, as the text appeals to homework.
- E8.9. Show that for any \mathcal{P} whose operators are \sim, \vee, \wedge and \rightarrow , \mathcal{P}^* is in normal form and $\vdash \mathcal{P} \leftrightarrow \mathcal{P}^*$. Hint: the reasoning is parallel to the semantic case, but now about what you can *derive*. You will need results for both the prime and star.
- E8.10. Let $I[\mathcal{S}] = \text{T}$ for every sentence letter \mathcal{S} . Where \mathcal{P} is any sentential formula whose only operators are $\rightarrow, \wedge, \vee$ and \leftrightarrow , show by induction on the number of operators in \mathcal{P} that $I[\mathcal{P}] = \text{T}$. Use this result to show that $\not\models_s \sim\mathcal{P}$.

8.2.5 Case

Here is a result like one we will seek later for the quantificational case. It depends on the (recursive) notion of a *derivation*. Because of their relative simplicity, we will focus on axiomatic derivations. If we were working with “derivations” of the sort described in the diagram on p. 72, then we could reason by induction on the row in which a formula appears. Formulas in the top row result directly as axioms, those in the next row from ones before with MP; and so forth. Similarly, we could “regiment”

diagrams and proceed by induction on the number of applications of **MP** by which a formula is derived. But our official notion of an axiomatic derivation is not this; in an official axiomatic derivation, lines are ordered, where each line is either an axiom, a premise, or follows from previous lines by a rule. But this is sufficient for us to reason about one line of an axiomatic derivation based on ones that come before; that is, we reason by induction on the line number of a derivation. Recall that $\Gamma \vdash_{ADs} \mathcal{P}$ just in case there is a derivation of \mathcal{P} in the sentential fragment of *AD* — there is a derivation using just A1, A2, A3 and MP from definition **AS**. We show that if \mathcal{P} is a theorem of *ADs*, then \mathcal{P} is true on any sentential interpretation: if $\vdash_{ADs} \mathcal{P}$ then $\models_s \mathcal{P}$. Insofar as it applies where there are no premises, this result is known as *weak soundness*.

Suppose $\vdash_{ADs} \mathcal{P}$; then there is an *ADs* derivation $\langle \mathcal{A}_1, \mathcal{A}_2 \dots \mathcal{A}_n \rangle$ of \mathcal{P} from no premises, with $\mathcal{A}_n = \mathcal{P}$. By induction on the line numbers of this derivation, we show that for any j , $\models_s \mathcal{A}_j$. The case when $j = n$ is the desired result.

(J) *Basis*: Since $\langle \mathcal{A}_1, \mathcal{A}_2 \dots \mathcal{A}_n \rangle$ is a derivation from no premises, \mathcal{A}_1 can only be an instance of A1, A2 or A3.

(A1) Say \mathcal{A}_1 is an instance of A1 and so of the form $\mathcal{P} \rightarrow (\mathcal{Q} \rightarrow \mathcal{P})$. Suppose $\not\models_s \mathcal{P} \rightarrow (\mathcal{Q} \rightarrow \mathcal{P})$; then by **SV**, there is an I such that $I[\mathcal{P} \rightarrow (\mathcal{Q} \rightarrow \mathcal{P})] \neq T$; so by **PT**(\rightarrow), $I[\mathcal{P}] = T$ and $I[\mathcal{Q} \rightarrow \mathcal{P}] \neq T$; from the latter, by **PT**(\rightarrow), $I[\mathcal{Q}] = T$ and $I[\mathcal{P}] \neq T$. This is impossible; reject the assumption: $\models_s \mathcal{P} \rightarrow (\mathcal{Q} \rightarrow \mathcal{P})$.

(A2) Similarly.

(A3) Similarly.

Assp: For any i , $1 \leq i < k$, $\models_s \mathcal{A}_i$.

Show: $\models_s \mathcal{A}_k$.

\mathcal{A}_k is either an axiom or arises from previous lines by MP. If \mathcal{A}_k is an axiom then, as in the basis, $\models_s \mathcal{A}_k$. So suppose \mathcal{A}_k arises from previous lines by MP. In this case, the picture is something like this:

$a. \mathcal{B} \rightarrow \mathcal{C}$
 $b. \mathcal{B}$
 $k. \mathcal{C} \qquad a, b \text{ MP}$

where $a, b < k$ and \mathcal{C} is \mathcal{A}_k . By assumption, $\models_s \mathcal{B}$ and $\models_s \mathcal{B} \rightarrow \mathcal{C}$. Suppose $\not\models_s \mathcal{A}_k$; then $\not\models_s \mathcal{C}$; so by **SV** there is some I such that $I[\mathcal{C}] \neq T$; let J be a particular interpretation of this sort; then $J[\mathcal{C}] \neq T$; but by **SV**, for any I , $I[\mathcal{B}] = T$ and $I[\mathcal{B} \rightarrow \mathcal{C}] = T$; so $J[\mathcal{B}] = T$ and $J[\mathcal{B} \rightarrow \mathcal{C}] =$

T; from the latter, by $\text{ST}(\rightarrow)$, $J[\mathcal{B}] \neq \text{T}$ or $J[\mathcal{C}] = \text{T}$; so $J[\mathcal{C}] = \text{T}$. This is impossible; reject the assumption: $\models_s \mathcal{A}_k$.

Indct: For any line j of the derivation $\models_s \mathcal{A}_j$.

We might have continued as above for (A2) and (A3). Alternatively, since we have already done the work, we might have appealed directly to T7.2s, T7.3s and T7.4s for (A1), (A2) and (A3) respectively. From the case when $\mathcal{A}_j = \mathcal{P}$ we have $\models_s \mathcal{P}$. This result is a precursor to one we will obtain in chapter 10. There, we will show *strong soundness* for the complete system AD , if $\Gamma \vdash_{AD} \mathcal{P}$, then $\Gamma \models \mathcal{P}$. This tells us that our derivation system can never lead us astray. There is no situation where a derivation moves from premises that are true, to a conclusion that is not. Still, what we have is interesting in its own right: It is a first connection between the syntactic notions associated with derivations, and the semantic notions of validity and truth.

E8.11. Let $A3$ be like $A2$ for exercise E3.4 (p. 81) except that the rule MP is stated entirely in \sim and \wedge . Then the axiom and rule schemes are,

- $A3$ A1. $\mathcal{P} \rightarrow (\mathcal{P} \wedge \mathcal{P})$
 A2. $(\mathcal{P} \wedge \mathcal{Q}) \rightarrow \mathcal{P}$
 A3. $(\mathcal{Q} \rightarrow \mathcal{P}) \rightarrow [\sim(\mathcal{P} \wedge \mathcal{Q}) \rightarrow \sim(\mathcal{Q} \wedge \mathcal{Q})]$
 MP. $\sim(\mathcal{P} \wedge \sim\mathcal{Q}), \mathcal{P} \vdash \mathcal{Q}$

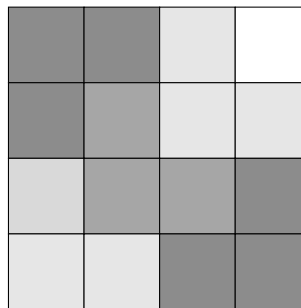
Show by mathematical induction that $A3$ is weakly sound. That is show that if $\vdash_{A3} \mathcal{P}$ then $\models_s \mathcal{P}$.

E8.12. Modify your above argument to show that $A3$ is strongly sound. That is, modify the argument to show that if $\Gamma \vdash_{A3} \mathcal{P}$ then $\Gamma \models_s \mathcal{P}$. You may appeal to reasoning from the previous problem where it is applicable. Hint: When premises are allowed, \mathcal{A}_j is either an axiom, a premise, or arises by a rule. So there is one additional case in the basis; but that case is trivial – if all of the premises are true, and \mathcal{A}_j is a premise, then \mathcal{A}_j cannot be false. And your reasoning for the show will be modified; now the assumption gives you $\Gamma \models_s \mathcal{B} \rightarrow \mathcal{C}$ and $\Gamma \models_s \mathcal{B}$ and your goal is to show $\Gamma \models_s \mathcal{C}$.

E8.13. Modify table $\text{T}(\sim)$ so that $I[\sim\mathcal{P}] = \text{F}$ both when $I[\mathcal{P}] = \text{T}$ and $I[\mathcal{P}] = \text{F}$; let table $\text{T}(\rightarrow)$ remain as before. Say a formula is *ideal* iff it is true on every

interpretation, given the revised tables. Show by mathematical induction that every consequence in AD of MP with $A1$ and $A2$ alone is ideal. Then by a table show that $A3$ is not ideal, and so that there is no derivation of $A3$ from $A1$ and $A2$ alone. Hint: your induction may be a simple modification of argument (J) from above.

- E8.14. Where t is a term of \mathcal{L}_q , let $X(t)$ be the sum of all the superscripts in t and $Y(t)$ be the number of symbols in t . So, for example, if t is z , then $X(t) = 0$ and $Y(t) = 1$; if t is $g^1 f^2 c x$, then $X(t) = 3$ and $Y(t) = 4$. By induction on the number of function symbols in t , show that for any t in \mathcal{L}_q , $X(t) + 1 = Y(t)$.
- E8.15. Show, by mathematical induction, that at a recent convention, the number of logicians who shook hands an odd number of times is even. Assume that 0 is even. Hints: Reason by induction on the number of handshakes at the convention. At any stage n , let $O(n)$ be the number of people who have shaken hands an odd number of times. Your task is to show that for any n , $O(n)$ is even. You will want to consider cases for what happens to $O(n)$ when (i) someone who has already shaken hands an odd number of times shakes with someone who has shaken an odd number of times; (ii) someone who has already shaken hands an even number of times shakes with someone who has shaken an even number of times; and (iii) someone who has already shaken hands an odd number of times shakes with someone who has shaken an even number of times.
- E8.16. For any $n \geq 1$, given a $2^n \times 2^n$ checkerboard with any one square deleted, show by mathematical induction, that it is possible to cover the board with 3-square L-shaped pieces. For example, a 4×4 board with a corner deleted could be covered as follows,



Hint: The basis is easy — a 2×2 board with one square missing is covered by a single L-shaped piece. The trick is to see how an arbitrary 2^k board with one square missing can be constructed out of an L-shaped piece and 2^{k-1} size boards with a square missing. But this is not hard!

8.3 Further Examples (for Part III)

We continue our series of examples, moving now to quantificational cases, and to some theorems that will be useful especially if you go on to consider [Part III](#).

8.3.1 Case

For variables x and v , where v does not appear in term t , it should be obvious that $[t_v^x]_x^v = t$. If we replace every instance of x with v , and then all the instances of v with x , we get back to where we started. The restriction that v not appear in t is required to prevent putting back instances of x where there were none in the original — as fxv_v^x is fvv , but then fvv_x^v is fxv . We demonstrate that when v does not appear in t , $[t_v^x]_x^v = t$ more rigorously by a simple induction on the number of function symbols in t . Suppose v does not appear in t .

(K) *Basis*: If t has no function symbols, then it is a variable or a constant. If it is a variable or a constant other than x , then $t_v^x = t$ (nothing is replaced); and since v does not appear in t , $t_x^v = t$ (nothing is replaced); so $[t_v^x]_x^v = t$. If t is the variable x , then $t_v^x = v$; and $v_x^v = x$; so $[t_v^x]_x^v = x = t$. In either case, then, $[t_v^x]_x^v = t$.

Assp: For any i , $0 \leq i < k$, if t has i function symbols, and v does not appear in t , then $[t_v^x]_x^v = t$.

Show: If t has k function symbols, and v does not appear in t , then $[t_v^x]_x^v = t$. If t has k function symbols, then it is of the form, $h^n s_1 \dots s_n$ for some function symbol h^n and terms $s_1 \dots s_n$ each of which has $< k$ function symbols; since v does not appear in t , it does not appear in any of $s_1 \dots s_n$; so the inductive assumption applies to $s_1 \dots s_n$; so by assumption $[s_1]_x^v = s_1$, and ... and $[s_n]_x^v = s_n$. But $[t_v^x]_x^v = [h^n s_1 \dots s_n]_x^v$; and since replacements only occur within the terms, this is $h^n [s_1]_x^v \dots [s_n]_x^v$; and by assumption this is $h^n s_1 \dots s_n = t$. So $[t_v^x]_x^v = t$.

Indct: For any term t , if v does not appear in t , $[t_v^x]_x^v = t$

Consider a concrete application of the point that replacements occur only within the terms. We find $[f^2 g^2 a x b_v^x]_x^v$ if we find $[g^2 a x_v^x]_x^v$ and $[b_v^x]_x^v$ and compose the whole from them — for the function symbol f^2 cannot be affected by substitutions on the variables! It is also worthwhile to note the place where it matters that v is not a variable in t : In the basis case where t is a variable other than x , $t_x^v = t$ insofar as nothing is replaced; but suppose t is v ; then $t_x^v = x \neq t$, and we do not achieve the desired result.

This result can be extended to one with application to formulas. If v is not free in a formula \mathcal{P} and v is free for x in \mathcal{P} , then $[\mathcal{P}_v^x]_x^v = \mathcal{P}$. We require the restriction that v is not free in \mathcal{P} for the same reason as before: if v were free in \mathcal{P} , we might end up with instances of x where there are none in the original — as $R x v_v^x$ is $R v v$, but then $R v v_x^v$ is $R x x$. And we need the restriction that v is free for x in \mathcal{P} so that instances of x go back for all the instances of v when free instances of v are replaced by x — as $\forall v R x v_v^x$ is $\forall v R v v$, but then remains the same when x is substituted for free instances of v . Here is the basic structure of the argument, with parts left for homework.

T8.2. For variables x and v , if v is not free in a formula \mathcal{P} and v is free for x in \mathcal{P} , then $[\mathcal{P}_v^x]_x^v = \mathcal{P}$.

Let \mathcal{P} be any formula such that v is not free \mathcal{P} and v is free for x in \mathcal{P} . We show that $[\mathcal{P}_v^x]_x^v = \mathcal{P}$ by induction on the number of operator symbols in \mathcal{P} .

Basis: If \mathcal{P} has no operator symbols, then it is a sentence letter \mathcal{S} or an atomic of the form $\mathcal{R}^n t_1 \dots t_n$ for some relation symbol \mathcal{R}^n and terms $t_1 \dots t_n$. (i) If \mathcal{P} is \mathcal{S} then it has no variables; so $\mathcal{S}_v^x = \mathcal{S}$ and $\mathcal{S}_x^v = \mathcal{S}$; so $[\mathcal{S}_v^x]_x^v = \mathcal{S}$; so $[\mathcal{P}_v^x]_x^v = \mathcal{P}$. (ii) If \mathcal{P} is $\mathcal{R}^n t_1 \dots t_n$ then $[\mathcal{P}_v^x]_x^v = \mathcal{R}^n [t_1_v^x]_x^v \dots [t_n_v^x]_x^v$; but since v is not free in \mathcal{P} , v does not appear at all in \mathcal{P} or its terms; so by the previous result, $[t_1_v^x]_x^v = t_1$ and $\dots [t_n_v^x]_x^v = t_n$; so $[\mathcal{P}_v^x]_x^v = \mathcal{R}^n t_1 \dots t_n$; which is to say, $[\mathcal{P}_v^x]_x^v = \mathcal{P}$.

Assp: For any i , $0 \leq i < k$, if \mathcal{P} has i operator symbols, where v is not free in \mathcal{P} and v is free for x in \mathcal{P} , then $[\mathcal{P}_v^x]_x^v = \mathcal{P}$.

Show: Any \mathcal{P} with k operator symbols, is such that if v is not free in \mathcal{P} and v is free for x in \mathcal{P} , then $[\mathcal{P}_v^x]_x^v = \mathcal{P}$.

If \mathcal{P} has k operator symbols, then it is of the form $\sim \mathcal{A}$, $(\mathcal{A} \rightarrow \mathcal{B})$ or $\forall w \mathcal{A}$ for some variable w and formulas \mathcal{A} and \mathcal{B} with $< k$ operator symbols.

- (\sim) Suppose \mathcal{P} is $\sim\mathcal{A}$, v is not free in \mathcal{P} , and v is free for x in \mathcal{P} . Then $[\mathcal{P}_v^x]_x^v = [(\sim\mathcal{A})_v^x]_x^v = \sim[\mathcal{A}_v^x]_x^v$. Since v is not free in \mathcal{P} , v is not free in \mathcal{A} ; and since v is free for x in \mathcal{P} , v is free for x in \mathcal{A} . So the assumption applies to $\mathcal{A} \dots$
- (\rightarrow) Homework.
- (\forall) Suppose \mathcal{P} is $\forall w\mathcal{A}$, v is not free in \mathcal{P} , and v is free for x in \mathcal{P} . Either x is free in \mathcal{P} or not. (i) If x is not free in \mathcal{P} , then $\mathcal{P}_v^x = \mathcal{P}$ and since v is not free in \mathcal{P} , $\mathcal{P}_x^v = \mathcal{P}$; so $[\mathcal{P}_v^x]_x^v = \mathcal{P}$. (ii) Suppose x is free in $\mathcal{P} = \forall w\mathcal{A}$. Then x is other than w ; and since v is free for x in \mathcal{P} , v is other than w ; so the quantifier does not affect the replacements, and $[\mathcal{P}_v^x]_x^v$ is $\forall w[\mathcal{A}_v^x]_x^v$. Since v is not free in \mathcal{P} and is not w , v is not free in \mathcal{A} ; and since v is free for x in \mathcal{P} , v is free for x in \mathcal{A} . So the inductive assumption applies to \mathcal{A} ; so $[\mathcal{A}_v^x]_x^v = \mathcal{A}$; so $\forall w[\mathcal{A}_v^x]_x^v = \forall w\mathcal{A}$; but this is just to say, $[\mathcal{P}_v^x]_x^v = \mathcal{P}$.
- If \mathcal{P} has k operator symbols, if v is not free in \mathcal{P} and v is free for x in \mathcal{P} , then $[\mathcal{P}_v^x]_x^v = \mathcal{P}$.

Indct: For any \mathcal{P} , if v is not free in \mathcal{P} and v is free for x in \mathcal{P} , then $[\mathcal{P}_v^x]_x^v = \mathcal{P}$.

There are a few things to note about this argument. First, again, we have to be careful that the formulas \mathcal{A} and \mathcal{B} of which \mathcal{P} is composed are in fact of the sort to which the inductive assumption applies. In this case, the requirement is not only that \mathcal{A} and \mathcal{B} have $< k$ operator symbols, but that they satisfy the additional assumptions, that v is not free but is free for x . It is easy to see that this condition obtains in the cases for \sim and \rightarrow , but it is relatively complicated in the case for \forall , where there is interaction with another quantifier. Observe also that we cannot assume that the arbitrary quantifier has the same variable as x or v . In fact, it is because the variable may be different that we are able to reason the way we do. Finally, observe that the arguments of this section for (K) and T8.2 are a “linked pair” in the sense that the result of the first for terms is required for the basis of the next for formulas. This pattern repeats in the next cases.

- *E8.17. Provide a complete argument for T8.2, completing cases for (\sim) and (\rightarrow). You should set up the complete induction, but may appeal to the text at parts that are already completed, just as the text appeals to homework.

E8.18. Consider language \mathcal{L}_t from examples (C) and (D) that has just function symbols f^2 and g^4 , and let it be developed so that it has just one constant symbol c , and just the primitive operators ι , from p. 337, and \exists . Provide a complete demonstration for expressions in this language that $[\mathcal{P}_v^x]_x^v = \mathcal{P}$. Hint: You will need arguments parallel to (K) and then T8.2, but structured by the symbols of this language.

8.3.2 Case

This example develops another pair of linked results which may seem obvious. Even so, the reasoning is instructive, and we will need the results for things to come. First,

T8.3. For any interpretation \mathbf{l} , variable assignments \mathbf{d} and \mathbf{h} , and term t , if $\mathbf{d}[x] = \mathbf{h}[x]$ for every variable x in t , then $\mathbf{l}_{\mathbf{d}}[t] = \mathbf{l}_{\mathbf{h}}[t]$.

If variable assignments agree at least on assignments to the variables in t , then corresponding term assignments agree on the assignment to t . The reasoning, as one might expect, is by induction on the number of function symbols in t . Let \mathbf{l} , \mathbf{d} , \mathbf{h} and t be arbitrary, and suppose $\mathbf{d}[x] = \mathbf{h}[x]$ for every variable x in t .

Basis: If t has no function symbols, then it is a variable x or a constant c . (i) Suppose t is a constant c . Then by TA(c), $\mathbf{l}_{\mathbf{d}}[t] = \mathbf{l}_{\mathbf{d}}[c] = \mathbf{l}[c]$; and by TA(c) again, $\mathbf{l}[c] = \mathbf{l}_{\mathbf{h}}[c] = \mathbf{l}_{\mathbf{h}}[t]$. So $\mathbf{l}_{\mathbf{d}}[t] = \mathbf{l}_{\mathbf{h}}[t]$. (ii) Suppose t is a variable x . Then by TA(v), $\mathbf{l}_{\mathbf{d}}[t] = \mathbf{l}_{\mathbf{d}}[x] = \mathbf{d}[x]$; but by the assumption to the theorem, $\mathbf{d}[x] = \mathbf{h}[x]$; and by TA(v) again, $\mathbf{h}[x] = \mathbf{l}_{\mathbf{h}}[x] = \mathbf{l}_{\mathbf{h}}[t]$. So $\mathbf{l}_{\mathbf{d}}[t] = \mathbf{l}_{\mathbf{h}}[t]$.

Assp: For any i , $0 \leq i < k$, if t has i function symbols, and $\mathbf{d}[x] = \mathbf{h}[x]$ for every variable x in t , then $\mathbf{l}_{\mathbf{d}}[t] = \mathbf{l}_{\mathbf{h}}[t]$.

Show: If t has k function symbols, and $\mathbf{d}[x] = \mathbf{h}[x]$ for every variable x in t , then $\mathbf{l}_{\mathbf{d}}[t] = \mathbf{l}_{\mathbf{h}}[t]$.

If t has k function symbols, then it is of the form $h^n s_1 \dots s_n$ for some function symbol h^n and terms $s_1 \dots s_n$ with $< k$ function symbols. Suppose $\mathbf{d}[x] = \mathbf{h}[x]$ for every variable x in t . Since $\mathbf{d}[x] = \mathbf{h}[x]$ for every variable x in t , $\mathbf{d}[x] = \mathbf{h}[x]$ for every variable x in $s_1 \dots s_n$; so the inductive assumption applies to $s_1 \dots s_n$. So $\mathbf{l}_{\mathbf{d}}[s_1] = \mathbf{l}_{\mathbf{h}}[s_1]$, and ... and $\mathbf{l}_{\mathbf{d}}[s_n] = \mathbf{l}_{\mathbf{h}}[s_n]$; so $\langle \mathbf{l}_{\mathbf{d}}[s_1], \dots, \mathbf{l}_{\mathbf{d}}[s_n] \rangle = \langle \mathbf{l}_{\mathbf{h}}[s_1], \dots, \mathbf{l}_{\mathbf{h}}[s_n] \rangle$; so $\mathbf{l}[h^n] \langle \mathbf{l}_{\mathbf{d}}[s_1], \dots, \mathbf{l}_{\mathbf{d}}[s_n] \rangle = \mathbf{l}[h^n] \langle \mathbf{l}_{\mathbf{h}}[s_1], \dots, \mathbf{l}_{\mathbf{h}}[s_n] \rangle$; so by TA(f), $\mathbf{l}_{\mathbf{d}}[h^n s_1 \dots s_n] = \mathbf{l}_{\mathbf{h}}[h^n s_1 \dots s_n]$; which is to say $\mathbf{l}_{\mathbf{d}}[t] = \mathbf{l}_{\mathbf{h}}[t]$.

Indct: For any t , $l_d[t] = l_h[t]$.

So for any interpretation l , variable assignments d and h and term t , if $d[x] = h[x]$ for every variable in t , then $l_d[t] = l_h[t]$. It should be clear that we follow our usual pattern to complete the show step: The assumption gives us information about the parts — in this case, about assignments to $s_1 \dots s_n$; from this, with **TA**, we move to a conclusion about the whole term t . Notice again, that it is important to show that the parts are of the right sort for the inductive assumption to apply: it matters that $s_1 \dots s_n$ have $< k$ function symbols, and that $d[x] = h[x]$ for every variable in $s_1 \dots s_n$. Perhaps the overall result is intuitively obvious: If there is no difference in assignments to relevant variables, then there is no difference in assignments to the whole terms. Our proof merely makes explicit how this result follows from the definitions.

We now turn to a result that is very similar, except that it applies to formulas. In this case, T8.3 is essential for reasoning in the basis.

T8.4. For any interpretation l , variable assignments d and h , and formula \mathcal{P} , if $d[x] = h[x]$ for every free variable x in \mathcal{P} , then $l_d[\mathcal{P}] = S$ iff $l_h[\mathcal{P}] = S$.

The argument, as you should expect, is by induction on the number of operator symbols in the formula \mathcal{P} . Let l , d , h and \mathcal{P} be arbitrary, and suppose $d[x] = h[x]$ for every variable x free in \mathcal{P} .

Basis: If \mathcal{P} has no operator symbols, then it is a sentence letter \mathcal{S} or an atomic of the form $\mathcal{R}^n t_1 \dots t_n$ for some relation symbol \mathcal{R}^n and terms $t_1 \dots t_n$. (i) Suppose \mathcal{P} is a sentence letter \mathcal{S} . Then $l_d[\mathcal{P}] = S$; iff $l_d[\mathcal{S}] = S$; by **SF(s)** iff $l[\mathcal{S}] = T$; by **SF(s)** again iff $l_h[\mathcal{S}] = S$; iff $l_h[\mathcal{P}] = S$. (ii) Suppose \mathcal{P} is $\mathcal{R}^n t_1 \dots t_n$. Then since every variable in \mathcal{P} is free, by the assumption for the theorem, $d[x] = h[x]$ for every variable in \mathcal{P} ; so $d[x] = h[x]$ for every variable in $t_1 \dots t_n$; so by T8.3, $l_d[t_1] = l_h[t_1]$, and \dots and $l_d[t_n] = l_h[t_n]$; so $\langle l_d[t_1], \dots, l_d[t_n] \rangle = \langle l_h[t_1], \dots, l_h[t_n] \rangle$; so $\langle l_d[t_1], \dots, l_d[t_n] \rangle \in l[\mathcal{R}^n]$ iff $\langle l_h[t_1], \dots, l_h[t_n] \rangle \in l[\mathcal{R}^n]$; so by **SF(r)**, $l_d[\mathcal{R}^n t_1 \dots t_n] = S$ iff $l_h[\mathcal{R}^n t_1 \dots t_n] = S$; which is to say, $l_d[\mathcal{P}] = S$ iff $l_h[\mathcal{P}] = S$.

Assp: For any i , $0 \leq i < k$, if \mathcal{P} has i operator symbols and $d[x] = h[x]$ for every free variable x in \mathcal{P} , then $l_d[\mathcal{P}] = S$ iff $l_h[\mathcal{P}] = S$.

Show: If \mathcal{P} has k operator symbols and $d[x] = h[x]$ for every free variable x in \mathcal{P} , then $l_d[\mathcal{P}] = S$ iff $l_h[\mathcal{P}] = S$.

If \mathcal{P} has k operator symbols, then it is of the form $\sim \mathcal{A}$, $\mathcal{A} \rightarrow \mathcal{B}$, or $\forall v \mathcal{A}$ for variable v and formulas \mathcal{A} and \mathcal{B} with $< k$ operator symbols. Suppose $d[x] = h[x]$ for every free variable x in \mathcal{P} .

(\sim) Suppose \mathcal{P} is $\sim \mathcal{A}$. Then since $d[x] = h[x]$ for every free variable x in \mathcal{P} , and every variable free in \mathcal{A} is free in \mathcal{P} , $d[x] = h[x]$ for every free variable in \mathcal{A} ; so the inductive assumption applies to \mathcal{A} . $l_d[\mathcal{P}] = S$ iff $l_d[\sim \mathcal{A}] = S$; by **SF**(\sim) iff $l_d[\mathcal{A}] \neq S$; by assumption iff $l_h[\mathcal{A}] \neq S$; by **SF**(\sim), iff $l_h[\sim \mathcal{A}] = S$; iff $l_h[\mathcal{P}] = S$.

(\rightarrow) Homework.

(\forall) Suppose \mathcal{P} is $\forall v \mathcal{A}$. Then since $d[x] = h[x]$ for every free variable x in \mathcal{P} , $d[x] = h[x]$ for every free variable in \mathcal{A} with the possible exception of v ; so for arbitrary $o \in U$, $d(v|o)[x] = h(v|o)[x]$ for every free variable x in \mathcal{A} . Since the assumption applies to arbitrary assignments, it applies to $d(v|o)$ and $h(v|o)$; so by assumption for any $o \in U$, $l_{d(v|o)}[\mathcal{A}] = S$ iff $l_{h(v|o)}[\mathcal{A}] = S$.

Now suppose $l_d[\mathcal{P}] = S$ but $l_h[\mathcal{P}] \neq S$; then $l_d[\forall v \mathcal{A}] = S$ but $l_h[\forall v \mathcal{A}] \neq S$; from the latter, by **SF**(\forall), there is some $o \in U$ such that $l_{h(v|o)}[\mathcal{A}] \neq S$; let m be a particular individual of this sort; then $l_{h(v|m)}[\mathcal{A}] \neq S$; so, as above, with the inductive assumption, $l_{d(v|m)}[\mathcal{A}] \neq S$. But $l_d[\forall v \mathcal{A}] = S$; so by **SF**(\forall), for any $o \in U$, $l_{d(v|o)}[\mathcal{A}] = S$; so $l_{d(v|m)}[\mathcal{A}] = S$. This is impossible; reject the assumption: if $l_d[\mathcal{P}] = S$, then $l_h[\mathcal{P}] = S$. And similarly [by homework] in the other direction.

If \mathcal{P} has k operator symbols, then $l_d[\mathcal{P}] = S$ iff $l_h[\mathcal{P}] = S$.

Indct: For any \mathcal{P} , $l_d[\mathcal{P}] = S$ iff $l_h[\mathcal{P}] = S$.

So for any interpretation l , variable assignments d and h , and formula \mathcal{P} , if $d[x] = h[x]$ for every free variable x in \mathcal{P} , then $l_d[\mathcal{P}] = S$ iff $l_h[\mathcal{P}] = S$. Notice again that it is important to make sure the inductive assumption applies. In the (\forall) case, first we are careful to distinguish the arbitrary variable of quantification v , from x of the assumption. For the quantifier case, the condition that d and h agree on assignments to all the free variables in \mathcal{A} is *not* satisfied merely because they agree on assignments to all the free variables in \mathcal{P} . We solve the problem by switching to assignments $d(v|o)$ and $h(v|o)$, which must agree on all the free variables in \mathcal{A} . (Why?) The overall reasoning in the quantifier case is fairly sophisticated. But you should be in a position to bear down and follow each step.

From T8.4 it is a short step to a corollary, the proof of which was promised in [chapter 4](#): If a *sentence* \mathcal{P} is satisfied on any variable assignment, then it is satisfied on every variable assignment, and so true.

T8.5. For any interpretation I and sentence \mathcal{P} , if there is some assignment d such that $I_d[\mathcal{P}] = S$, then $I[\mathcal{P}] = T$.

For sentence \mathcal{P} and interpretation I , suppose there is some assignment d such that $I_d[\mathcal{P}] = S$, but $I[\mathcal{P}] \neq T$. From the latter, by [TI](#), there is some particular assignment h such that $I_h[\mathcal{P}] \neq S$; but if \mathcal{P} is a sentence, it has no free variables; so every assignment agrees with h in its assignment to every free variable in \mathcal{P} ; in particular d agrees with h in its assignment to every free variable in \mathcal{P} ; so by T8.4, $I_d[\mathcal{P}] \neq S$. This is impossible; reject the assumption: if $I_d[\mathcal{P}] = S$ then $I[\mathcal{P}] = T$.

In effect, the reasoning is as sketched in [chapter 4](#). Whether $\forall x \mathcal{P}$ is satisfied by d does not depend on what particular object d assigns to x — for satisfaction of the quantified formula depends on satisfaction for *every* assignment to x . The key step is contained in the reasoning for the (\forall) case of the induction. Given this, the move to T8.5 is straightforward.

T8.5 puts us in a position to recover simple semantic conditions for *sentences* of the sort $\sim \mathcal{P}$ and $\mathcal{P} \rightarrow \mathcal{Q}$.

T8.6. For any sentences \mathcal{P} and \mathcal{Q} , (i) $I[\sim \mathcal{P}] = T$ iff $I[\mathcal{P}] \neq T$; and (ii) $I[\mathcal{P} \rightarrow \mathcal{Q}] = T$ iff $I[\mathcal{P}] \neq T$ or $I[\mathcal{Q}] = T$.

(\sim) Suppose $I[\sim \mathcal{P}] = T$; then by [TI](#), for any d , $I_d[\sim \mathcal{P}] = S$; so by [SF\(\$\sim\$ \)](#), $I_d[\mathcal{P}] \neq S$; and by [TI](#) again, $I[\mathcal{P}] \neq T$. Suppose $I[\mathcal{P}] \neq T$; then by [TI](#), there is some d such that $I_d[\mathcal{P}] \neq S$; let h be a particular assignment of this sort; then $I_h[\mathcal{P}] \neq S$; so by [SF\(\$\sim\$ \)](#), $I_h[\sim \mathcal{P}] = S$; and since \mathcal{P} is a sentence, $\sim \mathcal{P}$ is a sentence; so by T8.5, $I[\sim \mathcal{P}] = T$. So $I[\sim \mathcal{P}] = T$ iff $I[\mathcal{P}] \neq T$.

(\rightarrow) Homework.

Thus for the sentential operators, sentences of a quantificational language obey the same semantic conditions as ones from sentential languages.

***E8.19.** Provide a complete argument for T8.4, completing the case for (\rightarrow) , and expanding the other direction for (\forall) . You should set up the complete induction, but may appeal to the text at parts that are already completed, as the text appeals to homework.

- E8.20. Complete the demonstration of T8.6 by working the case for (\rightarrow) .
- E8.21. Show that T8.4 holds for expressions in \mathcal{L}_t from E8.18. Hint: you will need results parallel to both T8.3 and T8.4.
- E8.22. Show that for any interpretation I and sentence \mathcal{P} , either $I[\mathcal{P}] = T$ or $I[\sim\mathcal{P}] = T$. Hint: This is not an argument by induction, but rather another corollary to T8.4. So begin by supposing the result is false. . .

8.3.3 Case

Finally, we turn to another pair of results, with reasoning like what we have already seen.

T8.7. For any formula \mathcal{P} , term t , constant c , and distinct variables v and x , $[\mathcal{P}_t^v]_x^c$ is the same formula as $[\mathcal{P}_x^c]_{t_x^c}^v$.

Notice that switching t for v and then x for c is not the same as switching x for c and then t for v — for if t contains an instance of c , that instance of c is replaced in the first case, but not in the second. The proof breaks into two parts. (i) By induction on the number of function symbols in an arbitrary term r , we show that $[r_t^v]_x^c = [r_x^c]_{t_x^c}^v$. Given this, (ii) by induction on the number of operator symbols in an arbitrary formula \mathcal{P} , we show that $[\mathcal{P}_t^v]_x^c = [\mathcal{P}_x^c]_{t_x^c}^v$. Only part (i) is completed here; (ii) is left for homework. Suppose $v \neq x$.

Basis: If r has no function symbols, then it is either v , c or some other constant or variable.

- (v) Suppose r is v . Then r_t^v is t and $[r_t^v]_x^c$ is t_x^c . But r_x^c is v ; so $[r_x^c]_{t_x^c}^v$ is t_x^c . So $[r_t^v]_x^c = [r_x^c]_{t_x^c}^v$.
- (c) Suppose r is c . Then r_t^v is c and $[r_t^v]_x^c$ is x . But r_x^c is x ; and, since $v \neq x$, $[r_x^c]_{t_x^c}^v$ is x . So $[r_t^v]_x^c = [r_x^c]_{t_x^c}^v$.
- (oth) Suppose r is some variable or constant other than v or c . Then $r_t^v = [r_t^v]_x^c = r$. Similarly, $r_x^c = [r_x^c]_{t_x^c}^v = r$. So $[r_t^v]_x^c = [r_x^c]_{t_x^c}^v$.

Assp: For any i , $0 \leq i < k$, if r has i function symbols, then $[r_t^v]_x^c = [r_x^c]_{t_x^c}^v$.

First Theorems of Chapter 8

- T8.1 For any \mathcal{P} whose operators are \sim, \vee, \wedge and \rightarrow , \mathcal{P}^* is in normal form and $I[\mathcal{P}] = \text{T}$ iff $I[\mathcal{P}^*] = \text{T}$.
- T8.2 For variables x and v , if v is not free in a formula \mathcal{P} and v is free for x in \mathcal{P} , then $[\mathcal{P}_v^x]^v = \mathcal{P}$.
- T8.3 For any interpretation I , variable assignments d and h , and term t , if $d[x] = h[x]$ for every variable x in t , then $I_d[t] = I_h[t]$.
- T8.4 For any interpretation I , variable assignments d and h , and formula \mathcal{P} , if $d[x] = h[x]$ for every free variable x in \mathcal{P} , then $I_d[\mathcal{P}] = \text{S}$ iff $I_h[\mathcal{P}] = \text{S}$.
- T8.5 For any interpretation I and sentence \mathcal{P} , if there is some assignment d such that $I_d[\mathcal{P}] = \text{S}$, then $I[\mathcal{P}] = \text{T}$.
- T8.6 For any sentences \mathcal{P} and \mathcal{Q} , (i) $I[\sim\mathcal{P}] = \text{T}$ iff $I[\mathcal{P}] \neq \text{T}$; and (ii) $I[\mathcal{P} \rightarrow \mathcal{Q}] = \text{T}$ iff $I[\mathcal{P}] \neq \text{T}$ or $I[\mathcal{Q}] = \text{T}$.
- T8.7 For any formula \mathcal{P} , term t , constant c , and distinct variables v and x , $[\mathcal{P}_t^v]^c$ is the same formula as $[\mathcal{P}_x^c]_{t_x^c}^v$.

Show: If \mathcal{r} has k function symbols, then $[\mathcal{r}_t^v]^c = [\mathcal{r}_x^c]_{t_x^c}^v$.

If \mathcal{r} has k function symbols, then it is of the form, $h^n s_1 \dots s_n$ for some function symbol h^n and terms $s_1 \dots s_n$ each of which has $< k$ function symbols. In this case, $[\mathcal{r}_t^v]^c = h^n([\mathcal{s}_1^v]_t^c \dots [\mathcal{s}_n^v]_t^c)$. Similarly, $[\mathcal{r}_x^c]_{t_x^c}^v = h^n([\mathcal{s}_1^c]_{t_x^c}^v \dots [\mathcal{s}_n^c]_{t_x^c}^v)$. But by assumption, $[\mathcal{s}_1^v]_t^c = [\mathcal{s}_1^c]_{t_x^c}^v$, and \dots and $[\mathcal{s}_n^v]_t^c = [\mathcal{s}_n^c]_{t_x^c}^v$; so $h^n([\mathcal{s}_1^v]_t^c \dots [\mathcal{s}_n^v]_t^c) = h^n([\mathcal{s}_1^c]_{t_x^c}^v \dots [\mathcal{s}_n^c]_{t_x^c}^v)$; so $[\mathcal{r}_t^v]^c = [\mathcal{r}_x^c]_{t_x^c}^v$.

Indct: For any \mathcal{r} , $[\mathcal{r}_t^v]^c = [\mathcal{r}_x^c]_{t_x^c}^v$.

You will find this result useful when you turn to the final proof of T8.7. That argument is a straightforward induction on the number of operator symbols in \mathcal{P} . For the case where \mathcal{P} is of the form $\forall w \mathcal{A}$, notice that v is either w or it is not. On the one hand, if v is w , then $\mathcal{P} = \forall w \mathcal{A}$ has no free instances of v so that $\mathcal{P}_t^v = \mathcal{P}$, and $[\mathcal{P}_t^v]^c = \mathcal{P}_x^c$; but, similarly, \mathcal{P}_x^c has no free instances of v , so $[\mathcal{P}_x^c]_{t_x^c}^v = \mathcal{P}_x^c$. On the other hand, if v is a variable other than w , then $[\mathcal{P}_t^v]^c = \forall w [\mathcal{A}_t^v]_x^c$ and $[\mathcal{P}_x^c]_{t_x^c}^v = \forall w [\mathcal{A}_x^c]_{t_x^c}^v$ and you will be able to use the inductive assumption.

*E8.23. Complete the proof of T8.7 by showing by induction on the number of operator symbols in an arbitrary formula \mathcal{P} that if v is distinct from x , then $[\mathcal{P}_t^v]_x^c = [\mathcal{P}_x^c]_t^v$.

E8.24. Show that T8.7 holds for expressions in \mathcal{L}_t from E8.18.

E8.25. Set $U = \{1\}$, $I[\mathcal{S}] = T$ for every sentence letter \mathcal{S} , $I[\mathcal{R}^1] = \{1\}$ for every \mathcal{R}^1 ; $I[\mathcal{R}^2] = \{\langle 1, 1 \rangle\}$ for every \mathcal{R}^2 ; and in general, $I[\mathcal{R}^n] = U^n$ for every \mathcal{R}^n . Where \mathcal{P} is any formula whose only operators are $\rightarrow, \wedge, \vee, \leftrightarrow, \forall$ and \exists , show by induction on the number of operators in \mathcal{P} that $I_d[\mathcal{P}] = S$. Use this result to show that $\not\models \sim \mathcal{P}$. Hint: This is a quantificational version of E8.10.

E8.26. Where the only operator in formula \mathcal{P} is \leftrightarrow , show that \mathcal{P} is valid, $\models \mathcal{P}$ iff each atomic in \mathcal{P} occurs an even number of times. For this, say formulas \mathcal{P} and \mathcal{Q} are *equivalent* just in case $I_d[\mathcal{P}] = S$ iff $I_d[\mathcal{Q}] = S$. Then the argument breaks into three parts.

(i) Show *com*: $\mathcal{A} \leftrightarrow \mathcal{B}$ is equivalent to $\mathcal{B} \leftrightarrow \mathcal{A}$; *assoc* $\mathcal{A} \leftrightarrow (\mathcal{B} \leftrightarrow \mathcal{C})$ is equivalent to $(\mathcal{A} \leftrightarrow \mathcal{B}) \leftrightarrow \mathcal{C}$; and *sub* if \mathcal{A} is equivalent to \mathcal{B} , then $\mathcal{B} \leftrightarrow \mathcal{C}$ is equivalent to $\mathcal{A} \leftrightarrow \mathcal{C}$. These are simple arguments in the style of chapter 7.

(ii) Suppose the only operator in formula \mathcal{P} is \leftrightarrow , and \mathcal{Q} and \mathcal{R} are any formulas, whose only operator is \leftrightarrow , such that the atomics of \mathcal{Q} plus the atomics of \mathcal{R} are the same as the atomics of \mathcal{P} . Where \mathcal{P} has at least one operator symbol, show by induction on the number of operator symbols in \mathcal{P} , that \mathcal{P} is equivalent to $\mathcal{Q} \leftrightarrow \mathcal{R}$. Hint: If \mathcal{P} is of the form $\mathcal{A} \leftrightarrow \mathcal{B}$, then you will be able to use the assumption to say that $\mathcal{A} \leftrightarrow \mathcal{B}$ is equivalent to some $(\mathcal{Q}_\mathcal{A} \leftrightarrow \mathcal{R}_\mathcal{A}) \leftrightarrow (\mathcal{Q}_\mathcal{B} \leftrightarrow \mathcal{R}_\mathcal{B})$ which sort the atomics of \mathcal{A} and \mathcal{B} into the atomics of \mathcal{Q} and the atomics of \mathcal{R} . Then you can use (i) to force a form $(\mathcal{Q}_\mathcal{A} \leftrightarrow \mathcal{Q}_\mathcal{B}) \leftrightarrow (\mathcal{R}_\mathcal{A} \leftrightarrow \mathcal{R}_\mathcal{B})$. But you will also have to take account of (simplified) cases where \mathcal{A} and \mathcal{B} lack atomics from \mathcal{Q} or from \mathcal{R} .

(iii) Where the only operator in formula \mathcal{P} is \leftrightarrow , show by induction on the number of operators in \mathcal{P} , that \mathcal{P} is valid, $\models \mathcal{P}$ iff each atomic in \mathcal{P} occurs an even number of times. Hints: Say an atomic which occurs an odd number of times has an “unmatched” occurrence. Then, if \mathcal{P} has k operator symbols, either (a) all of the atomics in \mathcal{P} are matched, (b) \mathcal{P} has both matched and unmatched atomics, or (c) \mathcal{P} includes only unmatched atomics. In the first

two cases, you will be able to use result (ii) with the assumption. For (c) use (ii) to get an expression of the sort $\mathcal{A} \leftrightarrow \mathcal{B}$ where atomics in \mathcal{A} are disjoint from the atomics in \mathcal{B} ; then, depending on how you have set things up, you may not even need the inductive assumption.

E8.27. Show that any sentential form \mathcal{P} whose only operators are \sim and \leftrightarrow and whose truth table has at least four rows, has an even number of Ts and Fs under its main operator. Hints: Reason by induction on the number of operators in \mathcal{P} where \mathcal{P} is (a subformula) on a table with at least four rows — so for atomics you may be sure that a table with at least four rows has an even number of Ts and Fs. The show step has cases for \sim and \leftrightarrow . The former is easy, the latter is not.

8.4 Additional Examples (for Part IV)

Our primary motivation in this section is to practice doing mathematical induction. However, a final series of examples develop some results about Q that will be particularly useful if you go on to consider [Part IV](#). As we have already mentioned (p. 311, compare E7.20), many true generalizations are not provable in Robinson Arithmetic. However, we shall be able to show that Q is generally adequate for some interesting classes of results. As you work through these results, you may find it convenient to refer to the [final chapter 8 theorems](#) reference on p. 425.

First we shall string together a series of results sufficient to show that Q correctly *decides* atomic sentences of \mathcal{L}_{NT} : where N is the standard interpretation for number theory and \mathcal{P} is a sentence $s = t$, $s \leq t$ or $s < t$, if $N[\mathcal{P}] = T$ then $Q \vdash_{ND} \mathcal{P}$, and if $N[\mathcal{P}] \neq T$ then $Q \vdash_{ND} \sim \mathcal{P}$. Observe that if \mathcal{P} is atomic and a sentence, it has no variables.

8.4.1 Case

Let \bar{n} abbreviate $\overbrace{S \dots S}^n \emptyset$. So, for example, $\bar{2}$ is $SS\emptyset$, and $\bar{0}$ is just \emptyset . We begin with some simple results for the addition and multiplication of these numerals.

T8.8. For any $a, b, c \in U$, if $a + b = c$, then $Q \vdash_{ND} \bar{a} + \bar{b} = \bar{c}$.

By induction on the value of b . Recall that by Q3, $Q \vdash_{ND} x + \emptyset = x$ and from Q4, $Q \vdash_{ND} x + Sy = S(x + y)$. In addition, we depend on the general fact that, so long as $a > 0$, $Sa - \bar{1}$ is the same numeral as \bar{a} .

Basis: Suppose $b = 0$ and $a + b = c$; then $a = c$; but by Q3, $Q \vdash_{ND} \bar{a} + \emptyset = \bar{a}$; so $Q \vdash_{ND} \bar{a} + \bar{b} = \bar{c}$.

Assp: For any i , $0 \leq i < k$ if $a + i = c$, then $Q \vdash_{ND} \bar{a} + \bar{i} = \bar{c}$.

Show: If $a + k = c$, then $Q \vdash_{ND} \bar{a} + \bar{k} = \bar{c}$.

Suppose $a + k = c$, and let $k - 1 = m$ and $c - 1 = d$. Since $k > i$, $k > 0$. So \bar{k} is the same as $S\bar{m}$, \bar{c} is the same as $S\bar{d}$, and $a + m = d$; so by assumption $Q \vdash_{ND} (\bar{a} + \bar{m}) = \bar{d}$. By Q4, $Q \vdash_{ND} (\bar{a} + S\bar{m}) = S(\bar{a} + \bar{m})$; but $S\bar{m}$ is \bar{k} so $Q \vdash_{ND} (\bar{a} + \bar{k}) = S(\bar{a} + \bar{m})$; so with =E, $Q \vdash_{ND} (\bar{a} + \bar{k}) = S\bar{d} = \bar{c}$. So $Q \vdash_{ND} \bar{a} + \bar{k} = \bar{c}$.

Indct: For any a, b and c , if $a + b = c$, then $Q \vdash_{ND} \bar{a} + \bar{b} = \bar{c}$.

There are some manipulations to get the result, but the idea is simple: From the basis, $\bar{a} + \emptyset = \bar{a}$; then given the assumption for one value of b , we use Q4 to get the next. Observe that we informally manipulate objects in the universe by expressions of the sort, ' $a + b = c$ ' — but doing so is not itself to manipulate the corresponding expression of \mathcal{L}_{NT} which would appear, ' $\bar{a} + \bar{b} = \bar{c}$ '.

***T8.9.** For any $a, b, c \in U$, if $a \times b = c$ then $Q \vdash_{ND} \bar{a} \times \bar{b} = \bar{c}$. By induction on the value of b .

Hint: Let $k - 1 = m$ and $c - a = d$. By assumption, you should be able to obtain, $Q \vdash_{ND} \bar{a} \times \bar{m} = \bar{d}$; then you will be able to apply Q6 and T8.8 for the desired result.

***E8.28.** Provide an argument to show T8.9.

8.4.2 Case

T8.10. For any $a, b \in U$, if $a \neq b$, then $Q \vdash_{ND} \bar{a} \neq \bar{b}$

Whenever $a \neq b$, there is some $d > 0$ that is the *difference* between them. We show that for any n , $Q \vdash_{ND} \bar{n} \neq \overline{d + n}$. The the case when $n = a$ and $d + n = b$ gives the desired result. Recall that according to Q1, $Q \vdash_{ND} \sim(Sx = \bar{0})$; and from Q2, $Q \vdash_{ND} (Sx = Sy) \rightarrow (x = y)$.

Suppose $a \neq b$; then $a < b$ or $b < a$; without loss of generality, suppose $a < b$; then there is some $d > 0$ such that $d + a = b$. By induction on n , we show $Q \vdash_{ND} \bar{n} \neq \overline{d + n}$; the case when $n = a$ gives $Q \vdash_{ND} \bar{a} \neq \overline{d + a}$; which is to say, $Q \vdash_{ND} \bar{a} \neq \bar{b}$.

Basis: Suppose $n = 0$. Since $d > 0$, $\bar{d} = S\bar{d} - 1$; and since $n = 0$, $\bar{d} = \overline{d + n}$.
 By Q1 with reflexivity, $Q \vdash_{ND} \emptyset \neq S\bar{d} - 1$; so $Q \vdash_{ND} \bar{n} \neq \bar{d} = \overline{d + n}$; so
 $Q \vdash_{ND} \bar{n} \neq \overline{d + n}$.

Assp: For $0 \leq i < k$, $Q \vdash_{ND} \bar{i} \neq \overline{d + i}$

Show: $Q \vdash_{ND} \bar{k} \neq \overline{d + k}$

Let $k - 1 = m$. In this case, both k and $d + k$ are > 0 ; so \bar{k} is $S\bar{m}$ and $\overline{d + k}$ is $S\overline{d + m}$; by Q2, $Q \vdash_{ND} S\bar{m} = S\overline{d + m} \rightarrow \bar{m} = \overline{d + m}$; but by assumption, $Q \vdash_{ND} \bar{m} \neq \overline{d + m}$; so by MT, $Q \vdash_{ND} S\bar{m} \neq S\overline{d + m}$; which is to say, $Q \vdash_{ND} \bar{k} \neq \overline{d + k}$.

Indct: For any n , $Q \vdash_{ND} \bar{n} \neq \overline{d + n}$.

So $Q \vdash_{ND} \bar{a} \neq \overline{d + a} = \bar{b}$. In the basis, we show that Q proves the difference d between a and b is not equal to 0. Given this, at the show, Q proves that adding one to each side results in an inequality; and similarly adding one again results in an inequality until we get the result that Q proves that $\bar{a} \neq \bar{b}$. The demonstration that $Q \vdash_{ND} \bar{a} \neq \bar{b}$ works so long as we start with d the difference between a and b .

The same basic strategy applies in a related case. But we need a preliminary theorem for one of the parts.

T8.11. $Q \vdash_{ND} Sj + \bar{n} = j + S\bar{n}$.

Hint: this is a simple induction on n . Let $k - 1 = m$. You will want the assumption in the form, $Q \vdash_{ND} Sj + \bar{m} = j + S\bar{m}$.

Now we are ready for the result like T8.10.

T8.12. (i) If $a \not\leq b$, then $Q \vdash_{ND} \bar{a} \not\leq \bar{b}$; and (ii) If $a \not\leq b$, then $Q \vdash_{ND} \bar{a} \not\leq \bar{b}$.

Recall that $s \leq t$ is $\exists v(v + s = t)$ and $s < t$ is $\exists v(Sv + s = t)$ for v not in s or t . Suppose $a \not\leq b$ then $a > b$; so, again, there is a difference d between them.

For (i) we need that if $a \not\leq b$ then $Q \vdash_{ND} \sim \exists v(v + \bar{a} = \bar{b})$. Suppose $a \not\leq b$; then $a > b$; so for $d > 0$, $a = d + b$. By induction on n , we show that for any n , $Q \vdash_{ND} j + \overline{d + n} \neq \bar{n}$; the case when $n = b$ gives $Q \vdash_{ND} j + \bar{a} \neq \bar{b}$; then by $\forall I$, $Q \vdash_{ND} \forall v(v + \bar{a} \neq \bar{b})$; and the result follows by QN.

Basis: Let $d - 1 = m$. Suppose $n = 0$; then $\overline{d + n} = \bar{d}$; since $d > 0$, $\bar{d} = S\bar{m}$.
 By Q1, $Q \vdash_{ND} S(j + \bar{m}) \neq \emptyset$; but by Q4, $Q \vdash_{ND} j + S\bar{m} = S(j + \bar{m})$;

so $Q \vdash_{ND} j + S\bar{m} \neq \emptyset$; but this is just to say, $Q \vdash_{ND} j + \bar{d} \neq \emptyset$; so $Q \vdash_{ND} j + \overline{d+n} \neq \emptyset$ and $Q \vdash_{ND} j + \overline{d+n} \neq \bar{n}$.

Assp: For $0 \leq i < k$, $Q \vdash_{ND} j + \overline{d+i} \neq \bar{i}$.

Show: $Q \vdash_{ND} j + \overline{d+k} \neq \bar{k}$.

Let $k-1 = m$. In this case, k and $d+k > 0$ so that $\bar{k} = S\bar{m}$ and $\overline{d+k} = S\overline{d+m}$. By assumption, $Q \vdash_{ND} j + \overline{d+m} \neq \bar{m}$. But by Q2, $Q \vdash_{ND} S(j + \overline{d+m}) = S\bar{m} \rightarrow j + \overline{d+m} = \bar{m}$; so by MT, $Q \vdash_{ND} S(j + \overline{d+m}) \neq S\bar{m}$; by Q4, $Q \vdash_{ND} j + S\overline{d+m} = S(j + \overline{d+m})$; so $Q \vdash_{ND} j + S\overline{d+m} \neq S\bar{m}$; but this is just to say, $Q \vdash_{ND} j + \overline{d+k} \neq \bar{k}$.

Indct: For any n , $Q \vdash_{ND} j + \overline{d+n} \neq \bar{n}$

So $Q \vdash_{ND} j + \overline{d+b} \neq \bar{b}$ which is to say $Q \vdash_{ND} j + \bar{a} \neq \bar{b}$. So by $\forall I$, $Q \vdash_{ND} \forall v(v + \bar{a} \neq \bar{b})$; and by QN, $Q \vdash_{ND} \sim \exists v(v + \bar{a} = \bar{b})$; which is to say, $Q \vdash_{ND} \bar{a} \not\leq \bar{b}$. In the basis, we show that for $d > 0$, Q proves $j + \bar{d} \neq 0$. Then, at the show, each side is incremented by one until Q proves $j + \bar{a} \neq \bar{b}$. Again, this works because we begin with d the difference between a and b .

E8.29. Provide arguments to show T8.11 and then (ii) of T8.12. Hint: For the latter, the induction is to show $Q \vdash_{ND} Sj + \overline{d+n} \neq \bar{n}$. There is a complication, however, in the basis. From $a \not\leq b$, $a = b + d$ for $d \geq 0$. So we cannot set $\bar{d} = S\bar{d} - \bar{1}$. You can solve the problem by obtaining T8.11 as a preliminary result. Then it will be easy to show $j + S\bar{d} \neq \emptyset$ and apply the preliminary theorem. For the show, since $k > 0$, the argument remains straightforward.

8.4.3 Case

Up to this stage, we have been dealing entirely with atomics whose only terms are numerals of the sort \bar{n} . We now broaden our results to include atomic sentences with arbitrary terms.

We have said a formula is *true* iff it is satisfied on every variable assignment. Let us introduce a parallel notion for terms.

AI The *assignment* of a term on an interpretation $I[t] = n$ iff with any d for I , $I_d[t] = n$.

In particular, from T8.3, if assignments d and h agree on assignments to free variables in t , then $I_d[t] = I_h[t]$; so if t is without free variables, any assignments must agree

on assignments to all the free variables in t . So it is automatic that, for a variable free term, any $l_d[t] = l_h[t] = l[t]$.

Given this, we start by establishing that Q proves the proper relation between arbitrary variable free terms and numerals.

T8.13. For any variable-free term t of \mathcal{L}_{NT} , if $N[t] = n$, then $Q \vdash_{ND} t = \bar{n}$

By induction on the number of function symbols in t .

Basis: If a variable-free term t has no function symbols, then it is the constant \emptyset . $N[\emptyset] = 0$. But by $=I$, $Q \vdash_{ND} \emptyset = \emptyset$; so $Q \vdash_{ND} t = \bar{n}$.

Assp: For any i , $0 \leq i < k$ if t has i function symbols and $N[t] = n$, then $Q \vdash_{ND} t = \bar{n}$.

Show: If t has k function symbols and $N[t] = n$, then $Q \vdash_{ND} t = \bar{n}$.

If t has k function symbols, it is of the form, Sr , $r + s$ or $r \times s$ for r, s with $< k$ function symbols.

(S) t is Sr . Suppose $N[t] = n$. Since r is variable free, $N[r] = N_d[r] = a$ for some a . Since t is variable-free, $N[t] = N_d[t] = N_d[Sr]$; by TA(f), $N_d[Sr] = N[S](a) = a + 1$; so $N[t] = a + 1$; so $a + 1 = n$. By assumption $Q \vdash_{ND} r = \bar{a}$; but $Q \vdash_{ND} Sr = S\bar{a}$; so by $=E$, $Q \vdash_{ND} Sr = S\bar{a} = \overline{a+1} = \bar{n}$; so $Q \vdash_{ND} t = \bar{n}$.

(+) t is $r + s$. Suppose $N[t] = n$. Since r and s are variable-free, $N[r] = N_d[r] = a$ and $N[s] = N_d[s] = b$ for some a and b . Since t is variable free, $N[t] = N_d[t] = N_d[r + s]$; by TA(f), $N_d[r + s] = N[+](a, b) = a + b$; so $N[t] = a + b$; so $a + b = n$. By assumption, $Q \vdash_{ND} r = \bar{a}$ and $Q \vdash_{ND} s = \bar{b}$; but by $=I$, $Q \vdash_{ND} r + s = r + s$; so by $=E$, $Q \vdash_{ND} r + s = \bar{a} + \bar{b}$; and since $a + b = n$ by T8.8, $Q \vdash_{ND} \bar{a} + \bar{b} = \bar{n}$; so $Q \vdash_{ND} r + s = \bar{n}$. So $Q \vdash_{ND} t = \bar{n}$.

(\times) Similarly by homework.

Indct: So for any variable-free term t , with $N[t] = n$, $Q \vdash_{ND} t = \bar{n}$

Our intended result, that Q correctly decides atomic sentences of \mathcal{L}_{NT} is not an argument by induction, but rather collects what we have done into a simple argument.

T8.14. Q correctly decides atomic sentences of \mathcal{L}_{NT} . For any sentence \mathcal{P} of the sort $s = t$, $s \leq t$ or $s < t$, if $N[\mathcal{P}] = T$ then $Q \vdash_{ND} \mathcal{P}$; and if $N[\mathcal{P}] \neq T$ then $Q \vdash_{ND} \sim \mathcal{P}$.

Since the atomics are sentences (and the quantified variable does not appear in the terms for the inequalities), s and t are variable free. A few selected parts are worked as examples.

(a) $N[s = t] = T$. Then by **TI**, for any d , $N_d[s = t] = S$; so by **SF(r)**, $\langle N_d[s], N_d[t] \rangle \in N[=]$; so $N_d[s] = N_d[t]$. But since s and t are variable free, for some a , $N[s] = N_d[s] = a = N_d[t] = N[t]$; so by T8.13, $Q \vdash_{ND} s = \bar{a}$ and $Q \vdash_{ND} t = \bar{a}$; but by $=I$, $Q \vdash_{ND} \bar{a} = \bar{a}$ so by $=E$, $Q \vdash_{ND} s = t$.

(b) $N[s = t] \neq T$.

(c) $N[s \leq t] = T$. Then $N[\exists v(v + s = t)] = T$; so by **TI**, for any d , $N_d[\exists v(v + s = t)] = S$; so by **SF(\exists)**, for some $m \in U$, $N_{d(v|m)}[v + s = t] = S$; but $d(v|m)[v] = m$; and by **TA(v)**, $N_{d(v|m)}[v] = m$; and since s and t are variable-free, $N_{d(v|m)}[s] = N[s] = a$ and $N_{d(v|m)}[t] = N[t] = b$ for some a and b . By **TA(f)**, $N_{d(v|m)}[v + s] = N[+](m, a) = m + a$; and by **SF(r)**, $\langle m + a, b \rangle \in N[=]$; so $m + a = b$. From the latter, by T8.8, $Q \vdash_{ND} \bar{m} + \bar{a} = \bar{b}$. So by $\exists I$, $Q \vdash_{ND} \exists v(v + \bar{a} = \bar{b})$; which is to say, $Q \vdash_{ND} \bar{a} \leq \bar{b}$. But since $N[s] = a$ and $N[t] = b$, by T8.13, $Q \vdash_{ND} s = \bar{a}$ and $Q \vdash_{ND} t = \bar{b}$; so by $=E$, $Q \vdash_{ND} s \leq t$.

(d) $N[s \leq t] \neq T$. Then $N[\exists v(v + s = t)] \neq T$; so by **TI**, for some d , $N_d[\exists v(v + s = t)] \neq S$; so by **SF(\exists)**, for any $o \in U$, $N_{d(v|o)}[v + s = t] \neq S$; let m be an arbitrary individual of this sort; then $N_{d(v|m)}[v + s = t] \neq S$. $d(v|m)[v] = m$; so by **TA(v)**, $N_{d(v|m)}[v] = m$; and since s and t are variable-free, $N_{d(v|m)}[s] = N[s] = a$ and $N_{d(v|m)}[t] = N[t] = b$ for some a and b . By **TA(f)**, $N_{d(v|m)}[v + s] = N[+](m, a) = m + a$; so that by **SF(r)**, $\langle m + a, b \rangle \notin N[=]$; so $m + a \neq b$; and since m is arbitrary, for any $o \in U$, $o + a \neq b$; so $a \not\leq b$; so by T8.12, $Q \vdash_{ND} \bar{a} \not\leq \bar{b}$. But since $N[s] = a$ and $N[t] = b$, by T8.13, $Q \vdash_{ND} s = \bar{a}$ and $Q \vdash_{ND} t = \bar{b}$; so by $=E$, $Q \vdash_{ND} s \not\leq t$.

(e) $N[s < t] = T$.

(f) $N[s < t] \neq T$.

Since we are able to correctly decide the required results at the level of numerals, and then equalities between numerals and arbitrary terms, we are able to combine the two to correctly decide arbitrary atomics.

E8.30. Complete the argument for T8.13 by completing the case for (\times) . You should set up the entire induction, but may appeal to the text for parts that are already completed, just as the text appeals to homework.

E8.31. Complete the remaining cases of T8.14 to show that Q correctly decides atomic sentences of \mathcal{L}_{NT} .

8.4.4 Case

We conclude the chapter with some more examples of mathematical induction, this time working toward important results about inequality. We begin by aiming at a result sometimes called *trichotomy*, for any n , $Q \vdash_{ND} \forall x (x < \bar{n} \vee x = \bar{n} \vee \bar{n} < x)$. Again, though, we begin with preliminaries. Recall that the *bounded* quantifiers $(\forall x < t)\mathcal{P}$, $(\exists x < t)\mathcal{P}$, $(\forall x \leq t)\mathcal{P}$, and $(\exists x \leq t)\mathcal{P}$, are abbreviations with associated derived introduction and exploitation rules (see p. 305). First, a simple argument that repeats a pattern of reasoning we shall see again.

T8.15. For any n and T , if $T \vdash_{ND} x = Sy$ and $T \vdash_{ND} y = \bar{0} \vee y = \bar{1} \vee \dots \vee y = \bar{n}$, then $T \vdash_{ND} x = S\bar{0} \vee x = S\bar{1} \vee \dots \vee x = S\bar{n}$.

The argument is by induction on the value of n . Suppose $T \vdash_{ND} x = Sy$.

Basis: $n = 0$. Suppose $T \vdash_{ND} y = \bar{0}$; we need that $T \vdash_{ND} x = S\bar{0}$. But this is immediate by $=E$.

Assp: For any i , $0 \leq i < k$, if $T \vdash_{ND} y = \bar{0} \vee y = \bar{1} \vee \dots \vee y = \bar{i}$, then $T \vdash_{ND} x = S\bar{0} \vee x = S\bar{1} \vee \dots \vee x = S\bar{i}$

Show: If $T \vdash_{ND} y = \bar{0} \vee y = \bar{1} \vee \dots \vee y = \bar{k}$, then $T \vdash_{ND} x = S\bar{0} \vee x = S\bar{1} \vee \dots \vee x = S\bar{k}$. Suppose $T \vdash_{ND} y = \bar{0} \vee y = \bar{1} \vee \dots \vee y = \bar{k}$.

1.	$x = Sy$	given from T
2.	$y = \bar{0} \vee y = \bar{1} \vee \dots \vee y = \overline{k-1} \vee y = \bar{k}$	given from T
3.	$y = \bar{0} \vee y = \bar{1} \vee \dots \vee y = \overline{k-1}$	A (g 2 \vee E)
4.	$x = S\bar{0} \vee x = S\bar{1} \vee \dots \vee x = S\overline{k-1}$	1,3 assp
5.	$x = S\bar{0} \vee x = S\bar{1} \vee \dots \vee x = S\overline{k-1} \vee x = S\bar{k}$	4 \vee I
6.	$y = \bar{k}$	A (g 2 \vee E)
7.	$x = S\bar{k}$	1,6 $=E$
8.	$x = S\bar{0} \vee x = S\bar{1} \vee \dots \vee x = S\bar{k}$	7 \vee I
9.	$x = S\bar{0} \vee x = S\bar{1} \vee \dots \vee x = S\bar{k}$	2,3-5,6-8 \vee E

So $T \vdash_{ND} x = S\bar{0} \vee x = S\bar{1} \vee \dots \vee x = S\bar{k}$.

Indct: For any n , if $T \vdash_{ND} x = Sy$ and $T \vdash_{ND} y = \bar{0} \vee y = \bar{1} \vee \dots \vee y = \bar{n}$, then $T \vdash_{ND} x = S\bar{0} \vee x = S\bar{1} \vee \dots \vee x = S\bar{n}$.

Intuitively, we can use $x = Sy$ together with an extended version of $\vee E$ on $y = \bar{0} \vee y = \bar{1} \vee \dots \vee y = \bar{n}$ to get the result. The induction works by obtaining the result for the first disjunct, and then showing that no matter how far we have gone, it is always possible to go to the next stage. This theorem is useful for the next.

T8.16. For any n , (i) $Q \vdash_{ND} (\forall x \leq \bar{n})(x = \bar{0} \vee x = \bar{1} \dots \vee x = \bar{n})$ and (ii) $Q \vdash_{ND} (\forall x < \bar{n})(\emptyset \neq \emptyset \vee x = \bar{0} \vee x = \bar{1} \dots \vee x = \overline{n-1})$.

The first disjunct $\emptyset \neq \emptyset$ in (ii) is to guarantee that the result is a well-formed sentence, even when $n = 0$. We work part (ii). By induction on n .

Basis: We need to show $(\forall x < \emptyset)(\emptyset \neq \emptyset)$. But this is easy with T6.48.

1.	$j < \emptyset$	A (g $(\forall I)$)
2.	$\emptyset = \emptyset$	A (c $\sim I$)
3.	$j \neq \emptyset$	from T6.48
4.	\perp	1,3 $\perp I$
5.	$\emptyset \neq \emptyset$	2-4 $\sim I$
6.	$(\forall x < \emptyset)(\emptyset \neq \emptyset)$	1-5 $(\forall I)$

Assp: For $0 \leq i < k$, $Q \vdash_{ND} (\forall x < \bar{i})(\emptyset \neq \emptyset \vee x = \bar{0} \vee \dots \vee x = \overline{i-1})$

Show: $Q \vdash_{ND} (\forall x < \bar{k})(\emptyset \neq \emptyset \vee x = \bar{0} \vee \dots \vee x = \overline{k-1})$. When $i = k - 1$ by assumption $Q \vdash_{ND} \emptyset \neq \emptyset \vee x = \bar{0} \vee \dots \vee x = \overline{k-1-1}$; observe that in the case when $i = 0$ ($k = 1$) this series remains defined but reduces to $\emptyset \neq \emptyset$ since it contains all the members “up” to $k - 1 - 1$ and there are not any; when $i = 1$ ($k = 2$) the series is $\emptyset \neq \emptyset \vee x = \bar{0}$; and so forth. Here are the main outlines of the derivation.

1.	$(\forall x < \overline{k-1})(\emptyset \neq \emptyset \vee x = \overline{0} \vee \dots \vee x = \overline{k-1-1})$	by assp
2.	$j < \overline{k}$	A (g, \rightarrow I)
3.	$j = \overline{0} \vee \exists y(j = Sy)$	from Q7
4.	$j = \overline{0}$	A (g 3 \vee E)
5.	$\emptyset \neq \emptyset \vee j = \overline{0} \vee \dots \vee j = \overline{k-1}$	4 \vee I
6.	$\exists y(j = Sy)$	A (g 3 \vee E)
7.	$j = Sl$	A (g 6 \exists E)
8.	$\exists v(Sv + j = \overline{k})$	2 abv
9.	$Sh + j = \overline{k}$	A (g 8 \exists E)
10.	$Sh + Sl = \overline{k}$	7,9 =E
11.	$S(Sh + l) = \overline{Sk-1}$	10 with Q4
12.	$Sh + l = \overline{k-1}$	11 with Q2
13.	$\exists v(Sv + l = \overline{k-1})$	12 \exists I
14.	$l < \overline{k-1}$	13 abv
15.	$l < \overline{k-1}$	8,9-14 \exists E
16.	$\emptyset \neq \emptyset \vee l = \overline{0} \vee \dots \vee l = \overline{k-1-1}$	1,15 (\forall E)
17.	$\emptyset \neq \emptyset \vee j = \overline{1} \vee \dots \vee j = \overline{k-1}$	7,16 with T8.15
18.	$\emptyset \neq \emptyset \vee j = \overline{0} \vee j = \overline{1} \vee \dots \vee j = \overline{k-1}$	17, \vee I
19.	$\emptyset \neq \emptyset \vee j = \overline{0} \vee j = \overline{1} \vee \dots \vee j = \overline{k-1}$	6,7-18 \exists E
20.	$\emptyset \neq \emptyset \vee j = \overline{0} \vee j = \overline{1} \vee \dots \vee j = \overline{k-1}$	3,4-5,6-19 \vee E
21.	$(\forall x < \overline{k})(\emptyset \neq \emptyset \vee x = \overline{0} \vee x = \overline{1} \vee \dots \vee x = \overline{k-1})$	2-20 (\forall I)

So $Q \vdash_{ND} (\forall x < \overline{k})(\emptyset \neq \emptyset \vee x = \overline{0} \vee x = \overline{1} \vee \dots \vee x = \overline{k-1})$.

Indct: So for any n , $Q \vdash_{ND} (\forall x < \overline{n})(\emptyset \neq \emptyset \vee x = \overline{0} \vee x = \overline{1} \vee \dots \vee x = \overline{n-1})$

From Q7, either j is zero or it is not. If j is zero, then the result is easy. If j is a successor, then (with a little work), there is an $l < \overline{k-1}$ to which we may apply the assumption; once we have done that, it is a short step to the result again.

E8.32. Complete the demonstration of T8.16 by showing part (i). Hint: You have the basis already from T6.47.

8.4.5 Case

The next theorem is a sort of mirror to T8.16, and illustrates a pattern of reasoning we have already seen in application to extended disjunctions.

T8.17. For any n , (i) $Q \vdash_{ND} \forall x[(x = \bar{0} \vee x = \bar{1} \dots \vee x = \bar{n}) \rightarrow x \leq \bar{n}]$ and (ii) $Q \vdash_{ND} \forall x[(\emptyset \neq \emptyset \vee x = \bar{0} \vee \dots \vee x = \overline{n-1}) \rightarrow x < \bar{n}]$

Again I illustrate just (ii). For any n and $a \leq n$ we show by induction on the value of a that $Q \vdash_{ND} (\emptyset \neq \emptyset \vee j = \bar{0} \vee \dots \vee j = \overline{a-1}) \rightarrow j < \bar{n}$; the case when $a = n$ gives $Q \vdash_{ND} (\emptyset \neq \emptyset \vee j = \bar{0} \vee \dots \vee j = \overline{n-1}) \rightarrow j < \bar{n}$; and the desired result follows immediately by $\forall I$. Observe that a when $a = 0$ the series reduces to $\emptyset \neq \emptyset$ as before.

Basis: $a = 0$. We need $Q \vdash_{ND} \emptyset \neq \emptyset \rightarrow j < \bar{n}$

1.	$\emptyset \neq \emptyset$	$A (1 \rightarrow I)$
2.	$j \not< \bar{n}$	$A (c \sim E)$
3.	$\emptyset = \emptyset$	$=I$
4.	\perp	$3,1 \perp I$
5.	$j < \bar{n}$	$2-4 \sim E$
6.	$\emptyset \neq \emptyset \rightarrow j < \bar{n}$	$1-5 \rightarrow I$

Assp: For any i , $0 \leq i < k \leq n$, $Q \vdash_{ND} (\emptyset \neq \emptyset \vee j = \bar{0} \vee \dots \vee j = \overline{i-1}) \rightarrow j < \bar{n}$

Show: $Q \vdash_{ND} (\emptyset \neq \emptyset \vee j = \bar{0} \vee \dots \vee j = \overline{k-1}) \rightarrow j < \bar{n}$

1.	$(\emptyset \neq \emptyset \vee j = \bar{0} \vee \dots \vee j = \overline{k-1-1}) \rightarrow j < \bar{n}$	assp
2.	$\emptyset \neq \emptyset \vee j = \emptyset \vee \dots \vee j = \overline{k-1-1} \vee j = \overline{k-1}$	$A (g \rightarrow I)$
3.	$\emptyset \neq \emptyset \vee j = \emptyset \vee \dots \vee j = \overline{k-1-1}$	$A (g \vee E)$
4.	$j < \bar{n}$	$1,3 \rightarrow E$
5.	$j = \overline{k-1}$	$A (g, 1 \vee E)$
6.	$\overline{k-1} < \bar{n}$	T8.14 ($k \leq n$)
7.	$j < \bar{n}$	$6,5 =E$
8.	$j < \bar{n}$	$2,3-4,5-7 \vee E$
9.	$(\emptyset \neq \emptyset \vee j = \bar{0} \vee \dots \vee j = \overline{k-1}) \rightarrow j < \bar{n}$	$2-8 \rightarrow I$

So $Q \vdash_{ND} (\emptyset \neq \emptyset \vee j = \bar{0} \vee \dots \vee j = \overline{k-1}) \rightarrow j < \bar{k}$.

Indct: For any n , $Q \vdash_{ND} (\emptyset \neq \emptyset \vee j = \bar{0} \vee \dots \vee j = \overline{n-1}) \rightarrow j < \bar{n}$.

So by $\forall I$, $Q \vdash_{ND} \forall x[(\emptyset \neq \emptyset \vee x = \bar{0} \vee \dots \vee x = \overline{n-1}) \rightarrow x < \bar{n}]$. The basis is easy. Once we set it up by $\vee E$, the show is easy too. Observe the use of T8.14 in the second case: since $k \leq n$, $k-1 < n$; so by T8.14, $Q \vdash_{ND} \overline{k-1} < \bar{n}$. The next theorem does not require mathematical induction at all.

T8.18. For any n , (i) $Q \vdash_{ND} \forall x[\bar{n} \leq x \rightarrow (\bar{n} = x \vee S\bar{n} \leq x)]$ and (ii) $\forall x[\bar{n} < x \rightarrow (S\bar{n} = x \vee S\bar{n} < x)]$.

Again I illustrate (ii).

1.	$\bar{n} < j$	$A (g \rightarrow I)$
2.	$\exists v(Sv + \bar{n} = j)$	1 abv
3.	$Sk + \bar{n} = j$	$A (g \ 2\exists E)$
4.	$k = \emptyset \vee \exists y(k = Sy)$	from Q7
5.	$k = \emptyset$	$A (g \ 4\vee E)$
6.	$S\emptyset + \bar{n} = j$	3,5 =E
7.	$S\emptyset + \bar{n} = S\bar{n}$	from T8.8
8.	$j = S\bar{n}$	6,7 =E
9.	$j = S\bar{n} \vee S\bar{n} < j$	8 $\vee I$
10.	$\exists y(k = Sy)$	$A (g \ 4\vee E)$
11.	$k = Sl$	$A (g \ 10\exists E)$
12.	$k + S\bar{n} = j$	from 3 with T8.11
13.	$Sl + S\bar{n} = j$	12,11 =E
14.	$\exists v(Sv + S\bar{n} = j)$	13 $\exists I$
15.	$S\bar{n} < j$	14 abv
16.	$j = S\bar{n} \vee S\bar{n} < j$	15 $\vee I$
17.	$j = S\bar{n} \vee S\bar{n} < j$	10,11-16 $\exists E$
18.	$j = S\bar{n} \vee S\bar{n} < j$	4,5-9,10-17 $\vee E$
19.	$j = S\bar{n} \vee S\bar{n} < j$	2,3-18 $\exists E$
20.	$\bar{n} < j \rightarrow (j = S\bar{n} \vee S\bar{n} < j)$	1-19 $\rightarrow I$
21.	$\forall x[\bar{n} < x \rightarrow (x = S\bar{n} \vee S\bar{n} < x)]$	20 $\forall I$

From Q7, either k is zero or it is not. If k is zero, it is a simple addition problem to show that $j = S\bar{n}$ and so obtain the desired result. If k is a successor, then $S\bar{n} < j$ and again we have the desired result.

With these theorems in hand, we are ready to obtain the result at which we have been aiming.

T8.19. For any n , (i) $Q \vdash_{ND} \forall x(x \leq \bar{n} \vee \bar{n} \leq x)$ and (ii) $Q \vdash_{ND} \forall x(x < \bar{n} \vee x = \bar{n} \vee \bar{n} < x)$.

We show (ii). By induction on n we show $Q \vdash_{ND} j < \bar{n} \vee j = \bar{n} \vee \bar{n} < j$; the result immediately follows by $\forall I$.

Basis: $n = 0$. We need to show that $Q \vdash_{ND} j < \bar{0} \vee j = \bar{0} \vee \bar{0} < j$.

1.	$j = \bar{0} \vee \exists y(j = Sy)$	from Q7
2.	$j = \bar{0}$	A (g 1 \vee E)
3.	$j = \bar{0} \vee \bar{0} < j$	2 \vee I
4.	$\exists y(j = Sy)$	A (g 1 \vee E)
5.	$j = Sk$	A (g 4 \exists E)
6.	$Sk + \bar{0} = Sk$	from Q3
7.	$Sk + \bar{0} = j$	6,5 =E
8.	$\exists v(Sv + \bar{0} = j)$	7 \exists I
9.	$\bar{0} < j$	8 abv
10.	$j = \bar{0} \vee \bar{0} < j$	9 \vee I
11.	$j = \bar{0} \vee \bar{0} < j$	4,5-10 \exists E
12.	$j = \bar{0} \vee \bar{0} < j$	1,2-3,4-11 \vee E
13.	$j < \bar{0} \vee j = \bar{0} \vee \bar{0} < j$	12 \vee I

Assp: For any $i, 0 \leq i < k, Q \vdash_{ND} j < \bar{i} \vee j = \bar{i} \vee \bar{i} < j$

Show: $Q \vdash_{ND} j < \bar{k} \vee j = \bar{k} \vee \bar{k} < j$

1.	$j < \overline{k-1} \vee j = \overline{k-1} \vee \overline{k-1} < j$	by assumption
2.	$j < \overline{k-1}$	A (g 1 \vee E)
3.	$\emptyset \neq \emptyset \vee j = \bar{0} \vee \dots \vee j = \overline{k-1-1}$	from 2 with T8.16
4.	$\emptyset \neq \emptyset \vee j = \bar{0} \vee \dots \vee j = \overline{k-1-1} \vee j = \overline{k-1}$	3 \vee I
5.	$j < \bar{k}$	from 4 with T8.17
6.	$j < \bar{k} \vee j = \bar{k} \vee \bar{k} < j$	5 \vee I
7.	$j = \overline{k-1}$	A (g 1 \vee E)
8.	$\overline{k-1} < \bar{k}$	T8.14 ($k-1 < k$)
9.	$j < \bar{k}$	8,7 =E
10.	$j < \bar{k} \vee j = \bar{k} \vee \bar{k} < j$	9 \vee I
11.	$\overline{k-1} < j$	A (g 1 \vee E)
12.	$j = \bar{k} \vee \bar{k} < j$	from 11 with T8.18
13.	$j < \bar{k} \vee j = \bar{k} \vee \bar{k} < j$	12 \vee I
14.	$j < \bar{k} \vee j = \bar{k} \vee \bar{k} < j$	1, etc. \vee E

So $Q \vdash_{ND} j < \bar{k} \vee j = \bar{k} \vee \bar{k} < j$.

Indct: For any $n, Q \vdash_{ND} j < \bar{n} \vee j = \bar{n} \vee \bar{n} < j$; and the desired result follows by \forall I.

Note the use of theorems T8.16, T8.17 and T8.18. In the first case of the show we convert from one inequality to another by switching to an extended disjunction,

adding a disjunct and then converting back to the second inequality. Also again you should be clear about how the extended disjunctions work. If $k - 1 = 0$, then the disjunction at (3) reduces to $\emptyset \neq \emptyset$ and the one at (4) to $\emptyset \neq \emptyset \vee j = \bar{0}$. But this is just why we have been sure that there *is* some formula in these cases, so that the argument continues to work.

E8.33. Complete the demonstration of T8.19 by showing part (i) of T8.17, T8.18 and then T8.19.

8.4.6 Case

Finally, three theorems to round out results about inequality.

T8.20. For any n and formula $\mathcal{P}(x)$, (i) if $Q \vdash_{ND} \mathcal{P}(\bar{0})$ or $Q \vdash_{ND} \mathcal{P}(\bar{1})$ or ... or $Q \vdash_{ND} \mathcal{P}(\bar{n})$ then $Q \vdash_{ND} (\exists x \leq \bar{n})\mathcal{P}(x)$, and (ii) if $0 \neq 0$ or $Q \vdash_{ND} \mathcal{P}(\bar{0})$ or ... or $Q \vdash_{ND} \mathcal{P}(\overline{n-1})$ then $Q \vdash_{ND} (\exists x < \bar{n})\mathcal{P}(x)$.

In the second case, again, we include the first disjunct to keep the conditional defined in the case when $n = 0$; then the conditional obtains because the antecedent does not. This theorem is nearly trivial. (i) For some $m \leq n$ suppose $\mathcal{P}(\bar{m})$; by T8.14, $Q \vdash_{ND} \bar{m} \leq \bar{n}$; so by $(\exists I)$, $Q \vdash_{ND} (\exists x \leq \bar{n})\mathcal{P}(x)$. Similarly for (ii).

If \mathcal{P} is true of some individual $\leq n$ or $< n$ then it is immediate that the corresponding bounded existential generalization is true.

*T8.21. For any n and formula $\mathcal{P}(x)$, (i) if $Q \vdash_{ND} \mathcal{P}(\bar{0})$ and $Q \vdash_{ND} \mathcal{P}(\bar{1})$ and ... and $Q \vdash_{ND} \mathcal{P}(\bar{n})$ then $Q \vdash_{ND} (\forall x \leq \bar{n})\mathcal{P}(x)$, and (ii) if $0 = 0$ and $Q \vdash_{ND} \mathcal{P}(\bar{0})$ and ... and $Q \vdash_{ND} \mathcal{P}(\overline{n-1})$ then $Q \vdash_{ND} (\forall x < \bar{n})\mathcal{P}(x)$.

This time, in the second case we include a trivial truth in order to keep the conditional defined when $n = 0$; when $n = 0$, then the antecedent is trivially true, but the consequent follows from nothing. The argument is by induction on the value of n .

If Q proves \mathcal{P} for each individual $\leq \bar{n}$ or $< \bar{n}$ then Q proves the corresponding bounded universal generalization.

*T8.22. For any n , (i) $Q \vdash_{ND} \forall x[x \leq \bar{n} \leftrightarrow (x < \bar{n} \vee x = \bar{n})]$, and (ii) $Q \vdash_{ND} \forall x[x < \bar{n} \leftrightarrow (x \leq \bar{n} \wedge x \neq \bar{n})]$

Hint: You will be able to move between the long disjunctions on the one hand, and inequalities of the different types on the other. Part (i) does not require induction. For (ii), it will be helpful to begin by showing, by induction on a , that for any $a \leq n$, $Q \vdash_{ND} j < \bar{a} \rightarrow j \neq \bar{n}$ — the case when $a = n$ gives $Q \vdash_{ND} j < \bar{n} \rightarrow j \neq \bar{n}$.

In the obvious way, we are able to express $s \leq t$ in terms of $s < t$ and similarly, $s < t$ in terms of $s \leq t$.

*E8.34. Provide derivations to show both parts of T8.21.

*E8.35. Provide derivations to show both parts of T8.22.

E8.36. After a few days studying mathematical logic, Zeno hits upon what he thinks is conclusive proof that all is one. He argues, by mathematical induction that all the members of any n -tuple are identical. From this, he considers the n -tuple consisting of you and Mount Rushmore, and concludes that you are identical; similarly for you and G.W. Bush, and so forth. What is the matter with Zeno's reasoning? Hint: Is the reasoning at the show stage truly arbitrary? does it apply to any k ?

Basis: If A is a 1-tuple, then it is of the sort $\langle o \rangle$, and every member of $\langle o \rangle$ is identical. So every member of A is identical.

Assp: For any i , $1 \leq i < k$, all the members of any i -tuple are identical.

Show: All the members of any k -tuple are identical.

If A is a k -tuple, then it is of the form $\langle o_1 \dots o_{k-2}, o_{k-1}, o_k \rangle$. But both $\langle o_1 \dots o_{k-2}, o_{k-1} \rangle$ and $\langle o_1 \dots o_{k-2}, o_k \rangle$ are $k - 1$ tuples; so by the inductive assumption, all their members are identical; but these have o_1 in common and together include all the members of A ; so all the members of A are identical to o_1 and so to one another.

Indct: All the members of any A are identical.

E8.37. For each of the following concepts, explain in an essay of about two pages, so that Hannah could understand. In your essay, you should (i) identify the objects to which the concept applies, (ii) give and explain the definition, and give and explicate examples (iii) where the concept applies, and (iv) where

it does not. Your essay should exhibit an understanding of methods from the text.

- a. The use of the inductive assumption in an argument from mathematical induction.
- b. The reason mathematical induction works as a deductive argument form.

Final Theorems of Chapter 8

T8.8 For any $a, b, c \in U$, if $a + b = c$, then $Q \vdash_{ND} \bar{a} + \bar{b} = \bar{c}$.

T8.9 For any $a, b, c \in U$, if $a \times b = c$ then $Q \vdash_{ND} \bar{a} \times \bar{b} = \bar{c}$.

T8.10 For any $a, b \in U$, if $a \neq b$, then $Q \vdash_{ND} \bar{a} \neq \bar{b}$

T8.11 $Q \vdash_{ND} Sj + \bar{n} = j + S\bar{n}$.

T8.12 (i) If $a \not\leq b$, then $Q \vdash_{ND} \bar{a} \not\leq \bar{b}$; and (ii) If $a \not< b$, then $Q \vdash_{ND} \bar{a} \not< \bar{b}$.

T8.13 For any variable-free term t of \mathcal{L}_{NT} , if $N[t] = n$, then $Q \vdash_{ND} t = \bar{n}$

T8.14 Q correctly decides atomic sentences of \mathcal{L}_{NT} . For any sentence \mathcal{P} of the sort $s = t$, $s \leq t$ or $s < t$, if $N[\mathcal{P}] = T$ then $Q \vdash_{ND} \mathcal{P}$; and if $N[\mathcal{P}] \neq T$ then $Q \vdash_{ND} \sim \mathcal{P}$.

T8.15 For any n and T , if $T \vdash_{ND} x = Sy$ and $T \vdash_{ND} y = \bar{0} \vee y = \bar{1} \vee \dots \vee y = \bar{n}$, then $T \vdash_{ND} x = S\bar{0} \vee x = S\bar{1} \vee \dots \vee x = S\bar{n}$

T8.16 For any n , (i) $Q \vdash_{ND} (\forall x \leq \bar{n})(x = \bar{0} \vee x = \bar{1} \vee \dots \vee x = \bar{n})$ and (ii) $Q \vdash_{ND} (\forall x < \bar{n})(\emptyset \neq \emptyset \vee x = \bar{0} \vee x = \bar{1} \vee \dots \vee x = \bar{n-1})$

T8.17 For any n , (i) $Q \vdash_{ND} \forall x([x = \bar{0} \vee x = \bar{1} \vee \dots \vee x = \bar{n}] \rightarrow x \leq \bar{n})$ and (ii) $Q \vdash_{ND} \forall x([\emptyset \neq \emptyset \vee x = \bar{0} \vee \dots \vee x = \bar{n-1}] \rightarrow x < \bar{n})$

T8.18 For any n , (i) $Q \vdash_{ND} \forall x[\bar{n} \leq x \rightarrow (\bar{n} = x \vee S\bar{n} \leq x)]$ and (ii) $\forall x[\bar{n} < x \rightarrow (S\bar{n} = x \vee S\bar{n} < x)]$

T8.19 For any n , (i) $Q \vdash_{ND} \forall x(x \leq \bar{n} \vee \bar{n} \leq x)$ and (ii) $Q \vdash_{ND} \forall x(x < \bar{n} \vee x = \bar{n} \vee \bar{n} < x)$

T8.20 For any n and formula $\mathcal{P}(x)$, (i) if $Q \vdash_{ND} \mathcal{P}(\bar{0})$ or $Q \vdash_{ND} \mathcal{P}(\bar{1})$ or ... or $Q \vdash_{ND} \mathcal{P}(\bar{n})$ then $Q \vdash_{ND} (\exists x \leq \bar{n})\mathcal{P}(x)$, and (ii) if $0 \neq 0$ or $Q \vdash_{ND} \mathcal{P}(\bar{0})$ or ... or $Q \vdash_{ND} \mathcal{P}(\bar{n-1})$ then $Q \vdash_{ND} (\exists x < \bar{n})\mathcal{P}(x)$.

T8.21 For any n and formula $\mathcal{P}(x)$, (i) if $Q \vdash_{ND} \mathcal{P}(\bar{0})$ and $Q \vdash_{ND} \mathcal{P}(\bar{1})$ and ... and $Q \vdash_{ND} \mathcal{P}(\bar{n})$ then $Q \vdash_{ND} (\forall x \leq \bar{n})\mathcal{P}(x)$, and (ii) if $0 = 0$ and $Q \vdash_{ND} \mathcal{P}(\bar{0})$ and ... and $Q \vdash_{ND} \mathcal{P}(\bar{n-1})$ then $Q \vdash_{ND} (\forall x < \bar{n})\mathcal{P}(x)$.

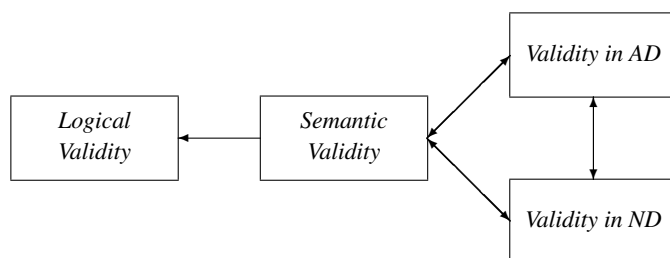
T8.22 For any n , (i) $Q \vdash_{ND} \forall x[x \leq \bar{n} \leftrightarrow (x < \bar{n} \vee x = \bar{n})]$, and (ii) $Q \vdash_{ND} \forall x[x < \bar{n} \leftrightarrow (x \leq \bar{n} \wedge x \neq \bar{n})]$

Part III

Classical Metalogic: Soundness and Adequacy

Introductory

In [Part I](#) we introduced four notions of validity. In this part, we set out to show that they are interrelated as follows.



An argument is semantically valid iff it is valid in the derivation systems. So the three formal notions apply to exactly the same arguments. And if an argument is semantically valid, then it is logically valid. So any of the formal notions imply logical validity for a corresponding ordinary argument.

More carefully, in [Part I](#), we introduced four main notions of validity. There are logical validity from [chapter 1](#), semantic validity from [chapter 4](#), and syntactic validity in the derivation systems *AD*, from [chapter 3](#) and *ND* from [chapter 6](#). We turn in this part to the task of thinking *about* these notions, and especially about how they are related. The primary result is that $\Gamma \models \mathcal{P}$ iff $\Gamma \vdash_{AD} \mathcal{P}$ iff $\Gamma \vdash_{ND} \mathcal{P}$ (iff $\Gamma \vdash_{ND+} \mathcal{P}$). Thus our different formal notions of validity are met by just the same arguments, and the derivation systems — themselves defined in terms of *form* are “faithful” to the semantic notion: what is derivable is neither more nor less than what is semantically valid. And this is just right: If what is derivable were more than what is semantically valid, derivations could lead us from true premises to false conclusions; if it were less, not all semantically valid arguments could be identified as such by derivations. That the derivable is no *more* than what is semantically valid, is known as *soundness* of a derivation system; that it is no *less* is *adequacy*. In addition,

we show that if an argument is semantically valid, then a corresponding ordinary argument is *logically valid*. Given the equivalence between the formal notions of validity, it follows that if an argument is valid in any of the formal senses, then it is logically valid. This connects the formal machinery to the notion of validity with which we began.²

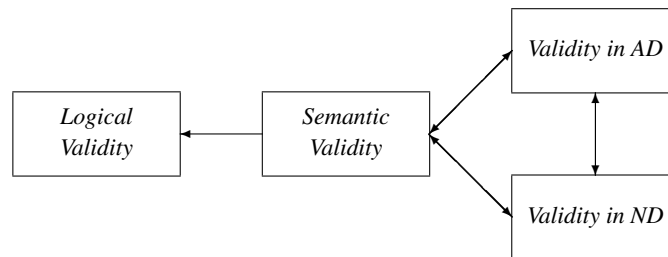
We begin in [chapter 9](#) showing that just the same arguments are valid in the derivation systems *ND* and *AD*. This puts us in a position to demonstrate in [chapter 10](#) the core result that the derivation systems are both sound and adequate. Chapter [chapter 11](#) fills out this core picture in different directions.

²*Adequacy* is commonly described as *completeness*. However, this only invites confusion with theory completeness as described in [Part IV](#).

Chapter 9

Preliminary Results

We have said that the aim of this part is to establish the following relations: An argument is semantically valid iff it is valid in *AD*; iff it is valid in *ND*; and if an argument is semantically valid, then it is logically valid.



In this chapter, we begin to develop these relations, taking up some of the simpler cases. We consider the leftmost horizontal arrow, and the rightmost vertical ones. Thus we show that quantificational (semantic) validity implies logical validity, that validity in *AD* implies validity in *ND*, and that validity in *ND* implies validity in *AD* (and similarly for *ND+*). Implications between semantic validity and the syntactical notions will wait for [chapter 10](#).

9.1 Semantic Validity Implies Logical Validity

Logical validity is defined for arguments in ordinary language. From [LV](#), an argument is logically valid iff there is no consistent *story* in which all the premises are true and the conclusion is false. Quantificational validity is defined for arguments in

a formal language. From **QV**, an argument is quantificationally valid iff there is no *interpretation* on which all the premises are true and the conclusion is not. So our task is to show how facts about formal expressions and interpretations connect with ordinary expressions and stories. In particular, where $\mathcal{P}_1 \dots \mathcal{P}_n / \mathcal{Q}$ is an ordinary-language argument, and $\mathcal{P}'_1 \dots \mathcal{P}'_n, \mathcal{Q}'$ are the formulas of a good translation, we show that if $\mathcal{P}'_1 \dots \mathcal{P}'_n \models \mathcal{Q}'_1$, then the ordinary argument $\mathcal{P}_1 \dots \mathcal{P}_n / \mathcal{Q}$ is logically valid. The reasoning itself is straightforward. We will spend a bit more time discussing the result.

Recall our criterion of goodness for translation **CG** from chapter 5 (p. 141). When we identify an interpretation function \mathbb{I} (sentential or quantificational), we thereby identify an *intended interpretation* \mathbb{I}_ω corresponding to any way ω that the world can be. For example, corresponding to the interpretation function,

\mathbb{I} B : Bill is happy
 H : Hill is happy

$\mathbb{I}_\omega[B] = \text{T}$ just in case Bill is happy at ω , and similarly for H . Given this, a formal translation \mathcal{A}' of some ordinary \mathcal{A} is *good* only if at any ω , $\mathbb{I}_\omega[\mathcal{A}']$ has the same truth value as \mathcal{A} at ω . Given this, we can show,

T9.1. For any ordinary argument $\mathcal{P}_1 \dots \mathcal{P}_n / \mathcal{Q}$, with good translation consisting of \mathbb{I} and $\mathcal{P}'_1 \dots \mathcal{P}'_n, \mathcal{Q}'$, if $\mathcal{P}'_1 \dots \mathcal{P}'_n \models \mathcal{Q}'$, then $\mathcal{P}_1 \dots \mathcal{P}_n / \mathcal{Q}$ is logically valid.

Suppose $\mathcal{P}'_1 \dots \mathcal{P}'_n \models \mathcal{Q}'$ but $\mathcal{P}_1 \dots \mathcal{P}_n / \mathcal{Q}$ is not logically valid. From the latter, by **LV**, there is some consistent story where each of $\mathcal{P}_1 \dots \mathcal{P}_n$ is true but \mathcal{Q} is false. Since $\mathcal{P}_1 \dots \mathcal{P}_n$ are true at ω , by **CG**, $\mathbb{I}_\omega[\mathcal{P}'_1] = \text{T}$, and \dots and $\mathbb{I}_\omega[\mathcal{P}'_n] = \text{T}$. And since ω is consistent with \mathcal{Q} false at ω , \mathcal{Q} is not both true and false at ω ; so \mathcal{Q} is not true at ω ; so by **CG**, $\mathbb{I}_\omega[\mathcal{Q}'] \neq \text{T}$. So there is an \mathbb{I} that makes each of $\mathbb{I}[\mathcal{P}'_1] = \text{T}$, and \dots and $\mathbb{I}[\mathcal{P}'_n] = \text{T}$ and $\mathbb{I}[\mathcal{Q}'] \neq \text{T}$; so by **QV**, $\mathcal{P}'_1 \dots \mathcal{P}'_n \not\models \mathcal{Q}'$. This is impossible; reject the assumption: if $\mathcal{P}'_1 \dots \mathcal{P}'_n \models \mathcal{Q}'$ then $\mathcal{P}_1 \dots \mathcal{P}_n / \mathcal{Q}$ is logically valid.

It is that easy. If there is no interpretation where $\mathcal{P}'_1 \dots \mathcal{P}'_n$ are true but \mathcal{Q}' is not, then there is no *intended* interpretation where $\mathcal{P}'_1 \dots \mathcal{P}'_n$ are true but \mathcal{Q}' is not; so, by **CG**, there is no consistent story where the premises are true and the conclusion is not; so $\mathcal{P}_1 \dots \mathcal{P}_n / \mathcal{Q}$ is logically valid. So if $\mathcal{P}'_1 \dots \mathcal{P}'_n \models \mathcal{Q}'$ then $\mathcal{P}_1 \dots \mathcal{P}_n / \mathcal{Q}$ is logically valid.

Let us make a couple of observations: First, **CG** is stronger than is actually required for our application of semantic to logical validity. **CG** requires a biconditional for good translation.

$$\omega \quad \rightleftharpoons \quad \models_{\omega}$$

\mathcal{A} is true at ω iff $\models_{\omega}[\mathcal{A}] = \text{T}$. But our reasoning applies to premises just the left-to-right portion of this condition: if \mathcal{P} is true at ω then $\models_{\omega}[\mathcal{P}] = \text{T}$. And for the conclusion, the reasoning goes in the opposite direction: if $\models_{\omega}[\mathcal{Q}] = \text{T}$ then \mathcal{Q} is true at ω (so that if the consequent fails at ω , then the antecedent fails at \models_{ω}). The biconditional from CG guarantees both. But, strictly, for premises, all we need is that truth of an ordinary expression at a story guarantees truth for the corresponding formal one at the intended interpretation. And for a conclusion, all we need is that truth of the formal expression on the intended interpretation guarantees truth of the corresponding ordinary expression at the story.

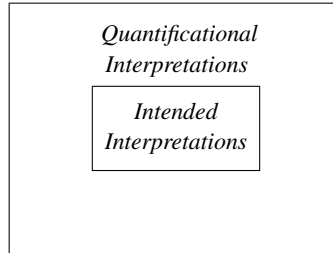
Thus we might use our methods to identify logical validity even where translations are less than completely good. Consider, for example, the following argument.

- (A) $\frac{\text{Bob took a shower and got dressed}}{\text{Bob took a shower}}$

As discussed in chapter 5 (p. 160), where \models gives S the same value as “Bob took a shower” and D the same as “Bob got dressed,” we might agree that there are cases where $\models_{\omega}[S \wedge D] = \text{T}$ but “Bob took a shower and got dressed” is false. So we might agree that the right-to-left conditional is false, and the translation is not good.

However, even if this is so, given our interpretation function, there is no situation where “Bob took a shower and got dressed” is true but $S \wedge D$ is F at the corresponding intended interpretation. So the left-to-right conditional is sustained. So, even if the translation is not good by CG, it remains possible to use our methods to demonstrate logical validity. Since it remains that if the ordinary premise is true at a story, then the formal expression is true at the corresponding intended interpretation, semantic validity implies logical validity. A similar point applies to conclusions. Of course, we already knew that this argument is logically valid. But the point applies to more complex arguments as well.

Second, observe that our reasoning does not work in reverse. It might be that $\mathcal{P}_1 \dots \mathcal{P}_n / \mathcal{Q}$ is logically valid, even though $\mathcal{P}'_1 \dots \mathcal{P}'_n \not\models \mathcal{Q}'$. Finding a quantificational interpretation where $\mathcal{P}'_1 \dots \mathcal{P}'_n$ are true and \mathcal{Q}' is not shows that $\mathcal{P}'_1 \dots \mathcal{P}'_n \not\models \mathcal{Q}'$. However it does not show that $\mathcal{P}_1 \dots \mathcal{P}_n / \mathcal{Q}$ is not logically valid. Here is why: There may be quantificational interpretations which do not correspond to any consistent story. The situation is like this:



Intended interpretations correspond to stories. If no interpretation whatsoever has the premises true and the conclusion not, then no intended interpretation has the premises true and conclusion not, so no consistent story makes the premises true and the conclusion not. But it may be that some (unintended) interpretation makes the premises true and conclusion false, even though no intended interpretation is that way. Thus, if we were to attempt to run the above reasoning in reverse, a move from the assumption that $\mathcal{P}'_1 \dots \mathcal{P}'_n \not\models \mathcal{Q}'$, to the conclusion that there is a consistent story where $\mathcal{P}_1 \dots \mathcal{P}_n$ are true but \mathcal{Q} is not, would fail.

It is easy to see why there might be unintended interpretations. Consider, first, this standard argument.

- All humans are mortal
- (B) $\frac{\text{Socrates is human}}{\text{Socrates is mortal}}$

It is logically valid. But consider what happens when we translate into a *sentential* language. We might try an interpretation function as follows.

A: All humans are mortal

H: Socrates is human

M: Socrates is mortal

with translation, *A*, *H*/*M*. But, of course, there is a row of the truth table on which *A* and *H* are T and *M* is F. So the argument is not sententially valid. This interpretation is unintended in the sense that it corresponds to no consistent story whatsoever. Sentential languages are sufficient to identify validity when validity results from truth functional structure; but this argument is not valid because of truth functional structure.

We are in a position to expose its validity only in the quantificational case. Thus we might have,

s : Socrates

H^1 : $\{o \mid o \text{ is human}\}$

M^1 : $\{o \mid o \text{ is mortal}\}$

with translation $\forall x(Hx \rightarrow Mx)$, Hs/Ms . The argument is quantificationally valid. And, as above, it follows that the ordinary one is logically valid.

But related problems may arise even for quantificational languages. Thus, consider,

(C) $\frac{\text{Socrates is necessarily human}}{\text{Socrates is human}}$

Again, the argument is logically valid. But now we end up with something like an additional relation symbol N^1 for $\{o \mid o \text{ is necessarily human}\}$, and translation Ns/Hs . And this is not quantificationally valid. Consider, for example, an interpretation with $U = \{1\}$, $I[s] = 1$, $I[N] = \{1\}$, and $I[H] = \{\}$. Then the premise is true, but the conclusion is not. Again, the interpretation corresponds to no consistent story. And, again, the argument includes structure that our quantificational language fails to capture. As it turns out, *modal* logic is precisely an attempt to work with structure introduced by notions of possibility and necessity. Where ‘ \Box ’ represents necessity, this argument, with translation $\Box Hs/Hs$ is valid on standard modal systems.

The upshot of this discussion is that our methods are adequate when they work to identify validity. When an argument is semantically valid, we can be sure that it is logically valid. But we are not in a position to identify all the arguments that are logically valid. Thus quantificational invalidity does not imply logical invalidity. We should not be discouraged by this or somehow put off the logical project. Rather, we have a rationale for *expanding* the logical project! In [Part I](#), we set up formal logic as a “tool” or “machine” to identify logical validity. Beginning with the notion of logical validity, we introduce our formal languages, learn to translate into them, and to manipulate arguments by semantical and syntactical methods. The sentential notions have some utility. But when it turns out that sentential languages miss important structure, we expand the language to include quantificational structure, developing the semantical and syntactical methods to match. And similarly, if our quantificational languages should turn out to miss important structure, we expand the language to capture that structure, and further develop the semantical and syntactical methods. As it happens, the classical quantificational logic we have so far seen is sufficient to identify validity in a wide variety of contexts — and, in particular, for arguments in

mathematics. Also, controversy may be introduced as one expands beyond the classical quantificational level. So the logical project is a live one. But let us return to the kinds of validity we have already seen.

- E9.1. (i) Recast the above reasoning to show directly a corollary to T9.1: If $\models \mathcal{Q}'$, then \mathcal{Q} is necessarily true (that is, true in any consistent story). (ii) Suppose $\not\models \mathcal{Q}'$; does it follow that \mathcal{Q} is not necessary (that is, not true in some consistent story)? Explain.

9.2 Validity in AD Implies Validity in ND

It is easy to see that if $\Gamma \vdash_{AD} \mathcal{P}$, then $\Gamma \vdash_{ND} \mathcal{P}$. Roughly, anything we can accomplish in AD , we can accomplish in ND as well. If a premise appears in an AD derivation, that same premise can be used in ND . If an axiom appears in an AD derivation, that axiom can be derived in ND . And if a line is justified by MP or Gen in AD , that same line may be justified by rules of ND . So anything that can be derived in AD can be derived in ND . Officially, this reasoning is by induction on the line numbers of an AD derivation, and it is appropriate to work out the details more formally. The argument by mathematical induction is longer than anything we have seen so far, but the reasoning is straightforward.

- T9.2. If $\Gamma \vdash_{AD} \mathcal{P}$, then $\Gamma \vdash_{ND} \mathcal{P}$.

Suppose $\Gamma \vdash_{AD} \mathcal{P}$. Then there is an AD derivation $A = \langle \mathcal{Q}_1 \dots \mathcal{Q}_n \rangle$ of \mathcal{P} from premises in Γ , with $\mathcal{Q}_n = \mathcal{P}$. We show that there is a corresponding ND derivation N , such that if \mathcal{Q}_i appears on line i of A , then \mathcal{Q}_i appears, under the scope of the premises alone, on the line numbered ' i ' of N . It follows that $\Gamma \vdash_{ND} \mathcal{P}$. For any *premises* $\mathcal{Q}_a, \mathcal{Q}_b, \dots \mathcal{Q}_j$ in A , let N begin,

0.a	\mathcal{Q}_a	P
0.b	\mathcal{Q}_b	P
\vdots		
0.j	\mathcal{Q}_j	P

Now we reason by induction on the line numbers in A . The general plan is to *construct* a derivation N which accomplishes just what is accomplished in A . Fractional line numbers, as above, maintain the parallel between the two derivations.

Basis: \mathcal{Q}_1 in A is a premise or an instance of A1, A2, A3, A4, A5, A6, A7 or A8.

(prem) If \mathcal{Q}_1 is a premise \mathcal{Q}_i , continue N as follows,

0.a	\mathcal{Q}_a	P
0.b	\mathcal{Q}_b	P
\vdots		
0.j	\mathcal{Q}_j	P
1	\mathcal{Q}_i	0.i R

So \mathcal{Q}_1 appears, under the scope of the premises alone, on the line numbered '1' of N .

(A1) If \mathcal{Q}_1 is an instance of A1, then it is of the form, $\mathcal{B} \rightarrow (\mathcal{C} \rightarrow \mathcal{B})$, and we continue N as follows,

0.a	\mathcal{Q}_a	P
0.b	\mathcal{Q}_b	P
\vdots		
0.j	\mathcal{Q}_j	P
1.1	\mathcal{B}	A (g, \rightarrow I)
1.2	\mathcal{C}	A (g, \rightarrow I)
1.3	\mathcal{B}	1.1 R
1.4	$\mathcal{C} \rightarrow \mathcal{B}$	1.2-1.3 \rightarrow I
1	$\mathcal{B} \rightarrow (\mathcal{C} \rightarrow \mathcal{B})$	1.1-1.4 \rightarrow I

So \mathcal{Q}_1 appears, under the scope of the premises alone, on the line numbered '1' of N .

(A2) If \mathcal{Q}_1 is an instance of A2, then it is of the form, $(\mathcal{B} \rightarrow (\mathcal{C} \rightarrow \mathcal{D})) \rightarrow ((\mathcal{B} \rightarrow \mathcal{C}) \rightarrow (\mathcal{B} \rightarrow \mathcal{D}))$ and we continue N as follows,

0.a	\mathcal{Q}_a	P
0.b	\mathcal{Q}_b	P
\vdots		
0.j	\mathcal{Q}_j	P
1.1	$\mathcal{B} \rightarrow (\mathcal{C} \rightarrow \mathcal{D})$	A (g, \rightarrow I)
1.2	$\mathcal{B} \rightarrow \mathcal{C}$	A (g, \rightarrow I)
1.3	\mathcal{B}	A (g, \rightarrow I)
1.4	\mathcal{C}	1.2, 1.3 \rightarrow E
1.5	$\mathcal{C} \rightarrow \mathcal{D}$	1.1, 1.3 \rightarrow E
1.6	\mathcal{D}	1.5, 1.4 \rightarrow E
1.7	$\mathcal{B} \rightarrow \mathcal{D}$	1.3-1.6 \rightarrow I
1.8	$(\mathcal{B} \rightarrow \mathcal{C}) \rightarrow (\mathcal{B} \rightarrow \mathcal{D})$	1.2-1.7 \rightarrow I
1	$(\mathcal{B} \rightarrow (\mathcal{C} \rightarrow \mathcal{D})) \rightarrow ((\mathcal{B} \rightarrow \mathcal{C}) \rightarrow (\mathcal{B} \rightarrow \mathcal{D}))$	1.1-1.8 \rightarrow I

So \mathcal{Q}_1 appears, under the scope of the premises alone, on the line numbered ‘1’ of N .

(A3) Homework.

(A4) If \mathcal{Q}_1 is an instance of A4, then it is of the form $\forall x \mathcal{B} \rightarrow \mathcal{B}_t^x$ for some variable x and term t that is free for x in \mathcal{B} , and we continue N as follows,

0.a	\mathcal{Q}_a	P
0.b	\mathcal{Q}_b	P
\vdots		
0.j	\mathcal{Q}_j	P
1.1	$\forall x \mathcal{B}$	A (g, \rightarrow I)
1.2	\mathcal{B}_t^x	1.1 \forall E
1	$\forall x \mathcal{B} \rightarrow \mathcal{B}_t^x$	1.1-1.2 \rightarrow I

Since we are given that t is free for x in \mathcal{B} , the parallel requirement on \forall E is met at line 1.2. So \mathcal{Q}_1 appears, under the scope of the premises alone, on the line numbered ‘1’ of N .

(A5) Homework.

(A6) Homework.

(A7) If \mathcal{Q}_1 is an instance of A7, then it is of the form $(x_i = y) \rightarrow (\mathcal{h}^n x_1 \dots x_i \dots x_n = \mathcal{h}^n x_1 \dots y \dots x_n)$ for some variables $x_1 \dots x_n$ and y and function symbol \mathcal{h}^n ; and we continue N as follows,

0.a	\mathcal{Q}_a	P
0.b	\mathcal{Q}_b	P
\vdots		
0.j	\mathcal{Q}_j	P
1.1	$x_i = y$	A (g, \rightarrow I)
1.2	$\hbar^n x_1 \dots x_i \dots x_n = \hbar^n x_1 \dots x_i \dots x_n$	=I
1.3	$\hbar^n x_1 \dots x_i \dots x_n = \hbar^n x_1 \dots y \dots x_n$	1.2, 1.1 =E
1	$(x_i = y) \rightarrow (\hbar^n x_1 \dots x_i \dots x_n = \hbar^n x_1 \dots y \dots x_n)$	1.1-1.3 \rightarrow I

So \mathcal{Q}_1 appears, under the scope of the premises alone, on the line numbered '1' of N .

(A8) Homework.

Assp: For any i , $1 \leq i < k$, if \mathcal{Q}_i appears on line i of A , then \mathcal{Q}_i appears, under the scope of the premises alone, on the line numbered ' i ' of N .

Show: If \mathcal{Q}_k appears on line k of A , then \mathcal{Q}_k appears, under the scope of the premises alone, on the line numbered ' k ' of N .

\mathcal{Q}_k in A is a premise, an axiom, or arises from previous lines by MP or Gen. If \mathcal{Q}_k is a premise or an axiom then, by reasoning as in the basis (with line numbers adjusted to $k.n$) if \mathcal{Q}_k appears on line k of A , then \mathcal{Q}_k appears, under the scope of the premises alone, on the line numbered ' k ' of A . So suppose \mathcal{Q}_k arises by MP or Gen.

(MP) If \mathcal{Q}_k arises from previous lines by MP, then A is as follows,

i	\mathcal{B}	
\vdots		
j	$\mathcal{B} \rightarrow \mathcal{C}$	
\vdots		
k	\mathcal{C}	i, j MP

where $i, j < k$ and \mathcal{Q}_k is \mathcal{C} . By assumption, then, there are lines in N ,

i	\mathcal{B}
\vdots	
j	$\mathcal{B} \rightarrow \mathcal{C}$

So we simply continue derivation N ,

$$\begin{array}{l|l}
 i & \mathcal{B} \\
 & \vdots \\
 j & \mathcal{B} \rightarrow \mathcal{C} \\
 & \vdots \\
 k & \mathcal{C} \quad i, j \rightarrow E
 \end{array}$$

So \mathcal{Q}_k appears under the scope of the premises alone, on the line numbered ‘ k ’ of N .

(Gen) If \mathcal{Q}_k arises from previous lines by Gen, then A is as follows,

$$\begin{array}{l|l}
 i & \mathcal{B} \\
 & \vdots \\
 k & \forall x \mathcal{B} \quad i \text{ Gen}
 \end{array}$$

where $i < k$, and \mathcal{Q}_k is $\forall x \mathcal{B}$. By assumption N has a line i ,

$$\begin{array}{l|l}
 & \vdots \\
 i & \mathcal{B} \\
 & \vdots
 \end{array}$$

under the scope of the premises alone. So we continue N as follows,

$$\begin{array}{l|l}
 i & \mathcal{B} \\
 & \vdots \\
 k & \forall x \mathcal{B} \quad i \forall I
 \end{array}$$

Since i is under the scope of the premises alone, x is not free in an undischarged assumption. Further, since there is no change of variables, we can be sure that x is free for every free instance of x in \mathcal{B} , and that x is not free in $\forall x \mathcal{B}$. So the restrictions are met on $\forall I$. So \mathcal{Q}_k appears under the scope of the premises alone, on the line numbered ‘ k ’ of N .

In any case then, \mathcal{Q}_k appears under the scope of the premises alone, on the line numbered ‘ k ’ of N .

Indct: For any line j of A , \mathcal{Q}_j appears under the scope of the premises alone, on the line numbered ‘ j ’ of N .

So $\Gamma \vdash_{ND} \mathcal{Q}_n$, where this is just to say $\Gamma \vdash_{ND} \mathcal{P}$. So T9.2, if $\Gamma \vdash_{AD} \mathcal{P}$, then $\Gamma \vdash_{ND} \mathcal{P}$. Notice the way we use line numbers, $i.1, i.2, \dots i.n, i$ in N to make good on the claim that for each \mathcal{Q}_i in A , \mathcal{Q}_i appears on the line numbered ‘ i ’ of N — where the line numbered ‘ i ’ may or may not be the i th line of N . We need this parallel between the

line numbers when it comes to cases for MP and Gen. With the parallel, we are in a position to make use of line numbers from justifications in derivation A , directly in the specification of derivation N .

Given an AD derivation, what we have done shows that there exists an ND derivation, by showing how to construct it. We can see into how this works, by considering an application. Thus, for example, consider the derivation of T3.2 on p. 75.

	1.	$\mathcal{B} \rightarrow \mathcal{C}$	prem
	2.	$(\mathcal{B} \rightarrow \mathcal{C}) \rightarrow [\mathcal{A} \rightarrow (\mathcal{B} \rightarrow \mathcal{C})]$	A1
	3.	$\mathcal{A} \rightarrow (\mathcal{B} \rightarrow \mathcal{C})$	1,2 MP
(D)	4.	$[\mathcal{A} \rightarrow (\mathcal{B} \rightarrow \mathcal{C})] \rightarrow [(\mathcal{A} \rightarrow \mathcal{B}) \rightarrow (\mathcal{A} \rightarrow \mathcal{C})]$	A2
	5.	$(\mathcal{A} \rightarrow \mathcal{B}) \rightarrow (\mathcal{A} \rightarrow \mathcal{C})$	3,4 MP
	6.	$\mathcal{A} \rightarrow \mathcal{B}$	prem
	7.	$\mathcal{A} \rightarrow \mathcal{C}$	5,6 MP

Let this be derivation A ; we will follow the method of our induction to construct a corresponding ND derivation N . The first step is to list the premises.

0.1	$\mathcal{B} \rightarrow \mathcal{C}$	P
0.2	$\mathcal{A} \rightarrow \mathcal{B}$	P

Now to the induction itself. The first line of A is a premise. Looking back to the basis case of the induction, we see that we are instructed to produce the line numbered ‘1’ by reiteration. So that is what we do.

0.1	$\mathcal{B} \rightarrow \mathcal{C}$	P
0.2	$\mathcal{A} \rightarrow \mathcal{B}$	P
1	$\mathcal{B} \rightarrow \mathcal{C}$	0.1 R

This may strike you as somewhat pointless! But, again, we need $\mathcal{B} \rightarrow \mathcal{C}$ on the line numbered ‘1’ in order to maintain the parallel between the derivations. So our recipe requires this simple step.

Line 2 of A is an instance of A1, and the induction therefore tells us to get it “by reasoning as in the basis.” Looking then to the case for A1 in the basis, we continue on that pattern as follows,

0.1	$\mathcal{B} \rightarrow \mathcal{C}$	P
0.2	$\mathcal{A} \rightarrow \mathcal{B}$	P
1	$\mathcal{B} \rightarrow \mathcal{C}$	0.1 R
2.1	$\mathcal{B} \rightarrow \mathcal{C}$	A (g, \rightarrow I)
2.2	\mathcal{A}	A (g, \rightarrow I)
2.3	$\mathcal{B} \rightarrow \mathcal{C}$	2.1 R
2.4	$\mathcal{A} \rightarrow (\mathcal{B} \rightarrow \mathcal{C})$	2.2-2.3 \rightarrow I
2	$(\mathcal{B} \rightarrow \mathcal{C}) \rightarrow (\mathcal{A} \rightarrow (\mathcal{B} \rightarrow \mathcal{C}))$	2.1-2.4 \rightarrow I

Notice that this reasoning for the show step now applies to line 2, so that the line numbers are 2.1, 2.2, 2.3, 2.4, 2 instead of 1.1, 1.2, 1.3, 1.4, 1 as for the basis. Also, what we have added follows *exactly* the pattern from the recipe in the induction, given the relevant instance of A1.

Line 3 is justified by 1,2 MP. Again, by the recipe from the induction, we continue,

0.1	$\mathcal{B} \rightarrow \mathcal{C}$	P
0.2	$\mathcal{A} \rightarrow \mathcal{B}$	P
1	$\mathcal{B} \rightarrow \mathcal{C}$	0.1 R
2.1	$\mathcal{B} \rightarrow \mathcal{C}$	A (g, \rightarrow I)
2.2	\mathcal{A}	A (g, \rightarrow I)
2.3	$\mathcal{B} \rightarrow \mathcal{C}$	2.1 R
2.4	$\mathcal{A} \rightarrow (\mathcal{B} \rightarrow \mathcal{C})$	2.2-2.3 \rightarrow I
2	$(\mathcal{B} \rightarrow \mathcal{C}) \rightarrow (\mathcal{A} \rightarrow (\mathcal{B} \rightarrow \mathcal{C}))$	2.1-2.4 \rightarrow I
3	$\mathcal{A} \rightarrow (\mathcal{B} \rightarrow \mathcal{C})$	1,2 \rightarrow E

Notice that the line numbers of the justification are identical to those in the justification from A . And similarly, we are in a position to generate each line in A . Thus, for example, line 4 of A is an instance of A2. So we would continue with lines 4.1-4.8 and 4 to generate the appropriate instance of A2. And so forth. As it turns out, the resultant ND derivation is not very efficient! But it is a derivation, and our point is merely to show that some ND derivation of the same result exists. So if $\Gamma \vdash_{AD} \mathcal{P}$, then $\Gamma \vdash_{ND} \mathcal{P}$.

***E9.2.** Set up the above induction for T9.2, and complete the unfinished cases to show that if $\Gamma \vdash_{AD} \mathcal{P}$, then $\Gamma \vdash_{ND} \mathcal{P}$. For cases completed in the text, you may simply refer to the text, as the text refers cases to homework.

E9.3. (i) Where A is the derivation for T3.2, complete the process of finding the corresponding derivation N . Hint: if you follow the recipe correctly, the result should have exactly 21 lines. (ii) This derivation N is not very efficient! See if you can find an ND derivation to show $\mathcal{A} \rightarrow \mathcal{B}, \mathcal{B} \rightarrow \mathcal{C} \vdash_{ND} \mathcal{A} \rightarrow \mathcal{C}$ that takes fewer than 10 lines.

E9.4. Consider the axiomatic system A3 as described for E8.11 on p. 398, and produce a complete demonstration that if $\Gamma \vdash_{A3} \mathcal{P}$, then $\Gamma \vdash_{ND} \mathcal{P}$.

9.3 Validity in *ND* Implies Validity in *AD*

Perhaps the result we have just attained is obvious: if $\Gamma \vdash_{AD} \mathcal{P}$, then of course $\Gamma \vdash_{ND} \mathcal{P}$. But the other direction may be less obvious. Insofar as *AD* may seem to have fewer resources than *ND*, one might wonder whether it is the case that if $\Gamma \vdash_{ND} \mathcal{P}$, then $\Gamma \vdash_{AD} \mathcal{P}$. But, in fact, it is possible to do in *AD* whatever can be done in *ND*. To show this, we need a couple of preliminary results. I begin with an important result known as the *deduction theorem*, turn to some substitution theorems, and finally to the intended result that whatever is provable in *ND* is provable in *AD*.

9.3.1 Deduction Theorem

According to the deduction theorem — subject to an important restriction — if there is an *AD* derivation of \mathcal{Q} from the members of some set of sentences Δ plus \mathcal{P} , then there is an *AD* derivation of $\mathcal{P} \rightarrow \mathcal{Q}$ from the members of Δ alone: if $\Delta \cup \{\mathcal{P}\} \vdash_{AD} \mathcal{Q}$ then $\Delta \vdash_{AD} \mathcal{P} \rightarrow \mathcal{Q}$. In practice, this lets us reason just as we do with \rightarrow I.

		members of Δ
(E)	a.	\mathcal{P}
	b.	\mathcal{Q}
	c.	$\mathcal{P} \rightarrow \mathcal{Q}$ a-b deduction theorem

At (b), there is a derivation of \mathcal{Q} from the members of Δ plus \mathcal{P} . At (c), the assumption is discharged to indicate a derivation of $\mathcal{P} \rightarrow \mathcal{Q}$ from the members of Δ alone. By the deduction theorem, if there is a derivation of \mathcal{Q} from Δ plus \mathcal{P} , then there is a derivation of $\mathcal{P} \rightarrow \mathcal{Q}$ from Δ alone. Here is the restriction: The discharge of an auxiliary assumption \mathcal{P} is legitimate just in case no application of Gen under its scope generalizes on a variable free in \mathcal{P} . The effect is like that of the *ND* restriction on \forall I — here, though, the restriction is not on Gen, but rather on the discharge of auxiliary assumptions. In the one case, an assumption available for discharge is one such that no application of Gen under its scope is to a variable free in the assumption; in the other, we cannot apply \forall I to a variable free in an undischarged assumption (so that, effectively, every assumption is always available for discharge).

Again, our strategy is to show that given one derivation, it is possible to construct another. In this case, we begin with an *AD* derivation (A) as below, with premises $\Delta \cup \{\mathcal{P}\}$. Treating \mathcal{P} as an auxiliary premise, with scope as indicated in (B), we set out to show that there is an *AD* derivation (C), with premises in Δ alone, and lines numbered ‘1’, ‘2’, ... corresponding to 1, 2, ... in (A).

(F)	(A) 1. \mathcal{Q}_1	(B) 1. \mathcal{Q}_1	(C) 1. $\mathcal{P} \rightarrow \mathcal{Q}_1$
	2. \mathcal{Q}_2	2. \mathcal{Q}_2	2. $\mathcal{P} \rightarrow \mathcal{Q}_2$
	\vdots	\vdots	\vdots
	\mathcal{P}	\mathcal{P}	$\mathcal{P} \rightarrow \mathcal{P}$
	\vdots	\vdots	\vdots
	n. \mathcal{Q}_n	n. \mathcal{Q}_n	n. $\mathcal{P} \rightarrow \mathcal{Q}_n$

That is, we construct a derivation with premises in Δ such that for any formula \mathcal{A} on line i of the first derivation, $\mathcal{P} \rightarrow \mathcal{A}$ appears on the line numbered ' i ' of the constructed derivation. The last line n of the resultant derivation is the desired result, $\Delta \vdash_{AD} \mathcal{P} \rightarrow \mathcal{Q}$.

T9.3. (Deduction Theorem) If $\Delta \cup \{\mathcal{P}\} \vdash_{AD} \mathcal{Q}$, and no application of Gen under the scope of \mathcal{P} is to a variable free in \mathcal{P} , then $\Delta \vdash_{AD} \mathcal{P} \rightarrow \mathcal{Q}$.

Suppose $A = \langle \mathcal{Q}_1, \mathcal{Q}_2, \dots, \mathcal{Q}_n \rangle$ is an AD derivation of \mathcal{Q} from $\Delta \cup \{\mathcal{P}\}$, where \mathcal{Q} is \mathcal{Q}_n and no application of Gen under the scope of \mathcal{P} is to a variable free in \mathcal{P} . By induction on the line numbers in derivation A , we show there is a derivation C with premises only in Δ , such that for any line i of A , $\mathcal{P} \rightarrow \mathcal{Q}_i$ appears on the line numbered ' i ' of C . The case when $i = n$ gives the desired result, that $\Delta \vdash_{AD} \mathcal{P} \rightarrow \mathcal{Q}$.

Basis: \mathcal{Q}_1 of A is an axiom, a member of Δ , or \mathcal{P} itself.

(i) If \mathcal{Q}_1 is an axiom or a member of Δ , then begin C as follows,

1.1 \mathcal{Q}_1	axiom / premise
1.2 $\mathcal{Q}_1 \rightarrow (\mathcal{P} \rightarrow \mathcal{Q}_1)$	A1
1 $\mathcal{P} \rightarrow \mathcal{Q}_1$	1.1, 1.2 MP

(ii) \mathcal{Q}_1 is \mathcal{P} itself. By T3.1, $\vdash_{AD} \mathcal{P} \rightarrow \mathcal{P}$; which is to say $\mathcal{P} \rightarrow \mathcal{Q}_1$; so begin derivation C ,

1 $\mathcal{P} \rightarrow \mathcal{P}$ T3.1

In either case, $\mathcal{P} \rightarrow \mathcal{Q}_1$ appears on the line numbered '1' of C with premises in Δ alone.

Assp: For any i , $1 \leq i < k$, $\mathcal{P} \rightarrow \mathcal{Q}_i$ appears on the line numbered ' i ' of C , with premises in Δ alone.

Show: $\mathcal{P} \rightarrow \mathcal{Q}_k$ appears on the line numbered ' k ' of C , with premises in Δ alone.

\mathcal{Q}_k of A is a member of Δ , an axiom, \mathcal{P} itself, or arises from previous lines by MP or Gen. If \mathcal{Q}_k is a member of Δ , an axiom or \mathcal{P} itself then, by reasoning as in the basis, $\mathcal{P} \rightarrow \mathcal{Q}_k$ appears on the line numbered 'k' of C from premises in Δ alone. So two cases remain.

(MP) If \mathcal{Q}_k arises from previous lines by MP, then there are lines in derivation A of the sort,

$$\begin{array}{l} i \quad \mathcal{B} \\ \vdots \\ j \quad \mathcal{B} \rightarrow \mathcal{C} \\ \vdots \\ k \quad \mathcal{C} \qquad i, j \text{ MP} \end{array}$$

where $i, j < k$ and \mathcal{Q}_k is \mathcal{C} . By assumption, there are lines in C ,

$$\begin{array}{l} i \quad \mathcal{P} \rightarrow \mathcal{B} \\ \vdots \\ j \quad \mathcal{P} \rightarrow (\mathcal{B} \rightarrow \mathcal{C}) \end{array}$$

So continue derivation C as follows,

$$\begin{array}{l} i \quad \mathcal{P} \rightarrow \mathcal{B} \\ \vdots \\ j \quad \mathcal{P} \rightarrow (\mathcal{B} \rightarrow \mathcal{C}) \\ \vdots \\ k.1 \quad [\mathcal{P} \rightarrow (\mathcal{B} \rightarrow \mathcal{C})] \rightarrow [(\mathcal{P} \rightarrow \mathcal{B}) \rightarrow (\mathcal{P} \rightarrow \mathcal{C})] \quad \text{A2} \\ k.2 \quad (\mathcal{P} \rightarrow \mathcal{B}) \rightarrow (\mathcal{P} \rightarrow \mathcal{C}) \quad j, k.1 \text{ MP} \\ k \quad \mathcal{P} \rightarrow \mathcal{C} \quad i, k.2 \text{ MP} \end{array}$$

So $\mathcal{P} \rightarrow \mathcal{Q}_k$ appears on the line numbered 'k' of C , with premises in Δ alone.

(Gen) If \mathcal{Q}_k arises from a previous line by Gen, then there are lines in derivation A of the sort,

$$\begin{array}{l} i \quad \mathcal{B} \\ \vdots \\ k \quad \forall x \mathcal{B} \end{array}$$

where $i < k$ and \mathcal{Q}_k is $\forall x \mathcal{B}$. Either line k is under the scope of \mathcal{P} in derivation A or not.

- (i) If line k is not under the scope of \mathcal{P} , then $\forall x\mathcal{B}$ in A follows from Δ alone. So continue C as follows,

k.1	\mathcal{Q}_1	exactly as in A but with prefix
k.2	\mathcal{Q}_2	'k.' for numeric references
	\vdots	
k.k	$\forall x\mathcal{B}$	
k.k+1	$\forall x\mathcal{B} \rightarrow (\mathcal{P} \rightarrow \forall x\mathcal{B})$	A1
k	$\mathcal{P} \rightarrow \forall x\mathcal{B}$	k.k+1, k.k MP

Since each of the lines in A up to k is derived from Δ alone, we have $\mathcal{P} \rightarrow \mathcal{Q}_k$ on the line numbered 'k' of C , from premises in Δ alone.

- (ii) If line k is under the scope of \mathcal{P} , we depend on the assumption, and continue C as follows,

i	$\mathcal{P} \rightarrow \mathcal{B}$	(by inductive assumption)
	\vdots	
k	$\mathcal{P} \rightarrow \forall x\mathcal{B}$	i T3.28

If line k is under the scope of \mathcal{P} then, since no application of Gen under the scope of \mathcal{P} is to a variable free in \mathcal{P} , x is not free in \mathcal{P} ; so k meets the restriction on T3.28. So we have $\mathcal{P} \rightarrow \mathcal{Q}_k$ on the line numbered 'k' of C , from premises in Δ alone.

Indct: For for any i , $\mathcal{P} \rightarrow \mathcal{Q}_k$ appears on the line numbered ' i ' of C , from premises in Δ alone.

So given an *AD* derivation of \mathcal{Q} from $\Delta \cup \{\mathcal{P}\}$, where no application of Gen under the scope of assumption \mathcal{P} is to a variable free in \mathcal{P} , there is sure to be an *AD* derivation of $\mathcal{P} \rightarrow \mathcal{Q}$ from Δ alone. Notice that T3.28 and T3.30 abbreviate sequences which include applications of Gen. So the restriction on Gen for the deduction theorem applies to applications of these results as well.

As a sample application of the deduction theorem (DT), let us consider another derivation of T3.2. In tis case, $\Delta = \{\mathcal{A} \rightarrow \mathcal{B}, \mathcal{B} \rightarrow \mathcal{C}\}$, and we argue as follows,

	1.	$\mathcal{A} \rightarrow \mathcal{B}$	prem
	2.	$\mathcal{B} \rightarrow \mathcal{C}$	prem
(G)	3.	\mathcal{A}	assp (g, DT)
	4.	\mathcal{B}	1,3 MP
	5.	\mathcal{C}	2,4 MP
	6.	$\mathcal{A} \rightarrow \mathcal{C}$	3-5 DT

At line (5) we have established that $\Delta \cup \{\mathcal{A}\} \vdash_{AD} \mathcal{C}$; it follows from the deduction theorem that $\Delta \vdash_{AD} \mathcal{A} \rightarrow \mathcal{C}$. But we should be careful: this is not an *AD* derivation of $\mathcal{A} \rightarrow \mathcal{C}$ from $\mathcal{A} \rightarrow \mathcal{B}$ and $\mathcal{B} \rightarrow \mathcal{C}$. And it is not an abbreviation in the sense that we have seen so far — we do not appeal to a result whose derivation could be inserted at that very stage. Rather, what we have is a demonstration, via the deduction theorem, that there *exists* an *AD* derivation of $\mathcal{A} \rightarrow \mathcal{C}$ from the premises. If there is any abbreviating, the entire derivation abbreviates, or indicates the existence of, another. Our proof of the deduction theorem shows us that, given a derivation of $\Delta \cup \{\mathcal{P}\} \vdash_{AD} \mathcal{Q}$, it is possible to *construct* a derivation for $\Delta \vdash_{AD} \mathcal{P} \rightarrow \mathcal{Q}$.

Let us see how this works in the example. Lines 1-5 become our derivation *A*, with $\Delta = \{\mathcal{A} \rightarrow \mathcal{B}, \mathcal{B} \rightarrow \mathcal{C}\}$. For each \mathcal{Q}_i in derivation *A*, the induction tells us how to derive $\mathcal{A} \rightarrow \mathcal{Q}_i$ from Δ alone. Thus \mathcal{Q}_i on the first line is a member of Δ : reasoning from the basis tells us to use A1 as follows,

1.1	$\mathcal{A} \rightarrow \mathcal{B}$	prem
1.2	$(\mathcal{A} \rightarrow \mathcal{B}) \rightarrow (\mathcal{A} \rightarrow (\mathcal{A} \rightarrow \mathcal{B}))$	A1
1	$\mathcal{A} \rightarrow (\mathcal{A} \rightarrow \mathcal{B})$	1.2,1.1 MP

to get \mathcal{A} arrow the form on line 1 of *A*. Notice that we are again using fractional line numbers to make lines in derivation *A* correspond to lines in the constructed derivation. One may wonder why we bother getting $\mathcal{A} \rightarrow \mathcal{Q}_1$. And again, the answer is that our “recipe” calls for this ingredient at stages connected to MP and Gen. Similarly, we can use A1 to get \mathcal{A} arrow the form on line (2).

1.1	$\mathcal{A} \rightarrow \mathcal{B}$	prem
1.2	$(\mathcal{A} \rightarrow \mathcal{B}) \rightarrow (\mathcal{A} \rightarrow (\mathcal{A} \rightarrow \mathcal{B}))$	A1
1	$\mathcal{A} \rightarrow (\mathcal{A} \rightarrow \mathcal{B})$	1.2,1.1 MP
2.1	$\mathcal{B} \rightarrow \mathcal{C}$	prem
2.2	$(\mathcal{B} \rightarrow \mathcal{C}) \rightarrow (\mathcal{A} \rightarrow (\mathcal{B} \rightarrow \mathcal{C}))$	A1
2	$\mathcal{A} \rightarrow (\mathcal{B} \rightarrow \mathcal{C})$	2.2,2.1 MP

The form on line (3) is \mathcal{A} itself. If we wanted a derivation in the primitive system, we could repeat the steps in our derivation of T3.1. But we will simply continue, as in the induction,

1.1	$\mathcal{A} \rightarrow \mathcal{B}$	prem
1.2	$(\mathcal{A} \rightarrow \mathcal{B}) \rightarrow (\mathcal{A} \rightarrow (\mathcal{A} \rightarrow \mathcal{B}))$	A1
1	$\mathcal{A} \rightarrow (\mathcal{A} \rightarrow \mathcal{B})$	1.2,1.2 MP
2.1	$\mathcal{B} \rightarrow \mathcal{C}$	prem
2.2	$(\mathcal{B} \rightarrow \mathcal{C}) \rightarrow (\mathcal{A} \rightarrow (\mathcal{B} \rightarrow \mathcal{C}))$	A1
2	$\mathcal{A} \rightarrow (\mathcal{B} \rightarrow \mathcal{C})$	2.2,2.1 MP
3	$\mathcal{A} \rightarrow \mathcal{A}$	T3.1

to get $\mathcal{A} \rightarrow \mathcal{B}$ the form on line (3) of A . The form on line (4) arises from lines (1) and (3) by MP; reasoning in our show step tells us to continue,

1.1	$\mathcal{A} \rightarrow \mathcal{B}$	prem
1.2	$(\mathcal{A} \rightarrow \mathcal{B}) \rightarrow (\mathcal{A} \rightarrow (\mathcal{A} \rightarrow \mathcal{B}))$	A1
1	$\mathcal{A} \rightarrow (\mathcal{A} \rightarrow \mathcal{B})$	1.2,1.1 MP
2.1	$\mathcal{B} \rightarrow \mathcal{C}$	prem
2.2	$(\mathcal{B} \rightarrow \mathcal{C}) \rightarrow (\mathcal{A} \rightarrow (\mathcal{B} \rightarrow \mathcal{C}))$	A1
2	$\mathcal{A} \rightarrow (\mathcal{B} \rightarrow \mathcal{C})$	2.2,2.1 MP
3	$\mathcal{A} \rightarrow \mathcal{A}$	T3.1
4.1	$(\mathcal{A} \rightarrow (\mathcal{A} \rightarrow \mathcal{B})) \rightarrow ((\mathcal{A} \rightarrow \mathcal{A}) \rightarrow (\mathcal{A} \rightarrow \mathcal{B}))$	A2
4.2	$(\mathcal{A} \rightarrow \mathcal{A}) \rightarrow (\mathcal{A} \rightarrow \mathcal{B})$	4.1,1 MP
4	$\mathcal{A} \rightarrow \mathcal{B}$	4.2,3 MP

using A2 to get $\mathcal{A} \rightarrow \mathcal{B}$. Notice that the original justification from lines (1) and (3) dictates the appeal to (1) at line (4.2) and to (3) at line (4). The form on line (5) arises from lines (2) and (4) by MP; so, finally, we continue,

1.1	$\mathcal{A} \rightarrow \mathcal{B}$	prem
1.2	$(\mathcal{A} \rightarrow \mathcal{B}) \rightarrow (\mathcal{A} \rightarrow (\mathcal{A} \rightarrow \mathcal{B}))$	A1
1	$\mathcal{A} \rightarrow (\mathcal{A} \rightarrow \mathcal{B})$	1.2,1.1 MP
2.1	$\mathcal{B} \rightarrow \mathcal{C}$	prem
2.2	$(\mathcal{B} \rightarrow \mathcal{C}) \rightarrow (\mathcal{A} \rightarrow (\mathcal{B} \rightarrow \mathcal{C}))$	A1
2	$\mathcal{A} \rightarrow (\mathcal{B} \rightarrow \mathcal{C})$	2.2,2.1 MP
3	$\mathcal{A} \rightarrow \mathcal{A}$	T3.1
4.1	$(\mathcal{A} \rightarrow (\mathcal{A} \rightarrow \mathcal{B})) \rightarrow ((\mathcal{A} \rightarrow \mathcal{A}) \rightarrow (\mathcal{A} \rightarrow \mathcal{B}))$	A2
4.2	$(\mathcal{A} \rightarrow \mathcal{A}) \rightarrow (\mathcal{A} \rightarrow \mathcal{B})$	4.1,1 MP
4	$\mathcal{A} \rightarrow \mathcal{B}$	4.2,3 MP
5.1	$(\mathcal{A} \rightarrow (\mathcal{B} \rightarrow \mathcal{C})) \rightarrow ((\mathcal{A} \rightarrow \mathcal{B}) \rightarrow (\mathcal{A} \rightarrow \mathcal{C}))$	A2
5.2	$(\mathcal{A} \rightarrow \mathcal{B}) \rightarrow (\mathcal{A} \rightarrow \mathcal{C})$	5.1,2 MP
5	$\mathcal{A} \rightarrow \mathcal{C}$	5.2,4 MP

And we have the *AD* derivation which our proof of the deduction theorem told us there would be. Notice that this derivation is not very efficient! We did it in seven lines (without appeal to T3.1) in [chapter 3](#). What our proof of the deduction theorem tells us is that there is sure to be some derivation — where there is no expectation that the guaranteed derivation is particularly elegant or efficient.

Here is a last example which makes use of the deduction theorem. First, an alternate derivation of T3.3.

	1.	$\mathcal{A} \rightarrow (\mathcal{B} \rightarrow \mathcal{C})$	prem
	2.	\mathcal{B}	assp (g, DT)
	3.	\mathcal{A}	assp (g, DT)
(H)	4.	$\mathcal{B} \rightarrow \mathcal{C}$	1,3 MP
	5.	\mathcal{C}	4,2 MP
	6.	$\mathcal{A} \rightarrow \mathcal{C}$	3-5 DT
	7.	$\mathcal{B} \rightarrow (\mathcal{A} \rightarrow \mathcal{C})$	2-6 DT

In [chapter 3](#) we proved T3.3 in five lines (with an appeal to T3.2). But perhaps this version is relatively intuitive, coinciding as it does, with strategies from *ND*. In this case, there are two applications of DT, and reasoning from the induction therefore applies twice. First, at line (5), there is an *AD* derivation of \mathcal{C} from $\{\mathcal{A} \rightarrow (\mathcal{B} \rightarrow \mathcal{C}), \mathcal{B}\} \cup \{\mathcal{A}\}$. By reasoning from the induction, then, there is an *AD* derivation from just $\{\mathcal{A} \rightarrow (\mathcal{B} \rightarrow \mathcal{C}), \mathcal{B}\}$ with \mathcal{A} arrow each of the forms on lines 1-5. So there is a derivation of $\mathcal{A} \rightarrow \mathcal{C}$ from $\{\mathcal{A} \rightarrow (\mathcal{B} \rightarrow \mathcal{C}), \mathcal{B}\}$. But then reasoning from the induction applies again. By reasoning from the induction applied to this *new* derivation, there is a derivation from just $\mathcal{A} \rightarrow (\mathcal{B} \rightarrow \mathcal{C})$ with \mathcal{B} arrow each of the forms in it. So there is a derivation of $\mathcal{B} \rightarrow (\mathcal{A} \rightarrow \mathcal{C})$ from just $\mathcal{A} \rightarrow (\mathcal{B} \rightarrow \mathcal{C})$. So the first derivation, lines 1-5 above, is replaced by another, by the reasoning from DT. Then *it* is replaced by another, again given the reasoning from DT. The result is an *AD* derivation of the desired result.

Here are a couple more cases, where the latter at least, may inspire a certain affection for the deduction theorem.

$$\text{T9.4. } \vdash_{AD} \mathcal{A} \rightarrow (\mathcal{B} \rightarrow (\mathcal{A} \wedge \mathcal{B}))$$

$$\text{T9.5. } \vdash_{AD} (\mathcal{A} \rightarrow \mathcal{C}) \rightarrow [(\mathcal{B} \rightarrow \mathcal{C}) \rightarrow ((\mathcal{A} \vee \mathcal{B}) \rightarrow \mathcal{C})]$$

E9.5. Making use of the deduction theorem, prove T9.4 and T9.5. Having done so, see if you can prove them in the style of [chapter 3](#), without any appeal to DT.

E9.6. By the method of our proof of the deduction theorem, convert the above derivation (H) for T3.3 into an official *AD* derivation. Hint: As described above, the method of the induction applies twice: first to lines 1-5, and then to the new derivation. The result should be derivations with 13, and then 37 lines.

E9.7. Consider the axiomatic system A2 from E3.4 on p. 81, and produce a demonstration of the deduction theorem for it. That is, show that if $\Delta \cup \{\mathcal{P}\} \vdash_{A2} \mathcal{Q}$, then $\Delta \vdash_{A2} \mathcal{P} \rightarrow \mathcal{Q}$. You may appeal to any of the A2 theorems listed on 81.

9.3.2 Substitution Theorems

Recall what we are after. Our goal is to show that if $\Gamma \vdash_{ND} \mathcal{P}$, then $\Gamma \vdash_{AD} \mathcal{P}$. Toward this end, the deduction theorem lets AD mimic rules in ND which require subderivations. For equality, we turn to some substitution results. Say a complex term r is *free* in an expression \mathcal{P} just in case no variable in r is bound. Then where \mathcal{T} is any term or formula, let $\mathcal{T}^r//s$ be \mathcal{T} where at most one free instance of r is replaced by term s . Having shown in T3.37, that $\vdash_{AD} (q_i = s) \rightarrow (\mathcal{R}^n q_1 \dots q_i \dots q_n \rightarrow \mathcal{R}^n q_1 \dots s \dots q_n)$, one might think we have proved that $\vdash_{AD} (r = s) \rightarrow (\mathcal{A} \rightarrow \mathcal{A}^r//s)$ for any atomic formula \mathcal{A} and any terms r and s . But *this is not so*. Similarly, having proved in T3.36 that $\vdash_{AD} (q_i = s) \rightarrow (\mathcal{h}^n q_1 \dots q_i \dots q_n = \mathcal{h}^n q_1 \dots s \dots q_n)$, one might think we have proved that $\vdash_{AD} (r = s) \rightarrow (t \rightarrow t^r//s)$ for any terms r , s and t . But this is not so. In each case, the difficulty is that the replaced term r might be a *component* of the other terms $q_1 \dots q_n$, and so might not be any of $q_1 \dots q_n$. What we have shown is only that it is possible to replace any of the whole terms, $q_1 \dots q_n$. Thus, $(x = y) \rightarrow (f^1 g^1 x = f^1 g^1 y)$ is not an instance of T3.36 because we do not replace $g^1 x$ but rather a component of it.

However, as one might expect, it is possible to replace terms in basic parts; use the result to make replacements in terms of which *they* are parts; and so forth, all the way up to wholes. Both $(x = y) \rightarrow (g^1 x = g^1 y)$ and $(g^1 x = g^1 y) \rightarrow (f^1 g^1 x = f^1 g^1 y)$ are instances of T3.36. (Be clear about these examples in your mind.) From these, with T3.2 it follows that $(x = y) \rightarrow (f^1 g^1 x = f^1 g^1 y)$. This example suggests a method for obtaining the more general results: Using T3.36, we work from equalities at the level of the parts, to equalities at the level of the whole. For the case of terms, the proof is by induction on the number of function symbols in an arbitrary term t .

T9.6. For arbitrary terms r , s and t , $\vdash_{AD} (r = s) \rightarrow (t = t^r//s)$.

Basis: If t has no function symbols, then t is a variable or a constant. In this case, either (i) $r \neq t$ and $t^r//s = t$ (nothing is replaced) or (ii) $r = t$ and $t^r//s = s$ (all of t is replaced). (i) In this case, by T3.32, $\vdash_{AD} t = t$; which is to say, $\vdash_{AD} (t = t^r//s)$; so with A1, $\vdash_{AD} (r = s) \rightarrow (t = t^r//s)$. (ii) In this case, $(r = s) \rightarrow (t = t^r//s)$ is the same as $(r = s) \rightarrow (r = s)$; so by T3.1, $\vdash_{AD} (r = s) \rightarrow (t = t^r//s)$.

Assp: For any i , $0 \leq i < k$, if t has i function symbols, then $\vdash_{AD} (\mathcal{r} = \mathcal{s}) \rightarrow (t = t^{\mathcal{r}}/\mathcal{s})$.

Show: If t has k function symbols, then $\vdash_{AD} (\mathcal{r} = \mathcal{s}) \rightarrow (t = t^{\mathcal{r}}/\mathcal{s})$.

If t has k function symbols, then t is of the form $h^n q_1 \dots q_n$ for terms $q_1 \dots q_n$ with $< k$ function symbols. If all of t is replaced, or no part of t is replaced, then reason as in the basis. So suppose \mathcal{r} is some sub-component of t ; then for some q_i , $t^{\mathcal{r}}/\mathcal{s}$ is $h^n q_1 \dots q_i^{\mathcal{r}}/\mathcal{s} \dots q_n$. By assumption, $\vdash_{AD} (\mathcal{r} = \mathcal{s}) \rightarrow (q_i = q_i^{\mathcal{r}}/\mathcal{s})$; and by T3.36, $\vdash_{AD} (q_i = q_i^{\mathcal{r}}/\mathcal{s}) \rightarrow (h^n q_1 \dots q_i \dots q_n = h^n q_1 \dots q_i^{\mathcal{r}}/\mathcal{s} \dots q_n)$; so by T3.2, $\vdash_{AD} (\mathcal{r} = \mathcal{s}) \rightarrow (h^n q_1 \dots q_i \dots q_n = h^n q_1 \dots q_i^{\mathcal{r}}/\mathcal{s} \dots q_n)$; but this is to say, $\vdash_{AD} (\mathcal{r} = \mathcal{s}) \rightarrow (t = t^{\mathcal{r}}/\mathcal{s})$.

Indct: For any terms \mathcal{r} , \mathcal{s} and t , $\vdash_{AD} (\mathcal{r} = \mathcal{s}) \rightarrow (t = t^{\mathcal{r}}/\mathcal{s})$.

We might think of this result as a further strengthened or generalized version of the *AD* axiom A7. Where A7 lets us replace just variables in terms of the sort $h^n x_1 \dots x_n$, we are now in a position to replace in arbitrary terms with arbitrary terms.

Now we can go after a similarly strengthened version of A8. We show that for any formula \mathcal{A} , if \mathcal{s} is free for the replaced instance of \mathcal{r} in $\mathcal{A}^{\mathcal{r}}/\mathcal{s}$, then $\vdash_{AD} (\mathcal{r} = \mathcal{s}) \rightarrow (\mathcal{A} \rightarrow \mathcal{A}^{\mathcal{r}}/\mathcal{s})$. The argument is by induction on the number of operators in \mathcal{A} .

T9.7. For any formula \mathcal{A} and terms \mathcal{r} and \mathcal{s} , if \mathcal{s} is free for the replaced instance of \mathcal{r} in \mathcal{A} , then $\vdash_{AD} (\mathcal{r} = \mathcal{s}) \rightarrow (\mathcal{A} \rightarrow \mathcal{A}^{\mathcal{r}}/\mathcal{s})$.

Consider an arbitrary \mathcal{r} , \mathcal{s} and \mathcal{A} , and suppose \mathcal{s} is free for the replaced instance of \mathcal{r} in $\mathcal{A}^{\mathcal{r}}/\mathcal{s}$.

Basis: If \mathcal{A} is atomic then (i) $\mathcal{A}^{\mathcal{r}}/\mathcal{s} = \mathcal{A}$ (nothing is replaced) or (ii) \mathcal{A} is an atomic of the form $\mathcal{R}^n t_1 \dots t_i \dots t_n$ and $\mathcal{A}^{\mathcal{r}}/\mathcal{s}$ is $\mathcal{R}^n t_1 \dots t_i^{\mathcal{r}}/\mathcal{s} \dots t_n$. (i) In this case, by T3.1, $\vdash_{AD} \mathcal{A} \rightarrow \mathcal{A}$, which is to say $\vdash_{AD} \mathcal{A} \rightarrow \mathcal{A}^{\mathcal{r}}/\mathcal{s}$; so with A1, $\vdash_{AD} \mathcal{r} = \mathcal{s} \rightarrow (\mathcal{A} \rightarrow \mathcal{A}^{\mathcal{r}}/\mathcal{s})$. (ii) In this case, by T9.6, $\vdash_{AD} (\mathcal{r} = \mathcal{s}) \rightarrow (t_i = t_i^{\mathcal{r}}/\mathcal{s})$; and by T3.37, $\vdash_{AD} (t_i = t_i^{\mathcal{r}}/\mathcal{s}) \rightarrow (\mathcal{R}^n t_1 \dots t_i \dots t_n \rightarrow \mathcal{R}^n t_1 \dots t_i^{\mathcal{r}}/\mathcal{s} \dots t_n)$; so by T3.2, $\vdash_{AD} (\mathcal{r} = \mathcal{s}) \rightarrow (\mathcal{R}^n t_1 \dots t_i \dots t_n \rightarrow \mathcal{R}^n t_1 \dots t_i^{\mathcal{r}}/\mathcal{s} \dots t_n)$; and this is just to say, $\vdash_{AD} (\mathcal{r} = \mathcal{s}) \rightarrow (\mathcal{A} \rightarrow \mathcal{A}^{\mathcal{r}}/\mathcal{s})$.

Assp: For any i , $0 \leq i < k$, if \mathcal{A} has i operator symbols and \mathcal{s} is free for the replaced instance of \mathcal{r} in \mathcal{A} , then $\vdash_{AD} (\mathcal{r} = \mathcal{s}) \rightarrow (\mathcal{A} \rightarrow \mathcal{A}^{\mathcal{r}}/\mathcal{s})$.

Corollary to the assumption. If \mathcal{A} has $< k$ operators, then $\mathcal{A}^r//_s$ has $< k$ operators; and since s replaces only a free instance of r in \mathcal{A} , r is free for the replacing instance of s in $\mathcal{A}^r//_s$; so where the outer substitution is made to sustain $[\mathcal{A}^r//_s]^s//_r = \mathcal{A}$, we have $\vdash_{AD} (s = r) \rightarrow (\mathcal{A}^r//_s \rightarrow [\mathcal{A}^r//_s]^s//_r)$ as an instance of the inductive assumption, which is just, $\vdash_{AD} (s = r) \rightarrow (\mathcal{A}^r//_s \rightarrow \mathcal{A})$. And by T3.33, $\vdash_{AD} (r = s) \rightarrow (s = r)$; so with T3.2, $\vdash_{AD} (r = s) \rightarrow (\mathcal{A}^r//_s \rightarrow \mathcal{A})$.

Show: If \mathcal{A} has k operator symbols and s is free for the replaced instance of r in \mathcal{A} , then $\vdash_{AD} (r = s) \rightarrow (\mathcal{A} \rightarrow \mathcal{A}^r//_s)$.

If \mathcal{A} has k operator symbols, then \mathcal{A} is of the form, $\sim\mathcal{P}$, $\mathcal{P} \rightarrow \mathcal{Q}$ or $\forall x\mathcal{P}$ for variable x and formulas \mathcal{P} and \mathcal{Q} with $< k$ operator symbols. Suppose s is free for any replaced instance of r in \mathcal{A} .

(\sim) Suppose \mathcal{A} is $\sim\mathcal{P}$. Then $\mathcal{A}^r//_s$ is $[\sim\mathcal{P}]^r//_s$ which is the same as $\sim[\mathcal{P}^r//_s]$. Since s is free for a replaced instance of r in \mathcal{A} , it is free for that instance of r in \mathcal{P} ; so by the corollary to the assumption, $\vdash_{AD} (r = s) \rightarrow (\mathcal{P}^r//_s \rightarrow \mathcal{P})$. But by T3.13, $\vdash_{AD} (\mathcal{P}^r//_s \rightarrow \mathcal{P}) \rightarrow (\sim\mathcal{P} \rightarrow \sim[\mathcal{P}^r//_s])$; so by T3.2, $\vdash_{AD} (r = s) \rightarrow (\sim\mathcal{P} \rightarrow \sim[\mathcal{P}^r//_s])$; which is to say, $\vdash_{AD} (r = s) \rightarrow (\mathcal{A} \rightarrow \mathcal{A}^r//_s)$.

(\rightarrow) Suppose \mathcal{A} is $\mathcal{P} \rightarrow \mathcal{Q}$. Then $\mathcal{A}^r//_s$ is $\mathcal{P}^r//_s \rightarrow \mathcal{Q}$ or $\mathcal{P} \rightarrow \mathcal{Q}^r//_s$. (i) In the former case, since s is free for a replaced instance of r in \mathcal{A} , it is free for that instance of r in \mathcal{P} ; so by the corollary to the assumption, $\vdash_{AD} (r = s) \rightarrow (\mathcal{P}^r//_s \rightarrow \mathcal{P})$; so we may reason as follows,

1.	$(r = s) \rightarrow (\mathcal{P}^r//_s \rightarrow \mathcal{P})$	prem
2.	$r = s$	assp (g, DT)
3.	$\mathcal{P} \rightarrow \mathcal{Q}$	assp (g, DT)
4.	$\mathcal{P}^r//_s$	assp (g, DT)
5.	$\mathcal{P}^r//_s \rightarrow \mathcal{P}$	1,2 MP
6.	\mathcal{P}	5,4 MP
7.	\mathcal{Q}	3,6 MP
8.	$\mathcal{P}^r//_s \rightarrow \mathcal{Q}$	4-7 DT
9.	$(\mathcal{P} \rightarrow \mathcal{Q}) \rightarrow (\mathcal{P}^r//_s \rightarrow \mathcal{Q})$	3-8 DT
10.	$(r = s) \rightarrow [(\mathcal{P} \rightarrow \mathcal{Q}) \rightarrow (\mathcal{P}^r//_s \rightarrow \mathcal{Q})]$	2-9 DT

So $\vdash_{AD} (r = s) \rightarrow [(\mathcal{P} \rightarrow \mathcal{Q}) \rightarrow (\mathcal{P}^r//_s \rightarrow \mathcal{Q})]$; which is to say, $\vdash_{AD} (r = s) \rightarrow (\mathcal{A} \rightarrow \mathcal{A}^r//_s)$. (ii) And similarly in the other case

[by homework], $\vdash_{AD} (r = s) \rightarrow [(\mathcal{P} \rightarrow \mathcal{Q}) \rightarrow (\mathcal{P} \rightarrow \mathcal{Q}^r/s)]$. So in either case, $\vdash_{AD} (r = s) \rightarrow (\mathcal{A} \rightarrow \mathcal{A}^r/s)$.

(\forall) Suppose \mathcal{A} is $\forall x \mathcal{P}$. Then a free instance of r in \mathcal{A} remains free in \mathcal{P} and \mathcal{A}^r/s is $\forall x[\mathcal{P}^r/s]$. Since s is free for r in \mathcal{A} , s is free for r in \mathcal{P} ; so by assumption, $\vdash_{AD} (r = s) \rightarrow (\mathcal{P} \rightarrow \mathcal{P}^r/s)$; so we may reason as follows,

1.	$(r = s) \rightarrow (\mathcal{P} \rightarrow \mathcal{P}^r/s)$	prem
2.	$r = s$	assp (g, DT)
3.	$\forall x \mathcal{P} \rightarrow \mathcal{P}$	A4
4.	$\mathcal{P} \rightarrow \mathcal{P}^r/s$	1,2 MP
5.	$\forall x \mathcal{P} \rightarrow \mathcal{P}^r/s$	3,4 T3.2
6.	$\forall x \mathcal{P} \rightarrow \forall x \mathcal{P}^r/s$	5 T3.28
7.	$(r = s) \rightarrow (\forall x \mathcal{P} \rightarrow \forall x \mathcal{P}^r/s)$	2-6 DT

Notice that x is sure to be free for itself in \mathcal{P} , so that (3) is an instance of A4. And x is bound in $\forall x \mathcal{P}$, so (6) is an instance of T3.28. And because r is free in \mathcal{A} , and s is free for r in \mathcal{A} , x cannot be a variable in r or s ; so the restriction on DT is met at (7). So $\vdash_{AD} (r = s) \rightarrow (\forall x \mathcal{P} \rightarrow \forall x \mathcal{P}^r/s)$; which is to say, $\vdash_{AD} (r = s) \rightarrow (\mathcal{A} \rightarrow \mathcal{A}^r/s)$.

So for any \mathcal{A} with k operator symbols, $\vdash_{AD} (r = s) \rightarrow (\mathcal{A} \rightarrow \mathcal{A}^r/s)$.

Indct: For any \mathcal{A} , $\vdash_{AD} (r = s) \rightarrow (\mathcal{A} \rightarrow \mathcal{A}^r/s)$.

So T9.7, for any formula \mathcal{A} , and terms r and s , if s is free for a replaced instance of r in \mathcal{A} , then $\vdash_{AD} (r = s) \rightarrow (\mathcal{A} \rightarrow \mathcal{A}^r/s)$.

It is a short step from T9.7, which allows substitution of just a single term, to T9.8 which allows substitution of arbitrarily many. Where, as in chapter 6, \mathcal{P}^t/s is \mathcal{P} with some, but not necessarily all, free instances of term t replaced by term s ,

T9.8. For any formula \mathcal{A} and terms r and s , if s is free for the replaced instances of r in \mathcal{A} , then $\vdash_{AD} (r = s) \rightarrow (\mathcal{A} \rightarrow \mathcal{A}^r/s)$.

By induction on the number of instances of r that are replaced by s in \mathcal{A} . Say \mathcal{A}_i is \mathcal{A} with i free instances of r replaced by s . Suppose s is free for the replaced instances of r in \mathcal{A} . We show that for any i , $\vdash_{AD} (r = s) \rightarrow (\mathcal{A} \rightarrow \mathcal{A}_i)$.

Basis: If no instances of r are replaced by s then $\mathcal{A}_0 = \mathcal{A}$. But by T3.1, $\vdash_{AD} \mathcal{A} \rightarrow \mathcal{A}$, and by A1, $\vdash_{AD} (\mathcal{A} \rightarrow \mathcal{A}) \rightarrow [(r = s) \rightarrow (\mathcal{A} \rightarrow \mathcal{A})]$;

so by MP, $\vdash_{AD} (r = s) \rightarrow (\mathcal{A} \rightarrow \mathcal{A})$; which is to say, $\vdash_{AD} (r = s) \rightarrow (\mathcal{A} \rightarrow \mathcal{A}_0)$.

Assp: For any i , $0 \leq i < k$, $\vdash_{AD} (r = s) \rightarrow (\mathcal{A} \rightarrow \mathcal{A}_i)$.

Show: $\vdash_{AD} (r = s) \rightarrow (\mathcal{A} \rightarrow \mathcal{A}_k)$.

\mathcal{A}_k is of the sort $\mathcal{A}_i \text{ }^r\!/\!_s$ for $i < k$. By assumption, then, $\vdash_{AD} (r = s) \rightarrow (\mathcal{A} \rightarrow \mathcal{A}_i)$, and by T9.7, $\vdash_{AD} (r = s) \rightarrow (\mathcal{A}_i \rightarrow \mathcal{A}_i \text{ }^r\!/\!_s)$, which is the same as $\vdash_{AD} (r = s) \rightarrow (\mathcal{A}_i \rightarrow \mathcal{A}_k)$. So reason as follows,

- | | | |
|----|---|---------------|
| 1. | $(r = s) \rightarrow (\mathcal{A} \rightarrow \mathcal{A}_i)$ | by assumption |
| 2. | $(r = s) \rightarrow (\mathcal{A}_i \rightarrow \mathcal{A}_k)$ | T9.7 |
| 3. | $r = s$ | assp (g, DT) |
| 4. | $\mathcal{A} \rightarrow \mathcal{A}_i$ | 1,3 MP |
| 5. | $\mathcal{A}_i \rightarrow \mathcal{A}_k$ | 2,3 MP |
| 6. | $\mathcal{A} \rightarrow \mathcal{A}_k$ | 4,5 T3.2 |
| 7. | $(r = s) \rightarrow (\mathcal{A} \rightarrow \mathcal{A}_k)$ | 3-6 DT |

Since s is free for the replaced instances of r in \mathcal{A} , (2) is an instance of T9.7. So $\vdash_{AD} (r = s) \rightarrow (\mathcal{A} \rightarrow \mathcal{A}_k)$.

Indct: For any i , $\vdash_{AD} (r = s) \rightarrow (\mathcal{A} \rightarrow \mathcal{A}_i)$.

In effect, the result is by multiple applications of T9.7. No matter how many instances of r have been replaced by s , we may use T9.7 to replace another!

Some final substitution results allow substitution of *formulas* rather than terms. We have the result in syntactic and semantic forms. Where $\mathcal{A}^{\mathcal{B}}/\mathcal{C}$ is \mathcal{A} with exactly one instance of a subformula \mathcal{B} replaced by formula \mathcal{C} ,

T9.9. For any formulas \mathcal{A} , \mathcal{B} and \mathcal{C} , if $\vdash_{AD} \mathcal{B} \leftrightarrow \mathcal{C}$, then $\vdash_{AD} \mathcal{A} \leftrightarrow \mathcal{A}^{\mathcal{B}}/\mathcal{C}$.

The proof is by induction on the number of operators in \mathcal{A} . If you have understood the previous two inductions, this one should be straightforward. Observe that, in the basis, when \mathcal{A} is atomic, \mathcal{B} can only be all of \mathcal{A} , and $\mathcal{A}^{\mathcal{B}}/\mathcal{C}$ is \mathcal{C} . For the show, either \mathcal{B} is all of \mathcal{A} or it is not. If it is, then the result holds by reasoning as in the basis. If \mathcal{B} is a proper part of \mathcal{A} , then the assumption applies.

T9.10. For any formulas \mathcal{A} , \mathcal{B} and \mathcal{C} , if for any d , $I_d[\mathcal{B}] = S$ iff $I_d[\mathcal{C}] = S$, then $I_d[\mathcal{A}] = S$ iff $I_d[\mathcal{A}^{\mathcal{B}}/\mathcal{C}] = S$.

- *E9.8. Set up the above demonstration for T9.7 and complete the unfinished case to provide a complete demonstration that for any formula \mathcal{A} , and terms r and s , if s is free for the replaced instance of r in \mathcal{A} , then $\vdash_{AD} (r = s) \rightarrow (\mathcal{A} \rightarrow \mathcal{A}^{r//s})$.
- E9.9. Suppose our primitive operators are \sim , \wedge and \exists rather than \sim , \rightarrow and \forall . Modify your argument for T9.7 to show that for any formula \mathcal{A} , and terms r and s , if s is free for the replaced instance of r in \mathcal{A} , then $\vdash_{AD} (r = s) \rightarrow (\mathcal{A} \rightarrow \mathcal{A}^{r//s})$. Hint: Do not forget that you may appeal to T9.4.
- *E9.10. Prove T9.9, to show that for any formulas \mathcal{A} , \mathcal{B} and \mathcal{C} , if $\vdash_{AD} \mathcal{B} \leftrightarrow \mathcal{C}$, then $\vdash_{AD} \mathcal{A} \leftrightarrow \mathcal{A}^{\mathcal{B}/\mathcal{C}}$. Hint: Where $\mathcal{P} \leftrightarrow \mathcal{Q}$ abbreviates $(\mathcal{P} \rightarrow \mathcal{Q}) \wedge (\mathcal{Q} \rightarrow \mathcal{P})$, you can use (abv) along with T3.19, T3.20 and T9.4 to manipulate formulas of the sort $\mathcal{P} \leftrightarrow \mathcal{Q}$.
- E9.11. Where $\mathcal{A}^{\mathcal{B}/\mathcal{C}}$ replaces some, but not necessarily all, instances of formula \mathcal{B} with formula \mathcal{C} , use your result from E9.10 to show that if $\vdash_{AD} \mathcal{B} \leftrightarrow \mathcal{C}$, then $\vdash_{AD} \mathcal{A} \leftrightarrow \mathcal{A}^{\mathcal{B}/\mathcal{C}}$.

9.3.3 Intended Result

We are finally ready to show that if $\Gamma \vdash_{ND} \mathcal{P}$ then $\Gamma \vdash_{AD} \mathcal{P}$. As usual, the idea is that the existence of one derivation guarantees the existence of another. In this case, we begin with a derivation in ND , and move to the existence of one in AD . Suppose $\Gamma \vdash_{ND} \mathcal{P}$. Then there is an ND derivation N of \mathcal{P} from premises in Γ , with lines $\langle \mathcal{Q}_1 \dots \mathcal{Q}_n \rangle$ and $\mathcal{Q}_n = \mathcal{P}$. We show that there is an AD derivation A of the same result (with possible appeal to DT). Say derivation A *matches* N iff any \mathcal{Q}_i from N appears at the same scope on the line numbered ' i ' of A ; and say derivation A is *good* iff it has no application of Gen to a variable free in an undischarged auxiliary assumption. Then, given derivation N , we show that there is a good derivation A that matches N . The reason for the restriction on free variables is to be sure that DT is available at any stage in derivation A . The argument is by induction on the line number of N , where we show that for any i , there is a good derivation A_i that matches N through line i . The case when $i = n$ is an AD derivation of \mathcal{P} under the scope of the premises alone, and so a demonstration of the desired result.

T9.11. If $\Gamma \vdash_{ND} \mathcal{P}$, then $\Gamma \vdash_{AD} \mathcal{P}$.

Suppose $\Gamma \vdash_{ND} \mathcal{P}$; then there is an *ND* derivation N of \mathcal{P} from premises in Γ . We show that for any i , there is a good *AD* derivation A_i that matches N through line i .

Basis: The first line of N is a premise or an assumption. Let A_1 be the same. Then A_1 matches N ; and since there is no application of Gen, A_1 is good.

Assp: For any i , $1 \leq i < k$, there is a good derivation A_i that matches N through line i .

Show: There is a good derivation A_k that matches N through line k .

Either \mathcal{Q}_k is a premise or assumption, or arises from previous lines by R, $\wedge E$, $\wedge I$, $\rightarrow E$, $\rightarrow I$, $\sim E$, $\sim I$, $\vee E$, $\vee I$, $\leftrightarrow E$, $\leftrightarrow I$, $\forall E$, $\forall I$, $\exists E$, $\exists I$, $=E$ or $=I$.

(p/a) If \mathcal{Q}_k is a premise or an assumption, let A_k continue in the same way. Then, by reasoning as in the basis, A_k matches N and is good.

(R) If \mathcal{Q}_k arises from previous lines by R, then N looks something like this,

$$\begin{array}{l|l} i & \mathcal{B} \\ k & \mathcal{B} \quad i \text{ R} \end{array}$$

where $i < k$, \mathcal{B} is accessible at line k , and $\mathcal{Q}_k = \mathcal{B}$. By assumption A_{k-1} matches N through line $k-1$ and is good. So \mathcal{B} appears at the same scope on the line numbered ' i ' of A_{k-1} and is accessible in A_{k-1} . So let A_k continue as follows,

$$\begin{array}{l|l} i & \mathcal{B} \\ & \vdots \\ k.1 & \mathcal{B} \rightarrow \mathcal{B} \quad \text{T3.1} \\ k & \mathcal{B} \quad k.1, i \text{ MP} \end{array}$$

So \mathcal{Q}_k appears at the same scope on the line numbered ' k ' of A_k ; so A_k matches N through line k . And since there is no new application of Gen, A_k is good.

($\wedge E$) If \mathcal{Q}_k arises by $\wedge E$, then N is something like this,

$$\begin{array}{l|l} i & \mathcal{B} \wedge \mathcal{C} \\ k & \mathcal{B} \quad i \wedge E \end{array} \quad \text{or} \quad \begin{array}{l|l} i & \mathcal{B} \wedge \mathcal{C} \\ k & \mathcal{C} \quad i \wedge E \end{array}$$

where $i < k$ and $\mathcal{B} \wedge \mathcal{C}$ is accessible at line k . In the first case, $\mathcal{Q}_k = \mathcal{B}$. By assumption A_{k-1} matches N through line $k - 1$ and is good. So $\mathcal{B} \wedge \mathcal{C}$ appears at the same scope on the line numbered ‘ i ’ of A_{k-1} and is accessible in A_{k-1} . So let A_k continue as follows,

i	$\mathcal{B} \wedge \mathcal{C}$	
$k.1$	$(\mathcal{B} \wedge \mathcal{C}) \rightarrow \mathcal{B}$	T3.20
k	\mathcal{B}	$k.1, i$ MP

So \mathcal{Q}_k appears at the same scope on the line numbered ‘ k ’ of A_k ; so A_k matches N through line k . And since there is no new application of Gen, A_k is good. And similarly in the other case, by application of T3.19.

(\wedge I) If \mathcal{Q}_k arises from previous lines by \wedge I, then N is something like this,

i	\mathcal{B}
j	\mathcal{C}
k	$\mathcal{B} \wedge \mathcal{C} \quad i, j \wedge$ I

where $i, j < k$, \mathcal{B} and \mathcal{C} are accessible at line k , and $\mathcal{Q}_k = \mathcal{B} \wedge \mathcal{C}$. By assumption A_{k-1} matches N through line $k - 1$ and is good. So \mathcal{B} and \mathcal{C} appear at the same scope on the lines numbered ‘ i ’ and ‘ j ’ of A_{k-1} and are accessible in A_{k-1} . So let A_k continue as follows,

i	\mathcal{B}	
j	\mathcal{C}	
$k.1$	$\mathcal{B} \rightarrow (\mathcal{C} \rightarrow (\mathcal{B} \wedge \mathcal{C}))$	T9.4
$k.2$	$\mathcal{C} \rightarrow (\mathcal{B} \wedge \mathcal{C})$	$k.1, i$ MP
k	$\mathcal{B} \wedge \mathcal{C}$	$k.2, j$ MP

So \mathcal{Q}_k appears at the same scope on the line numbered ‘ k ’ of A_k ; so A_k matches N through line k . And since there is no new application of Gen, A_k is good.

(\rightarrow E) If \mathcal{Q}_k arises from previous lines by \rightarrow E, then N is something like this,

i	$\mathcal{B} \rightarrow \mathcal{C}$
j	\mathcal{B}
k	$\mathcal{C} \quad i, j \rightarrow$ E

where $i, j < k$, $\mathcal{B} \rightarrow \mathcal{C}$ and \mathcal{B} are accessible at line k , and $\mathcal{Q}_k = \mathcal{C}$. By assumption A_{k-1} matches N through line $k - 1$ and is good. So

$\mathcal{B} \rightarrow \mathcal{C}$ and \mathcal{B} appear at the same scope on the lines numbered ‘ i ’ and ‘ j ’ of A_{k-1} and are accessible in A_{k-1} . So let A_k continue as follows,

$$\begin{array}{l|l} i & \mathcal{B} \rightarrow \mathcal{C} \\ j & \mathcal{B} \\ k & \mathcal{C} \quad i, j \text{ MP} \end{array}$$

So \mathcal{Q}_k appears at the same scope on the line numbered ‘ k ’ of A_k ; so A_k matches N through line k . And since there is no new application of Gen, A_k is good.

(\rightarrow I) If \mathcal{Q}_k arises by \rightarrow I, then N is something like this,

$$\begin{array}{l|l} i & \mathcal{B} \\ j & \mathcal{C} \\ k & \mathcal{B} \rightarrow \mathcal{C} \quad i-j \rightarrow \text{I} \end{array}$$

where $i, j < k$, the subderivation is accessible at line k and $\mathcal{Q}_k = \mathcal{B} \rightarrow \mathcal{C}$. By assumption A_{k-1} matches N through line $k-1$ and is good. So \mathcal{B} and \mathcal{C} appear at the same scope on the lines numbered ‘ i ’ and ‘ j ’ of A_{k-1} ; since they appear at the same scope, the parallel subderivation is accessible in A_{k-1} ; since A_{k-1} is good, no application of Gen under the scope of \mathcal{B} is to a variable free in \mathcal{B} . So let A_k continue as follows,

$$\begin{array}{l|l} i & \mathcal{B} \\ j & \mathcal{C} \\ k & \mathcal{B} \rightarrow \mathcal{C} \quad i-j \text{ DT} \end{array}$$

So \mathcal{Q}_k appears at the same scope on the line numbered ‘ k ’ of A_k ; so A_k matches N through line k . And since there is no new application of Gen, A_k is good.

(\sim E) If \mathcal{Q}_k arises by \sim E, then N is something like this (reverting to the unabbreviated form),

$$\begin{array}{l|l} i & \sim \mathcal{B} \\ j & \mathcal{C} \wedge \sim \mathcal{C} \\ k & \mathcal{B} \quad i-j \sim \text{E} \end{array}$$

where $i, j < k$, the subderivation is accessible at line k , and $\mathcal{Q}_k = \mathcal{B}$. By assumption A_{k-1} matches N through line $k - 1$ and is good. So $\sim\mathcal{B}$ and $\mathcal{C} \wedge \sim\mathcal{C}$ appear at the same scope on the lines numbered ‘ i ’ and ‘ j ’ of A_{k-1} ; since they appear at the same scope, the parallel subderivation is accessible in A_{k-1} ; since A_{k-1} is good, no application of Gen under the scope of $\sim\mathcal{B}$ is to a variable free in $\sim\mathcal{B}$. So let A_k continue as follows,

i	$\sim\mathcal{B}$	
j	$\mathcal{C} \wedge \sim\mathcal{C}$	
$k.1$	$\sim\mathcal{B} \rightarrow (\mathcal{C} \wedge \sim\mathcal{C})$	$i-j$ DT
$k.2$	$(\mathcal{C} \wedge \sim\mathcal{C}) \rightarrow \mathcal{C}$	T3.20
$k.3$	$(\mathcal{C} \wedge \sim\mathcal{C}) \rightarrow \sim\mathcal{C}$	T3.19
$k.4$	$\sim\mathcal{B} \rightarrow \mathcal{C}$	$k.1, k.2$ T3.2
$k.5$	$\sim\mathcal{B} \rightarrow \sim\mathcal{C}$	$k.1, k.3$ T3.2
$k.6$	$(\sim\mathcal{B} \rightarrow \sim\mathcal{C}) \rightarrow ((\sim\mathcal{B} \rightarrow \mathcal{C}) \rightarrow \mathcal{B})$	A3
$k.7$	$(\sim\mathcal{B} \rightarrow \mathcal{C}) \rightarrow \mathcal{B}$	$k.6, k.5$ MP
k	\mathcal{B}	$k.7, k.4$ MP

So \mathcal{Q}_k appears at the same scope on the line numbered ‘ k ’ of A_k ; so A_k matches N through line k . And since there is no new application of Gen, A_k is good.

(\sim I) Homework.

(\vee E) If \mathcal{Q}_k arises by \vee E, then N is something like this,

f	$\mathcal{B} \vee \mathcal{C}$	
g	\mathcal{B}	
h	\mathcal{D}	
i	\mathcal{C}	
j	\mathcal{D}	
k	\mathcal{D}	$f, g-h, i-j$ \vee E

where $f, g, h, i, j < k$, $\mathcal{B} \vee \mathcal{C}$ and the two subderivations are accessible at line k and $\mathcal{Q}_k = \mathcal{D}$. By assumption A_{k-1} matches N through line $k - 1$ and is good. So the formulas at lines f, g, h, i, j appear at the same scope on corresponding lines in A_{k-1} ; since they appear at the same scope, $\mathcal{B} \vee \mathcal{C}$ and corresponding subderivations are accessible in A_{k-1} ; since A_{k-1} is good, no application of Gen under the

scope of \mathcal{B} is to a variable free in \mathcal{B} , and no application of Gen under the scope of \mathcal{C} is to a variable free in \mathcal{C} . So let A_k continue as follows,

f	$\mathcal{B} \vee \mathcal{C}$	
g	\mathcal{B}	
h	\mathcal{D}	
i	\mathcal{C}	
j	\mathcal{D}	
$k.1$	$\mathcal{B} \rightarrow \mathcal{D}$	$g-h$ DT
$k.2$	$\mathcal{C} \rightarrow \mathcal{D}$	$i-j$ DT
$k.3$	$(\mathcal{B} \rightarrow \mathcal{D}) \rightarrow [(\mathcal{C} \rightarrow \mathcal{D}) \rightarrow ((\mathcal{B} \vee \mathcal{C}) \rightarrow \mathcal{D})]$	T9.5
$k.4$	$(\mathcal{C} \rightarrow \mathcal{D}) \rightarrow ((\mathcal{B} \vee \mathcal{C}) \rightarrow \mathcal{D})$	$k.3, k.1$ MP
$k.5$	$(\mathcal{B} \vee \mathcal{C}) \rightarrow \mathcal{D}$	$k.4, k.2$ MP
k	\mathcal{D}	$k.5, f$ MP

So \mathcal{Q}_k appears at the same scope on the line numbered ' k ' of A_k ; so A_k matches N through line k . And since there is no new application of Gen, A_k is good.

(\vee I) Homework.

(\leftrightarrow E) Homework.

(\leftrightarrow I) Homework.

(\forall E) Homework.

(\forall I) If \mathcal{Q}_k arises by \forall I, then N looks something like this,

i	\mathcal{B}_v^x	
k	$\forall x \mathcal{B}$	i \forall I

where $i < k$, \mathcal{B}_v^x is accessible at line k , and $\mathcal{Q}_k = \forall x \mathcal{B}$; further the ND restrictions on \forall I are met: (i) v is free for x in \mathcal{B} , (ii) v is not free in any undischarged auxiliary assumption, and (iii) v is not free in $\forall x \mathcal{B}$. By assumption A_{k-1} matches N through line $k-1$ and is good. So \mathcal{B}_v^x appears at the same scope on the line numbered ' i ' of A_{k-1} and is accessible in A_{k-1} . So let A_k continue as follows,

i	\mathcal{B}_v^x	
$k.1$	$\forall v \mathcal{B}_v^x$	i Gen
$k.2$	$\forall v \mathcal{B}_v^x \rightarrow \forall x \mathcal{B}$	T3.27
k	$\forall x \mathcal{B}$	$k.1, k.2$ MP

If v is x , we have the desired result already at $k.1$. So suppose $x \neq v$. On its face, $k.2$ does not look like T3.27 according to which $\forall x \mathcal{A} \rightarrow \forall y \mathcal{A}_y^x$ with y free for x in \mathcal{A} but not free in $\forall x \mathcal{A}$. To see that we have it right, consider first, $\forall v \mathcal{B}_v^x \rightarrow \forall x [\mathcal{B}_v^x]_x^v$; this is an instance of T3.27 so long as x is not free in $\forall v \mathcal{B}_v^x$ but free for v in \mathcal{B}_v^x . First, since \mathcal{B}_v^x has all its free instances of x replaced by v , x is not free in $\forall v \mathcal{B}_v^x$. Second, since $v \neq x$, with the constraint (iii), that v is not free in $\forall x \mathcal{B}$, v is not free in \mathcal{B} ; so every free instance of v in \mathcal{B}_v^x replaces a free instance of x ; so x is free for v in \mathcal{B}_v^x . So $\forall v \mathcal{B}_v^x \rightarrow \forall x [\mathcal{B}_v^x]_x^v$ is an instance of T3.27. But since v is not free in \mathcal{B} , and by constraint (i), v is free for x in \mathcal{B} , by T8.2, $[\mathcal{B}_v^x]_x^v = \mathcal{B}$. So $k.2$ is a version of T3.27.

So \mathcal{Q}_k appears at the same scope on the line numbered ' k ' of A_k ; so A_k matches N through line k . This time, there is an application of Gen at $k.1$. But A_{k-1} is good and since A_k matches N and, by (ii), v is free in no undischarged auxiliary assumption of N , v is not free in any undischarged auxiliary assumption of A_k ; so A_k is good. (Notice that, in this reasoning, we appeal to each of the restrictions that apply to $\forall I$ in N).

($\exists E$) If \mathcal{Q}_k arises by $\exists E$, then N looks something like this,

$$\begin{array}{l|l}
 h & \exists x \mathcal{B} \\
 i & \left| \begin{array}{l} \mathcal{B}_v^x \\ \hline \mathcal{C} \end{array} \right. \\
 j & \left| \mathcal{C} \right. \\
 k & \mathcal{C} \qquad h, i-j \exists E
 \end{array}$$

where $h, i, j < k$, $\exists x \mathcal{B}$ and the subderivation are accessible at line k , and $\mathcal{Q}_k = \mathcal{C}$; further, the *ND* restrictions on $\exists E$ are met: (i) v is free for x in \mathcal{B} , (ii) v is not free in any undischarged auxiliary assumption, and (iii) v is not free in $\exists x \mathcal{B}$ or in \mathcal{C} . By assumption A_{k-1} matches N through line $k-1$ and is good. So the formulas at lines h, i and j appear at the same scope on corresponding lines in A_{k-1} ; since they appear at the same scope, $\exists x \mathcal{B}$ and the corresponding subderivation are accessible in A_{k-1} . Since A_{k-1} is good, no application of Gen under the scope of \mathcal{B}_v^x is to a variable free in \mathcal{B}_v^x . So let A_k continue as follows,

h	$\exists x \mathcal{B}$	
i	\mathcal{B}_v^x	
j	\mathcal{C}	
$k.1$	$\mathcal{B}_v^x \rightarrow \mathcal{C}$	$i-j$ DT
$k.2$	$\exists v \mathcal{B}_v^x \rightarrow \mathcal{C}$	$k.1$ T3.31
$k.3$	$\forall v \sim \mathcal{B}_v^x \rightarrow \forall x \sim \mathcal{B}$	T3.27
$k.4$	$(\forall v \sim \mathcal{B}_v^x \rightarrow \forall x \sim \mathcal{B}) \rightarrow (\sim \forall x \sim \mathcal{B} \rightarrow \sim \forall v \sim \mathcal{B}_v^x)$	T3.13
$k.5$	$\sim \forall x \sim \mathcal{B} \rightarrow \sim \forall v \sim \mathcal{B}_v^x$	$k.4, k.3$ MP
$k.6$	$\exists x \mathcal{B} \rightarrow \exists v \mathcal{B}_v^x$	$k.5$ abv
$k.7$	$\exists v \mathcal{B}_v^x$	$h, k.6$ MP
k	\mathcal{C}	$k.2, k.7$ MP

From constraint (iii), that v is not free in \mathcal{C} , $k.2$ meets the restriction on T3.31. If $v = x$ we can go directly from h and $k.2$ to k . So suppose $v \neq x$. Then by [homework] $\forall v \sim \mathcal{B}_v^x \rightarrow \forall x \sim \mathcal{B}$ at $k.3$ is an instance of T3.27. So \mathcal{Q}_k appears at the same scope on the line numbered ‘ k ’ of A_k ; so A_k matches N through line k . There is an application of Gen in T3.31 at $k.2$. But A_{k-1} is good and since A_k matches N and, by (ii), v is free in no undischarged auxiliary assumption of N , v is not free in any undischarged auxiliary assumption of A_k ; so A_k is good. (Notice again that we appeal to each of the restrictions that apply to $\exists E$ in N).

($\exists I$) Homework.

($=E$) Homework.

($=I$) Homework.

In any case, A_k matches N through line k and is good.

Indct: Derivation A matches N and is good.

So if there is an ND derivation to show $\Gamma \vdash_{ND} \mathcal{P}$, then there is a matching AD derivation to show the same; so T9.11, if $\Gamma \vdash_{ND} \mathcal{P}$, then $\Gamma \vdash_{AD} \mathcal{P}$. So with T9.2, AD and ND are equivalent; that is, $\Gamma \vdash_{ND} \mathcal{P}$ iff $\Gamma \vdash_{AD} \mathcal{P}$. Given this, we will often ignore the difference between AD and ND and simply write $\Gamma \vdash \mathcal{P}$ when there is a(n AD or ND) derivation of \mathcal{P} from premises in Γ . Also given the equivalence between the systems, we are in a position to *transfer* results from one system to the other without demonstrating them directly for both. We will come to appreciate this, and especially the relative simplicity of AD , as time goes by.

As before, given any ND derivation, we can use the method of our induction to find a corresponding AD derivation. For a simple example, consider the following demonstration that $\sim A \rightarrow (A \wedge B) \vdash_{ND} A$.

(I)	1.	$\sim A \rightarrow (A \wedge B)$	P
	2.	$\sim A$	A (c, $\sim E$)
	3.	$A \wedge B$	1,2 $\rightarrow E$
	4.	A	3 $\wedge E$
	5.	$A \wedge \sim A$	4,2 $\wedge I$
	6.	A	2-4 $\sim E$

Given relevant cases from the induction, the corresponding *AD* derivation is as follows,

1	$\sim A \rightarrow (A \wedge B)$	prem
2	$\sim A$	assp
3	$A \wedge B$	1,2 MP
4.1	$(A \wedge B) \rightarrow A$	T3.20
4	A	4.1,3 MP
5.1	$A \rightarrow (\sim A \rightarrow (A \wedge \sim A))$	T9.4
5.2	$\sim A \rightarrow (A \wedge \sim A)$	4,5.1 MP
5	$A \wedge \sim A$	5.2,2 MP
6.1	$\sim A \rightarrow (A \wedge \sim A)$	2-5 DT
6.2	$(A \wedge \sim A) \rightarrow A$	T3.20
6.3	$(A \wedge \sim A) \rightarrow \sim A$	T3.19
6.4	$\sim A \rightarrow A$	6.1,6.2 T3.2
6.5	$\sim A \rightarrow \sim A$	6.1,6.3 T3.2
6.6	$(\sim A \rightarrow \sim A) \rightarrow ((\sim A \rightarrow A) \rightarrow A)$	A3
6.7	$(\sim A \rightarrow A) \rightarrow A$	6.6,6.5 MP
6	A	6.7,6.4 MP

For the first two lines, we simply take over the premise and assumption from the *ND* derivation. For (3), the induction uses MP in *AD* where $\rightarrow E$ appears in *ND*; so that is what we do. For (4), our induction shows that we can get the effect of $\wedge E$ by appeal to T3.20 with MP. (5) in the *ND* derivation is by $\wedge I$, and, as above, we get the same effect by T9.4 with MP. (6) in the *ND* derivation is by $\sim E$. Following the strategy from the induction, we set up for application of A3 by getting the conditional by DT. As usual, the constructed derivation is not very efficient! You should be able to get the same result in just five lines by appeal to T3.20, T3.2 and then T3.7 (try it). But, again, the point is just to show that there always *is* a corresponding derivation.

***E9.12.** Set up the above induction for T9.11 and complete the unfinished cases (including the case for $\exists E$) to show that if $\Gamma \vdash_{ND} \mathcal{P}$, then $\Gamma \vdash_{AD} \mathcal{P}$. For cases completed in the text, you may simply refer to the text, as the text refers cases to homework.

E9.13. Consider a system $N2$ which is like ND except that its only rules are $\wedge E$, $\wedge I$, $\sim E$ and $\sim I$, along with the system $A2$ from E3.4 on p. 81. Produce a complete demonstration that if $\Gamma \vdash_{N2} \mathcal{P}$, then $\Gamma \vdash_{A2} \mathcal{P}$. You may use any of the theorems for $A2$ from E3.4, along with DT from E9.7.

E9.14. Consider the following ND derivation and, using the method from the induction, construct a derivation to show $\exists x(C \wedge Bx) \vdash_{AD} C$.

1.	$\exists x(C \wedge Bx)$	P
2.	$C \wedge By$	A (g, $\exists E$)
3.	C	2 $\wedge E$
4.	C	1,2-3 $\exists E$

Hint: your derivation should have 12 lines.

9.4 Extending to $ND+$

$ND+$ adds sixteen rules to ND : the four inference rules, **MT**, **HS**, **DS** and **NB** and the twelve replacement rules, **DN**, **Com**, **Assoc**, **Idem**, **Impl**, **Trans**, **DeM**, **Exp**, **Equiv**, **Dist**, **QN** and **BQN** — where some of these have multiple forms. It might seem tedious to go through all the cases but, as it happens, we have already done most of the work. First, it is easy to see that,

T9.12. If $\Gamma \vdash_{ND} \mathcal{P}$ then $\Gamma \vdash_{ND+} \mathcal{P}$.

Suppose $\Gamma \vdash_{ND} \mathcal{P}$. Then there is an ND derivation N of \mathcal{P} from premises in Γ . But since every rule of ND is a rule of $ND+$, N is a derivation in $ND+$ as well. So $\Gamma \vdash_{ND+} \mathcal{P}$.

From T9.2 and T9.12, then, the situation is as follows,

$$\Gamma \vdash_{AD} \mathcal{P} \xrightarrow{9.2} \Gamma \vdash_{ND} \mathcal{P} \xrightarrow{9.12} \Gamma \vdash_{ND+} \mathcal{P}$$

If an argument is valid in AD , it is valid in ND , and in $ND+$. From T9.11, the leftmost arrow is a biconditional. Again, however, one might think that $ND+$ has more resources than ND , so that more could be derived in $ND+$ than ND . But this is not so. To see this, we might begin with the closer systems ND and $ND+$, and attempt to show that anything derivable in $ND+$ is derivable in ND . Alternatively, we choose simply to expand the induction of the previous section to include cases for all the

rules of $ND+$. The result is a demonstration that if $\Gamma \vdash_{ND+} \mathcal{P}$, then $\Gamma \vdash_{AD} \mathcal{P}$. Given this, the three systems are connected in a “loop” — so that if there is a derivation in any one of the systems, there is a derivation in the others as well.

T9.13. If $\Gamma \vdash_{ND+} \mathcal{P}$, then $\Gamma \vdash_{AD} \mathcal{P}$.

Suppose $\Gamma \vdash_{ND+} \mathcal{P}$; then there is an $ND+$ derivation N of \mathcal{P} from premises in Γ . We show that for any i , there is a good AD derivation A_i that matches N through line i .

Basis: The first line of N is a premise or an assumption. Let A_1 be the same. Then A_1 matches N ; and since there is no application of Gen, A_1 is good.

Assp: For any i , $0 \leq i < k$, there is a good derivation A_i that matches N through line i .

Show: There is a good derivation of A_k that matches N through line k .

Either \mathcal{Q}_k is a premise or assumption, arises by a rule of ND , or by the $ND+$ derivation rules, MT, HS, DS, NB or replacement rules, DN, Com, Assoc, Idem, Impl, Trans, DeM, Exp, Equiv, Dist, QN or BQN. If \mathcal{Q}_k is a premise or assumption or arises by a rule of ND , then by reasoning as for T9.11, there is a good derivation A_k that matches N through line k . So suppose \mathcal{Q}_k arises by one of the $ND+$ rules.

(MT) If \mathcal{Q}_k arises from previous lines by MT, then N is something like this,

i	$\mathcal{B} \rightarrow \mathcal{C}$	
j	$\sim \mathcal{C}$	
k	$\sim \mathcal{B}$	i, j MT

where $i, j < k$, $\mathcal{B} \rightarrow \mathcal{C}$ and $\sim \mathcal{C}$ are accessible at line k , and $\mathcal{Q}_k = \sim \mathcal{B}$. By assumption A_{k-1} matches N through line $k-1$ and is good. So $\mathcal{B} \rightarrow \mathcal{C}$ and $\sim \mathcal{C}$ appear at the same scope on the lines numbered ‘ i ’ and ‘ j ’ of A_{k-1} and are accessible in A_{k-1} . So let A_k continue as follows,

i	$\mathcal{B} \rightarrow \mathcal{C}$	
j	$\sim \mathcal{C}$	
$k.1$	$(\mathcal{B} \rightarrow \mathcal{C}) \rightarrow (\sim \mathcal{C} \rightarrow \sim \mathcal{B})$	T3.13
$k.2$	$\sim \mathcal{C} \rightarrow \sim \mathcal{B}$	$k.1, i$ MP
k	$\sim \mathcal{B}$	$k.2, j$ MP

So \mathcal{Q}_k appears at the same scope on the line numbered ‘ k ’ of A_k ; so A_k matches N through line k . And since there is no new application of Gen, A_k is good.

(HS) Homework.

(DS) Homework.

(NB) Homework.

(rep) If \mathcal{Q}_k arises from a replacement rule rep of the form $\mathcal{C} \triangleleft \triangleright \mathcal{D}$, then N is something like this,

$$\begin{array}{c|c} i & \mathcal{B} \\ \hline k & \mathcal{B}^{\mathcal{C}} //_{\mathcal{D}} \quad i \text{ rep} \end{array} \quad \text{or} \quad \begin{array}{c|c} i & \mathcal{B} \\ \hline k & \mathcal{B}^{\mathcal{D}} //_{\mathcal{C}} \quad i \text{ rep} \end{array}$$

where $i < k$, \mathcal{B} is accessible at line k and, in the first case, $\mathcal{Q}_k = \mathcal{B}^{\mathcal{C}} //_{\mathcal{D}}$. By assumption A_{k-1} matches N through line $k-1$ and is good. But by T6.11 - T6.27, T6.30, T6.31, and T6.69, $\vdash_{ND} \mathcal{C} \leftrightarrow \mathcal{D}$; so with T9.11, $\vdash_{AD} \mathcal{C} \leftrightarrow \mathcal{D}$; so by T9.9, $\vdash_{AD} \mathcal{B} \leftrightarrow \mathcal{B}^{\mathcal{C}} //_{\mathcal{D}}$. Call an arbitrary particular result of this sort, Tx , and augment A_k as follows,

$$\begin{array}{c|c} 0.k & \mathcal{B} \leftrightarrow \mathcal{B}^{\mathcal{C}} //_{\mathcal{D}} \\ \hline i & \mathcal{B} \\ \hline k.1 & (\mathcal{B} \rightarrow \mathcal{B}^{\mathcal{C}} //_{\mathcal{D}}) \wedge (\mathcal{B}^{\mathcal{C}} //_{\mathcal{D}} \rightarrow \mathcal{B}) \\ k.2 & [(\mathcal{B} \rightarrow \mathcal{B}^{\mathcal{C}} //_{\mathcal{D}}) \wedge (\mathcal{B}^{\mathcal{C}} //_{\mathcal{D}} \rightarrow \mathcal{B})] \rightarrow (\mathcal{B} \rightarrow \mathcal{B}^{\mathcal{C}} //_{\mathcal{D}}) \\ k.3 & \mathcal{B} \rightarrow \mathcal{B}^{\mathcal{C}} //_{\mathcal{D}} \\ k & \mathcal{B}^{\mathcal{C}} //_{\mathcal{D}} \end{array} \quad \begin{array}{c} Tx \\ \\ 0.k \text{ abv} \\ T3.20 \\ k.2, k.1 \text{ MP} \\ k.3, i \text{ MP} \end{array}$$

So \mathcal{Q}_k appears at the same scope on the line numbered ‘ k ’ of A_k ; so A_k matches N through line k . There may be applications of Gen in the derivation of Tx ; but that derivation is under the scope of no undischarged assumption. And under the scope of any undischarged assumptions, there is no new application of Gen. So A_k is good. And similarly in the other case, with some work to flip the biconditional $\vdash_{AD} \mathcal{C} \leftrightarrow \mathcal{D}$ to $\vdash_{AD} \mathcal{D} \leftrightarrow \mathcal{C}$.

In any case, A_k matches N through line k and is good.

Indct: Derivation A matches N and is good.

That is it! The key is that work we have already done collapses cases for all the replacement rules into one. So each of the derivation systems, AD , ND , and $ND+$ is

Theorems of Chapter 9

- T9.1 For any ordinary argument $\mathcal{P}_1 \dots \mathcal{P}_n / \mathcal{Q}$, with good translation consisting of \parallel and $\mathcal{P}'_1 \dots \mathcal{P}'_n, \mathcal{Q}'$, if $\mathcal{P}'_1 \dots \mathcal{P}'_n \models \mathcal{Q}'$, then $\mathcal{P}_1 \dots \mathcal{P}_n / \mathcal{Q}$ is logically valid.
- T9.2 If $\Gamma \vdash_{AD} \mathcal{P}$, then $\Gamma \vdash_{ND} \mathcal{P}$.
- T9.3 (*Deduction Theorem*) If $\Delta \cup \{\mathcal{P}\} \vdash_{AD} \mathcal{Q}$, and no application of Gen under the scope of \mathcal{P} is to a variable free in \mathcal{P} , then $\Delta \vdash_{AD} \mathcal{P} \rightarrow \mathcal{Q}$.
- T9.4 $\vdash_{AD} \mathcal{A} \rightarrow (\mathcal{B} \rightarrow (\mathcal{A} \wedge \mathcal{B}))$
- T9.5 $\vdash_{AD} (\mathcal{A} \rightarrow \mathcal{C}) \rightarrow [(\mathcal{B} \rightarrow \mathcal{C}) \rightarrow ((\mathcal{A} \vee \mathcal{B}) \rightarrow \mathcal{C})]$
- T9.6 For arbitrary terms r, s and t , $\vdash_{AD} (r = s) \rightarrow (t = t^r/s)$.
- T9.7 For any formula \mathcal{A} and terms r and s , if s is free for the replaced instance of r in \mathcal{A} , then $\vdash_{AD} (r = s) \rightarrow (\mathcal{A} \rightarrow \mathcal{A}^r/s)$.
- T9.8 For any formula \mathcal{A} and terms r and s , if s is free for the replaced instances of r in \mathcal{A} , then $\vdash_{AD} (r = s) \rightarrow (\mathcal{A} \rightarrow \mathcal{A}^r/s)$.
- T9.9 For any formulas \mathcal{A}, \mathcal{B} and \mathcal{C} , if $\vdash_{AD} \mathcal{B} \leftrightarrow \mathcal{C}$, then $\vdash_{AD} \mathcal{A} \leftrightarrow \mathcal{A}^{\mathcal{B}/\mathcal{C}}$.
- T9.11 If $\Gamma \vdash_{ND} \mathcal{P}$, then $\Gamma \vdash_{AD} \mathcal{P}$.
- T9.12 If $\Gamma \vdash_{ND} \mathcal{P}$ then $\Gamma \vdash_{ND+} \mathcal{P}$.
- T9.13 If $\Gamma \vdash_{ND+} \mathcal{P}$, then $\Gamma \vdash_{AD} \mathcal{P}$.

equivalent to the others. That is, $\Gamma \vdash_{AD} \mathcal{P}$ iff $\Gamma \vdash_{ND} \mathcal{P}$ iff $\Gamma \vdash_{ND+} \mathcal{P}$. And that is what we set out to show.

*E9.15. Set up the above induction and complete the unfinished cases to show that if $\Gamma \vdash_{ND+} \mathcal{P}$, then $\Gamma \vdash_{AD} \mathcal{P}$. For cases completed in the text, you may simply refer to the text, as the text refers cases to homework.

E9.16. Consider a sentential language with \sim and \wedge primitive, along with systems $N2$ with rules $\wedge E$, $\wedge I$, $\sim E$ and $\sim I$ from E9.13, and A2 from E3.4 on p. 81. Suppose $N2$ is augmented to a system $N2+$ that includes rules MT and Com (for \wedge). Augment your argument from E9.13 to produce a complete demonstration that if $\Gamma \vdash_{N2+} \mathcal{P}$ then $\Gamma \vdash_{A2} \mathcal{P}$. Hint: You will have to prove some

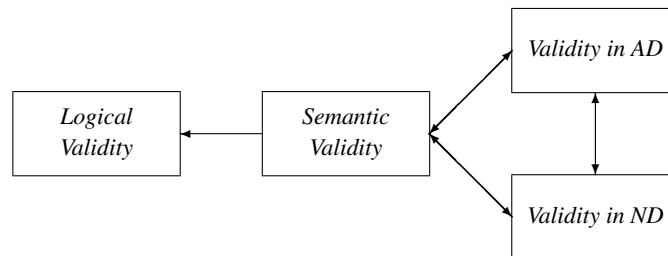
A2 results parallel to ones for which we have merely appealed to theorems above. Do not forget that you have DT from E9.7.

- E9.17. For each of the following concepts, explain in an essay of about two pages, so that Hannah could understand. In your essay, you should (i) identify the objects to which the concept applies, (ii) give and explain the definition, and give and explicate examples (iii) where the concept applies, and (iv) where it does not. Your essay should exhibit an understanding of methods from the text.
- a. The reason semantic validity implies logical validity, but not the other way around.
 - b. The notion of a *constructive* proof by mathematical induction.

Chapter 10

Main Results

We have introduced four notions of validity, and started to think about their interrelations. In [chapter 9](#), we showed that if an argument is semantically valid, then it is logically valid, and that an argument is valid in *AD* iff it is valid in *ND*. We turn now to the relation between these derivation systems and semantic validity. This completes the project of demonstrating that the different notions of validity are related as follows.



Since *AD* and *ND* are equivalent, it is not necessary separately to establish the relations between *AD* and semantic validity, and between *ND* and semantic validity. Because it is relatively easy to reason about *AD*, we mostly reason about a system like *AD* to establish that an argument is valid in *AD* iff it is semantically valid. From the equivalence between *AD* and *ND* it then follows that an argument is valid in *ND* iff it is semantically valid.

The project divides into two parts. First, we take up the arrows from right to left, and show that if an argument is valid in *AD*, then it is semantically valid: if $\Gamma \vdash_{AD} \mathcal{P}$, then $\Gamma \models \mathcal{P}$. Thus our derivation system is *sound*. If a derivation system is sound, it never leads from premises that are true on an interpretation, to a conclusion

that is not. Second, moving in the other direction, we show that if an argument is semantically valid, then it is valid in AD : if $\Gamma \models \mathcal{P}$, then $\Gamma \vdash_{AD} \mathcal{P}$. Thus our derivation system is *adequate*. If a derivation system is adequate, there is a derivation from the premises to the conclusion for every argument that is semantically valid.

10.1 Soundness

It is easy to construct derivation systems that are not sound. Thus, for example, consider a derivation system like AD but without the restriction on A4 that the substituted term t be free for the variable x in formula \mathcal{P} . Given this, we might reason as follows,

- | | | |
|-----|--|--------|
| | 1. $\forall x \exists y \sim(x = y)$ | prem |
| (A) | 2. $\forall x \exists y \sim(x = y) \rightarrow \exists y \sim(y = y)$ | “A4” |
| | 3. $\exists y \sim(y = y)$ | 1,2 MP |

y is not free for x in $\exists y \sim(x = y)$; so line (2) is not an instance of A4. And it is a good thing: Consider any interpretation with at least two elements in U . Then it is true that for every x there is some y not identical to it. So the premise is true. But there is no y in U that is not identical to itself. So the conclusion is not true. So the true premise leads to a conclusion that is not true. So the derivation system is not sound.

We would like to show that AD is sound — that there is no sequence of moves, no matter how complex or clever, that would lead from premises that are true to a conclusion that is not true. The argument itself is straightforward: suppose $\Gamma \vdash_{AD} \mathcal{P}$; then there is an AD derivation $A = \langle \mathcal{Q}_1 \dots \mathcal{Q}_n \rangle$ of \mathcal{P} with $\mathcal{Q}_n = \mathcal{P}$. By induction on line numbers in A , we show that for any i , $\Gamma \models \mathcal{Q}_i$. The case when $i = n$ is the desired result. So if $\Gamma \vdash_{AD} \mathcal{P}$, then $\Gamma \models \mathcal{P}$. This general strategy should by now be familiar. However, for the case involving A4, it will be helpful to obtain a pair of preliminary results.

10.1.1 Switching Theorems

In this section, we develop a couple theorems which link substitutions into formulas and terms with substitutions in variable assignments. As we have seen before, the results are a matched pair, with a first result for terms, that feeds into the basis clause for a result about formulas. Perhaps the hardest part is not so much the proofs of the theorems, as understanding what the theorems say. So let us turn to the first.

Suppose we have some terms t and r with interpretation I and variable assignment d . Say $I_d[r] = o$. Then the first proposition is this: term t is assigned the same

object on $l_{d(x|o)}$, as t_r^x is assigned on l_d . Intuitively, this is because the same object is fed into the x -place of the term in each case. With t and $d(x|o)$,

$$(B) \quad \begin{array}{c} t: \quad h^n \dots x \dots \\ \quad \quad \quad | \\ d(x|o): \quad \dots o \dots \end{array}$$

object o is the input to the “slot” occupied by x . But we are given that $l_d[r] = o$. So with t_r^x and d ,

$$(C) \quad \begin{array}{c} t_r^x: \quad h^n \dots r \dots \\ \quad \quad \quad | \\ d: \quad \dots o \dots \end{array}$$

object o is the input into the “slot” that was occupied by x . So if $l_d[r] = o$, then $l_{d(x|o)}[t] = l_d[t_r^x]$. In the one case, we guarantee that object o goes into the x -place by meddling with the variable assignment. In the other, we get the same result by meddling with the term. Be sure you are clear about this in your own mind. This will be our first result.

T10.1. For any interpretation l , variable assignment d , with terms t and r , if $l_d[r] = o$, then $l_{d(x|o)}[t] = l_d[t_r^x]$.

For arbitrary terms t and r , with interpretation l and variable assignment d , suppose $l_d[r] = o$. By induction on the number of function symbols in t , $l_{d(x|o)}[t] = l_d[t_r^x]$.

Basis: If t has no function symbols, then it is a constant or a variable. Either t is the variable x or it is not. (i) Suppose t is a constant or variable other than x ; then $t_r^x = t$ (no replacement is made); but d and $d(x|o)$ assign just the same things to variables other than x ; so they assign just the same things to any variable in t ; so by T8.3, $l_d[t] = l_{d(x|o)}[t]$. So $l_d[t_r^x] = l_{d(x|o)}[t]$. (ii) If t is x , then t_r^x is r (all of t is replaced by r); so $l_d[t_r^x] = l_d[r] = o$. But t is x ; so $l_{d(x|o)}[t] = l_{d(x|o)}[x]$; and by TA(v), $l_{d(x|o)}[x] = d(x|o)[x] = o$. So $l_d[t_r^x] = l_{d(x|o)}[t]$.

Assp: For any i , $0 \leq i < k$, for t with i function symbols, $l_d[t_r^x] = l_{d(x|o)}[t]$.

Show: If t has k function symbols, then $l_d[t_r^x] = l_{d(x|o)}[t]$.

If t has k function symbols, then it is of the form, $h^n s_1 \dots s_n$ where $s_1 \dots s_n$ have $< k$ function symbols. In this case, $t_r^x = [h^n s_1 \dots s_n]_r^x = h^n s_1 \dots s_n$. So $l_d[t_r^x] = l_d[h^n s_1 \dots s_n]$; by TA(f), this is $l[h^n] \langle l_d[s_1] \dots l_d[s_n] \rangle$. Similarly, $l_{d(x|o)}[t] = l_{d(x|o)}[h^n s_1 \dots s_n]$; and by TA(f), this is $l[h^n] \langle l_{d(x|o)}[s_1] \dots l_{d(x|o)}[s_n] \rangle$. But by assumption, $l_d[s_1] = l_{d(x|o)}[s_1]$, and ... and $l_d[s_n] = l_{d(x|o)}[s_n]$; so

$$\langle l_d[s_1^x] \dots l_d[s_n^x] \rangle = \langle l_{d(x|o)}[s_1] \dots l_{d(x|o)}[s_n] \rangle; \text{ so } l[\hbar^n] \langle l_d[s_1^x] \dots l_d[s_n^x] \rangle = l[\hbar^n] \langle l_{d(x|o)}[s_1] \dots l_{d(x|o)}[s_n] \rangle; \text{ so } l_d[t_r^x] = l_{d(x|o)}[t].$$

Indct: For any t , $l_d[t_r^x] = l_{d(x|o)}[t]$.

Since the “switching” leaves assignments to the parts the same, assignments to the whole remains the same as well.

Similarly, suppose we have we have term r with interpretation l and variable assignment d , where $l_d[r] = o$ as before. Suppose r is free for variable x in formula \mathcal{Q} . Then the second proposition is that a formula \mathcal{Q} is satisfied on $l_{d(x|o)}$ iff \mathcal{Q}_r^x is satisfied on l_d . Again, intuitively, this is because the same object is fed into the x -place of the formula in each case. With \mathcal{Q} and $d(x|o)$,

$$(D) \quad \begin{array}{c} \mathcal{Q}: \quad \mathcal{Q} \dots x \dots \\ | \\ d(x|o): \quad \dots o \dots \end{array}$$

object o is the input to the “slot” occupied by x . But $l_d[r] = o$. So with \mathcal{Q}_r^x and d ,

$$(E) \quad \begin{array}{c} \mathcal{Q}_r^x: \quad \mathcal{Q} \dots r \dots \\ | \\ d: \quad \dots o \dots \end{array}$$

object o is the input into the “slot” that was occupied by x . So if $l_d[r] = o$ (and r is free for x in \mathcal{Q}), then $l_{d(x|o)}[\mathcal{Q}] = S$ iff $l_d[\mathcal{Q}_r^x] = S$. In the one case, we guarantee that object o goes into the x -place by meddling with the variable assignment. In the other, we get the same result by meddling with the formula. This is our second result, which draws directly upon the first.

T10.2. For any interpretation l , variable assignment d , term r , and formula \mathcal{Q} , if $l_d[r] = o$, and r is free for x in \mathcal{Q} , then $l_d[\mathcal{Q}_r^x] = S$ iff $l_{d(x|o)}[\mathcal{Q}] = S$.

For arbitrary formula \mathcal{Q} , term r and interpretation l , suppose r is free for x in \mathcal{Q} . By induction on the number of operator symbols in \mathcal{Q} ,

Basis: Suppose $l_d[r] = o$. If \mathcal{Q} has no operator symbols, then it is a sentence letter \mathcal{S} or an atomic of the form $\mathcal{R}^n t_1 \dots t_n$. In the first case, $\mathcal{Q}_r^x = \mathcal{S}_r^x = \mathcal{S}$. So $l_d[\mathcal{Q}_r^x] = S$ iff $l_d[\mathcal{S}] = S$; by **SF(s)**, iff $l[\mathcal{S}] = T$; by **SF(s)** again, iff $l_{d(x|o)}[\mathcal{S}] = S$; iff $l_{d(x|o)}[\mathcal{Q}] = S$. In the second case, $\mathcal{Q}_r^x = [\mathcal{R}^n t_1 \dots t_n]_r^x = \mathcal{R}^n t_1^x \dots t_n^x$. So $l_d[\mathcal{Q}_r^x] = S$ iff $l_d[\mathcal{R}^n t_1^x \dots t_n^x] = S$; by **SF(r)**, iff $\langle l_d[t_1^x] \dots l_d[t_n^x] \rangle \in l[\mathcal{R}^n]$; since $l_d[r] = o$, by T10.1, iff $\langle l_{d(x|o)}[t_1] \dots l_{d(x|o)}[t_n] \rangle \in l[\mathcal{R}^n]$; by **SF(r)**, iff $l_{d(x|o)}[\mathcal{R}^n t_1 \dots t_n] = S$; iff $l_{d(x|o)}[\mathcal{Q}] = S$.

Assp: For any i , $0 \leq i < k$, if \mathcal{Q} has i operator symbols, \mathcal{r} is free for x in \mathcal{Q} and $\text{ld}[\mathcal{r}] = \text{o}$, then $\text{ld}[\mathcal{Q}_{\mathcal{r}}^x] = \text{S}$ iff $\text{ld}_{(x|\text{o})}[\mathcal{Q}] = \text{S}$.

Show: If \mathcal{Q} has k operator symbols, \mathcal{r} is free for x in \mathcal{Q} and $\text{ld}[\mathcal{r}] = \text{o}$, then $\text{ld}[\mathcal{Q}_{\mathcal{r}}^x] = \text{S}$ iff $\text{ld}_{(x|\text{o})}[\mathcal{Q}] = \text{S}$.

Suppose $\text{ld}[\mathcal{r}] = \text{o}$. If \mathcal{Q} has k operator symbols, then \mathcal{Q} is of the form $\sim \mathcal{B}$, $\mathcal{B} \rightarrow \mathcal{C}$, or $\forall v \mathcal{B}$ for variable v and formulas \mathcal{B} and \mathcal{C} with $< k$ operator symbols.

(\sim) Suppose \mathcal{Q} is $\sim \mathcal{B}$. Then $\mathcal{Q}_{\mathcal{r}}^x = [\sim \mathcal{B}]_{\mathcal{r}}^x = \sim [\mathcal{B}_{\mathcal{r}}^x]$. Since \mathcal{r} is free for x in \mathcal{Q} , \mathcal{r} is free for x in \mathcal{B} ; so the assumption applies to \mathcal{B} . $\text{ld}[\mathcal{Q}_{\mathcal{r}}^x] = \text{S}$ iff $\text{ld}[\sim \mathcal{B}_{\mathcal{r}}^x] = \text{S}$; by **SF**(\sim), iff $\text{ld}[\mathcal{B}_{\mathcal{r}}^x] \neq \text{S}$; by assumption iff $\text{ld}_{(x|\text{o})}[\mathcal{B}] \neq \text{S}$; by **SF**(\sim), iff $\text{ld}_{(x|\text{o})}[\sim \mathcal{B}] = \text{S}$; iff $\text{ld}_{(x|\text{o})}[\mathcal{Q}] = \text{S}$.

(\rightarrow) Homework.

(\forall) Suppose \mathcal{Q} is $\forall v \mathcal{B}$. Either there are free occurrences of x in \mathcal{Q} or not.

(i) Suppose there are no free occurrences of x in \mathcal{Q} . Then $\mathcal{Q}_{\mathcal{r}}^x$ is just \mathcal{Q} (no replacement is made). But since d and $\text{d}(x|\text{o})$ make just the same assignments to variables other than x , they make just the same assignments to all the variables free in \mathcal{Q} ; so by T8.4, $\text{ld}[\mathcal{Q}] = \text{S}$ iff $\text{ld}_{(x|\text{o})}[\mathcal{Q}] = \text{S}$. So $\text{ld}[\mathcal{Q}_{\mathcal{r}}^x] = \text{S}$ iff $\text{ld}_{(x|\text{o})}[\mathcal{Q}] = \text{S}$.

(ii) Suppose there are free occurrences of x in \mathcal{Q} . Then x is some variable other than v , and $\mathcal{Q}_{\mathcal{r}}^x = [\forall v \mathcal{B}]_{\mathcal{r}}^x = \forall v [\mathcal{B}_{\mathcal{r}}^x]$.

First, since \mathcal{r} is free for x in \mathcal{Q} , \mathcal{r} is free for x in \mathcal{B} , and v is not a variable in \mathcal{r} ; from this, for any $\text{m} \in \text{U}$, the variable assignments d and $\text{d}(v|\text{m})$ agree on assignments to variables in \mathcal{r} ; so by T8.3, $\text{ld}[\mathcal{r}] = \text{ld}_{(v|\text{m})}[\mathcal{r}]$; so $\text{ld}_{(v|\text{m})}[\mathcal{r}] = \text{o}$; so the requirement of the assumption is met for the assignment $\text{d}(v|\text{m})$ and, as an instance of the assumption, for any $\text{m} \in \text{U}$, we have, $\text{ld}_{(v|\text{m})}[\mathcal{B}_{\mathcal{r}}^x] = \text{S}$ iff $\text{ld}_{(v|\text{m}, x|\text{o})}[\mathcal{B}] = \text{S}$.

Now suppose $\text{ld}_{(x|\text{o})}[\mathcal{Q}] = \text{S}$ but $\text{ld}[\mathcal{Q}_{\mathcal{r}}^x] \neq \text{S}$; then $\text{ld}_{(x|\text{o})}[\forall v \mathcal{B}] = \text{S}$ but $\text{ld}[\forall v \mathcal{B}_{\mathcal{r}}^x] \neq \text{S}$. From the latter, by **SF**(\forall), there is some $\text{m} \in \text{U}$ such that $\text{ld}_{(v|\text{m})}[\mathcal{B}_{\mathcal{r}}^x] \neq \text{S}$; so by the above result, $\text{ld}_{(v|\text{m}, x|\text{o})}[\mathcal{B}] \neq \text{S}$; so by **SF**(\forall), $\text{ld}_{(x|\text{o})}[\forall v \mathcal{B}] \neq \text{S}$; this is impossible. And similarly [by homework] in the other direction. So $\text{ld}_{(x|\text{o})}[\mathcal{Q}] = \text{S}$ iff $\text{ld}[\mathcal{Q}_{\mathcal{r}}^x] = \text{S}$.

If \mathcal{Q} has k operator symbols, if \mathcal{r} is free for x in \mathcal{Q} and $\text{ld}[\mathcal{r}] = \text{o}$, then $\text{ld}[\mathcal{Q}_{\mathcal{r}}^x] = \text{S}$ iff $\text{ld}_{(x|\text{o})}[\mathcal{Q}] = \text{S}$.

Indct: For any \mathcal{Q} , if \mathcal{r} is free for x in \mathcal{Q} and $\text{ld}[\mathcal{r}] = \text{o}$, then $\text{ld}[\mathcal{Q}_{\mathcal{r}}^x] = \text{S}$ iff $\text{ld}_{(x|\text{o})}[\mathcal{Q}] = \text{S}$.

Perhaps the quantifier case looks more difficult than it is. The key point is that since r is free for x in \mathcal{Q} , changes in the assignment to v do not affect the assignment to r . Thus the assumption applies to \mathcal{B} for variable assignments that differ in their assignments to v . This lets us “take the quantifier off,” apply the assumption, and then “put the quantifier back on” in the usual way. Another way to make this point is to see how the argument fails when r is not free for x in \mathcal{Q} . If r is not free for x in \mathcal{Q} , then a change in the assignment to v may affect the assignment to r . In this case, although $I_d[r] = o$, $I_{d(v|m)}[r]$ might be something else. So there is no reason to think that substituting r for x will have the same effect as assigning x to o . As we shall see, this restriction corresponds directly to the one on axiom A4. An example of failure for the axiom is the one (A) with which we began the chapter.

***E10.1.** Complete the cases for (\rightarrow) and (\forall) to complete the demonstration of T10.2. You should set up the complete demonstration, but for cases completed in the text, you may simply refer to the text, as the text refers cases to homework.

10.1.2 Soundness

We are now ready for our main proof of soundness for *AD*. Actually, all the parts are already on the table. It is simply a matter of pulling them together into a complete demonstration.

T10.3. If $\Gamma \vdash_{AD} \mathcal{P}$, then $\Gamma \models \mathcal{P}$. (*Soundness*)

Suppose $\Gamma \vdash_{AD} \mathcal{P}$. Then there is an *AD* derivation $A = \langle \mathcal{Q}_1 \dots \mathcal{Q}_n \rangle$ of \mathcal{P} from premises in Γ , with $\mathcal{Q}_n = \mathcal{P}$. By induction on the line numbers in A , we show that for any i , $\Gamma \models \mathcal{Q}_i$. The case when $i = n$ is the desired result.

Basis: The first line of A is a premise or an axiom. So \mathcal{Q}_1 is either a member of Γ or an instance of A1, A2, A3, A4, A5, A6, A7 or A8. The cases for A1, A2, A3, A5, A6, A7 and A8 are parallel.

(prem) If \mathcal{Q}_1 is a member of Γ , then there is no interpretation where all the members of Γ are true and \mathcal{Q}_1 is not; so by **QV**, $\Gamma \models \mathcal{Q}_1$.

(Ax) Suppose \mathcal{Q}_1 is an instance of A1, A2, A3, A5, A6, A7 or A8 and $\Gamma \not\models \mathcal{Q}_1$. Then by **QV**, there is some I such that $I[\Gamma] = T$ but $I[\mathcal{Q}_1] \neq T$. But by T7.2, T7.3, T7.4, T7.6, T7.8, T7.9, and T7.10, $\Gamma \models \mathcal{Q}_1$; so by **QV**, $I[\mathcal{Q}_1] = T$. This is impossible, reject the assumption: $\Gamma \models \mathcal{Q}_1$.

(A4) If \mathcal{Q}_1 is an instance of A4, then it is of the form $\forall x \mathcal{B} \rightarrow \mathcal{B}_r^x$ where term r is free for variable x in formula \mathcal{B} . Suppose $\Gamma \not\models \mathcal{Q}_1$. Then by

QV, there is an l such that $l[\Gamma] = T$, but $l[\forall x \mathcal{B} \rightarrow \mathcal{B}_r^x] \neq T$. From the latter, by **TI**, there is some d such that $l_d[\forall x \mathcal{B} \rightarrow \mathcal{B}_r^x] \neq S$; so by **SF**(\rightarrow), $l_d[\forall x \mathcal{B}] = S$ but $l_d[\mathcal{B}_r^x] \neq S$; from the first of these, by **SF**(\forall), for any $m \in U$, $l_{d(x|m)}[\mathcal{B}] = S$; in particular, where for some object o , $l_d[r] = o$, $l_{d(x|o)}[\mathcal{B}] = S$; so, with r free for x in formula \mathcal{B} , by T10.2, $l_d[\mathcal{B}_r^x] = S$. This is impossible; reject the assumption: $\Gamma \models \mathcal{Q}_1$.

Assp: For any i , $1 \leq i < k$, $\Gamma \models \mathcal{Q}_i$.

Show: $\Gamma \models \mathcal{Q}_k$.

\mathcal{Q}_k is either a premise, an axiom, or arises from previous lines by MP or Gen. If \mathcal{Q}_k is a premise or an axiom then, as in the basis, $\Gamma \models \mathcal{Q}_k$. So suppose \mathcal{Q}_k arises by MP or Gen.

(MP) Homework.

(Gen) If \mathcal{Q}_k arises by Gen, then A is something like this,

$$\begin{array}{l} i \quad \mathcal{B} \\ \vdots \\ k \quad \forall x \mathcal{B} \quad i \text{ Gen} \end{array}$$

where $i < k$ and $\mathcal{Q}_k = \forall x \mathcal{B}$. Suppose $\Gamma \not\models \mathcal{Q}_k$; then $\Gamma \not\models \forall x \mathcal{B}$; so by **QV**, there is some l such that $l[\Gamma] = T$ but $l[\forall x \mathcal{B}] \neq T$; from the latter, by **TI**, there is a d such that $l_d[\forall x \mathcal{B}] \neq S$; so by **SF**(\forall), there is some $o \in U$, such that $l_{d(x|o)}[\mathcal{B}] \neq S$. But $l[\Gamma] = T$, and by assumption, $\Gamma \models \mathcal{B}$; so by **QV**, $l[\mathcal{B}] = T$; so by **TI**, for any variable assignment h , $l_h[\mathcal{B}] = S$; in particular, then, $l_{d(x|o)}[\mathcal{B}] = S$. This is impossible; reject the assumption: $\Gamma \models \mathcal{Q}_k$.

$\Gamma \models \mathcal{Q}_k$.

Indct: For any n , $\Gamma \models \mathcal{Q}_n$.

So if $\Gamma \vdash_{AD} \mathcal{P}$, then $\Gamma \models \mathcal{P}$. So *AD* is sound. And since *AD* is sound, with theorems T9.2, T9.12 and T9.13 it follows that *ND* and *ND+* are sound as well.

***E10.2.** Complete the case for (MP) to round out the demonstration that *AD* is sound. You should set up the complete demonstration, but for cases completed in the text, you may simply refer to the text, as the text refers cases to homework.

E10.3. Consider a derivation system *A4* which has axioms and rules,

- A4 A1. Any sentential form \mathcal{P} such that $\models \mathcal{P}$.
 A2. $\vdash \mathcal{P}_t^x \rightarrow \exists x \mathcal{P}$ — where t is free for x in \mathcal{P}
 MP. \mathcal{Q} follows from $\mathcal{P} \rightarrow \mathcal{Q}$ and \mathcal{P}
 \exists E. $\exists x \mathcal{P} \rightarrow \mathcal{Q}$ follows from $\mathcal{P} \rightarrow \mathcal{Q}$ — where x is not free in \mathcal{Q}

Provide a complete demonstration that A4 is sound. You may appeal to substitution results from the text as appropriate. Hint: By the soundness of AD, if \mathcal{P} is a sentential form and $\vdash_{AD} \mathcal{P}$ then \mathcal{P} is among axioms of the sort (A1).

10.1.3 Consistency

The proof of soundness is the main result we set out to achieve in this section. But before we go on, it is worth pausing to make an application to *consistency*. Say a set Σ (Sigma) of formulas is *consistent* iff there is no formula \mathcal{A} such that $\Sigma \vdash \mathcal{A}$ and $\Sigma \vdash \sim \mathcal{A}$. Consistency is thus defined in terms of *derivations* rather than semantic notions. But we show,

T10.4. If there is an interpretation M such that $M[\Gamma] = T$ (a *model* for Γ), then Γ is consistent.

Suppose there is an interpretation M such that $M[\Gamma] = T$ but Γ is inconsistent. From the latter, there is a formula \mathcal{A} such that $\Gamma \vdash \mathcal{A}$ and $\Gamma \vdash \sim \mathcal{A}$; so by T10.3, $\Gamma \models \mathcal{A}$ and $\Gamma \models \sim \mathcal{A}$. But $M[\Gamma] = T$; so by QV, $M[\mathcal{A}] = T$ and $M[\sim \mathcal{A}] = T$; so by TI, for any d , $M_d[\mathcal{A}] = S$ and $M_d[\sim \mathcal{A}] = S$; from the second of these, by SF(\sim), $M_d[\mathcal{A}] \neq S$. This is impossible; reject the assumption: if there is an interpretation M such that $M[\Gamma] = T$, then Γ is consistent.

This is an interesting and important theorem. Suppose we want to show that some set of formulas is inconsistent. For this, it is enough to *derive* a contradiction from the set. But suppose we want to show that there is no way to derive a contradiction. Merely failing to find a derivation does not show that there is not one! But, with soundness, we can demonstrate that there is no such derivation by finding a model for the set.

Similarly, if we want to show that $\Gamma \vdash \mathcal{A}$, it is enough to *produce* the derivation. But suppose we want to show that $\Gamma \not\vdash \mathcal{A}$. Merely failing to find a derivation does not show that there is not one! Still, as above, given soundness, we can demonstrate that there is no derivation by finding a model on which the premises are true, with the negation of the conclusion.

T10.5. If there is an interpretation M such that $M[\Gamma \cup \{\sim \mathcal{A}\}] = T$, then $\Gamma \not\vdash \mathcal{A}$.

The reasoning is left for homework. But the idea is very much as above. With soundness, it is impossible to have both $M[\Gamma \cup \{\sim \mathcal{A}\}] = T$ and $\Gamma \vdash \mathcal{A}$.

Again, the result is useful. Suppose, for example, we want to show that $\sim \forall x Ax \not\vdash \sim Aa$. You may be unable to find a derivation, and be able to point out flaws in a friend's attempt. But we show that there is no derivation by finding a model on which both $\sim \forall x Ax$ and $\sim \sim Aa$ are true. And this is easy. Let $U = \{1, 2\}$ with $M[a] = 1$ and $M[A] = \{1\}$.

- (i) Suppose $M[\sim \forall x Ax] \neq T$; then by **TI**, there is some d such that $M_d[\sim \forall x Ax] \neq S$; so by **SF**(\sim), $M_d[\forall x Ax] = S$; so by **SF**(\forall), for any $o \in U$, $M_{d(x|o)}[Ax] = S$; so $M_{d(x|2)}[Ax] = S$. But $d(x|2)[x] = 2$; so by **TA**(v), $M_{d(x|2)}[x] = 2$; so by **SF**(r), $2 \in M[A]$; but $2 \notin M[A]$. This is impossible; reject the assumption: $M[\sim \forall x Ax] = T$.
- (ii) Suppose $M[\sim \sim Aa] \neq T$; then by **TI**, there is some d such that $M_d[\sim \sim Aa] \neq S$; so by **SF**(\sim), $M_d[\sim Aa] = S$; and by **SF**(\sim) again, $M_d[Aa] \neq S$. But $M[a] = 1$; so by **TA**(c), $M_d[a] = 1$; so by **SF**(r), $1 \notin M[A]$; but $1 \in M[A]$. This is impossible; reject the assumption: $M[\sim \sim Aa] = T$. So $M[\sim \forall x Ax] = T$ and $M[\sim \sim Aa] = T$. So by T10.5, $\sim \forall x Ax \not\vdash \sim Aa$.

If there is a model on which all the members of Γ are true and $\sim \mathcal{A}$ is true, then it is not the case that every model with Γ true has \mathcal{A} true. So, with soundness, there cannot be a derivation of \mathcal{A} from Γ .

***E10.4.** Provide an argument to show T10.5. Hint: The reasoning is very much as for T10.4.

E10.5. (a) Show that $\{\exists x Ax, \sim Aa\}$ is consistent. (b) Show that $\forall x (Ax \rightarrow Bx), \sim Ba \not\vdash \sim \exists x Ax$.

10.2 Sentential Adequacy

The proof of soundness is straightforward given methods we have used before. But the proof of adequacy was revolutionary when Gödel first produced it in 1930. It is easy to construct derivation systems that are *not* adequate. Thus, for example, consider a system like the sentential part of *AD* but without A1. It is easy to see that such a system is sound, and so that derivations without A1 do not go astray. (All we have to do is leave the case for A1 out of the proof for soundness.) But, by our

discussion of independence from [section 11.3](#) (see also [E8.13](#)), there is no derivation of A_1 from A_2 and A_3 alone. So there are sentential expressions \mathcal{P} such that $\models \mathcal{P}$, but for which there is no derivation. So the resultant derivation system would not be adequate. We turn now to showing that our derivation systems are in fact adequate: if $\Gamma \models \mathcal{P}$, then $\Gamma \vdash \mathcal{P}$. Given this, with soundness, we have $\Gamma \models \mathcal{P}$ iff $\Gamma \vdash \mathcal{P}$, so that our derivation systems deliver just the results they are supposed to.

Adequacy for a system like AD was first proved by Kurt Gödel in his 1930 doctoral dissertation. The version of the proof that we will consider is the standard one, essentially due to L. Henkin.¹ An interesting feature of these proofs is that they are not constructive. So far, in proving the equivalence of deductive systems, we have been able to show that there are certain derivations, by showing how to *construct* them. In this case, we show that there are derivations, but without showing how to construct them. As we shall see in [Part IV](#), a constructive proof of adequacy for our full predicate logic is impossible. So this is the only way to go.

The proof of adequacy is more involved than any we have encountered so far. Each of the parts is comparable to what has gone before, and all the parts are straightforward. But there are enough parts that it is possible to lose the forest for the trees. I thus propose to do the proof three times. In this section, we will prove sentential adequacy — that for expressions in a sentential language, if $\Gamma \models \mathcal{P}$, then $\Gamma \vdash \mathcal{P}$. This should enable us to grasp the overall shape of the argument without interference from too many details. We will then consider a basic version of the quantificational argument and, after addressing a few complications, put it all together for the full version. Notation and theorem numbers are organized to preserve parallels between the cases.

10.2.1 Basic Idea

The basic idea is straightforward: Let us restrict ourselves to an arbitrary sentential language \mathcal{L}_s and to sentential semantic rules. Derivations are automatically restricted to sentential rules by the restricted language. So derivations and semantics are particularly simple. For formulas in this language, our goal is to show that if $\Gamma \models_s \mathcal{P}$, then $\Gamma \vdash \mathcal{P}$. We can see how this works with just a couple of preliminaries.

We begin with a definition and a theorem. As before, let us say,

Con A set Σ of formulas is *consistent* iff there is no formula \mathcal{A} such that $\Sigma \vdash \mathcal{A}$ and $\Sigma \vdash \sim \mathcal{A}$.

¹Henkin, “Completeness of the First-Order Calculus.” Kurt Gödel, “Die Vollständigkeit der Axiome des Logischen Funktionenkalküls.” English translation in *From Frege to Gödel*, reprint in *Gödel’s Collected Works*.

So consistency is a syntactical notion. A set of formulas is consistent just in case there is no way to derive a contradiction from it. Now for the theorem,

T10.6_s. For any set of formulas Σ and sentence \mathcal{P} , if $\Sigma \not\vdash \sim\mathcal{P}$, then $\Sigma \cup \{\mathcal{P}\}$ is consistent.

Suppose $\Sigma \not\vdash \sim\mathcal{P}$, but $\Sigma \cup \{\mathcal{P}\}$ is not consistent. From the latter, there is some \mathcal{A} such that $\Sigma \cup \{\mathcal{P}\} \vdash \mathcal{A}$ and $\Sigma \cup \{\mathcal{P}\} \vdash \sim\mathcal{A}$. So by DT, $\Sigma \vdash \mathcal{P} \rightarrow \mathcal{A}$ and $\Sigma \vdash \mathcal{P} \rightarrow \sim\mathcal{A}$; by T3.10, $\vdash \sim\sim\mathcal{P} \rightarrow \mathcal{P}$; so by T3.2, $\Sigma \vdash \sim\sim\mathcal{P} \rightarrow \mathcal{A}$, and $\Sigma \vdash \sim\sim\mathcal{P} \rightarrow \sim\mathcal{A}$; but by A3, $\vdash (\sim\sim\mathcal{P} \rightarrow \sim\mathcal{A}) \rightarrow [(\sim\sim\mathcal{P} \rightarrow \mathcal{A}) \rightarrow \sim\mathcal{P}]$; so by two instances of MP, $\Sigma \vdash \sim\mathcal{P}$. But this is impossible; reject the assumption: if $\Sigma \not\vdash \sim\mathcal{P}$, then $\Sigma \cup \{\mathcal{P}\}$ is consistent.

The idea is simple: if $\Gamma \cup \{\mathcal{P}\}$ is inconsistent, then by reasoning as for $\sim\mathbf{I}$ in ND, $\sim\mathcal{P}$ follows from Γ alone; so if $\sim\mathcal{P}$ cannot be derived from Γ alone, then $\Gamma \cup \{\mathcal{P}\}$ is consistent. Notice that, insofar as the language is sentential, the derivation does not include any applications of Gen, so the applications of DT are sure to meet the restriction on Gen.

In the last section, we saw that any set with a model is consistent. Now suppose we knew the converse, that any consistent set has a model.

(*) For any consistent set of formulas Σ' , there is an interpretation M' such that $M'[\Sigma'] = \mathbf{T}$.

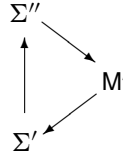
This sets up the key connection between syntactic and semantic notions, between consistency on the one hand, and truth on the other, that we will need for adequacy. Schematically, then, with (*) we have the following,

- | | | | | |
|----|---|------------|---|-----|
| 1. | $\Gamma \cup \{\sim\mathcal{P}\}$ has a model | \implies | $\Gamma \not\models_s \mathcal{P}$ | |
| 2. | $\Gamma \cup \{\sim\mathcal{P}\}$ is consistent | \implies | $\Gamma \cup \{\sim\mathcal{P}\}$ has a model | (*) |
| 3. | $\Gamma \cup \{\sim\mathcal{P}\}$ is not consistent | \implies | $\Gamma \vdash \mathcal{P}$ | |

(2) is just (*). (1) is by simple semantic reasoning: Suppose $\Gamma \cup \{\sim\mathcal{P}\}$ has a model; then there is some M such that $M[\Gamma \cup \{\sim\mathcal{P}\}] = \mathbf{T}$; so $M[\Gamma] = \mathbf{T}$ and $M[\sim\mathcal{P}] = \mathbf{T}$; from the latter, by ST(\sim), $M[\mathcal{P}] \neq \mathbf{T}$; so $M[\Gamma] = \mathbf{T}$ and $M[\mathcal{P}] \neq \mathbf{T}$; so by SV, $\Gamma \not\models_s \mathcal{P}$. (3) is by straightforward syntactic reasoning: Suppose $\Gamma \cup \{\sim\mathcal{P}\}$ is not consistent; then by an application of T10.6_s, $\Gamma \vdash \sim\sim\mathcal{P}$; but by T3.10, $\vdash \sim\sim\mathcal{P} \rightarrow \mathcal{P}$; so by MP, $\Gamma \vdash \mathcal{P}$. Now suppose $\Gamma \models_s \mathcal{P}$; then by (1), reading from right to left, $\Gamma \cup \{\sim\mathcal{P}\}$ does not have a model; so by (2), again from right to left, $\Gamma \cup \{\sim\mathcal{P}\}$ is not consistent;

so by (3), $\Gamma \vdash \mathcal{P}$. So if $\Gamma \models_s \mathcal{P}$, then $\Gamma \vdash \mathcal{P}$, which was to be shown. Of course, knowing that there is some way to derive \mathcal{P} is not the same as knowing what that way is. All the same, (*) tells us that there must exist a model of a certain sort, from which it follows that there must exist a derivation. And the work of our demonstration of adequacy reduces to a demonstration of (*).

So we need to show that every consistent set of formulas Σ' has an interpretation M' such that $M'[\Sigma'] = T$. Here is the basic idea: We show that any consistent Σ' is a subset of a corresponding “big” set Σ'' specified in such a way that it must have a model M' — which in turn is a model for the smaller Σ' . Following the arrows,



Given a consistent Σ' , we show that there is the big set Σ'' . From this we show that there must be an M' that is a model not only for Σ'' but for Σ' as well. So if Σ' is consistent, then it has a model. We proceed through a series of theorems to show that this can be done.

10.2.2 Gödel Numbering

In constructing our big sets, we will want to consider formulas, for inclusion or exclusion, serially — one after another. For this, we need to “line them up” for consideration. Thus, in this section we show,

T10.7_s. There is an enumeration $\mathcal{Q}_1, \mathcal{Q}_2 \dots$ of all formulas in \mathcal{L}_s .

The proof is by construction. We develop a method by which the formulas can be lined up. The method is interesting in its own right, and foreshadows methods from Gödel’s Incompleteness Theorem for arithmetic.

In subsection 2.2.1, we required that any sentential language \mathcal{L}_s has countably many sentence letters, which can be ordered into a series, $\mathcal{S}_0, \mathcal{S}_1 \dots$. Assume some such series. We want to show that the *formulas* of \mathcal{L}_s can be so ordered as well. Begin by assigning to each symbol α (alpha) in the language an integer $g[\alpha]$, called its *Gödel Number*.

- a. $g[\langle \rangle] = 3$

- b. $g[] = 5$
- c. $g[\sim] = 7$
- d. $g[\rightarrow] = 9$
- e. $g[\mathcal{S}_n] = 11 + 2n$

So, for example, $g[\mathcal{S}_0] = 11$ and $g[\mathcal{S}_4] = 11 + 2 \times 4 = 19$. Clearly each symbol gets a unique Gödel number, and Gödel numbers for individual symbols are odd positive integers.

Now we are in a position to assign a Gödel number to each formula as follows: Where $\alpha_0, \alpha_1 \dots \alpha_n$ are the symbols, in order from left to right, in some expression \mathcal{Q} ,

$$g[\mathcal{Q}] = 2^{g[\alpha_0]} \times 3^{g[\alpha_1]} \times 5^{g[\alpha_2]} \times \dots \times \pi_n^{g[\alpha_n]}$$

where $2, 3, 5 \dots \pi_n$ are the first n prime numbers. So, for example, $g[\sim \sim \mathcal{S}_0] = 2^7 \times 3^7 \times 5^{11}$; similarly, $g[\sim(\mathcal{S}_0 \rightarrow \mathcal{S}_4)] = 2^7 \times 3^3 \times 5^{11} \times 7^9 \times 11^{19} \times 13^5 = 15463, 36193, 79608, 90364, 71042, 41201, 87066, 87500, 00000$ — a very big integer! All the same, it is an integer, and it is clear that every expression is assigned to some integer.

Further, different expressions get different Gödel numbers. It is a theorem of arithmetic that every integer is uniquely factored into primes (see the [arithmetic for Gödel numbering](#) and [more arithmetic for Gödel numbering](#) references). So a given integer can correspond to at most one formula: Given a Gödel number, we can find its unique prime factorization; then if there are seven 2s in the factorization, the first symbol is \sim ; if there are seven 3s, the second symbol is \sim ; if there are eleven 5s, the third symbol is \mathcal{S}_0 ; and so forth. Notice that numbers for individual *symbols* are odd, where numbers for *expressions* are even (where the number for an atomic comes out odd when it is thought of as a symbol, but then even when it is thought of as a formula).

The point is not that this is a practical, or a fun, procedure. Rather, the point is that we have integers associated with each expression of the language. Given this, we can take the set of all formulas, and *order* its members according to their Gödel numbers — so that there is an enumeration $\mathcal{Q}_1, \mathcal{Q}_2 \dots$ of all formulas. And this is what was to be shown.

E10.6. Find Gödel numbers for the following sentences (for the last, you need not do the calculation).

$$\mathcal{S}_7 \quad \sim \mathcal{S}_0 \quad \mathcal{S}_0 \rightarrow \sim(\mathcal{S}_1 \rightarrow \sim \mathcal{S}_0)$$

Some Arithmetic Relevant to Gödel Numbering

Say an integer i has a “representation as a product of primes” if there are some primes $p_a, p_b \dots p_j$ such that $p_a \times p_b \times \dots \times p_j = i$. We understand a single prime p to be its own representation.

G1. Every integer > 1 has at least one representation as a product of primes.

Basis: 2 is prime and so is its own representation; so the first integer > 1 has a representation as a product of primes.

Assp: For any i , $1 < i < k$, i has a representation as a product of primes.

Show: k has a representation as a product of primes.

If k is prime, the result is immediate; so suppose there are some $i, j < k$ such that $k = i \times j$; by assumption i has a representation as a product of primes $p_a \times \dots \times p_b$ and j has a representation as a product of primes $q_a \times \dots \times q_b$; so $k = i \times j = p_a \times \dots \times p_b \times q_a \times \dots \times q_b$ has a representation as a product of primes.

Indct: Any $i > 1$ has a representation as a product of primes.

Corollary: any integer > 1 is divided by at least one prime.

G2. There are infinitely many prime numbers.

Suppose the number of primes is finite; then there is some list $p_1, p_2 \dots p_n$ of all the primes; consider $q = p_1 \times p_2 \times \dots \times p_n + 1$; no p_i in the list $p_1 \dots p_n$ divides q evenly, since each leaves remainder 1; but by the corollary to (G1), q is divided by some prime; so some prime is not on the list; reject the assumption: there are infinitely many primes.

Note: Sometimes q , calculated this way, is itself prime: when the list is $\{2\}$, $q = 2 + 1 = 3$, and 3 is prime. Similarly, $2 \times 3 + 1 = 7$, $2 \times 3 \times 5 + 1 = 31$, $2 \times 3 \times 5 \times 7 + 1 = 211$, and $2 \times 3 \times 5 \times 7 \times 11 + 1 = 2311$, where 7, 31, 211, and 2311 are all prime. But $2 \times 3 \times 5 \times 7 \times 11 \times 13 + 1 = 30031 = 59 \times 509$. So we are not always *finding* a prime not on the list, but rather only showing that there *is* a prime not on it.

G3. For any $i > 1$, if i is the product of the primes $p_1, p_2 \dots p_a$, then no distinct collection of primes $q_1, q_2 \dots q_b$ is such that i is the product of them. (The *Fundamental Theorem* of Arithmetic)

For a proof, see the [more arithmetic for Gödel numbering](#) reference in the corresponding part of the next section.

E10.7. Determine the expressions that have the following Gödel numbers.

$$49 \quad 1944 \quad 2^7 \times 3^3 \times 5^{11} \times 7^9 \times 11^7 \times 13^{13} \times 17^5$$

E10.8. Which would come first in the official enumeration of formulas, $\mathcal{S}_1 \rightarrow \sim \mathcal{S}_2$ or $\mathcal{S}_2 \rightarrow \sim \mathcal{S}_1$? Explain. Hint: you should be able to do this without actually calculating the Gödel numbers.

10.2.3 The Big Set

Recall that a set Σ is consistent iff there is no \mathcal{A} such that Σ implies both \mathcal{A} and $\sim \mathcal{A}$. Now, a set Σ is *maximal* iff for any \mathcal{A} the set implies one or the other.

Max A set Σ of formulas is *maximal* iff for any sentence \mathcal{A} , $\Sigma \vdash \mathcal{A}$ or $\Sigma \vdash \sim \mathcal{A}$.

Again, this is a syntactical notion. If a set is maximal, then it implies \mathcal{A} or $\sim \mathcal{A}$ for any sentence \mathcal{A} ; if it is consistent, then it does not imply both. We set out to construct a big set Σ'' from Σ' , and show that Σ'' is both maximal and consistent.

Cns Σ'' Construct Σ'' from Σ' as follows: By T10.7_s, there is an enumeration, $\mathcal{Q}_1, \mathcal{Q}_2, \dots$ of all the formulas in \mathcal{L}_s . Consider this enumeration, and let Ω_0 (Ω_0) be the same as Σ' . Then for any $i > 0$, let

$$\Omega_i = \Omega_{i-1} \quad \text{if} \quad \Omega_{i-1} \vdash \sim \mathcal{Q}_i$$

else,

$$\Omega_i = \Omega_{i-1} \cup \{\mathcal{Q}_i\} \quad \text{if} \quad \Omega_{i-1} \not\vdash \sim \mathcal{Q}_i$$

then,

$$\Sigma'' = \bigcup_{i \geq 0} \Omega_i \text{ — that is, } \Sigma'' \text{ is the union of all the } \Omega_i \text{'s}$$

Beginning with set Σ' ($= \Omega_0$), we consider the formulas in the enumeration $\mathcal{Q}_1, \mathcal{Q}_2, \dots$ one-by-one, adding a formula to the set just in case its negation is not already derivable. Σ'' contains all the members of Σ' together with all the formulas added this way. Observe that $\Sigma' \subseteq \Sigma''$. One might think of the Ω_i 's as constituting a big “sack” of formulas, and the \mathcal{Q}_i 's as coming along on a conveyor belt: for a given \mathcal{Q}_i , if there is no way to derive its negation from formulas already in the sack, we throw the \mathcal{Q}_i in; otherwise, we let it go on by. Of course, this is not a procedure we could complete in finite time. Rather, we give a *logical* condition which specifies, for any \mathcal{Q}_i in the language, whether it is to be included in Σ'' or not. The important point is that some Σ'' meeting these conditions *exists*.

As an example, suppose $\Sigma' = \{\sim A \rightarrow B\}$ and consider an enumeration which begins $A, \sim A, B, \sim B, \dots$. Then,

$$\Omega_0 = \Sigma'; \text{ so } \Omega_0 = \{\sim A \rightarrow B\}.$$

$$\mathcal{Q}_1 = A, \text{ and } \Omega_0 \not\vdash \sim A; \text{ so } \Omega_1 = \{\sim A \rightarrow B\} \cup \{A\} = \{\sim A \rightarrow B, A\}.$$

$$(F) \quad \mathcal{Q}_2 = \sim A, \text{ and } \Omega_1 \vdash \sim \sim A; \text{ and } \Omega_2 \text{ is unchanged; so } \Omega_2 = \{\sim A \rightarrow B, A\}.$$

$$\mathcal{Q}_3 = B, \text{ and } \Omega_2 \not\vdash \sim B; \text{ so } \Omega_3 = \{\sim A \rightarrow B, A\} \cup \{B\} = \{\sim A \rightarrow B, A, B\}.$$

$$\mathcal{Q}_4 = \sim B, \text{ and } \Omega_3 \vdash \sim \sim B; \text{ and } \Omega_4 \text{ is unchanged; so } \Omega_4 = \{\sim A \rightarrow B, A, B\}.$$

So we include \mathcal{Q}_i each time its negation is not implied. Ultimately, we will use this set to construct a model. For now, though, the point is simply to understand the condition under which a formula is included or excluded from the set.

We now show that if Σ' is consistent, then Σ'' is maximal and consistent. Perhaps the first is obvious: We guarantee that Σ'' is maximal by including \mathcal{Q}_i as a member whenever $\sim \mathcal{Q}_i$ is not already a consequence.

T10.8_s. If Σ' is consistent, then Σ'' is maximal and consistent.

The proof comes to the demonstration of three results. Given the assumption that Σ' is consistent, we show, (a) Σ'' is maximal; (b) each Ω_i is consistent; and use this to show (c), Σ'' is consistent. Suppose Σ' is consistent.

(a) Σ'' is maximal. Suppose otherwise. Then there is some \mathcal{Q}_i such that both $\Sigma'' \not\vdash \mathcal{Q}_i$ and $\Sigma'' \not\vdash \sim \mathcal{Q}_i$. For this i , by construction, each member of Ω_{i-1} is in Σ'' ; so if $\Omega_{i-1} \vdash \sim \mathcal{Q}_i$ then $\Sigma'' \vdash \sim \mathcal{Q}_i$; but $\Sigma'' \not\vdash \sim \mathcal{Q}_i$; so $\Omega_{i-1} \not\vdash \sim \mathcal{Q}_i$; so by construction, $\Omega_i = \Omega_{i-1} \cup \{\mathcal{Q}_i\}$; and by construction again, $\mathcal{Q}_i \in \Sigma''$; so $\Sigma'' \vdash \mathcal{Q}_i$. This is impossible; reject the assumption: Σ'' is maximal.

(b) Each Ω_i is consistent. By induction on the series of Ω_i s.

Basis: $\Omega_0 = \Sigma'$ and Σ' is consistent; so Ω_0 is consistent.

Assp: For any i , $0 \leq i < k$, Ω_i is consistent.

Show: Ω_k is consistent.

Ω_k is either Ω_{k-1} or $\Omega_{k-1} \cup \{\mathcal{Q}_k\}$. Suppose the former; by assumption, Ω_{k-1} is consistent; so Ω_k is consistent. Suppose the latter; then by construction, $\Omega_{k-1} \not\vdash \sim \mathcal{Q}_k$; so by T10.6_s, $\Omega_{k-1} \cup \{\mathcal{Q}_k\}$ is consistent; so Ω_k is consistent. So, either way, Ω_k is consistent.

Indct: For any i , Ω_i is consistent.

(c) Σ'' is consistent. Suppose Σ'' is not consistent; then there is some \mathcal{A} such that $\Sigma'' \vdash \mathcal{A}$ and $\Sigma'' \vdash \sim \mathcal{A}$. Consider derivations $D1$ and $D2$ of these results, and the premises $\mathcal{Q}_i \dots \mathcal{Q}_j$ of these derivations. Where \mathcal{Q}_j is the last of these premises in the enumeration of formulas, by the construction of Σ'' , each of $\mathcal{Q}_i \dots \mathcal{Q}_j$ must be a member of Ω_j ; so $D1$ and $D2$ are derivations from Ω_j ; so Ω_j is inconsistent. But by the previous result, Ω_j is consistent. This is impossible; reject the assumption: Σ'' is consistent.

Because derivations of \mathcal{A} and $\sim \mathcal{A}$ have only finitely many premises, all the premises in a derivation of a contradiction must show up in some Ω_j ; so if Σ'' is inconsistent, then some Ω_j is inconsistent. But no Ω_j is inconsistent. So Σ'' is consistent. So we have what we set out to show. $\Sigma' \subseteq \Sigma''$, and if Σ' is consistent, then Σ'' is both maximal and consistent.

E10.9. (i) Suppose $\Sigma' = \{A \rightarrow \sim B\}$ and the enumeration of formulas begins $A, \sim A, B, \sim B, \dots$. What are $\Omega_0, \Omega_1, \Omega_2, \Omega_3$, and Ω_4 ? (ii) What are they when the enumeration begins $B, \sim B, A, \sim A, \dots$? In each case, produce a (sentential) model to show that the resultant Ω_4 is consistent.

10.2.4 The Model

We now construct a model M' for Σ' . In this sentential case, the specification is particularly simple.

Cns M' For any atomic \mathcal{S} , let $M'[\mathcal{S}] = \text{T}$ iff $\Sigma'' \vdash \mathcal{S}$.

Notice that there clearly exists some such interpretation M' : We assign T to every sentence letter that can be derived from Σ'' , and F to the others. It will not be the case that we are in a position to do all the derivations, and so to know what are all the assignments to the atomics. Still, it must be that any atomic either is or is not a consequence of Σ' , and so that there exists a corresponding interpretation M' on which those sentence letters either are or are not assigned T.

We now want to show that if Σ' is consistent, then M' is a model for Σ' — that if Σ' is consistent then $M'[\Sigma'] = \text{T}$. As we shall see, this results immediately from the following theorem.

T10.9_s. If Σ' is consistent, then for any sentence \mathcal{B} , of \mathcal{L}_s , $M'[\mathcal{B}] = \text{T}$ iff $\Sigma'' \vdash \mathcal{B}$.

Suppose Σ' is consistent. Then by T10.8_s, Σ'' is maximal and consistent. Now by induction on the number of operators in \mathcal{B} ,

Basis: If \mathcal{B} has no operators, then it is an atomic of the sort \mathcal{S} . But by the construction of M' , $M'[\mathcal{S}] = \text{T}$ iff $\Sigma'' \vdash \mathcal{S}$; so $M'[\mathcal{B}] = \text{T}$ iff $\Sigma'' \vdash \mathcal{B}$.

Assp: For any i , $0 \leq i < k$, if \mathcal{B} has i operator symbols, then $M'[\mathcal{B}] = \text{T}$ iff $\Sigma'' \vdash \mathcal{B}$.

Show: If \mathcal{B} has k operator symbols, then $M'[\mathcal{B}] = \text{T}$ iff $\Sigma'' \vdash \mathcal{B}$.

If \mathcal{B} has k operator symbols, then it is of the form $\sim\mathcal{P}$ or $\mathcal{P} \rightarrow \mathcal{Q}$ where \mathcal{P} and \mathcal{Q} have $< k$ operator symbols.

(\sim) Suppose \mathcal{B} is $\sim\mathcal{P}$. (i) Suppose $M'[\mathcal{B}] = \text{T}$; then $M'[\sim\mathcal{P}] = \text{T}$; so by $\text{PT}(\sim)$, $M'[\mathcal{P}] \neq \text{T}$; so by assumption, $\Sigma'' \not\vdash \mathcal{P}$; so by maximality, $\Sigma'' \vdash \sim\mathcal{P}$; which is to say, $\Sigma'' \vdash \mathcal{B}$. (ii) Suppose $\Sigma'' \vdash \mathcal{B}$; then $\Sigma'' \vdash \sim\mathcal{P}$; so by consistency, $\Sigma'' \not\vdash \mathcal{P}$; so by assumption, $M'[\mathcal{P}] \neq \text{T}$; so by $\text{PT}(\sim)$, $M'[\sim\mathcal{P}] = \text{T}$; which is to say, $M'[\mathcal{B}] = \text{T}$. So $M'[\mathcal{B}] = \text{T}$ iff $\Sigma'' \vdash \mathcal{B}$.

(\rightarrow) Suppose \mathcal{B} is $\mathcal{P} \rightarrow \mathcal{Q}$. (i) Suppose $M'[\mathcal{B}] = \text{T}$; then $M'[\mathcal{P} \rightarrow \mathcal{Q}] = \text{T}$; so by $\text{PT}(\rightarrow)$, $M'[\mathcal{P}] \neq \text{T}$ or $M'[\mathcal{Q}] = \text{T}$; so by assumption, $\Sigma'' \not\vdash \mathcal{P}$ or $\Sigma'' \vdash \mathcal{Q}$. Suppose the latter; by A1, $\vdash \mathcal{Q} \rightarrow (\mathcal{P} \rightarrow \mathcal{Q})$; so by MP, $\Sigma'' \vdash \mathcal{P} \rightarrow \mathcal{Q}$. Suppose the former; then by maximality, $\Sigma'' \vdash \sim\mathcal{P}$; but by T3.9, $\vdash \sim\mathcal{P} \rightarrow (\mathcal{P} \rightarrow \mathcal{Q})$; so by MP, $\Sigma'' \vdash \mathcal{P} \rightarrow \mathcal{Q}$. So in either case, $\Sigma'' \vdash \mathcal{P} \rightarrow \mathcal{Q}$; where this is to say, $\Sigma'' \vdash \mathcal{B}$. (ii) Suppose $\Sigma'' \vdash \mathcal{B}$ but $M'[\mathcal{B}] \neq \text{T}$; by [homework], this is impossible: so if $\Sigma'' \vdash \mathcal{B}$, then $M'[\mathcal{B}] = \text{T}$. So $M'[\mathcal{B}] = \text{T}$ iff $\Sigma'' \vdash \mathcal{B}$.

If \mathcal{B} has k operator symbols, then $M'[\mathcal{B}] = \text{T}$ iff $\Sigma'' \vdash \mathcal{B}$.

Indct: For any \mathcal{B} , $M'[\mathcal{B}] = \text{T}$ iff $\Sigma'' \vdash \mathcal{B}$.

So if Σ' is consistent, then for any $\mathcal{B} \in \Sigma''$, $M'[\mathcal{B}] = \text{T}$ iff $\Sigma'' \vdash \mathcal{B}$.

The key to this is that Σ'' is both maximal and consistent. In (F), for example, $\Omega_0 = \{\sim A \rightarrow B\}$; so $\Omega_0 \not\vdash A$ and $\Omega_0 \not\vdash B$; if we were simply to follow our construction procedure as applied to this set, the result would have $M'[A] \neq \text{T}$ and $M'[B] \neq \text{T}$; but then $M'[\sim A \rightarrow B] \neq \text{T}$ and there is no model for Ω_0 . But Ω_4 has A and B as members; so $\Omega_4 \vdash A$ and $\Omega_4 \vdash B$. So by the construction procedure, $M'[A] = \text{T}$ and $M'[B] = \text{T}$; so $M'[\sim A \rightarrow B] = \text{T}$. Thus it is the construction with maximality and consistency of Σ'' that puts us in a position to draw the parallel between the implications of Σ'' and what is true on M' . It is now a short step to seeing that we have a model for Σ' and so (*) that we have been after.

***E10.10.** Complete the second half of the conditional case to complete the proof of T10.9_s. You should set up the entire induction, but may refer to the text for

parts completed there, as the text refers to homework.

E10.11. (i) Where $\Sigma' = \{A \rightarrow \sim B\}$, and the enumeration of formulas are as in the first part of E10.9, what assignments does M' make to A and B ? (ii) What assignments does it make on the second enumeration? Use a truth table to show, for each case, that the assignments result in a *model* for Σ' . Explain.

10.2.5 Final Result

The proof of sentential adequacy is now a simple matter of pulling together what we have done. First, it is a simple matter to show,

T10.10_s. If Σ' is consistent, then $M'[\Sigma'] = T$. (*)

Suppose Σ' is consistent but $M'[\Sigma'] \neq T$. From the latter, there is some formula $\mathcal{B} \in \Sigma'$ such that $M'[\mathcal{B}] \neq T$. Since $\mathcal{B} \in \Sigma'$, by construction, $\mathcal{B} \in \Sigma''$; so $\Sigma'' \vdash \mathcal{B}$; so, since Σ' is consistent, by T10.9_s, $M'[\mathcal{B}] = T$. This is impossible; reject the assumption: if Σ' is consistent, then $M'[\Sigma'] = T$.

That is it! Going back to the beginning of our discussion of sentential adequacy, all we needed was (*), and now we have it. So the final argument is as sketched before:

T10.11_s. If $\Gamma \models_s \mathcal{P}$, then $\Gamma \vdash \mathcal{P}$. (*sentential adequacy*)

Suppose $\Gamma \models_s \mathcal{P}$ but $\Gamma \not\vdash \mathcal{P}$. Say, for the moment, that $\Gamma \vdash \sim\sim\mathcal{P}$; by T3.10, $\vdash \sim\sim\mathcal{P} \rightarrow \mathcal{P}$; so by MP, $\Gamma \vdash \mathcal{P}$; but this is impossible; so $\Gamma \not\vdash \sim\sim\mathcal{P}$. Given this, by T10.6_s, $\Gamma \cup \{\sim\mathcal{P}\}$ is consistent; so by T10.10_s, there is a model M' such that $M'[\Gamma \cup \{\sim\mathcal{P}\}] = T$; so $M'[\sim\mathcal{P}] = T$; so by ST(\sim), $M'[\mathcal{P}] \neq T$; so $M'[\Gamma] = T$ but $M'[\mathcal{P}] \neq T$; so by SV, $\Gamma \not\models_s \mathcal{P}$. This is impossible; reject the assumption: if $\Gamma \models_s \mathcal{P}$, then $\Gamma \vdash \mathcal{P}$.

Try again to get the complete picture in your mind: The key is that consistent sets always have models. If there is no derivation of \mathcal{P} from Γ , then $\Gamma \cup \{\sim\mathcal{P}\}$ is consistent; and if $\Gamma \cup \{\sim\mathcal{P}\}$ is consistent, then it has a model — so that $\Gamma \not\models_s \mathcal{P}$. Thus, put the other way around, if $\Gamma \models_s \mathcal{P}$, then there is a derivation of \mathcal{P} from Γ . We get the key point, that consistent sets have models, by finding a relation between consistent, and *maximal* consistent sets. If a set is both maximal and consistent, then it contains enough information about its atomics that a model for its atomics is a model for the whole.

It is obvious that the argument is not constructive — we do not see how to show that $\Gamma \vdash \mathcal{P}$ whenever $\Gamma \models_s \mathcal{P}$. But it is interesting to see why. The argument turns on the *existence* of our big sets under certain conditions, and so on the existence of models. We show that the sets must exist and have certain properties, though we are not in a position to find all their members. This puts us in a position to know the existence of derivations, though we do not say what they are.²

E10.12. Suppose our primitive operators are \sim and \wedge and the derivation system is A2 from E3.4 on p. 81. Present a complete demonstration of adequacy for this derivation system — with all the definitions and theorems. You may simply appeal to the text for results that require no change.

10.3 Quantificational Adequacy: Basic Version

As promised, the demonstration of quantificational adequacy is parallel to what we have seen. Return to a quantificational language and to our regular quantificational semantic and derivation notions. The goal is to show that if $\Gamma \models \mathcal{P}$, then $\Gamma \vdash \mathcal{P}$. Certain complications are avoided if we suppose that the language \mathcal{L}' includes infinitely many constants not in Γ , and does not include the '=' symbol for equality. The constants not already in Γ are required for the construction of our big sets. And without = in the language, the model specification is simplified. We will work through the basic argument in this section and, dropping constraints on the language, return to the general case in the next. If you are confused at any stage, it may help to refer back to the parallel section for the sentential case.

Before launching into the main argument, it will be helpful to have a preliminary theorem. Where $D = \langle \mathcal{B}_1 \dots \mathcal{B}_n \rangle$ is an AD derivation, and $\Sigma' = \{\mathcal{C}_1 \dots \mathcal{C}_n\}$ is a set of formulas, for some constant a and variable x , say $D_x^a = \langle \mathcal{B}_1^a \dots \mathcal{B}_n^a \rangle$ and $\Sigma'_x^a = \{\mathcal{C}_1^a \dots \mathcal{C}_n^a\}$. By induction on the line numbers in D , we show,

T10.12. If D is a derivation from Σ' , and x is a variable that does not appear in D , then for any constant a , D_x^a is a derivation from Σ'_x^a .

Basis: \mathcal{B}_1 is either a member of Σ' or an axiom.

(prem) If \mathcal{B}_1 is a member of Σ' , then \mathcal{B}_1^a is a member of Σ'_x^a ; so $\langle \mathcal{B}_1^a \rangle$ is a derivation from Σ'_x^a .

²In fact, there are constructive approaches to sentential adequacy. See, for example, Lemma 1.13 and Proposition 1.14 of Mendelson, *Introduction to Mathematical Logic*. Our primary purpose, however, is to set up the argument for the quantificational case, where such methods do not apply.

- (eq) If \mathcal{B}_1 is an equality axiom, A6, A7 or A8, then it includes no constants; so $\mathcal{B}_1 = \mathcal{B}_1^a_x$; so $\mathcal{B}_1^a_x$ is an equality axiom, and $\langle \mathcal{B}_1^a_x \rangle$ is a derivation from Σ'^a_x .
- (A1) If \mathcal{B}_1 is an instance of A1, then it is of the form, $\mathcal{P} \rightarrow (\mathcal{Q} \rightarrow \mathcal{P})$; so $\mathcal{B}_1^a_x$ is $\mathcal{P}_x^a \rightarrow (\mathcal{Q}_x^a \rightarrow \mathcal{P}_x^a)$; but this is an instance of A1; so if \mathcal{B}_1 is an instance of A1, then $\mathcal{B}_1^a_x$ is an instance of A1, and $\langle \mathcal{B}_1^a_x \rangle$ is a derivation from Σ'^a_x .
- (A2) Homework.
- (A3) Homework.
- (A4) If \mathcal{B}_1 is an instance of A4, then it is of the form, $\forall v \mathcal{P} \rightarrow \mathcal{P}_t^v$, for some variable v and term t that is free for v in \mathcal{P} . So $\mathcal{B}_1^a_x = [\forall v \mathcal{P} \rightarrow \mathcal{P}_t^v]_x^a = [\forall v \mathcal{P}]_x^a \rightarrow [\mathcal{P}_t^v]_x^a$. But since x does not appear in D , $x \neq v$; so $[\forall v \mathcal{P}]_x^a = \forall v [\mathcal{P}_x^a]$. And by T8.7, $[\mathcal{P}_t^v]_x^a = [\mathcal{P}_x^a]_{t_x^a}^v$. So $\mathcal{B}_1^a_x = \forall v [\mathcal{P}_x^a] \rightarrow [\mathcal{P}_x^a]_{t_x^a}^v$; and since x is new to D and t is free for v in \mathcal{P} , t_x^a is free for v in \mathcal{P}_x^a ; so $\forall v [\mathcal{P}_x^a] \rightarrow [\mathcal{P}_x^a]_{t_x^a}^v$ is an instance of A4; so if \mathcal{B}_1 is an instance of A4, then $\mathcal{B}_1^a_x$ is an instance of A4, and $\langle \mathcal{B}_1^a_x \rangle$ is a derivation from Σ'^a_x .
- (A5) Homework.
- Assp:* For any i , $1 \leq i < k$, $\langle \mathcal{B}_1^a_x \dots \mathcal{B}_i^a_x \rangle$ is a derivation from Σ'^a_x .
- Show:* $\langle \mathcal{B}_1^a_x \dots \mathcal{B}_k^a_x \rangle$ is a derivation from Σ'^a_x .
- \mathcal{B}_k is a member of Σ' , an axiom, or arises from previous lines by MP or Gen. If \mathcal{B}_k is a member of Σ' or an axiom then, by reasoning as in the basis, $\langle \mathcal{B}_1 \dots \mathcal{B}_k \rangle$ is a derivation from Σ'^a_x . So two cases remain.
- (MP) Homework.
- (Gen) If \mathcal{B}_k arises by Gen, then there are some lines in D ,

$$\begin{array}{ll} i & \mathcal{P} \\ & \vdots \\ k & \forall v \mathcal{Q} \quad i \text{ Gen} \end{array}$$

where $i < k$ and $\mathcal{B}_k = \forall v \mathcal{P}$. By assumption \mathcal{P}_x^a is a member of the derivation $\langle \mathcal{B}_1^a_x \dots \mathcal{B}_{k-1}^a_x \rangle$ from Σ'^a_x ; so $\forall v \mathcal{P}_x^a$ follows in this new derivation by Gen. So $\langle \mathcal{B}_1^a_x \dots \mathcal{B}_k^a_x \rangle$ is a derivation from Σ'^a_x .
 So $\langle \mathcal{B}_1^a_x \dots \mathcal{B}_k^a_x \rangle$ is a derivation from Σ'^a_x .

Indct: For any n , $\langle \mathcal{B}_1^a_x \dots \mathcal{B}_n^a_x \rangle$ is a derivation from Σ'^a_x .

The reason this works is that none of the justifications change: switching x for a leaves each line justified for the same reasons as before. The only sticking point

may be the case for A4. But we did the real work for this by induction in T8.7. And that result should be intuitive, once we see what it says. Given this, the rest is straightforward.

***E10.13.** Finish the cases for A2, A3, A5 and MP to complete the proof of T10.12. You should set up the complete demonstration, but may refer to the text for cases completed there, as the text refers cases to homework.

E10.14. Where $\Sigma' = \{Ab\}$ and D is as follows,

1. $\forall x \sim Ax \rightarrow \sim Ab$	A4
2. $(\forall x \sim Ax \rightarrow \sim Ab) \rightarrow (\sim \sim Ab \rightarrow \sim \forall x \sim Ax)$	T3.13
3. $\sim \sim Ab \rightarrow \sim \forall x \sim Ax$	2,1 MP
4. $Ab \rightarrow \sim \sim Ab$	T3.11
5. $Ab \rightarrow \sim \forall x \sim Ax$	4,3 T3.2
6. Ab	prem
7. $\sim \forall x \sim Ax$	5,6 MP
8. $\exists x Ax$	7 abv

apply T10.12 to show that D_y^b is a derivation from $\Sigma' \overset{b}{y}$. Do any of the justifications change? Explain.

10.3.1 Basic Idea

As before, our main argument turns on the idea that every consistent set has a model. Thus we begin with a definition and a theorem.

Con A set Σ of formulas is *consistent* iff there is no formula \mathcal{A} such that $\Sigma \vdash \mathcal{A}$ and $\Sigma \vdash \sim \mathcal{A}$.

So a set of formulas is consistent just in case there is no way to derive a contradiction from it. Of course, now we are working with full quantificational languages, and so with our complete quantificational derivation systems.

For the following theorem, notice that Σ is a set of *formulas*, and \mathcal{P} a *sentence* (a distinction without a difference in the sentential case). Again as before,

T10.6. For any set of formulas Σ and sentence \mathcal{P} , if $\Sigma \not\vdash \sim \mathcal{P}$, then $\Sigma \cup \{\mathcal{P}\}$ is consistent.

For some sentence \mathcal{P} , suppose $\Sigma \not\vdash \sim \mathcal{P}$ but $\Sigma \cup \{\mathcal{P}\}$ is not consistent. From the latter, there is some formula \mathcal{A} such that $\Sigma \cup \{\mathcal{P}\} \vdash \mathcal{A}$ and $\Sigma \cup \{\mathcal{P}\} \vdash$

$\sim \mathcal{A}$; since \mathcal{P} is a sentence, it has no free variables; so by DT, $\Sigma \vdash \mathcal{P} \rightarrow \mathcal{A}$ and $\Sigma \vdash \mathcal{P} \rightarrow \sim \mathcal{A}$; by T3.10, $\vdash \sim \sim \mathcal{P} \rightarrow \mathcal{P}$; so by T3.2, $\Sigma \vdash \sim \sim \mathcal{P} \rightarrow \mathcal{A}$ and $\Sigma \vdash \sim \sim \mathcal{P} \rightarrow \sim \mathcal{A}$; but by A3, $\vdash (\sim \sim \mathcal{P} \rightarrow \sim \mathcal{A}) \rightarrow [(\sim \sim \mathcal{P} \rightarrow \mathcal{A}) \rightarrow \sim \mathcal{P}]$; so by two instances of MP, $\Sigma \vdash \sim \mathcal{P}$. This is impossible; reject the assumption: if $\Sigma \not\vdash \sim \mathcal{P}$, then $\Sigma \cup \{\mathcal{P}\}$ is consistent.

Insofar as \mathcal{P} is required to be a sentence, the restriction on applications of DT is sure to be met: since \mathcal{P} has no free variables, no application of Gen is to a variable free in \mathcal{P} . So T10.6 does not apply to arbitrary formulas.

To the extent that T10.6 plays a direct role in our basic argument for adequacy, this point that it does not apply to arbitrary formulas might seem to present a problem about reaching our general result, that if $\Gamma \models \mathcal{P}$ then $\Gamma \vdash \mathcal{P}$, which is supposed to apply in the arbitrary case. But there is a way around the problem. For any formula \mathcal{P} , let its (*universal*) *closure* \mathcal{P}^c be \mathcal{P} prefixed by a universal quantifier for every variable free in \mathcal{P} . To make \mathcal{P}^c unique, for some enumeration of variables, x_1, x_2, \dots let the quantifiers be in order of ascending subscripts. So if \mathcal{P} has no free variables, $\mathcal{P}^c = \mathcal{P}$; if x_1 is free in \mathcal{P} , then $\mathcal{P}^c = \forall x_1 \mathcal{P}$; if x_1 and x_3 are free in \mathcal{P} , then $\mathcal{P}^c = \forall x_1 \forall x_3 \mathcal{P}$; and so forth. So for any formula \mathcal{P} , \mathcal{P}^c is a *sentence*. As it turns out, we will be able to argue about arbitrary formulas \mathcal{P} , by using their closures \mathcal{P}^c as intermediaries.

Suppose that the members of $\Gamma \cup \{\sim \mathcal{P}^c\} = \Sigma'$ are formulas of \mathcal{L}' . Then it will be sufficient for us to show that any consistent set of this sort has a model.

- (\star) For any consistent set Σ' of formulas in \mathcal{L}' , there is an interpretation M' such that $M'[\Sigma'] = T$.

Again, this sets up the key connection between syntactic and semantic notions — between consistency on the one hand, and truth on the other — that we will need for adequacy. Supposing (\star) we have the following,

- | | | | | |
|----|--|------------|--|-------------|
| 1. | $\Gamma \cup \{\sim \mathcal{P}^c\}$ has a model | \implies | $\Gamma \not\models \mathcal{P}$ | |
| 2. | $\Gamma \cup \{\sim \mathcal{P}^c\}$ is consistent | \implies | $\Gamma \cup \{\sim \mathcal{P}^c\}$ has a model | (\star) |
| 3. | $\Gamma \cup \{\sim \mathcal{P}^c\}$ is not consistent | \implies | $\Gamma \vdash \mathcal{P}$ | |

(2) is just (\star). Observe that (1) and (3) switch between \mathcal{P}^c and \mathcal{P} . (1) is by semantic reasoning: Suppose $\Gamma \cup \{\sim \mathcal{P}^c\}$ has a model; then there is some M such that $M[\Gamma \cup \{\sim \mathcal{P}^c\}] = T$; so $M[\Gamma] = T$ and $M[\sim \mathcal{P}^c] = T$; from the latter, by TI, for arbitrary d , $M_d[\sim \mathcal{P}^c] = S$; so by SF(\sim), $M_d[\mathcal{P}^c] \neq S$; so by TI, $M[\mathcal{P}^c] \neq T$; so by repeated

applications of T7.7 on page 371, $M[\mathcal{P}] \neq \top$; so $M[\Gamma] = \top$ and $M[\mathcal{P}] \neq \top$; so by QV, $\Gamma \not\models \mathcal{P}$. (3) is by syntactic reasoning: Suppose $\Gamma \cup \{\sim \mathcal{P}^c\}$ is not consistent; then since \mathcal{P}^c is a sentence, by an application of T10.6, $\Gamma \vdash \sim \sim \mathcal{P}^c$; but by T3.10, $\vdash \sim \sim \mathcal{P}^c \rightarrow \mathcal{P}^c$; so by MP, $\Gamma \vdash \mathcal{P}^c$; and by repeated applications of A4 and MP, $\Gamma \vdash \mathcal{P}$.

Now suppose $\Gamma \models \mathcal{P}$; then from (1), $\Gamma \cup \{\sim \mathcal{P}^c\}$ does not have a model; so by (2), $\Gamma \cup \{\sim \mathcal{P}^c\}$ is not consistent; so by (3), $\Gamma \vdash \mathcal{P}$. So if $\Gamma \models \mathcal{P}$, then $\Gamma \vdash \mathcal{P}$, and this is the result we want. T7.7, according to which $M[\mathcal{P}] = \top$ iff $M[\forall x \mathcal{P}] = \top$, along with A4 and Gen, which let us derive \mathcal{P} from $\forall x \mathcal{P}$ and vice versa, bridge between \mathcal{P} and \mathcal{P}^c so that our suppositions about formulas can be converted into claims about sentences and then back again.

Again, it remains to show (\star) , that every consistent set Σ' of formulas has a model. And, again, our strategy is to find a “big” set related to Σ' which can be used to specify a model for Σ' .

10.3.2 Gödel Numbering

As before, in constructing our big sets, we will want to line up expressions serially — one after another. The method merely expands our approach for the sentential case.

T10.7. There is an enumeration $\mathcal{Q}_1, \mathcal{Q}_2 \dots$ of all the formulas, terms, and the like, in \mathcal{L}' .

The proof is again by construction: We develop a method by which all the expressions of \mathcal{L}' can be lined up. Then the collection of all formulas, taken in that order, is an enumeration of all formulas; the collection of all terms, taken in that order, is an enumeration of all terms; and so forth.

Insofar as the collections of variable symbols, constant symbols, function symbols, sentence letters, and relation symbols in any quantificational language are countable, they are capable of being sorted into series, $x_0, x_1 \dots$ and $a_0, a_1 \dots$ and $h_0^n, h_1^n \dots$ and $\mathcal{R}_0^n, \mathcal{R}_1^n \dots$ for variables, constants, function symbols and relation symbols, respectively (where we think of sentence letters as 0-place relation symbols). Supposing that they are sorted into such series, begin by assigning to each symbol α in \mathcal{L}' an integer $g[\alpha]$ called its *Gödel Number*.

- | | |
|-------------------------|---|
| a. $g[(] = 3$ | f. $g[\forall] = 13$ |
| b. $g[)] = 5$ | g. $g[x_i] = 15 + 10i$ |
| c. $g[\sim] = 7$ | h. $g[a_i] = 17 + 10i$ |
| d. $g[\rightarrow] = 9$ | i. $g[h_i^n] = 19 + 10(2^n \times 3^i)$ |

$$\text{*e. } g[=] = 11 \qquad \text{j. } g[\mathcal{R}_i^n] = 21 + 10(2^n \times 3^i)$$

Officially, we do not yet have ‘=’ in the language, but it is easy enough to leave it out for now. So, for example, $g[x_0] = 15$, $g[x_1] = 15 + 10 \times 1 = 25$, and $g[\mathcal{R}_1^2] = 21 + 10(2^2 \times 3^1) = 141$.

To see that each symbol gets a distinct Gödel number, first notice that numbers in different categories cannot overlap: Each of (a) - (f) is obviously distinct and ≤ 13 . But (g) - (j) are all greater than 13, and when divided by 10, the remainder is 5 for variables, 7 for constants, 9 for function symbols, and 1 for relation symbols; so variables, constants, and function symbols all get different numbers. Second, different symbols get different numbers within the categories. This is obvious except in cases (i) and (j). For these we need to see that each n/i combination results in a different multiplier.

Suppose this is not so, that there are some combinations n, i and m, j such that $2^n \times 3^i = 2^m \times 3^j$ but $n \neq m$ or $i \neq j$. If $n = m$ then, dividing both sides by 2^n , we get $3^i = 3^j$, so that $i = j$. So suppose $n \neq m$ and, without loss of generality, that $n > m$. Dividing each side by 2^m and 3^i , we get $2^{n-m} = 3^{j-i}$; since $n > m$, $n - m$ is a positive integer; so 2^{n-m} is > 1 and even. But 3^{j-i} is either < 1 or odd. Reject the assumption: if $2^n \times 3^i = 2^m \times 3^j$, then $n = m$ and $i = j$.

So each n/i combination gets a different multiplier, and we conclude that each symbol gets a different Gödel number. (This result is a special case of the Fundamental theorem of Arithmetic treated in the [arithmetic for Gödel numbering](#) and [more arithmetic for Gödel numbering](#) references.)

Now, as before, assign Gödel numbers to expressions as follows: Where $\alpha_0, \alpha_1 \dots \alpha_n$ are the symbols, in order from left to right, in some expression \mathcal{Q} ,

$$g[\mathcal{Q}] = 2^{g[\alpha_0]} \times 3^{g[\alpha_1]} \times 5^{g[\alpha_2]} \times \dots \times \pi_n^{g[\alpha_n]}$$

where $2, 3, 5 \dots \pi_n$ are the first n prime numbers. So, for example, $g[\sim \sim \mathcal{R}_1^2 x_0 x_1] = 2^7 \times 3^7 \times 5^{141} \times 7^{15} \times 11^{25}$ — a relatively large integer (one with over 130 digits)! All the same, it is an integer, and different expressions get different Gödel numbers. Given a Gödel number, we can find the corresponding expression by finding its prime factorization; then if there are seven 2s in the factorization, the first symbol is \sim ; if there are seven 3s, the second symbol is \sim ; if there are one hundred forty one 5s, the third symbol is \mathcal{R}_1^2 ; and so forth. Notice that numbers for individual symbols are odd, where numbers for expressions are even.

So we can take the set of all formulas, the set of all terms, or whatever, and order their members according to their Gödel numbers — so that there is an enumeration $\mathcal{Q}_1, \mathcal{Q}_2 \dots$ of all formulas, terms, and so forth. And this is what was to be shown.

More Arithmetic Relevant to Gödel Numbering

G3. For any $i > 1$, if i is the product of the primes $p_1, p_2 \dots p_a$, then no distinct collection of primes $q_1, q_2 \dots q_b$ is such that i is the product of them. (The *Fundamental Theorem of Arithmetic*)

Basis: The first integer $\geq 1 = 2$; but the only collection of primes such that their product is equal to 2 is the collection containing just 2 itself; so no distinct collection of primes is such that 2 is the product of them.

Assp: For any i , $1 \leq i < k$, if i is the product of primes $p_1 \dots p_a$, then no distinct collection of primes $q_1 \dots q_b$ is such that i is the product of them.

Show: k is such that if it is the product of the primes $p_1 \dots p_a$, then no distinct collection of primes $q_1 \dots q_b$ is such that k is the product of them.

Suppose there are distinct collections of primes $p_1 \dots p_a$ and $q_1 \dots q_b$ such that $k = p_1 \times \dots \times p_a = q_1 \times \dots \times q_b$; divide out terms common to both lists of primes; then for some subclasses of the original lists, $n = p_1 \times \dots \times p_c = q_1 \times \dots \times q_d$, where no member of $p_1 \dots p_c$ is a member of $q_1 \dots q_d$ and *vice versa* (of course this p_1 may be distinct from the one in the original list, and so forth). So $p_1 \neq q_1$; suppose, without loss of generality, that $p_1 > q_1$; and let $m = q_1(n/q_1 - n/p_1) = n - (q_1/p_1)n = n - q_1 \times p_2 \times \dots \times p_c$.

Some preliminary results: (i) $m < n \leq k$; so $m < k$. Further, n/q_1 and n/p_1 are integers, with the first greater than the second; so the difference is an integer > 0 ; any prime is > 1 ; so q_1 is > 1 ; so the product of q_1 and $(n/q_1 - n/p_1)$ is > 1 ; so $m > 1$. So the inductive assumption applies to m . (ii) q_1 divides n and q_1 divides $q_1 \times p_2 \times \dots \times p_c$; so $[n - q_1 \times p_2 \times \dots \times p_c]/q_1$ is an integer; so m/q_1 is an integer, and q_1 divides m . (iii) $(p_1 - q_1)/q_1 = p_1/q_1 - 1$; since p_1 is prime, this is no integer; so q_1 does not divide $(p_1 - q_1)$.

Notice that $m = (p_1 - q_1)(n/p_1)$; either $p_1 - q_1 = 1$ or it has some prime factorization, and n/p_1 has a prime factorization, $p_2 \times \dots \times p_c$; the product of the factorization(s) is a prime factorization of m . Given the cancellation of common terms to get n , q_1 is not a member of $p_2 \times \dots \times p_c$; by (iii), q_1 is not a member of the factorization of $p_1 - q_1$; so q_1 is not a member of this factorization of m . By (ii), q_1 divides m , and however many times it goes into m , by (G1), that number has a prime factorization; the product of q_1 and this factorization is a prime factorization of m ; so q_1 is a member of some prime factorization of m . But by (i), the inductive assumption applies to m ; so m has only one prime factorization. Reject the assumption: there are no distinct collections of primes, $p_1 \dots p_a$ and $q_1 \dots q_b$ such that $k = p_1 \times \dots \times p_a = q_1 \times \dots \times q_b$.

Indct: For any $i > 1$, if i is the product of the primes $p_1, p_2 \dots p_a$, then no distinct collection of primes $q_1, q_2 \dots q_b$ is such that i is the product of them.

E10.15. Find Gödel numbers for each of the following. Treat the first as a simple symbol. (For the last, you need not do the calculation!)

$$\mathcal{R}_3^2 \quad h_1^1 x_1 \quad \forall x_2 \mathcal{R}_1^2 a_2 x_2$$

E10.16. Determine the objects that have the following Gödel numbers.

$$61 \quad 2^{13} \times 3^{15} \times 5^3 \times 7^{15} \times 11^{11} \times 13^{15} \times 17^5$$

10.3.3 The Big Set

This section, along with the next, constitutes the heart of our demonstration of adequacy. Last time, to build our big set we added formulas to Σ' to form a Σ'' that was both maximal and consistent. A set of formulas is consistent just in case there is no formula \mathcal{A} such that both \mathcal{A} and $\sim\mathcal{A}$ are consequences. To accommodate restrictions from T10.6, maximality is defined in terms of *sentences*.

Max A set Σ of formulas is *maximal* iff for any sentence \mathcal{A} , $\Sigma \vdash \mathcal{A}$ or $\Sigma \vdash \sim\mathcal{A}$.

This time, however, we need an additional property for our big sets. If a maximal and consistent set has $\forall x \mathcal{P}$ as a member, then it has \mathcal{P}_a^x as a consequence for every constant a . (Be clear about why this is so.) But in a maximal and consistent set, the status of a universal $\forall x \mathcal{P}$ is not always reflected at the level of its instances. Thus, for example, though a set has \mathcal{P}_a^x as a consequence for every constant a , it may consistently include $\sim\forall x \mathcal{P}$ as well — for it may be that a universal is falsified by some individual to which no constant is assigned. But when we come to showing by induction that there is a model for our big set, it will be important that the status of a universal *is* reflected at the level of its instances. We guarantee this by building the set to satisfy the following condition.

Scgt A set Σ of formulas is a *scapegoat* set iff for any sentence $\sim\forall x \mathcal{P}$, if $\Sigma \vdash \sim\forall x \mathcal{P}$, then there is some constant a such that $\Sigma \vdash \sim\mathcal{P}_a^x$.

Equivalently, Σ is a scapegoat set just in case any sentence $\exists x \mathcal{P}$ is such that if $\Sigma \vdash \exists x \mathcal{P}$, then there is some constant a such that $\Sigma \vdash \mathcal{P}_a^x$. In a scapegoat set, we assert the existence of a particular individual (a *scapegoat*) corresponding to any existential claim. Notice that, since $\sim\forall x \mathcal{P}$ is a sentence, $\sim\mathcal{P}_a^x$ is a sentence too.

So we set out to construct from Σ' a maximal, consistent, scapegoat set. As before, the idea is to line the formulas up, and consider them for inclusion one-by-one. In addition, this time, we consider an enumeration of constants $c_1, c_2 \dots$ and

for any included sentence of the form $\sim\forall x\mathcal{P}$, we include $\sim\mathcal{P}_c^x$ where c is a constant that does not so far appear in the construction. Notice that if, as we have assumed, \mathcal{L}' includes infinitely many constants not in Γ , there are sure to be infinitely many constants not already in a Σ' built on Γ .

Cns Σ'' Construct Σ'' from Σ' as follows: By T10.7, there is an enumeration, $\mathcal{Q}_1, \mathcal{Q}_2 \dots$ of all the sentences in \mathcal{L}' and also an enumeration $c_1, c_2 \dots$ of constants not in Σ' . Let $\Omega_0 = \Sigma'$. Then for any $i > 0$, let

$$\begin{aligned} \Omega_i &= \Omega_{i-1} & \text{if} & \quad \Omega_{i-1} \vdash \sim\mathcal{Q}_i \\ \text{else,} & & & \\ \Omega_{i*} &= \Omega_{i-1} \cup \{\mathcal{Q}_i\} & \text{if} & \quad \Omega_{i-1} \not\vdash \sim\mathcal{Q}_i \\ \text{and,} & & & \\ \Omega_i &= \Omega_{i*} & \text{if} & \quad \mathcal{Q}_i \text{ is not of the form } \sim\forall x\mathcal{P} \\ \Omega_i &= \Omega_{i*} \cup \{\sim\mathcal{P}_c^x\} & \text{if} & \quad \mathcal{Q}_i \text{ is of the form } \sim\forall x\mathcal{P}; c \text{ the first} \\ & & & \text{constant not in } \Omega_{i*} \end{aligned}$$

then,

$$\Sigma'' = \bigcup_{i \geq 0} \Omega_i \text{ — that is, } \Sigma'' \text{ is the union of all the } \Omega_i \text{s}$$

Beginning with set $\Sigma' (= \Omega_0)$, we consider the sentences in the enumeration $\mathcal{Q}_1, \mathcal{Q}_2 \dots$ one-by-one, adding a sentence just in case its negation is not already derivable. In addition, if \mathcal{Q}_i is of the sort $\sim\forall x\mathcal{P}$, we add an instance of it, using a new constant. This time, Ω_{i*} functions as an intermediate set. Observe that if c is not in Ω_{i*} , then c is not in $\sim\forall x\mathcal{P}$. Σ'' contains all the members of Σ' , together with all the formulas added this way.

It remains to show that if Σ' is consistent, then Σ'' is a maximal, consistent, scapegoat set.

T10.8. If Σ' is consistent, then Σ'' is a maximal, consistent, scapegoat set.

The proof comes to showing (a) Σ'' is maximal. (b) If Σ' is consistent then each Ω_i is consistent. From this, (c) if Σ' is consistent then Σ'' is consistent. And (d) if Σ' is consistent, then Σ'' is a scapegoat set. Suppose Σ' is consistent.

(a) Σ'' is maximal. Suppose Σ'' is not maximal. Then there is some sentence \mathcal{Q}_i such that both $\Sigma'' \not\vdash \mathcal{Q}_i$ and $\Sigma'' \not\vdash \sim\mathcal{Q}_i$. For this i , by construction, each member of Ω_{i-1} is in Σ'' ; so if $\Omega_{i-1} \vdash \sim\mathcal{Q}_i$ then $\Sigma'' \vdash \sim\mathcal{Q}_i$; but $\Sigma'' \not\vdash \sim\mathcal{Q}_i$; so $\Omega_{i-1} \not\vdash \sim\mathcal{Q}_i$; so by construction, $\Omega_{i*} = \Omega_{i-1} \cup \{\mathcal{Q}_i\}$; and

by construction again, $\mathcal{Q}_i \in \Sigma''$; so $\Sigma'' \vdash \mathcal{Q}_i$. This is impossible; reject the assumption: Σ'' is maximal.

(b) Each Ω_i is consistent. By induction on the series of Ω_i s.

Basis: $\Omega_0 = \Sigma'$ and Σ' is consistent; so Ω_0 is consistent.

Assp: For any i , $0 \leq i < k$, Ω_i is consistent.

Show: Ω_k is consistent.

Ω_k is either (i) Ω_{k-1} , (ii) $\Omega_{k*} = \Omega_{k-1} \cup \{\mathcal{Q}_k\}$, or (iii) $\Omega_{k*} \cup \{\sim \mathcal{P}_c^x\}$.

- (i) Suppose Ω_k is Ω_{k-1} . By assumption, Ω_{k-1} is consistent; so Ω_k is consistent.
- (ii) Suppose Ω_k is $\Omega_{k*} = \Omega_{k-1} \cup \{\mathcal{Q}_k\}$. Then by construction, $\Omega_{k-1} \not\vdash \sim \mathcal{Q}_k$; so, since \mathcal{Q}_k is a sentence, by T10.6, $\Omega_{k-1} \cup \{\mathcal{Q}_k\}$ is consistent; so Ω_{k*} is consistent, and Ω_k is consistent.
- (iii) Suppose Ω_k is $\Omega_{k*} \cup \{\sim \mathcal{P}_c^x\}$ for c not in Ω_{k*} or in $\sim \forall x \mathcal{P}$. In this case, as in (ii) above, Ω_{k*} is consistent; and, by construction $\sim \forall x \mathcal{P} \in \Omega_{k*}$; so $\Omega_{k*} \vdash \sim \forall x \mathcal{P}$. Suppose Ω_k is inconsistent; then there are formulas \mathcal{A} and $\sim \mathcal{A}$ such that $\Omega_k \vdash \mathcal{A}$ and $\Omega_k \vdash \sim \mathcal{A}$; so $\Omega_{k*} \cup \{\sim \mathcal{P}_c^x\} \vdash \mathcal{A}$ and $\Omega_{k*} \cup \{\sim \mathcal{P}_c^x\} \vdash \sim \mathcal{A}$. But since $\sim \mathcal{P}_c^x$ is a sentence, the restriction on DT is met, and both $\Omega_{k*} \vdash \sim \mathcal{P}_c^x \rightarrow \mathcal{A}$ and $\Omega_{k*} \vdash \sim \mathcal{P}_c^x \rightarrow \sim \mathcal{A}$; by A3, $\vdash (\sim \mathcal{P}_c^x \rightarrow \sim \mathcal{A}) \rightarrow [(\sim \mathcal{P}_c^x \rightarrow \mathcal{A}) \rightarrow \mathcal{P}_c^x]$; so by two instances of MP, $\Omega_{k*} \vdash \mathcal{P}_c^x$.

Consider some derivation of this result; by T10.12, we can switch c for some variable v that does not occur in Ω_{k*} or in the derivation, and the result is a derivation; so $\Omega_{k*} \stackrel{c}{v} \vdash [\mathcal{P}_c^x]_v^c$; but since c does not occur in Ω_{k*} or in $\sim \forall x \mathcal{P}$, this is to say, $\Omega_{k*} \vdash \mathcal{P}_v^x$; so by Gen, $\Omega_{k*} \vdash \forall v \mathcal{P}_v^x$; but x is not free in $\forall v \mathcal{P}_v^x$ and x is free for v in \mathcal{P}_v^x , so by T3.27, $\vdash \forall v \mathcal{P}_v^x \rightarrow \forall x [\mathcal{P}_v^x]_x^v$; so by MP, $\Omega_{k*} \vdash \forall x [\mathcal{P}_v^x]_x^v$; and since v is not a variable in \mathcal{P} , it is not free in \mathcal{P} and free for x in \mathcal{P} ; so by T8.2, $[\mathcal{P}_v^x]_x^v = \mathcal{P}$; so $\Omega_{k*} \vdash \forall x \mathcal{P}$.

But $\Omega_{k*} \vdash \sim \forall x \mathcal{P}$. So Ω_{k*} is inconsistent. This is impossible; reject the assumption: Ω_k is consistent.

Ω_k is consistent

Indct: For any i , Ω_i is consistent.

(c) Σ'' is consistent. Suppose Σ'' is not consistent; then there is some \mathcal{A} such that $\Sigma'' \vdash \mathcal{A}$ and $\Sigma'' \vdash \sim \mathcal{A}$. Consider derivations $D1$ and $D2$ of these results,

and the premises $\mathcal{Q}_i \dots \mathcal{Q}_j$ of these derivations. Where \mathcal{Q}_j is the last of these premises in the enumeration of formulas, by the construction of Σ'' , each of $\mathcal{Q}_i \dots \mathcal{Q}_j$ must be a member of Ω_j ; so $D1$ and $D2$ are derivations from Ω_j ; so Ω_j is inconsistent. But by the previous result, Ω_j is consistent. This is impossible; reject the assumption: Σ'' is consistent.

(d) Σ'' is a scapegoat set. Suppose $\Sigma'' \vdash \mathcal{Q}_i$, for \mathcal{Q}_i of the form $\sim \forall x \mathcal{P}$. By (c), Σ'' is consistent; so $\Sigma'' \not\vdash \sim \sim \forall x \mathcal{P}$; which is to say, $\Sigma'' \not\vdash \sim \mathcal{Q}_i$; so, $\Omega_{i-1} \not\vdash \sim \mathcal{Q}_i$; so by construction, $\Omega_{i*} = \Omega_{i-1} \cup \{\sim \forall x \mathcal{P}\}$ and $\Omega_i = \Omega_{i*} \cup \{\sim \mathcal{P}_c^x\}$; so by construction, $\sim \mathcal{P}_c^x \in \Sigma''$; so $\Sigma'' \vdash \sim \mathcal{P}_c^x$. So if $\Sigma'' \vdash \sim \forall x \mathcal{P}$, then $\Sigma'' \vdash \sim \mathcal{P}_c^x$, and Σ'' is a scapegoat set.

In a pattern that should be familiar by now, we guarantee maximal scapegoat sets, by including instances as required. The most difficult case is (iii) for consistency. Having shown that $\Omega_{k*} \vdash \mathcal{P}_c^x$ for c not in Ω_{k*} or in \mathcal{P} , we want to generalize to show that $\Omega_{k*} \vdash \forall x \mathcal{P}$. But, in our derivation systems, generalization is on variables, not constants. To get the generalization we want, we first use T10.12 to replace c with an arbitrary variable v . From this, we might have moved immediately to $\forall x \mathcal{P}$ by the *ND* rule VI. However, in the above reasoning, we stick with the pattern of *AD* rules, applying Gen, and then T3.27 to switch bound variables, for the desired result, that contradicts $\sim \forall x \mathcal{P}$.

E10.17. Let $\Sigma' = \{\forall x \sim Bx, Ca\}$ and consider enumerations of sentences and extra constants in \mathcal{L}' that begin, $Aa, Ba, \sim \forall x Cx \dots$ and $c_1, c_2 \dots$. What are $\Omega_0, \Omega_{1*}, \Omega_1, \Omega_{2*}, \Omega_2, \Omega_{3*}, \Omega_3$? Produce a model to show that the resultant set Ω_3 is consistent.

E10.18. Suppose some $\Omega_{i-1} = \{Ac_2, \forall x (Ax \rightarrow Bx)\}$. Show that Ω_{i*} is consistent, but Ω_i is not, if $\mathcal{Q}_i = \sim \forall x Bx$, and we add $\sim \forall x Bx$ with $\sim Bc_2$ to form Ω_{i*} and Ω_i . Why cannot this happen in the construction of Σ'' ?

10.3.4 The Model

We turn now to constructing the model M' for Σ' . As it turns out, the construction is simplified by our assumption that '=' does not appear in the language. A quantificational interpretation has a universe, with assignments to sentence letters, constants, function symbols, and relation symbols.

CnsM' Let the universe U be the set of positive integers, $\{1, 2, \dots\}$. Then, where a *variable-free* term consists just of function symbols and constants, consider an enumeration t_1, t_2, \dots of all the variable-free terms in \mathcal{L}' . If t_z is a constant, set $M'[t_z] = z$. If $t_z = h^n t_a \dots t_b$ for some function symbol h^n and n variable-free terms $t_a \dots t_b$, then let $\langle \langle a \dots b \rangle, z \rangle \in M'[h^n]$. For a sentence letter \mathcal{S} , let $M'[\mathcal{S}] = \top$ iff $\Sigma'' \vdash \mathcal{S}$. And for a relation symbol \mathcal{R}^n , let $\langle a \dots b \rangle \in M'[\mathcal{R}^n]$ iff $\Sigma'' \vdash \mathcal{R}^n t_a \dots t_b$.³

Thus, for example, where t_1 and t_3 from the enumeration of terms are constants and $\Sigma'' \vdash \mathcal{R} t_1 t_3$, then $M'[t_1] = 1$, $M'[t_3] = 3$ and $\langle 1, 3 \rangle \in M'[\mathcal{R}]$. Given this, it should be clear *why* $\mathcal{R} t_1 t_3$ comes out satisfied on M' : Put generally, where $t_a \dots t_b$ are constants, we set $M'[t_a] = a$, and \dots and $M'[t_b] = b$; so by **TA(c)**, for any variable assignment d , $M'_d[t_a] = a$, and \dots and $M'_d[t_b] = b$. So by **SF(r)**, $M'_d[\mathcal{R}^n t_a \dots t_b] = S$ iff $\langle a \dots b \rangle \in M'[\mathcal{R}^n]$; by construction, iff $\Sigma'' \vdash \mathcal{R}^n t_a \dots t_b$. Just as in the sentential case, our idea is to make atomic sentences true on M' just in case they are proved by Σ'' .

Our aim has been to show that if Σ' is consistent, then Σ' has a model. We have constructed an interpretation M' , and now show what sentences are true on it. As in the sentential case, the main weight is carried by a preliminary theorem. And, as in the sentential case, the key is that we can appeal to special features of Σ'' , this time that it is a maximal, consistent, scapegoat set. Notice that \mathcal{B} is a *sentence*.

T10.9. If Σ' is consistent, then for any sentence \mathcal{B} of \mathcal{L}' , $M'[\mathcal{B}] = \top$ iff $\Sigma'' \vdash \mathcal{B}$.

Suppose Σ' is consistent and \mathcal{B} is a sentence of \mathcal{L}' . By **T10.8**, Σ'' is a maximal, consistent, scapegoat set. We begin with a preliminary result, which connects arbitrary variable-free terms to our treatment of constants in the example above: for any variable-free term t_z and variable assignment d , $M'_d[t_z] = z$.

Suppose t_z is a variable-free term and d is an arbitrary variable assignment. By induction on the number of function symbols in t_z , $M'_d[t_z] = z$.

Basis: If t_z has no function symbols, then it is a constant. In this case, by construction, $M'[t_z] = z$; so by **TA(c)**, $M'_d[t_z] = z$.

Assp: For any i , $0 \leq i < k$, if t_z has i function symbols, then $M'_d[t_z] = z$.

³It is common to let U just be the set of variable-free terms in \mathcal{L}' , and the interpretation of a term be itself. There is nothing the matter with this. However, working with the integers emphasizes continuity with other models we have seen, and positions us for further results.

Show: If t_z has k function symbols, then $M'_d[t_z] = z$.

If t_z has k function symbols, then it is of the form $h^n t_a \dots t_b$ for function symbol h^n and variable-free terms $t_a \dots t_b$ each with $< k$ function symbols. By **TA(f)**, $M'_d[t_z] = M'_d[h^n t_a \dots t_b] = M'[h^n](M'_d[t_a] \dots M'_d[t_b])$; but by assumption, $M'_d[t_a] = a$, and \dots and $M'_d[t_b] = b$; so $M'_d[t_z] = M'[h^n](a \dots b)$. But since $t_z = h^n t_a \dots t_b$ is a variable-free term, by construction, $(\langle a \dots b \rangle, z) \in M'[h^n]$; so we have $M'_d[t_z] = M'[h^n](a \dots b) = z$.

Indct: For any t_z , $M'_d[t_z] = z$.

Given this, we are ready to show, by induction on the number of operators in \mathcal{B} , that $M'[\mathcal{B}] = T$ iff $\Sigma'' \vdash \mathcal{B}$. Suppose \mathcal{B} is a sentence.

Basis: If \mathcal{B} is a sentence with no operators, then it is a sentence letter \mathcal{S} , or an atomic $\mathcal{R}^n t_a \dots t_b$ for relation symbol \mathcal{R}^n and variable-free terms $t_a \dots t_b$. In the first case, by construction, $M'[\mathcal{S}] = T$ iff $\Sigma'' \vdash \mathcal{S}$. In the second case, by **TI**, $M'[\mathcal{R}^n t_a \dots t_b] = T$ iff for arbitrary d , $M'_d[\mathcal{R}^n t_a \dots t_b] = S$; by **SF(r)**, iff $\langle M'_d[t_a] \dots M'_d[t_b] \rangle \in M'[\mathcal{R}^n]$; since $t_a \dots t_b$ are variable-free terms, by the above result, iff $\langle a \dots b \rangle \in M'[\mathcal{R}^n]$; by construction, iff $\Sigma'' \vdash \mathcal{R}^n t_a \dots t_b$. In either case, then, $M'[\mathcal{B}] = T$ iff $\Sigma'' \vdash \mathcal{B}$.

Assp: For any i , $0 \leq i < k$ if a sentence \mathcal{B} has i operator symbols, then $M'[\mathcal{B}] = T$ iff $\Sigma'' \vdash \mathcal{B}$.

Show: If a sentence \mathcal{B} has k operator symbols, then $M'[\mathcal{B}] = T$ iff $\Sigma'' \vdash \mathcal{B}$.

If \mathcal{B} has k operator symbols, then it is of the form, $\sim \mathcal{P}$, $\mathcal{P} \rightarrow \mathcal{Q}$ or $\forall x \mathcal{P}$, for variable x and \mathcal{P} and \mathcal{Q} with $< k$ operator symbols.

- (\sim) Suppose \mathcal{B} is $\sim \mathcal{P}$. Homework. Hint: given T8.6, your reasoning may be very much as in the sentential case.
- (\rightarrow) Suppose \mathcal{B} is $\mathcal{P} \rightarrow \mathcal{Q}$. Homework.
- (\forall) Suppose \mathcal{B} is $\forall x \mathcal{P}$. Then since \mathcal{B} is a sentence, x is the only variable that could be free in \mathcal{P} .

(i) Suppose $M'[\mathcal{B}] = T$ but $\Sigma'' \not\vdash \mathcal{B}$; from the latter, $\Sigma'' \not\vdash \forall x \mathcal{P}$; since Σ'' is maximal, $\Sigma'' \vdash \sim \forall x \mathcal{P}$; and since Σ'' is a scapegoat set, for some constant c , $\Sigma'' \vdash \sim \mathcal{P}_c^x$; so by consistency, $\Sigma'' \not\vdash \mathcal{P}_c^x$; but \mathcal{P}_c^x is a sentence; so by assumption, $M'[\mathcal{P}_c^x] \neq T$; so by **TI**, for some d , $M'_d[\mathcal{P}_c^x] \neq S$; but, where c is some t_a , by construction, $M'[c] = a$; so by **TA(c)**, $M'_d[c] = a$; so, since c is free for x in \mathcal{P} , by T10.2,

$M'_{d(x|a)}[\mathcal{P}] \neq S$; so by **SF**(\forall), $M'_d[\forall x \mathcal{P}] \neq S$; so by **TI**, $M'[\forall x \mathcal{P}] \neq T$; and this is just to say, $M'[\mathcal{B}] \neq T$. But this is impossible; reject the assumption: if $M'[\mathcal{B}] = T$, then $\Sigma'' \vdash \mathcal{B}$.

(ii) Suppose $\Sigma'' \vdash \mathcal{B}$ but $M'[\mathcal{B}] \neq T$; from the latter, $M'[\forall x \mathcal{P}] \neq T$; so by **TI**, there is some d such that $M'_d[\forall x \mathcal{P}] \neq S$; so by **SF**(\forall), there is some $a \in U$ such that $M'_{d(x|a)}[\mathcal{P}] \neq S$; but for variable-free term t_a , by our above result, $M'_d[t_a] = a$, and since t_a is variable-free, it is free for x in \mathcal{P} , so by T10.2, $M'_d[\mathcal{P}_{t_a}^x] \neq S$; so by **TI**, $M'[\mathcal{P}_{t_a}^x] \neq T$; but $\mathcal{P}_{t_a}^x$ is a sentence; so by assumption, $\Sigma'' \not\vdash \mathcal{P}_{t_a}^x$; so by the maximality of Σ'' , $\Sigma'' \vdash \sim \mathcal{P}_{t_a}^x$; but t_a is free for x in \mathcal{P} , so by A4, $\vdash \forall x \mathcal{P} \rightarrow \mathcal{P}_{t_a}^x$; and by T3.13, $\vdash (\forall x \mathcal{P} \rightarrow \mathcal{P}_{t_a}^x) \rightarrow (\sim \mathcal{P}_{t_a}^x \rightarrow \sim \forall x \mathcal{P})$; so by a couple instances of MP, $\Sigma'' \vdash \sim \forall x \mathcal{P}$; so by the consistency of Σ'' , $\Sigma'' \not\vdash \forall x \mathcal{P}$; which is to say, $\Sigma'' \not\vdash \mathcal{B}$. This is impossible; reject the assumption: if $\Sigma'' \vdash \mathcal{B}$, then $M'[\mathcal{B}] = T$.

If \mathcal{B} has k operator symbols, then $M'[\mathcal{B}] = T$ iff $\Sigma'' \vdash \mathcal{B}$.

Indct: For any sentence \mathcal{B} , $M'[\mathcal{B}] = T$ iff $\Sigma'' \vdash \mathcal{B}$.

So if Σ' is consistent, then for any sentence \mathcal{B} of \mathcal{L}' , $M'[\mathcal{B}] = T$ iff $\Sigma'' \vdash \mathcal{B}$. We are now just one step away from (\star) . It will be easy to see that $M'[\Sigma'] = T$, and so to reach the final result.

E10.19. Complete the \sim and \rightarrow cases to complete the demonstration of T10.9. You should set up the complete demonstration, but may refer to the text for cases completed there, as the text refers cases to homework.

10.3.5 Final Result

And now we are in a position to get the final result. This works just as before. First,

T10.10. If Σ' is consistent, then $M'[\Sigma'] = T$. (\star)

Suppose Σ' is consistent, but $M'[\Sigma'] \neq T$. From the latter, there is some formula $\mathcal{B} \in \Sigma'$ such that $M'[\mathcal{B}] \neq T$. Since $\mathcal{B} \in \Sigma'$, by construction, $\mathcal{B} \in \Sigma''$, so $\Sigma'' \vdash \mathcal{B}$; so, where \mathcal{B}^c is the universal closure of \mathcal{B} , by application of Gen as necessary, $\Sigma'' \vdash \mathcal{B}^c$; so since Σ' is consistent, by T10.9, $M'[\mathcal{B}^c] = T$; so by applications of T7.7 as necessary, $M'[\mathcal{B}] = T$. This is impossible; reject the assumption: if Σ' is consistent, then $M'[\Sigma'] = T$.

Notice that this result applies to arbitrary sets of *formulas*. We are able to bridge between formulas and sentences by T10.7 and Gen. But now we have the (★) that we have needed for adequacy.

So that is it! All we needed for the proof of adequacy was (★). And we have it. So here is the final argument. Suppose the members of Γ and \mathcal{P} are formulas of \mathcal{L}' .

T10.11. If $\Gamma \models \mathcal{P}$, then $\Gamma \vdash \mathcal{P}$. (*quantificational adequacy*)

Suppose $\Gamma \models \mathcal{P}$ but $\Gamma \not\vdash \mathcal{P}$. Say, for the moment that $\Gamma \vdash \sim\sim\mathcal{P}^c$; by T3.10, $\vdash \sim\sim\mathcal{P}^c \rightarrow \mathcal{P}^c$; so by MP, $\Gamma \vdash \mathcal{P}^c$; so by repeated applications of A4 and MP, $\Gamma \vdash \mathcal{P}$; but this is impossible; so $\Gamma \not\vdash \sim\sim\mathcal{P}^c$. Given this, since $\sim\sim\mathcal{P}^c$ is a sentence, by T10.6, $\Gamma \cup \{\sim\sim\mathcal{P}^c\} = \Sigma'$ is consistent; so by T10.10, there is a model M' constructed as above such that $M'[\Sigma'] = T$. So $M'[\Gamma] = T$ and $M'[\sim\sim\mathcal{P}^c] = T$; from the latter, by T8.6, $M'[\mathcal{P}^c] \neq T$; so by repeated applications of T7.7, $M'[\mathcal{P}] \neq T$; so by QV, $\Gamma \not\models \mathcal{P}$. This is impossible; reject the assumption: if $\Gamma \models \mathcal{P}$ then $\Gamma \vdash \mathcal{P}$.

Again, you should try to get the complete picture in your mind: The key is that consistent sets always have models. If $\Gamma \cup \{\sim\mathcal{P}\}$ is not consistent, then there is a derivation of \mathcal{P} from Γ . So if there is no derivation of \mathcal{P} from Γ , $\Gamma \cup \{\sim\mathcal{P}\}$ is consistent and so must have a model — with the result that $\Gamma \not\models \mathcal{P}$. We get the key point, that consistent sets have models, by finding a relation between consistent, and *maximal*, consistent, scapegoat sets. If a set is maximal and consistent and a scapegoat set, then it contains enough information to specify a model for the whole. The model for the big set then guarantees the existence of a model M for the original Γ . All of this is very much parallel to the sentential case.

E10.20. Consider a quantificational language \mathcal{L} which has function symbols as usual but with \wedge , \sim , and \exists as primitive operators. Suppose axioms and rules are as in A4 of E10.3 on p. 473. You may suppose there is no symbol for equality, and there are infinitely many constants not in Γ . Provide a complete demonstration that A4 is adequate. You may appeal to any results from the text whose demonstration remains unchanged, but should recreate parts whose demonstration is not the same.

Hints: As preliminaries you will need revised versions of DT and T10.12. In addition, a few quick theorems for derivations, along with an analog to one side of T7.7 might be helpful,

$$(a) \vdash \exists y \mathcal{P}_y^x \rightarrow \exists x \mathcal{P} \quad y \text{ free for } x \text{ in } \mathcal{P} \text{ and not free in } \exists x \mathcal{P}$$

- (b) $\vdash \sim \exists x \mathcal{P} \rightarrow \sim \exists y \mathcal{P}_y^x$ y free for x in \mathcal{P} and not free in $\exists x \mathcal{P}$
- (c) $\sim \mathcal{P}_v^x \vdash \sim \exists x \mathcal{P}$ use $\exists E$ with \mathcal{Q} some $X \wedge \sim X$; note that $\models \sim(X \wedge \sim X)$
- (7.6*) If $I[\sim \exists x \mathcal{P}] = \top$ then $I[\sim \mathcal{P}] = \top$

Then redefine key notions (such as ‘scapegoat set’) in terms of the existential quantifier, so that you can work cases directly within the new system. Say \mathcal{P}^e is the *existential* closure of \mathcal{P} . Note that $\sim(\sim \mathcal{P})^e$ is equivalent to \mathcal{P}^c (imagine replacing all the added universal quantifiers in \mathcal{P}^c with $\sim \exists x \sim$ and using DN on inner double tildes). This will help with T10.10 and T10.11.

10.4 Quantificational Adequacy: Full Version

So far, we have shown that if $\Gamma \models \mathcal{P}$, then $\Gamma \vdash \mathcal{P}$ where the members of Γ and \mathcal{P} are formulas of \mathcal{L}' . Now allow that the members of Γ and \mathcal{P} are in an arbitrary quantificational language \mathcal{L} . Then we shall require require not (\star) with application just to \mathcal{L}' , but the more general,

- $(\star\star)$ For any consistent set of formulas Σ , there is an interpretation M such that $M[\Sigma] = \top$.

Given this, reasoning is exactly as before.

- | | | | | |
|----|--|------------|--|----------------|
| 1. | $\Gamma \cup \{\sim \mathcal{P}^c\}$ has a model | \implies | $\Gamma \not\models \mathcal{P}$ | |
| 2. | $\Gamma \cup \{\sim \mathcal{P}^c\}$ is consistent | \implies | $\Gamma \cup \{\sim \mathcal{P}^c\}$ has a model | $(\star\star)$ |
| 3. | $\Gamma \cup \{\sim \mathcal{P}^c\}$ is not consistent | \implies | $\Gamma \vdash \mathcal{P}$ | |

Reasoning for (1) and (3) remains the same. (2) is $(\star\star)$. Now suppose $\Gamma \models \mathcal{P}$; then from (1), $\Gamma \cup \{\sim \mathcal{P}^c\}$ does not have a model; so by (2), $\Gamma \cup \{\sim \mathcal{P}^c\}$ is not consistent; so by (3), $\Gamma \vdash \mathcal{P}$. So if $\Gamma \models \mathcal{P}$, then $\Gamma \vdash \mathcal{P}$. Supposing that $(\star\star)$ has application to arbitrary sets of formulas, the result has application to arbitrary premises and conclusion. So we are left with two issues relative to our reasoning from before: \mathcal{L} might lack the infinitely many constants not in the premises, and \mathcal{L} might include equality.

10.4.1 Adding Constants

Suppose \mathcal{L} does not have infinitely many constants not in Γ . This can happen in different ways. Perhaps \mathcal{L} simply does not have infinitely many constants. Or perhaps the constants of \mathcal{L} are $a_1, a_2 \dots$ and $\Gamma = \{\mathcal{R}a_1, \mathcal{R}a_2 \dots\}$; then \mathcal{L} has infinitely many constants, but there are not any constants in \mathcal{L} that do not appear in Γ . And we need the extra constants for construction of the maximal, consistent, scapegoat set. To avoid this sort of worry, we simply *add* infinitely many constants to form a language \mathcal{L}' out of \mathcal{L} .

Cns \mathcal{L}' Where \mathcal{L} is a language whose constants are some of $a_1, a_2 \dots$ let \mathcal{L}' be like \mathcal{L} but with the addition of new constants $c_1, c_2 \dots$

By reasoning as in the **countability** reference on p. 36, insofar as they can be lined up, $a_1, c_1, a_2, c_2 \dots$ the collection of constants remains countable, so that \mathcal{L}' remains a perfectly legitimate quantificational language. Clearly, every formula of \mathcal{L} remains a formula of \mathcal{L}' . Thus, where Σ is a set of formulas in language \mathcal{L} , let Σ' be like Σ except that its members are formulas of language \mathcal{L}' .

Our reasoning for **(\star)** has application to sets of the sort Σ' . That is, where \mathcal{L}' has infinitely many constants not in Σ' , we have been able to find a maximal, consistent, scapegoat set Σ'' , and from this a model M' for Σ' . But, give an arbitrary Σ of formulas in \mathcal{L} , we need that *it* has a model M . That is, we shall have to establish a bridge between Σ and Σ' , and between M' and M . Thus, to obtain **($\star\star$)**, we show,

- | | | | |
|-----|----------------------------|------------|----------------------------|
| 2a. | Σ is consistent | \implies | Σ' is consistent |
| 2b. | Σ' is consistent | \implies | Σ' has a model M' |
| 2c. | Σ' has a model M' | \implies | Σ has a model M |

(2b) is just **(\star)** from before. And by a sort of hypothetical syllogism, together these yield **($\star\star$)**.

For the first result, we need that if Σ is consistent, then Σ' is consistent. Of course, Σ and Σ' contain just the same formulas, only sentences of the one are in a language with extra constants. But there might be *derivations* in \mathcal{L}' from Σ' that are not derivations in \mathcal{L} from Σ . So we need to show that these extra derivations do not result in contradiction. For this, the overall idea is simple: If we can derive a contradiction from Σ' in the enriched language then, by a modified version of that very derivation, we can derive a contradiction from Σ in the reduced language. So if there is no contradiction in the reduced language \mathcal{L} , then there can be no contradiction in the enriched language \mathcal{L}' . The argument is straightforward, given the preliminary

result T10.12. Let Σ be a set of formulas in \mathcal{L} , and Σ' those same formulas in \mathcal{L}' . We show,

T10.13. If Σ is consistent, then Σ' is consistent.

Suppose Σ is consistent. If Σ' is not consistent, then there is a formula \mathcal{A} in \mathcal{L}' such that $\Sigma' \vdash \mathcal{A}$ and $\Sigma' \vdash \sim \mathcal{A}$; but by T9.4, $\vdash \mathcal{A} \rightarrow [\sim \mathcal{A} \rightarrow (\mathcal{A} \wedge \sim \mathcal{A})]$; so by two instances of MP, $\Sigma' \vdash \mathcal{A} \wedge \sim \mathcal{A}$. So if Σ' is not consistent, there is a derivation of a contradiction from Σ' . By induction on the number of new constants which appear in a derivation $D = \langle \mathcal{B}_1, \mathcal{B}_2 \dots \rangle$, we show that no such D is a derivation of a contradiction from Σ' .

Basis: Suppose D contains no new constants and D is a derivation of some contradiction $\mathcal{A} \wedge \sim \mathcal{A}$ from Σ' . Since D contains no new constants, every member of D is also a formula of \mathcal{L} , so $D = \langle \mathcal{B}_1, \mathcal{B}_2 \dots \rangle$ is a derivation of $\mathcal{A} \wedge \sim \mathcal{A}$ from Σ ; so by T3.19 and T3.20 with MP, $\Sigma \vdash \mathcal{A}$ and $\Sigma \vdash \sim \mathcal{A}$; so Σ is not consistent. This is impossible; reject the assumption: D is not a derivation of a contradiction from Σ' .

Assp: For any i , $0 \leq i < k$, if D contains i new constants, then it is not a derivation of a contradiction from Σ' .

Show: If D contains k new constants, then it is not a derivation of a contradiction from Σ' .

Suppose D contains k new constants and is a derivation of a contradiction $\mathcal{A} \wedge \sim \mathcal{A}$ from Σ' . Where c is one of the new constants in D and x is a variable not in D , by T10.12, D_x^c is a derivation of $[\mathcal{A} \wedge \sim \mathcal{A}]_x^c$ from Σ'_x^c . But all the members of Σ' are in \mathcal{L} ; so c does not appear in any member of Σ' ; so $\Sigma'_x^c = \Sigma'$. And $[\mathcal{A} \wedge \sim \mathcal{A}]_x^c = \mathcal{A}_x^c \wedge \sim [\mathcal{A}_x^c]$. So D_x^c is a derivation of a contradiction from Σ' . But D_x^c has $k - 1$ new constants and so, by assumption, is not a derivation of a contradiction from Σ' . This is impossible; reject the assumption: D is not a derivation of a contradiction from Σ' .

Indct: No derivation D is a derivation of a contradiction from Σ' .

So if Σ is consistent, then Σ' is consistent. So if we have a consistent set of sentences in \mathcal{L} , and convert to \mathcal{L}' with additional constants, we can be sure that the converted set is consistent as well.

With the extra constants in-hand, all our reasoning goes through as before to show that there is a model M' for Σ' . Officially, though, an interpretation for some

sentences in \mathcal{L}' is not a model for some sentences in \mathcal{L} : a model for sentences in \mathcal{L} has assignments for its constants, function symbols and relation symbols, where a model for \mathcal{L}' has assignments for *its* constants, function symbols and relation symbols. A model M' for Σ' , then, is not the same as a model M for Σ . But it is a short step to a solution.

CnsM Let M be like M' but without assignments to constants not in \mathcal{L} .

M is an interpretation for language \mathcal{L} . M and M' have exactly the same universe of discourse, and exactly the same interpretations for all the symbols that are in \mathcal{L} . It turns out that the evaluation of any formula in \mathcal{L} is therefore the same on M as on M' — that is, for any \mathcal{P} in \mathcal{L} , $M[\mathcal{P}] = \text{T}$ iff $M'[\mathcal{P}] = \text{T}$. Perhaps this is obvious. However, it is worthwhile to consider a proof. Thus we need the following matched pair of theorems (in fact, we show somewhat more than is necessary, as M and M' differ only by assignments to constants). The proofs are straightforward, and mostly left as an exercise. I do just enough to get you started.

Suppose \mathcal{L}' extends \mathcal{L} and M' is like M except that it makes assignments to constants, functions symbols and relation symbols in \mathcal{L}' but not in \mathcal{L} .

T10.14. For any variable assignment d , and for any term t in \mathcal{L} , $M_d[t] = M'_d[t]$.

The argument is by induction on the number of function symbols in t . Let d be a variable assignment, and t a term in \mathcal{L} .

Basis: Homework

Assp: For any i , $0 \leq i < k$, if t has i function symbols, then $M_d[t] = M'_d[t]$.

Show: If t has k function symbols, then $M_d[t] = M'_d[t]$.

If t has k function symbols, then it is of the form, $h^n t_1 \dots t_n$ for function symbol h^n and terms $t_1 \dots t_n$ with $< k$ function symbols. By **TA(f)**, $M_d[t] = M_d[h^n t_1 \dots t_n] = M[h^n](M_d[t_1] \dots M_d[t_n])$; similarly, $M'_d[t] = M'_d[h^n t_1 \dots t_n] = M'[h^n](M'_d[t_1] \dots M'_d[t_n])$. But by assumption, $M_d[t_1] = M'_d[t_1]$, and ... and $M_d[t_n] = M'_d[t_n]$; and by construction, $M[h^n] = M'[h^n]$; so $M[h^n](M_d[t_1] \dots M_d[t_n]) = M'[h^n](M'_d[t_1] \dots M'_d[t_n])$; so $M_d[t] = M'_d[t]$.

Indct: For any t in \mathcal{L} , $M_d[t] = M'_d[t]$.

T10.15. For any variable assignment d , and for any formula \mathcal{P} in \mathcal{L} , $M_d[\mathcal{P}] = \text{S}$ iff $M'_d[\mathcal{P}] = \text{S}$.

The argument is by induction on the number of operator symbols in \mathcal{P} . Let \mathbf{d} be a variable assignment, and \mathcal{P} a formula in \mathcal{L} .

Basis: If \mathcal{P} has no operator symbols, then it is a sentence letter \mathcal{S} or an atomic $\mathcal{R}^n t_1 \dots t_n$ for relation symbol \mathcal{R}^n and terms $t_1 \dots t_n$ in \mathcal{L} . In the first case, by **SF(s)**, $M_{\mathbf{d}}[\mathcal{S}] = \mathbf{S}$ iff $M[\mathcal{S}] = \mathbf{T}$; by construction, iff $M'[\mathcal{S}] = \mathbf{T}$; by **SF(s)**, iff $M'_{\mathbf{d}}[\mathcal{S}] = \mathbf{S}$. In the second case, by **SF(r)**, $M_{\mathbf{d}}[\mathcal{P}] = \mathbf{S}$ iff $M_{\mathbf{d}}[\mathcal{R}^n t_1 \dots t_n] = \mathbf{S}$; iff $\langle M_{\mathbf{d}}[t_1] \dots M_{\mathbf{d}}[t_n] \rangle \in M[\mathcal{R}^n]$; similarly, $M'_{\mathbf{d}}[\mathcal{P}] = \mathbf{S}$ iff $M'_{\mathbf{d}}[\mathcal{R}^n t_1 \dots t_n] = \mathbf{S}$; iff $\langle M'_{\mathbf{d}}[t_1] \dots M'_{\mathbf{d}}[t_n] \rangle \in M'[\mathcal{R}^n]$. But by T10.14, $M_{\mathbf{d}}[t_1] = M'_{\mathbf{d}}[t_1]$, and \dots and $M_{\mathbf{d}}[t_n] = M'_{\mathbf{d}}[t_n]$; and by construction, $M[\mathcal{R}^n] = M'[\mathcal{R}^n]$; so $\langle M_{\mathbf{d}}[t_1] \dots M_{\mathbf{d}}[t_n] \rangle \in M[\mathcal{R}^n]$ iff $\langle M'_{\mathbf{d}}[t_1] \dots M'_{\mathbf{d}}[t_n] \rangle \in M'[\mathcal{R}^n]$; so $M_{\mathbf{d}}[\mathcal{P}] = \mathbf{S}$ iff $M'_{\mathbf{d}}[\mathcal{P}] = \mathbf{S}$.

Assp: For any i , $0 \leq i < k$, and any variable assignment \mathbf{d} , if \mathcal{P} has i operator symbols, $M_{\mathbf{d}}[\mathcal{P}] = \mathbf{S}$ iff $M'_{\mathbf{d}}[\mathcal{P}] = \mathbf{S}$.

Show: Homework

Indct: For any formula \mathcal{P} of \mathcal{L} , $M_{\mathbf{d}}[\mathcal{P}] = \mathbf{S}$ iff $M'_{\mathbf{d}}[\mathcal{P}] = \mathbf{S}$.

And now we are in a position to show that M is indeed a model for Σ . In particular, it is easy to show,

T10.16. If $M'[\Sigma'] = \mathbf{T}$, then $M[\Sigma] = \mathbf{T}$.

Suppose $M'[\Sigma'] = \mathbf{T}$, but $M[\Sigma] \neq \mathbf{T}$. From the latter, there is some formula $\mathcal{B} \in \Sigma$ such that $M[\mathcal{B}] \neq \mathbf{T}$; so by **TI**, for some \mathbf{d} , $M_{\mathbf{d}}[\mathcal{B}] \neq \mathbf{S}$; so by T10.15, $M'_{\mathbf{d}}[\mathcal{B}] \neq \mathbf{S}$; so by **TI**, $M'[\mathcal{B}] \neq \mathbf{T}$; and since $\mathcal{B} \in \Sigma$, we have $\mathcal{B} \in \Sigma'$; so $M'[\Sigma'] \neq \mathbf{T}$. This is impossible; reject the assumption: if $M'[\Sigma'] = \mathbf{T}$, then $M[\Sigma] = \mathbf{T}$.

T10.13, T10.10, and T10.16 together yield,

T10.17. \mathcal{L} , if Σ is consistent, then Σ has a model M (\mathcal{L} without equality).

Suppose Σ is consistent; then by T10.13, Σ' is consistent; so by T10.10, Σ' has a model M' ; so by T10.16, Σ has a model M .

And that is what we needed to recover the adequacy result for \mathcal{L} without the constraint on constants. Where \mathcal{L} does not include infinitely many constants not in Γ , we simply add them to form \mathcal{L}' . Our theorems from this section ensure that the results go through as before.

*E10.21. Complete the proof of T10.14. You should set up the complete induction, but may refer to the text, as the text refers to homework.

*E10.22. Complete the proof of T10.15. As usual, you should set up the complete induction, but may refer to the text for cases completed there, as the text refers to homework.

E10.23. Adapt the demonstration of T10.11 for the supposition that \mathcal{L} need not be the same as \mathcal{L}' . You may appeal to theorems from this section.

10.4.2 Accommodating Equality

Dropping the assumption that language \mathcal{L} lacks the symbol '=' for equality results in another sort of complication. In constructing our models, where t_1 and t_3 from the enumeration of variable-free terms are constants and $\Sigma'' \vdash \mathcal{R}t_1t_3$, we set $M'[t_1] = 1$, $M'[t_3] = 3$ and $\langle 1, 3 \rangle \in M'[\mathcal{R}]$. But suppose \mathcal{R} is the equal sign, '='; then by our procedure, $\langle 1, 3 \rangle \in M'[=]$. But this is wrong! Where $U = \{1, 2, \dots\}$, the proper interpretation of '=' is $\{\langle 1, 1 \rangle, \langle 2, 2 \rangle, \dots\}$, and $\langle 1, 3 \rangle$ is not a member of this set at all. So our procedure does not result in the specification of a legitimate model. The procedure works fine for relation symbols other than equality. There are no restrictions on assignments to other relation symbols, so nothing stops us from specifying interpretations as above. But there is a restriction on the interpretation of '='. So we cannot proceed blindly this way.

Here is the nub of a solution: Say $\Sigma'' \vdash a_1 = a_3$; then let the set $\{1, 3\}$ be an element of U , and let $M'[a_1] = M'[a_3] = \{1, 3\}$. Similarly, if $a_2 = a_4$ and $a_4 = a_5$ are consequences of Σ'' , let $\{2, 4, 5\}$ be a member of U , and $M'[a_2] = M'[a_4] = M'[a_5] = \{2, 4, 5\}$. That is, let U consist of certain *sets* of integers — where these sets are specified by atomic equalities that are consequences of Σ'' . Then let $M'[a_z]$ be the set of which z is a member. Given this, if $\Sigma'' \vdash \mathcal{R}^n t_a \dots t_b$, then include the tuple consisting of the set assigned to t_a , and \dots and the set assigned to t_b , in the interpretation of \mathcal{R}^n . So on the above interpretation of the constants, if $\Sigma'' \vdash \mathcal{R}a_1a_4$, then $\{\{1, 3\}, \{2, 4, 5\}\} \in M'[\mathcal{R}]$. And if $\Sigma'' \vdash a_1 = a_3$, then $\{\{1, 3\}, \{1, 3\}\} \in M'[=]$. You should see why this is so. And it is just right! If $\{1, 3\} \in U$, then $\{\{1, 3\}, \{1, 3\}\}$ *should* be in $M'[=]$. So we respond to the problem by a revision of the specification for $CnsM'$.

Let us now turn to the details. Put abstractly, the reason the argument in the basis of T10.9 works is that our model M' assigns each t in the enumeration of variable-free terms an object m such that whenever $\Sigma'' \vdash \mathcal{R}t$ then $m \in M'[\mathcal{R}]$; and for the

universal case, it is important that for each object there is a constant to which it is assigned. We want an interpretation that preserves these features. And it will be important to demonstrate that our specifications are coherent. A model consists of a universe U , along with assignments to constants, function symbols, sentence letters, and relation symbols. We take up these elements, one after another.

The universe. The elements of our universe U are to be certain sets of integers.⁴ Consider an enumeration $t_1, t_2 \dots$ of all the variable-free terms in \mathcal{L}' , and let there be a relation \simeq on the set $\{1, 2 \dots\}$ of positive integers such that $i \simeq j$ iff $\Sigma'' \vdash t_i = t_j$. Let \bar{n} be the set of integers which stand in the \simeq relation to n — that is, $\bar{n} = \{z \mid z \simeq n\}$. So whenever $z \simeq n$, then $z \in \bar{n}$. The universe U of M' is then the collection of all these sets — that is,

Cns M' For each integer greater than or equal to one, the universe includes the class corresponding to it. $U = \{\bar{n} \mid n \geq 1\}$.

The way this works is really quite simple. If according to Σ'' , t_1 equals only itself, then the only z such that $z \simeq 1$ is 1; so $\bar{1} = \{1\}$, and this is a member of U . If, according to Σ'' , t_1 equals just itself and t_2 , then $1 \simeq 2$ so that $\bar{1} = \bar{2} = \{1, 2\}$, and this set is a member of U . If, according to Σ'' , t_1 equals itself, t_2 and t_3 , then $1 \simeq 2 \simeq 3$ so that $\bar{1} = \bar{2} = \bar{3} = \{1, 2, 3\}$, and this set is a member of U . And so forth.

In order to make progress, it will be convenient to establish some facts about the \simeq relation, and about the sets in U . Recall that \simeq is a relation on the *integers* which is specified relative to expressions in Σ'' , so that $i \simeq j$ iff $\Sigma'' \vdash t_i = t_j$. First we show that \simeq is *reflexive*, *symmetric*, and *transitive*.

Reflexivity. For any i , $i \simeq i$. By T3.32, $\vdash t_i = t_i$; so $\Sigma'' \vdash t_i = t_i$; so by construction, $i \simeq i$.

Symmetry. For any i and j , if $i \simeq j$, then $j \simeq i$. Suppose $i \simeq j$; then by construction, $\Sigma'' \vdash t_i = t_j$; but by T3.33, $\vdash t_i = t_j \rightarrow t_j = t_i$; so by MP, $\Sigma'' \vdash t_j = t_i$; so by construction, $j \simeq i$.

Transitivity. For any i, j and k , if $i \simeq j$ and $j \simeq k$, then $i \simeq k$. Suppose $i \simeq j$ and $j \simeq k$; then by construction, $\Sigma'' \vdash t_i = t_j$ and $\Sigma'' \vdash t_j = t_k$; but by

⁴Again, it is common to let the universe be *sets of terms* in \mathcal{L}' . There is nothing the matter with this. However, working with the integers emphasizes continuity with other models we have seen, and positions us for further results.

T3.34, $\vdash t_i = t_j \rightarrow (t_j = t_k \rightarrow t_i = t_k)$; so by two instances of MP, $\Sigma'' \vdash t_i = t_k$; so by construction, $i \simeq k$.

A relation which is reflexive, symmetric and transitive is called an *equivalence* relation. As an equivalence relation, it divides or *partitions* the members of $\{1, 2, \dots\}$ into mutually exclusive classes such that each member of a class bears \simeq to each of the others in its partition, but not to integers outside the partition. More particularly, because \simeq is an equivalence relation, the collections $\bar{n} = \{z \mid z \simeq n\}$ in U are characterized as follows.

Self-membership. For any n , $n \in \bar{n}$. By reflexivity, $n \simeq n$; so by construction, $n \in \bar{n}$. Corollary: Every integer i is a member of at least one class.

Uniqueness. For any i , i is an element of at most one class. Suppose i is an element of more than one class; then there are some m and n such that $i \in \bar{m}$ and $i \in \bar{n}$ but $\bar{m} \neq \bar{n}$. Since $\bar{m} \neq \bar{n}$ there is some j such that $j \in \bar{m}$ and $j \notin \bar{n}$, or $j \in \bar{n}$ and $j \notin \bar{m}$; without loss of generality, suppose $j \in \bar{m}$ and $j \notin \bar{n}$. Since $j \in \bar{m}$, by construction, $j \simeq m$; and since $i \in \bar{m}$, by construction $i \simeq m$; so by symmetry, $m \simeq i$; so by transitivity, $j \simeq i$. Since $i \in \bar{n}$, by construction $i \simeq n$; so by transitivity again, $j \simeq n$; so by construction, $j \in \bar{n}$. This is impossible; reject the assumption: i is an element of at most one class.

Equality. For any m and n , $m \simeq n$ iff $\bar{m} = \bar{n}$. (i) Suppose $m \simeq n$. Then by construction, $m \in \bar{n}$; but by self-membership, $m \in \bar{m}$; so by uniqueness, $\bar{n} = \bar{m}$. Suppose $\bar{m} = \bar{n}$; by self-membership, $m \in \bar{m}$; so $m \in \bar{n}$; so by construction, $m \simeq n$.

Corresponding to the relations by which they are formed, classes characterized by self-membership, uniqueness and equality are *equivalence classes*. From self-membership and uniqueness, every n is a member of exactly one such class. And from equality, $m \simeq n$ just when \bar{m} is the very same thing as \bar{n} . So, for example, if $1 \simeq 1$ and $2 \simeq 1$ (and nothing else), then $\bar{1} = \bar{2} = \{1, 2\}$. You should be able to see that these formal specifications develop just the informal picture with which we began.

Terms. The specification for constants is simple.

CnsM' If t_z in the enumeration of variable-free terms t_1, t_2, \dots is a constant, then $M'[t_z] = \bar{z}$.

Thus, with self-membership, any constant t_z designates the equivalence class of which z is a member. In this case, we need to be sure that the specification picks out exactly one member of U for each constant. The specification would fail if the relation \simeq generated classes such that some integer was an element of no class, or some integer was an element of more than one. But, as we have just seen, by self-membership and uniqueness, every z is a member of exactly one class. So far, so good!

CnsM' If t_z in the enumeration of variable-free terms $t_1, t_2 \dots$ is $h^n t_a \dots t_b$ for function symbol h^n and variable-free terms $t_a \dots t_b$, then $\langle \langle \bar{a} \dots \bar{b} \rangle, \bar{z} \rangle \in M'[h^n]$.

Thus when the input to h^n is $\langle \bar{a} \dots \bar{b} \rangle$, the output is \bar{z} . This time, we must be sure that the result is a function — that (i) there is a defined output object for every input n -tuple, and (ii) there is at most one output object associated with any one input n -tuple. The former worry is easily dispatched. The second concern is that there might be some $t_m = h t_a$ and $t_n = h t_b$ in the list of variable-free terms, where $\bar{a} = \bar{b}$. Then $\langle \bar{a}, \bar{m} \rangle, \langle \bar{b}, \bar{n} \rangle \in M'[h]$, and we fail to specify a function.

(i) There is at least one output object. Corresponding to any $\langle \bar{a} \dots \bar{b} \rangle$ where $\bar{a} \dots \bar{b}$ are members of U , there is some variable-free $t_z = h^n t_a \dots t_b$ in the sequence $t_1, t_2 \dots$; so by construction, $\langle \langle \bar{a} \dots \bar{b} \rangle, \bar{z} \rangle \in M'[h^n]$. So $M'[h^n]$ has a defined output object when the input is $\langle \bar{a} \dots \bar{b} \rangle$.

(ii) There is at most one output object. Suppose $\langle \langle \bar{a} \dots \bar{c} \rangle, \bar{m} \rangle \in M'[h^n]$ and $\langle \langle \bar{d} \dots \bar{f} \rangle, \bar{n} \rangle \in M'[h^n]$, where $\langle \bar{a} \dots \bar{c} \rangle = \langle \bar{d} \dots \bar{f} \rangle$, but $\bar{m} \neq \bar{n}$. Since $\langle \bar{a} \dots \bar{c} \rangle = \langle \bar{d} \dots \bar{f} \rangle$, $\bar{a} = \bar{d}$, and \dots and $\bar{c} = \bar{f}$; so by equality, $a \simeq d$, and \dots and $c \simeq f$; so by construction, $\Sigma'' \vdash t_a = t_d$, and \dots and $\Sigma'' \vdash t_c = t_f$. Since $\langle \langle \bar{a} \dots \bar{c} \rangle, \bar{m} \rangle \in M'[h^n]$ and $\langle \langle \bar{d} \dots \bar{f} \rangle, \bar{n} \rangle \in M'[h^n]$, by construction, there are some variable-free terms, $t_m = h^n t_a \dots t_c$ and $t_n = h^n t_d \dots t_f$ in the enumeration; but by T3.36, $\vdash t_b = t_e \rightarrow h^n t_a \dots t_b \dots t_c = h^n t_a \dots t_e \dots t_c$, and so forth; so collecting repeated applications of this theorem with MP and T3.35, $\Sigma'' \vdash h^n t_a \dots t_c = h^n t_d \dots t_f$; but this is to say, $\Sigma'' \vdash t_m = t_n$; so by construction, $m \simeq n$; so by equality, $\bar{m} = \bar{n}$. This is impossible; reject the assumption: if $\langle \langle \bar{a} \dots \bar{c} \rangle, \bar{m} \rangle \in M'[h^n]$ and $\langle \langle \bar{d} \dots \bar{f} \rangle, \bar{n} \rangle \in M'[h^n]$, where $\langle \bar{a} \dots \bar{c} \rangle = \langle \bar{d} \dots \bar{f} \rangle$, then $\bar{m} = \bar{n}$.

So, as they should be, functions are well-defined.

We are now in a position to recover an analogue to the preliminary result for demonstration of T10.9: for any variable-free term t_z and variable assignment \mathbf{d} , $M'_d[t_z] = \bar{z}$. The argument is very much as before. Suppose t_z is a variable-free term. By induction on the number of function symbols in t_z .

Basis: If t_z has no function symbols, then it is a constant. In this case, by construction, $M'[t_z] = \bar{z}$; so by TA(c), $M'_d[t_z] = \bar{z}$.

Assp: For any i , $0 \leq i < k$, if t_z has i function symbols, then $M'_d[t_z] = \bar{z}$.

Show: If t_z has k function symbols, then $M'_d[t_z] = \bar{z}$.

If t_z has k function symbols, then it is of the form, $h^n t_a \dots t_b$ where $t_a \dots t_b$ have $< k$ function symbols. By TA(f) we have, $M'_d[t_z] = M'_d[h^n t_a \dots t_b] = M'[\langle h^n \rangle \langle M'_d[t_a] \dots M'_d[t_b] \rangle]$; but by assumption, $M'_d[t_a] = \bar{a}$, and \dots and $M'_d[t_b] = \bar{b}$; so $M'_d[t_z] = M'[\langle h^n \rangle \langle \bar{a} \dots \bar{b} \rangle]$. But since $t_z = h^n t_a \dots t_b$ is a variable-free term, $\langle \langle \bar{a} \dots \bar{b} \rangle, \bar{z} \rangle \in M'[\langle h^n \rangle]$; so $M'[\langle h^n \rangle \langle \bar{a} \dots \bar{b} \rangle] = \bar{z}$; so $M'_d[t_z] = \bar{z}$.

Indct: For any variable-free term t_z , $M'_d[t_z] = \bar{z}$.

So the interpretation of any variable-free term is the equivalence class corresponding to its position in the enumeration of terms.

Atomics. The result we have just seen for terms makes the specification for atomics seem particularly natural. Sentence letters are easy. As before,

CnsM' For a sentence letter \mathcal{S} , $M'[\mathcal{S}] = \mathbf{T}$ iff $\Sigma'' \vdash \mathcal{S}$.

Then for relation symbols, the idea is as sketched above. We simply let the assignment be such as to make a variable-free atomic come out true iff it is a consequence of Σ'' .

CnsM' For a relation symbol \mathcal{R}^n , where $t_a \dots t_b$ are n members of the enumeration of variable-free terms, let $\langle \bar{a} \dots \bar{b} \rangle \in M'[\mathcal{R}^n]$ iff $\Sigma'' \vdash \mathcal{R}^n t_a \dots t_b$.

To see that the specification for relation symbols is legitimate, we need to be clear that the specification is consistent — that we do not both assert and deny that some tuple is in the extension of \mathcal{R}^n , and we need to be sure that $M'[=]$ is as it should be — that it is $\{\langle \bar{n}, \bar{n} \rangle \mid \bar{n} \in \mathbf{U}\}$. The case for equality is easy. The former concern is that we might have some $\bar{a} \in M'[\mathcal{R}]$ and $\bar{b} \notin M'[\mathcal{R}]$ but $\bar{a} = \bar{b}$.

- (i) The specification is consistent. Suppose otherwise. Then there is some $\langle \bar{a} \dots \bar{c} \rangle \in M'[\mathcal{R}^n]$ and $\langle \bar{d} \dots \bar{f} \rangle \notin M'[\mathcal{R}^n]$, where $\langle \bar{a} \dots \bar{c} \rangle = \langle \bar{d} \dots \bar{f} \rangle$. From the latter, $\bar{a} = \bar{d}$, and \dots and $\bar{c} = \bar{f}$; so by equality, $a \simeq d$, and \dots and $c \simeq f$; so by construction, $\Sigma'' \vdash t_a = t_d$, and \dots and $\Sigma'' \vdash t_c = t_f$. But since $\langle \bar{a} \dots \bar{c} \rangle \in M'[\mathcal{R}^n]$ and $\langle \bar{d} \dots \bar{f} \rangle \notin M'[\mathcal{R}^n]$, by construction, $\Sigma'' \vdash \mathcal{R}^n t_a \dots t_c$ and $\Sigma'' \not\vdash \mathcal{R}^n t_d \dots t_f$; and by T3.37, $\vdash t_b = t_e \rightarrow (\mathcal{R}^n t_a \dots t_b \dots t_c \rightarrow \mathcal{R}^n t_a \dots t_e \dots t_c)$, and so forth; so by repeated applications of this theorem with MP, $\Sigma'' \vdash \mathcal{R}^n t_d \dots t_f$. This is impossible; reject the assumption: if $\langle \bar{a} \dots \bar{c} \rangle \in M'[\mathcal{R}^n]$ and $\langle \bar{d} \dots \bar{f} \rangle \notin M'[\mathcal{R}^n]$, then $\langle \bar{a} \dots \bar{c} \rangle \neq \langle \bar{d} \dots \bar{f} \rangle$.
- (ii) The case for equality is easy. By equality, $\bar{m} = \bar{n}$ iff $m \simeq n$; by construction iff $\Sigma'' \vdash t_m = t_n$; by construction iff $\langle \bar{m}, \bar{n} \rangle \in M'[=]$.

This completes the specification of M' . The specification is more complex than for the basic version, and we have had to work to demonstrate its consistency. Still, the result is a perfectly ordinary model M' , with a domain, assignments to constants, assignments to function symbols, and assignments to relation symbols.

With this revised specification for M' , the demonstration of T10.9 proceeds as before. Here is the key portion of the basis. We are showing that $M'[\mathcal{B}] = \mathsf{T}$ iff $\Sigma'' \vdash \mathcal{B}$.

Suppose \mathcal{B} is an atomic $\mathcal{R}^n t_a \dots t_b$; then by T1, $M'[\mathcal{R}^n t_a \dots t_b] = \mathsf{T}$ iff for arbitrary d , $M'_d[\mathcal{R}^n t_a \dots t_b] = \mathsf{S}$; by SF(r), iff $\langle M'_d[t_a] \dots M'_d[t_b] \rangle \in M'[\mathcal{R}^n]$; since $t_a \dots t_b$ are variable-free terms, as we have just seen, iff $\langle \bar{a} \dots \bar{b} \rangle \in M'[\mathcal{R}^n]$; by construction, iff $\Sigma'' \vdash \mathcal{R}^n t_a \dots t_b$. So $M'[\mathcal{B}] = \mathsf{T}$ iff $\Sigma'' \vdash \mathcal{B}$.

So all that happens is that we depend on the conversion from individuals to sets of individuals for both assignments to terms, and assignments to relation symbols. Given this, the argument is exactly parallel to the one from before.

E10.24. Suppose the enumeration of variable-free terms begins, $a, b, f^1 a, f^1 b \dots$ (so these are $t_1 \dots t_4$) and, for these terms, $\Sigma'' \vdash \text{just } a = a, b = b, f^1 a = f^1 a, f^1 b = f^1 b, a = f^1 a$, and $f^1 a = a$. What objects stand in the \simeq relation? What are $\bar{1}, \bar{2}, \bar{3}$, and $\bar{4}$? Which corresponding sets are members of U ?

E10.25. Return to the case from E10.24. Explain how \simeq satisfies reflexivity, symmetry and transitivity. Explain how U satisfies self-membership, uniqueness and equality.

E10.26. Where Σ'' and \mathcal{U} are as in the previous two exercises, what are $M'[a]$, $M'[b]$ and $M'[f]$? Supposing that $\Sigma'' \vdash R^1 a$, $R^1 f^1 a$ and $R^1 f^1 b$, but $\Sigma'' \not\vdash R^1 b$, what is $M'[R^1]$? According to the method, what is $M'[=]$? Is this as it should be? Explain.

10.4.3 The Final Result

We are really done with the demonstration of adequacy. Perhaps, though, it will be helpful to draw some parts together. Begin with the basic definitions.

Con A set Σ of formulas is *consistent* iff there is no formula \mathcal{A} such that $\Sigma \vdash \mathcal{A}$ and $\Sigma \vdash \sim \mathcal{A}$.

Max A set Σ of formulas is *maximal* iff for any sentence \mathcal{A} , $\Sigma \vdash \mathcal{A}$ or $\Sigma \vdash \sim \mathcal{A}$.

Scgt A set Σ of formulas is a *scapegoat* set iff for any sentence $\sim \forall x \mathcal{P}$, if $\Sigma \vdash \sim \forall x \mathcal{P}$, then there is some constant a such that $\Sigma \vdash \sim \mathcal{P}_a^x$.

Then we proceed in language \mathcal{L}' , for a maximal, consistent, scapegoat set Σ'' constructed from any consistent Σ' .

T10.6 For any set of formulas Σ and sentence \mathcal{P} , if $\Sigma \not\vdash \sim \mathcal{P}$, then $\Sigma \cup \{\mathcal{P}\}$ is consistent.

T10.7 There is an enumeration $\mathcal{Q}_1, \mathcal{Q}_2 \dots$ of all the formulas, terms, and the like, in \mathcal{L}' .

Cns Σ'' Construct Σ'' from Σ' as follows: By **T10.7**, there is an enumeration, $\mathcal{Q}_1, \mathcal{Q}_2 \dots$ of all the sentences in \mathcal{L}' and also an enumeration $c_1, c_2 \dots$ of constants not in Σ' . Let $\Omega_0 = \Sigma'$. Then for any $i > 0$, let $\Omega_i = \Omega_{i-1}$ if $\Omega_{i-1} \vdash \sim \mathcal{Q}_i$. Otherwise, $\Omega_i^* = \Omega_{i-1} \cup \{\mathcal{Q}_i\}$ if $\Omega_{i-1} \not\vdash \sim \mathcal{Q}_i$. Then $\Omega_i = \Omega_i^*$ if \mathcal{Q}_i is not of the form $\sim \forall x \mathcal{P}$, and $\Omega_i = \Omega_i^* \cup \{\sim \mathcal{P}_c^x\}$ if \mathcal{Q}_i is of the form $\sim \forall x \mathcal{P}$, where c is the first constant not in Ω_i^* . Then $\Sigma'' = \bigcup_{i \geq 0} \Omega_i$.

T10.8 If Σ' is consistent, then Σ'' is a maximal, consistent, scapegoat set.

Given the maximal, consistent, scapegoat set Σ'' , there are results and a definition for a model M' such that $M'[\Sigma'] = \mathcal{T}$.

$\text{CnsM}' \cup = \{\bar{n} \mid n \geq 1\}$. If t_z in an enumeration of variable-free terms $t_1, t_2 \dots$ is a constant, then $M'[t_z] = \bar{z}$. If t_z is $h^n t_a \dots t_b$ for function symbol h^n and variable-free terms $t_a \dots t_b$, then $\langle \langle \bar{a} \dots \bar{b} \rangle, \bar{z} \rangle \in M'[h^n]$. For a sentence letter \mathcal{S} , $M'[\mathcal{S}] = \top$ iff $\Sigma'' \vdash \mathcal{S}$. For a relation symbol \mathcal{R}^n , where $t_a \dots t_b$ are n members of the enumeration of variable-free terms, let $\langle \bar{a} \dots \bar{b} \rangle \in M'[\mathcal{R}^n]$ iff $\Sigma'' \vdash \mathcal{R}^n t_a \dots t_b$.

This modifies the relatively simple version where $\cup = \{1, 2 \dots\}$. And for an enumeration of variable-free terms, if t_z is a constant, $M'[t_z] = z$. If $t_z = h^n t_a \dots t_b$ for some relation symbol h^n and n variable-free terms $t_a \dots t_b$, $\langle \langle a \dots b \rangle, z \rangle \in M'[h^n]$. For a sentence letter \mathcal{S} , $M'[\mathcal{S}] = \top$ iff $\Sigma'' \vdash \mathcal{S}$. And for a relation symbol \mathcal{R}^n , $\langle a \dots b \rangle \in M'[\mathcal{R}^n]$ iff $\Sigma'' \vdash \mathcal{R}^n t_a \dots t_b$.

T10.9 If Σ' is consistent, then for any sentence \mathcal{B} of \mathcal{L}' , $M'[\mathcal{B}] = \top$ iff $\Sigma'' \vdash \mathcal{B}$.

T10.10 If Σ' is consistent, then $M'[\Sigma'] = \top$. (\star)

Then we have had to connect results for Σ' in \mathcal{L}' to an arbitrary Σ in language \mathcal{L} .

T10.13 If Σ is consistent, then Σ' is consistent.

This is supported by T10.12 on which if D is a derivation from Σ' , and x is a variable that does not appear in D , then for any constant a , D_x^a is a derivation from $\Sigma' \frac{a}{x}$.

T10.16 If $M'[\Sigma'] = \top$, then $M[\Sigma] = \top$.

This is supported by the matched pair of theorems, T10.14 on which, if d is a variable assignment, then for any term t in \mathcal{L} , $M_d[t] = M'_d[t]$, and T10.15 on which, if d is a variable assignment, then for any formula \mathcal{P} in \mathcal{L} , $M_d[\mathcal{P}] = \top$ iff $M'_d[\mathcal{P}] = \top$.

These theorems together yield,

T10.17. If Σ is consistent, then Σ has a model M . $(\mathcal{L} \text{ unconstrained}) \quad (\star\star)$

This puts us in a position to recover the main result. Recall that our argument runs through \mathcal{P}^c the universal closure of \mathcal{P} .

T10.11. If $\Gamma \models \mathcal{P}$, then $\Gamma \vdash \mathcal{P}$. $(\text{quantificational adequacy})$

Suppose $\Gamma \models \mathcal{P}$ but $\Gamma \not\vdash \mathcal{P}$. Say, for the moment that $\Gamma \vdash \sim \sim \mathcal{P}^c$; by T3.10, $\vdash \sim \sim \mathcal{P}^c \rightarrow \mathcal{P}^c$; so by MP, $\Gamma \vdash \mathcal{P}^c$; so by repeated applications

of A4 and MP, $\Gamma \vdash \mathcal{P}$; but this is impossible; so $\Gamma \not\vdash \sim\sim\mathcal{P}^c$. Given this, since $\sim\sim\mathcal{P}^c$ is a sentence, by T10.6, $\Gamma \cup \{\sim\mathcal{P}^c\}$ is consistent. Since $\Sigma = \Gamma \cup \{\sim\mathcal{P}^c\}$ is consistent, by T10.17, there is a model M constructed as above such that $M[\Sigma] = T$. So $M[\Gamma] = T$ and $M[\sim\mathcal{P}^c] = T$; from the latter, by T8.6, $M[\mathcal{P}^c] \neq T$; so by repeated applications of T7.7, $M[\mathcal{P}] \neq T$; so by QV, $\Gamma \not\vdash \mathcal{P}$. This is impossible; reject the assumption: if $\Gamma \models \mathcal{P}$ then $\Gamma \vdash \mathcal{P}$.

The sentential version had parallels to Con, Max, Cns Σ'' and Cns M' along with theorems T10.6_s - T10.11_s. (The distinction between (\star) and $(\star\star)$ is a distinction without a difference in the sentential case.) The basic quantificational version requires these along with Sgt, T10.12 and the simple version of Cns M' . For the full version, we have had to appeal also to T10.13 and T10.16 (and so T10.17), and use the relatively complex specification for Cns M' .

Again, you should try to get the complete picture in your mind: As always, the key is that consistent sets have models. If $\Gamma \cup \{\sim\mathcal{P}\}$ is not consistent, then there is a derivation of \mathcal{P} from Γ . So if there is no derivation of \mathcal{P} from Γ , then $\Gamma \cup \{\sim\mathcal{P}\}$ is consistent, and so has a model — and the existence of a model for $\Gamma \cup \{\sim\mathcal{P}\}$ is sufficient to show that $\Gamma \not\vdash \mathcal{P}$. Put the other way around, if $\Gamma \models \mathcal{P}$, then there is a derivation of \mathcal{P} from Γ . We get the key point, that consistent sets have models, by finding a relation between consistent, and maximal consistent scapegoat sets. If a set is a maximal consistent scapegoat set, then it contains enough information to specify a model for the whole. The model for the big set then guarantees the existence of a model M for the original Γ .

E10.27. Return to the case from E10.20 on p. 500, but dropping the assumptions that there is no symbol for equality, and that \mathcal{L} is identical to \mathcal{L}' . Add to the derivation system axioms,

$$A3 \vdash t = t$$

$$A4 \vdash r = s \rightarrow (\mathcal{P} \rightarrow \mathcal{P}^{r/s}) \quad \text{— where } s \text{ is free for replaced instances of } r \text{ in } \mathcal{P}$$

Provide a complete demonstration that this version of A4 is adequate. You may appeal to any results from the text whose demonstration remains unchanged, but should recreate parts whose demonstration is not the same. Hint: You may find it helpful to demonstrate a relation to T8.5 as follows,

T8.5* For any formula \mathcal{P} , terms s and t , constant c , and variable x , $[\mathcal{P}^s/t]_x^c$ is the same formula as $[\mathcal{P}_x^c]^s/t_x^c$ — where the same instance(s) of s are replaced in each case.

E10.28. We have shown from T10.4 that if a set of formulas has a model, then it is consistent; and now that if an arbitrary set of formulas is consistent, then it has a model — and one whose U is this set of sets of positive integers. Notice that any such U is *countable* insofar as its members can be put into correspondence with the integers (we might, say, order the members by their least elements). Considering what we showed in the [more on countability](#) reference on p. 50, how might this be a problem for the logic of real numbers? Hint: Think about the consequences sentences in an arbitrary Γ may have about the number of elements in U .

E10.29. For each of the following concepts, explain in an essay of about two pages, so that Hannah could understand. In your essay, you should (i) identify the objects to which the concept applies, (ii) give and explain the definition, and give and explicate examples (iii) where the concept applies, and (iv) where it does not. Your essay should exhibit an understanding of methods from the text.

- a. The soundness of a derivation system, and its demonstration by mathematical induction.
- b. The adequacy of a derivation system, and the basic strategy for its demonstration.
- c. Maximality and consistency, and the reasons for them.
- d. Scapegoat sets, and the reasons for them.

Theorems of Chapter 10

- T10.1 For any interpretation I , variable assignment d , with terms t and r , if $I_d[r] = o$, then $I_{d(x|o)}[t] = I_d[t_r^x]$.
- T10.2 For any interpretation I , variable assignment d , term r , and formula Q , if $I_d[r] = o$, and r is free for x in Q , then $I_d[Q_r^x] = S$ iff $I_{d(x|o)}[Q] = S$.
- T10.3 If $\Gamma \vdash_{AD} \mathcal{P}$, then $\Gamma \models \mathcal{P}$. (*Soundness*)
- T10.4 If there is an interpretation M such that $M[\Gamma] = T$ (a *model* for Γ), then Γ is consistent.
- T10.5 If there is an interpretation M such that $M[\Gamma \cup \{\sim \mathcal{A}\}] = T$, then $\Gamma \not\models \mathcal{A}$.
- T10.6_s For any set of formulas Σ and sentence \mathcal{P} , if $\Sigma \not\models \sim \mathcal{P}$, then $\Sigma \cup \{\mathcal{P}\}$ is consistent.
- T10.6 For any set of formulas Σ and sentence \mathcal{P} , if $\Sigma \not\models \sim \mathcal{P}$, then $\Sigma \cup \{\mathcal{P}\}$ is consistent.
- T10.7_s There is an enumeration $Q_1, Q_2 \dots$ of all formulas in \mathcal{L}_s .
- T10.7 There is an enumeration $Q_1, Q_2 \dots$ of all the formulas, terms, and the like, in \mathcal{L}' .
- T10.8_s If Σ' is consistent, then Σ'' is maximal and consistent.
- T10.8 If Σ' is consistent, then Σ'' is a maximal, consistent, scapegoat set.
- T10.9_s If Σ' is consistent, then for any sentence \mathcal{B} , of \mathcal{L}_s , $M'[\mathcal{B}] = T$ iff $\Sigma'' \vdash \mathcal{B}$.
- T10.9 If Σ' is consistent, then for any sentence \mathcal{B} of \mathcal{L}' , $M'[\mathcal{B}] = T$ iff $\Sigma'' \vdash \mathcal{B}$.
- T10.10_s If Σ' is consistent, then $M'[\Sigma'] = T$. (*)
- T10.10 If Σ' is consistent, then $M'[\Sigma'] = T$. (★)
- T10.11_s If $\Gamma \models_s \mathcal{P}$, then $\Gamma \vdash \mathcal{P}$. (*sentential adequacy*)
- T10.11 If $\Gamma \models \mathcal{P}$, then $\Gamma \vdash \mathcal{P}$. (*quantificational adequacy*)
- T10.12 If D is a derivation from Σ' , and x is a variable that does not appear in D , then for any constant a , D_x^a is a derivation from $\Sigma' \frac{a}{x}$.
- T10.13 If Σ is consistent, then Σ' is consistent.
- T10.14 For any variable assignment d , and for any term t in \mathcal{L} , $M_d[t] = M'_d[t]$.
- T10.15 For any variable assignment d , and for any formula \mathcal{P} in \mathcal{L} , $M_d[\mathcal{P}] = S$ iff $M'_d[\mathcal{P}] = S$.
- T10.16 If $M'[\Sigma'] = T$, then $M[\Sigma] = T$.
- T10.17a If Σ is consistent, then Σ has a model M . (\mathcal{L} without equality)
- T10.17 If Σ is consistent, then Σ has a model M . (\mathcal{L} unconstrained) (★★)

Chapter 11

More Main Results

In this chapter, we take up results which deepen our understanding of the power and limits of logic. The first sections restrict discussion to *sentential* forms, for discussion of *expressive completeness*, *unique readability* and *independence*. Then we turn to discussion of the conditions under which models are *isomorphic*, and transition to a discussion of submodels, and especially the Löwenheim-Skolem theorems, which help us see some conditions under which models are not isomorphic.¹

11.1 Expressive Completeness

In [chapter 5](#) on translation, we introduced the idea of a truth functional operator, where the truth value of the whole is a function of the truth values of the parts. We exhibited operators as truth functional by tables. Thus, if some ordinary expression \mathcal{P} with components \mathcal{A} and \mathcal{B} has table,

	\mathcal{A}	\mathcal{B}	\mathcal{P}
	T	T	T
(A)	T	F	F
	F	T	F
	F	F	F

then it is truth functional. And we translate by an equivalent formal operator: in this case $\mathcal{A} \wedge \mathcal{B}$ does fine. Of course, not every such table, or truth function, is directly represented by one of our operators. Thus, if \mathcal{P} is ‘neither \mathcal{A} nor \mathcal{B} ’ we have the table,

¹This chapter is not in finished form. It contains some parts which I’ve had occasion to write up and found useful from time to time. But it’s not worked into a fully-formed textbook chapter. Take it in the spirit with which it’s provided!

	\mathcal{A}	\mathcal{B}	\mathcal{P}
	T	T	F
(B)	T	F	F
	F	T	F
	F	F	T

where none of our operators is equivalent to this. But it takes only a little ingenuity to see that, say, $(\sim \mathcal{A} \wedge \sim \mathcal{B})$ or $\sim(\mathcal{A} \vee \mathcal{B})$ have the same table, and so result in a good translation. In chapter 5 (p. ??), we claimed that for any table a truth functional operator may have, there is always some way to generate that table by means of our formal operators — and, in fact, by means of just the operators \sim and \wedge , or just the operators \sim and \vee , or just the operators \sim and \rightarrow . As it turns out, it is also possible to express any truth function by means of just the operator \downarrow . In this section, we prove these results. First,

T11.1. It is possible to represent any truth function by means of an expression with just the operators \sim , \wedge , and \vee .

The proof of this result is simple. Given an arbitrary truth function, we provide a recipe for constructing an expression with the same table. Insofar as for any truth function it is always possible to construct an expression with the same table, there must always be a formal expression with the same table.

Suppose we are given an arbitrary truth function, in this case with four basic sentences as on the left.

	\mathcal{S}_1	\mathcal{S}_2	\mathcal{S}_3	\mathcal{S}_4	\mathcal{P}	
	1	T	T	T	T	$\mathcal{C}_1 = \mathcal{S}_1 \wedge \mathcal{S}_2 \wedge \mathcal{S}_3 \wedge \mathcal{S}_4$
	2	T	T	T	F	$\mathcal{C}_2 = \mathcal{S}_1 \wedge \mathcal{S}_2 \wedge \mathcal{S}_3 \wedge \sim \mathcal{S}_4$
	3	T	T	F	T	$\mathcal{C}_3 = \mathcal{S}_1 \wedge \mathcal{S}_2 \wedge \sim \mathcal{S}_3 \wedge \mathcal{S}_4$
	4	T	T	F	F	$\mathcal{C}_4 = \mathcal{S}_1 \wedge \mathcal{S}_2 \wedge \sim \mathcal{S}_3 \wedge \sim \mathcal{S}_4$
	5	T	F	T	T	$\mathcal{C}_5 = \mathcal{S}_1 \wedge \sim \mathcal{S}_2 \wedge \mathcal{S}_3 \wedge \mathcal{S}_4$
	6	T	F	T	F	$\mathcal{C}_6 = \mathcal{S}_1 \wedge \sim \mathcal{S}_2 \wedge \mathcal{S}_3 \wedge \sim \mathcal{S}_4$
	7	T	F	F	T	$\mathcal{C}_7 = \mathcal{S}_1 \wedge \sim \mathcal{S}_2 \wedge \sim \mathcal{S}_3 \wedge \mathcal{S}_4$
	8	T	F	F	F	$\mathcal{C}_8 = \mathcal{S}_1 \wedge \sim \mathcal{S}_2 \wedge \sim \mathcal{S}_3 \wedge \sim \mathcal{S}_4$
	9	F	T	T	T	$\mathcal{C}_9 = \sim \mathcal{S}_1 \wedge \mathcal{S}_2 \wedge \mathcal{S}_3 \wedge \mathcal{S}_4$
	10	F	T	T	F	$\mathcal{C}_{10} = \sim \mathcal{S}_1 \wedge \mathcal{S}_2 \wedge \mathcal{S}_3 \wedge \sim \mathcal{S}_4$
	11	F	T	F	T	$\mathcal{C}_{11} = \sim \mathcal{S}_1 \wedge \mathcal{S}_2 \wedge \sim \mathcal{S}_3 \wedge \mathcal{S}_4$
	12	F	T	F	F	$\mathcal{C}_{12} = \sim \mathcal{S}_1 \wedge \mathcal{S}_2 \wedge \sim \mathcal{S}_3 \wedge \sim \mathcal{S}_4$
	13	F	F	T	T	$\mathcal{C}_{13} = \sim \mathcal{S}_1 \wedge \sim \mathcal{S}_2 \wedge \mathcal{S}_3 \wedge \mathcal{S}_4$
	14	F	F	T	F	$\mathcal{C}_{14} = \sim \mathcal{S}_1 \wedge \sim \mathcal{S}_2 \wedge \mathcal{S}_3 \wedge \sim \mathcal{S}_4$
	15	F	F	F	T	$\mathcal{C}_{15} = \sim \mathcal{S}_1 \wedge \sim \mathcal{S}_2 \wedge \sim \mathcal{S}_3 \wedge \mathcal{S}_4$
	16	F	F	F	F	$\mathcal{C}_{16} = \sim \mathcal{S}_1 \wedge \sim \mathcal{S}_2 \wedge \sim \mathcal{S}_3 \wedge \sim \mathcal{S}_4$

For this sentence \mathcal{P} with basic sentences $\mathcal{S}_1 \dots \mathcal{S}_n$, begin by constructing the *characteristic* sentence \mathcal{C}_j corresponding to each row: If the interpretation \mathcal{I}_j corresponding

to row j has $l_j[\mathcal{S}_i] = \text{T}$, then let $\mathcal{S}'_i = \mathcal{S}_i$. If $l_j[\mathcal{S}_i] = \text{F}$, let $\mathcal{S}'_i = \sim\mathcal{S}_i$. Then the characteristic sentence \mathcal{C}_j corresponding to l_j is the conjunction of each \mathcal{S}'_i . So $\mathcal{C}_j = \mathcal{S}'_1 \wedge \dots \wedge \mathcal{S}'_n$ (with appropriate parentheses). These sentences are exhibited above. The characteristic sentences are true *only* on their corresponding rows. Thus \mathcal{C}_4 above is true only when $l[\mathcal{S}_1] = \text{T}$, $l[\mathcal{S}_2] = \text{T}$, $l[\mathcal{S}_3] = \text{F}$, and $l[\mathcal{S}_4] = \text{F}$.

Then, given the characteristic sentences, if \mathcal{P} is F on every row, $\mathcal{S}_1 \wedge \sim\mathcal{S}_1$ has the same table as \mathcal{P} . Otherwise, where \mathcal{P} is T on rows a, b, \dots, d , $\mathcal{C}_a \vee \mathcal{C}_b \vee \dots \vee \mathcal{C}_d$ (with appropriate parentheses) has the same table as \mathcal{P} . Thus, for example, $\mathcal{C}_3 \vee \mathcal{C}_5 \vee \mathcal{C}_{12} \vee \mathcal{C}_{13}$, that is,

$$(\mathcal{S}_1 \wedge \mathcal{S}_2 \wedge \sim\mathcal{S}_3 \wedge \mathcal{S}_4) \vee (\mathcal{S}_1 \wedge \sim\mathcal{S}_2 \wedge \mathcal{S}_3 \wedge \mathcal{S}_4) \vee (\sim\mathcal{S}_1 \wedge \mathcal{S}_2 \wedge \sim\mathcal{S}_3 \wedge \sim\mathcal{S}_4) \vee (\sim\mathcal{S}_1 \wedge \sim\mathcal{S}_2 \wedge \mathcal{S}_3 \wedge \mathcal{S}_4)$$

has the same table as \mathcal{P} . Inserting parentheses, the resultant table is,

	\mathcal{S}_1	\mathcal{S}_2	\mathcal{S}_3	\mathcal{S}_4	$(\mathcal{C}_3 \vee \mathcal{C}_5) \vee (\mathcal{C}_{12} \vee \mathcal{C}_{13})$								\mathcal{P}
(D)	1	T	T	T	T	F	F	F	F	F	F	F	F
	2	T	T	T	F	F	F	F	F	F	F	F	F
	3	T	T	F	T	T	F	T	F	F	F	F	T
	4	T	T	F	F	F	F	F	F	F	F	F	F
	5	T	F	T	T	F	T	T	F	F	F	F	T
	6	T	F	T	F	F	F	F	F	F	F	F	F
	7	T	F	F	T	F	F	F	F	F	F	F	F
	8	T	F	F	F	F	F	F	F	F	F	F	F
	9	F	T	T	T	F	F	F	F	F	F	F	F
	10	F	T	T	F	F	F	F	F	F	F	F	F
	11	F	T	F	T	F	F	F	F	F	F	F	F
	12	F	T	F	F	F	F	T	T	T	F	F	T
	13	F	F	T	T	F	F	F	T	F	T	T	T
	14	F	F	T	F	F	F	F	F	F	F	F	F
	15	F	F	F	T	F	F	F	F	F	F	F	F
	16	F	F	F	F	F	F	F	F	F	F	F	F

And we have constructed an expression with the same table as \mathcal{P} . And similarly for any truth function with which we are confronted. So given any truth function, there is a formal expression with the same table.

In a by-now familiar pattern, the expressions produced by this method are not particularly elegant or efficient. Thus for the table,

	\mathcal{A}	\mathcal{B}	\mathcal{P}
(E)	T	T	T
	T	F	F
	F	T	T
	F	F	T

by our method we get the expression $(\mathcal{A} \wedge \mathcal{B}) \vee (\sim\mathcal{A} \wedge \mathcal{B}) \vee (\sim\mathcal{A} \wedge \sim\mathcal{B})$. It has the right table. But, of course, $\mathcal{A} \rightarrow \mathcal{B}$ is much simpler! The point is not that the

resultant expressions are elegant or efficient, but that for any truth function, there *exists* a formal expression that works the same way.

We have shown that we can represent any truth function by an expression with operators \sim , \wedge , and \vee . But any such expression is an abbreviation of one whose only operators are \sim and \rightarrow . So we can represent any truth function by an expression with just operators \sim and \rightarrow . And we can argue for other cases. Thus, for example,

T11.2. It is possible to represent any truth function by means of an expression with just the operators \sim and \wedge .

Again, the proof is simple. Given T11.1, if we can show that any \mathcal{P} whose operators are \sim , \wedge and \vee corresponds to a \mathcal{P}^* whose operators are just \sim and \wedge , such that \mathcal{P} and \mathcal{P}^* have the same table — such that $I[\mathcal{P}] = I[\mathcal{P}^*]$ for any I — we will have shown that any truth function can be represented by an expression with just \sim and \wedge . To see that this is so, where \mathcal{P} is an atomic \mathcal{S} , set $\mathcal{P}^* = \mathcal{S}$; where \mathcal{P} is $\sim\mathcal{A}$, set $\mathcal{P}^* = \sim\mathcal{A}^*$; where \mathcal{P} is $\mathcal{A} \wedge \mathcal{B}$, set $\mathcal{P}^* = \mathcal{A}^* \wedge \mathcal{B}^*$; and where \mathcal{P} is $\mathcal{A} \vee \mathcal{B}$, set $\mathcal{P}^* = \sim(\sim\mathcal{A}^* \wedge \sim\mathcal{B}^*)$. Suppose the only operators in \mathcal{P} are \sim , \wedge , and \vee , and consider an arbitrary interpretation I .

Basis: Where \mathcal{P} is a sentence letter \mathcal{S} , then \mathcal{P}^* is \mathcal{S} . So $I[\mathcal{P}] = I[\mathcal{P}^*]$.

Assp: For any i , $0 \leq i < k$, if \mathcal{P} has i operator symbols, then $I[\mathcal{P}] = I[\mathcal{P}^*]$.

Show: If \mathcal{P} has k operator symbols, then $I[\mathcal{P}] = I[\mathcal{P}^*]$.

If \mathcal{P} has k operator symbols, then it is of the form $\sim\mathcal{A}$, $\mathcal{A} \wedge \mathcal{B}$, or $\mathcal{A} \vee \mathcal{B}$ where \mathcal{A} and \mathcal{B} have $< k$ operator symbols.

(\sim) Suppose \mathcal{P} is $\sim\mathcal{A}$; then \mathcal{P}^* is $\sim\mathcal{A}^*$. $I[\mathcal{P}] = \text{T}$ iff $I[\sim\mathcal{A}] = \text{T}$; by **ST**(\sim), iff $I[\mathcal{A}] = \text{F}$; by assumption iff $I[\mathcal{A}^*] = \text{F}$; by **PT**(\sim), iff $I[\sim\mathcal{A}^*] = \text{T}$; iff $I[\mathcal{P}^*] = \text{T}$.

(\wedge) Suppose \mathcal{P} is $\mathcal{A} \wedge \mathcal{B}$; then \mathcal{P}^* is $\mathcal{A}^* \wedge \mathcal{B}^*$. $I[\mathcal{P}] = \text{T}$ iff $I[\mathcal{A} \wedge \mathcal{B}] = \text{T}$; by **ST'**(\wedge), iff $I[\mathcal{A}] = \text{T}$ and $I[\mathcal{B}] = \text{T}$; by assumption iff $I[\mathcal{A}^*] = \text{T}$ and $I[\mathcal{B}^*] = \text{T}$; by **PT'**(\wedge), iff $I[\mathcal{A}^* \wedge \mathcal{B}^*] = \text{T}$; iff $I[\mathcal{P}^*] = \text{T}$.

(\vee) Suppose \mathcal{P} is $\mathcal{A} \vee \mathcal{B}$; then \mathcal{P}^* is $\sim(\sim\mathcal{A}^* \wedge \sim\mathcal{B}^*)$. $I[\mathcal{P}] = \text{T}$ iff $I[\mathcal{A} \vee \mathcal{B}] = \text{T}$; by **ST'**(\vee), iff $I[\mathcal{A}] = \text{T}$ or $I[\mathcal{B}] = \text{T}$; by assumption iff $I[\mathcal{A}^*] = \text{T}$ or $I[\mathcal{B}^*] = \text{T}$; by **PT**(\sim), iff $I[\sim\mathcal{A}^*] = \text{F}$ or $I[\sim\mathcal{B}^*] = \text{F}$; by **PT'**(\wedge), iff $I[\sim\mathcal{A}^* \wedge \sim\mathcal{B}^*] = \text{F}$; by **ST**(\sim), iff $I[\sim(\sim\mathcal{A}^* \wedge \sim\mathcal{B}^*)] = \text{T}$; iff $I[\mathcal{P}^*] = \text{T}$.

If \mathcal{P} has k operator symbols then $I[\mathcal{P}] = I[\mathcal{P}^*]$.

Indct: For any \mathcal{P} , $I[\mathcal{P}] = I[\mathcal{P}^*]$.

So if the operators in \mathcal{P} are \sim , \wedge and \vee , there is a \mathcal{P}^* with just operators \sim and \wedge that has the same table. Perhaps this was obvious as soon as we saw that $\sim(\sim\mathcal{A} \wedge \sim\mathcal{B})$ has the same table as $\mathcal{A} \vee \mathcal{B}$. Since we can represent any truth function by an expression whose only operators are \sim , \wedge and \vee , and we can represent any such \mathcal{P} by a \mathcal{P}^* whose only operators are \sim and \wedge , we can represent any truth function by an expression with just operators \sim and \wedge . And, by similar reasoning, we can represent any truth function by expressions whose only operators are \sim and \vee , and by expressions whose only operator is \downarrow . This is left for homework.

In E8.10, we showed that if the operators in \mathcal{P} are limited to \rightarrow , \wedge , \vee , and \leftrightarrow then when the interpretation of every atomic is T, the interpretation of \mathcal{P} is T. Perhaps this is obvious by consideration of the tables. It follows that not every truth function can be represented by expressions whose only operators are \rightarrow , \wedge , \vee , and \leftrightarrow ; for there is no way to represent a function that is F on the top row, when all the atomics are T. Though it is much more difficult to establish, we showed in E8.27 that any expression whose only operators are \sim and \leftrightarrow (with at least four rows in its truth table) has an even number of Ts and Fs under its main operator. It follows that not every truth function can be represented by expressions whose only operators are \sim and \leftrightarrow .

E11.1. Use the method of this section to find expressions with tables corresponding to \mathcal{P}_1 , \mathcal{P}_2 , and \mathcal{P}_3 . Then show on a table that your expression for \mathcal{P}_1 in fact has the same truth function as \mathcal{P}_1 .

\mathcal{A}	\mathcal{B}	\mathcal{C}	\mathcal{P}_1	\mathcal{P}_2	\mathcal{P}_3
T	T	T	F	T	F
T	T	F	T	T	F
T	F	T	T	F	T
T	F	F	F	F	F
F	T	T	F	F	T
F	T	F	T	F	F
F	F	T	F	F	T
F	F	F	T	F	T

E11.2. (i) Show that we can represent any truth function by expressions whose only operators are \sim and \vee . (ii) Show that we can represent any truth function by expressions whose only operator is \downarrow . Hint: Given what we have shown above, it is enough to show that you can represent expressions whose only operators are \sim and \rightarrow , or \sim and \wedge .

E11.3. Show that it is not possible to represent arbitrary truth functions by expressions whose only operator is \sim . Hint: it is easy to show by induction that any such expression has at least one T and one F under its main operator.

11.2 Unique Readability

Unique readability is a result like our first case from [chapter 8](#) (p. 387) where the conclusion may seem to obvious to merit argument. We show that every formula of \mathcal{L}_3 is parsed uniquely. Things are set up so that this is so. But suppose instead of $\text{FR}(\rightarrow)$ we had,

(*) If \mathcal{P} and \mathcal{Q} are formulas, then $\mathcal{P} \rightarrow \mathcal{Q}$ is a *formula*.

without parentheses. Then, for atomics A , B and C , say, $A \rightarrow B$ is a formula so that $A \rightarrow B \rightarrow C$ is a formula. But again, $B \rightarrow C$ is a formula so that $A \rightarrow B \rightarrow C$ is a formula. So there are different ways to understand the parts of $A \rightarrow B \rightarrow C$. Suppose $\text{I}[A] = \text{I}[B] = \text{I}[C] = \text{F}$. Then on the first account, $\text{I}[A \rightarrow B] = \text{T}$ so that $\text{I}[A \rightarrow B \rightarrow C] = \text{F}$. But on the second account, $\text{I}[B \rightarrow C] = \text{T}$ so that $\text{I}[A \rightarrow B \rightarrow C] = \text{T}$. Thus it is important for our definitions that there is just one way to understand $\mathcal{P} \rightarrow \mathcal{Q}$. And we can demonstrate the result. According to unique readability,

T11.3. For any formula \mathcal{P} of \mathcal{L}_3 , exactly one of the following holds.

- (s) \mathcal{P} is a sentence letter.
- (\sim) There is a unique formula \mathcal{A} such that \mathcal{P} is $\sim\mathcal{A}$.
- (\rightarrow) There are unique formulas \mathcal{A} and \mathcal{B} such that \mathcal{P} is $(\mathcal{A} \rightarrow \mathcal{B})$.

We build to this result by some preliminary theorems.

First, ignoring uniqueness,

T11.4. For any formula \mathcal{P} of \mathcal{L}_3 , at least one of the following holds: (i) \mathcal{P} is a sentence letter; (ii) there is a formula \mathcal{A} such that \mathcal{P} is $\sim\mathcal{A}$; (iii) there are formulas \mathcal{A} and \mathcal{B} such that \mathcal{P} is $(\mathcal{A} \rightarrow \mathcal{B})$.

This is a (trivial) induction on the number of operators in \mathcal{P} .

T11.5. For any formula \mathcal{P} of \mathcal{L}_4 , at most one of the following holds: (i) \mathcal{P} is a sentence letter; (ii) there is a formula \mathcal{A} such that \mathcal{P} is $\sim\mathcal{A}$; (iii) there are formulas \mathcal{A} and \mathcal{B} such that \mathcal{P} is $(\mathcal{A} \rightarrow \mathcal{B})$.

If \mathcal{P} is a sentence letter it begins with a sentence letter; if \mathcal{P} is $\sim\mathcal{A}$ it begins with ' \sim '; and if \mathcal{P} is $(\mathcal{A} \rightarrow \mathcal{B})$ it begins with ' $($ '. (i) Suppose \mathcal{P} is a sentence letter; then it does not begin with ' \sim ' or ' $($ '; so not (ii) and not (iii). Suppose \mathcal{P} is $\sim\mathcal{A}$; then it does not begin with a sentence letter or ' $($ '; so not (i) or (iii). Suppose \mathcal{P} is $(\mathcal{A} \rightarrow \mathcal{B})$; then it does not begin with a sentence letter or ' \sim ' so not (i) or (ii).

By T11.4 and T11.5 together, For any formula \mathcal{P} of \mathcal{L}_4 , exactly one of, (i) \mathcal{P} is a sentence letter; (ii) there is a formula \mathcal{A} such that \mathcal{P} is $\sim\mathcal{A}$; (iii) there are formulas \mathcal{A} and \mathcal{B} such that \mathcal{P} is $(\mathcal{A} \rightarrow \mathcal{B})$.

For some expression \mathcal{A} say \mathcal{B} is an *initial segment* of \mathcal{A} just in case there is some \mathcal{C} such that $\mathcal{A} = \mathcal{B}\mathcal{C}$ — just in case \mathcal{A} is the concatenation of \mathcal{B} and \mathcal{C} . If \mathcal{C} is a non-empty sequence so that \mathcal{B} is not all of \mathcal{A} , then \mathcal{B} is a *proper* initial segment of \mathcal{A} . So ' AB ' is a proper initial segment of ' ABC '. To make progress on the uniqueness conditions, we show the following.

T11.6. No proper initial segment of a formula \mathcal{A} is a formula. Suppose \mathcal{A} is a formula.

Basis: If \mathcal{A} is atomic, then $\mathcal{A} = \mathcal{B}\mathcal{C}$ only if $\mathcal{A} = \mathcal{C}$ and \mathcal{B} is empty. But from T11.4 no empty sequence is a formula. So no proper initial segment of \mathcal{A} is a formula.

Assp: For any i , $0 \leq i < k$, if \mathcal{A} has i operator symbols, then no proper initial segment of \mathcal{A} is a formula.

Show: If \mathcal{A} has k operator symbols, then no proper initial segment of \mathcal{A} is a formula. If \mathcal{A} has k operator symbols then it is $\sim\mathcal{P}$ or $(\mathcal{P} \wedge \mathcal{Q})$ for formulas \mathcal{P} and \mathcal{Q} with $< k$ operator symbols.

(\sim) \mathcal{A} is $\sim\mathcal{P}$ for some formula \mathcal{P} . Suppose some proper initial segment of \mathcal{A} is a formula; then for some formula \mathcal{B} , $\mathcal{A} = \mathcal{B}\mathcal{C}$. \mathcal{B} is either empty or starts with ' \sim '; so with T11.4 and T11.5, \mathcal{B} is $\sim\mathcal{D}$ for some formula \mathcal{D} . So $\mathcal{A} = \sim\mathcal{P} = \sim\mathcal{D}\mathcal{C}$; so $\mathcal{P} = \mathcal{D}\mathcal{C}$; so \mathcal{D} is a proper initial segment of \mathcal{P} ; so by assumption, \mathcal{D} is not a formula. Reject the assumption: no proper initial segment of \mathcal{A} is a formula.

(\rightarrow) \mathcal{A} is $(\mathcal{P} \rightarrow \mathcal{Q})$. Suppose some proper initial segment of \mathcal{A} is a formula; then for some formula \mathcal{B} , $\mathcal{A} = \mathcal{B}\mathcal{C}$. \mathcal{B} is either empty or

starts with ‘(’; so with T11.4 and T11.5, \mathcal{B} is $(\mathcal{D} \rightarrow \mathcal{E})$ for some formulas \mathcal{D} and \mathcal{E} ; so $\mathcal{A} = (\mathcal{P} \rightarrow \mathcal{Q}) = (\mathcal{D} \rightarrow \mathcal{E})\mathcal{C}$; so $\mathcal{P} \rightarrow \mathcal{Q} = \mathcal{D} \rightarrow \mathcal{E}$; so either $\mathcal{P} = \mathcal{D}$ or one is a proper initial segment of the other; suppose one is a proper initial segment of the other; then by assumption one or the other is not a formula; this is impossible. So $\mathcal{P} = \mathcal{D}$; so $\mathcal{Q} = \mathcal{E}$; so \mathcal{E} is a proper initial segment of \mathcal{Q} ; so by assumption \mathcal{E} is not a formula. Reject the assumption, no proper initial segment of \mathcal{A} is a formula.

Indct: For any formula \mathcal{A} , no proper initial segment of \mathcal{A} is a formula.

Observe that we “add” and “subtract” from sequences so that, for example $\sim\mathcal{P} = \sim\mathcal{Q}$ iff $\mathcal{P} = \mathcal{Q}$.

And now we are ready to establish T11.3 for unique readability. For any formula \mathcal{P} of \mathcal{L}_3 , by T11.4 and T11.5, exactly one of,

- (i) \mathcal{P} is a sentence letter.
- (ii) There is a formula \mathcal{A} such that \mathcal{P} is $\sim\mathcal{A}$.

Uniqueness: Suppose there is a formula \mathcal{B} such that $\sim\mathcal{A} = \sim\mathcal{B}$; then $\mathcal{A} = \mathcal{B}$. So there is a unique formula \mathcal{A} such that $\mathcal{P} = \sim\mathcal{A}$.

- (iii) There are formulas \mathcal{A} and \mathcal{B} such that \mathcal{P} is $(\mathcal{A} \rightarrow \mathcal{B})$.

Uniqueness: Suppose there are formulas \mathcal{C} and \mathcal{D} such that $(\mathcal{A} \rightarrow \mathcal{B}) = (\mathcal{C} \rightarrow \mathcal{D})$; then $\mathcal{A} \rightarrow \mathcal{B} = \mathcal{C} \rightarrow \mathcal{D}$; so either $\mathcal{A} = \mathcal{C}$ or one is a proper initial segment of the other; but by T11.6, neither is a proper initial segment of the other; so $\mathcal{A} = \mathcal{C}$; so $\mathcal{B} = \mathcal{D}$; so $\mathcal{B} = \mathcal{D}$. So there are unique formulas \mathcal{A} and \mathcal{B} such that $\mathcal{P} = (\mathcal{A} \rightarrow \mathcal{B})$.

Thus T11.3 is established.

E11.4. Demonstrate T11.4 by induction on the length of \mathcal{P} .

E11.5. Show unique readability for the terms of \mathcal{L}_q , that for every term t of \mathcal{L}_q , exactly one of the following holds,

- (v) t is a variable.
- (c) t is a constant.

- (f) There are unique function symbol h^n and terms $t_1 \dots t_n$ such that $t = h^n t_1 \dots t_n$.

Hint: The argument is based on **TR**; you will want to show that no proper initial segment of a term is a term.

E11.6. Show unique readability for the formulas of \mathcal{L}_q , that for every formula \mathcal{P} of \mathcal{L}_q , exactly one of the following holds,

- (s) \mathcal{P} is a sentence letter.
 (r) There are unique relation symbol \mathcal{R}^n and terms $t_1 \dots t_n$ such that $\mathcal{P} = \mathcal{R}^n t_1 \dots t_n$.
 (\sim) There is a unique formula \mathcal{A} such that $\mathcal{P} = \sim \mathcal{A}$.
 (\rightarrow) There are unique formulas \mathcal{A} and \mathcal{B} such that $\mathcal{P} = (\mathcal{A} \rightarrow \mathcal{B})$.
 (\forall) There are unique variable x and formula \mathcal{A} such that $\mathcal{P} = \forall x \mathcal{A}$.

Hint: This time the argument is based on **FR**.

11.3 Independence

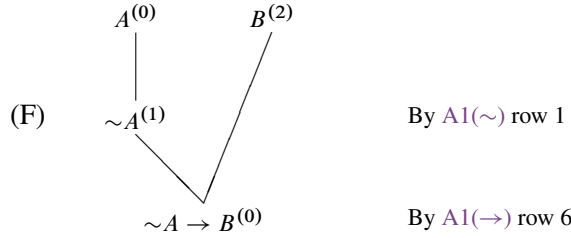
As we have seen, axiomatic systems are convenient insofar as their compact form makes reasoning about them relatively easy. Also, theoretically, axiomatic systems are attractive insofar as they expose what is at the base or foundation of logical systems. Given this latter aim, it is natural to wonder whether we could get the same results without one or more of our axioms. Say an axiom or rule is *independent* in a derivation system just in case its omission matters for what can be derived. In particular, then, an axiom is independent in a derivation system if *it* cannot be derived from the other axioms and rules. For suppose otherwise: that it can be derived from the other axioms and rules; then it is a theorem of the derivation system without the axiom, and any result of the system with the axiom can be derived using the theorem in place of the axiom; so the omission of the axiom does not matter for what can be derived, and the axiom is not independent. In this section, we show that A1, A2 and A3 of the sentential fragment of *AD* are independent of one another.

Say we want to show that A1 is independent of A2 and A3. When we showed, in [chapter 8](#), that the sentential part of *AD* is weakly sound, we showed that A1, A2, A3 and their consequences have a certain feature — that there is no interpretation where a consequence is false. The basic idea here is to find a sort of “interpretation”

on which A2, A3 and their consequences are sustained, but A1 is not. It follows that A1 is not among the consequences of A2 and A3, and so is independent of A2 and A3. Here is the key point: Any “interpretation” will do. In particular, consider the following tables which define a sort of numerical property for forms involving \sim and \rightarrow .

					\mathcal{P}	\mathcal{Q}	$\mathcal{P} \rightarrow \mathcal{Q}$
					0	0	0
					0	1	2
					0	2	2
A1(\sim)	\mathcal{P}	$\sim \mathcal{P}$	A1(\rightarrow)		1	0	2
	0	1			1	1	2
	1	1			1	2	0
	2	0			2	0	0
					2	0	0
					2	1	0
					2	2	0

Do not worry about what these tables “say”; it is sufficient that, given a numerical interpretation of the parts, we can always calculate the numerical value N of the whole. Thus, for example,



if $N[A] = 0$ and $N[B] = 2$, then $N[\sim A \rightarrow B] = 0$. The calculation is straightforward, based on the tables. And similarly for sentential forms of arbitrary complexity. Say a form is *select* iff it takes the value 0 on every numerical interpretation of its parts. (Compare the notion of semantic validity on which a form is valid iff it is T on every interpretation of its parts.) Again, do not worry about what the tables mean. They are constructed for the special purpose of demonstrating independence: We show that every consequence of A2 and A3 is select, but A1 is not. It follows that A1 is not a consequence of A2 and A3.

To see that A3 is select, and that A1 is not, all we have to do is complete the tables.

\mathcal{A}	\mathcal{B}	$\mathcal{A} \rightarrow (\mathcal{B} \rightarrow \mathcal{A})$	$(\sim \mathcal{B} \rightarrow \sim \mathcal{A}) \rightarrow [(\sim \mathcal{B} \rightarrow \mathcal{A}) \rightarrow \mathcal{B}]$
0	0	0	0
0	1	2	2
0	2	0	0
1	0	0	2
1	1	0	2
1	2	2	0
2	0	0	2
2	1	0	0
2	2	0	0

Since A1 has twos in the second and sixth rows, A1 is not select. Since A3 has zeros in every row, it is select. Alternatively, for A1, we might have reasoned as follows,

Suppose $N[\mathcal{A}] = 0$ and $N[\mathcal{B}] = 1$. Then by **A1(\rightarrow)**, $N[\mathcal{B} \rightarrow \mathcal{A}] = 2$; so by **A1(\rightarrow)** again, $N[\mathcal{A} \rightarrow (\mathcal{B} \rightarrow \mathcal{A})] = 2$. Since there is such an assignment, $\mathcal{A} \rightarrow (\mathcal{B} \rightarrow \mathcal{A})$ is not select.

And the result is the same. To see that A2 is select, again, it is enough to complete the table — it is painful, but we can do it:

(H)

\mathcal{A}	\mathcal{B}	\mathcal{C}	$(\mathcal{A} \rightarrow (\mathcal{B} \rightarrow \mathcal{C})) \rightarrow ((\mathcal{A} \rightarrow \mathcal{B}) \rightarrow (\mathcal{A} \rightarrow \mathcal{C}))$			
0	0	0	0	0	0	0
0	0	1	2	2	0	0
0	0	2	2	2	0	0
0	1	0	2	2	0	2
0	1	1	2	2	0	2
0	1	2	0	0	0	2
0	2	0	0	0	0	0
0	2	1	0	0	0	2
0	2	2	0	0	0	2
1	0	0	2	0	0	2
1	0	1	0	2	0	2
1	0	2	0	2	0	0
1	1	0	0	2	0	2
1	1	1	0	2	0	2
1	1	2	2	0	0	0
1	2	0	2	0	0	2
1	2	1	2	0	0	2
1	2	2	2	0	0	0
2	0	0	0	0	0	0
2	0	1	0	2	0	0
2	0	2	0	2	0	0
2	1	0	0	2	0	0
2	1	1	0	2	0	0
2	1	2	0	0	0	0
2	2	0	0	0	0	0
2	2	1	0	0	0	0
2	2	2	0	0	0	0

So both A2 and A3 are select. But now we are in a position to show,

T11.7. A1 is independent of A2 and A3.

Consider any derivation $\langle \mathcal{Q}_1, \mathcal{Q}_2 \dots \mathcal{Q}_n \rangle$ where there are no premises, and the only axioms are instances of A2 and A3. By induction on line number, for any i , \mathcal{Q}_i is select.

Basis: \mathcal{Q}_1 is an instance of A2 or A3, and as we have just seen, instances of A2 and A3 are select. So \mathcal{Q}_1 is select.

Assp: For any i , $0 \leq i < k$, \mathcal{Q}_i is select.

Show: \mathcal{Q}_k is select.

\mathcal{Q}_k is an instance of A2 or A3 or arises from previous lines by MP. If \mathcal{Q}_k is an instance of A2 or A3, then by reasoning as in the basis, \mathcal{Q}_k is select. If \mathcal{Q}_k arises from previous lines by MP, then the derivation has some lines,

- a. \mathcal{B}
 b. $\mathcal{B} \rightarrow \mathcal{C}$
 k. \mathcal{C} a, b MP

where $a, b < k$ and \mathcal{C} is \mathcal{Q}_k . By assumption, \mathcal{B} and $\mathcal{B} \rightarrow \mathcal{C}$ are select. But by A1(\rightarrow), both \mathcal{B} and $\mathcal{B} \rightarrow \mathcal{C}$ evaluate to 0 only in the case when \mathcal{C} also evaluates to 0; so if both \mathcal{B} and $\mathcal{B} \rightarrow \mathcal{C}$ are select, then \mathcal{C} is select as well. So \mathcal{Q}_k is select.

Indct: For any n , \mathcal{Q}_n is select.

So A1 cannot be derived from A2 and A3 — which is to say, A1 is independent of A2 and A3.

E11.7. Use the following tables to show that A2 is independent of A1 and A3.

A2(\sim)	\mathcal{P}	$\sim \mathcal{P}$	A2(\rightarrow)	\mathcal{P}	\mathcal{Q}	$\mathcal{P} \rightarrow \mathcal{Q}$	
	0	1		0	0	0	
	1	0		0	1	2	
	2	1		0	2	1	
	<hr/>			1	0	0	
				1	1	2	
				1	2	0	
<hr/>							

E11.8. Use the table method to show that A3 is independent of A1 and A2. That is, (i) find appropriate tables for \sim and \rightarrow , and (ii) use your tables to show by induction that A3 is independent of A1 and A2. Hint: You do not need three-valued interpretations, and have already done the work in E8.13.

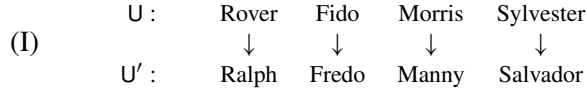
11.4 Isomorphic Models

Interpretations are *isomorphic* when they are structurally similar. Say a function f from r^n to s is *onto* set s just in case for each $o \in s$ there is some $\langle m_1 \dots m_n \rangle \in r^n$ such that $\langle \langle m_1 \dots m_n \rangle, o \rangle \in f$; a function is onto set s when it “reaches” every member of s . Then,

IS For some language \mathcal{L} , interpretation I is *isomorphic* to interpretation I' iff there is a 1:1 function ι (iota) from the universe of I onto the universe of I' where: for any sentence letter \mathcal{S} , $I[\mathcal{S}] = I'[\mathcal{S}]$; for any constant c , $I[c] = m$ iff $I'[c] = \iota(m)$; for any relation symbol \mathcal{R}^n , $\langle m_a \dots m_b \rangle \in I[\mathcal{R}^n]$ iff $\langle \iota(m_a) \dots \iota(m_b) \rangle \in I'[\mathcal{R}^n]$; and for any function symbol h^n , $\langle \langle m_a \dots m_b \rangle, o \rangle \in I[h^n]$ iff $\langle \langle \iota(m_a) \dots \iota(m_b) \rangle, \iota(o) \rangle \in I'[h^n]$.

If I is isomorphic to I' , we write, $I \cong I'$. Notice that the condition on constants requires just that $\iota(I[c]) = I'[c]$; applying ι to the thing assigned to c by I , results in the thing assigned to c by I' . And similarly, the condition on function symbols requires that $\iota(I[h^n]\langle m_a \dots m_b \rangle) = I'[h^n](\iota(m_a) \dots \iota(m_b))$; for we have $I[h^n]\langle m_a \dots m_b \rangle = o$, and $\iota(o) = I'[h^n](\iota(m_a) \dots \iota(m_b))$. We might think of the two interpretations as already existing, and *finding* a function ι to exhibit them as isomorphic. Alternatively, given an interpretation I , and function ι from the universe of I onto some set U' , we might think of I' as resulting from application of ι to I .

Here are some examples. In the first, it is perhaps particularly obvious that I and I' have the required structural similarity.

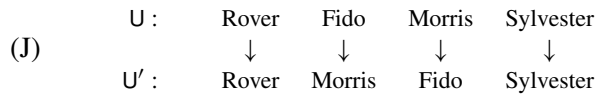


$U = \{\text{Rover, Fido, Morris, Sylvester}\}$. As represented by the arrows, function ι maps these onto a disjoint set U' . Then given I as below on the left, the corresponding isomorphic interpretation is I' as on the right.

$I[r] = \text{Rover}$	$I'[r] = \text{Ralph}$
$I[m] = \text{Morris}$	$I'[m] = \text{Manny}$
$I[D] = \{\text{Rover, Fido}\}$	$I'[D] = \{\text{Ralph, Fredo}\}$
$I[C] = \{\text{Morris, Sylvester}\}$	$I'[C] = \{\text{Manny, Salvador}\}$
$I[P] = \{\langle \text{Rover, Morris} \rangle, \langle \text{Fido, Sylvester} \rangle\}$	$I'[P] = \{\langle \text{Ralph, Manny} \rangle, \langle \text{Fredo, Salvador} \rangle\}$

On interpretation I , where Rover and Fido are dogs, and Morris and Sylvester are cats, we have that every dog pursues at least one cat. And, supposing that Ralph and Fredo are dogs, and Manny and Salvador are cats, the same properties and relations are preserved on I' — with only the particular individuals changed.

For a second case, let U be the same, but U' the very same set, only permuted or shuffled so that each object in U has a mate in U' .



So ι maps members of U to members of the very same set. Then given I as before, the corresponding isomorphic interpretation is I' is as follows.

$I[r] = \text{Rover}$	$I'[r] = \text{Rover}$
$I[m] = \text{Morris}$	$I'[m] = \text{Fido}$
$I[D] = \{\text{Rover}, \text{Fido}\}$	$I'[D] = \{\text{Rover}, \text{Morris}\}$
$I[C] = \{\text{Morris}, \text{Sylvester}\}$	$I'[C] = \{\text{Fido}, \text{Sylvester}\}$
$I[P] = \{\langle \text{Rover}, \text{Morris} \rangle, \langle \text{Fido}, \text{Sylvester} \rangle\}$	$I'[P] = \{\langle \text{Rover}, \text{Fido} \rangle, \langle \text{Morris}, \text{Sylvester} \rangle\}$

This time, there is no simple way to understand $I'[D]$ as the set of all dogs, and $I'[C]$ as the set of all cats. And we cannot say that the interpretation of P reflects dogs pursuing cats. But Morris *plays the same role* in I' as Fido in I ; and similarly Fido plays the same role in I' as Morris in I . Thus, on I' , each thing in the interpretation of D is such that it stands in the relation P to at least one thing in the interpretation of C — and this is just as in interpretation I .

A final example switches to \mathcal{L}_{NT}^{\leq} and has an infinite U . We let U be the set \mathbb{N} of natural numbers, U' be the set \mathbb{P} of positive integers, and ι be the function $n + 1$.

	$U :$	0	1	2	3	...
(K)		\downarrow	\downarrow	\downarrow	\downarrow	
	$U' :$	1	2	3	4	...

Then where N is the standard interpretation for symbols of \mathcal{L}_{NT}^{\leq} ,

$$\begin{aligned}
 N[\emptyset] &= 0 \\
 N[<] &= \{\langle m, n \rangle \mid m, n \in \mathbb{N}, \text{ and } m \text{ is less than } n\} \\
 N[S] &= \{\langle m, n \rangle \mid m, n \in \mathbb{N}, \text{ and } n \text{ is the successor of } m\} \\
 N[+] &= \{\langle \langle m, n \rangle, o \rangle \mid m, n, o \in \mathbb{N}, \text{ and } m \text{ plus } n \text{ equals } o\}
 \end{aligned}$$

we obtain N' as follows,

$$\begin{aligned}
 N'[\emptyset] &= 1 \\
 N'[<] &= \{\langle m + 1, n + 1 \rangle \mid m, n \in \mathbb{N}, \text{ and } m \text{ is less than } n\} \\
 N'[S] &= \{\langle m + 1, n + 1 \rangle \mid m, n \in \mathbb{N}, \text{ and } n \text{ is the successor of } m\} \\
 N'[+] &= \{\langle \langle m + 1, n + 1 \rangle, o + 1 \rangle \mid m, n, o \in \mathbb{N}, \text{ and } m \text{ plus } n \text{ equals } o\}
 \end{aligned}$$

Observe that anything in N' is taken from \mathbb{P} . In this case, we build N' explicitly by the rule for isomorphisms — simply finding $\iota(m) = m + 1$ from the corresponding element of N .

11.4.1 Isomorphism implies Equivalence

Given these examples, perhaps it is obvious that when interpretations are isomorphic, they make all the same formulas true.² Say,

EE For some language \mathcal{L} , interpretations I and I' are *elementarily equivalent* iff for any formula \mathcal{P} , $I[\mathcal{P}] = \text{T}$ iff $I'[\mathcal{P}] = \text{T}$.

If I is elementarily equivalent to I' , write $I \equiv I'$. We show that isomorphic interpretations are elementarily equivalent. This is straightforward given a matched pair of results, of the sort we have often seen before.

T11.8. For some language \mathcal{L} , if interpretations $D \cong H$, and assignments d for D and h for H are such that for any x , $\iota(d[x]) = h[x]$, then for any term t , $\iota(D_d[t]) = H_h[t]$.

Suppose $D \cong H$, and corresponding assignments d and h are such that for any x , $\iota(d(x)) = h(x)$. By induction on the number of operator symbols in t .

Basis: If t has no function symbols, then it is a variable or a constant. If t is a variable x , then by **TA(v)**, $D_d[x] = d(x)$; so $\iota(D_d[x]) = \iota(d[x])$; but we have supposed $\iota(d[x]) = h[x]$; and by **TA(v)** again, $h[x] = H_h[x]$; so $\iota(D_d[x]) = H_h[x]$. If t is a constant c , then by **TA(c)**, $D_d[c] = D[c]$; so $\iota(D_d[c]) = \iota(D[c])$; but since $D \cong H$, $\iota(D[c]) = H[c]$; and by **TA(c)** again, $H[c] = H_h[c]$; so $\iota(D_d[c]) = H_h[c]$.

Assp: For any i , $0 \leq i < k$ if t has i function symbols, then $\iota(D_d[t]) = H_h[t]$.

Show: If t has k function symbols, then $\iota(D_d[t]) = H_h[t]$.

If t has k function symbols, then it is of the form $h^n t_1 \dots t_n$ for relation symbol h^n and terms $t_1 \dots t_n$ with $< k$ function symbols. Then $D_d[t] = D_d[h^n t_1 \dots t_n]$; by **TA(f)**, $D_d[h^n t_1 \dots t_n] = D[h^n](D_d[t_1] \dots D_d[t_n])$. So $\iota(D_d[t]) = \iota(D[h^n](D_d[t_1] \dots D_d[t_n]))$; but since $D \cong H$, $\iota(D[h^n](D_d[t_1] \dots D_d[t_n])) = H[h^n](\iota(D_d[t_1]) \dots \iota(D_d[t_n]))$; and by assumption, $\iota(D_d[t_1]) = H_h[t_1]$, and ... and $\iota(D_d[t_n]) = H_h[t_n]$; so $H[h^n](\iota(D_d[t_1]) \dots \iota(D_d[t_n])) = H[h^n](H_h[t_1] \dots H_h[t_n])$; and by **TA(f)**, $H[h^n](H_h[t_1] \dots H_h[t_n]) = H_h[h^n t_1 \dots t_n]$; which is just $H_h[t]$; so $\iota(D_d[t]) = H_h[t]$.

²In *Reason, Truth and History*, Hilary Putnam makes this point to show that truth values of sentences are not sufficient to fix the interpretation of a language. As we shall see in this section, the technical point is clear enough. It is another matter whether it bears the philosophical weight he means for it to bear!

Indct: For any t , $\iota(D_d[t]) = H_h[t]$.

So when D and H are isomorphic, and for any variable x , ι maps $d[x]$ to $h[x]$, then for any term t , ι maps $D_d[t]$ to $H_h[t]$.

Now we are in a position to extend the result to one for satisfaction of formulas. If D and H are isomorphic, and for any variable x , ι maps $d[x]$ to $h[x]$, then a formula \mathcal{P} will be satisfied on D with d just in case it is satisfied on H with h .

T11.9. For some language \mathcal{L} , if interpretations $D \cong H$, and assignments d for D and h for H are such that for any x , $\iota(d[x]) = h[x]$, then for any formula \mathcal{P} , $D_d[\mathcal{P}] = S$ iff $H_h[\mathcal{P}] = S$.

By induction on the number of operators in \mathcal{P} . Suppose $D \cong H$.

Basis: Suppose \mathcal{P} has no operator symbols and d and h are such that for any x , $\iota(d[x]) = h[x]$. If \mathcal{P} has no operator symbols, then it is sentence letter \mathcal{S} or an atomic $\mathcal{R}^n t_1 \dots t_n$ for relation symbol \mathcal{R}^n and terms $t_1 \dots t_n$. Suppose the former; then by **SF(s)**, $D_d[\mathcal{S}] = S$ iff $D[\mathcal{S}] = T$; since $D \cong H$ iff $H[\mathcal{S}] = T$; by **SF(s)**, iff $H_h[\mathcal{S}] = S$. Suppose the latter; by **SF(r)**, $D_d[\mathcal{R}^n t_1 \dots t_n] = S$ iff $\langle D_d[t_1] \dots D_d[t_n] \rangle \in D[\mathcal{R}^n]$; since $D \cong H$, iff $\langle \iota(D_d[t_1]) \dots \iota(D_d[t_n]) \rangle \in H[\mathcal{R}^n]$; since $D \cong H$ and $\iota(d[x]) = h[x]$, by T11.8, iff $\langle H_h[t_1] \dots H_h[t_n] \rangle \in H[\mathcal{R}^n]$; by **SF(r)**, iff $H_h[\mathcal{R}^n t_1 \dots t_n] = S$.

Assp: For any i , $0 \leq i < k$, for d and h such that for any x , $\iota(d[x]) = h[x]$ and \mathcal{P} with i operator symbols, $D_d[\mathcal{P}] = S$ iff $H_h[\mathcal{P}] = S$.

Show: For d and h such that for any x , $\iota(d[x]) = h[x]$ and \mathcal{P} with k operator symbols, $D_d[\mathcal{P}] = S$ iff $H_h[\mathcal{P}] = S$.

If \mathcal{P} has k operator symbols, then it is of the form $\sim \mathcal{A}$, $\mathcal{A} \rightarrow \mathcal{B}$, or $\forall x \mathcal{A}$ for variable x and formulas \mathcal{A} and \mathcal{B} with $< k$ operator symbols. Suppose for any x , $\iota(d[x]) = h[x]$.

(\sim) Suppose \mathcal{P} is of the form $\sim \mathcal{A}$. Then $D_d[\mathcal{P}] = S$ iff $D_d[\sim \mathcal{A}] = S$; by **SF(\sim)**, iff $D_d[\mathcal{A}] \neq S$; by assumption, iff $H_h[\mathcal{A}] \neq S$; by **SF(\sim)**, iff $H_h[\sim \mathcal{A}] = S$; iff $H_h[\mathcal{P}] = S$.

(\rightarrow) Homework.

(\forall) Suppose \mathcal{P} is of the form $\forall x \mathcal{A}$. Then $D_d[\mathcal{P}] = S$ iff $D_d[\forall x \mathcal{A}] = S$; by **SF(\forall)**, iff for any $m \in U_D$, $D_{d(x|m)}[\mathcal{A}] = S$. Similarly, $H_h[\mathcal{P}] = S$ iff $H_h[\forall x \mathcal{A}] = S$; by **SF(\forall)**, iff for any $n \in U_H$, $H_{h(x|n)}[\mathcal{A}] = S$. (i)

Suppose $H_h[\mathcal{P}] = S$ but $D_d[\mathcal{P}] \neq S$; then any $n \in U_H$ is such that $H_{h(x|n)}[\mathcal{A}] = S$, but there is some $m \in U_D$ such that $D_{d(x|m)}[\mathcal{A}] \neq S$. From the latter, insofar as $d(x|m)$ and $h(x|\iota(m))$ have each member related by ι , the assumption applies and, $H_{h(x|\iota(m))}[\mathcal{A}] \neq S$; so there is an $n \in U_H$ such that $H_{h(x|n)}[\mathcal{A}] \neq S$; this is impossible; reject the assumption: if $H_h[\mathcal{P}] = S$, then $D_d[\mathcal{P}] = S$. (ii) Similarly, [by homework] if $D_d[\mathcal{P}] = S$, then $H_h[\mathcal{P}] = S$. Hint: given $h(x|n)$, there must be an m such that $\iota(m) = n$; then $d(x|m)$ and $h(x|n)$ are related so that the assumption applies.

For d and h such that for any x , $\iota(d[x]) = h[x]$ and \mathcal{P} with k operator symbols, $D_d[\mathcal{P}] = S$ iff $H_h[\mathcal{P}] = S$.

Indct: For d and h such that for any x , $\iota(d[x]) = h[x]$, and any \mathcal{P} , $D_d[\mathcal{P}] = S$ iff $H_h[\mathcal{P}] = S$.

As often occurs, the most difficult case is for the quantifier. The key is that the assumption applies to $D_d[\mathcal{P}]$ and $H_h[\mathcal{P}]$ for *any* assignments d and h related so that for any x , $\iota(d[x]) = h[x]$. Supposing that d and h are so related, there is no reason to think that $d(x|m)$ and h remain in that relation. The problem is solved with a corresponding modification to h : with $d(x|m)$; we modify h so that the assignment to x simply is $\iota(m)$. Thus $d(x|m)$ and $h(x|\iota(m))$ are related so that the assumption applies.

Now it is a simple matter to show that isomorphic models are elementarily equivalent.

T11.10. If $D \cong H$, then $D \equiv H$.

Suppose $D \cong H$. By **TI**, $D[\mathcal{P}] \neq T$ iff there is some assignment d such that $D_d[\mathcal{P}] \neq S$; since $D \cong H$, where d and h are related as in T11.9, iff $H_h[\mathcal{P}] \neq S$; by **TI**, iff $H[\mathcal{P}] \neq T$. So $D[\mathcal{P}] = T$ iff $H[\mathcal{P}] = T$; and $D \equiv H$.

Thus it is only the structures of interpretations up to isomorphism that matter for the truth values of formulas. And such structures are completely sufficient to determine truth values of formulas. It is another question whether truth values of formulas are sufficient to determine models, even up to isomorphism.

***E11.9.** Complete the proof of T11.9. You should set up the complete induction, but may refer to the text, as the text refers to homework.

E11.10. (i) Explain what truth value the sentence $\forall x(Dx \rightarrow \exists y(Cy \wedge Pxy))$ has on interpretation I and then I' in example (I). Explain what truth values it has on I and then I' in example (J). (ii) Explain what truth value the sentence $S\emptyset + S\emptyset = SS\emptyset$ has on interpretations N and N' in example (K). Are these results as you expect? Explain.

11.4.2 When Equivalence implies Isomorphism

It turns out that when the universe of discourse is finite, elementary equivalence is sufficient to show isomorphism. Suppose U_D is finite and interpretations D and H are elementarily equivalent, so that every formula has the same truth value on the two interpretations. We find a sequence of formulas which contain sufficient information to show that D and H are isomorphic.

For some language \mathcal{L} , suppose $D \equiv H$ and $U_D = \{m_1, m_2 \dots m_n\}$. For an enumeration $x_1, x_2 \dots$ of the variables, consider some assignment d such that $d[x_1] = m_1$, $d[x_2] = m_2$, and \dots and $d[x_n] = m_n$, and let \mathcal{C}_0 be the open formula,

$$[(x_1 \neq x_2 \wedge x_1 \neq x_3 \wedge \dots \wedge x_1 \neq x_n) \wedge (x_2 \neq x_3 \wedge \dots \wedge x_2 \neq x_n) \wedge (x_{n-1} \neq x_n)] \wedge \forall v(v = x_1 \vee v = x_2 \vee \dots \vee v = x_n)$$

with appropriate parentheses. You should see this expression on analogy with quantity expressions from chapter 5 on translation. Its existential closure, that is, $\exists x_1 \exists x_2 \dots \exists x_n \mathcal{C}_0$ is true just when there are exactly n things.

Now consider an enumeration, $\mathcal{A}_1, \mathcal{A}_2 \dots$ of those atomic formulas in \mathcal{L} whose only variables are $x_1 \dots x_n$. And set $\mathcal{C}_i = \mathcal{C}_{i-1} \wedge \mathcal{A}_i$ if $D_d[\mathcal{A}_i] = S$, and otherwise, $\mathcal{C}_i = \mathcal{C}_{i-1} \wedge \sim \mathcal{A}_i$. It is easy to see that for any i , $D_d[\mathcal{C}_i] = S$. The argument is by induction on i .

T11.11. For any i , $D_d[\mathcal{C}_i] = S$.

Basis: For any a and b such that $1 \leq a, b \leq n$ and $a \neq b$, since x_a and x_b are assigned distinct members of U_D , $D_d[x_a = x_b] \neq S$; so by **SF**(\sim), $D_d[x_a \neq x_b] = S$; so by repeated applications of **SF**(\wedge), $D_d[(x_1 \neq x_2 \wedge x_1 \neq x_3 \wedge \dots \wedge x_1 \neq x_n) \wedge (x_2 \neq x_3 \wedge \dots \wedge x_2 \neq x_n) \wedge (x_{n-1} \neq x_n)] = S$. And since each member of U_D is assigned to some variable in $x_1 \dots x_n$, for any $m \in U_D$, there is some a , $1 \leq a \leq n$ such that $D_{d(v|m)}[v = x_a] = S$. So by repeated applications of **SF**(\vee), for any $m \in U_D$, $D_{d(v|m)}[v = x_1 \vee v = x_2 \vee \dots \vee v = x_n] = S$; so by **SF**(\forall), $D_d[\forall v(v = x_1 \vee v = x_2 \vee \dots \vee v = x_n)] = S$; so by **SF**(\wedge), $D_d[\mathcal{C}_0] = S$.

Assp: For any i , $0 \leq i < k$, $D_d[\mathcal{C}_i] = S$.

Show: $D_d[\mathcal{C}_k] = S$.

\mathcal{C}_k is of the form $\mathcal{C}_{k-1} \wedge \mathcal{A}_k$ or $\mathcal{C}_{k-1} \wedge \sim \mathcal{A}_k$. In the first case, by assumption, $D_d[\mathcal{C}_{k-1}] = S$, and by construction, $D_d[\mathcal{A}_k] = S$; so by **SF**(\wedge), $D_d[\mathcal{C}_{k-1} \wedge \mathcal{A}_k] = S$; which is to say, $D_d[\mathcal{C}_k] = S$. In the second case, again $D_d[\mathcal{C}_{k-1}] = S$; and by construction, $D_d[\mathcal{A}_k] \neq S$; so by **SF**(\sim), $D_d[\sim \mathcal{A}_k] = S$; so by **SF**(\wedge), $D_d[\mathcal{C}_{k-1} \wedge \sim \mathcal{A}_k] = S$; which is to say, $D_d[\mathcal{C}_k] = S$.

Indct: For any i , $D_d[\mathcal{C}_i] = S$.

So these formulas, though increasingly long, are all satisfied on assignment d .

Now, for the specification of an isomorphism between the interpretations, we set out to show there is a corresponding assignment h on which all the same expressions are satisfied. First, for any \mathcal{C}_i , consider its existential closure, $\exists x_1 \dots \exists x_n \mathcal{C}_i$. It is easy to see that for any \mathcal{C}_i , $H[\exists x_1 \dots \exists x_n \mathcal{C}_i] = T$. Suppose otherwise; then since $D \equiv H$, $D[\exists x_1 \dots \exists x_n \mathcal{C}_i] \neq T$; so by **TI**, there is some assignment d' such that $D_{d'}[\exists x_1 \dots \exists x_n \mathcal{C}_i] \neq S$; so, since the closure of \mathcal{C}_i has no free variables, by T8.4, $D_d[\exists x_1 \dots \exists x_n \mathcal{C}_i] \neq S$; so by repeated application of **SF**(\exists), $D_d[\mathcal{C}_i] \neq S$; but by T11.11, this is impossible; reject the assumption: $H[\exists x_1 \dots \exists x_n \mathcal{C}_i] = T$. When the existential is not satisfied on d , as we remove the quantifiers, in each case, the resultant formula without a quantifier is unsatisfied on $d(x|m)$ for any $m \in U_D$; so it is unsatisfied when $m = d[x]$ — so that the formula without the quantifier is unsatisfied on the original d . Observe that there are thus exactly n members of U_H : $H[\exists x_1 \dots \exists x_n \mathcal{C}_0] = T$; and, as we have already noted, this can be the case iff there are exactly n members of U_H .

Now for some assignment h' , let h range over assignments that differ from h' at most in assignment to $x_1 \dots x_n$. Set $\Omega_i = \{h \mid H_h[\mathcal{C}_i] = S\}$, and $\Omega = \bigcap_{i \geq 0} \Omega_i$. Observe: (i) No Ω_i is empty. Since $H[\exists x_1 \dots \exists x_n \mathcal{C}_i] = T$, by **TI**, for any assignment h^* , $H_{h^*}[\exists x_1 \dots \exists x_n \mathcal{C}_i] = S$; so $H_{h'}[\exists x_1 \dots \exists x_n \mathcal{C}_i] = S$; so by repeated applications of **SF**(\exists), there is some h such that $H_h[\mathcal{C}_i] = S$. When the quantifiers come off, the result is some assignment that differs at most in assignments to $x_1 \dots x_n$ and so some assignment in Ω_i . (ii) For any $j \geq i$, $\Omega_j \subseteq \Omega_i$. Suppose otherwise; then there is some h such that $h \in \Omega_j$ but $h \notin \Omega_i$; so by construction, $H_h[\mathcal{C}_j] = S$ but $H_h[\mathcal{C}_i] \neq S$; if $j = i$ this is impossible; so suppose $j > i$; then \mathcal{C}_j is of the sort, $\mathcal{C}_i \wedge \mathcal{B}_{i+1} \wedge \mathcal{B}_{i+2} \wedge \dots \wedge \mathcal{B}_j$ where $\mathcal{B}_{i+1} \dots \mathcal{B}_j$ are either atomics or negated atomics; so by repeated application of **SF**(\wedge), $H_h[\mathcal{C}_i] = S$; this is impossible; reject the assumption: $\Omega_j \subseteq \Omega_i$. (iii) Finally, there are at most finitely many assignments

of the sort h . Since any h differs from h' at most in assignments to $x_1 \dots x_n$, and there are just n members of U_H , there are n^n assignments of the sort h .

From these results it follows that Ω is non-empty. Suppose otherwise. Then for any h , there is some Ω_i such that $h \notin \Omega_i$. But there are only finitely many assignments of the sort h . So we may consider finitely many $\Omega_a \dots \Omega_b$ from which for any h there is some Ω_i such that $h \notin \Omega_i$. But where each subscript in $a \dots b$ is $\leq b$, for each Ω_i , $\Omega_b \subseteq \Omega_i$; and since each h is missing from at least one Ω_i , we have that Ω_b is therefore empty. Ω_b must lack each of the assignments missing from prior members of the sequence. But this is impossible; reject the assumption: Ω is not empty. So we have what we wanted: any h in Ω is an assignment that satisfies every \mathcal{C}_i .

Now we are ready to specify a mapping for our isomorphism! Indeed, we are ready to show,

T11.12. If $D \equiv H$ and U_D is finite, then $D \cong H$.

Suppose $D \equiv H$ and U_D is finite. Then there are Ω and formulas \mathcal{C}_i as above. For some particular $h \in \Omega$, for any i , $1 \leq i \leq n$, let $\iota(d[x_i]) = h[x_i]$. Since $h \in \Omega$, for any \mathcal{C}_i , $H_h[\mathcal{C}_i] = S$. So $H_h[\mathcal{C}_0] = S$. So h assigns each x_i to a different member of U_H , and ι is onto U_H , as it should be. We now set out to show that the other conditions for isomorphism are met.

Sentence letters. Since $D \equiv H$, for any sentence letter \mathcal{S} , $D[\mathcal{S}] = T$; iff $H[\mathcal{S}] = T$; so $D[\mathcal{S}] = H[\mathcal{S}]$.

Constants. We require that for any constant c , $D[c] = m_i$ iff $H[c] = \iota(m_i)$. (i)

For some constant c , suppose $D[c] = m_i$. Since $d[x_i] = m_i$, $\iota(m_i) = \iota(d[x_i]) = h[x_i]$. By **TA(c)**, $D_d[c] = D[c] = m_i$; and by **TA(v)**, $D_d[x_i] = d[x_i] = m_i$; so $D_d[c] = D_d[x_i]$; so $\langle D_d[c], D_d[x_i] \rangle \in D[=]$; so by **SF(r)**, $D_d[c = x_i] = S$; so $c = x_i$ is a conjunct in some \mathcal{C}_n ; but $H_h[\mathcal{C}_n] = S$; so by repeated applications of **SF(\wedge)**, $H_h[c = x_i] = S$; so by **SF(r)**, $\langle H_h[c], H_h[x_i] \rangle \in H[=]$; so $H_h[c] = H_h[x_i]$; but by **TA(c)**, $H_h[c] = H[c]$, and by **TA(v)**, $H_h[x_i] = h[x_i]$; so $H[c] = h[x_i]$; so $H[c] = \iota(m_i)$.

(ii) Suppose $D[c] \neq m_i$. As before, $\iota(m_i) = h[x_i]$; and $D_d[x_i] = m_i$. But by **TA(c)**, $D_d[c] = D[c]$; so $D_d[c] \neq m_i$; so $D_d[c] \neq D_d[x_i]$; so $\langle D_d[c], D_d[x_i] \rangle \notin D[=]$; so by **SF(r)**, $D_d[c = x_i] \neq S$; so $c \neq x_i$ is a conjunct in some \mathcal{C}_n ; but $H_h[\mathcal{C}_n] = S$; so by repeated applications of **SF(\wedge)**, $H_h[c \neq x_i] = S$; so by **SF(\sim)**, and **SF(r)**, $\langle H_h[c], H_h[x_i] \rangle \notin H[=]$; so $H_h[c] \neq H_h[x_i]$; but by **TA(c)**, $H_h[c] = H[c]$, and by **TA(v)**, $H_h[x_i] = h[x_i]$; so $H[c] \neq h[x_i]$; so $H[c] \neq \iota(m_i)$.

Relation Symbols. We require that for any relation symbol \mathcal{R}^n , $\langle m_a \dots m_b \rangle \in D[\mathcal{R}^n]$ iff $\langle \iota(m_a) \dots \iota(m_b) \rangle \in H[\mathcal{R}^n]$. (i) Suppose $\langle m_a \dots m_b \rangle \in D[\mathcal{R}^n]$. Since $d[x_a] = m_a$, and ... and $d[x_b] = m_b$ we have, $\iota(m_a) = \iota(d[x_a]) = h[x_a]$, and ... and $\iota(m_b) = \iota(d[x_b]) = h[x_b]$, and also by **TA(v)**, $D_d[x_a] = m_a$, and ... and $D_d[x_b] = m_b$; so $\langle D_d[x_a], \dots, D_d[x_b] \rangle \in D[\mathcal{R}^n]$; so by **SF(r)**, $D_d[\mathcal{R}^n x_a \dots x_b] = S$; so $\mathcal{R}^n x_a \dots x_b$ is a conjunct of some \mathcal{C}_n ; but $H_h[\mathcal{C}_n] = S$; so by repeated applications of **SF(\wedge)**, $H_h[\mathcal{R}^n x_a \dots x_b] = S$; so by **SF(r)**, $\langle H_h[x_a], \dots, H_h[x_b] \rangle \in H[\mathcal{R}^n]$; but by **TA(v)**, $H_h[x_a] = h[x_a] = \iota(m_a)$, and ... and $H_h[x_b] = h[x_b] = \iota(m_b)$; so $\langle \iota(m_a) \dots \iota(m_b) \rangle \in H[\mathcal{R}^n]$.

(ii) Suppose $\langle m_a \dots m_b \rangle \notin D[\mathcal{R}^n]$. As before, $\iota(m_a) = h[x_a]$, and ... and $\iota(m_b) = h[x_b]$; similarly, $D_d[x_a] = m_a$, and ... and $D_d[x_b] = m_b$; so $\langle D_d[x_a], \dots, D_d[x_b] \rangle \notin D[\mathcal{R}^n]$; so by **SF(r)**, $D_d[\mathcal{R}^n x_a \dots x_b] \neq S$; and $\sim \mathcal{R}^n x_a \dots x_b$ is a conjunct of some \mathcal{C}_n ; but $H_h[\mathcal{C}_n] = S$; so by repeated applications of **SF(\wedge)**, $H_h[\sim \mathcal{R}^n x_a \dots x_b] = S$; so by **SF(\sim)** and **SF(r)**, $\langle H_h[x_a], \dots, H_h[x_b] \rangle \notin H[\mathcal{R}^n]$; but as before, $H_h[x_a] = \iota(m_a)$, and ... and $H_h[x_b] = \iota(m_b)$; so $\langle \iota(m_a) \dots \iota(m_b) \rangle \notin H[\mathcal{R}^n]$.

Function symbols. We require that for any function symbol h^n , $\langle \langle m_a \dots m_b \rangle, m_c \rangle \in D[h^n]$ iff $\langle \langle \iota(m_a) \dots \iota(m_b) \rangle, \iota(m_c) \rangle \in H[h^n]$. (i) Suppose $\langle \langle m_a \dots m_b \rangle, m_c \rangle \in D[h^n]$. Since $d[x_a] = m_a$, and ... and $d[x_b] = m_b$, and $d[x_c] = m_c$, we have, $\iota(m_a) = \iota(d[x_a]) = h[x_a]$, and ... and $\iota(m_b) = \iota(d[x_b]) = h[x_b]$, and $\iota(m_c) = \iota(d[x_c]) = h[x_c]$; and also by **TA(v)**, $D_d[x_a] = m_a$, and ... and $D_d[x_b] = m_b$, and $D_d[x_c] = m_c$; so $\langle \langle D_d[x_a] \dots D_d[x_b] \rangle, D_d[x_c] \rangle \in D[h^n]$; so $D[h^n] \langle \langle D_d[x_a] \dots D_d[x_b] \rangle, D_d[x_c] \rangle = D_d[x_c]$; so by **TA(f)**, $D_d[h^n x_a \dots x_b] = D_d[x_c]$; so $\langle D_d[h^n x_a \dots x_b], D_d[x_c] \rangle \in D[=]$; so by **SF(r)**, $D_d[h^n x_a \dots x_b = x_c] = S$; so $h^n x_a \dots x_b = x_c$ is a conjunct of some \mathcal{C}_n ; but $H_h[\mathcal{C}_n] = S$; so by repeated applications of **SF(\wedge)**, $H_h[h^n x_a \dots x_b = x_c] = S$; so by **SF(r)**, $\langle H_h[h^n x_a \dots x_b], H_h[x_c] \rangle \in H[=]$; so $H_h[h^n x_a \dots x_b] = H_h[x_c]$; but by **TA(f)**, $H_h[h^n x_a \dots x_b] = H[h^n] \langle H_h[x_a] \dots H_h[x_b] \rangle$; so $H[h^n] \langle H_h[x_a] \dots H_h[x_b] \rangle = H_h[x_c]$; so $\langle \langle H_h[x_a] \dots H_h[x_b] \rangle, H_h[x_c] \rangle \in H[h^n]$; but by **TA(v)**, $H_h[x_a] = h[x_a] = \iota(m_a)$, and ... and $H_h[x_b] = h[x_b] = \iota(m_b)$, and $H_h[x_c] = h[x_c] = \iota(m_c)$; so $\langle \langle \iota(m_a) \dots \iota(m_b) \rangle, \iota(m_c) \rangle \in H[h^n]$.

(ii) Suppose $\langle \langle m_a \dots m_b \rangle, m_c \rangle \notin D[h^n]$. As before, $\iota(m_a) = h[x_a]$, and ... and $\iota(m_b) = h[x_b]$, and $\iota(m_c) = h[x_c]$; and also $D_d[x_a] = m_a$, and ... and $D_d[x_b] = m_b$, and $D_d[x_c] = m_c$; so $\langle \langle D_d[x_a] \dots D_d[x_b] \rangle, D_d[x_c] \rangle \notin D[h^n]$; so $D[h^n] \langle \langle D_d[x_a] \dots D_d[x_b] \rangle, D_d[x_c] \rangle \neq D_d[x_c]$; so by **TA(f)**, $D_d[h^n x_a \dots x_b] \neq D_d[x_c]$; so $\langle D_d[h^n x_a \dots x_b], D_d[x_c] \rangle \notin D[=]$; so by **SF(r)**, $D_d[h^n x_a \dots x_b = x_c] \neq S$; so $h^n x_a \dots x_b \neq x_c$ is a conjunct of some \mathcal{C}_n ; but $H_h[\mathcal{C}_n] = S$;

so by repeated applications of $\mathbf{SF}(\wedge)$, $H_h[h^n x_a \dots x_b \neq x_c] = S$; so by $\mathbf{SF}(\sim)$ and $\mathbf{SF}(r)$, $\langle H_h[h^n x_a \dots x_b], H_h[x_c] \rangle \notin H[=]$; so $H_h[h^n x_a \dots x_b] \neq H_h[x_c]$; but by $\mathbf{TA}(f)$, $H_h[h^n x_a \dots x_b] = H[h^n] \langle H_h[x_a] \dots H_h[x_b] \rangle$; and $H[h^n] \langle H_h[x_a] \dots H_h[x_b] \rangle \neq H_h[x_c]$; so $\langle \langle H_h[x_a] \dots H_h[x_b] \rangle, H_h[x_c] \rangle \notin H[h^n]$; but as before, $H_h[x_a] = \iota(m_a)$, and $\dots H_h[x_b] = \iota(m_b)$, and $H_h[x_c] = \iota(m_c)$; so $\langle \langle \iota(m_a) \dots \iota(m_b) \rangle, \iota(m_c) \rangle \notin H[h^n]$.

Thus elementary equivalence is sufficient for isomorphism in the case where the universe of discourse is finite. This is an interesting result! Consider any interpretation D with a finite U_D , and the set of formulas Δ (Delta) true on D . By our result, any other model H that makes all the formulas in Δ true — any H such that $D \equiv H$ — is such that D is isomorphic to H . As we shall shortly see, the situation is not so straightforward when U_D is infinite.

11.5 Compactness and Isomorphism

Compactness takes the link between syntax and semantics from adequacy, and combines it with the finite length of derivations. The result is simple enough, and puts us in a position to obtain a range of further conclusions.

ST A set Σ of formulas is *satisfiable* iff it has a model. Σ is *finitely satisfiable* iff every finite subset of it has a model.

Now compactness draws a connection between satisfiability, and finite satisfiability,

T11.13. A set of formulas Σ is satisfiable iff it is finitely satisfiable. (*compactness*)

(i) Suppose Σ is satisfiable, but not finitely satisfiable. Then there is some M such that $M[\Sigma] = T$; but there is a finite $\Sigma' \subseteq \Sigma$ such that any M' has $M'[\Sigma'] \neq T$; so $M[\Sigma'] \neq T$; so there is a formula $\mathcal{P} \in \Sigma'$ such that $M[\mathcal{P}] \neq T$; but since $\Sigma' \subseteq \Sigma$, $\mathcal{P} \in \Sigma$; so $M[\Sigma] \neq T$. This is impossible; reject the assumption: if Σ is satisfiable, then it is finitely satisfiable.

(ii) Suppose Σ is finitely satisfiable, but not satisfiable. By T10.17, if Σ is consistent, then it has a model M . But since Σ is not satisfiable, it has no model; so it is not consistent; so there is some formula \mathcal{A} such that $\Sigma \vdash \mathcal{A}$ and $\Sigma \vdash \sim \mathcal{A}$; consider derivations of these results, and the set Σ^* of premises of these derivations; since derivations are finite, Σ^* is finite; and since Σ^* includes all the premises, $\Sigma^* \vdash \mathcal{A}$ and $\Sigma^* \vdash \sim \mathcal{A}$; so by soundness, $\Sigma^* \models \mathcal{A}$ and $\Sigma^* \models \sim \mathcal{A}$; since Σ is finitely satisfiable, there must be some model M^*

such that $M^*[\Sigma^*] = T$; then by QV, $M^*[\mathcal{A}] = T$ and $M^*[\sim\mathcal{A}] = T$. But by T7.5, there is no M^* and \mathcal{A} such that $M^*[\mathcal{A}] = T$ and $M^*[\sim\mathcal{A}] = T$. This is impossible; reject the assumption: if Σ is finitely satisfiable, then it is satisfiable.

This theorem puts us in a position to reason from finite satisfiability to satisfiability. And the results of such reasoning may be startling. Consider again the standard interpretation N1 for \mathcal{L}_{NT}^{\leq} ,

$$N[\emptyset] = 0$$

$$N[<] = \{\langle m, n \rangle \mid m, n \in \mathbb{N}, \text{ and } m \text{ is less than } n\}$$

$$N[S] = \{\langle m, n \rangle \mid m, n \in \mathbb{N}, \text{ and } n \text{ is the successor of } m\}$$

$$N[+] = \{\langle \langle m, n \rangle, o \rangle \mid m, n, o \in \mathbb{N}, \text{ and } m \text{ plus } n \text{ equals } o\}$$

$$N[\times] = \{\langle \langle m, n \rangle, o \rangle \mid m, n, o \in \mathbb{N}, \text{ and } m \text{ times } n \text{ equals } o\}$$

Let Σ include all the sentences true on N. Now consider a language \mathcal{L}' like \mathcal{L}_{NT}^{\leq} but with the addition of a single constant c . And consider a set of sentences,

$$\Sigma' = \Sigma \cup \{\emptyset < c, S\emptyset < c, SS\emptyset < c, SSS\emptyset < c, SSSS\emptyset < c \dots\}$$

that is like Σ but with the addition of sentences asserting that c is greater than each integer. Clearly there is no such individual on the standard interpretation N. A finite subset of Σ' can have at most finitely many of these sentences as members. Thus a finite subset of Σ' is a subset of,

$$\Sigma \cup \{\emptyset < c, S\emptyset < c, SS\emptyset < c \dots \overbrace{SS \dots S}^{nS\text{'s}} \emptyset < c\}$$

for some n . But any such set is finitely satisfiable: Simply let the interpretation N' be like N but with $N[c] = n + 1$. It follows from T11.13 that Σ' has a model M' . But, further, by reasoning as for T10.16, a model M like M' but without the assignment to c is a model of \mathcal{L}_{NT}^{\leq} for all the sentences in Σ . So $N \equiv M$. But $N \not\equiv M$. For there must be a member of U_M with infinitely many members of U_M that stand in the $<$ relation to it. [Clean this up.]

It is worth observing that we have demonstrated the existence of a model for the completely nonstandard M by appeal to the more standard models M' for finite subsets of Σ' , through the compactness theorem. Also, it is now clear that there can be no analog to the result of the previous section for models with an infinite domain: For models with an infinite domain, elementary equivalence does not in general imply isomorphism. In the next section, we begin to see just how general this phenomenon is.

11.6 Submodels and Löwenheim-Skolem

The construction for the adequacy theorem gives us a countable model for any consistent set of sentences. Already, this suggests that sentences for some models do not always have the same size domain. Suppose Σ has a model I . Then by T10.4, Σ is consistent; so by T10.17, Σ has a model M — where the universe of this latter model is constructed of disjoint sets of integers. But this means that if Σ has a model at all, then it has a countable model, for we might order the members of U_M by, say, their least elements into a countable series. In fact, we might set up a function ι from each set in U_M to its least element, to establish an isomorphic interpretation M^* whose universe just is a set of integers. Then by T11.10, $M^*[\Sigma] = T$. So consider any model whose universe is not countable; it must be elementarily equivalent to one whose universe is a countable set of integers. But, of course, there is no one-to-one map from an uncountable universe to a countable one, so the models are not isomorphic.

This sort of result is strengthened in an interesting way by the Löwenheim-Skolem theorems. In the first form, we show that every model has a *submodel* with a countable domain.

11.6.1 Submodels

SM A model M of a language \mathcal{L} is a *submodel* of model N ($M \subseteq N$) iff

1. $U_M \subseteq U_N$,
2. For any sentence letter \mathcal{S} , $M[\mathcal{S}] = N[\mathcal{S}]$,
3. For any constant c of \mathcal{L} , $M(c) = N(c)$,
4. For any function symbol h^n of \mathcal{L} and any $\langle a_1 \dots a_n \rangle$ from the members of U_M , $\langle \langle a_1 \dots a_n \rangle, b \rangle \in M(h^n)$ iff $\langle \langle a_1 \dots a_n \rangle, b \rangle \in N(h^n)$,
5. For any relation symbol \mathcal{R}^n of \mathcal{L} and any $\langle a_1 \dots a_n \rangle$ from the members of U_M , $\langle a_1 \dots a_n \rangle \in M(\mathcal{R}^n)$ iff $\langle a_1 \dots a_n \rangle \in N(\mathcal{R}^n)$.

The interpretation of h^n and of \mathcal{R}^n on M are the *restrictions* of their respective interpretations on N . Observe that a submodel is completely determined, once its domain is given. A submodel is not well defined if it does not include objects for the interpretation of the constants, and the closure of its functions.

ES Say d is a variable assignment into the members of U_M . Then M is an *elementary submodel* of N iff $M \subseteq N$ and for any formula \mathcal{P} of \mathcal{L} and any such d , $M_d[\mathcal{P}] = S$ iff $N_d[\mathcal{P}] = S$.

If M is an elementary submodel of N , we write, $M \prec N$. First,

T11.14. If $M \prec N$ then for any sentence \mathcal{P} of \mathcal{L} , $M[\mathcal{P}] = T$ iff $N[\mathcal{P}] = T$.

Suppose $M \prec N$ and consider some sentence \mathcal{P} . By **TI**, $M[\mathcal{P}] = T$ iff $M_d[\mathcal{P}] = S$ for every assignment d into U_M ; since \mathcal{P} is a sentence, by T8.4, iff for some particular assignment h , $M_h[\mathcal{P}] = S$; since $M \prec N$, iff $N_h[\mathcal{P}] = S$; since \mathcal{P} is a sentence, by T8.4, iff $N_d[\mathcal{P}] = S$ for every d into U_N ; by **TI**, iff $N[\mathcal{P}] = T$. So $M[\mathcal{P}] = T$ iff $N[\mathcal{P}] = T$.

This much is clear. It is not so easy demonstrate the conditions under which a submodel is an elementary submodel. We make a beginning with the following theorems.

T11.15. Suppose $M \subseteq N$ and d is a variable assignment into U_M . Then for any term t , $M_d[t] = N_d[t]$.

By induction on the number of function symbols in t . Suppose $M \subseteq N$ and d is a variable assignment into U_M .

Basis: Suppose t has no function symbols. Then t is a variable x or a constant c . (i) Suppose t is a constant c . Then $M_d[t]$ is $M_d[c]$; by **TA(c)** this is $M[c]$; and since $M \subseteq N$, this is $N[c]$; by **TA(c)** again, this is $N_d[c]$; which is just $N_d[t]$. (ii) Suppose t is a variable x . Then $M_d[t]$ is $M_d[x]$; by **TA(v)**, this is $d[x]$ and by **TA(v)** again, this is $N_d[x]$; which is just $N_d[t]$.

Assp: For any i , $0 \leq i < k$, if t has i function symbols, then $M_d[t] = N_d[t]$.

Show: If t has k function symbols, $M_d[t] = N_d[t]$.

If t has k function symbols, then it is of the form $h^n t_1 \dots t_n$ for some terms $t_1 \dots t_n$ with $< k$ function symbols. So $M_d[t]$ is $M_d[h^n t_1 \dots t_n]$; by **TA(f)** this is $M[h^n](M_d[t_1], \dots, M_d[t_n])$; since $M \subseteq N$, with the assumption, this is $N[h^n](N_d[t_1], \dots, N_d[t_n])$; by **TA(f)**, this is $N_d[h^n t_1 \dots t_n]$; which is just $N_d[t]$.

Indct: For any term t , $M_d[t] = N_d[t]$.

T11.16. Suppose that $M \subseteq N$ and that for any formula \mathcal{P} and every variable assignment d such that $N_d[\exists x \mathcal{P}] = S$ there is an $m \in U_M$ such that $N_{d(x|m)}[\mathcal{P}] = S$. Then $M \prec N$.

Suppose $M \subseteq N$ and that for any formula \mathcal{P} and every variable assignment d such that $N_d[\exists x \mathcal{P}] = S$ there is an $m \in U_M$ such that $N_{d(x|m)}[\mathcal{P}] = S$. We show by induction on the number of operators in \mathcal{P} , that for d any assignment into the members of U_M , $M_d[\mathcal{P}] = S$ iff $N_d[\mathcal{P}] = S$.

Basis: If \mathcal{P} is atomic then it is either a sentence letter \mathcal{S} or an atomic of the form $\mathcal{R}^n t_1 \dots t_n$ for some relation symbol \mathcal{R}^n and terms $t_1 \dots t_n$.
 (i) Suppose \mathcal{P} is \mathcal{S} . Then $M_d[\mathcal{P}] = S$ iff $M_d[\mathcal{S}] = S$; by **SF(s)**, iff $M[\mathcal{S}] = T$; since $M \subseteq N$, iff $N[\mathcal{S}] = T$; by **SF(s)**, iff $N_d[\mathcal{S}] = S$; iff $N_d[\mathcal{P}] = S$. (ii) Suppose \mathcal{P} is $\mathcal{R}^n t_1 \dots t_n$. Then $M_d[\mathcal{P}] = S$ iff $M_d[\mathcal{R}^n t_1 \dots t_n] = S$; by **SF(r)** iff $\langle M_d[t_1], \dots, M_d[t_n] \rangle \in M[\mathcal{R}^n]$; since $M \subseteq N$ with T11.15 iff $\langle N_d[t_1], \dots, N_d[t_n] \rangle \in N[\mathcal{R}^n]$; by **SF(r)** iff $N_d[\mathcal{R}^n t_1 \dots t_n] = S$; iff $N_d[\mathcal{P}] = S$.

Assp: For any i , $0 \leq i < k$, for d any assignment into the members of U_M , if \mathcal{P} has i operator symbols, then $M_d[\mathcal{P}] = S$ iff $N_d[\mathcal{P}] = S$.

Show: If \mathcal{P} has k operator symbols, then for d any assignment into the members of U_M , $M_d[\mathcal{P}] = S$ iff $N_d[\mathcal{P}] = S$.

If \mathcal{P} has k operator symbols, then it is of the form $\sim \mathcal{A}$, $\mathcal{A} \rightarrow \mathcal{B}$ or $\exists x \mathcal{A}$ for variable x and formulas \mathcal{A} and \mathcal{B} with $< k$ operator symbols (treating universally quantified expressions as equivalent to existentially quantified ones). Let d be an assignment into the members of U_M .

(\sim) Suppose \mathcal{P} is $\sim \mathcal{A}$. $M_d[\mathcal{P}] = S$ iff $M_d[\sim \mathcal{A}] = S$; by **SF(\sim)** iff $M_d[\mathcal{A}] \neq S$; by assumption iff $N_d[\mathcal{A}] \neq S$; by **SF(\sim)** iff $N_d[\sim \mathcal{A}] = S$; iff $N_d[\mathcal{P}] = S$.

(\rightarrow) Homework.

(\exists) Suppose \mathcal{P} is $\exists x \mathcal{A}$. (i) Suppose $M_d[\mathcal{P}] = S$; then $M_d[\exists x \mathcal{A}] = S$; so by **SF(\exists)**, there is some $o \in U_M$ such that $M_{d(x|o)}[\mathcal{A}] = S$; so since $d(x|o)$ is an assignment into the members of U_M , by assumption, $N_{d(x|o)}[\mathcal{A}] = S$; so by **SF(\exists)**, $N_d[\exists x \mathcal{A}] = S$; so $N_d[\mathcal{P}] = S$. (ii) Suppose $N_d[\mathcal{P}] = S$; then $N_d[\exists x \mathcal{A}] = S$; so by the assumption of the theorem, there is an $m \in U_M$ such that $N_{d(x|m)}[\mathcal{A}] = S$; since $d(x|m)$ is an assignment into the members of U_M , by assumption $M_{d(x|m)}[\mathcal{A}] = S$; so by **SF(\exists)**, $M_d[\exists x \mathcal{A}] = S$; so $M_d[\mathcal{P}] = S$. So $M_d[\mathcal{P}] = S$ iff $N_d[\mathcal{P}] = S$.

In any case, if \mathcal{P} has k operator symbols, $M_d[\mathcal{P}] = S$ iff $N_d[\mathcal{P}] = S$.

Indct: For any \mathcal{P} , $M_d[\mathcal{P}] = S$ iff $N_d[\mathcal{P}] = S$.

So the result works, only so long as the quantifier case is guaranteed by “witnesses” for each existential claim in the universe of the submodel. The Löwenheim Skolem Theorem takes advantage of what we have done by producing a model in which these witnesses are present.

11.6.2 Downward Löwenheim-Skolem

The Löwenheim Skolem Theorem takes advantage of what we have just done by producing a model in which the required witnesses are present.

U_M Consider some model N and suppose a well-ordering of the objects of U_N . We construct a countable submodel M as follows. Let A_0 be a countable subset of U_N . We construct a series $A_0, A_1, A_2 \dots$. For a formula of the form $\exists x \mathcal{P}$ in the language \mathcal{L} , and a variable assignment d into A_i , let d' be like d for the initial segment that assigns to variables free in \mathcal{P} , and after assigns to a constant object m_0 in A_0 . Then for any \mathcal{P} and d such that $N_d[\exists x \mathcal{P}] = S$, find the first object o in the well-ordering of U_N such that $N_{d'(x|o)}[\mathcal{P}] = S$. To form A_{i+1} , augment A_i with all the objects obtained this way. Because there are countably many formulas, and countably many initial segments of the variable assignments, countably many objects are added to form A_{i+1} , and if A_i is countable, A_{i+1} is countable. Let U_M be $\bigcup_{i \geq 0} A_i$. Again, if each A_i is countable, U_M is countable.

There may be uncountably many variable assignments into a given A_i . However, for a given formula \mathcal{P} , no matter how many assignments there may be on which it is satisfied, there can be at most countably many initial segments of the sort d' . So at most countably many objects are added. The functions from formulas and variable assignments to individuals are *Skolem* functions, and we consider the closure of A under the set of all Skolem functions.

T11.17. With U_M constructed as above, a submodel M of N is well-defined.

Clearly $U_M \subseteq U_N$. For constants, consider the case when $\exists x \mathcal{P}$ is $\exists x(x = c)$; then at any stage i , $M_{d'(x|o)}[x = c] = S$ iff $o = M[c]$. So $M[c]$ is a member of A_{i+1} and so of U_M . Similarly, for functions, consider the case when $\exists x \mathcal{P}$ is $\exists x(h^n v_1 \dots v_n = x)$ for some function symbol h^n and variables $v_1 \dots v_n$ and x . For any d , consider some d' which assigns objects to each of the variables $v_1 \dots v_n$; then there there is some A_i such that d' is an assignment into it; so by construction, A_{i+1} includes an object o

such that $N_{d'(x|_0)}[h^n v_1 \dots v_n = x] = S$. But this must be the object $N[h^n](N_{d'}[v_1], \dots, N_{d'}[v_n])$.

T11.18. For any model N there is an $M \prec N$ such that M has a countable domain.
(*Löwenheim-Skolem*)

To show $M \prec N$ by T11.16, it remains to show that for any formula \mathcal{P} and every variable assignment d such that $N_d[\exists x \mathcal{P}] = S$ there is an $m \in U_M$ such that $N_{d(x|m)}[\mathcal{P}] = S$. But this is easy. Suppose $N_d[\exists x \mathcal{P}] = S$; then where d and d' agree on assignments to all the free variables in \mathcal{P} , by T8.4, $N_{d'}[\exists x \mathcal{P}] = S$. But all assignments from d' are elements of some A_i ; so by construction there is object m such that $N_{d'(x|m)}[\mathcal{P}] = S$ in A_{i+1} and so in U_M ; and since d and d' agree on their assignments to all the free variables in \mathcal{P} , by T8.4, $N_{d(x|m)}[\mathcal{P}] = S$.

[applications]

11.6.3 Upward Löwenheim-Skolem

Part IV

Logic and Arithmetic: Incompleteness and Computability

Introductory

In [Part III](#) we showed that our semantical and syntactical logical notions are related as we want them to be: exactly the same arguments are semantically valid as are provable. So,

$$(A) \quad \Gamma \vdash \mathcal{P} \quad \text{iff} \quad \Gamma \models \mathcal{P}$$

Thus our derivation system is both sound and adequate, as it should be. In this part, however, we encounter a series of limiting results — with particular application to arithmetic and computing.

First, it is natural to think mathematics is characterized by proofs and derivations. Thus, one might anticipate that there would be some system of premises Δ such that for any \mathcal{P} in \mathcal{L}_{NT} , we would have,

$$(B) \quad \Delta \vdash \mathcal{P} \quad \text{iff} \quad N[\mathcal{P}] = T$$

where N is the standard interpretation of number theory. Note the difference between our claims. In [\(A\)](#) derivations are matched to entailments; in [\(B\)](#) derivations (and so entailments) are matched to truths on an interpretation. Perhaps inspired by suspicions about the existence or nature of numbers, one might expect that derivations would even entirely replace the notion of mathematical truth. And Q or PA may already seem to be deductive systems as in [\(B\)](#). But we shall see that there can be no such deductive system. From Gödel's first incompleteness theorem, under certain constraints, no consistent deductive system has as consequences either \mathcal{P} or $\sim\mathcal{P}$ for every \mathcal{P} of \mathcal{L}_{NT} ; any such theory is (negation) *incomplete*. But then, subject to those constraints, any consistent deductive system must omit some truths of arithmetic from among its consequences.³

Suppose there is no one-to-one map between truths of arithmetic and consequences of our theories. Rather, we propose a theory $R(eal)$ whose consequences

³Gödel's groundbreaking paper is “[On the Formally Undecidable Propositions of *Principia Mathematica* and Related Systems.](#)”

are unproblematically true, and another theory I (deal) whose consequences outrun those of R and whose literal truth is therefore somehow suspect. Perhaps R is sufficient only for something like basic arithmetic, whereas I seems to quantify over all members of a far-flung infinite domain. Even though not itself a vehicle for truth, theory I may be useful under certain circumstances. Suppose,

- (a) For any \mathcal{P} in the scope of R , if \mathcal{P} is not true, then $R \vdash \sim \mathcal{P}$
- (b) I extends R : If $R \vdash \mathcal{P}$ then $I \vdash \mathcal{P}$
- (c) I is *consistent*: There is no \mathcal{P} such that $I \vdash \mathcal{P}$ and $I \vdash \sim \mathcal{P}$

Then theory I may be treated as a tool for achieving results in the scope of R : Suppose \mathcal{P} is a result in the scope of R , and $I \vdash \mathcal{P}$; then by consistency, $I \not\vdash \sim \mathcal{P}$; and because I extends R , $R \not\vdash \sim \mathcal{P}$; so by (a), \mathcal{P} is true. This is (a sketch of) the famous ‘Hilbert program’ for mathematics, which aims to make sense of infinitary mathematics based not on the truth but rather the consistency of theory I .

Because consistency is a syntactical result about proof systems, not itself about far-flung mathematical structures, one might have hoped for proofs of consistency from real, rather than ideal, theories. But Gödel’s second incompleteness theorem tells us that derivation systems extending PA cannot prove even their own consistency. So a weaker “real” theory will not be able to prove the consistency of PA and its extensions. But this seems to remove a demonstration of (c) and so to doom the Hilbert strategy.⁴

Even though no one derivation system has as consequences every mathematical truth, derivations remain useful, and mathematicians continue to do proofs! Given that we care about them, there is a question about the automation of proofs. Say a property or relation is *effectively decidable* iff there is an algorithm or program that for any given case, decides in a finite number of steps whether the property or relation applies. Abstracting from the limitations of particular computing devices, we shall

⁴We are familiar with the Pythagorean Theorem according to which the hypotenuse and sides of a right triangle are such that $a^2 = b^2 + c^2$. In the 1600s Fermat famously proposed that there are no integers a, b, c such that $a^n = b^n + c^n$ for $n > 2$; so, for example, there are no a, b, c such that $a^3 = b^3 + c^3$. In 1995 Andrew Wiles proved that this is so. But Wiles’s proof requires some fantastically abstract (and difficult) mathematics. Even if Wiles’s abstract theory (I) is not *true* Hilbert could still accept the demonstration of Fermat’s (real) theorem so long as I is shown to be *consistent*. Gödel’s result seems to doom this strategy. Of course, one might simply accept Wiles’s proof on the ground that his advanced mathematics is *true* so that its consequences are true as well. But this is a topic in philosophy of mathematics, not logic! See, for example, Shapiro, *Thinking About Mathematics* for an introduction to options in the philosophy of mathematics. Our limiting results may very well stimulate interest in that field!

identify a class of relations which are decidable. A corollary of Gödel's first theorem is that validity in systems like *ND* and *AD* is not among the decidable relations. Thus there are interesting limits on the decidable relations — where it is possible also to look back through this lense at Gödel's first theorem.

Chapter 12 lays down background required for chapters that follow. It begins with a discussion of *recursive functions*, and concludes with a few essential results, including a demonstration of the incompleteness of arithmetic. Chapters 13 and 14 deepen and extend those results in different ways. Chapter 13 includes Gödel's own argument for incompleteness from the construction of a sentence such that neither it nor its negation is provable, along with demonstration of the second incompleteness theorem. Chapter 14 again shows that there must exist a sentence such that neither it nor its negation is provable, but this time in association with an account of computability. Chapter 12 is required for either chapter 13 or chapter 14; but those chapters may be taken in either order.

Chapter 12

Recursive Functions and Q

A formal *theory* consists of a language, with some axioms and proof system. Q and PA are example theories. A theory T is (negation) *complete* iff for any sentence \mathcal{P} in its language \mathcal{L} , either $T \vdash \mathcal{P}$ or $T \vdash \sim\mathcal{P}$. Observe again that a derivation system is adequate when it proves every entailment of some premises. Our standard logic does that. Granting then, the adequacy of the logic, negation completeness is a matter of premises proving a sufficiently robust set of consequences — proving consequences which include \mathcal{P} or $\sim\mathcal{P}$ for every \mathcal{P} in the language.

Let us pause to consider why completeness matters. From E8.22, as soon as a language \mathcal{L} has an interpretation I , for any sentence \mathcal{P} in \mathcal{L} , either $I[\mathcal{P}] = \text{T}$ or $I[\sim\mathcal{P}] = \text{T}$. So if we set out to characterize by means of a theory the sentences that are true on some interpretation, our theory is bound to omit some sentences unless it is such that for any \mathcal{P} , either $T \vdash \mathcal{P}$ or $T \vdash \sim\mathcal{P}$. To the extent that we desire a characterization of all true sentences in some domain, of arithmetic or whatever, a complete theory is a desirable theory.¹

By itself negation completeness is no extraordinary thing. Consider a theory whose language has just two sentence letters A and B , along with the usual sentential operators and rules. The axioms of our theory are just A and $\sim B$. On a truth table, there is just one row where these axioms are both true, and on that row, any \mathcal{P} in the language is either T or F, so that one of \mathcal{P} or $\sim\mathcal{P}$ is T.

¹We thus restrict ourselves to consideration of *sentences* as theorems — or, equivalently treat open formulas as equivalent to their universal closures (see p. 489)

$A \ B$		A	$\sim B$	$/$	\mathcal{P}	$\sim \mathcal{P}$
(A)	T T	T	F		—	—
	T F	T	T		T/F	F/T
	F T	F	F		—	—
	F F	F	T		—	—

So for any \mathcal{P} , either $A, \sim B \models \mathcal{P}$ or $A, \sim B \models \sim \mathcal{P}$. But from the adequacy of the derivation system if $\Gamma \models \mathcal{P}$, then $\Gamma \vdash \mathcal{P}$ (T10.11, p. 485); so for any \mathcal{P} , either $A, \sim B \vdash \mathcal{P}$ or $A, \sim B \vdash \sim \mathcal{P}$. So our little theory with its restricted language is negation complete. Contrast this with a theory that has the same language and rules, but A as its only axiom. In this case, it is easy to see from truth tables that, say, $A \not\models B$ and $A \not\models \sim B$. But by soundness, if $\Gamma \vdash \mathcal{P}$ then $\Gamma \models \mathcal{P}$ (T10.3, p. 472); it follows that $A \not\models B$ and $A \not\models \sim B$. So this theory is not negation complete.

These theories are not very interesting. However, let $\mathcal{L}_{\text{NT}}^{S+}$ be a language like \mathcal{L}_{NT} whose only function symbols are S and $+$ (without \times), and let $\mathcal{L}_{\text{NT}}^{\times}$ be a language like \mathcal{L}_{NT} whose only function symbol is \times (without S and $+$). Then there is a complete theory for the arithmetic of $\mathcal{L}_{\text{NT}}^{S+}$ (*Presburger Arithmetic*), and a complete theory for the arithmetic of $\mathcal{L}_{\text{NT}}^{\times}$ (*Skolem Arithmetic*).² These are interesting and powerful theories. So, again, by itself negation completeness is not so extraordinary.

However there is no complete theory for the arithmetic of \mathcal{L}_{NT} which includes all of S , $+$ and \times . It turns out that theories are something like superheroes. In the ordinary case, a complete, and so a “happy” life is at least within reach. However, as theories acquire certain powers, they take on a “fatal flaw” just because of their powers — where this flaw makes completeness unattainable. On its face, theory Q does not appear particularly heroic. We have seen already in E7.20 that $Q \not\models x \times y = y \times x$ and $Q \not\models \sim(x \times y = y \times x)$. So Q is negation incomplete. PA which does prove $x \times y = y \times x$ along with other standard results in arithmetic might seem a more likely candidate for heroism. But Q includes already features sufficient to generate the flaw which appears also in any theories, like PA, which have at least all the powers of Q. It is our task to identify this flaw.

It turns out that a system with the powers of Q including S , $+$ and \times can express and capture all the *recursive* functions — and a system with these powers must have the fatal flaw. Thus, in this chapter we focus on the recursive functions, and associate them with powers of our formal systems. We begin in 12.1 saying what recursive functions are; then in 12.2 and 12.3 we show that Q expresses and captures the recursive functions; 12.4 extends the range of recursive functions including to a function

²For demonstration of completeness for Presburger Arithmetic, see Fisher, *Formal Number Theory and Computability* chapter 7 along with Boolos, Burgess and Jeffrey, *Computability and Logic* chapter 24.

for the identification of proofs. Finally, from these results, [section 12.5](#) concludes with some applications, including the incompleteness of arithmetic.

12.1 Recursive Functions

In [chapter 6](#) (p. 318) for Q and PA we had axioms of the sort,

- a. $x + \emptyset = x$
 - b. $x + Sy = S(x + y)$
- and
- c. $x \times \emptyset = \emptyset$
 - d. $x \times Sy = (x \times y) + x$

These enable us to derive $x + y$ and $x \times y$ for arbitrary values of x and y . Thus, by (a) $2 + 0 = 2$; so by (b) $2 + 1 = 3$; and by (b) again, $2 + 2 = 4$; and so forth. From the values at any one stage, we are in a position to calculate values at the next. And similarly for multiplication. From [E6.35](#) on p. 319, all this should be familiar.

While axioms thus supply effective means for calculating the values of these functions, the functions themselves might be similarly *identified* or *specified*. So, given a successor function $\text{suc}(x)$, we may identify the functions $\text{plus}(x, y)$:

- a. $\text{plus}(x, 0) = x$
- b. $\text{plus}(x, \text{suc}(y)) = \text{suc}(\text{plus}(x, y))$

and $\text{times}(x, y)$:

- c. $\text{times}(x, 0) = 0$
- d. $\text{times}(x, \text{suc}(y)) = \text{plus}(\text{times}(x, y), x)$

For ease of reading, let us typically revert to the more ordinary notation S , $+$ and \times for these functions, though we stick with the (emphasized) sans serif font. We have been thinking of functions as certain complex sets. Thus the plus function is a set with elements $\{\dots \langle \langle 2, 0 \rangle, 2 \rangle, \langle \langle 2, 1 \rangle, 3 \rangle, \langle \langle 2, 2 \rangle, 4 \rangle \dots\}$. Our specification picks out this set. From the first clause, $\text{plus}(x, y)$ has $\langle \langle 2, 0 \rangle, 2 \rangle$ as a member; given this, $\langle \langle 2, 1 \rangle, 3 \rangle$ is a member; and so forth. So the two clauses work together to specify the plus function. And similarly for times .

But these are not the only sets which may be specified this way. Thus the standard factorial $\text{fact}(x)$:

- e. $\text{fact}(0) = S0$
- f. $\text{fact}(Sy) = \text{fact}(y) \times Sy$

Again, we will often revert to the more typical $x!$ notation. Zero factorial is one. And the factorial of Sy multiplies $1 \times 2 \times \dots \times y$ by Sy . Similarly $\text{power}(x, y)$:

- g. $\text{power}(x, 0) = S0$
- h. $\text{power}(x, Sy) = \text{power}(x, y) \times x$

Any number to the power of zero is one ($x^0 = 1$). And then x^{Sy} multiplies $x^y = x \times x \dots \times x$ (y times) by another x .

We shall be interested in a class of functions, the *recursive* functions, which may be specified (in part) by this strategy. To make progress, we turn to a general account in five stages.

12.1.1 Initial Functions

Our examples have simply taken $\text{suc}(x)$ as given. Similarly, we shall require a stock of *initial functions*. There are initial functions of three different types.

First, we shall continue to include $\text{suc}(x)$ among the initial functions. So $\text{suc}(x) = \{ \langle 0, 1 \rangle, \langle 1, 2 \rangle, \langle 2, 3 \rangle \dots \}$.

Second, $\text{zero}(x)$ is a function which returns zero for any input value. So $\text{zero}(x) = \{ \langle 0, 0 \rangle, \langle 1, 0 \rangle, \langle 2, 0 \rangle \dots \}$.

Finally, for any $1 \leq k \leq j$, we require a collection of *identity* functions $\text{idnt}_k^j(x_1 \dots x_j)$. Each idnt_k^j function has j places and simply returns the value from the k^{th} place. Thus $\text{idnt}_2^3(4, 5, 6) = 5$. So, $\text{idnt}_2^3 = \{ \dots \langle \langle 1, 2, 3 \rangle, 2 \rangle \dots \langle \langle 4, 5, 6 \rangle, 5 \rangle \dots \}$. And in the simplest case, $\text{idnt}_1^1(x) = x$.

12.1.2 Composition

In our examples, we have let one function be *composed* from others — as when we consider $\text{times}(x, \text{suc}(y))$ or the like. Say \vec{x} , \vec{y} and \vec{z} represent (possibly empty) sequences of variables $x_1 \dots x_n$, $y_1 \dots y_n$ and $z_1 \dots z_n$.

CM Let $g(\vec{y})$ and $h(\vec{x}, w, \vec{z})$ be any functions. Then $f(\vec{x}, \vec{y}, \vec{z})$ is defined by *composition* from $g(\vec{y})$ and $h(\vec{x}, w, \vec{z})$ iff $f(\vec{x}, \vec{y}, \vec{z}) = h(\vec{x}, g(\vec{y}), \vec{z})$.

So $h(\vec{x}, w, \vec{z})$ gets its value in the w -place from $g(\vec{y})$. Here is a simple example: $f(y, z) = \text{zero}(y) + z$ results by composition from substitution of $\text{zero}(y)$ into $\text{plus}(w, z)$; so $\text{plus}(w, z)$ gets its value in the w -place from $\text{zero}(y)$. The result is the set with members, $\{ \dots \langle \langle 2, 0 \rangle, 0 \rangle, \langle \langle 2, 1 \rangle, 1 \rangle, \langle \langle 2, 2 \rangle, 2 \rangle \dots \}$. Given, say, input $\langle 2, 2 \rangle$, $\text{zero}(y)$ takes the input 2 and supplies a zero to the first place of the $\text{plus}(x, y)$ function; then from $\text{plus}(x, y)$ the result is a sum of 0 and 2 which is 2. And similarly in other cases. In contrast, $\text{zero}(x + y)$ has members $\{ \dots \langle \langle 2, 0 \rangle, 0 \rangle, \langle \langle 2, 1 \rangle, 0 \rangle, \langle \langle 2, 2 \rangle, 0 \rangle \dots \}$. You should see how this works.

12.1.3 Recursion

For each of our examples, $\text{plus}(x, y)$, $\text{times}(x, y)$, $\text{fact}(y)$, and $\text{power}(x, y)$, the value of the function is set for $y = 0$ and then for $\text{suc}(y)$ given its value for y . These illustrate the method of recursion. Put generally,

RC Given some functions $g(\vec{x})$ and $h(\vec{x}, y, u)$, $f(\vec{x}, y)$ is defined by *recursion* when,

$$\begin{aligned} f(\vec{x}, 0) &= g(\vec{x}) \\ f(\vec{x}, Sy) &= h(\vec{x}, y, f(\vec{x}, y)) \end{aligned}$$

We adopt the general scheme so that we can operate on recursive functions in a consistent way. However the general scheme includes flexibility that is not always required. In the cases of plus , times and power , \vec{x} reduces to a simple variable x ; for fact , \vec{x} disappears altogether, so that the function $g(\vec{x})$ reduces to a constant. And, as we shall see, the function $h(\vec{x}, y, u)$ need not depend on each of its variables x , y and u .

However, by clever use of our initial functions, it is possible to see each of our sample functions on this pattern. Thus for $\text{plus}(x, y)$, set $g\text{plus}(x) = \text{idnt}_1^1(x)$ and $h\text{plus}(x, y, u) = \text{suc}(\text{idnt}_3^3(x, y, u))$. Then,

$$\begin{aligned} \text{a'} \quad \text{plus}(x, 0) &= \text{idnt}_1^1(x) \\ \text{b'} \quad \text{plus}(x, Sy) &= \text{suc}(\text{idnt}_3^3(x, y, \text{plus}(x, y))) \end{aligned}$$

$\text{plus}(x, 0)$ is set to $g\text{plus}(x)$ and $\text{plus}(x, Sy)$ to $h\text{plus}(\vec{x}, y, \text{plus}(x, y))$. And these work as they should: $\text{idnt}_1^1(x) = x$ and $\text{suc}(\text{idnt}_3^3(x, y, \text{plus}(x, y)))$ is the same as $\text{suc}(\text{plus}(x, y))$. So we recover the conditions (a) and (b) from above.

Similarly, for $\text{times}(x, y)$, we can let $g\text{times}(x) = \text{zero}(x)$ and $h\text{times}(x, y, u) = \text{plus}(\text{idnt}_3^3(x, y, u), x)$. Then,

$$\begin{aligned} \text{c'} \quad \text{times}(x, 0) &= \text{zero}(x) \\ \text{d'} \quad \text{times}(x, Sy) &= \text{plus}(\text{idnt}_3^3(x, y, \text{times}(x, y)), x) \end{aligned}$$

So $\text{times}(x, 0) = 0$ and $\text{times}(x, Sy) = \text{plus}(\text{times}(x, y), x)$, and all is well. Observe that we would obtain the same result with $h\text{times}(x, y, u) = \text{plus}(u, \text{idnt}_1^3(x, y, u))$ or perhaps, $\text{plus}(\text{idnt}_3^3(x, y, u), \text{idnt}_1^3(x, y, u))$. The role of the identity functions in these formulations is to preserve h as a function of x , y and u , even where not each place is required — as the y -place is not required for times , and so to adhere to the official form which makes $h(x, y, u)$ a function of variables in each place. And there are these different ways to produce a function of all the variables to achieve the desired result.

In the case of $\text{fact}(y)$, there are no places to the \vec{x} vector. So $g\text{fact}$ is reduced to a zero-place function, that is, to a constant, and $h\text{fact}$ to a function of y and u .

In contrast, for $\text{times}(x, y)$, \bar{x} retains one place, so $\text{gtimes}(x)$ is not reduced to a constant; rather $\text{gtimes}(x) = \text{zero}(x)$ remains a full-fledged function — only one which returns the same value for every value of x . For $\text{fact}(y)$, set $\text{gfact} = \text{suc}(0)$ and $\text{hfact}(y, u) = \text{times}(u, \text{suc}(y))$. Again, identity functions work to preserve h as a function y , and u , even where not each place is required, in order to adhere to the official form. However, there is no requirement that the places be picked out by identity functions! In this case, each variable is used in a natural way, so identity functions are not required. It is left as an exercise to show that gfact and hfact identify the same function as constraints (e), (f), and to then to find $\text{gpower}(x)$ and $\text{hpower}(x, y, u)$.

12.1.4 Regular Minimization

So far, the method of our examples is easily matched to the capacities of computing devices. To find the value of a recursive function, begin by finding values for $y = 0$, and then calculate other values, from one stage to the next. But this is just what computing devices do well. So, for example, in the syntax of the Ruby language,³ given some functions $g(x)$ and $h(x, y, u)$,

```
(B)  1. def recfunc(a,b)
      2.   k = g(a)
      3.   for y in 0..b-1
      4.     k = h(a,y,k)
      5.   end
      6.   return k
      7. end
```

Using $g(a)$ this program calculates the value of k for input $(a, 0)$. And then, given the current value of y , and of k for input (a, y) , repeatedly uses h to calculate k for the next value of y , until it finally reaches and returns the value of k for input (a, b) . Observe that the calculation of $\text{recfunc}(a, b)$ requires exactly b iterations before it completes.

But there is a different repetitive mechanism available for computing devices — where this mechanism does not begin with a fixed number of iterations. Suppose we have some function $g(a, b)$ with values $g(a, 0), g(a, 1), g(a, 2) \dots$ where for each a there are at least some values of b such that $g(a, b) = 0$. For any value of a , suppose we want the least b such that $g(a, b) = 0$. Then we might reason as follows.

³Ruby is convenient insofar as it is interpreted and so easy to run, and available at no cost on multiple platforms (see <http://www.ruby-lang.org/en/downloads/>). We depend only on very basic features familiar from most any exposure to computing.

The Recursion Theorem

One may wonder whether our specification $f(x, y)$ by recursion from $g(\vec{x})$ and $h(\vec{x}, y, u)$ results in a unique function. However it is possible to show that it does.

RT Suppose $g(\vec{x})$ and $h(\vec{x}, y, u)$ are total functions on \mathbb{N} ; then there exists a unique function $f(\vec{x}, y)$ such that,

(r) For any \vec{x} and $y \in \omega$,

a. $f(\vec{x}, 0) = g(\vec{x})$

b. $f(\vec{x}, \text{succ}(y)) = h(\vec{x}, y, f(\vec{x}, y))$

We identify this function as a union of functions which may be constructed by means of g and h . The *domain* of a total function from r^n to s is always r^n ; for a partial function, the domain of the function is that subset of r^n whose members are matched by the function to members of s (for background see the [set theory](#) reference p. 117). Say a (maybe partial) function $s(\vec{x}, y)$ is *acceptable* iff,

- i. If $\langle \vec{x}, 0 \rangle \in \text{dom}(s)$, then $s(\vec{x}, 0) = g(\vec{x})$
- ii. If $\langle \vec{x}, \text{succ}(n) \rangle \in \text{dom}(s)$, then $\langle \vec{x}, n \rangle \in \text{dom}(s)$ and $s(\vec{x}, \text{succ}(n)) = h(\vec{x}, n, s(\vec{x}, n))$

A function with members $\{\langle \vec{x}, 0 \rangle, g(\vec{x}) \rangle, \langle \vec{x}, 1 \rangle, h(\vec{x}, 0, g(\vec{x})) \rangle\}$ would satisfy (i) and (ii). A function which satisfies (r) is acceptable, though not every function which is acceptable satisfies (r); we show that exactly one acceptable function satisfies (r). Let F be the collection of all acceptable functions, and f be $\bigcup F$. Thus $\langle \vec{x}, n \rangle, a \rangle \in f$ iff $\langle \vec{x}, n \rangle, a \rangle$ is a member of some acceptable s ; iff $s(\vec{x}, n) = a$ for some acceptable s . We sketch reasoning to show that f has the right features.

- I. For any acceptable s and s' , if $\langle \vec{x}, n \rangle, a \rangle \in s$ and $\langle \vec{x}, n \rangle, b \rangle \in s'$, then $a = b$. By induction on n : Suppose $\langle \vec{x}, 0 \rangle, a \rangle \in s$ and $\langle \vec{x}, 0 \rangle, b \rangle \in s'$; then by (i), $a = b = g(\vec{x})$. Assume that if $\langle \vec{x}, k \rangle, a \rangle \in s$ and $\langle \vec{x}, k \rangle, b \rangle \in s'$ then $a = b$. Show that if $\langle \vec{x}, \text{succ}(k) \rangle, c \rangle \in s$ and $\langle \vec{x}, \text{succ}(k) \rangle, d \rangle \in s'$ then $c = d$. Suppose $\langle \vec{x}, \text{succ}(k) \rangle, c \rangle \in s$ and $\langle \vec{x}, \text{succ}(k) \rangle, d \rangle \in s'$. Then by (ii) $c = h(\vec{x}, k, s(\vec{x}, k))$ and $d = h(\vec{x}, k, s'(\vec{x}, k))$. But by assumption $s(\vec{x}, k) = s'(\vec{x}, k)$; so $c = d$.
- II. $\text{dom}(f)$ includes every $\langle \vec{x}, n \rangle$. By induction on n : For any \vec{x} , $\{\langle \vec{x}, 0 \rangle, g(\vec{x}) \rangle\}$ is itself an acceptable function. Assume that for any \vec{x} , $\langle \vec{x}, k \rangle \in \text{dom}(f)$. Show that for any \vec{x} , $\langle \vec{x}, \text{succ}(k) \rangle \in \text{dom}(f)$. Suppose otherwise, and consider a function, $s = f \cup \{\langle \vec{x}, \text{succ}(k) \rangle, h(\vec{x}, k, f(\vec{x}, k)) \rangle\}$. But we may show that s so defined is an acceptable function; and since s is acceptable, it is a subset of f ; so $\langle \vec{x}, \text{succ}(k) \rangle \in \text{dom}(f)$. Reject the assumption.
- III. Now by (I), if $\langle \vec{x}, n \rangle, a \rangle \in f$ and $\langle \vec{x}, n \rangle, b \rangle \in f$, then $a = b$; so f is a function; and by (II) the domain of f includes every $\langle \vec{x}, n \rangle$; by construction it is easy to see that f is itself acceptable. From these, f satisfies (r). Suppose f' satisfies (r); then f' is acceptable; so by construction, f' is a subset of f ; but since f' satisfies (r), it's domain includes every $\langle \vec{x}, n \rangle$; so $f' = f$. So (r) is uniquely satisfied.

*We employ *weak* induction from the [induction schemes](#) reference p. 388. Enderton, *Elements of Set Theory*, and Drake and Singh, *Intermediate Set Theory*, include nice discussions of this result.

```

1. def minfunc(a)
2.   y = 0
3.   until g(a,y) == 0
(C)  4.     y = y+1
5.   end
6.   return y
7. end

```

This program begins with $y = 0$ and tests each value of $g(a, y)$ until it returns a value of 0. Once it finds this value, $\text{minfunc}(a)$ is set equal to y . Given $g(a, b)$, then, $\text{minfunc}(a)$ calculates a function which returns some value of y for any input value a .

But, as before, we might reason similarly to *specify* functions so calculated. For this, recall that a function is *total* iff it is defined on all members of its domain. Say a function $g(\vec{x}, y)$ is *regular* iff it is total and for all values of \vec{x} there is at least one y such that $g(\vec{x}, y) = 0$. Then,

RM If $g(\vec{x}, y)$ is a regular function, the function $f(\vec{x}) = \mu y[g(\vec{x}, y)]$ which for each \vec{x} takes as its value the least y such that $g(\vec{x}, y) = 0$ is defined by *regular minimization* from $g(\vec{x}, y)$.

For a simple example, consider a domain which consists of nonempty sets of integers with $g(x, y)$ such that $g(x, y) = 0$ if $y \in x$ and otherwise $g(x, y) = 1$. Then for any set x , $f(x) = \mu y[g(x, y)]$ is the least element of x .

12.1.5 Final Definition

Finally, our sample functions are *cumulative*. Thus $\text{plus}(x, y)$ depends on $\text{suc}(x)$; $\text{times}(x, y)$, on $\text{plus}(x, y)$, and so forth. We are thus led to our final account.

RF A function f_k is *recursive* iff there is a series of functions f_0, f_1, \dots, f_k such that for any $i \leq k$,

- (i) f_i is an initial function $\text{suc}(x)$, $\text{zero}(x)$ or $\text{idnt}_k^i(x_1 \dots x_i)$.
- (c) There are $a, b < i$ such that $f_i(\vec{x}, \vec{y}, \vec{z})$ results by composition from $f_a(\vec{y})$ and $f_b(\vec{x}, w, \vec{z})$.
- (r) There are $a, b < i$ such that $f_i(\vec{x}, y)$ results by recursion from $f_a(\vec{x})$ and $f_b(\vec{x}, y, u)$.
- (m) There is some $a < i$ such that $f_i(\vec{x})$ results by regular minimization from $f_a(\vec{x}, y)$.

If there is a series of functions $f_0, f_1 \dots f_k$ such that for any $i \leq k$, just (i), (c) or (r), then (PR) f_k is *primitive recursive*.

So any recursive function results from a series of functions each of which satisfies one of these conditions. And such a series demonstrates that its members are recursive. For a simple example, plus is primitive recursive.

- | | | |
|-----|---|------------------|
| | 1. $\text{idnt}_1^1(x)$ | initial function |
| | 2. $\text{idnt}_3^3(x, y, u)$ | initial function |
| (D) | 3. $\text{suc}(w)$ | initial function |
| | 4. $\text{suc}(\text{idnt}_3^3(x, y, u))$ | 2,3 composition |
| | 5. $\text{plus}(x, y)$ | 1,4 recursion |

From this list by itself, one might reasonably wonder whether $\text{plus}(x, y)$, so defined, is the addition function we know and love. What follows, given primitive recursive functions $\text{idnt}_1^1(x)$ and $\text{suc}(\text{idnt}_3^3(x, y, u))$ is that a primitive recursive function results by recursion from them. It turns out that this is the addition function. It is left as an exercise to exhibit $\text{times}(x, y)$, $\text{fact}(x)$ and $\text{power}(x, y)$ as primitive recursive as well.

*E12.1. (a) Show that the proposed gfact and $\text{hfact}(y, u)$ result in conditions (e) and (f). Then (b) produce a definition for $\text{power}(x, y)$ by finding functions $\text{gpower}(x)$, and $\text{hpower}(x, y, u)$ and then show that they have the same result as conditions (g) and (h).

E12.2. Generate a sequence of functions sufficient to show that $\text{power}(x, y)$ is primitive recursive.

E12.3. Install some convenient version of Ruby on your computing platform (see <http://www.ruby-lang.org/en/downloads/>) and open `recursive1.rb` from the text website (<http://rocket.csusb.edu/~troy/int-ml.html>). Extend the sequence of functions started there to include $\text{fact}(x)$ and $\text{power}(x, y)$. Calculate some values of these functions and print the results, along with your program (do not worry if these latter functions run slowly for even moderate values of x and y). This assignment does not require any particular computing expertise — especially, there should be no appeal to functions except from earlier in the chain. (This exercise suggests a point, to be developed in chapter 14, that recursive functions are *computable*.)

12.2 Expressing Recursive Functions

Having identified the recursive functions, we turn now to the first of two powers to be associated with theory incompleteness. In this case, it is an *expressive* power. Say a theory is *sound* iff its axioms are true and its proof system is sound. So all the theorems of a sound theory are true. Then we shall be able to show that if a theory is sound and its interpreted language *expresses* all the recursive functions, it must be negation incomplete. In this section, then, we show that \mathcal{L}_{NT} , on its standard interpretation, expresses the recursive functions.

12.2.1 Definition and Basic Results

For a language \mathcal{L} and interpretation I , suppose that for each $m \in U$, a variable-free term \bar{m} is such that, in the sense of definition AI, $I(\bar{m}) = m$ — so for any variable assignment d , $I_d[\bar{m}] = m$. The simplest way for this to happen is if each $m \in U$ has exactly one constant assigned to it; then for any m , \bar{m} is the constant to which m is assigned. But the standard interpretation for number theory N also has the special feature that variable-free terms are assigned to each member of U . On this interpretation different variable-free terms may be assigned the same object (as $S\emptyset$ and $S\emptyset + S\emptyset$ are each assigned 2). However, on the standard interpretation for number theory, for any n , we simply take as \bar{n} , $S \dots S\emptyset$ with n repetitions of the successor operator. So $\bar{0}$ abbreviates the term \emptyset , $\bar{1}$ the term $S\emptyset$, etc.

Given this, we shall say that a formula $\mathcal{R}(x)$ *expresses* a relation $R(x)$ on interpretation I , just in case if $m \in R$ then $I[\mathcal{R}(\bar{m})] = T$ and if $m \notin R$ then $I[\sim \mathcal{R}(\bar{m})] = T$. So the formula is true when the individual is a member of the relation and false when it is not. To express a relation on an interpretation, a formula must “say” which individuals fall under the relation. Expressing a relation is closely related to translation. A formula $\mathcal{R}(x)$ expresses a relation $R(x)$ when every sentence $\mathcal{R}(\bar{m})$ is a good translation of the sentence $m \in R$ on the single intended interpretation I (compare chapter 5). So there is a single intended interpretation I , and a corresponding class of good translations when $\mathcal{R}(x)$ expresses $R(x)$ on the interpretation I . Thus, generalizing,

EXr For any language \mathcal{L} , interpretation I , and objects $m_1 \dots m_n \in U$, relation $R(x_1 \dots x_n)$ is *expressed* by formula $\mathcal{R}(x_1 \dots x_n)$ iff,

- (i) If $\langle m_1 \dots m_n \rangle \in R$ then $I[\mathcal{R}(\bar{m}_1 \dots \bar{m}_n)] = T$
- (ii) If $\langle m_1 \dots m_n \rangle \notin R$ then $I[\sim \mathcal{R}(\bar{m}_1 \dots \bar{m}_n)] = T$

Similarly, a one-place function $f(x)$ has members of the sort $\langle x, v \rangle$ and so is really a kind of two-place relation. Thus to express a function $f(x)$, we require a formula $\mathcal{F}(x, v)$ where if $\langle m, a \rangle \in f$, then $I[\mathcal{F}(\bar{m}, \bar{a})] = T$. It would be natural to go on to require that if $\langle m, a \rangle \notin f$ then $I[\sim \mathcal{F}(\bar{m}, \bar{a})] = T$. However this is not necessary once we build in another feature of functions — that they have a *unique* output for each input value. Thus we shall require,

EXf For any language \mathcal{L} , interpretation I , and objects $m_1 \dots m_n, a \in U$, function $f(x_1 \dots x_n)$ is *expressed* by formula $\mathcal{F}(x_1 \dots x_n, v)$ iff,

if $\langle m_1 \dots m_n, a \rangle \in f$ then

$$(i) \quad I[\mathcal{F}(\bar{m}_1 \dots \bar{m}_n, \bar{a})] = T$$

$$(ii) \quad I[\forall z (\mathcal{F}(\bar{m}_1 \dots \bar{m}_n, z) \rightarrow z = \bar{a})] = T$$

From (i), \mathcal{F} is true for \bar{a} ; from (ii) any z for for which it is true is identical to \bar{a} .

Let us illustrate these definitions with some first applications. First, on any interpretation with the required variable-free terms, the formula $x = y$ expresses the equality relation $EQ(x, y)$. For if $\langle m, n \rangle \in EQ$ then $I[\bar{m}] = I[\bar{n}]$ so that $I[\bar{m} = \bar{n}] = T$; and if $\langle m, n \rangle \notin EQ$ then $I[\bar{m}] \neq I[\bar{n}]$ so that $I[\bar{m} \neq \bar{n}] = T$. This works because $I[=]$ just is the equality relation EQ .⁴ Similarly, on the standard interpretation N for number theory, $suc(x)$ is expressed by $Sx = v$, $plus(x, y)$ by $x + y = v$, and $times(x, y)$ by $x \times y = v$. Taking just the addition case, suppose $\langle m, n, a \rangle \in plus$; then $N[\bar{m} + \bar{n} = \bar{a}] = T$. And because addition is a function, $N[\forall z ((\bar{m} + \bar{n} = z) \rightarrow z = \bar{a})] = T$. Again, this works because $N[+]$ just is the plus function. And similarly in the other cases. Put more generally,

T12.1. For an interpretation with the required variable-free terms assigned to members of the universe: (a) If \mathcal{R} is a relation symbol and R is a relation, and $I[\mathcal{R}] = R(x_1 \dots x_n)$, then $R(x_1 \dots x_n)$ is expressed by $\mathcal{R}x_1 \dots x_n$. And (b) if h is a function symbol and h is a function and $I[h] = h(x_1 \dots x_n)$ then $h(x_1 \dots x_n)$ is expressed by $hx_1 \dots x_n = v$.

It is possible to argue semantically for these claims. However, as for translation, we take the project of demonstrating expression to be one of *providing* or supplying relevant formulas. So the theorem is immediate.

⁴Observe that inside the square brackets ‘=’ is a relation symbol of the object language whose interpretation is built into I ; outside square brackets ‘=’ is a metalinguistic symbol used to indicate equality.

Also, as we have suggested, (i) and (ii) of condition **EXf** taken together are sufficient to generate a condition like **EXr(ii)**. Recall from the **set theory** reference (p. 117) that a function is *total* just in case it has an output for any input.

T12.2. Suppose total function $f(x_1 \dots x_n)$ is expressed by formula $\mathcal{F}(x_1 \dots x_n, y)$; then if $\langle \langle m_1 \dots m_n \rangle, a \rangle \notin f$, $I[\sim \mathcal{F}(\bar{m}_1 \dots \bar{m}_n, \bar{a})] = T$.

For simplicity, consider just a one-place function $f(x)$. Suppose $f(x)$ is expressed by $\mathcal{F}(x, y)$ and $\langle m, a \rangle \notin f$. Then since f is total, there is some b such that $\langle m, b \rangle \in f$ for $a \neq b$ and so $\langle a, b \rangle \notin \text{EQ}$. Suppose $I[\sim \mathcal{F}(\bar{m}, \bar{a})] \neq T$; then by **TI**, for some d , $I_d[\sim \mathcal{F}(\bar{m}, \bar{a})] \neq S$; let h be a particular assignment of this sort; so $I_h[\sim \mathcal{F}(\bar{m}, \bar{a})] \neq S$; so by **SF**(\sim), $I_h[\mathcal{F}(\bar{m}, \bar{a})] = S$.

But since $\langle m, b \rangle \in f$ by **EXf(ii)**, $I[\forall z(\mathcal{F}(\bar{m}, z) \rightarrow z = \bar{b})] = T$; so by **TI**, for any d , $I_d[\forall z(\mathcal{F}(\bar{m}, z) \rightarrow z = \bar{b})] = S$; so $I_h[\forall z(\mathcal{F}(\bar{m}, z) \rightarrow z = \bar{b})] = S$; so by **SF**(\forall), $I_{h(z|a)}[\mathcal{F}(\bar{m}, z) \rightarrow z = \bar{b}] = S$; so since $I_h[\bar{a}] = a$, by T10.2, $I_h[\mathcal{F}(\bar{m}, \bar{a}) \rightarrow \bar{a} = \bar{b}] = S$; so by **SF**(\rightarrow), $I_h[\mathcal{F}(\bar{m}, \bar{a})] \neq S$ or $I_h[\bar{a} = \bar{b}] = S$; so $I_h[\bar{a} = \bar{b}] = S$; but $I_h[\bar{a}] = a$ and $I_h[\bar{b}] = b$; so by **SF**(r), $\langle a, b \rangle \in I[=]$; so $\langle a, b \rangle \in \text{EQ}$. This is impossible; reject the assumption: If $f(x)$ is expressed by $\mathcal{F}(x, y)$ and $\langle m, a \rangle \notin f$, then $I[\sim \mathcal{F}(\bar{m}, \bar{a})] = T$.

So if both $\langle m, a \rangle \notin f$ and $I[\sim \mathcal{F}(\bar{m}, \bar{a})] \neq T$, with condition **EXf(i)**, we end up with an assignment where both $I_h[\mathcal{F}(\bar{m}, \bar{a})] = S$ and $I_h[\mathcal{F}(\bar{m}, \bar{b})] = S$. But this violates the uniqueness constraint **EXf(ii)**. So if $\langle m, a \rangle \notin f$ then $I[\sim \mathcal{F}(\bar{m}, \bar{a})] = T$. So this gives us the same kind of constraint for functions as for relations.

E12.4. Provide semantic arguments to prove both parts of T12.1. So, for the first part assume that $I[\mathcal{R}(x_1 \dots x_n)] = R(x_1 \dots x_n)$. Then show (i) if $\langle m_1 \dots m_n \rangle \in R$ then $I[\mathcal{R}(\bar{m}_1 \dots \bar{m}_n)] = T$; and (ii) if $\langle m_1 \dots m_n \rangle \notin R$ then $I[\sim \mathcal{R}(\bar{m}_1 \dots \bar{m}_n)] = T$. And similarly for the second part based on **EXf**, where you may treat $\langle \langle m_1 \dots m_n \rangle, a \rangle$ as the same object as $\langle m_1 \dots m_n, a \rangle$.

12.2.2 Core Result

So far, on interpretation N , we have been able to express the relation **eq**, and the functions, **suc**, **plus**, and **times**. But our aim is to show that, on the standard interpretation N of \mathcal{L}_{NT} , every recursive function $f(\vec{x})$ is expressed by some formula $\mathcal{F}(\vec{x}, v)$.

But it is not obvious that this can be done. At least some functions must remain inexpressible in any language that has a countable vocabulary, and so in \mathcal{L}_{NT} . We shall see a concrete example later in the chapter. For now, consider a straightforward

diagonal argument. By reasoning as from T10.7 (p. 478) there is an enumeration of all the formulas in a countable language. Isolate just formulas $\mathcal{P}_0, \mathcal{P}_1, \mathcal{P}_2, \dots$ that express functions of one variable, and consider the functions $f_0(x), f_1(x), f_2(x), \dots$ so expressed. These are all the expressible functions of one variable. Consider a grid with the functions listed down the left-hand column, and their values for each integer from left-to-right.

	0	1	2	...
$f_0(x)$	$f_0(0)$	$f_0(1)$	$f_0(2)$	
$f_1(x)$	$f_1(0)$	$f_1(1)$	$f_1(2)$	
$f_2(x)$	$f_2(0)$	$f_2(1)$	$f_2(2)$	
\vdots				

Moving along the diagonal, consider a function $f_d(x)$ such that for any n , $f_d(n) = f_n(n) + 1$. So $f_d(x)$ is $\{\langle 0, f_0(0) + 1 \rangle, \langle 1, f_1(1) + 1 \rangle, \langle 2, f_2(2) + 1 \rangle, \dots\}$. So for any integer n , this function finds the value of f_n along the diagonal, and adds one. But $f_d(x)$ cannot be any of the expressible functions. It differs from $f_0(x)$ insofar as $f_d(0) \neq f_0(0)$; it differs from $f_1(x)$ insofar as $f_d(1) \neq f_1(1)$; and so forth. So $f_d(x)$ is an inexpressible function. Though it has a unique output for every input value, there is no finite formula sufficient to express it.

We have already seen that $\text{plus}(x, y)$ and $\text{times}(x, y)$ are expressible in \mathcal{L}_{NT} . But there is no obvious mechanism in \mathcal{L}_{NT} to express, say, $\text{fact}(x)$. Given that not all functions are expressible, it is a significant matter, then, to see that all the recursive functions are expressible with interpretation N in \mathcal{L}_{NT} . Our main argument shall be an induction on the sequence of recursive functions. For one key case, we defer discussion into the next section.

T12.3. On the standard interpretation N of \mathcal{L}_{NT} , each recursive function $f(\vec{x})$ is expressed by some formula $\mathcal{F}(\vec{x}, v)$.

For any recursive function f_a there is a sequence of functions f_0, f_1, \dots, f_a such that each member is an initial function or arises from previous members by composition, recursion or regular minimization. By induction on functions in this sequence.

Basis: f_0 is an initial function $\text{suc}(x)$, $\text{zero}(x)$, or $\text{idnt}_k^j(x_1 \dots x_j)$.

(s) f_0 is $\text{suc}(x)$. Then by T12.1, f_0 is expressed by $\mathcal{F}(x, v) =_{\text{def}} Sx = v$.

(z) f_0 is $\text{zero}(x)$. Then f_0 is expressed by $\mathcal{F}(x, v) =_{\text{def}} x = x \wedge v = \emptyset$.

Suppose $\langle m, a \rangle \in \text{zero}$. Then since a is zero, $N[\overline{m} = \overline{m} \wedge \overline{a} = \emptyset] = \text{T}$.

And any z that is zero is equal to a — so that $N[\forall z(\bar{m} = \bar{m} \wedge z = \emptyset \rightarrow z = \bar{a})] = T$.

- (i) f_0 is $\text{idnt}_k^i(x_1 \dots x_j)$. Then f_0 is expressed by $\mathcal{F}(x_1 \dots x_j, v) =_{\text{def}} (x_1 = x_1 \wedge \dots \wedge x_j = x_j) \wedge x_k = v$.⁵ Suppose $\langle \langle m_1 \dots m_j \rangle, a \rangle \in \text{idnt}_k^i$. Then since $a = m_k$, $N[(\bar{m}_1 = \bar{m}_1 \wedge \dots \wedge \bar{m}_j = \bar{m}_j) \wedge \bar{m}_k = \bar{a}] = T$. And any $z = m_k$ is equal to a — so that $N[\forall z((\bar{m}_1 = \bar{m}_1 \wedge \dots \wedge \bar{m}_j = \bar{m}_j \wedge \bar{m}_k = z) \rightarrow z = \bar{a})] = T$.

Assp: For any i , $0 \leq i < k$, $f_i(\vec{x})$ is expressed by some $\mathcal{F}(\vec{x}, v)$

Show: $f_k(x)$ is expressed by some $\mathcal{F}(\vec{x}, v)$.

f_k is either an initial function or arises from previous members by composition, recursion or regular minimization. If it is an initial function then as in the basis. So suppose f_k arises from previous members.

- (c) $f_k(\vec{x}, \vec{y}, \vec{z})$ arises by composition from $g(\vec{y})$ and $h(\vec{x}, w, \vec{z})$. By assumption $g(\vec{y})$ is expressed by some $\mathcal{G}(\vec{y}, w)$ and $h(\vec{x}, w, \vec{z})$ by $\mathcal{H}(\vec{x}, w, \vec{z}, v)$; then their composition $f(\vec{x}, \vec{y}, \vec{z})$ is expressed by $\mathcal{F}(\vec{x}, \vec{y}, \vec{z}, v) =_{\text{def}} \exists w[\mathcal{G}(\vec{y}, w) \wedge \mathcal{H}(\vec{x}, w, \vec{z}, v)]$. For simplicity, consider a case where \vec{x} and \vec{z} drop out and \vec{y} is a single variable y ; so $\mathcal{F}(y, v) =_{\text{def}} \exists w[\mathcal{G}(y, w) \wedge \mathcal{H}(w, v)]$. Suppose $\langle m, a \rangle \in f_k$; then by composition there is some b such that $\langle m, b \rangle \in g$ and $\langle b, a \rangle \in h$. Because \mathcal{G} and \mathcal{H} express g and h , $N[\mathcal{G}(\bar{m}, \bar{b})] = T$ and $N[\mathcal{H}(\bar{b}, \bar{a})] = T$; so $N[\mathcal{G}(\bar{m}, \bar{b}) \wedge \mathcal{H}(\bar{b}, \bar{a})] = T$, and $N[\exists w(\mathcal{G}(\bar{m}, w) \wedge \mathcal{H}(w, \bar{a}))] = T$. Further, by expression, $N[\forall z(\mathcal{G}(\bar{m}, z) \rightarrow z = \bar{b})] = T$ and $N[\forall z(\mathcal{H}(\bar{b}, z) \rightarrow z = \bar{a})] = T$; so that for a given m , there is just one $w = b$ and so one $z = a$ to satisfy $\mathcal{G}(\bar{m}, w) \wedge \mathcal{H}(w, z)$ and $N[\forall z(\exists w(\mathcal{G}(\bar{m}, w) \wedge \mathcal{H}(w, z)) \rightarrow z = \bar{a})] = T$.
- (r) $f_k(\vec{x}, y)$ arises by recursion from $g(\vec{x})$ and $h(\vec{x}, y, u)$. By assumption $g(\vec{x})$ is expressed by some $\mathcal{G}(\vec{x}, v)$ and $h(\vec{x}, y, u)$ is expressed by $\mathcal{H}(\vec{x}, y, u, v)$. And the expression of $f_k(\vec{x}, y)$ in terms of \mathcal{G} and \mathcal{H} utilizes Gödel's β -function, as developed in the next section.
- (m) $f_k(\vec{x})$ arises by regular minimization from $g(\vec{x}, y)$. By assumption, $g(\vec{x}, y)$ is expressed by some $\mathcal{G}(\vec{x}, y, z)$. Then $f_k(\vec{x})$ is expressed by $\mathcal{F}(\vec{x}, v) =_{\text{def}} \mathcal{G}(\vec{x}, v, \emptyset) \wedge (\forall y < v) \sim \mathcal{G}(\vec{x}, y, \emptyset)$. Suppose \vec{x} reduces to a single variable and $\langle m, a \rangle \in f$; then $\langle \langle m, a \rangle, 0 \rangle \in g$ and for any

⁵Perhaps it will have occurred to the reader that $\text{idnt}_2^3(x, y, z)$, say, is expressed by $x = x \wedge z = z \wedge y = v$ as well as $x = x \wedge y = y \wedge z = z \wedge y = v$ — where the first is relatively “efficient” insofar as it saves a conjunct. But we are after a different “efficiency” of notation and demonstration, where the formulation above serves our purposes nicely.

$n < a$, $\langle \langle m, n \rangle, 0 \rangle \notin g$. So because \mathcal{G} expresses g , $N[\mathcal{G}(\bar{m}, \bar{a}, \emptyset) \wedge (\forall y < \bar{a}) \sim \mathcal{G}(\bar{m}, y, \emptyset)] = T$. And the result is unique: for any $k < a$, $N[\mathcal{G}(\bar{m}, \bar{k}, \emptyset)] \neq T$; so when $z < a$, the value of the conjunction $N[\mathcal{G}(\bar{m}, z, \emptyset) \wedge (\forall y < z) \sim \mathcal{G}(\bar{m}, y, \emptyset)] \neq T$. And since $N[\mathcal{G}(\bar{m}, \bar{a}, \emptyset)] = T$, $N[\sim \mathcal{G}(\bar{m}, a, \emptyset)] \neq T$, and any case where $k > a$ has $N[(\forall y < \bar{k}) \sim \mathcal{G}(\bar{m}, y, \emptyset)] \neq T$; so again, the conjunction $N[\mathcal{G}(\bar{m}, z, \emptyset) \wedge (\forall y < z) \sim \mathcal{G}(\bar{m}, y, \emptyset)] \neq T$. So the only case in which $\mathcal{F}(\bar{m}, z) = \mathcal{G}(\bar{m}, z, \emptyset) \wedge (\forall y < z) \sim \mathcal{G}(\bar{m}, y, \emptyset)$ is satisfied when z is a , and $N[\forall z (\mathcal{F}(\bar{m}, z) \rightarrow z = \bar{a})] = T$.

Indct: Any recursive $f(\vec{x})$ is expressed by some $\mathcal{F}(\vec{x}, v)$

Some of the reasoning is merely sketched — however, the general idea should be clear. There might be formulas other than the stated $\mathcal{F}(\vec{x}, v)$ to express a recursive $f(\vec{x})$ — for example, if $\mathcal{F}(\vec{x}, v)$ expresses $f(\vec{x})$, then so does $\mathcal{F}(\vec{x}, v) \wedge \mathcal{A}$ for any logical truth \mathcal{A} . We shall see an important alternative in the following. Let us say that $\mathcal{F}(\vec{x}, v)$ so-described is the *original* formula by which $f(\vec{x})$ is expressed. It remains to fill out the case for the recursion clause. This is the task of the next section.

***E12.5.** From T13.3 there is some formula to express any recursive function: the argument by induction works by showing how to *construct* a formula for each recursive function. Following the method of our induction, write down formulas to express the following recursive functions.

- a. $\text{suc}(\text{zero}(x))$
- b. $\text{idnt}_2^3(x, \text{suc}(\text{zero}(x)), z)$

Hint: As setup for the compositions, give each function a different output variable, where the output to one is the input to the next.

***E12.6.** Fill out semantic reasoning to demonstrate that proposed (original) formulas satisfy the conditions for expression for the (z), (i), (c) and (m) clauses to T12.3. For case (m), rather than go to the unabbreviated form for the bounded quantifier it will be fine to anticipate T12.6 to apply the (obvious) semantic clause directly. Hints: So, for example, for (c) you will apply semantic definitions to show that $N[\exists w (\mathcal{G}(\bar{m}, w) \wedge \mathcal{H}(w, \bar{a}))] = T$ and that $N[\forall z (\exists w (\mathcal{G}(\bar{m}, w) \wedge \mathcal{H}(w, z)) \rightarrow z = \bar{a})] = T$; in places you may find that T10.2 will smooth the result; and for (m) at one stage it will be helpful to observe that for any n , $n < a \vee n = a \vee n > a$ and reason separately for each case.

12.2.3 The β -Function

Suppose a recursive function $f(m, n) = a$. Then for the given value of m , there is a sequence $k_0, k_1 \dots k_n$ with $k_n = a$, such that k_0 takes some initial value, and each of the other members is specially related to the one before. Thus, in the simple case of $\text{plus}(m, n)$, if $m = 2$ then $k_0 = 2$, and each k_i is the successor of the one before. So corresponding to $2 + 5 = 7$ is the sequence,

2 3 4 5 6 7

whose first member is set by $\text{gplus}(2)$, where subsequent members result from the one before by $\text{plus}(2, \text{Sy}) = \text{hplus}(2, y, \text{plus}(2, y))$, whose last member is 7. And, generalizing, we shall be in a position to express recursive functions if we can express the existence of *sequences* of integers so defined. We shall be able to say $f(\bar{m}, \bar{n}) = \bar{a}$ if we can say “there is a sequence whose first member is $g(m)$, with members related one to another by $f(m, \text{Sy}) = h(m, y, f(m, y))$, whose n^{th} member is a .” This is a mouthful. And \mathcal{L}_{NT} is not obviously equipped to do it. In, particular, \mathcal{L}_{NT} has straightforward mechanisms for asserting the existence of integers — but on its face, it is not clear how to assert the existence of the arbitrary sequences which result from the recursion clause.

But Gödel shows a way out. We have already seen an instance of the general strategy we shall require in our discussion of Gödel numbering from [chapter 10](#) (p. 478). In that case, we took a sequence of integers (keyed to vocabulary), $g_0, g_1 \dots g_n$ and collected them into a single Gödel number $G = 2^{g_0} \times 3^{g_1} \times \dots \times \pi_n^{g_n}$ where 2, 3... π_n are the first n primes. By the fundamental theorem of arithmetic, any number has a unique prime factorization, so the original sequence is recovered from G by factoring to find the power of 2, the power of 3 and so forth. So the single integer G represents the original sequence. And \mathcal{L}_{NT} has no problem expressing the existence of a single integer! Unfortunately, however, this particular way out is unavailable to us insofar as it involves exponentiation, and the resources of \mathcal{L}_{NT} so-far include only S , $+$ and \times .⁶

All the same, within the resources of \mathcal{L}_{NT} , by the Chinese remainder theorem (whose history reaches to ancient China), there must be *pairs* of integers sufficient to represent any sequence. Consider the *remainder* function $\text{rm}(x, y)$ which returns the remainder after x is divided by y . The *remainder* of x divided by y equals z just in case $z < y$ and for some w , $x = (y \times w) + z$. Then let,

⁶Some treatments begin with a language including exponentiation precisely in order to smooth the exposition at this stage. But our results are all the more interesting insofar as even the relatively weak \mathcal{L}_{NT} retains powers sufficient for the fatal flaw.

$$\beta(p, q, i) =_{\text{def}} \text{rm}[p, S(q \times S(i))]$$

So for some fixed values of p and q the β function yields different remainders for different values of i . By the Chinese remainder theorem, for any sequence $k_0, k_1 \dots k_n$ there are some p and q such that for $i \leq n$, $\beta(p, q, i) = k_i$. So p and q together code the sequence, and the β -function returns member k_i as a function of p , q and i . Intuitively, when we divide p by $S(q \times S(i))$, for $i \leq n$, the result is a series of $n + 1$ remainders. The theorem tells us that *any* series $k_0, k_1 \dots k_n$ may be so represented (see the [beta function](#) reference).

Here is a simple example. Suppose k_0, k_1 and k_2 are 5, 2, 3. So the last subscript in the series $n = 2$. As developed in the [beta function](#) reference, the proof of the remainder theorem asks us first to find $s = \max(n, 5, 2, 3) = 5$, and then to set $q = s! = 120$. So $\beta(p, q, i) = \text{rm}[p, S(120 \times S(i))]$. So as i ranges between 0 and $n = 2$, we are looking at,

$$\text{rm}(p, 121) \quad \text{rm}(p, 241) \quad \text{rm}(p, 361)$$

But 121, 241 and 361 so constructed must have no common factor other than 1; and the remainder theorem then tells us that as p varies between 0 and $121 \times 241 \times 361 - 1 = 10527120$ the remainders take on every possible sequence of remainder values. But the remainders will be values up to 120, 240 and 360, which is to say, $q = s!$ is large enough that our simple sequence must therefore appear among the sequences of remainders. In this case, $p = 5219340$ gives $\text{rm}(p, 121) = 5$, $\text{rm}(p, 241) = 3$ and $\text{rm}(p, 361) = 2$. There may be easier ways to generate this sequence. But there is no shortage of integers (!) so there are no worries about using large ones, and by this method Gödel gives a perfectly general way to represent the arbitrary finite sequence.

And we can express the β -function with the resources of \mathcal{L}_{NT} . Thus, for $\beta(p, q, i)$,

$$\mathcal{B}(p, q, i, v) =_{\text{def}} (\exists w \leq p)[p = (S(q \times Si) \times w) + v \wedge v < S(q \times Si)]$$

So v is the remainder after p is divided by $S(q \times Si)$. And for appropriate choice of p and q , the variable v takes on the values k_0 through k_n as i runs through the values 0 to n .

Now return to our claim that when a recursive function $f(m, n) = a$ there is a sequence $k_0, k_1 \dots k_n$ with $k_n = a$ such that k_0 takes some initial value, and each of the other members is related to the one before according to some other recursive function. More officially, a function $f(\vec{x}, y) = z$ just in case there is a sequence $k_0, k_1 \dots k_y$ with,

Arithmetic for the *Beta* Function

Say $\text{rm}(c, d)$ is the remainder of c/d . For a sequence, $d_0, d_1 \dots d_n$, let $|D|$ be the product $d_0 \times d_1 \times \dots \times d_n$. We say $d_0, d_1 \dots d_n$ are *relatively prime* if no two members have a common factor other than 1. Then,

- I. For any relatively prime sequence $d_0, d_1 \dots d_n$, the sequences of remainders $\text{rm}(c, d_0), \text{rm}(c, d_1) \dots \text{rm}(c, d_n)$ as c runs from 0 to $|D| - 1$ are all different from each other.

Suppose otherwise. Then there are c_1 and c_2 , $0 \leq c_1 < c_2 < |D|$ such that $\text{rm}(c_1, d_0), \text{rm}(c_1, d_1) \dots \text{rm}(c_1, d_n)$ is the same as $\text{rm}(c_2, d_0), \text{rm}(c_2, d_1) \dots \text{rm}(c_2, d_n)$. So for each d_i , $\text{rm}(c_1, d_i) = \text{rm}(c_2, d_i)$; say $c_1 = ad_i + r$ and $c_2 = bd_i + r$; then since the remainders are equal, $c_2 - c_1 = bd_i - ad_i$; so each d_i divides $c_2 - c_1$ evenly. So each d_i collects a distinct set of prime factors of $c_2 - c_1$; and since $c_2 - c_1$ is divided by any product of its primes, $c_2 - c_1$ is divided by $|D|$. So $|D| \leq c_2 - c_1$. But $0 \leq c_1 < c_2 < |D|$ so $c_2 - c_1 < |D|$. Reject the assumption: The sequences of remainders as c runs from 0 to $|D| - 1$ are distinct.

- II. The sequences of remainders $\text{rm}(c, d_0), \text{rm}(c, d_1) \dots \text{rm}(c, d_n)$ as c runs from 0 to $|D| - 1$ are all the possible sequences of remainders.

There are d_i possible remainders a number might have when divided by d_i , $(0, 1, \dots, d_i - 1)$. But if $\text{rm}(c, d_0)$ takes d_0 possible values, $\text{rm}(c, d_1)$ may take its d_1 values for each value of $\text{rm}(c, d_0)$; etc. So there are $|D|$ possible sequences of remainders. But as c runs from 0 to $|D| - 1$, by (I), there are $|D|$ different sequences. So there are all the possible sequences.

- III. Let s be the maximum of $n, k_0, k_1 \dots k_n$. Then for $0 \leq i < n$, the numbers $d_i = s!(i + 1) + 1$ are each greater than any k_j and are relatively prime.

Since s is the the maximum of $n, k_0, k_1 \dots k_n$, the first is obvious. To see that the d_i are relatively prime, suppose otherwise. Then for some j, k , $1 \leq j < k \leq n + 1$, $s!j + 1$ and $s!k + 1$ have a common factor p . But any number up to s leaves remainder 1 when dividing $s!j + 1$; so $p > s$. And since p divides $s!j + 1$ and $s!k + 1$ it divides their difference, $s!(k - j)$; but if p divides $s!$, then it does not evenly divide $s!j + 1$; so p does not divide $s!$; so p divides $k - j$. But $1 \leq j < k \leq n + 1$; so $k - j \leq n$; so $p \leq n$; so $p \leq s$. Reject the assumption: the d_i are relatively prime.

- IV. For any $k_0, k_1 \dots k_n$, we can find a pair of numbers p, q such that for $i \leq n$, $\beta(p, q, i) = k_i$.

With s as above, set $q = s!$, and let $\beta(p, q, i) = \text{rm}(p, q(i + 1) + 1)$. By (III), for $0 \leq i \leq n$ the numbers $q_i = q(i + 1) + 1$ are relatively prime. So by (II), there are all the possible sequences of remainders as p ranges from 0 to $|D| - 1$. And since by (III) each of the q_i is greater than any k_i , the sequence $k_0, k_1 \dots k_n$ is among the possible sequences of remainders. So there is some p such that the k_i are $\text{rm}(p, q(i + 1) + 1)$.

- (i) $k_0 = g(\vec{x})$
- (ii) if $i < y$, then $k_{Si} = h(\vec{x}, i, k_i)$
- (iii) $k_y = z$

Put in terms of the β -function, this requires, $f(\vec{x}, y) = z$ just in case there are some p, q such that,

- (i) $\beta(p, q, 0) = g(\vec{x})$
- (ii) if $i < y$, then $\beta(p, q, Si) = h(\vec{x}, i, \beta(p, q, i))$
- (iii) $\beta(p, q, y) = z$

By assumption, $g(\vec{x})$ is expressed by some $\mathcal{G}(\vec{x}, v)$ and $h(\vec{x}, y, u)$ by some $\mathcal{H}(\vec{x}, y, u, v)$. So we can express the combination of these conditions as follows. $f(\vec{x}, y)$ is expressed by $\mathcal{F}(\vec{x}, y, z) =_{\text{def}}$

$$\begin{aligned} & \exists p \exists q \{ \exists v [\mathcal{B}(p, q, \emptyset, v) \wedge \mathcal{G}(\vec{x}, v)] \wedge \\ & (\forall i < y) \exists u \exists v [\mathcal{B}(p, q, i, u) \wedge \mathcal{B}(p, q, Si, v) \wedge \mathcal{H}(\vec{x}, i, u, v)] \wedge \\ & \mathcal{B}(p, q, y, z) \} \end{aligned}$$

So \mathcal{G} is satisfied by the first member; then for any $i < y$, \mathcal{H} is satisfied by the i^{th} member and its successor; and the y^{th} member of the series is z .

In the case of factorial, we have $\mathcal{G}(v) =_{\text{def}} (v = S\emptyset)$ and $\mathcal{H}(y, u, v) =_{\text{def}} (v = Sy \times u)$. So the factorial function is expressed by $\mathcal{F}(y, z) =_{\text{def}}$

$$\begin{aligned} & \exists p \exists q \{ \exists v [\mathcal{B}(p, q, \emptyset, v) \wedge v = S\emptyset] \wedge \\ & (\forall i < y) \exists u \exists v [\mathcal{B}(p, q, i, u) \wedge \mathcal{B}(p, q, Si, v) \wedge v = Si \times u] \wedge \\ & \mathcal{B}(p, q, y, z) \} \end{aligned}$$

This expression is long — particularly if expanded to unabbreviate the β -function, but it is just right. If $\langle n, a \rangle \in \text{fac}$, then $N[\mathcal{F}(\vec{n}, \vec{a})] = T$ and the expression satisfies uniqueness as well. And similarly in the general case. So with \mathcal{L}_{NT} we satisfy the recursive clause for T12.3. So its demonstration is complete, and \mathcal{L}_{NT} has the resources to express any recursive function.

E12.7. Suppose k_0, k_1, k_2 and k_3 are 3, 4, 0, 2. By the method of the text, find values of p and q so that $\beta(i) = k_i$. Use your values of p and q to calculate $\beta(p, q, 0)$, $\beta(p, q, 1)$, $\beta(p, q, 2)$ and $\beta(p, q, 3)$. You will need some programmable device to search for the value of p . In Ruby, a routine along the following lines, with numerical values for a, b, c and d should suffice.

```

1. def loop
2.   p = 0
3.   until p % a == 3 and p % b == 4 and p % c == 0 and p % d == 2
4.     p = p+1
5.     puts "p = #{p}"
6.   end
7.   return p
8. end
9. puts "p = #{loop}"

```

In Ruby $x \% y$ returns the remainder of x divided by y . So, for this routine, you insert the denominators and then search (by brute force) for the value of p that returns the right remainders. Be prepared for it to take a while!

E12.8. Produce a formula to show that \mathcal{L}_{NT} expresses the plus function by the initial functions with the beta function. You need not reduce the beta form to its primitive expression!

E12.9. Say a function f_k is *simple* iff there is a series of functions $f_0, f_1 \dots f_k$ such that for any $i \leq k$,

(b) f_0 is plus(x, y)

(r) There are $a, b < i$ such that $f_i(\vec{x}, \vec{y})$ is plus($f_a(\vec{x}), f_b(\vec{y})$)

Show that on the standard interpretation N of \mathcal{L}_{NT} each simple $f(\vec{x})$ is expressed by some formula $\mathcal{F}(\vec{x}, v)$. You may appeal to T10.2 as appropriate — and your reasoning may have the “quick” character of T12.3. Hint: (r) yields functions by a sort of “double” composition.

12.3 Capturing Recursive Functions

The second of the powers to be associated with theory incompleteness has to do with the theory’s *proof* system. In [section 12.5](#) we shall be able to show that if a theory is consistent and *captures* recursive functions, then it is negation incomplete. In this

section, we show that Q, and so any theory that includes Q, captures the recursive functions.

12.3.1 Definition and Basic Results

Where expression requires that if objects stand in a given relation, then a corresponding formula be true, capture requires that when objects stand in a relation, a corresponding formula be *provable* in the theory.

CP For any language \mathcal{L} , interpretation I , objects $m_1 \dots m_n, a \in U$ and theory T ,

(r) Relation $R(x_1 \dots x_n)$ is *captured* by formula $\mathcal{R}(x_1 \dots x_n, y)$ in T just in case,

- (i) If $\langle m_1 \dots m_n \rangle \in R$ then $T \vdash \mathcal{R}(\overline{m}_1 \dots \overline{m}_n)$
- (ii) If $\langle m_1 \dots m_n \rangle \notin R$ then $T \vdash \sim \mathcal{R}(\overline{m}_1 \dots \overline{m}_n)$

(f) Function $f(x_1 \dots x_n)$ is *captured* by formula $\mathcal{F}(x_1 \dots x_n, y)$ in T just in case,

if $\langle \langle m_1 \dots m_n \rangle, a \rangle \in f$ then

- (i) $T \vdash \mathcal{F}(\overline{m}_1 \dots \overline{m}_n, \overline{a})$
- (ii) $T \vdash \forall z (\mathcal{F}(\overline{m}_1 \dots \overline{m}_n, z) \rightarrow z = \overline{a})$

As a first result, and to see how these definitions work, it is easy to see that in a theory at least as strong as Q, conditions (f.i) and (f.ii) combine to yield a result like (r.ii).

T12.4. If T includes Q and total function $f(x_1 \dots x_n)$ is captured by formula $\mathcal{F}(x_1 \dots x_n, y)$ so that conditions (f.i) and (f.ii) hold, then if $\langle \langle m_1 \dots m_n \rangle, a \rangle \notin f$ then $T \vdash \sim \mathcal{F}(\overline{m}_1 \dots \overline{m}_n, \overline{a})$.

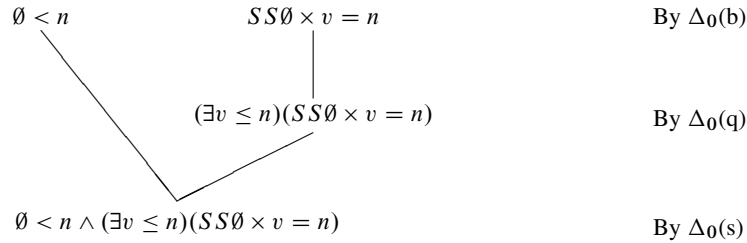
Suppose $f(x_1 \dots x_n)$ is captured by $\mathcal{F}(x_1 \dots x_n, y)$ and $\langle \langle m_1 \dots m_n \rangle, a \rangle \notin f$. Then, since f is total, there is some $b \neq a$ such that $\langle \langle m_1 \dots m_n \rangle, b \rangle \in f$; so by (f.i), $T \vdash \mathcal{F}(\overline{m}_1 \dots \overline{m}_n, \overline{b})$; and instantiating (f.ii) to \overline{a} , $T \vdash \mathcal{F}(\overline{m}_1 \dots \overline{m}_n, \overline{a}) \rightarrow \overline{a} = \overline{b}$. But since $a \neq b$, and T includes Q, by T8.14, $T \vdash \overline{a} \neq \overline{b}$; so by MT, $T \vdash \sim \mathcal{F}(\overline{m}_1 \dots \overline{m}_n, \overline{a})$.

Our aim is to show that recursive functions are captured in Q. In chapter 8, we showed that Q correctly decides atomic sentences of \mathcal{L}_{NT} . As a preliminary to showing that Q captures the recursive functions, in this section we extend that result to show that Q correctly decides a broadened range of sentences.

To understand the result to which we build in this section, we need to identify some important subclasses of formulas in \mathcal{L}_{NT} : the Δ_0 , Σ_1 and Π_1 formulas.

- Δ_0 (b) If \mathcal{P} is of the form $s = t$, $s < t$ or $s \leq t$ for terms s and t , then \mathcal{P} is a Δ_0 formula.
- (s) If \mathcal{P} and \mathcal{Q} are Δ_0 formulas, then so are $\sim\mathcal{P}$, and $(\mathcal{P} \rightarrow \mathcal{Q})$.
- (q) If \mathcal{P} is a Δ_0 formula, then so are $(\forall x \leq t)\mathcal{P}$ and $(\forall x < t)\mathcal{P}$ where x does not appear in t .
- (c) Nothing else is a Δ_0 formula.
- Σ_1 A formula is *strictly* Σ_1 iff it is of the form $\exists x_1 \exists x_2 \dots \exists x_n \mathcal{P}$ for Δ_0 \mathcal{P} . A formula is Σ_1 iff it is equivalent to a strictly Σ_1 formula.
- Π_1 A formula is *strictly* Π_1 iff it is of the form $\forall x_1 \forall x_2 \dots \forall x_n \mathcal{P}$ for Δ_0 \mathcal{P} . A formula is Π_1 iff it is equivalent to a strictly Π_1 formula.

Given the soundness and adequacy of our derivation systems, we may understand equivalence in either the semantic or syntactical sense so that \mathcal{P} and \mathcal{Q} are equivalent just in case $\models \mathcal{P} \leftrightarrow \mathcal{Q}$ or $\vdash \mathcal{P} \leftrightarrow \mathcal{Q}$. A Δ_0 formula is (trivially) both Σ_1 and Π_1 insofar as it is preceded by a block of zero unbounded quantifiers. We allow the usual abbreviations and so \wedge , \vee and \leftrightarrow and bounded existential quantifiers. So, for example, $n \neq \emptyset \wedge (\exists v \leq n)(SS\emptyset \times v = n)$ is Δ_0 by a tree that works like ones we have seen many times before.



It turns out that this formula is true just in case n is an even number other than zero. For a Δ_0 formula, all is as usual, except quantifiers are bounded. Its existential quantification,

$$(E) \quad \exists n[\emptyset < n \wedge (\exists v \leq n)(SS\emptyset \times v = n)]$$

is strictly Σ_1 , for it consists of an (in this case single) unbounded existential quantifier followed by a Δ_0 formula. This sentence asserts the existence of an even number other than zero. Observe that,

$$(F) \quad k = k \wedge \exists n[\emptyset < n \wedge (\exists v \leq n)(SS\emptyset \times v = n)]$$

is not strictly Σ_1 . For it does not have the existential quantifier attached as main operator to a Δ_0 formula. However, by standard quantifier placement rules, the unbounded existential quantifier can be pulled out to the front to form an equivalent strictly Σ_1 sentence. Because (F) is equivalent to a sentence that is strictly Σ_1 , it too is Σ_1 . Finally, by reasoning as for QN in ND, observe that the negation of a Σ_1 formula is not Σ_1 — rather it is Π_1 , and the negation of a Π_1 formula is Σ_1 .

We shall show that Q correctly decides Δ_0 sentences: if \mathcal{P} is Δ_0 and $N[\mathcal{P}] = T$ then $Q \vdash_{ND} \mathcal{P}$, and if $N[\mathcal{P}] \neq T$ then $Q \vdash_{ND} \sim \mathcal{P}$. Further, Q *proves* true Σ_1 sentences: if \mathcal{P} is Σ_1 and $N[\mathcal{P}] = T$, then $Q \vdash_{ND} \mathcal{P}$. Observe that for a Σ_1 formula \mathcal{P} , if $N[\mathcal{P}] \neq T$, then $N[\sim \mathcal{P}] = T$ — but $\sim \mathcal{P}$ is not Σ_1 . So, though we show Q correctly decides Δ_0 sentences and proves true Σ_1 sentences, we will not have shown that Q proves $\sim \mathcal{P}$ when $N[\mathcal{P}] \neq T$ and so not have shown that Q decides all Σ_1 sentences.

We begin with some preliminary theorems to set up the main result. These are not hard, but need to be wrapped up before we can attack the main problem. First some semantic theorems that work like derived clauses to SF for inequalities and bounded quantifiers. We could not obtain these in chapter 7 because they rely on theorems from chapter 8 (and since they are not inductions, they did not belong in chapter 8). However, we introduce them now in order to make progress.

T12.5. On the standard interpretation N for \mathcal{L}_{NT} , (i) $N_d[\mathcal{A} \leq t] = S$ iff $N_d[\mathcal{A}] \leq N_d[t]$, and (ii) $N_d[\mathcal{A} < t] = S$ iff $N_d[\mathcal{A}] < N_d[t]$.

(i) By abv $N_d[\mathcal{A} \leq t] = S$ iff $N_d[\exists v(v + \mathcal{A} = t)] = S$, where v is not free in \mathcal{A} or t ; by SF(\exists), iff there is some $m \in U$ such that $N_{d(v|m)}[v + \mathcal{A} = t] = S$. But $d(v|m)[v] = m$; so by TA(v), $N_{d(v|m)}[v] = m$; so by TA(f), $N_{d(v|m)}[v + \mathcal{A}] = N[+](m, N_{d(v|m)}[\mathcal{A}]) = m + N_{d(v|m)}[\mathcal{A}]$. So by SF(r), $N_{d(v|m)}[v + \mathcal{A} = t] = S$ iff $\langle m + N_{d(v|m)}[\mathcal{A}], N_{d(v|m)}[t] \rangle \in N[=]$; iff $m + N_{d(v|m)}[\mathcal{A}] = N_{d(v|m)}[t]$. But since v is not free in \mathcal{A} or t , d and $d(v|m)$ make the same assignments to variables free in \mathcal{A} and t ; so by T8.3, $N_d[\mathcal{A}] = N_{d(v|m)}[\mathcal{A}]$ and $N_d[t] = N_{d(v|m)}[t]$; so $m + N_{d(v|m)}[\mathcal{A}] = N_{d(v|m)}[t]$ iff $m + N_d[\mathcal{A}] = N_d[t]$; and there exists such an m just in case $N_d[\mathcal{A}] \leq N_d[t]$. So $N_d[\mathcal{A} \leq t] = S$ iff $N_d[\mathcal{A}] \leq N_d[t]$.

(ii) is homework.

As an immediate corollary, $N_d[\mathcal{A} \leq t] \neq S$ just in case $N_d[\mathcal{A}] > N_d[t]$; and similarly for $>$.

T12.6. On the standard interpretation N for \mathcal{L}_{NT} , (i) $N_d[(\forall x \leq t)\mathcal{P}] = S$ iff for every $m \leq N_d[t]$, $N_{d(x|m)}[\mathcal{P}] = S$ and (ii), $N_d[(\forall x < t)\mathcal{P}] = S$ iff for every $m < N_d[t]$, $N_{d(x|m)}[\mathcal{P}] = S$.

(i) By *abv* $N_d[(\forall x \leq t)\mathcal{P}] = S$ iff $N_d[\forall x(x \leq t \rightarrow \mathcal{P})] = S$ where x does not appear in t ; by **SF**(\forall), iff for any $m \in U$, $N_{d(x|m)}[x \leq t \rightarrow \mathcal{P}] = S$; by **SF**(\rightarrow), iff for any $m \in U$, $N_{d(x|m)}[x \leq t] \neq S$ or $N_{d(x|m)}[\mathcal{P}] = S$; which is to say, iff for any $m \in U$, if $N_{d(x|m)}[x \leq t] = S$, then $N_{d(x|m)}[\mathcal{P}] = S$. But $d(x|m)[x] = m$; so $N_{d(x|m)}[x] = m$; and since x is not free in t , d and $d(x|m)$ agree on assignments to variables free in t ; so by T8.3, $N_{d(x|m)}[t] = N_d[t]$; so with T12.5, $N_{d(x|m)}[x \leq t] = S$ iff $m \leq N_d[t]$; so $N_d[(\forall x \leq t)\mathcal{P}] = S$ iff for any m , if $m \leq N_d[t]$, then $N_{d(x|m)}[\mathcal{P}] = S$.

(ii) is homework.

T12.7. On the standard interpretation N for \mathcal{L}_{NT} , (i) $N_d[(\exists x \leq t)\mathcal{P}] = S$ iff for some $m \leq N_d[t]$, $N_{d(x|m)}[\mathcal{P}] = S$ and (ii), $N_d[(\exists x < t)\mathcal{P}] = S$ iff for some $m < N_d[t]$, $N_{d(x|m)}[\mathcal{P}] = S$.

Homework

We are finally ready for the results to which we have been building: First, Q correctly decides Δ_0 sentences of \mathcal{L}_{NT} .

T12.8. For any Δ_0 sentence \mathcal{P} , if $N[\mathcal{P}] = T$, then $Q \vdash_{ND} \mathcal{P}$, and if $N[\mathcal{P}] \neq T$, then $Q \vdash_{ND} \sim \mathcal{P}$.

By induction on the number of operators in \mathcal{P} .

Basis: If \mathcal{P} is an atomic Δ_0 sentence it is $t = s$, $t \leq s$ or $t < s$. So by T8.14, if $N[\mathcal{P}] = T$, $Q \vdash_{ND} \mathcal{P}$, and if $N[\mathcal{P}] \neq T$, $Q \vdash_{ND} \sim \mathcal{P}$.

Assp: For any i , $0 \leq i < k$, if a Δ_0 sentence \mathcal{P} has i operator symbols, then if $N[\mathcal{P}] = T$, $Q \vdash_{ND} \mathcal{P}$ and if $N[\mathcal{P}] \neq T$, $Q \vdash_{ND} \sim \mathcal{P}$.

Show: If a Δ_0 sentence \mathcal{P} has k operator symbols, then if $N[\mathcal{P}] = T$, $Q \vdash_{ND} \mathcal{P}$ and if $N[\mathcal{P}] \neq T$, $Q \vdash_{ND} \sim \mathcal{P}$.

If a Δ_0 sentence \mathcal{P} has k operator symbols, then it is of the form $\sim \mathcal{A}$, $\mathcal{A} \rightarrow \mathcal{B}$, $(\forall x \leq t)\mathcal{A}$ or $(\forall x < t)\mathcal{A}$ where \mathcal{A} , \mathcal{B} have $< k$ operator symbols and x does not appear in t .

- (\sim) \mathcal{P} is $\sim\mathcal{A}$. (i) Suppose $N[\mathcal{P}] = T$; then $N[\sim\mathcal{A}] = T$; so by T8.6, $N[\mathcal{A}] \neq T$; so by assumption, $Q \vdash_{ND} \sim\mathcal{A}$; so $Q \vdash_{ND} \mathcal{P}$. (ii) Suppose $N[\mathcal{P}] \neq T$; then $N[\sim\mathcal{A}] \neq T$; so by T8.6, $N[\mathcal{A}] = T$; so by assumption $Q \vdash_{ND} \mathcal{A}$; so by DN, $Q \vdash_{ND} \sim\sim\mathcal{A}$; so $Q \vdash_{ND} \sim\mathcal{P}$.
- (\rightarrow) \mathcal{P} is $\mathcal{A} \rightarrow \mathcal{B}$. (i) Suppose $N[\mathcal{A} \rightarrow \mathcal{B}] = T$; then by T8.6, $N[\mathcal{A}] \neq T$ or $N[\mathcal{B}] = T$. So by assumption, $Q \vdash_{ND} \sim\mathcal{A}$ or $Q \vdash_{ND} \mathcal{B}$. So by $\forall I$ twice $Q \vdash_{ND} \sim\mathcal{A} \vee \mathcal{B}$ or $Q \vdash_{ND} \sim\mathcal{A} \vee \mathcal{B}$; so $Q \vdash_{ND} \sim\mathcal{A} \vee \mathcal{B}$; so by Impl, $Q \vdash_{ND} \mathcal{A} \rightarrow \mathcal{B}$. Part (ii) is homework.
- ($\forall \leq$) \mathcal{P} is $(\forall x \leq t)\mathcal{A}(x)$. Since \mathcal{P} is a sentence, x is the only variable free in \mathcal{A} ; in particular, since x does not appear in t , t must be variable-free; so $N_d[t] = N[t]$ and where $N[t] = n$, by T8.13, $Q \vdash_{ND} t = \bar{n}$; so by $=E$, $Q \vdash_{ND} \mathcal{P}$ just in case $Q \vdash_{ND} (\forall x \leq \bar{n})\mathcal{A}(x)$.
- (i) Suppose $N[\mathcal{P}] = T$; then $N[(\forall x \leq t)\mathcal{A}(x)] = T$; so by TI, for any d , $N_d[(\forall x \leq t)\mathcal{A}(x)] = S$; so by T12.6, for any $m \leq N_d[t]$, $N_{d(x|m)}[\mathcal{A}(x)] = S$; so where $N_d[t] = N[t] = n$, for any $m \leq n$, $N_{d(x|m)}[\mathcal{A}(x)] = S$; but $N_d[\bar{m}] = m$, so with T10.2, for any $m \leq n$, $N_d[\mathcal{A}(\bar{m})] = S$; since x is the only variable free in \mathcal{A} , $\mathcal{A}(\bar{m})$ is a sentence; so with T8.5, for any $m \leq n$, $N[\mathcal{A}(\bar{m})] = T$; so $N[\mathcal{A}(\emptyset)] = T$ and $N[\mathcal{A}(\bar{1})] = T$ and ... and $N[\mathcal{A}(\bar{n})] = T$; so by assumption, $Q \vdash_{ND} \mathcal{A}(\emptyset)$ and $Q \vdash_{ND} \mathcal{A}(\bar{1})$ and ... and $Q \vdash_{ND} \mathcal{A}(\bar{n})$; so by T8.21, $Q \vdash_{ND} (\forall x \leq \bar{n})\mathcal{A}(x)$; so with our preliminary result, $Q \vdash_{ND} \mathcal{P}$.
- (ii) Suppose $N[\mathcal{P}] \neq T$; then $N[(\forall x \leq t)\mathcal{A}(x)] \neq T$; so by TI, for some d , $N_d[(\forall x \leq t)\mathcal{A}(x)] \neq S$; so by T12.6, for some $m \leq N_d[t]$, $N_{d(x|m)}[\mathcal{A}(x)] \neq S$; so where $N_d[t] = N[t] = n$, for some $m \leq n$, $N_{d(x|m)}[\mathcal{A}(x)] \neq S$; but $N_d[\bar{m}] = m$, so with T10.2, for some $m \leq n$, $N_d[\mathcal{A}(\bar{m})] \neq S$; so by TI, for some $m \leq n$, $N[\mathcal{A}(\bar{m})] \neq T$; so by assumption for some $m \leq n$, $Q \vdash_{ND} \sim\mathcal{A}(\bar{m})$; so by T8.20, $Q \vdash_{ND} (\exists x \leq \bar{n})\sim\mathcal{A}(x)$; so by bounded quantifier negation (BQN), $Q \vdash_{ND} \sim(\forall x \leq \bar{n})\mathcal{A}(x)$; so with our preliminary result, $Q \vdash_{ND} \sim\mathcal{P}$.
- ($\forall <$) homework.

Indct: So for any Δ_0 sentence \mathcal{P} , if $N[\mathcal{P}] = T$, then $Q \vdash_{ND} \mathcal{P}$, and if $N[\mathcal{P}] \neq T$, then $Q \vdash_{ND} \sim\mathcal{P}$.

And now, Q proves true Σ_1 sentences.

T12.9. For any (strict) Σ_1 sentence \mathcal{P} if $N[\mathcal{P}] = T$, then $Q \vdash_{ND} \mathcal{P}$.

This is a simple induction on the number of unbounded existential quantifiers in \mathcal{P} . Hint: If \mathcal{P} has no unbounded existential quantifiers, then it is Δ_0 . Otherwise, if $\exists x \mathcal{P}$ is true, it will be easy to show that for some m , $\mathcal{P}(\overline{m})$ is true; you can then apply your assumption, and $\exists I$.

Corollary: For any Σ_1 sentence \mathcal{P} , if $N[\mathcal{P}] = T$, then $Q \vdash_{ND} \mathcal{P}$. Suppose a Σ_1 \mathcal{P} is such that $N[\mathcal{P}] = T$; then by equivalence there is some strict Σ_1 \mathcal{P}^* such that $N[\mathcal{P}^*] = T$; so by the main theorem, $Q \vdash_{ND} \mathcal{P}^*$; and by equivalence again, $Q \vdash_{ND} \mathcal{P}$.

This completes what we set out to show in this subsection. These results should seem intuitive: Q proves results about particular numbers, $1 + 1 = 2$ and the like. But Δ_0 sentences assert (potentially complex) particular facts about numbers — and we show that Q proves any Δ_0 sentence. Similarly, any Σ_1 sentence is true *because* of some particular fact about numbers; since Q proves that particular fact, it is sufficient to prove the Σ_1 sentence.

E12.10. Complete the demonstration of T12.5 - T12.7 by showing the remaining parts. These should be straightforward, given parts worked in the text.

*E12.11. (i) Complete the demonstration of T12.8 by finishing the remaining cases. You should set up the entire argument, but may appeal to the text for parts already completed, as the text appeals to homework. (ii) Show directly cases $(\exists \leq)$ and $(\exists <)$.

E12.12. Provide an argument to demonstrate T12.9.

12.3.2 Basic Result

We now set out to show that Q captures all the recursive functions. We begin showing that the original formulas by which we have expressed recursive functions are Σ_1 . After that, we get our result in two forms. First a straightforward basic version. However, this version gets a result slightly weaker than the one we would like. But it is easily strengthened to the final form.

First, then, an argument that the original formulas by which we have expressed recursive functions are Σ_1 . This argument merely reviews the strategy from T12.3 for expression to show that each formula is equivalent to a strictly Σ_1 formula and so is Σ_1 .

T12.10. The original formula by which any recursive function is expressed is Σ_1 .

By induction on the sequence of recursive functions.

Basis: From T12.3, $\text{suc}(x)$ is originally expressed by $Sx = v$; $\text{zero}(x)$ by $x = x \wedge v = \emptyset$ and $\text{idnt}_k^j(x_1 \dots x_j)$ by $(x_1 = x_1 \wedge \dots \wedge x_j = x_j) \wedge x_k = v$. These are all Δ_0 , and therefore Σ_1 .

Assp: For any any i , $0 \leq i < k$, the original formula $\mathcal{F}(\vec{x}, v)$ by which $f_i(\vec{x})$ is expressed is Σ_1

Show: The original formula $\mathcal{F}(\vec{x}, v)$ by which $f_k(\vec{x})$ is expressed is Σ_1

f_k is either an initial function or arises from previous members by composition, recursion or regular minimization. If it is an initial function, then as in the basis. So suppose f_k arises from previous members.

- (c) $f_k(\vec{x}, \vec{y}, \vec{z})$ arises by composition from $g(\vec{y})$ and $h(\vec{x}, w, \vec{z})$. By assumption $g(\vec{y})$ is expressed by some Σ_1 formula equivalent to $\exists \vec{j} \mathcal{G}(\vec{y}, w)$ and $h(\vec{x}, w, \vec{z})$ by a Σ_1 formula equivalent to $\exists \vec{k} \mathcal{H}(\vec{x}, w, \vec{z}, v)$ where \mathcal{G} and \mathcal{H} are individually Δ_0 . Then their original composition $\mathcal{F}(\vec{x}, \vec{y}, \vec{z}, v)$ is equivalent to $\exists w [\exists \vec{j} \mathcal{G}(\vec{y}, w) \wedge \exists \vec{k} \mathcal{H}(\vec{x}, w, \vec{z}, v)]$; and by standard quantifier placement rules, this is equivalent to $\exists w \exists \vec{j} \exists \vec{k} [\mathcal{G}(\vec{y}, w) \wedge \mathcal{H}(\vec{x}, w, \vec{z}, v)]$, where this is Σ_1 .
- (r) $f_k(\vec{x}, y)$ arises by recursion from $g(\vec{x})$ and $h(\vec{x}, y, u)$. By assumption $g(\vec{x})$ is expressed by some Σ_1 formula $\exists \vec{j} \mathcal{G}(\vec{x}, v)$ and $h(\vec{x}, y, u)$ by $\exists \vec{k} \mathcal{H}(\vec{x}, y, u, v)$. And, as before, the β -function $\mathcal{B}(p, q, i, v)$ is expressed by,

$$(\exists w \leq p)[p = (S(q \times Si) \times w) + v \wedge v < S(q \times Si)]$$

where this is Δ_0 . Then the original formula $\mathcal{F}(\vec{x}, y, z)$ by which $f_k(\vec{x}, y)$ is expressed is equivalent to,

$$\begin{aligned} & \exists p \exists q \{ \exists v [\mathcal{B}(p, q, \emptyset, v) \wedge \exists \vec{j} \mathcal{G}(\vec{x}, v)] \wedge \\ & (\forall i < y) \exists u \exists v [\mathcal{B}(p, q, i, u) \wedge \mathcal{B}(p, q, Si, v) \wedge \exists \vec{k} \mathcal{H}(\vec{x}, i, u, v)] \wedge \mathcal{B}(p, q, y, z) \} \end{aligned}$$

This time, standard quantifier placement rules are not enough to identify the formula as Σ_1 . We can pull the initial v and \vec{j} quantifiers out. And the \vec{k} quantifiers come out with the u and v quantifiers. The problem is getting these past the bounded universal i quantifier.

For this, we use a sort of trick: For a simplified case, consider $(\forall i < y) \exists v \mathcal{P}(i, v)$; this requires that for each $i < y$ there is at least one v

that makes $\mathcal{P}(i, v)$ true; for each $i < y$ consider the least such v , and let a be the greatest member of this collection. Then $(\forall i < y)(\exists v < \bar{a})\mathcal{P}(i, v)$ is equivalent to the original expression — for there is an $i < a$ to satisfy \mathcal{P} just in case there is some i to satisfy \mathcal{P} . And therefore, no matter what y may be, $\exists j(\forall i < y)(\exists v < j)\mathcal{P}(i, v)$ is true iff the original expression is true. So the existential quantifier comes past the bounded universal, leaving behind a bounded existential “shadow.” Thus the existential u, v and \bar{k} quantifiers come to the front, and the result is Σ_1 .

- (m) $f_k(\vec{x})$ arises by regular minimization from $g(\vec{x}, y)$. By assumption, $g(\vec{x}, y)$ is expressed by some $\exists \vec{j} \mathcal{G}(\vec{x}, y, z)$. Then the original expression by which $f_k(\vec{x})$ is expressed is equivalent to $\exists \vec{j} \mathcal{G}(\vec{x}, v, \emptyset) \wedge (\forall y < v) \sim \exists \vec{j} \mathcal{G}(\vec{x}, y, \emptyset)$; but since \mathcal{G} expresses a function, $\sim \exists \vec{j} \mathcal{G}(\vec{x}, y, \emptyset)$ just when $\exists z[\exists \vec{j} \mathcal{G}(\vec{x}, y, z) \wedge z \neq \emptyset]$; so the original expression is equivalent to, $\exists \vec{j} \mathcal{G}(\vec{x}, v, \emptyset) \wedge (\forall y < v) \exists z[\exists \vec{j} \mathcal{G}(\vec{x}, y, z) \wedge z \neq \emptyset]$. The first set of j quantifiers come directly to the front, and the second set, together with the z quantifier come out, as in the previous case, leaving bounded existential quantifiers behind. So the result is Σ_1 .

Indct: The original formula by which any recursive function is expressed is Σ_1 .

It is not proper to drag an existential quantifier out past a universal quantifier; however, it is legitimate to drag an existential past a *bounded* universal, with a bounded existential quantifier left behind as “shadow” or “witness.”

Now for our main result. Here is the sense in which our result is weaker than we might like: Rather than Q, let us suppose we are in a system Q_s , *strengthened* Q, which has (as an axiom or) a theorem *uniqueness of remainder* as follows,

$$\forall y[(\exists w \leq m)[m = Sn \times w + \bar{a} \wedge \bar{a} < Sn] \wedge (\exists w \leq m)[m = Sn \times w + y \wedge y < Sn] \rightarrow \bar{a} = y]$$

If \bar{a} is the remainder of $m/(n+1)$ and y is the remainder of $m/(n+1)$ then $\bar{a} = y$. As we shall see, PA is a system of this sort (see [Def\[rm\]](#) in [chapter 13](#)) though, insofar as m and n are free variables rather than numerals, Q is not. Notice that m and n are free in this formulation; if they are instantiated to p and $q \times Si$ respectively, from uniqueness for remainder there immediately follows a parallel uniqueness result for the β -function.

$$\forall y[(\mathcal{B}(p, q, i, \bar{a}) \wedge \mathcal{B}(p, q, i, y)) \rightarrow \bar{a} = y]$$

Further, if $\langle \langle p, q, i \rangle, a \rangle \in \beta$ then since \mathcal{B} expresses the β -function, $N[\mathcal{B}(\bar{p}, \bar{q}, \bar{i}, \bar{a})] = T$; and since \mathcal{B} is Δ_0 , by T12.8, $Q \vdash_{ND} \mathcal{B}(\bar{p}, \bar{q}, \bar{i}, \bar{a})$. From this, with uniqueness, it is immediate that $Q_s \vdash_{ND} \forall y[\mathcal{B}(\bar{p}, \bar{q}, \bar{i}, y) \rightarrow y = \bar{a}]$. So \mathcal{B} captures β in Q_s .

Now we are positioned to offer a perfectly straightforward argument for capture of the recursive functions in Q_s . Again our main argument is an induction on the sequence of recursive functions. We show that Q_s captures the initial functions, and then that it captures functions from composition, recursion and regular minimization.

T12.11. On the standard interpretation N for \mathcal{L}_{NT} , any recursive function is captured in Q_s by the original formula by which it is expressed.

By induction on the sequence of recursive functions.

Basis: f_0 is an initial function $\text{suc}(x)$, $\text{zero}(x)$, or $\text{idnt}_k^j(x_1 \dots x_j)$.

(s) The original formula $\mathcal{F}(x, v)$ by which $\text{suc}(x)$ is expressed is $Sx = v$.

Suppose $\langle m, a \rangle \in \text{suc}$.

(i) Since $Sx = v$ expresses $\text{suc}(x)$, $N[S\bar{m} = \bar{a}] = T$; so, since it is Δ_0 , by T12.8, $Q \vdash_{ND} S\bar{m} = \bar{a}$; so $Q_s \vdash_{ND} \mathcal{F}(\bar{m}, \bar{a})$.

(ii) Reason as follows,

1.	$S\bar{m} = \bar{a}$	from (i)
2.	$S\bar{m} = j$	$A(g, \rightarrow I)$
3.	$j = \bar{a}$	1,2 =E
4.	$S\bar{m} = j \rightarrow j = \bar{a}$	2-3 $\rightarrow I$
5.	$\forall z(S\bar{m} = z \rightarrow z = \bar{a})$	4 $\forall I$

So $Q_s \vdash_{ND} \forall z[\mathcal{F}(\bar{m}, z) \rightarrow z = \bar{a}]$.

(oth) It is left as homework to show that $\text{zero}(x)$ is captured by $x = x \wedge v = \emptyset$ and $\text{idnt}_k^j(x_1 \dots x_j)$ by $(x_1 = x_1 \wedge \dots \wedge x_j = x_j) \wedge x_k = v$.

Assp: For any i , $0 \leq i < k$, $f_i(\vec{x})$ is captured in Q_s by the original formula by which it is expressed.

Show: $f_k(\vec{x})$ is captured in Q_s by the original formula by which it is expressed.

f_k is either an initial function or arises from previous members by composition, recursion or regular minimization. If it is an initial function, then as in the basis. So suppose f_k arises from previous members.

(c) $f_k(\vec{x}, \vec{y}, \vec{z})$ arises by composition from $g(\vec{y})$ and $h(\vec{x}, w, \vec{z})$. By assumption $g(\vec{y})$ is captured by some $\mathcal{G}(\vec{y}, w)$ and $h(\vec{x}, w, \vec{z})$ by $\mathcal{H}(\vec{x}, w, \vec{z}, v)$; the original formula $\mathcal{F}(\vec{x}, \vec{y}, \vec{z}, v)$ by which the composition $f(\vec{x}, \vec{y}, \vec{z})$

is expressed is $\exists w[\mathcal{G}(\vec{y}, w) \wedge \mathcal{H}(\vec{x}, w, \vec{z}, v)]$. For simplicity, consider a case where \vec{x} and \vec{z} drop out and \vec{y} is a single variable y . Suppose $\langle m, a \rangle \in f_k$; then by composition there is some b such that $\langle m, b \rangle \in g$ and $\langle b, a \rangle \in h$.

(i) Since $\langle m, a \rangle \in f_k$, and $\mathcal{F}(y, v)$ expresses f , $N[\mathcal{F}(\bar{m}, \bar{a})] = T$; so, since $\mathcal{F}(y, v)$ is Σ_1 , by T12.9, $Q_s \vdash_{ND} \mathcal{F}(\bar{m}, \bar{a})$.

(ii) Since $\mathcal{G}(y, w)$ captures $g(y)$ and $\mathcal{H}(w, v)$ captures $h(w)$, by assumption $Q_s \vdash_{ND} \forall z(\mathcal{G}(\bar{m}, z) \rightarrow z = \bar{b})$ and $Q_s \vdash_{ND} \forall z(\mathcal{H}(\bar{b}, z) \rightarrow z = \bar{a})$. It is then a simple derivation for you to show that $Q_s \vdash_{ND} \forall z(\exists w[\mathcal{G}(\bar{m}, w) \wedge \mathcal{H}(w, z)] \rightarrow z = \bar{a})$.

(r) $f_k(\vec{x}, y)$ arises by recursion from $g(\vec{x})$ and $h(\vec{x}, y, u)$. By assumption $g(\vec{x})$ is captured by some $\mathcal{G}(\vec{x}, v)$ and $h(\vec{x}, y, u)$ by $\mathcal{H}(\vec{x}, y, u, v)$; the original formula $\mathcal{F}(\vec{x}, y, z)$ by which $f_k(\vec{x}, y)$ is expressed is,

$$\exists p \exists q \{ \exists v [\mathcal{B}(p, q, \emptyset, v) \wedge \mathcal{G}(\vec{x}, v)] \wedge (\forall i < y) \exists u \exists v [\mathcal{B}(p, q, i, u) \wedge \mathcal{B}(p, q, Si, v) \wedge \mathcal{H}(\vec{x}, i, u, v)] \wedge \mathcal{B}(p, q, y, z) \}$$

Suppose \vec{x} reduces to a single variable and $\langle m, n, a \rangle \in f_k$. (i) Then since $\mathcal{F}(x, y, z)$ expresses f , $N[\mathcal{F}(\bar{m}, \bar{n}, \bar{a})] = T$; so, since $\mathcal{F}(x, y, z)$ is Σ_1 , by T12.9, $Q_s \vdash_{ND} \mathcal{F}(\bar{m}, \bar{n}, \bar{a})$. And (ii) by T12.12, immediately following, $Q_s \vdash_{ND} \forall w[\mathcal{F}(\bar{m}, \bar{n}, w) \rightarrow w = \bar{a}]$.

(m) $f_k(\vec{x})$ arises by regular minimization from $g(\vec{x}, y)$. By assumption, $g(\vec{x}, y)$ is captured by some $\mathcal{G}(\vec{x}, y, z)$; the original formula by $\mathcal{F}(\vec{x}, v)$ by which $f_k(\vec{x})$ is expressed is $\mathcal{G}(\vec{x}, v, \emptyset) \wedge (\forall y < v) \sim \mathcal{G}(\vec{x}, y, \emptyset)$. Suppose \vec{x} reduces to a single variable and $\langle m, a \rangle \in f_k$.

(i) Since $\langle m, a \rangle \in f_k$, and $\mathcal{F}(x, v)$ expresses f , $N[\mathcal{F}(\bar{m}, \bar{a})] = T$; so since $\mathcal{F}(x, v)$ is Σ_1 , by T12.9, $Q_s \vdash_{ND} \mathcal{F}(\bar{m}, \bar{a})$.

(ii) Reason as follows,

1.	$\mathcal{G}(\bar{m}, \bar{a}, \emptyset) \wedge (\forall y < \bar{a}) \sim \mathcal{G}(\bar{m}, y, \emptyset)$	from (i)
2.	$j < \bar{a} \vee j = \bar{a} \vee \bar{a} < j$	T8.19
3.	$\mathcal{G}(\bar{m}, j, \emptyset) \wedge (\forall y < j) \sim \mathcal{G}(\bar{m}, y, \emptyset)$	A (g, \rightarrow I)
4.	$j < \bar{a}$	A (c, \sim I)
5.	$\mathcal{G}(\bar{m}, j, \emptyset)$	3 \wedge E
6.	$(\forall y < \bar{a}) \sim \mathcal{G}(\bar{m}, y, \emptyset)$	1 \wedge E
7.	$\sim \mathcal{G}(\bar{m}, j, \emptyset)$	6,4 (\forall E)
8.	\perp	5,7 \perp I
9.	$j \not< \bar{a}$	4-8 \sim I
10.	$\bar{a} < j$	A (c, \sim I)
11.	$\mathcal{G}(\bar{m}, \bar{a}, \emptyset)$	1 \wedge E
12.	$(\forall y < j) \sim \mathcal{G}(\bar{m}, y, \emptyset)$	3 \wedge E
13.	$\sim \mathcal{G}(\bar{m}, \bar{a}, \emptyset)$	12,10 (\forall E)
14.	\perp	11,13 \perp I
15.	$\bar{a} \not< j$	10-14 \sim I
16.	$j = \bar{a}$	2,9,15 DS
17.	$[\mathcal{G}(\bar{m}, j, \emptyset) \wedge (\forall y < j) \sim \mathcal{G}(\bar{m}, y, \emptyset)] \rightarrow j = \bar{a}$	3-16 \rightarrow I
18.	$\forall z ([\mathcal{G}(\bar{m}, z, \emptyset) \wedge (\forall y < z) \sim \mathcal{G}(\bar{m}, y, \emptyset)] \rightarrow z = \bar{a})$	17 \forall I

So $Q_s \vdash_{ND} \forall z ([\mathcal{G}(\bar{m}, z, \emptyset) \wedge (\forall y < z) \sim \mathcal{G}(\bar{m}, y, \emptyset)] \rightarrow z = \bar{a})$.

Indct: Any recursive $f(\vec{x})$ is captured by the original formula by which it is expressed in Q_s .

For this argument, we simply rely on the ability of Q to prove particular truths, and so the Σ_1 sentences that express recursive functions. The uniqueness clauses are not Σ_1 , so we have to show them directly. The case for recursion remains outstanding, and is addressed in the theorem immediately following.

T12.12. Suppose $f(\vec{x}, y)$ results by recursion from functions $g(\vec{x})$ and $h(\vec{x}, y, u)$ where $g(\vec{x})$ is captured by some $\mathcal{G}(\vec{x}, v)$ and $h(\vec{x}, y, u)$ by $\mathcal{H}(\vec{x}, y, u, v)$. Then for the original expression $\mathcal{F}(\vec{x}, y, z)$ of $f(\vec{x}, y)$, if $\langle m_1 \dots m_b, n \rangle, a \in f$, $Q_s \vdash \forall w [\mathcal{F}(\bar{m}_1 \dots \bar{m}_b, \bar{n}, w) \rightarrow w = \bar{a}]$.

Suppose \vec{x} reduces to a single variable and $\langle m, n, a \rangle \in f$. When $\langle m, n, a \rangle \in f$, there are $k_0 \dots k_n$ such that $k_n = a$; $k_0 = g(m)$; for $0 \leq i < n$, there are p, q such that $\beta(p, q, i) = k_i$; $\beta(p, q, Si) = k_{Si}$; and $h(m, i, k_i) = k_{Si}$. The argument is by induction on the value of n from $f(m, n) = a$. Observe that \mathcal{F} is long, and we shall better be able to manage the formulas given its general form $\exists p \exists q [\mathcal{P} \wedge \mathcal{Q} \wedge \mathcal{B}]$. Also, given the structure of the definition for this recursion clause, it will be convenient to lapse

into induction scheme III from the [induction schemes](#) reference on p. 388, making the assumption for a single member of the series \bar{n} , and then showing that it holds for the next. Thus, beginning with the basis, we then assume $Q_s \vdash \forall w[\mathcal{F}(\bar{m}, \bar{n}, w) \rightarrow w = \bar{k}_n]$, and show $Q_s \vdash \forall w[\mathcal{F}(\bar{m}, S\bar{n}, w) \rightarrow w = \bar{k}_{S\bar{n}}]$.

Basis: Suppose $n = 0$. From capture, $Q_s \vdash_{ND} \forall z[\mathcal{G}(\bar{m}, z) \rightarrow z = \bar{k}_0]$. By uniqueness of remainder (and generalizing on p and q), $Q_s \vdash_{ND} \forall p \forall q \forall y[(\mathcal{B}(p, q, \emptyset, \bar{k}_0) \wedge \mathcal{B}(p, q, \emptyset, y)) \rightarrow \bar{k}_0 = y]$. \mathcal{F} is of the sort, $\exists p \exists q \{\exists v[\mathcal{B}(p, q, \emptyset, v) \wedge \mathcal{G}(\bar{x}, v)] \wedge \mathcal{Q} \wedge \mathcal{B}(p, q, \emptyset, z)\}$. You need to show $Q_s \vdash \forall w[\exists p \exists q \{\exists v[\mathcal{B}(p, q, \emptyset, v) \wedge \mathcal{G}(\bar{m}, v)] \wedge \mathcal{Q} \wedge \mathcal{B}(p, q, \emptyset, w)\} \rightarrow w = \bar{k}_0]$. This is straightforward. So $Q_s \vdash \forall w[\mathcal{F}(\bar{m}, \emptyset, w) \rightarrow w = \bar{k}_0]$.

Assp: $Q_s \vdash \forall w[\mathcal{F}(\bar{m}, \bar{n}, w) \rightarrow w = \bar{k}_n]$

Show: $Q_s \vdash \forall w[\mathcal{F}(\bar{m}, S\bar{n}, w) \rightarrow w = \bar{k}_{S\bar{n}}]$

From capture, $Q_s \vdash_{ND} \forall w[\mathcal{H}(\bar{m}, \bar{n}, \bar{k}_n, w) \rightarrow w = \bar{k}_{S\bar{n}}]$. And again we make an appeal to uniqueness:

1.	$\forall w[\mathcal{F}(\bar{m}, \bar{n}, w) \rightarrow w = \bar{k}_n]$	by assumption
2.	$\forall w[\mathcal{H}(\bar{m}, \bar{n}, \bar{k}_n, w) \rightarrow w = \bar{k}_{Sn}]$	by capture
3.	$\forall p \forall q \forall y[(\mathcal{B}(p, q, S\bar{n}, \bar{k}_{Sn}) \wedge \mathcal{B}(p, q, S\bar{n}, y)) \rightarrow \bar{k}_{Sn} = y]$	uniqueness
4.	$\mathcal{F}(\bar{m}, S\bar{n}, j)$	A (g, \rightarrow I)
5.	$\exists p \exists q[\mathcal{P}(p, q, \bar{m}) \wedge \mathcal{Q}(p, q, \bar{m}, S\bar{n}) \wedge \mathcal{B}(p, q, S\bar{n}, j)]$	4 abv
6.	$\exists q[\mathcal{P}(p, q, \bar{m}) \wedge \mathcal{Q}(p, q, \bar{m}, S\bar{n}) \wedge \mathcal{B}(p, q, S\bar{n}, j)]$	A (g, \exists E)
7.	$\mathcal{P}(p, q, \bar{m}) \wedge \mathcal{Q}(p, q, \bar{m}, S\bar{n}) \wedge \mathcal{B}(p, q, S\bar{n}, j)$	A (g, \exists E)
8.	$\exists v[\mathcal{B}(p, q, \emptyset, v) \wedge \mathcal{G}(\bar{m}, v)]$	7 \wedge E (\mathcal{P})
9.	$(\forall i < S\bar{n}) \exists u \exists v[\mathcal{B}(p, q, i, u) \wedge \mathcal{B}(p, q, Si, v) \wedge \mathcal{H}(\bar{m}, i, u, v)]$	7 \wedge E (\mathcal{Q})
10.	$\mathcal{B}(p, q, S\bar{n}, j)$	7 \wedge E
11.	$\bar{n} < S\bar{n}$	T8.14
12.	$\exists u \exists v[\mathcal{B}(p, q, \bar{n}, u) \wedge \mathcal{B}(p, q, S\bar{n}, v) \wedge \mathcal{H}(\bar{m}, \bar{n}, u, v)]$	9, 11 (\forall E)
13.	$\exists v[\mathcal{B}(p, q, \bar{n}, u) \wedge \mathcal{B}(p, q, S\bar{n}, v) \wedge \mathcal{H}(\bar{m}, \bar{n}, u, v)]$	A (g, \exists E)
14.	$\mathcal{B}(p, q, \bar{n}, u) \wedge \mathcal{B}(p, q, S\bar{n}, v) \wedge \mathcal{H}(\bar{m}, \bar{n}, u, v)$	A (g, \exists E)
15.	$\mathcal{B}(p, q, \bar{n}, u)$	14 \wedge E
16.	$(\forall i < \bar{n}) \exists u \exists v[\mathcal{B}(p, q, i, u) \wedge \mathcal{B}(p, q, Si, v) \wedge \mathcal{H}(\bar{m}, i, u, v)]$	9 with T8.21
17.	$\mathcal{F}(\bar{m}, \bar{n}, u)$	8, 16, 15 with \exists I
18.	$u = \bar{k}_n$	1, 17 with \forall E
19.	$\mathcal{H}(\bar{m}, \bar{n}, u, v)$	14 \wedge E
20.	$\mathcal{H}(\bar{m}, \bar{n}, \bar{k}_n, v)$	19, 18 =E
21.	$v = \bar{k}_{Sn}$	2, 20 with \forall E
22.	$\mathcal{B}(p, q, S\bar{n}, v)$	14 \wedge E
23.	$\mathcal{B}(p, q, S\bar{n}, \bar{k}_{Sn})$	22, 21 =E
24.	$j = \bar{k}_{Sn}$	3, 10, 23 with \forall E
25.	$j = \bar{k}_{Sn}$	13, 14-24 \exists E
26.	$j = \bar{k}_{Sn}$	12, 13-25 \exists E
27.	$j = \bar{k}_{Sn}$	6, 7-26 \exists E
28.	$j = \bar{k}_{Sn}$	5, 6-27 \exists E
29.	$\mathcal{F}(\bar{m}, S\bar{n}, j) \rightarrow j = \bar{k}_{Sn}$	4-28 \rightarrow I
30.	$\forall w[\mathcal{F}(\bar{m}, S\bar{n}, w) \rightarrow w = \bar{k}_{Sn}]$	29 \forall I

Lines 8 - 10 of show the content of the assumptions on 4 - 7 which are too long to display in expanded form. Once we are able to show $\mathcal{F}(\bar{m}, \bar{n}, u)$ at (17), the inductive assumption lets us “pin” u onto \bar{k}_n . Then uniqueness conditions for \mathcal{H} and \mathcal{B} allow us to move to unique outputs for \mathcal{H} and \mathcal{B} and so for \mathcal{F} . Line 16 perhaps obviously follows from (9), but its derivation may be obscure: by T8.14, $Q \vdash \bar{0} < S\bar{n}$ and ... and $Q \vdash \bar{n} - 1 < S\bar{n}$; so where \mathcal{A} is the formula quantified on (9) by (\forall E), $Q \vdash \mathcal{A}(\bar{0})$ and ... and $Q \vdash \mathcal{A}(\bar{n} - 1)$; then with

T8.21 it follows that $Q \vdash (\forall i < \bar{n}) \mathcal{A}(i)$.

Indct: For any n , $Q_s \vdash_{ND} \forall w [\mathcal{F}(\bar{m}, \bar{n}, w) \rightarrow w = \bar{k}_n]$.

Observe that in both the basis and show clauses we require the generalized uniqueness for \mathcal{B} : this is because it is being applied inside assumptions for $\exists E$, where p and q are arbitrary variables, not numerals \bar{p} and \bar{q} , to which the ordinary notion of capture for \mathcal{B} would apply. So $\forall w [\mathcal{F}(\bar{m}, \bar{n}, w) \rightarrow w = \bar{a}]$. So we satisfy the recursive clause for T12.11. So the theorem is proved. And we have shown that Q_s has the resources to capture any recursive function.

This theorem has a number of attractive features: We show that recursive functions are captured directly by the original formulas by which they are expressed. A byproduct is that recursive functions are captured by Σ_1 formulas. The argument is a straightforward induction on the sequence of recursive functions, of a type we have seen before. But we do not show that recursive functions are captured in Q . It is that to which we now turn.

*E12.13. Complete the demonstration of T12.11 by completing the remaining cases, including the basis and part (ii) of the case for composition.

*E12.14. Produce a derivation to show the basis of T12.12.

E12.15. Return to the simple functions from from E12.9. Show that on the standard interpretation N of \mathcal{L}_{NT} each simple function $f(\vec{x})$ is captured in Q_s by the formula used to express it. Restrict appeal to external theorems just to your result from E12.9 and T8.14 as appropriate.

12.3.3 The result strengthened

T12.11 shows that the recursive functions are captured in Q_s by their Σ_1 original expressers. As we have suggested, this argument is easily strengthened to show that the recursive functions are captured in Q . To do so, we give up the capture by original expressers, though we retain the result that the recursive functions are captured by Σ_1 formulas.

In the previous section, we appealed to uniqueness of remainder for the β -function. In Q_s , the original formula \mathcal{B} captures the β -function, and gives a strengthened uniqueness result important for T12.12. But we can simulate this effect by some easy theorems. Recall that the β -function is originally expressed by a Δ_0 formula \mathcal{B} .

T12.13. If a total function $f(\vec{x})$ is expressed by a Δ_0 formula $\mathcal{F}(\vec{x}, v)$, then $\mathcal{F}'(\vec{x}, v) =_{\text{def}} \mathcal{F}(\vec{x}, v) \wedge (\forall z \leq v)[\mathcal{F}(\vec{x}, z) \rightarrow z = v]$ is Δ_0 and captures f in Q .

Suppose a total $f(\vec{x})$ is expressed by a Δ_0 formula $\mathcal{F}(\vec{x}, v)$. Suppose \vec{x} reduces to a single variable and $\langle m, a \rangle \in f$. (a) Then, $N[\mathcal{F}(\bar{m}, \bar{a})] = T$; and since \mathcal{F} is Δ_0 , by T12.8, $Q \vdash_{ND} \mathcal{F}(\bar{m}, \bar{a})$. (b) Suppose $n \neq a$; then $\langle m, n \rangle \notin f$; so with T12.2, $N[\sim \mathcal{F}(\bar{m}, \bar{n})] = T$ and $N[\mathcal{F}(\bar{m}, \bar{n})] \neq T$; so by T12.8, $Q \vdash_{ND} \sim \mathcal{F}(\bar{m}, \bar{n})$.

(i) From (a), $Q \vdash \mathcal{F}(\bar{m}, \bar{a})$. And $\vdash \bar{a} = \bar{a}$, so $\vdash \mathcal{F}(\bar{m}, \bar{a}) \rightarrow \bar{a} = \bar{a}$; and from (b), for $q < a$, $Q \vdash \sim \mathcal{F}(\bar{m}, \bar{q})$; so trivially, $Q \vdash \mathcal{F}(\bar{m}, \bar{q}) \rightarrow \bar{q} = \bar{a}$; so for any $p \leq a$, $Q \vdash \mathcal{F}(\bar{m}, \bar{p}) \rightarrow \bar{p} = \bar{a}$; so by T8.21, $Q \vdash (\forall z \leq \bar{a})(\mathcal{F}(\bar{m}, z) \rightarrow z = \bar{a})$. So with $\wedge I$, $Q \vdash \mathcal{F}(\bar{m}, \bar{a}) \wedge (\forall z \leq \bar{a})(\mathcal{F}(\bar{m}, z) \rightarrow z = \bar{a})$; which is to say, $Q \vdash \mathcal{F}'(\bar{m}, \bar{a})$.

(ii) Hint: You need to show $Q \vdash \forall w([\mathcal{F}(\bar{m}, w) \wedge (\forall z \leq w)(\mathcal{F}(\bar{m}, z) \rightarrow z = w)] \rightarrow w = \bar{a})$. Take as premises $\mathcal{F}(\bar{m}, \bar{a}) \wedge (\forall z \leq \bar{a})(\mathcal{F}(\bar{m}, z) \rightarrow z = \bar{a})$ from (i), along with $j \leq \bar{a} \vee \bar{a} \leq j$ from T8.19.

So if conditions (a) and (b) are met, \mathcal{F}' captures f . \mathcal{F}' is not the same as the original \mathcal{F} to express the function. Still, since the Δ_0 \mathcal{B} expresses the β -function, \mathcal{B}' captures it in Q .

Intuitively, the second conjunct of \mathcal{F}' asserts explicitly that at most one v satisfies \mathcal{F}' . Thus it is not surprising that formulas of the sort \mathcal{F}' yield a uniqueness result.

T12.14. For $\mathcal{F}'(\vec{x}, v) =_{\text{def}} \mathcal{F}(\vec{x}, v) \wedge (\forall z \leq v)[\mathcal{F}(\vec{x}, z) \rightarrow z = v]$ as above, for any n , $Q \vdash \forall \vec{x} \forall y[(\mathcal{F}'(\vec{x}, \bar{n}) \wedge \mathcal{F}'(\vec{x}, y)) \rightarrow y = \bar{n}]$.

Suppose \vec{x} reduces to a single variable and reason as follows,

1.	$\forall x(x \leq \bar{n} \vee \bar{n} \leq x)$	T8.19
2.	$\mathcal{F}'(j, \bar{n}) \wedge \mathcal{F}'(j, k)$	A (g \rightarrow I)
3.	$\mathcal{F}(j, \bar{n}) \wedge (\forall z \leq \bar{n})(\mathcal{F}(j, z) \rightarrow z = \bar{n})$	2 \wedge E (unabv)
4.	$\mathcal{F}(j, k) \wedge (\forall z \leq k)(\mathcal{F}(j, z) \rightarrow z = k)$	2 \wedge E (unabv)
5.	$k \leq \bar{n} \vee \bar{n} \leq k$	1 \vee E
6.	$k \leq \bar{n}$	A (g \vee E)
7.	$(\forall z \leq \bar{n})(\mathcal{F}(j, z) \rightarrow z = \bar{n})$	3 \wedge E
8.	$\mathcal{F}(j, k) \rightarrow k = \bar{n}$	7,6 (\vee E)
9.	$\mathcal{F}(j, k)$	4 \wedge E
10.	$k = \bar{n}$	8,9 \rightarrow E
11.	$\bar{n} \leq k$	A (g \vee E)
	\vdots	
12.	$k = \bar{n}$	
13.	$k = \bar{n}$	5,6-10,11-12 \vee E
14.	$(\mathcal{F}'(j, \bar{n}) \wedge \mathcal{F}'(j, k)) \rightarrow k = \bar{n}$	2-13 \rightarrow I
15.	$\forall y[(\mathcal{F}'(j, \bar{n}) \wedge \mathcal{F}'(j, y)) \rightarrow y = \bar{n}]$	14 \forall I
16.	$\forall x \forall y[(\mathcal{F}'(x, \bar{n}) \wedge \mathcal{F}'(x, y)) \rightarrow y = \bar{n}]$	15 \forall I

Reasoning for the second subderivation is similar to the first.

So where p, q and v are universally quantified we shall have, $Q \vdash \forall p \forall q \forall v[(\mathcal{B}'(p, q, \bar{m}, \bar{n}) \wedge \mathcal{B}'(p, q, \bar{m}, v)) \rightarrow v = \bar{n}]$. This is what we had before except applied to \mathcal{B}' rather than \mathcal{B} .

Observe also that insofar as $\mathcal{F}'(\vec{x}, v)$ is built on an $\mathcal{F}(\vec{x}, v)$ that expresses $f(\vec{x})$, $\mathcal{F}'(\vec{x}, v)$ continues to express $f(\vec{x})$. Perhaps this is obvious given what \mathcal{F}' says. However, we can argue for the result directly.

T12.15. If $\mathcal{F}(\vec{x}, v)$ expresses a total $f(\vec{x})$, then $\mathcal{F}'(\vec{x}, v) = \mathcal{F}(\vec{x}, v) \wedge (\forall z \leq v)[\mathcal{F}(\vec{x}, z) \rightarrow z = v]$ expresses $f(\vec{x})$.

Suppose \vec{x} reduces to a single variable and total $f(x)$ is expressed by $\mathcal{F}(x, v)$. Suppose $\langle m, a \rangle \in f$. (a) By expression, $N[\mathcal{F}(\bar{m}, \bar{a})] = T$. (b) Suppose $n \neq a$; then $\langle m, n \rangle \notin f$; so with T12.2, $N[\sim \mathcal{F}(\bar{m}, \bar{n})] = T$.

(i) Suppose $N[\mathcal{F}'(\bar{m}, \bar{a})] \neq T$. This is impossible. You will need applications of T12.6 and T10.2; observe that for $n \leq a$ either $n = a$ or $n < a$ (so that $n \neq a$).

(ii) Suppose $N[\forall w([\mathcal{F}(\bar{m}, w) \wedge (\forall z \leq w)(\mathcal{F}(\bar{m}, z) \rightarrow z = w)] \rightarrow w = \bar{a})] \neq T$. This is impossible. This time, you will be able to reason that for any n either $n = a$ or $n \neq a$.

And now we are in a position to recover the main result, except that the recursive functions are captured in Q rather than Q_s .

T12.16. Any recursive function is captured by a Σ_1 formula in Q

The β -function is total and expressed by a Δ_0 formula $\mathcal{B}(p, q, i, v)$; so by T12.15 and T12.13 there is a Δ_0 formula $\mathcal{B}'(p, q, i, v)$ that expresses and captures it in Q. For any $f(\vec{x})$ originally expressed by $\mathcal{F}(\vec{x}, v)$, let \mathcal{F}^\dagger be like \mathcal{F} except that instances of \mathcal{B} are replaced by \mathcal{B}' . Since \mathcal{B}' is Δ_0 , \mathcal{F}^\dagger remains Σ_1 .

The argument is now a matter of showing that demonstrations of T12.3, T12.11 and T12.12 go through with application to these formulas and in Q. But the argument is nearly trivial: everything is the same as before with formulas of the sort \mathcal{F}^\dagger replacing \mathcal{F} .

Be clear that expressions of the sort \mathcal{F}^\dagger might appear all along in the show part of T12.3, T12.11 and T12.12. Expressions from the basis do not involve \mathcal{B} . It is included by recursion; after that, composition and regular minimization might be applied to expressions of any sort, and so to ones which involve \mathcal{B} as well.

As in for the case of expression, formulas other than $\mathcal{F}^\dagger(\vec{x}, v)$ might capture the recursive functions — for example, if $\mathcal{F}^\dagger(\vec{x}, v)$ captures $f(\vec{x})$, then so does $\mathcal{F}^\dagger(\vec{x}, v) \wedge \mathcal{A}$ for any theorem \mathcal{A} . Let us say that $\mathcal{F}^\dagger(\vec{x}, v)$ is the *canonical* formula that captures $f(\vec{x})$ in Q. Of course, the canonical formula which captures $f(\vec{x})$ need not be the same as the corresponding original formula — for the β -function is not captured by its original formula (and so any formula which includes a β -function fails to be original). Because the β -function is captured by a Δ_0 formula we do, however, retain the result that every recursive function is captured in Q by some Σ_1 formula.

For the rest of this chapter, unless otherwise noted, when we assert the existence of a formula to express or some capture recursive function, we shall have in mind the *canonical* formula. Thus a function is expressed and captured by the same formula.

E12.16. Provide an argument to demonstrate (ii) of T12.13.

E12.17. Finish the derivation for T12.14 by completing the second subderivation.

E12.18. Complete the demonstration of T12.15.

*E12.19. Work carefully through the demonstration of T12.16 by setting up revised arguments T12.3[†], T12.11[†] and T12.12[†]. As feasible, you may simply explain how parts differ from the originals.

12.4 More Recursive Functions

Now that we have seen what the recursive functions are, and the powers of our logical systems to express and capture recursive functions, we turn to extending their range. In fact, in this section, we shall generate a series of functions that are *primitive* recursive. In addition to the initial functions, so far, we have seen that **plus**, **times**, **fact** and **power** are primitive recursive. As we increase the range of (primitive) recursive functions, it immediately follows that our logical systems have the power to express and capture all the same functions.

12.4.1 Preliminary Functions

We begin with some simple primitive recursive functions that will serve as a foundation for things to come.

Predecessor with cutoff. Set the predecessor of zero to zero itself, and for any other value to the one before. Since $\text{pred}(y)$ is a one-place function, gpred is a constant, in this case, $\text{gpred} = 0$. And $\text{hpred} = \text{idnt}_1^2(y, u)$. So, as we expect for $\text{pred}(y)$,

$$\begin{aligned}\text{pred}(0) &= 0 \\ \text{pred}(\text{suc}(y)) &= y\end{aligned}$$

So predecessor is a primitive recursive function.

Subtraction with cutoff. When $y \geq x$, $\text{subc}(x, y) = 0$. Otherwise $\text{subc}(x, y) = x - y$. For $\text{subc}(x, y)$, set $\text{gsubc}(x) = \text{idnt}_1^1(x)$. And $\text{hsubc}(x, y, u) = \text{pred}(\text{idnt}_3^3(x, y, u))$. So,

$$\begin{aligned}\text{subc}(x, 0) &= x \\ \text{subc}(x, \text{suc}(y)) &= \text{pred}(\text{subc}(x, y))\end{aligned}$$

So as y increases by one, the difference decreases by one. Informally, indicate $\text{subc}(x, y) = (x \dot{-} y)$.

Absolute value. $\text{absval}(x - y) = (x \dot{-} y) + (y \dot{-} x)$. So we find the absolute value of the difference between x and y by doing the subtraction with cutoff both ways. One direction yields zero. The other yields the value we want. So the sum comes out to the absolute value. This is a function with two arguments (only separated by ‘-’ rather than comma to remind us of the nature of the function). This function results entirely by composition, without a recursion clause. Informally, we indicate absolute value in the usual way, $\text{absval}(x - y) = |x - y|$.

Sign. The function $\text{sg}(y)$ is zero when y is zero and otherwise one. For $\text{sg}(y)$, set $\text{sg}(0) = 0$. And $\text{hsg}(y, u) = \text{suc}(\text{zero}(\text{idnt}_1^2(y, u)))$. So,

$$\begin{aligned}\text{sg}(0) &= 0 \\ \text{sg}(\text{suc}(y)) &= \text{suc}(\text{zero}(y))\end{aligned}$$

So the sign of any successor is just the successor of zero, which is one.

Converse sign. The function $\text{csg}(y)$ is one when y is zero and otherwise zero. So it inverts sg . For $\text{csg}(y)$, set $\text{gcsg} = \text{suc}(0)$. And $\text{hcsg}(y, u) = \text{zero}(\text{idnt}_1^2(y, u))$. So,

$$\begin{aligned}\text{csg}(0) &= \text{suc}(0) \\ \text{csg}(\text{suc}(y)) &= \text{zero}(y)\end{aligned}$$

So the converse sign of any successor is just zero. Informally, we indicate the converse sign with a bar, $\overline{\text{sg}}(y)$.

E12.20. Consider again your file `recursive1.rb` from E12.3. Extend your sequence of functions to include $\text{pred}(x)$, $\text{subc}(x, y)$, $\text{absval}(x - y)$, $\text{sg}(x)$, and $\text{csg}(x)$. Calculate some values of these functions and print the results, along with your program. Again, there should be no appeal to functions except from earlier in the chain.

12.4.2 Characteristic Functions

The characteristic function $\text{ch}_R(\vec{x})$ of a relation R takes the value 0 when $\vec{x} \in R$ and 1 when $\vec{x} \notin R$.

(CF) For any function $p(\vec{x})$, $\text{sg}(p(\vec{x}))$ is the *characteristic* function of the relation R such that $\vec{x} \in R$ iff $\text{sg}(p(\vec{x})) = 0$.

So a characteristic function for relation R takes the value 0 if $R(\vec{x})$ is true, and 1 if $R(\vec{x})$ is not true.⁷ A (primitive) recursive property or relation is one that has a (primitive) recursive characteristic function. When a function p already takes just the values 0 and 1 so that $\text{sg}(p(\vec{x})) = p(\vec{x})$, we generally omit sg from our specifications.

These definitions immediately result in corollaries to T12.3 and T12.16.

T12.3 (corollary). On the standard interpretation N of \mathcal{L}_{NT} , each recursive relation $R(\vec{x})$ is expressed by some formula $\mathcal{R}(\vec{x})$.

Suppose $R(\vec{x})$ is a recursive relation; then has a recursive and so total characteristic function $\text{ch}_R(\vec{x})$; so by T12.3 there is some formula $\mathcal{R}(\vec{x}, y)$ that expresses $\text{ch}_R(\vec{x})$. So in the case where \vec{x} reduces to a single variable, if $m \in R$, then $\langle m, 0 \rangle \in \text{ch}_R$; and by expression, $I[\mathcal{R}(\overline{m}, 0)] = T$; and if $m \notin R$, then $\langle m, 0 \rangle \notin \text{ch}_R$, so that with T12.2, $I[\sim \mathcal{R}(\overline{m}, 0)] = T$. So, generally, $\mathcal{R}(\vec{x}, 0)$ expresses $R(\vec{x})$.

T12.16 (corollary). Any recursive relation is captured by a Σ_1 formula in Q .

Suppose $R(\vec{x})$ is a recursive relation; then it has a recursive and so total characteristic function $\text{ch}_R(\vec{x})$; so by T12.16 there is some Σ_1 formula $\mathcal{R}(\vec{x}, y)$ that captures $\text{ch}_R(\vec{x})$. So in the case where \vec{x} reduces to a single variable, if $m \in R$, then $\langle m, 0 \rangle \in \text{ch}_R$; and by capture $T \vdash \mathcal{R}(\overline{m}, 0)$; and if $m \notin R$, then $\langle m, 0 \rangle \notin \text{ch}_R$; so by capture with T12.4, $T \vdash \sim \mathcal{R}(\overline{m}, 0)$. So, generally $\mathcal{R}(\vec{x}, 0)$ captures $R(\vec{x})$.

So our results for the expression and capture of recursive functions extend directly to the expression and capture of recursive relations: a recursive relation has a recursive characteristic function; as such, the function is expressed and captured; so, as we have just seen, the corresponding relation is expressed and captured.

Equality. Say $t(\vec{x})$ is a *recursive term* just in case it is a variable, constant, or a recursive function. Then for any recursive terms $s(\vec{x})$ and $t(\vec{y})$, $\text{EQ}(s(\vec{x}), t(\vec{y}))$ — typically rendered $s(\vec{x}) = t(\vec{y})$, is a recursive relation with characteristic function $\text{ch}_{\text{EQ}}(\vec{x}, \vec{y}) = \text{sg}|s(\vec{x}) - t(\vec{y})|$. When $s(\vec{x})$ is equal to $t(\vec{y})$, the absolute value of the difference is zero so the value of sg is zero. But when $s(\vec{x})$ is other than $t(\vec{y})$, the absolute value of the difference is other than zero, so value of sg is one. And, supposing that $s(\vec{x})$ and $t(\vec{y})$ are recursive, this characteristic function is a composition of recursive functions. So the result is recursive. So $s(\vec{x}) = t(\vec{y})$ is a recursive relation.

⁷It is perhaps more common to reverse the values of zero and one for the characteristic function. However, the choice is arbitrary, and this choice is technically convenient.

A couple of observations: First, be clear that EQ is the standard relation we all know and love. The trick is to show that it is recursive. We are not *given* that EQ is a recursive relation — so we demonstrate that it is, by showing that it has a recursive characteristic function. Second, one might think that we could express $f(\vec{x}) = g(\vec{y})$ by some relatively simple expression that would compose expressions for the functions with equality as, $\exists u \exists v [\mathcal{F}(\vec{x}, u) \wedge \mathcal{G}(\vec{y}, v) \wedge u = v]$. This would be fine. However we have offered a general account which, as is often the case for these things, need not be the most efficient. Where $\text{sg}[f(\vec{x}) - g(\vec{y})]$ is expressed and captured by some $\mathcal{S}(\vec{x}, \vec{y}, v)$ our approach, which works by modification of the characteristic function, generates the relatively complex, $\mathcal{E}(\vec{x}, \vec{y}) =_{\text{def}} \mathcal{S}(\vec{x}, \vec{y}, \emptyset)$.

Inequality. The relation $\text{LEQ}(\text{s}(\vec{x}), \text{t}(\vec{y}))$ has characteristic function $\text{sg}(\text{s}(\vec{x}) \dot{-} \text{t}(\vec{y}))$. When $\text{s}(\vec{x}) \leq \text{t}(\vec{y})$, $\text{s}(\vec{x}) \dot{-} \text{t}(\vec{y}) = 0$; so $\text{sg} = 0$; Otherwise the value is 1. The relation $\text{LESS}(\text{s}(\vec{x}), \text{t}(\vec{y}))$ has characteristic function $\text{sg}(\text{suc}(\text{s}(\vec{x})) \dot{-} \text{t}(\vec{y}))$. When $\text{s}(\vec{x}) < \text{t}(\vec{y})$, $\text{suc}(\text{s}(\vec{x})) \dot{-} \text{t}(\vec{y}) = 0$; so $\text{sg} = 0$. Otherwise the value is 1. These are typically represented $\text{s}(\vec{x}) \leq \text{t}(\vec{y})$ and $\text{s}(\vec{x}) < \text{t}(\vec{y})$.

With equality and inequality, we have atomic recursive relations. And we set out to exhibit ones that are more complex in the usual way.

Truth functions. Suppose $P(\vec{x})$ and $Q(\vec{x})$ are recursive relations. Then $\text{NEG}(P(\vec{x}))$ and $\text{DSJ}(P(\vec{x}), Q(\vec{x}))$ are recursive relations. Suppose $\text{ch}_P(\vec{x})$ and $\text{ch}_Q(\vec{x})$ are the characteristic functions of $P(\vec{x})$ and $Q(\vec{x})$.

$\text{NEG}(P(\vec{x}))$ (typically $\sim P(\vec{x})$) has characteristic function $\overline{\text{sg}}(\text{ch}_P(\vec{x}))$. When $P(\vec{x})$ does not obtain, the characteristic function of $P(\vec{x})$ takes value one, so the converse sign goes to zero. And when $P(\vec{x})$ does obtain, its characteristic function is zero, so the converse sign is one — which is as it should be.

$\text{DSJ}(P(\vec{x}), Q(\vec{y}))$ (typically $P(\vec{x}) \vee Q(\vec{y})$) has characteristic function $\text{ch}_P(\vec{x}) \times \text{ch}_Q(\vec{y})$. When one of $P(\vec{x})$ or $Q(\vec{y})$ is true, the disjunction is true; but in this case, at least one characteristic function, and so the product of functions goes to zero. If neither $P(\vec{x})$ nor $Q(\vec{y})$ is true, the disjunction is not true; in this case, both characteristic functions, and so the product of functions take the value one.

Other truth functions are definable in the same terms as for negation and disjunction. So, for example, $\text{IMP}(P(\vec{x}), Q(\vec{y}))$ that is, $P(\vec{x}) \rightarrow Q(\vec{y})$ is just $\sim P(\vec{x}) \vee Q(\vec{y})$.

Bounded quantifiers: Consider a relation $\text{s}(\vec{x}, z) = (\exists y \leq z) P(\vec{x}, z, y)$ which obtains when there is a y less than or equal to z such that $P(\vec{x}, z, y)$. As usual, y is distinct from the bound z (compare the [language of arithmetic](#) reference). But z may

appear as a variable of the relation P (as for *factor* or *prime number* just below); so we give it a place in our general form. Given $ch_P(\vec{x}, z, y)$, consider a further relation $R(\vec{x}, z, v)$ corresponding to $(\exists y \leq v)P(\vec{x}, z, y)$. So R treats the bound as a separate variable, and will let us reason by induction as the bound ranges from 0 to z . If we can find $ch_R(\vec{x}, z, v)$ then $ch_S(\vec{x}, z)$ is automatic as $ch_R(\vec{x}, z, z)$. For this $ch_R(\vec{x}, z, v)$ set,

$$\begin{aligned} gch_R(\vec{x}, z) &= ch_P(\vec{x}, z, 0) \\ hch_R(\vec{x}, z, v, u) &= u \times ch_P(\vec{x}, z, Sv) \end{aligned}$$

In the simple case where \vec{x} drops out, $ch_R(z, 0) = ch_P(z, 0)$. And $ch_R(z, Sv) = ch_R(z, v) \times ch_P(z, Sv)$. In the case where v is a successor, the result is,

$$ch_R(z, v) = ch_P(z, 0) \times ch_P(z, 1) \times \dots \times ch_P(z, v)$$

Think of these as grouped to the left. So the result has $ch_R(z, n) = 1$ unless and until one of the members is zero, and then stays zero. So the function for $R(z, n)$ goes to zero just in case $P(z, v)$ is true for some value between 0 and n . So set $ch_S(\vec{x}, z) = ch_R(\vec{x}, z, z)$ — so the characteristic function for the bounded quantifier runs the R function up to the bound z .

For $(\exists y < z)P(\vec{x}, z, y)$, it simplest simply to take $(\exists y \leq z)(y \neq z \wedge P(\vec{x}, z, y))$. For $(\forall z \leq y)P(\vec{x}, z)$ and $(\forall z < y)P(\vec{x}, z)$, we may consider $\sim(\exists z \leq y)\sim P(\vec{x}, z)$; and similarly in the other case. And we are done by previous results.

Least element: Let $m(\vec{x}, z) = (\mu y \leq z)P(\vec{x}, z, y)$ be the least $y \leq z$ such that $P(\vec{x}, z, y)$ if one exists, and otherwise z . Again, the bound may be a variable free in P . Then if $P(\vec{x}, z, y)$ is a recursive relation, $(\mu y \leq z)P(\vec{x}, z, y)$ is a recursive function. First take $R(\vec{x}, z, v)$ for $(\exists y \leq v)P(\vec{x}, z, y)$ and $ch_R(\vec{x}, z, v)$ as described above. So $ch_R(\vec{x}, z, v)$ goes to 0 when P is true for some $j \leq v$. Then, second, we introduce a function $q(\vec{x}, z, v)$ whose output is the value of $(\mu y \leq v)P(\vec{x}, z, y)$. Given this, very much as before, $m(\vec{x}, z)$ is automatic as $q(\vec{x}, z, z)$. For $q(\vec{x}, z, v)$ set,

$$\begin{aligned} gq(\vec{x}, z) &= \text{zero}(ch_R(\vec{x}, z, 0)) \\ hq(\vec{x}, z, v, u) &= u + ch_R(\vec{x}, z, v) \end{aligned}$$

So in the simple case where \vec{x} drops out, $q(z, 0) = 0$; for the least $y \leq 0$ that satisfies any $P(z, y)$ can only be 0. And then $q(z, Sv) = q(z, v) + ch_R(z, v)$. The result is,

$$q(z, Sn) = 0 + ch_R(z, 0) + \dots + ch_R(z, n)$$

where ch_R is 1 until it hits a member that is P and then goes to 0 and stays there. Set the first member to the side. Then since this series starts with $v = 0$ and ends with $v = n$ it has Sn members. So if all the values are 1 it evaluates to Sn . If there is some a such that $ch_R(z, a)$ is zero, then all the members prior to it are 1 and the sum is a .

So set $m(\vec{x}, z) = q(\vec{x}, z, z)$, so that we take the sum up to the limit z . Observe that $(\mu y \leq z)P(\vec{x}, z, y) = z$ does not require that $P(\vec{x}, z, z)$ — only that no $a < z$ is such that $P(\vec{x}, z, a)$.

Selection by cases. Suppose $f_0(\vec{x}) \dots f_k(\vec{x})$ are recursive functions and $c_0(\vec{x}) \dots c_k(\vec{x})$ are mutually exclusive recursive relations. Then $f(\vec{x})/c_0 \dots c_k$ defined as follows is recursive.

$$f(\vec{x}) = \begin{cases} f_0(\vec{x}) & \text{if } c_0(\vec{x}) \\ f_1(\vec{x}) & \text{if } c_1(\vec{x}) \\ \vdots & \\ f_k(\vec{x}) & \text{if } c_k(\vec{x}) \\ \text{and otherwise } a \end{cases}$$

Observe that, $f(\vec{x}) =$

$$\frac{[\overline{\text{sg}}(\text{ch}_{c_0}(\vec{x})) \times f_0(\vec{x}) + \overline{\text{sg}}(\text{ch}_{c_1}(\vec{x})) \times f_1(\vec{x}) + \dots + \overline{\text{sg}}(\text{ch}_{c_k}(\vec{x})) \times f_k(\vec{x})] + [\text{ch}_{c_0}(\vec{x}) \times \text{ch}_{c_1}(\vec{x}) \times \dots \times \text{ch}_{c_k}(\vec{x}) \times a]}{[\text{ch}_{c_0}(\vec{x}) \times \text{ch}_{c_1}(\vec{x}) \times \dots \times \text{ch}_{c_k}(\vec{x}) \times a]}$$

works as we want. Each of the first terms in this sum is 0 unless the c_i is met in which case $\overline{\text{sg}}(\text{ch}_{c_i}(\vec{x}))$ is 1 and the term goes to $f_i(\vec{x})$. The final term is 0 unless no condition c_i is met, in which case it is a . So $f(\vec{x})$ is a composition of recursive functions, and itself recursive.

We turn now to some applications that will be particularly useful for things to come. In many ways, the project is like a cool translation exercise — pitched at the level of functions.

Factor. Let $\text{FCTR}(m, n)$ be the relation that obtains between m and n when $m + 1$ evenly divides n (typically, $m \mid n$). Division is by $m + 1$ to avoid worries about division by zero.⁸ Then $m \mid n$ is recursive. This relation is defined as follows.

$$(\exists y \leq n)(Sm \times y = n)$$

Observe that this makes (the predecessor of) both 1 and n factors of n , and any number a factor of zero. Since each part is recursive, the whole is recursive. The argument is from the parts to the whole: $Sm \times y = n$ has a recursive characteristic

⁸In fact, this is a (minor) complication at this stage, but it will be helpful down the road. See p. 645n10.

function; so the bounded quantification has a recursive characteristic function; so the factor relation is recursive.

Prime number. Say $\text{PRIME}(n)$ is true just when n is a prime number. This property is defined as follows.

$$n > 1 \wedge (\forall j < n)[j \mid n \rightarrow (Sj = 1 \vee Sj = n)]$$

So n is greater than 1 and the successor of any number that divides it is either $\bar{1}$ or n itself.

Prime sequence. Say the primes are π_0, π_1, \dots . Let the value of the function $\text{pi}(n)$ (usually $\pi(n)$) be π_n . Then $\pi(n)$ is defined by recursion as follows.

$$\begin{aligned} \text{gpi} &= \text{suc}(\text{suc}(0)) \\ \text{hpi}(y, u) &= (\mu y \leq u! + 1)(u < y \wedge \text{PRIME}(y)) \end{aligned}$$

So the first prime, $\pi(0) = 2$. And $\pi(Sn) = (\mu y \leq \pi(n)! + 1)(\pi(n) < y \wedge \text{PRIME}(y))$. So at any stage, the next prime is the least prime which is greater than $\pi(n)$. This depends on the point that all the primes $\leq \pi_n$ are included in the product $\pi(n)!$. Let $p(n) = \pi_0 \times \pi_1 \times \dots \times \pi_n$. By a standard argument (see G2 in the [arithmetic for Gödel numbering](#) reference, p. 480), $p(n) + 1$ is not divisible by any of the primes up to π_n ; so either $p(n) + 1$ is itself prime, or there is some prime greater than π_n but less than $p(n) + 1$. But since $\pi(n)!$ is a product including all the primes up to π_n , $p(n) \leq \pi(n)!$; so either $\pi(n)! + 1$ is prime or there is a prime greater than π_n but less than $\pi(n)! + 1$ — and the next prime is sure to appear in the specified range.

Prime exponent. Let $\text{exp}(n, i)$ be the (possibly 0) exponent of π_i in the unique prime factorization of n . Then $\text{exp}(n, i)$ is recursive. This function may be defined as follows.

$$(\mu x \leq n)[\text{pred}(\pi_i^x) \mid n \wedge \text{pred}(\pi_i^{x+1}) \nmid n]$$

And, of course, π_i is just $\pi(i)$. Observe that no exponent in the prime factorization of n is greater than n itself — for any $x \geq 2$, $x^n \geq n$ — so the bound is safe. This function returns the first x such that π_i^x divides n but π_i^{x+1} does not.

Prime length. Say a prime π_a is *included* in the factorization of n just in case there is some $b \geq a$ and $e > 0$ such that (the predecessor of) π_b^e is a factor of n . So we think of a prime factorization as,

$$\pi_0^{e_0} \times \pi_1^{e_1} \times \dots \times \pi_b^{e_b}$$

where $e_b > 0$, but exponents for prior members of the series may be zero or not. Then $\text{len}(n)$ is the number of primes included in the prime factorization of n ; so $\text{len}(0) = \text{len}(1) = 0$ and otherwise, since the series of primes begins with zero, $\text{len}(n) = b + 1$. For this set,

$$\text{len}(n) =_{\text{def}} (\mu y \leq n)(\forall z : y \leq z \leq n) \exp(n, z) = 0$$

Officially: $(\mu y \leq n)(\forall z \leq n)[z \geq y \rightarrow \exp(n, z) = 0]$. So we find the least y such that none of the primes between π_y and π_n are part of the factorization of n ; but then all of the primes prior to it are members of the factorization so that y numbers the length of the factorization. This depends on its being the case that $n < \pi_n$ so that primes greater than or equal π_n are never included in the factorization of n .

E12.21. Returning to your file `recursive1.rb` from E12.3 and E12.20, extend the sequence of functions to include the characteristic function for `FCTR(m, n)`. You will need to begin with `cheq(a, b)` for the characteristic function of $a = b$ and then the characteristic function of $\text{Sm} \times y = n$. Then you will require a function like `chR(m, n, v)` corresponding to $(\exists y \leq v)(\text{Sm} \times y = n)$. Calculate some values of these functions and print the results, along with your program.

E12.22. Continue in your file `recursive1.rb` to build the characteristic function for `PRIME(n)`. You will have to build gradually to this result (where the universal quantifier appears as $\sim(\exists j \leq n)(j \neq n \wedge \sim P)$). You will need `chless(a, b)` and then `chneg(a)`, `chdsj(a, b)`, `chimp(a, b)`, and `chand(a, b)` for the relevant truth functions. With these in hand, you can build a function `chp(n, j)` corresponding to $j \neq n \vee \sim(j \mid n \rightarrow (Sj = 0 \vee Sj = n))$. And with that, you can obtain a function like `R(n, j, v)` and then the characteristic function of the bounded existential. Then, finally, build `prime(n)`. Calculate some values of these functions and print the results, along with your program.

E12.23. Continue in your file `recursive1.rb` to generate $\text{lcm}(m, n)$ the least common multiple of S_m and S_n — that is, $(\mu y \leq S_m \times S_n)[y > 0 \wedge m \mid y \wedge n \mid y]$. For this you will need the characteristic function of $y > 0 \wedge m \mid y \wedge n \mid y$; and then one like $\text{ch}_R(m, n, v)$ corresponding to $(\exists y \leq v)[y > 0 \wedge m \mid y \wedge n \mid y]$. Then you will be able to find the function like $q(m, n, v)$ corresponding to $(\mu y \leq v)[y > 0 \wedge m \mid y \wedge n \mid y]$ and finally the lcm .

E12.24. Provide definitions for the recursive functions $\text{rm}(m, n)$ and $\text{qt}(m, n)$ for the remainder and quotient of $m/n + 1$.

*E12.25. Functions $f_1(\vec{x}, y)$ and $f_2(\vec{x}, y)$ are defined by *simultaneous* (mutual) recursion just in case,

$$f_1(\vec{x}, 0) = g_1(\vec{x})$$

$$f_2(\vec{x}, 0) = g_2(\vec{x})$$

$$f_1(\vec{x}, Sy) = h_1(\vec{x}, y, f_1(\vec{x}, y), f_2(\vec{x}, y))$$

$$f_2(\vec{x}, Sy) = h_2(\vec{x}, y, f_1(\vec{x}, y), f_2(\vec{x}, y))$$

Show that f_1 and f_2 so defined are recursive. Hint: Let $F(\vec{x}, y) = \pi_0^{f_1(\vec{x}, y)} \times \pi_1^{f_2(\vec{x}, y)}$; then find $G(\vec{x})$ in terms of g_1 and g_2 , and $H(\vec{x}, y, u)$ in terms of h_1 and h_2 so that $F(\vec{x}, 0) = G(\vec{x})$ and $F(\vec{x}, Sy) = H(\vec{x}, y, F(\vec{x}, y))$. So $F(\vec{x}, y)$ is recursive. Then $f_1(\vec{x}, y) = \exp(F(\vec{x}, y), 0)$ and $f_2(\vec{x}, y) = \exp(F(\vec{x}, y), 1)$; so f_1 and f_2 are recursive.

12.4.3 Arithmetization

Our aim in this section is to assign numbers to expressions and sequences of expressions in \mathcal{L}_{NT} and build a (primitive) recursive property $\text{PRFQ}(m, n)$ which is true just in case m numbers a sequence of expressions that is a proof of the expression numbered by n . This requires a number of steps. In this part, we develop at least the notion of a *sentential* proof which should be sufficient for the general idea. The next section develops details for the full quantificational case.

Gödel numbers. We begin with a strategy familiar from 10.2.2 and 10.3.2 (to which you may find it helpful to refer), now adapted to \mathcal{L}_{NT} . The idea is to assign numbers to symbols and expressions of \mathcal{L}_{NT} . Then we shall be able to operate on the associated numbers by means of ordinary numerical functions. Insofar as the

variable symbols in any quantificational language are countable, they are capable of being sorted into series, $x_0, x_1 \dots$. Supposing that this is done, begin by assigning to each symbol α in \mathcal{L}_{NT} an integer $g[\alpha]$ called its *Gödel Number*.

- | | |
|-------------------------|------------------------|
| a. $g[(] = 3$ | f. $g[\forall] = 13$ |
| b. $g[)] = 5$ | g. $g[\emptyset] = 15$ |
| c. $g[\sim] = 7$ | h. $g[S] = 17$ |
| d. $g[\rightarrow] = 9$ | i. $g[+] = 19$ |
| e. $g[=] = 11$ | j. $g[\times] = 21$ |
| k. $g[x_i] = 23 + 2i$ | |

So, for example, $g[x_5] = 23 + 2 \times 5 = 33$. Clearly each symbol gets a unique Gödel number, and Gödel numbers for individual symbols are odd positive integers.⁹

Now we are in a position to assign a Gödel number to each formula as follows: Where $\alpha_0, \alpha_1 \dots \alpha_n$ are the symbols, in order from left to right, in some expression \mathcal{Q} ,

$$g[\mathcal{Q}] = 2^{g[\alpha_0]} \times 3^{g[\alpha_1]} \times 5^{g[\alpha_2]} \times \dots \times \pi_n^{g[\alpha_n]}$$

where $2, 3, 5 \dots \pi_n$ are the first n prime numbers. So, for example, $g[x_0 \times x_5] = 2^{23} \times 3^{21} \times 5^{33}$. This is a big integer. But it is an integer, and different expressions get different Gödel numbers. Given a Gödel number, we can find the corresponding expression by finding its prime factorization; then if there are twenty-three 2s in the factorization, the first symbol is x_0 ; if there are twenty-one 3s, the second symbol is \times ; and so forth. Notice that numbers for individual symbols are odd, where numbers for expressions are even.

Now consider a sequence of expressions, $\mathcal{Q}_0, \mathcal{Q}_1 \dots \mathcal{Q}_n$ (as in an axiomatic derivation). These expressions have Gödel numbers $g_0, g_1 \dots, g_n$. Then,

$$\pi_0^{g_0} \times \pi_1^{g_1} \times \pi_2^{g_2} \times \dots \times \pi_n^{g_n}$$

is the *super* Gödel number for the sequence $\mathcal{Q}_0, \mathcal{Q}_1 \dots \mathcal{Q}_n$. Again, given a super Gödel number, we can find the corresponding expressions by finding its prime factorization; then, if there are g_0 2s, we can proceed to the prime factorization of g_0 , to discover the symbols of the first expression; and so forth. Observe that super Gödel numbers are even, but are distinct from Gödel numbers for expressions, insofar as the exponent of 2 in the factorization of any expression is odd (the first element of any expression is a symbol and so has an odd number); and the exponent of 2 in the

⁹There are many ways to do this, we pick just one.

factorization of any super Gödel number is even (the first element of a sequence is an expression and so has an even number).

Recall that $\text{exp}(n, i)$ returns the exponent of π_i in the prime factorization of n . So for a Gödel number n , $\text{exp}(n, i)$ returns the code of α_i ; and for a super Gödel number n , $\text{exp}(n, i)$ returns the code of \mathcal{Q}_i .

Where \mathcal{P} is any expression, let $\ulcorner \mathcal{P} \urcorner$ be its Gödel number; and $\overline{\ulcorner \mathcal{P} \urcorner}$ the standard numeral for its Gödel number. Indicate individual symbol codes with angle quotes around the symbol. So $\langle \emptyset \rangle = 15$ but $\ulcorner \emptyset \urcorner = 2^{15}$ — for we take the number of the bracketed *expression*.

Concatenation. Suppose m and n number expressions or sequences of expressions. Then the function $\text{cncat}(m, n)$ — ordinarily indicated $m \star n$, returns the Gödel number of the expression or sequence with Gödel number m followed by the expression or sequence with Gödel number n . So $\ulcorner x \times y \urcorner \star \ulcorner = z \urcorner = \ulcorner x \times y = z \urcorner$, for some numbered variables x , y and z . This function is (primitive) recursive. Recall that $\text{len}(n)$ is recursive and returns the number of distinct prime factors of n . Set $m \star n$ to,

$$(\mu x \leq B_{m,n})[x \geq 1 \wedge (\forall i < \text{len}(m))\{\text{exp}(x, i) = \text{exp}(m, i)\} \wedge (\forall i < \text{len}(n))\{\text{exp}(x, i + \text{len}(m)) = \text{exp}(n, i)\}]$$

We search for the least number x (greater than or equal to one) such that exponents of initial primes in its factorization match the exponents of primes in m and exponents of primes later match exponents of primes in n . The bounded quantifiers take $i < \text{len}(m)$ and $i < \text{len}(n)$ insofar as len returns the number of primes, but $\text{exp}(x, i)$ starts the list of primes at 0; so if $\text{len}(m) = 3$, its primes are π_0 , π_1 and π_2 . So the first $\text{len}(m)$ exponents of x are the same as the exponents in m , and the next $\text{len}(n)$ exponents of x are the same as the exponents in n .

To ensure that the function is recursive, we use the bounded least element quantifier as main operator, where $B_{m,n}$ is the bound under which we search for x . In this case it is sufficient to set

$$B_{m,n} = \left(\pi_{\text{len}(m)+\text{len}(n)}^{m+n} \right)^{\text{len}(m)+\text{len}(n)}$$

The idea is that all the primes in x will be $\leq \pi_{\text{len}(m)+\text{len}(n)}$. And any exponent in the factorization of m must be $\leq m$ and any exponent for n must be $\leq n$; so that $m + n$ is greater than any exponent in the factorization of x . So B results from multiplying a prime larger than any in x to a power greater than that of any in x together as many times as there are primes in x ; so x must be smaller than B .

Observe that corresponding to association for multiplication $(m \star n) \star o = m \star (n \star o)$; so we often drop parentheses for the concatenation operation. Also the requirement that $m \star n \geq 1$ does not usually matter since we will be interested in cases with $m, n > 1$; it does, however have the advantage that $m \star n$ is always equivalent to the product of its primes — where this will smooth results down the road (see, for example T13.47i,m).

Terms and Atomics. $\text{TERM}(n)$ is true iff n is the Gödel number of a term. Think of the trees on which we show that an expression is a term. Put formally, for any term t_n , there is a *term sequence* $t_0, t_1 \dots t_n$ such that each expression is either,

- a. \emptyset
- b. a variable
- c. $S t_j$ where t_j occurs earlier in the sequence
- d. $+ t_i t_j$ where t_i and t_j occur earlier in the sequence
- e. $\times t_i t_j$ where t_i and t_j occur earlier in the sequence

where we represent terms in unabbreviated form. A term is the last element of such a sequence. Let us try to say this.

First, $\text{VAR}(n)$ is true just in case n is the Gödel number of a variable — conceived as an expression, rather than a symbol. Then VAR is (primitive) recursive. Set,

$$\text{VAR}(n) =_{\text{def}} (\exists x \leq n)(n = 2^{23+2x})$$

If there is such an x , then n must be the Gödel number of a variable. And it is clear that this x is less than n itself. So the result is recursive.

Now $\text{TERMSEQ}(m, n)$ is true when m is the super Gödel number of a sequence of terms whose last member has Gödel number n . For $\text{TERMSEQ}(m, n)$ set,

$$\begin{aligned} \text{exp}(m, \text{len}(m) \dot{-} 1) &= n \wedge m > 1 \wedge (\forall k < \text{len}(m))\{ \\ \text{exp}(m, k) &= \ulcorner \emptyset \urcorner \vee \text{VAR}(\text{exp}(m, k)) \vee \\ (\exists j < k)[\text{exp}(m, k) &= \ulcorner S \urcorner \star \text{exp}(m, j)] \vee \\ (\exists i < k)(\exists j < k)[\text{exp}(m, k) &= \ulcorner + \urcorner \star \text{exp}(m, i) \star \text{exp}(m, j)] \vee \\ (\exists i < k)(\exists j < k)[\text{exp}(m, k) &= \ulcorner \times \urcorner \star \text{exp}(m, i) \star \text{exp}(m, j)] \} \end{aligned}$$

Recall that $\text{len}(m)$ returns the number of primes in the prime factorization of m ; so supposing that m is other than zero or one, $\text{len}(m) \geq 1$ and if there is one prime it

is π_0 , if there are two primes they are π_0 and π_1 , etc. So the last member of the sequence has Gödel number n and any member of the sequence is a constant or a variable, or made up in the usual way by prior members.

Then set $\text{TERM}(n)$ as follows,

$$\text{TERM}(n) =_{\text{def}} (\exists x \leq B_n) \text{TERMSEQ}(x, n)$$

If some x numbers a term sequence for n , then n is a term. In this case, Gödel numbers of all prior members in a standard sequence ending in n are less than n . Further, the number of members in the sequence is the same as the number of variables and constants together with the number of function symbols in the term (one member for each variable and constant, and another corresponding to each function symbol); so the number of members in the sequence is the same as $\text{len}(n)$; so all the primes in the sequence are $< \pi_{\text{len}(n)}$. So multiply $\pi_{\text{len}(n)}^n$ together $\text{len}(n)$ times and set $B_n = (\pi_{\text{len}(n)}^n)^{\text{len}(n)}$. We take a prime $\pi_{\text{len}(n)}$ greater than all the primes in the sequence, to a power n greater than all the powers in the sequence, and multiply it together as many times as there are members of the sequence. The result must be greater than x , the number of the term sequence.

Finally $\text{ATOMIC}(n)$ is true iff n is the number of an atomic formula. The only atomic formulas of \mathcal{L}_{NT} are of the form $=t_1 t_2$. So it is sufficient to set,

$$\text{ATOMIC}(n) =_{\text{def}} (\exists x \leq n)(\exists y \leq n)[\text{TERM}(x) \wedge \text{TERM}(y) \wedge n = \ulcorner = \urcorner \star x \star y]$$

Clearly the numbers of t_1 and t_2 are $\leq n$ itself.

Formulas. $\text{WFF}(n)$ is to be true iff n is the number of a (well-formed) formula. Again, think of the tree by which a formula is formed. There is a sequence of which each member is,

- a. an atomic
- b. $\sim \mathcal{P}$ for some previous member of the sequence \mathcal{P}
- c. $(\mathcal{P} \rightarrow \mathcal{Q})$ for previous members of the sequence \mathcal{P} and \mathcal{Q}
- d. $\forall x \mathcal{P}$ for some previous member of the sequence \mathcal{P} and variable x

So, on the model of what has gone before, we let $\text{FORMSEQ}(m, n)$ be true when m is the super Gödel number of a sequence of formulas whose last member has Gödel number n . For $\text{FORMSEQ}(m, n)$ set,

$$\begin{aligned}
& \text{exp}(m, \text{len}(m) \dot{-} 1) = n \wedge m > 1 \wedge (\forall k < \text{len}(m))\{ \\
& \text{ATOMIC}(\text{exp}(m, k)) \vee \\
& (\exists j < k)[\text{exp}(m, k) = \ulcorner \sim \urcorner \star \text{exp}(m, j)] \vee \\
& (\exists i < k)(\exists j < k)[\text{exp}(m, k) = \ulcorner \lceil \star \text{exp}(m, i) \star \rceil \rightarrow \urcorner \star \text{exp}(m, j) \star \rceil] \vee \\
& (\exists i < k)(\exists j < n)[\text{VAR}(j) \wedge \text{exp}(m, k) = \ulcorner \forall \urcorner \star j \star \text{exp}(m, i)]\}
\end{aligned}$$

So a formula is the last member of a sequence each member of which is an atomic, or formed from previous members in the usual way. Clearly the number of a variable in an expression with number n is itself $\leq n$. Then,

$$\text{WFF}(n) =_{\text{def}} (\exists x \leq B_n) \text{FORMSEQ}(x, n)$$

An expression is a formula iff there is a formula sequence of which it is the last member. Again, Gödel numbers of prior formulas in a standard sequence are $\leq n$. And there are as many members of the sequence as there are atomics and operator symbols in the formula numbered n . So all the primes are $\leq \pi_{\text{len}(n)}$; so multiply $\pi_{\text{len}(n)}^n$ together $\text{len}(n)$ times and set $B_n = (\pi_{\text{len}(n)}^n)^{\text{len}(n)}$.

Sentential Proof. $\text{PRFADS}(m, n)$ is to be true iff m is the super Gödel number of a sequence of formulas that is a (sentential) proof of the formula with Gödel number n . We revert to the relatively simple axiomatic system of [chapter 3](#). So, for example, A1 is of the sort, $(\mathcal{P} \rightarrow (\mathcal{Q} \rightarrow \mathcal{P}))$, and the only rule is MP. For the sentential case we need, $\text{AXIOMADS}(n)$ true when n is the number of an axiom. For this,

$$\text{AXIOMAD1}(n) =_{\text{def}} (\exists x \leq n)(\exists y \leq n)[\text{WFF}(x) \wedge \text{WFF}(y) \wedge n = \ulcorner (\lceil \star x \star \rceil \rightarrow \urcorner \star (\lceil \star y \star \rceil \rightarrow \urcorner \star x \star \rceil)) \urcorner]$$

$$\text{AXIOMAD2}(n) =_{\text{def}} \text{Homework.}$$

$$\text{AXIOMAD3}(n) =_{\text{def}} \text{Homework.}$$

Then,

$$\text{AXIOMADS}(n) =_{\text{def}} \text{AXIOMAD1}(n) \vee \text{AXIOMAD2}(n) \vee \text{AXIOMAD3}(n)$$

In the next section, we will add all the logical axioms plus the axioms for Q. But this is all that is required for proofs of theorems of sentential logic.

Now $\text{cnd}(n, o) = m$ when $n = \ulcorner \mathcal{P} \urcorner$, $o = \ulcorner \mathcal{Q} \urcorner$ and $m = \ulcorner (\mathcal{P} \rightarrow \mathcal{Q}) \urcorner$; for good measure we include $\text{neg}(n)$ and $\text{unv}(v, n)$. And $\text{MP}(m, n, o)$ is true when the formula with Gödel number o follows from ones with numbers m and n .

$$\text{cnd}(n, o) = \ulcorner (\lceil \star n \star \rceil \rightarrow \urcorner \star o \star \rceil \urcorner$$

$$\text{neg}(n) = \ulcorner \sim \urcorner \star n$$

$$\text{unv}(v, n) = \ulcorner \forall \urcorner \star v \star n$$

$$\text{MP}(m, n, o) =_{\text{def}} \text{cnd}(n, o) = m$$

So for MP, m numbers the conditional, n its antecedent, and o the consequent.

And $\text{PRFADS}(m, n)$ when m is the super Gödel number of a sequence that is a proof whose last member has Gödel number n . This works like TERMSEQ and FORMSEQ . For PRFADS set,

$$\begin{aligned} \text{exp}(m, \text{len}(m) \dot{-} 1) &= n \wedge m > 1 \wedge (\forall k < \text{len}(m))\{ \\ &\text{AXIOMADS}(\text{exp}(m, k)) \vee \\ &(\exists i < k)(\exists j < k)\text{MP}(\text{exp}(m, i), \text{exp}(m, j), \text{exp}(m, k))\} \end{aligned}$$

So every formula is either an axiom or follows from previous members by MP. It is a significant matter to have shown that there is such a function! Again, in the next section, we will extend this notion to include the rule Gen.

This construction for PRFADS exhibits the essential steps that are required for the parallel relation $\text{PRFQ}(m, n)$ for theorems of Q . That discussion is taken up in the following section, and adds considerable detail. It is not clear that the detail is required for understanding results to follow — though of course, to the extent that those results rely on the recursive PRFQ relation, the detail underlies *proof* of the results!

E12.26. Find Gödel numbers for each of the following. Treat the first as an expression, rather than as simple symbol; the last is a sequence of expressions. For the latter two, you need not do the calculation!

$$x_2 \quad x_0 = x_1 \quad x_0 = x_1, \emptyset = x_0, \emptyset = x_1$$

E12.27. Complete the cases for $\text{AXIOMAD2}(n)$ and $\text{AXIOMAD3}(n)$.

E12.28. In [chapter 8](#) we define the notion of a *normal* sentential form (p. 393). Supposing that our numbering system is modified to include $\ulcorner \vee \urcorner$ and $\ulcorner \wedge \urcorner$ and using ATOMIC from above, define a recursive relation $\text{NORM}(n)$ for \mathcal{L}_{NT} . Hint: You will need a formula sequence to do this.

12.4.4 Completing the Construction

Quantifier rules for derivations include axioms like (A4), $(\forall v \mathcal{P} \rightarrow \mathcal{P}_s^v)$ where term s is free for variable v in \mathcal{P} . This is easy enough to apply in practice. But it takes some work to represent. We tackle the problem piece-by-piece.

Substitution in terms. Say $t = \ulcorner t \urcorner$, $v = \ulcorner v \urcorner$, and $s = \ulcorner s \urcorner$ for some terms s , t , and variable v . Then $\text{TERMSUB}(t, v, s, u)$ is true when u is the Gödel number of t_s^v . For this, we begin with a term sequence (with Gödel number m) for t , and consider a parallel sequence, not necessarily a term sequence (with Gödel number n), that includes modified versions of the terms in the sequence with Gödel number m . For $\text{TSUBSEQ}(m, n, t, v, s, u)$ set,

$$\begin{aligned} & \text{TERMSEQ}(m, t) \wedge \text{len}(m) = \text{len}(n) \wedge \text{exp}(n, \text{len}(n) \dot{-} 1) = u \wedge (\forall k < \text{len}(m)) \{ \\ & [\text{exp}(m, k) = \ulcorner \emptyset \urcorner \wedge \text{exp}(n, k) = \ulcorner \emptyset \urcorner] \vee \\ & [\text{VAR}(\text{exp}(m, k)) \wedge \text{exp}(m, k) \neq v \wedge \text{exp}(n, k) = \text{exp}(m, k)] \vee \\ & [\text{VAR}(\text{exp}(m, k)) \wedge \text{exp}(m, k) = v \wedge \text{exp}(n, k) = s] \vee \\ & (\exists i < k)[\text{exp}(m, k) = \ulcorner S \urcorner \star \text{exp}(m, i) \wedge \text{exp}(n, k) = \ulcorner S \urcorner \star \text{exp}(n, i)] \vee \\ & (\exists i < k)(\exists j < k)[\text{exp}(m, k) = \ulcorner + \urcorner \star \text{exp}(m, i) \star \text{exp}(m, j) \wedge \text{exp}(n, k) = \ulcorner + \urcorner \star \text{exp}(n, i) \star \text{exp}(n, j)] \vee \\ & (\exists i < k)(\exists j < k)[\text{exp}(m, k) = \ulcorner \times \urcorner \star \text{exp}(m, i) \star \text{exp}(m, j) \wedge \text{exp}(n, k) = \ulcorner \times \urcorner \star \text{exp}(n, i) \star \text{exp}(n, j)] \} \end{aligned}$$

So the sequence for t_s^v (numbered by n) is like one of our “unabbreviating trees” from chapter 2. In any place where the sequence for t (numbered by m) numbers \emptyset , the sequence for t_s^v numbers \emptyset . Where the sequence for t numbers a variable other than v , the sequence for t_s^v numbers the same variable. But where the sequence for t numbers variable v , the sequence for t_s^v numbers s . Then later parts are built out of prior in parallel. The second sequence may not itself be a *term* sequence, insofar as it need not include all the antecedents to s (just as an unabbreviating tree would not include all the parts of a resultant term or formula).

Now set $\text{TERMSUB}(t, v, s, u)$ as follows,

$$\text{TERMSUB}(t, v, s, u) =_{\text{def}} (\exists x \leq X)(\exists y \leq Y)\text{TSUBSEQ}(x, y, t, v, s, u)$$

In this case, reasoning as for WFF, the Gödel numbers in a standard sequence with number m are less than t and numbers in the sequence with number n less than u . And primes in the sequence range up to $\pi_{\text{len}(t)}$. So it is sufficient to set $X = \left(\pi_{\text{len}(t)}^t\right)^{\text{len}(t)}$ and $Y = \left(\pi_{\text{len}(t)}^u\right)^{\text{len}(t)}$.

Substitution in atomics. Say $p = \ulcorner \mathcal{P} \urcorner$, $v = \ulcorner v \urcorner$, and $s = \ulcorner \mathcal{A} \urcorner$ for some atomic formula \mathcal{P} , variable v and term \mathcal{A} . Then $\text{ATOMSUB}(p, v, s, q)$ is true when q is the Gödel number of \mathcal{P}_s^v . The condition is straightforward given TERMSUB . For $\text{ATOMSUB}(p, v, s, q)$,

$$(\exists a \leq p)(\exists b \leq p)(\exists a' \leq q)(\exists b' \leq q)[\text{TERM}(a) \wedge \text{TERM}(b) \wedge p = \ulcorner = \urcorner \star a \star b \wedge \text{TERMSUB}(a, v, s, a') \wedge \text{TERMSUB}(b, v, s, b') \wedge q = \ulcorner = \urcorner \star a' \star b']$$

\mathcal{P}_s^v simply substitutes into the terms on either side of the equal sign.

Substitution into formulas. Where $p = \ulcorner \mathcal{P} \urcorner$, $v = \ulcorner v \urcorner$, and $s = \ulcorner \mathcal{A} \urcorner$ for an arbitrary formula \mathcal{P} , variable v and term \mathcal{A} , $\text{FORMSUB}(p, v, s, q)$ is true when q is the Gödel number of \mathcal{P}_s^v . In the general case, \mathcal{P}_s^v is complicated insofar as \mathcal{A} replaces only *free* instances of v . Again, we build a parallel sequence with number n . No replacements are carried forward in subformulas beginning with a quantifier binding instances of variable v . For $\text{FSUBSEQ}(m, n, p, v, s, q)$ set,

$$\begin{aligned} & \text{FORMSEQ}(m, p) \wedge \text{len}(m) = \text{len}(n) \wedge \exp(n, \text{len}(n) \dot{-} 1) = q \wedge (\forall k < \text{len}(m)) \{ \\ & [\text{ATOMIC}(\exp(m, k)) \wedge \text{ATOMSUB}(\exp(m, k), v, s, \exp(n, k))] \vee \\ & (\exists i < k)[\exp(m, k) = \text{neg}(\exp(m, i)) \wedge \exp(n, k) = \text{neg}(\exp(n, i))] \vee \\ & (\exists i < k)(\exists j < k)[\exp(m, k) = \text{cnd}(\exp(m, i), \exp(m, j)) \wedge \exp(n, k) = \text{cnd}(\exp(n, i), \exp(n, j))] \vee \\ & (\exists i < k)(\exists j < p)[\text{VAR}(j) \wedge j \neq v \wedge \exp(m, k) = \text{unv}(j, \exp(m, i)) \wedge \exp(n, k) = \text{unv}(j, \exp(n, i))] \vee \\ & (\exists i < k)(\exists j < p)[\text{VAR}(j) \wedge j = v \wedge \exp(m, k) = \text{unv}(j, \exp(m, i)) \wedge \exp(n, k) = \exp(m, k)] \} \end{aligned}$$

So substitutions are made in atomics, and carried forward in the parallel sequence — so long as no quantifier binds variable v , at which stage, the sequence reverts to the form without substitution.

And $\text{FORMSUB}(p, v, s, q)$ is,

$$\text{FORMSUB}(p, v, s, q) =_{\text{def}} (\exists x \leq X)(\exists y \leq Y)\text{FSUBSEQ}(x, y, p, v, s, q)$$

Again, set $X = \left(\pi_{\text{len}(p)}^p \right)^{\text{len}(p)}$ and $Y = \left(\pi_{\text{len}(p)}^q \right)^{\text{len}(p)}$.

Given $\text{FORMSUB}(p, v, s, q)$, there is a corresponding function $\text{formusb}(p, v, s) = (\mu q \leq Z)\text{FORMSUB}(p, v, s, q)$. In this case, the number of symbols in \mathcal{P}_s^v is sure to be no greater than the number of symbols in \mathcal{P} times the number of symbols in \mathcal{A} . And any symbol is \mathcal{A} or an element of \mathcal{P} ; so the Gödel number of each symbol is no greater than the maximum of p and s and thus $p + s$. So it is sufficient to set $Z = \left(\pi_{\text{len}(p) \times \text{len}(s)}^{p+s} \right)^{\text{len}(p) \times \text{len}(s)}$. Again, we take a prime at least great as that of any symbol, to a power greater than that of any exponent, and multiply it as many times as there are symbols.

Free and bound variables. $\text{FREE}(p, v)$ is true when v is the Gödel number of a variable that is free in a term or formula with Gödel number p . For a given variable x_i initially assigned number $23 + 2i$, $\ulcorner x_i \urcorner = 2^{23+2i}$; and $\ulcorner x_{i+1} \urcorner \times 2^2 = 2^{23+2i+2}$ is the number of the next variable. In particular then, for v the number of a variable, $v \times 2^2$ (that is $v \times 4$) numbers a different variable. The idea is that if there is some change in an expression upon substitution of a variable different from v , then v must have been free in the original expression. For terms and formulas respectively,

$$\text{FREEt}(t, v) =_{\text{def}} \sim \text{TERMSUB}(t, v, v \times 4, t)$$

$$\text{FREEf}(p, v) =_{\text{def}} \sim \text{FORMSUB}(p, v, v \times 4, p)$$

So v is free if the result upon substitution is other than the original expression.

Given $\text{FREEf}(p, v)$, it is a simple matter to specify $\text{SENT}(n)$ true when n numbers a sentence.

$$\text{SENT}(n) =_{\text{def}} \text{WFF}(n) \wedge (\forall x < n)[\text{VAR}(x) \rightarrow \sim \text{FREEf}(n, x)]$$

So n numbers a sentence if it numbers a formula and nothing is a number of a variable free in the formula numbered by n .

Finally, suppose $s = \ulcorner \mathcal{A} \urcorner$ and $v = \ulcorner v \urcorner$; then $\text{FREEFOR}(s, v, u)$ is true iff \mathcal{A} is free for v in the formula numbered by u . For this, we set up a modified formula sequence, that identifies just “admissible” subformulas — ones where \mathcal{A} is free for v in the formula numbered by u . For $\text{FFSEQ}(m, s, v, u)$ set,

$$\begin{aligned} \text{exp}(m, \text{len}(m) \dot{-} 1) &= u \wedge m > 1 \wedge (\forall k < \text{len}(m))\{ \\ &\text{ATOMIC}(\text{exp}(m, k)) \vee \\ &(\exists j < k)[\text{exp}(m, k) = \text{neg}(\text{exp}(m, j))] \vee \\ &(\exists i < k)(\exists j < k)[\text{exp}(m, k) = \text{cnd}(\text{exp}(m, i), \text{exp}(m, j))] \vee \\ &(\exists p \leq u)[\text{WFF}(p) \wedge \text{exp}(m, k) = \text{unv}(v, p)] \vee \\ &(\exists i < k)(\exists j \leq u)[\text{VAR}(j) \wedge j \neq v \wedge (\sim \text{FREEf}(s, j) \vee \sim \text{FREEf}(\text{exp}(m, i), v)) \wedge \text{exp}(m, k) = \text{unv}(j, \text{exp}(m, i))]\} \end{aligned}$$

If the main operator of a subformula \mathcal{Q} binds variable v , then no variables in \mathcal{A} are bound upon substitution, because there are no substitutions — as only free instances of v are replaced; observe that this \mathcal{Q} need not appear earlier in the sequence, as any formula with the v quantifier satisfies the condition. Alternatively, if the main operator binds a different variable, we require either that the variable is not free in \mathcal{A} (so that no instances are bound upon substitution) or that v is not free in \mathcal{Q} (so that there are no substitutions). Given this,

$$\text{FREEFOR}(s, v, u) =_{\text{def}} (\exists x \leq B_u) \text{FFSEQ}(x, s, v, u)$$

In this case, every member of the sequence for FFSEQ is a member of the FORMSEQ for u so B_u may be set as before.

Proofs. After all this work, we are finally ready for all the axioms of AD and of Q. AXIOMAD4(n) obtains when n is the Gödel number of an instance of A4. Intuitively, AXIOMAD4(n) just in case there is an s such that,

$$(\exists p \leq n)(\exists v \leq n)[WFF(p) \wedge VAR(v) \wedge TERM(s) \wedge FREEFOR(s, v, p) \wedge n = \text{cnd}(\text{unv}(v, p), \text{formsub}(p, v, s))]$$

So there is a formula \mathcal{P} , variable v and term s where s is free for v in \mathcal{P} ; and the axiom is of the form, $(\forall v \mathcal{P} \rightarrow \mathcal{P}_s^v)$. Unfortunately, our statement is inadequate insofar as s is left free. We cannot simply supply a prefix $\exists s$ as the result would not be recursively specified. It is tempting to add a bounded $(\exists s \leq n)$ with the idea that the number of s must be smaller than the number of \mathcal{P}_s^v . This almost works. The difficulty is the (rarely encountered) situation where the quantified variable v is not free in \mathcal{P} (as when a quantifier is added to some \mathcal{P} that is already a sentence); in this case, \mathcal{P}_s^v is just \mathcal{P} , and there is nothing to say that s is less than n . Here is a way to do the job. Set AXIOMAD4(n) as,

$$\begin{aligned} (\exists p \leq n)(\exists v \leq n) \{ & WFF(p) \wedge VAR(v) \wedge [\\ & (\sim \text{FREE}(v, p) \wedge n = \text{cnd}(\text{unv}(v, p), p)) \vee \\ & (\exists s \leq n)(\text{FREE}(v, p) \wedge \text{TERM}(s) \wedge \text{FREEFOR}(s, v, p) \wedge n = \text{cnd}(\text{unv}(v, p), \text{formsub}(p, v, s))) \} \end{aligned}$$

When $\sim \text{FREE}(v, p)$, $p = \text{formsub}(p, v, s)$; and when $\text{FREE}(v, p)$, $s \leq \text{formsub}(p, v, s)$. Either way, n is set to $\text{cnd}(\text{unv}(v, p), \text{formsub}(p, v, s))$. The result, then is primitive recursive and equivalent to our original intuitive specification.

Given what we have done, AXIOMAD5(n) is straightforward. GEN(m, n) holds when n is the Gödel number of a formula that follows by Gen from a formula with Gödel number m . And axioms for equality are not hard. A couple are worked as examples. For AXIOMAD6(n),

$$\text{AXIOMAD6}(n) =_{\text{def}} (\exists v \leq n)[VAR(v) \wedge n = v \star \ulcorner = \urcorner \star v]$$

For “simplicity” I drop the unabbreviated style of the original formulas. Axiom seven is of the sort, $(x_i = y) \rightarrow (h^n x_1 \dots x_i \dots x_n = h^n x_1 \dots y \dots x_n)$ for relation symbol h and variables $x_1 \dots x_n$ and y . In \mathcal{L}_{NT} the function symbol is S , $+$ or \times . Because just a single replacement is made, we do not want to use TERMSUB. However, we are in a position simply to list all the combinations in which one variable is replaced. So, for AXIOMAD7(n),

$$\begin{aligned}
& (\exists s \leq n)(\exists t \leq n)(\exists x \leq n)(\exists y \leq n)\{\text{VAR}(x) \wedge \text{VAR}(y) \wedge n = \ulcorner (=^\top \star x \star y \star \ulcorner \rightarrow =^\top \star s \star t \star \ulcorner)^\top \wedge \\
& ([s = \ulcorner S^\top \star x \wedge t = \ulcorner S^\top \star y] \vee \\
& (\exists z < n)[\text{VAR}(z) \wedge ((s = \ulcorner +^\top \star x \star z \wedge t = \ulcorner +^\top \star y \star z) \vee (s = \ulcorner +^\top \star z \star x \wedge t = \ulcorner +^\top \star z \star y))] \vee \\
& (\exists z < n)[\text{VAR}(z) \wedge ((s = \ulcorner \times^\top \star x \star z \wedge t = \ulcorner \times^\top \star y \star z) \vee (s = \ulcorner \times^\top \star z \star x \wedge t = \ulcorner \times^\top \star z \star y))]\}
\end{aligned}$$

So there is a term s and a term t which replaces one instance of x in s with y . Then the axiom is of the sort $=_x y \rightarrow =_s t$. Axiom eight is similar. It is stated in terms of atomics of the sort $\mathcal{R}^n x_1 \dots x_n$ for relation symbol \mathcal{R} and variables $x_1 \dots x_n$. In \mathcal{L}_{NT} the relation symbol is the equals sign, so these atomics are of the form, $x = y$. Again, because just a single replacement is made, we do not want to use FORMSUB. However, we may proceed by analogy with AXIOMAD7. This is left as an exercise. Thus we have a complete AXIOMAD and with that PRFAD. For the latter, it is convenient to introduce a relation ICON(m, n, o) true when the formula with Gödel number o is an *immediate consequence* of ones numbered m and n

$$\text{ICON}(m, n, o) =_{\text{def}} \text{MP}(m, n, o) \vee (m = n \wedge \text{GEN}(n, o))$$

The axioms of Q are particular sentences. So, for example, axiom Q2 is of the sort, $(Sx = Sy) \rightarrow (x = y)$. Let x and y be x_0 and x_1 respectively. Then,

$$\text{AXIOMQ2}(n) =_{\text{def}} n = \ulcorner (Sx = Sy) \rightarrow (x = y)^\top$$

For “ease of reading,” I do not reduce it to unabbreviated form. Other axioms of Q may be treated in the same way. And now it is straightforward to produce AXIOMQ(n) and PRFQ(m, n).

It is worth noting that with AXIOMPA7(n),

$$\begin{aligned}
& (\exists p \leq n)(\exists v \leq n)[\text{WFF}(p) \wedge \text{VAR}(v) \wedge n = \\
& \text{cnd}(\text{neg}(\text{cnd}(\text{formsub}(p, v, \ulcorner \emptyset^\top \urcorner), \text{neg}(\text{unv}(v, \text{cnd}(p, \text{formsub}(p, v, \ulcorner S^\top \star v \urcorner)))))), \text{unv}(v, p))]
\end{aligned}$$

we have also AXIOMPA(n) and PRFPA(m, n) for PA.¹⁰

It is a significant matter to have found these functions. Now we put them to work.

***E12.29.** (i) Complete the construction with recursive relations for AXIOMAD5(n), GEN(m, n), AXIOMAD8(n), and so AXIOMAD(n) and PRFAD(m, n). (ii) Complete the remaining axioms for Robinson arithmetic, and then AXIOMQ(n) and PRFQ(m, n). (iii) Construct also AXIOMQP(n), like AXIOMQ less AXIOMQ7, and then AXIOMPA(n) and PRFPA(m, n).

¹⁰If you follow it out, the last line above unpacks to,

$$\ulcorner (\sim(\ulcorner \star \text{formsub}(p, v, \ulcorner \emptyset^\top \urcorner) \star \ulcorner \rightarrow \sim \forall^\top \star v \star \ulcorner (\ulcorner \star p \star \ulcorner \rightarrow^\top \star \text{formsub}(p, v, \ulcorner S^\top \star v \urcorner) \star \ulcorner) \rightarrow \forall^\top \star v \star p \star \ulcorner)^\top$$

which numbers instances of PA7 (where the conjunction is unpacked to its primitive form).

E12.30. Supposing now that our numbering system is modified to include $\ulcorner \vee \urcorner$, $\ulcorner \wedge \urcorner$ and $\ulcorner \exists \urcorner$, and with the obvious modification of FORMSEQ to accommodate the new operators and with functions *dsj*, *cnj* and *exs*, construct function UNABBSEQ(*m*, *n*, *p*, *q*) such that *m* numbers a formula sequence for *p* (which may contain abbreviations) and *n* numbers a sequence whose last member is the unabbreviated version of *p*. Then construct UNABB(*p*, *q*) where *q* is the number of the unabbreviation of *p*. Hint you may want to think again about “unabbreviating trees” from chapter 2 along with FSUBSEQ as a model.

12.5 Essential Results

In this section, we develop some first fruits of our labor. We shall need some initial theorems, important in their own right. With these theorems in hand, our results follow in short order. The results are developed and extended in later chapters. But it is worth putting them on the table at the start. (And some results at this stage provide a fitting cap to our labors.) We have expended a great deal of energy showing that, under appropriate conditions, recursive functions can be expressed and captured, and then that there exist certain recursive functions and relations including PRFQ. Now we put these results to work.

12.5.1 Preliminary Theorems

A couple of definitions: If *f* is a function from (an initial segment of) \mathbb{N} onto some set — so that the objects in the set are *f*(0), *f*(1) . . . say *f* *enumerates* the members of the set. A set is *recursively enumerable* if there is a recursive function that enumerates it. Also, say *T* is a *recursively axiomatized* formal theory if there is a recursive relation PRFT(*m*, *n*) which holds just in case *m* is the super Gödel number of a proof in *T* of the formula with Gödel number *n*. We have seen that Q is recursively axiomatized; but so is PA and any reasonable theory whose axioms and rules are recursively described.

T12.17. If *T* is a recursively axiomatized formal theory then the set of theorems of *T* is recursively enumerable.

Consider pairs $\langle p, t \rangle$ where *p* numbers a proof of the theorem numbered *t*, each such pair itself associated with a number, $2^p \times 3^t$. Then there is a recursive function from the integers to these *codes* as follows.

$$\text{code}(0) = \mu z(\exists p < z)(\exists t < z)[z = 2^p \times 3^t \wedge \text{PRFT}(p, t)]$$

First Results of Chapter 12

- T12.1** For an interpretation with the required variable-free terms: (a) If \mathcal{R} is a relation symbol and R is a relation, and $I[\mathcal{R}] = R(x_1 \dots x_n)$, then $R(x_1 \dots x_n)$ is expressed by $\mathcal{R}x_1 \dots x_n$. And (b) if h is a function symbol and h is a function and $I[h] = h(x_1 \dots x_n)$ then $h(x_1 \dots x_n)$ is expressed by $hx_1 \dots x_n = v$.
- T12.2** Suppose total function $f(x_1 \dots x_n)$ is expressed by formula $\mathcal{F}(x_1 \dots x_n, y)$; then if $\langle \langle m_1 \dots m_n \rangle, a \rangle \notin f$, $I[\sim \mathcal{F}(\bar{m}_1 \dots \bar{m}_n, \bar{a})] = T$.
- T12.3** On the standard interpretation N of \mathcal{L}_{NT} , each recursive function $f(\vec{x})$ is expressed by some formula $\mathcal{F}(\vec{x}, v)$. Corollary: On the standard interpretation N of \mathcal{L}_{NT} , each recursive relation $R(\vec{x})$ is expressed by some formula $\mathcal{R}(\vec{x})$.
- T12.4** If T includes Q and total function $f(x_1 \dots x_n)$ is captured by formula $\mathcal{F}(x_1 \dots x_n, y)$ so that conditions (f.i) and (f.ii) hold, then if $\langle \langle m_1 \dots m_n \rangle, a \rangle \notin f$ then $T \vdash \sim \mathcal{F}(\bar{m}_1 \dots \bar{m}_n, \bar{a})$.
- T12.5** On the standard interpretation N for \mathcal{L}_{NT} , (i) $N_d[\mathcal{A} \leq t] = S$ iff $N_d[\mathcal{A}] \leq N_d[t]$, and (ii) $N_d[\mathcal{A} < t] = S$ iff $N_d[\mathcal{A}] < N_d[t]$.
- T12.6** On the standard interpretation N for \mathcal{L}_{NT} , (i) $N_d[(\forall x \leq t)\mathcal{P}] = S$ iff for every $m \leq N_d[t]$, $N_d(x|m)[\mathcal{P}] = S$ and (ii), $N_d[(\forall x < t)\mathcal{P}] = S$ iff for every $m < N_d[t]$, $N_d(x|m)[\mathcal{P}] = S$.
- T12.7** On the standard interpretation N for \mathcal{L}_{NT} , (i) $N_d[(\exists x \leq t)\mathcal{P}] = S$ iff for some $m \leq N_d[t]$, $N_d(x|m)[\mathcal{P}] = S$ and (ii), $N_d[(\exists x < t)\mathcal{P}] = S$ iff for some $m < N_d[t]$, $N_d(x|m)[\mathcal{P}] = S$.
- T12.8** For any Δ_0 sentence \mathcal{P} , if $N[\mathcal{P}] = T$, then $Q \vdash_{ND} \mathcal{P}$, and if $N[\mathcal{P}] \neq T$, then $Q \vdash_{ND} \sim \mathcal{P}$.
- T12.9** For any Σ_1 sentence \mathcal{P} if $N[\mathcal{P}] = T$, then $Q \vdash_{ND} \mathcal{P}$.
- T12.10** The original formula by which any recursive function is expressed is Σ_1 .
- T12.11** On the standard interpretation N for \mathcal{L}_{NT} , any recursive formula is captured by the original formula by which it is expressed in Q_s .
- T12.12** Suppose $f(\vec{x}, y)$ results by recursion from functions $g(\vec{x})$ and $h(\vec{x}, y, u)$ where $g(\vec{x})$ is captured by some $\mathcal{G}(\vec{x}, z)$ and $h(\vec{x}, y, u)$ by $\mathcal{H}(\vec{x}, y, u, z)$. Then for the original expression $\mathcal{F}(\vec{x}, y, z)$ of $f(\vec{x}, y)$, if $\langle \langle m_1 \dots m_b, n \rangle, a \rangle \in f$, $Q_s \vdash \forall w [\mathcal{F}(\bar{m}_1 \dots \bar{m}_b, \bar{n}, w) \rightarrow w = \bar{a}]$.
- T12.13** If a total function $f(x_1 \dots x_n)$ is expressed by a Δ_0 formula $\mathcal{F}(x_1 \dots x_n, y)$, then there is a Δ_0 formula \mathcal{F}' that captures f in Q .
- T12.14** For $\mathcal{F}'(\vec{x}, y) =_{\text{def}} \mathcal{F}(\vec{x}, y) \wedge (\forall z \leq y)[\mathcal{F}(\vec{x}, z) \rightarrow z = y]$, and for any n , $Q \vdash \forall \vec{x} \forall y [(\mathcal{F}'(\vec{x}, \bar{n}) \wedge \mathcal{F}'(\vec{x}, y)) \rightarrow y = \bar{n}]$.
- T12.15** If $\mathcal{F}(\vec{x}, y)$ expresses a total $f(\vec{x})$, then $\mathcal{F}'(\vec{x}, y) = \mathcal{F}(\vec{x}, y) \wedge (\forall z < y)[\mathcal{F}(\vec{x}, z) \rightarrow z = y]$ expresses $f(\vec{x})$.
- T12.16** Any recursive function is captured by a Σ_1 formula in Q . Corollary: Any recursive relation is captured by a Σ_1 formula in Q .

$$\text{code}(Sn) = \mu z(\exists p < z)(\exists t < z)[z > \text{code}(n) \wedge z = 2^p \times 3^t \wedge \text{PRFT}(p, t)]$$

So 0 is associated with the least integer that codes a proof of a sentence, 1 with the next, and so forth. Then,

$$\text{enum}(n) = \exp(\text{code}(n), 1)$$

returns the Gödel number of theorem n in this ordering.

Recall that π_1 is 3; so $\exp(\text{code}(n), 1)$ returns the number of the proved formula. A given theorem might appear more than once in the enumeration, corresponding to codes with different proofs of it, but this is no problem, as each theorem appears in some position(s) of the list. Observe that we have, for the first time, made use of regular minimization — so that this function is recursive but not *primitive* recursive. Supposing that T has an infinite number of theorems, there is always some z at which the characteristic function upon which the minimization operates returns zero — so that the function is well-defined. So the theorems of a recursively axiomatized formal theory T are recursively enumerable.

Suppose we add that T is consistent and negation complete. Then there is a recursive relation $\text{THRMT}(p)$ true just of numbers for theorems of T : Intuitively, we can enumerate the theorems; then if T is consistent and negation complete, for any sentence \mathcal{P} , exactly one of \mathcal{P} or $\sim\mathcal{P}$ must show up in the enumeration. So we can search through the list until we find either \mathcal{P} or $\sim\mathcal{P}$ — and if the one we find is \mathcal{P} , then \mathcal{P} is a theorem. In particular, we find \mathcal{P} or $\sim\mathcal{P}$ at the position, $\mu n[\text{enum}(n) = \ulcorner \mathcal{P} \urcorner \vee \text{enum}(n) = \ulcorner \sim\mathcal{P} \urcorner]$. Recall that if p is the number of a formula \mathcal{P} , $\text{neg}(p)$ is the number of $\sim\mathcal{P}$. Then,

T12.18. For any recursively axiomatized, consistent, negation complete formal theory T there is a recursive relation $\text{THRMT}(p)$ true just in case p numbers a theorem of T . Set,

$$\text{pos}(p) = \mu n([\sim\text{SENT}(p) \wedge n = 0] \vee [\text{SENT}(p) \wedge (\text{enum}(n) = p \vee \text{enum}(n) = \text{neg}(p))])$$

$$\text{THRMT}(p) =_{\text{def}} \text{enum}(\text{pos}(p)) = p$$

First, $\text{pos}(p)$ takes one of three values: if p does not number a sentence it is just 0; if p appears in the enumeration of theorems it is the position of p ; and if $\text{neg}(p)$ appears in the enumeration of theorems, it is the position of $\text{neg}(p)$. Then $\text{THRMT}(p)$ is true

just in case pos takes the second option — just in case p numbers a sentence and p rather than $\text{neg}(p)$ appears in the enumeration of theorems. Observe that $\text{pos}(p)$ returns 0 both when p does not number a sentence, and when p is the number of the first theorem in the enumeration. But when $\text{pos}(p) = 0$, $\text{enum}(\text{pos}(p))$ always numbers the first theorem of the enumeration — so that if p is not the number of a sentence $\text{THRMT}(p)$ is false, and when p is the number of the first theorem it is true (as it should be). Again, we appeal to regular minimization. It is only because T is negation complete that the function to which the minimization operator applies is regular. So long as p numbers a sentence, the characteristic function for the second square brackets is sure to go to zero for one disjunct or the other, and when p does not number a sentence, the function for the first square brackets goes to zero. So the function is well-defined.

Now consider a formula $\mathcal{P}(x)$ with free variable x . The *diagonalization* of \mathcal{P} is the formula $\exists x(x = \ulcorner \mathcal{P} \urcorner \wedge \mathcal{P}(x))$. So the diagonalization of \mathcal{P} is true just when \mathcal{P} applies to its own Gödel number. To understand this nomenclature, consider a grid with formulas listed down the left in order of their Gödel numbers and the integer Gödel numbers across the top.

	a	b	c	...
$\mathcal{P}_a(x)$	$\mathcal{P}_a(\bar{a})$	$\mathcal{P}_a(\bar{b})$	$\mathcal{P}_a(\bar{c})$	
$\mathcal{P}_b(x)$	$\mathcal{P}_b(\bar{a})$	$\mathcal{P}_b(\bar{b})$	$\mathcal{P}_b(\bar{c})$	
$\mathcal{P}_c(x)$	$\mathcal{P}_c(\bar{a})$	$\mathcal{P}_c(\bar{b})$	$\mathcal{P}_c(\bar{c})$	
\vdots				

So, going down the main diagonal, formulas are of the sort $\mathcal{P}_n(\bar{n})$ where the formula numbered n is applied to its Gödel number n .

Let $\text{num}(n)$ be the Gödel number of the standard numeral for n . So,

$$\text{num}(0) = \ulcorner \emptyset \urcorner$$

$$\text{num}(Sy) = \ulcorner S \urcorner \star \text{num}(y)$$

So num is (primitive) recursive. Now $\text{diag}(n)$ is the Gödel number of the diagonalization of the formula with Gödel number n .

$$\text{diag}(n) =_{\text{def}} \ulcorner \exists x(x = \ulcorner \mathcal{P} \urcorner \star \text{num}(n) \star \ulcorner \wedge \urcorner \star n \star \ulcorner \urcorner) \urcorner$$

It should be clear enough how to unabbreviate $\ulcorner \exists \urcorner$ and $\ulcorner \wedge \urcorner$. Since $\text{diag}(n)$ is recursive, for any theory T extending Q there is a formula $\text{Diag}(x, y)$ that captures it. So if $\text{diag}(m) = n$, then $T \vdash \text{Diag}(\bar{m}, \bar{n})$ and $T \vdash \forall z[\text{Diag}(\bar{m}, z) \rightarrow z = \bar{n}]$.

T12.19. Let T be any theory that extends Q. Then for any formula $\mathcal{F}(y)$ containing just the variable y free, there is a sentence \mathcal{H} such that $T \vdash \mathcal{H} \leftrightarrow \mathcal{F}(\overline{\ulcorner \mathcal{H} \urcorner})$.
The *Diagonal Lemma*.

Suppose T extends Q; since $\text{diag}(n)$ is recursive, there is a formula $\text{Diag}(x, y)$ that captures diag . Let $\mathcal{A}(x) =_{\text{def}} \exists y[\mathcal{F}(y) \wedge \text{Diag}(x, y)]$ and $\bar{a} = \ulcorner \mathcal{A} \urcorner$, the Gödel number of \mathcal{A} . Intuitively, \mathcal{A} says \mathcal{F} applies to the diagonalization of x . Then set $\mathcal{H} =_{\text{def}} \exists x(x = \bar{a} \wedge \exists y[\mathcal{F}(y) \wedge \text{Diag}(x, y)])$ and $\bar{h} = \ulcorner \mathcal{H} \urcorner$, the Gödel number of \mathcal{H} . \mathcal{H} is the diagonalization of \mathcal{A} ; so $\text{diag}(\bar{a}) = \bar{h}$. Intuitively, then \mathcal{H} says that \mathcal{F} applies to the diagonalization of \mathcal{A} , which is just to say that according to \mathcal{H} , $\mathcal{F}(\overline{\ulcorner \mathcal{H} \urcorner})$. Reason as follows.

1.	$\mathcal{H} \leftrightarrow \exists x(x = \bar{a} \wedge \exists y[\mathcal{F}(y) \wedge \text{Diag}(x, y)])$	from def \mathcal{H}
2.	$\text{Diag}(\bar{a}, \bar{h})$	from capture
3.	$\forall z(\text{Diag}(\bar{a}, z) \rightarrow z = \bar{h})$	from capture
4.	\mathcal{H}	A (g \leftrightarrow I)
5.	$\exists x(x = \bar{a} \wedge \exists y[\mathcal{F}(y) \wedge \text{Diag}(x, y)])$	1,4 \leftrightarrow E
6.	$j = \bar{a} \wedge \exists y[\mathcal{F}(y) \wedge \text{Diag}(j, y)]$	A (g \exists E)
7.	$j = \bar{a}$	6 \wedge E
8.	$\exists y[\mathcal{F}(y) \wedge \text{Diag}(j, y)]$	6 \wedge E
9.	$\mathcal{F}(k) \wedge \text{Diag}(j, k)$	A (g \exists E)
10.	$\mathcal{F}(k)$	9 \wedge E
11.	$\text{Diag}(j, k)$	9 \wedge E
12.	$\text{Diag}(\bar{a}, k)$	11,7 =E
13.	$\text{Diag}(\bar{a}, k) \rightarrow k = \bar{h}$	3 \forall E
14.	$k = \bar{h}$	13,12 \rightarrow E
15.	$\mathcal{F}(\bar{h})$	10,14 =E
16.	$\mathcal{F}(\bar{h})$	8,9-15 \exists E
17.	$\mathcal{F}(\bar{h})$	5,6-16 \exists E
18.	$\mathcal{F}(\bar{h})$	A g \leftrightarrow I
19.	$\mathcal{F}(\bar{h}) \wedge \text{Diag}(\bar{a}, \bar{h})$	18,2 \wedge I
20.	$\exists y[\mathcal{F}(y) \wedge \text{Diag}(\bar{a}, y)]$	19 \exists I
21.	$\bar{a} = \bar{a}$	=I
22.	$\bar{a} = \bar{a} \wedge \exists y[\mathcal{F}(y) \wedge \text{Diag}(\bar{a}, y)]$	21,20 \wedge I
23.	$\exists x(x = \bar{a} \wedge \exists y[\mathcal{F}(y) \wedge \text{Diag}(x, y)])$	22 \exists I
24.	\mathcal{H}	1,23 \leftrightarrow E
25.	$\mathcal{H} \leftrightarrow \mathcal{F}(\bar{h})$	4-17,18-24 \leftrightarrow I
26.	$\mathcal{H} \leftrightarrow \mathcal{F}(\overline{\ulcorner \mathcal{H} \urcorner})$	25 abv

So $T \vdash \mathcal{H} \leftrightarrow \mathcal{F}(\overline{\ulcorner \mathcal{H} \urcorner})$.

If n is such that $f(n) = n$, then n is said to be a *fixed point* for f . And by a (possibly strained) analogy, \mathcal{H} is said to be a “fixed point” for $\mathcal{F}(y)$.

Now we are very close to the incompleteness of arithmetic. As a final preliminary,

T12.20. For no consistent theory T that extends Q is there a recursive relation $\text{THRMT}(n)$ that is true just in case n is a Gödel number of a theorem of T .

Consider a consistent theory extending Q ; and suppose there is a recursive relation $\text{THRMT}(n)$ true just in case n numbers a theorem of T . Since T extends Q and THRMT is recursive, with T12.16 there is some formula $\text{Thrmt}(y)$ that captures THRMT , and so a formula $\sim\text{Thrmt}(y)$. And again since T extends Q , by the diagonal lemma T12.19, there is a formula \mathcal{H} with Gödel number $\ulcorner \mathcal{H} \urcorner = h$ such that,

$$T \vdash \mathcal{H} \leftrightarrow \sim\text{Thrmt}(\ulcorner \mathcal{H} \urcorner)$$

Suppose $T \not\vdash \mathcal{H}$; then \mathcal{H} is not a theorem of T so that $h \notin \text{THRMT}$; so by capture, $T \vdash \sim\text{Thrmt}(\ulcorner \mathcal{H} \urcorner)$; so by $\leftrightarrow E$, $T \vdash \mathcal{H}$. This is impossible; reject the assumption: $T \vdash \mathcal{H}$. But then \mathcal{H} is a theorem of T ; so $h \in \text{THRMT}$; so by capture, $T \vdash \text{Thrmt}(\ulcorner \mathcal{H} \urcorner)$; so by NB, $T \vdash \sim\mathcal{H}$, and T is inconsistent; but by hypothesis, T is consistent. Reject the original assumption: there is no recursive relation THRMT .

Given a recursive THRMT there is $\sim\text{Thrmt}$; but we show there is no such THRMT ; so we have not yet found a sentence \mathcal{G} such that $\text{PA} \vdash \mathcal{G} \leftrightarrow \sim\text{Thrmt}(\ulcorner \mathcal{G} \urcorner)$. That waits for the next chapter. From T12.18 any recursively axiomatized, consistent, *negation complete* formal theory has a recursive relation $\text{THRMT}(n)$ true just in case n numbers a theorem. But from T12.20 for no consistent theory extending Q is there such a relation. This already suggests results to follow.

E12.31. Let T be any theory extending Q and $\text{SBTHT}(n)$ a recursive function such that if $\text{SBTHT}(n)$ then n numbers a theorem of T (one such function is sure to be $\text{THRMADS}(n)$ for the theorems of sentential logic). Use the diagonal lemma to find a sentence \mathcal{H} such that $T \vdash \mathcal{H}$ but $\ulcorner \mathcal{H} \urcorner \notin \text{SBTHT}$. Demonstrate your results.

*E12.32. Let T be any theory that extends Q . For any formulas $\mathcal{F}_1(y)$ and $\mathcal{F}_2(y)$, generalize the diagonal lemma to find sentences \mathcal{H}_1 and \mathcal{H}_2 such that,

$$T \vdash \mathcal{H}_1 \leftrightarrow \mathcal{F}_1(\overline{\ulcorner \mathcal{H}_2 \urcorner})$$

$$T \vdash \mathcal{H}_2 \leftrightarrow \mathcal{F}_2(\overline{\ulcorner \mathcal{H}_1 \urcorner})$$

Demonstrate your result. Hint: You will want to generalize the notion of diagonalization so that the *alternation* of formulas $\mathcal{F}_1(z)$, $\mathcal{F}_2(z)$, with a formula \mathcal{P} is $\exists w \exists x \exists y (w = \overline{\ulcorner \mathcal{P} \urcorner} \wedge x = \overline{\ulcorner \mathcal{F}_2 \urcorner} \wedge y = \overline{\ulcorner \mathcal{F}_1 \urcorner} \wedge \exists z (\mathcal{F}_1(z) \wedge \mathcal{P}))$. Then you can find a recursive function $\text{alt}(\mathbf{p}, \mathbf{f}_1, \mathbf{f}_2)$ whose output is the number of the alternation of formulas numbered \mathbf{p} , \mathbf{f}_1 and \mathbf{f}_2 , where this function is captured by some formula $\text{Alt}(w, x, y, z)$ that itself has Gödel number \mathbf{a} . Then $\text{alt}(\overline{\mathbf{a}}, \overline{\mathbf{f}_1}, \overline{\mathbf{f}_2})$ and $\text{alt}(\overline{\mathbf{a}}, \overline{\mathbf{f}_2}, \overline{\mathbf{f}_1})$ number the formulas you need for \mathcal{H}_1 and \mathcal{H}_2 .

E12.33. Use your version of the diagonal lemma from E12.32 to provide an alternate demonstration of T12.20. Hint: You will be able to set up sentences such that the first says the second is not a theorem, while the second says the first is a theorem.

12.5.2 First Applications

Here are three quick results from our theorems. Do not let the simplicity of their proof (if the proof can seem simple after all we have done) distract from the significance of their content!

The Incompleteness of Arithmetic.

We are finally ready for *the incompleteness of arithmetic*.

T12.21. No consistent, recursively axiomatizable theory extending Q is negation complete.

Consider a theory T that is a consistent, recursively axiomatizable extension of Q. Then since T consistent and extends Q, by T12.20, there is no recursive relation $\text{THRMT}(n)$ true iff n is the Gödel number of a theorem. Suppose T is negation complete; then since T is also consistent and recursively axiomatized, by T12.18 there is a recursive relation $\text{THRMT}(n)$ true iff n is the Gödel number of a theorem. This is impossible, reject the assumption: T is not negation complete.

It immediately follows that Q and PA are not negation complete. But similarly for *any* consistent recursively axiomatizable theory that extends Q. We already knew that there were formulas \mathcal{P} such that $Q \not\vdash \mathcal{P}$ and $Q \not\vdash \sim\mathcal{P}$. But we did not already have this result for PA; and we certainly did not have the result generally for recursively axiomatizable theories extending Q.

There are other ways to obtain this result. We explore Gödel's own strategy in the next chapter. And we shall see an approach from computability in [chapter 14](#). However, this first argument is sufficient to establish the point.

The Decision Problem

It is a short step from the result that if Q is consistent, then no recursive relation identifies the theorems of Q, to the result that if Q is consistent, then no recursive relation identifies the theorems of predicate logic.

T12.22. If Q is consistent, then no recursive relation $\text{THRMPL}(n)$ is true iff n numbers a theorem of predicate logic.

Suppose otherwise, that Q is consistent and some recursive relation $\text{THRMPL}(n)$ is true iff n numbers a theorem of predicate logic. Let \mathcal{Q} be the conjunction of the axioms of Q; then \mathcal{P} is a theorem of Q iff $\vdash \mathcal{Q} \rightarrow \mathcal{P}$. Let $q = \ulcorner \mathcal{Q} \urcorner$; then,

$$\text{THRMQ}(n) =_{\text{def}} \text{THRMPL}(\text{cnd}(q, n))$$

defines a recursive function true iff n numbers a theorem of Q. But, given the consistency of Q, by T12.20, there is no function $\text{THRMQ}(n)$. Reject the assumption, if Q is consistent, then there is no recursive relation $\text{THRMPL}(n)$ true iff n numbers a theorem of predicate logic.

And, of course, given that Q *is* consistent, it follows that no recursive relation numbers the theorems of predicate logic. From T12.20 no recursive relation numbers the theorems of Q. Now we see that this result extends to the theorems of predicate logic. At this stage, these results may seem to be a sort of curiosity about what recursive functions do. They gain significance when, as we have already hinted can be done, we identify the recursive functions with the *computable* functions in [chapter 14](#).

Tarski's Theorems

A couple of related theorems fall under this heading. Say $\text{TRUE}(n)$ is true iff n numbers a true sentence of some language \mathcal{L} . We do not assume that $\text{TRUE}(n)$ is recursive — only that, by definition, it applies to numbers of true sentences. Suppose $\text{True}(x)$ expresses $\text{TRUE}(n)$. Then by expression, $\llbracket \text{True}(\ulcorner \mathcal{P} \urcorner) \rrbracket = \text{T}$ iff $\ulcorner \mathcal{P} \urcorner \in \text{TRUE}$; and this iff $\llbracket \mathcal{P} \rrbracket = \text{T}$. So, with some manipulation,

$$\llbracket \text{True}(\ulcorner \mathcal{P} \urcorner) \leftrightarrow \mathcal{P} \rrbracket = \text{T}$$

Let us say T is a *truth theory* for language \mathcal{L} , iff for any sentence of \mathcal{L} , T proves this result.

$$T \vdash \text{True}(\ulcorner \mathcal{P} \urcorner) \leftrightarrow \mathcal{P}$$

Nothing prevents theories of this sort. However, a first theorem is to the effect that theories in our range cannot be theories of truth for their own language \mathcal{L} .

T12.23. No recursively axiomatized consistent theory extending Q is a theory of truth for its own language \mathcal{L} .

Suppose otherwise, that a recursively axiomatized consistent T extending Q is a theory of truth for its own \mathcal{L} . Since T extends Q, by the diagonal lemma, there is a sentence \mathcal{F} (a false or liar sentence) such that

$$T \vdash \mathcal{F} \leftrightarrow \sim \text{True}(\ulcorner \mathcal{F} \urcorner)$$

But since T is a truth theory, $T \vdash \text{True}(\ulcorner \mathcal{F} \urcorner) \leftrightarrow \mathcal{F}$; so $T \vdash \text{True}(\ulcorner \mathcal{F} \urcorner) \leftrightarrow \sim \text{True}(\ulcorner \mathcal{F} \urcorner)$; so T is inconsistent. Reject the assumption: T is not a truth theory for its language \mathcal{L} .

This theorem explains our standard jump to the metalanguage when we give conditions like **ST** and **SF**. Nothing prevents stating truth conditions — trouble results when a theory purports to give conditions for all the sentences in its own language.

A second theorem takes on the slightly stronger (but still plausible) assumption that Q is a sound theory, so that all of its theorems are true. Under this condition, there is trouble even expressing a truth predicate for language \mathcal{L} in that language \mathcal{L} .

T12.24. If Q is sound, and \mathcal{L} includes \mathcal{L}_{NT} then there is no *True* to express TRUE in \mathcal{L} .

Suppose otherwise, that Q is sound and some formula $True(x)$ expresses $TRUE(n)$ in \mathcal{L} ; since Q is a theory that extends Q, by the diagonal lemma, there is a sentence \mathcal{F} such that $Q \vdash \mathcal{F} \leftrightarrow \sim True(\ulcorner \mathcal{F} \urcorner)$; since the theorems of Q are true, $N[\mathcal{F} \leftrightarrow \sim True(\ulcorner \mathcal{F} \urcorner)] = T$; so with a bit of manipulation,

$$N[\mathcal{F}] = T \text{ iff } N[\sim True(\ulcorner \mathcal{F} \urcorner)] = T; \text{ iff } N[True(\ulcorner \mathcal{F} \urcorner)] \neq T$$

(i) Suppose $N[True(\ulcorner \mathcal{F} \urcorner)] \neq T$; then by expression, $\ulcorner \mathcal{F} \urcorner \notin TRUE$, so that $N[\mathcal{F}] \neq T$; so by the above equivalence, $N[True(\ulcorner \mathcal{F} \urcorner)] = T$; reject the assumption. (ii) So $N[True(\ulcorner \mathcal{F} \urcorner)] = T$; but then by the equivalence, $N[\mathcal{F}] \neq T$; so $\ulcorner \mathcal{F} \urcorner \notin TRUE$; so by expression, $N[\sim True(\ulcorner \mathcal{F} \urcorner)] = T$; so $N[True(\ulcorner \mathcal{F} \urcorner)] \neq T$; this is impossible.

Reject the original assumption: no formula $True(x)$ expresses $TRUE(n)$.

Observe that some numerical properties are both expressed and captured — as the recursive relations. And if a property can be captured by a recursively axiomatized consistent theory extending Q, then it can be expressed.¹¹ As we have seen, even though $THRMQ(n)$ is a relation on the integers, it is not a recursive relation. It can however be *expressed* by the formula, $\exists x Prfq(x, n)$. In the following (T14.10) we show that every function captured by a consistent recursively axiomatized theory extending Q is recursive; it follows that $THRMQ(n)$ is expressed but not captured. And now we have seen a relation $TRUE(n)$ not even expressed in \mathcal{L}_{NT} .

This is a decent start into the results of [Part IV](#) of the text. In the following, we turn to deepening and extending them in different directions.

E12.34. Use the alternate version of the diagonal lemma from E12.32 to provide alternate demonstrations of T12.23 and T12.24. Include the “bit of minipulation” left out of the text for T12.24.

E12.35. For each of the following concepts, explain in an essay of about two pages, so that Hannah could understand. In your essay, you should (i) identify the objects to which the concept applies, (ii) give and explain the definition, and give and explicate examples (iii) where the concept applies, and (iv) where it does not. Your essay should exhibit an understanding of methods from the text.

¹¹Since we use the same canonical formulas for expression and capture, it is perhaps obvious that canonical capture in a *sound* theory implies expression. Further, from T14.10 if a function can be captured by a consistent recursively axiomatized theory extending Q it is recursive; so by T12.3 it is expressed on the standard interpretation N for \mathcal{L}_{NT} .

Final Results of Chapter 12

- T12.17 If T is a recursively axiomatized formal theory then the set of theorems of T is recursively enumerable.
- T12.18 For any recursively axiomatized, consistent, negation complete formal theory T there is a recursive relation $\text{THRMT}(n)$ true just in case n numbers a theorem of T .
- T12.19 Let T be any theory that extends Q . Then for any formula $\mathcal{F}(y)$ containing just the variable y free, there is a sentence \mathcal{H} such that $T \vdash \mathcal{H} \leftrightarrow \mathcal{F}(\overline{\neg \mathcal{H}})$. The *Diagonal Lemma*.
- T12.20 For no consistent theory T that extends Q is there a recursive relation $\text{THRMT}(n)$ that is true just in case n is a Gödel number of a theorem of T .
- T12.21 No consistent, recursively axiomatizable extension of Q is negation complete. The *incompleteness of arithmetic*.
- T12.22 If Q is consistent, then no recursive relation $\text{THRMPL}(n)$ is true iff n numbers a theorem of predicate logic
- T12.23 No recursively axiomatized consistent theory extending Q is a theory of truth for its own language \mathcal{L} .
- T12.24 If Q is sound, and \mathcal{L} includes \mathcal{L}_{NT} then there is no *True* to express TRUE in \mathcal{L} .

- a. The recursive functions and the role of the beta function in their expression and capture.
- b. The essential elements from this chapter contributing to the proof of the incompleteness of arithmetic.
- c. The essential elements from this chapter contributing to the proof of that no recursive relation identifies the theorems of predicate logic
- d. The essential elements from this chapter contributing to the proof of Tarski's theorem.

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Chapter 13

Gödel's Theorems

We have seen a demonstration of the incompleteness of arithmetic. In this chapter, we take another run at that result, this time by Gödel's original strategy of producing sentences that are true iff not provable. This enables us to extend and deepen the incompleteness result, and puts us in a position to take up Gödel's second incompleteness theorem, according to which theories (of a certain sort) are not sufficient for demonstrations of *consistency*.

13.1 Gödel's First Theorem

Recall that the diagonalization of a formula $\mathcal{P}(x)$ is $\exists x(x = \overline{\ulcorner \mathcal{P} \urcorner} \wedge \mathcal{P}(x))$. In addition, there is a recursive function $\text{diag}(n)$ which numbers the diagonalization of the formula with number n and, if T is recursively axiomatized, a recursive relation $\text{PRFT}(m, n)$ true when m numbers a proof of the formula with number n . Our previous argument for incompleteness required $\text{PRFT}(m, n)$ for T12.17, and a $\text{Diag}(x, y)$ to capture $\text{diag}(n)$ for the diagonal lemma. Under the assumption that there is a THRMT and so a formula $\sim \text{Thrmt}$, we applied the diagonal lemma to obtain an \mathcal{H} such that $T \vdash \mathcal{H} \leftrightarrow \sim \text{Thrmt}(\overline{\ulcorner \mathcal{H} \urcorner})$; but this is impossible — so that there is no THRMT . And from this we argued that there must be a sentence such that neither it nor its negation is provable — without any suggestion what that sentence might be. This time, by related methods, we construct a particular sentence such that neither it nor its negation is provable.

13.1.1 Semantic Version

Consider some recursively axiomatized theory T whose language includes \mathcal{L}_{NT} . Since $\text{PRFT}(m, n)$ and $\text{diag}(n)$ are recursive, they are *expressed* by some formulas $\text{Prft}(x, y)$ and $\text{Diag}(x, y)$. Let $\mathcal{A}(z) =_{\text{def}} \sim \exists x \exists y (\text{Prft}(x, y) \wedge \text{Diag}(z, y))$, and $\mathbf{a} = \ulcorner \mathcal{A} \urcorner$. So \mathcal{A} says nothing numbers a proof of the diagonalization of a formula with number z . Then,

$$\mathcal{G} =_{\text{def}} \exists z (z = \bar{\mathbf{a}} \wedge \sim \exists x \exists y (\text{Prft}(x, y) \wedge \text{Diag}(z, y)))$$

So \mathcal{G} is the diagonalization of \mathcal{A} , and intuitively \mathcal{G} “says” that nothing numbers a proof of it. Let $\mathbf{g} = \ulcorner \mathcal{G} \urcorner$. Observe that \mathcal{G} is defined relative to Prft for T ; so each T yields its own Gödel sentence (if it were not ugly, we might sensibly introduce subscripts \mathcal{G}_T). Thus,

T13.1. For any recursively axiomatized theory T whose language includes \mathcal{L}_{NT} , \mathcal{G} is true iff it is unprovable in T ($N[\mathcal{G}] = \text{T}$ iff $T \not\vdash \mathcal{G}$).

Consider a recursively axiomatized theory T whose language includes \mathcal{L}_{NT} and the formula \mathcal{G} as described above. Skipping some steps, (i) Suppose $N[\mathcal{G}] = \text{T}$; then for any d , $N_d[\mathcal{G}] = \text{S}$; so with T10.2, $N_d[\sim \exists x \exists y (\text{Prft}(x, y) \wedge \text{Diag}(\bar{\mathbf{a}}, y))] = \text{S}$; so there are no m, n such that $N[\text{Prft}(\bar{m}, \bar{n})] = \text{T}$ and $N[\text{Diag}(\bar{\mathbf{a}}, \bar{n})] = \text{T}$; so by expression, there are no m, n such that $\langle m, n \rangle \in \text{PRFT}$ and $\langle \mathbf{a}, n \rangle \in \text{diag}$; but $\text{diag}(\mathbf{a}) = \mathbf{g}$; so no m numbers a proof of \mathcal{G} , which is to say $T \not\vdash \mathcal{G}$. (ii) Suppose $N[\mathcal{G}] \neq \text{T}$; then there is some d such that $N_d[\mathcal{G}] \neq \text{S}$ and for any $n \in \mathbb{N}$, $N_{d(z|n)}[z = \bar{\mathbf{a}} \wedge \sim \exists x \exists y (\text{Prft}(x, y) \wedge \text{Diag}(z, y))] \neq \text{S}$; so $N_{d(z|\mathbf{a})}[z = \bar{\mathbf{a}} \wedge \sim \exists x \exists y (\text{Prft}(x, y) \wedge \text{Diag}(z, y))] \neq \text{S}$; so by T10.2, $N_d[\sim \exists x \exists y (\text{Prft}(x, y) \wedge \text{Diag}(\bar{\mathbf{a}}, y))] \neq \text{S}$; so $N_d[\exists x \exists y (\text{Prft}(x, y) \wedge \text{Diag}(\bar{\mathbf{a}}, y))] = \text{S}$; so there are m and n such that both $\text{Prft}(\bar{m}, \bar{n})$ and $\text{Diag}(\bar{\mathbf{a}}, \bar{n})$ are S on \mathbb{N} with d ; so $N[\sim \text{Prft}(\bar{m}, \bar{n})] \neq \text{T}$ and $N[\sim \text{Diag}(\bar{\mathbf{a}}, \bar{n})] \neq \text{T}$; and by expression $\langle m, n \rangle \in \text{PRFT}$ and $\langle \mathbf{a}, n \rangle \in \text{diag}$; but again, $\text{diag}(\mathbf{a}) = \mathbf{g}$; so $\langle m, \mathbf{g} \rangle \in \text{PRFT}$; so $T \vdash \mathcal{G}$; so by transposition, if $T \not\vdash \mathcal{G}$, then $N[\mathcal{G}] = \text{T}$.

It is not a difficult exercise to fill in the details. Intuitively this result should seem right. Suppose \mathcal{G} “says” that it is unprovable: then if it is true it is unprovable; and if it is unprovable it is true; so it is true iff it is unprovable.

Now suppose that T is a recursively axiomatized, and *sound* theory (so that its theorems are true), whose language includes \mathcal{L}_{NT} . Then T is negation incomplete.

T13.2. If T is a recursively axiomatized sound theory whose language includes \mathcal{L}_{NT} , then T is negation incomplete.

Suppose T is a recursively axiomatized theory whose language includes \mathcal{L}_{NT} ; then there is a sentence \mathcal{G} to which the conditions for T13.1 apply. (i) Suppose $T \vdash \mathcal{G}$; then, since T is sound, \mathcal{G} is true; so by T13.1, $T \not\vdash \mathcal{G}$; reject the assumption, $T \not\vdash \mathcal{G}$. Suppose $T \vdash \sim\mathcal{G}$; then since T is sound, $\sim\mathcal{G}$ is true; so \mathcal{G} is not true; so by T13.1, $T \vdash \mathcal{G}$; so by soundness again, \mathcal{G} is true; reject the assumption: $T \not\vdash \sim\mathcal{G}$.

So \mathcal{G} is a sentence such that if T is a recursively axiomatized sound theory whose language includes \mathcal{L}_{NT} , neither \mathcal{G} nor its negation is a theorem. And, from T13.1, given that \mathcal{G} is unprovable, if T is a recursively axiomatized theory whose language includes \mathcal{L}_{NT} , then \mathcal{G} is a *true* non-theorem. This version of the incompleteness result depends on the ability to express \mathcal{G} , together with the soundness of theory T .

13.1.2 Syntactic Version

Gödel's first theorem is usually presented with the capture and consistency, rather than the expression and soundness constraints. We turn now to a version of this first sort which, again, builds a particular sentence such that neither it nor its negation is provable.

Since $\text{prft}(m, n)$ and $\text{diag}(n)$ are recursive, in theories extending Q they are *captured* by canonical formulas $\text{Prft}(x, y)$ and $\text{Diag}(x, y)$. As before, let $\mathcal{A}(z) \stackrel{\text{def}}{=} \sim\exists x\exists y(\text{Prft}(x, y) \wedge \text{Diag}(z, y))$, and $\mathbf{a} = \ulcorner \mathcal{A} \urcorner$. So \mathcal{A} says nothing numbers a proof of the diagonalization of a formula with number z . Then,

$$\mathcal{G} \stackrel{\text{def}}{=} \exists z(z = \bar{\mathbf{a}} \wedge \sim\exists x\exists y(\text{Prft}(x, y) \wedge \text{Diag}(z, y)))$$

So \mathcal{G} is the diagonalization of \mathcal{A} ; let \mathbf{g} be the Gödel number of \mathcal{G} . This time, we shall be able to establish in T the relation between \mathcal{G} and its proof. Reasoning as for the diagonal lemma,

T13.3. Let T be any recursively axiomatized theory extending Q ; then $T \vdash \mathcal{G} \leftrightarrow \sim\exists x\text{Prft}(x, \ulcorner \mathcal{G} \urcorner)$.

Since T is recursively axiomatized, there is a recursive prft and since T extends Q there are Prft and Diag that capture prft and diag . From the definition of \mathcal{G} , $T \vdash \mathcal{G} \leftrightarrow \exists z(z = \bar{\mathbf{a}} \wedge \sim\exists x\exists y[\text{Prft}(x, y) \wedge \text{Diag}(z, y)])$; from capture $T \vdash \text{Diag}(\bar{\mathbf{a}}, \bar{\mathbf{g}})$; and $T \vdash \forall z(\text{Diag}(\bar{\mathbf{a}}, z) \rightarrow z = \bar{\mathbf{g}})$. From these it follows that $T \vdash \mathcal{G} \leftrightarrow \sim\exists x\text{Prft}(x, \bar{\mathbf{g}})$; which is to say, $T \vdash \mathcal{G} \leftrightarrow \sim\exists x\text{Prft}(x, \ulcorner \mathcal{G} \urcorner)$ (homework).

From the diagonal lemma, under appropriate conditions, given a formula $\mathcal{F}(y)$, there is some \mathcal{H} such that $T \vdash \mathcal{H} \leftrightarrow \mathcal{F}(\overline{\mathcal{H}})$. Under the assumption that there is THRMT , we applied this to show there would be some \mathcal{H} such that $T \vdash \mathcal{H} \leftrightarrow \sim \text{Thrm}(\overline{\mathcal{H}})$. This led to contradiction. In this case, however, we show that there really is a particular sentence \mathcal{G} such that $T \vdash \mathcal{G} \leftrightarrow \sim \exists x \text{Prft}(x, \overline{\mathcal{G}})$.

Our idea is to show that if T is a consistent, recursively axiomatized theory extending Q , then $T \not\vdash \mathcal{G}$ and $T \not\vdash \sim \mathcal{G}$. The first is easy enough.

T13.4. If T is a consistent, recursively axiomatized theory extending Q , then $T \not\vdash \mathcal{G}$.

Suppose T is a consistent recursively axiomatized theory extending Q . Suppose $T \vdash \mathcal{G}$; then since T is recursively axiomatized, for some m , $\text{PRFT}(m, g)$; and since T extends Q , by capture, $T \vdash \text{Prft}(\overline{m}, \overline{g})$; so by $\exists I$, $T \vdash \exists x \text{Prft}(x, \overline{g})$, which is to say, $T \vdash \exists x \text{Prft}(x, \overline{\mathcal{G}})$. But since $T \vdash \mathcal{G}$, by T13.3, $T \vdash \sim \exists x \text{Prft}(x, \overline{\mathcal{G}})$. So T is inconsistent; reject the assumption: $T \not\vdash \mathcal{G}$.

That is the first half of what we are after. But we can't quite get that if T is a consistent, recursively axiomatized theory extending Q , then $T \not\vdash \sim \mathcal{G}$. Rather, we need a strengthened notion of consistency. Say a theory T is ω -incomplete iff for some $\mathcal{P}(x)$, T can prove each $\mathcal{P}(\overline{m})$ but T cannot go on to prove $\forall x \mathcal{P}(x)$. Equivalently, T is ω -incomplete iff for every m , it can prove each $T \vdash \sim \mathcal{P}(\overline{m})$ but $T \not\vdash \exists x \mathcal{P}(x)$. We have seen that Q is ω -incomplete: we can prove, say $\overline{n} \times \overline{m} = \overline{m} \times \overline{n}$ for every m and n , but cannot go on to prove the corresponding universal generalization, $\forall x \forall y (x \times y = y \times x)$. Say T is ω -inconsistent iff for some $\mathcal{P}(x)$, T proves each $\mathcal{P}(\overline{m})$ but also proves $\sim \forall x \mathcal{P}(x)$. Equivalently, T is ω -inconsistent iff for every m , can prove each $T \vdash \sim \mathcal{P}(\overline{m})$ and $T \vdash \exists x \mathcal{P}(x)$. ω -incompleteness is a theoretical weakness — there are some things true but not provable. But ω -inconsistency is a theoretical disaster: It is not possible for the theorems of an ω -inconsistent theory all to be true on any interpretation (assuming some \overline{m} for each $m \in \mathbb{U}$). ω -inconsistency is not itself inconsistency — for we do not have any sentence such that $T \vdash \mathcal{P}$ and $T \vdash \sim \mathcal{P}$. But inconsistent theories are automatically ω -inconsistent — for from contradiction all consequences follow (including each $\mathcal{P}(\overline{m})$ and also $\sim \forall x \mathcal{P}(x)$); transposing, ω -consistent theories are consistent. Now we show,

T13.5. If T is an ω -consistent, recursively axiomatized theory extending Q , then $T \not\vdash \sim \mathcal{G}$.

Suppose T is an ω -consistent recursively axiomatized theory extending Q . Suppose $T \vdash \sim \mathcal{G}$; since T is ω -consistent, it is consistent, so $T \not\vdash \mathcal{G}$; so since T is recursively axiomatized, for all m , $\langle m, g \rangle \notin \text{PRFT}$; and since T extends Q ,

by capture, $T \vdash \sim Prft(\overline{m}, \overline{g})$; and since T is ω -consistent, $T \not\vdash \exists x Prft(x, \overline{g})$; which is to say, $T \not\vdash \exists x Prft(x, \ulcorner \mathcal{G} \urcorner)$. But since $T \vdash \sim \mathcal{G}$, by T13.3 with NB, $T \vdash \exists x Prft(x, \ulcorner \mathcal{G} \urcorner)$. This is impossible; reject the assumption: $T \not\vdash \sim \mathcal{G}$.

So if a recursively axiomatized theory extending Q has the relevant *consistency* properties, then it is negation incomplete. Further, insofar as T canonically captures the recursive functions, it expresses the recursive functions; so by T13.1, \mathcal{G} is true iff $T \not\vdash \mathcal{G}$. So if T is a consistent recursively axiomatized theory extending Q , then \mathcal{G} is both unprovable and true.

This is roughly the form in which Gödel proved the incompleteness of arithmetic in 1931: If T is a consistent, recursively axiomatized theory extending Q , then $T \not\vdash \mathcal{G}$; and if T is an ω -consistent, recursively axiomatized theory extending Q , then $T \not\vdash \sim \mathcal{G}$. Since we believe that standard theories including Q and PA are consistent and ω -consistent, this sufficient for the incompleteness of arithmetic.

E13.1. Fill in the details for the argument of T13.1.

*E13.2. Complete the demonstration of T13.3 by providing a derivation to show $T \vdash \mathcal{G} \leftrightarrow \sim \exists x Prft(x, \ulcorner \mathcal{G} \urcorner)$. The demonstration for the diagonal lemma is a model, though steps will be adapted to the particular form of these sentences.

13.1.3 Rosser's Sentence

But it is possible to drop the special assumption of ω -consistency by means of a sentence somewhat different from \mathcal{G} .¹ Recall that $\text{neg}(n)$ is the Gödel number of the negation of the sentence with number n . So $\overline{PRFT}(m, n) =_{\text{def}} PRFT(m, \text{neg}(n))$ obtains when m numbers a proof of the negation of the sentence numbered n . Since it is recursive, it is captured by some $\overline{Prft}(x, y)$. Set,

$$RPrft(x, y) =_{\text{def}} Prft(x, y) \wedge (\forall w \leq x) \sim \overline{Prft}(w, y)$$

So $RPrft(x, y)$ just in case x numbers a proof of the sentence numbered y and no number less than or equal to x is a proof of the negation of that sentence. Now, working as before, set $\mathcal{A}'(z) =_{\text{def}} \sim \exists x \exists y (RPrft(x, y) \wedge \text{Diag}(z, y))$, and $\mathbf{a} = \ulcorner \mathcal{A}' \urcorner$. So \mathcal{A}' says nothing numbers an R -proof of the diagonalization of a formula with number z . Then,

¹Barkley Rosser, "Extensions of Some Theorems of Gödel and Church."

$$\mathcal{R} =_{\text{def}} \exists z (z = \bar{a} \wedge \sim \exists x \exists y (RPrft(x, y) \wedge Diag(z, y)))$$

So \mathcal{R} is the diagonalization of \mathcal{A}' ; let r be the Gödel number of \mathcal{R} . And \mathcal{R} has the key syntactic property just like \mathcal{G} . Again, reasoning as we did for the diagonal lemma,

T13.6. Let T be any recursively axiomatized theory extending Q ; then $T \vdash \mathcal{R} \leftrightarrow \sim \exists x RPrft(x, \ulcorner \mathcal{R} \urcorner)$.

You can show this just as for T13.3.

Now the first half of the incompleteness result is straightforward.

T13.7. If T is a consistent, recursively axiomatized theory extending Q , then $T \not\vdash \mathcal{R}$.

Suppose T is a consistent recursively axiomatized theory extending Q . Suppose $T \vdash \mathcal{R}$; then since T is recursively axiomatized, for some m , $\text{PRFT}(m, r)$; and since T extends Q , by capture, $T \vdash Prft(\bar{m}, \bar{r})$. But by consistency, $T \not\vdash \sim \mathcal{R}$; so for all n , and in particular all $n \leq m$, $\langle n, r \rangle \notin \overline{\text{PRFT}}$; so by capture, $T \vdash \sim \overline{Prft}(\bar{n}, \bar{r})$; so by T8.21, $T \vdash (\forall w \leq \bar{m}) \sim \overline{Prft}(w, \bar{r})$; so $T \vdash Prft(\bar{m}, \bar{r}) \wedge (\forall w \leq \bar{m}) \sim \overline{Prft}(w, \bar{r})$; so $T \vdash RPrft(\bar{m}, \bar{r})$; so $T \vdash \exists x RPrft(x, \bar{r})$, which is to say, $T \vdash \exists x RPrft(x, \ulcorner \mathcal{R} \urcorner)$. But since $T \vdash \mathcal{R}$, by T13.6, $T \vdash \sim \exists x RPrft(x, \ulcorner \mathcal{R} \urcorner)$; so T is inconsistent. This is impossible; reject the assumption: $T \not\vdash \mathcal{R}$.

So, with consistency, it is not much harder to prove $T \vdash \exists x RPrft(x, \ulcorner \mathcal{R} \urcorner)$ from the assumption that $T \vdash \mathcal{R}$ than to prove $T \vdash \exists x Prft(x, \ulcorner \mathcal{G} \urcorner)$ from the assumption that $T \vdash \mathcal{G}$.

Reasoning for the other direction is somewhat more involved, but still straightforward.

T13.8. If T is a consistent, recursively axiomatized theory extending Q , then $T \not\vdash \sim \mathcal{R}$.

Suppose T is a consistent recursively axiomatized theory extending Q . Suppose $T \vdash \sim \mathcal{R}$. Then since T is recursively axiomatized, for some m , $\langle m, r \rangle \in \overline{\text{PRFT}}$; and since T extends Q , by capture, $T \vdash \overline{Prft}(\bar{m}, \bar{r})$. By consistency, $T \not\vdash \mathcal{R}$; so for any n , and in particular, any $n \leq m$, $\langle n, r \rangle \notin \text{PRFT}$; so by capture, $T \vdash \sim Prft(\bar{n}, \bar{r})$; and by T8.21, $T \vdash (\forall w \leq \bar{m}) \sim Prft(w, \bar{r})$. Now reason as follows.

1.	$\sim \mathcal{R}$	from T
2.	$\overline{Prft}(\overline{m}, \bar{r})$	capture
3.	$(\forall w \leq \overline{m}) \sim Prft(w, \bar{r})$	capture and T8.21
4.	$\mathcal{R} \leftrightarrow \sim \exists x RPrft(x, \bar{r})$	from T13.6
5.	$\exists x RPrft(x, \bar{r})$	1,4 NB
6.	$\exists x [Prft(x, \bar{r}) \wedge (\forall w \leq x) \sim \overline{Prft}(w, \bar{r})]$	5 abv
7.	$Prft(j, \bar{r}) \wedge (\forall w \leq j) \sim \overline{Prft}(w, \bar{r})$	A (g, 6 \exists E)
8.	$j \leq \overline{m} \vee \overline{m} \leq j$	T8.19
9.	$j \leq \overline{m}$	A (g 8 \vee E)
10.	$Prft(j, \bar{r})$	7 \wedge E
11.	$\sim Prft(j, \bar{r})$	3,9 (\forall E)
12.	\perp	10,11 \perp I
13.	$\overline{m} \leq j$	A (g, 8 \vee E)
14.	$(\forall w \leq j) \sim \overline{Prft}(w, \bar{r})$	7 \wedge E
15.	$\sim \overline{Prft}(\overline{m}, \bar{r})$	14,13 (\forall E)
16.	\perp	2,15 \perp I
17.	\perp	8,9-12,13-16 \vee E
18.	\perp	6,7-17 \exists E

So $T \vdash \perp$, that is $T \vdash Z \wedge \sim Z$ and T is inconsistent. Reject the assumption, $T \not\vdash \sim \mathcal{R}$.

In the previous case, with \mathcal{G} , we had no way to convert $\exists x Prft(x, \bar{g})$ to a contradiction with $\sim Prft(\bar{0}, \bar{g}), \sim Prft(\bar{1}, \bar{g}) \dots$; that is why we needed ω -consistency. We can, however, move from $\sim Prft(\bar{0}, \bar{r}), \sim Prft(\bar{1}, \bar{r}) \dots \sim Prft(\overline{m}, \bar{r})$ to a *bounded* quantification $(\forall w \leq \overline{m}) \sim Prft(w, \bar{r})$ or equivalently $\sim (\exists w \leq \overline{m}) Prft(w, \bar{r})$. Then the special nature of \mathcal{R} aids the argument: From $RPrft(j, \bar{r})$ suppose $j \leq \overline{m}$; then $Prft(j, \bar{r})$ and we contradict the bounded quantification in the usual way. Suppose $j \geq \overline{m}$; then $RPrft$ is designed so that nothing less than j (including \overline{m}) numbers a proof of $\text{neg}(\bar{r})$; but we have $\overline{Prft}(\overline{m}, \bar{r})$ from the assumption. So $T \not\vdash \mathcal{R}$ and $T \not\vdash \sim \mathcal{R}$.

Let us close this section with some reflections on what we have shown. First,

$$Q \text{ is sound} \implies Q \text{ is } \omega\text{-consistent} \implies Q \text{ is consistent}$$

So our results are progressively stronger, as the assumptions have become correspondingly weaker. But,

$$\text{capture} \implies \text{expression}$$

So the second requirement is increased as we move from expression to capture.

Second, we have not shown that there are truths of \mathcal{L}_{NT} not provable in any recursively axiomatizable, consistent theory extending Q . Rather, what we have shown is that for any recursively axiomatizable consistent theory extending Q , there are some truths of \mathcal{L}_{NT} not provable in that theory. For a given recursively axiomatizable theory, there will be a given relation $\text{PRFT}(m, n)$ and $\text{Prft}(x, y)$ depending on the particular axioms of that theory — and so unique sentences \mathcal{G} and \mathcal{R} constructed as above. In particular, given that a theory cannot prove, say, \mathcal{R} , we might simply *add* \mathcal{R} to its axioms; then of course there is a derivation of \mathcal{R} from the axioms of the revised theory! But then the new theory will generate a new relation $\text{PRFT}(m, n)$ and a new $\text{Prft}(x, y)$ and so a new unprovable sentence \mathcal{R}' . So any theory extending Q is negation incomplete.

But it is worth a word about what are theories extending Q . Any such theory should build in equivalents of the \mathcal{L}_{NT} vocabulary \emptyset , S , $+$, and \times — and should have a predicate $\text{Nat}(x)$ to identify a class of objects to count as the numbers. Then if the theory makes the axioms of Q true on these objects, it is incomplete. Straightforward extensions of Q are ones like PA which simply add to its axioms. But ordinary ZF set theory also falls into this category — for it is possible to define a class of sets, say, \emptyset , $\{\emptyset\}$, $\{\emptyset, \{\emptyset\}\}$, $\{\emptyset, \{\emptyset\}, \{\emptyset, \{\emptyset\}\}\}$... where any n is the set of all the numbers prior to it, along with operations on sets which obey the axioms of Q .² It follows that ZF is negation incomplete. In contrast, the domain for the standard theory of real numbers has all the entities required to do arithmetic. However that theory does not have a predicate $\text{Nat}(x)$ to pick out the natural numbers, and cannot recapitulate the theory of natural numbers on any subclass of its domain. So our incompleteness theorem does not get a grip, and in fact the theory of real numbers is demonstrably complete. Observe, though, that it is a *weakness* in this theory of real numbers, its inability to specify a certain class that makes room for its completeness.³

E13.3. Demonstrate T13.6.

²For discussion, see any introduction to set theory, for example, Enderton, *Elements of Set Theory*, chapter 4.

³There are real numbers 0 and 1; so it is natural to identify the integers with 0 , $0 + 1$, $0 + 1 + 1$ and so forth. The difficulty is to define a property within the theory of real numbers that picks out just the members of this series, as we have been able to define infinite recursive properties in \mathcal{L}_{NT} . The completeness of the theory of real numbers was originally proved by Tarski, and is discussed in books on model theory, for example, Hodges *A Shorter Model Theory*, theorems 2.7.2 and 7.4.4.

13.2 Gödel's Second Theorem: Overview

We turn now to Gödel's second incompleteness theorem on the unprovability of consistency. The discussion is divided into four main parts. First, in this section, Gödel's second theorem is proved subject to three *derivability conditions*. Then we turn to the derivability conditions themselves. The first is easy. But the second and third require extended discussion. There is some background (section 13.3). Then discussion of the second condition (section 13.4), and the third condition (section 13.5). This completes the proof. We conclude with some reflections and consequences from our results (section 13.6). There are alternative approaches to the second theorem (for references see section 3 of Raatikainen, “Gödel's Incompleteness Theorems”). Our's is a straight-ahead development of the standard approach based on the derivability conditions. This is, surely, a natural place to start. Textbooks ordinarily end their discussion of the second theorem with the demonstration from the derivability conditions, offering just some general perspective on how the conditions are to be obtained.⁴ However, even if you decide to bypass the details, this general perspective will be enhanced if you have some object at which to “wave” as you pass them by.

For this discussion we switch to theories including PA. The result is that that PA and its extensions cannot prove their own consistency. The reason for this switch will become vivid in demonstration of the derivability conditions — as many arguments that would have been by induction are forced into the theory and so are by IN. Coinciding with the move to PA we revert to considering original rather than canonical formulas to express recursive functions: this avoids some complication, and since PA has all the resources of Q_s , all our incompleteness results are preserved.⁵

Main argument. We have seen that for recursively axiomatized theories there is a recursive relation $\text{PRFT}(m, n)$. Since it is recursive, in theories extending Q , this relation is captured by a corresponding $\text{Prft}(x, y)$. Let

⁴So, for example, “the details of this are long and tedious, and will not be discussed here” (George and Velleman, *Philosophies of Mathematics*, 201; and “the proofs of the [second and third derivability conditions] are omitted from virtually all books on the level of this one, not because they involve any terribly difficult new ideas, but because the innumerable routine verifications they — and especially the last — require would take up too much time and patience” (Boolos, Burgess and Jeffrey, *Computability and Logic*, 234.) The only other (relatively) complete development in English that I have been able to track down is Turlakakis, *Lectures in Logic and Set Theory: I*.

⁵But the argument goes through for certain theories weaker than PA. Of relevance to Hilbert, it goes through for *primitive recursive arithmetic* (PRA) — whose theorems are like those of PA with application of the induction schema restricted to only Π_1 formulas. Though he is not entirely clear, arguably, PRA is Hilbert's real theory R (see p. 547). We set aside such details.

$$\text{Prvt}(y) =_{\text{def}} \exists x \text{Prft}(x, y)$$

So $\text{Prvt}(y)$ just when something numbers a proof of the formula numbered y — when the formula numbered by y is provable. Insofar as the quantifier is unbounded, there is no suggestion that there is a corresponding recursive relation — in fact, we have seen in T12.20 that no recursive relation is true just of numbers for the theorems of Q . Let,

$$\text{Cont} =_{\text{def}} \sim \text{Prvt}(\overline{\ulcorner \emptyset = S\emptyset \urcorner})$$

So Cont is true just in case there is no proof of $\overline{\emptyset = S\emptyset}$. There are different ways to express consistency, but for theories extending Q this does as well as any other. Let T extend Q . Suppose T is inconsistent; then it proves anything; so $T \vdash \overline{\emptyset = S\emptyset}$. Suppose $T \vdash \overline{\emptyset = S\emptyset}$; since T extends Q , $T \vdash \overline{\emptyset} \neq \overline{S\emptyset}$; so T proves a contradiction and is inconsistent. So T is inconsistent iff $T \vdash \overline{\emptyset = S\emptyset}$; and, transposing, T is consistent iff $T \not\vdash \overline{\emptyset = S\emptyset}$.

The second theorem is this simple result: Under certain conditions, if T is consistent, then $T \not\vdash \text{Cont}$. If it is consistent, then T cannot prove its own consistency. Suppose the first theorem applies to T , and suppose we could show,

$$(**) \quad T \vdash \text{Cont} \rightarrow \sim \text{Prvt}(\overline{\ulcorner \mathcal{G} \urcorner})$$

Then, given what has gone before, we could make the following very simple argument. Suppose T is a recursively axiomatized theory extending Q .

By T13.3, $T \vdash \mathcal{G} \leftrightarrow \sim \exists x \text{Prft}(x, \overline{\ulcorner \mathcal{G} \urcorner})$, which is to say, $T \vdash \mathcal{G} \leftrightarrow \sim \text{Prvt}(\overline{\ulcorner \mathcal{G} \urcorner})$; from this and (**), $T \vdash \text{Cont} \rightarrow \mathcal{G}$; so if $T \vdash \text{Cont}$ then $T \vdash \mathcal{G}$; but from the first theorem (T13.4), if T is consistent, then $T \not\vdash \mathcal{G}$; so if T is consistent, $T \not\vdash \text{Cont}$.

So the argument reduces to showing (**). Observe that, in reasoning for T13.4 we have already shown,

$$T \text{ is consistent} \implies T \not\vdash \mathcal{G}$$

So the argument reduces to showing that T proves what we have already seen is so. There is nothing mysterious about this: Cont , Prvt and the like are formulas, and so just the sort of thing to which our proof apparatus applies.

Let us abbreviate $\text{Prvt}(\overline{\ulcorner \mathcal{P} \urcorner})$ by $\Box \mathcal{P}$. Observe that this obscures the corner quotes. Still, we shall find it useful. So we need $T \vdash \text{Cont} \rightarrow \sim \Box \mathcal{G}$, which is

just to say, $T \vdash \sim \Box(\bar{0} = \bar{1}) \rightarrow \sim \Box \mathcal{G}$. Suppose T satisfies the following *derivability conditions*.

- D1. If $T \vdash \mathcal{P}$ then $T \vdash \Box \mathcal{P}$
- D2. $T \vdash \Box(\mathcal{P} \rightarrow \mathcal{Q}) \rightarrow (\Box \mathcal{P} \rightarrow \Box \mathcal{Q})$
- D3. $T \vdash \Box \mathcal{P} \rightarrow \Box \Box \mathcal{P}$

Then we shall be able to show $T \vdash \text{Cont} \rightarrow \sim \Box \mathcal{G}$.

The utility of \Box in this context is that D1 - D3 are exactly the conditions that define a standard modal logic, K4 — and it is not surprising that *provability* should correspond to a kind of necessity.⁶ There is an elegant natural derivation system for this modal logic. For this you might check out Roy, [Natural Derivations for Priest](#) §2 (but in the nomenclature there borrowed from Priest, the system is $NK\tau$). However rather than explain and introduce a new derivation system, we obtain a version of K4 simply by adding A1 - A3 and MP from AD_s to D1 - D3. So K4 has D1 as a new rule, and D2 and D3 as new axioms. Since A1 - A3 and MP remain, we have all the theorems from before. Thus, as a simple example,

(A)	1. $\sim \mathcal{P} \rightarrow (\mathcal{P} \rightarrow \mathcal{Q})$	T3.9
	2. $\Box[\sim \mathcal{P} \rightarrow (\mathcal{P} \rightarrow \mathcal{Q})]$	1 D1
	3. $\Box[\sim \mathcal{P} \rightarrow (\mathcal{P} \rightarrow \mathcal{Q})] \rightarrow [\Box \sim \mathcal{P} \rightarrow \Box(\mathcal{P} \rightarrow \mathcal{Q})]$	D2
	4. $\Box \sim \mathcal{P} \rightarrow \Box(\mathcal{P} \rightarrow \mathcal{Q})$	3,2 MP

So in this system $\vdash \Box \sim \mathcal{P} \rightarrow \Box(\mathcal{P} \rightarrow \mathcal{Q})$.

Now, given that $T \vdash \mathcal{G} \rightarrow \sim \exists x \text{Prft}(x, \overline{\ulcorner \mathcal{G} \urcorner})$ from T13.3 we shall be able to show that $T \vdash \text{Cont} \rightarrow \sim \Box \mathcal{G}$.

T13.9. Let T be a recursively axiomatized theory extending Q. Then supposing T satisfies the derivability conditions and so the K4 logic of provability, $T \vdash \text{Cont} \rightarrow \sim \text{Prvt}(\overline{\ulcorner \mathcal{G} \urcorner})$.

⁶While K4 correctly represents these principles, it is not a complete logic of provability. We get a complete system if we add to K4 a rule according to which from $\Box \mathcal{P} \rightarrow \mathcal{P}$ we may infer \mathcal{P} . For discussion see [subsection 13.6.2](#) and Boolos, [The Logic of Provability](#).

1. $\mathcal{G} \rightarrow \sim\Box\mathcal{G}$	from T13.3
2. $\Box(\mathcal{G} \rightarrow \sim\Box\mathcal{G})$	1 D1
3. $\Box(\mathcal{G} \rightarrow \sim\Box\mathcal{G}) \rightarrow (\Box\mathcal{G} \rightarrow \Box\sim\Box\mathcal{G})$	D2
4. $\Box\mathcal{G} \rightarrow \Box\sim\Box\mathcal{G}$	3,2 MP
5. $\Box\sim\Box\mathcal{G} \rightarrow \Box(\Box\mathcal{G} \rightarrow \bar{0} = \bar{1})$	(A)
6. $\Box\mathcal{G} \rightarrow \Box(\Box\mathcal{G} \rightarrow \bar{0} = \bar{1})$	4,5 T3.2
7. $\Box(\Box\mathcal{G} \rightarrow \bar{0} = \bar{1}) \rightarrow (\Box\Box\mathcal{G} \rightarrow \Box(\bar{0} = \bar{1}))$	D2
8. $\Box\mathcal{G} \rightarrow (\Box\Box\mathcal{G} \rightarrow \Box(\bar{0} = \bar{1}))$	6,7 T3.2
9. $[\Box\mathcal{G} \rightarrow (\Box\Box\mathcal{G} \rightarrow \Box(\bar{0} = \bar{1}))] \rightarrow [(\Box\mathcal{G} \rightarrow \Box\Box\mathcal{G}) \rightarrow (\Box\mathcal{G} \rightarrow \Box(\bar{0} = \bar{1}))]$	A2
10. $(\Box\mathcal{G} \rightarrow \Box\Box\mathcal{G}) \rightarrow (\Box\mathcal{G} \rightarrow \Box(\bar{0} = \bar{1}))$	9,8 MP
11. $\Box\mathcal{G} \rightarrow \Box\Box\mathcal{G}$	D3
12. $\Box\mathcal{G} \rightarrow \Box(\bar{0} = \bar{1})$	10,11 MP
13. $[\Box\mathcal{G} \rightarrow \Box(\bar{0} = \bar{1})] \rightarrow [\sim\Box(\bar{0} = \bar{1}) \rightarrow \sim\Box\mathcal{G}]$	T3.13
14. $\sim\Box(\bar{0} = \bar{1}) \rightarrow \sim\Box\mathcal{G}$	13,12 MP

Which is to say, $T \vdash \text{Cont} \rightarrow \sim\text{Prvt}(\overline{\neg\mathcal{G}})$.

As usual for an axiomatic derivation, the reasoning is not entirely transparent. However we are at the stage where, given the derivability conditions, T proves the result. Given this, reason as before,

T13.10. Let T be a recursively axiomatized theory extending Q . Then supposing T satisfies the derivability conditions, if T is consistent, $T \not\vdash \text{Cont}$.

Suppose T is a recursively axiomatized theory extending Q that satisfies the derivability conditions. Then by T13.9, $T \vdash \text{Cont} \rightarrow \sim\text{Prvt}(\overline{\neg\mathcal{G}})$; and by T13.3, $T \vdash \mathcal{G} \leftrightarrow \sim\text{Prvt}(\overline{\neg\mathcal{G}})$; so $T \vdash \text{Cont} \rightarrow \mathcal{G}$; so if $T \vdash \text{Cont}$ then $T \vdash \mathcal{G}$; but from the first incompleteness theorem (T13.4), if T is consistent, then $T \not\vdash \mathcal{G}$; so if T is consistent, $T \not\vdash \text{Cont}$.

One might wonder about the significance of this theorem: If T were inconsistent, it *would* prove Cont . So a failure to prove Cont is no reason to think that T is inconsistent. And a proof of Cont might itself be an indication of inconsistency! The interesting point here results from using one theory to prove the consistency of another. Recall the main Hilbert strategy as outlined in the introduction to Part IV; a key component is the demonstration by means of some real theory R that an ideal theory I is consistent. But, supposing that PA cannot prove its own consistency, we can be sure that no *weaker* theory can prove the consistency of PA. And if PA cannot prove even the consistency of PA, then PA and theories weaker than PA cannot be used to prove the consistency of theories *stronger* than PA.⁷ So a leg of the Hilbert

⁷And the same goes for Hilbert's PRA (see note 5).

strategy seems to be removed. Observe, however, that the theorem does not show that the consistency of PA is unprovable: a theory stronger than PA at least in some respects might still prove the consistency of PA.⁸ This may be a straightforward theorem of the second theory. Of course, as a means of demonstrating consistency such an argument may seem problematic insofar as one requires some reason for thinking the second theory sound which does not already attach to the first, and so already show that the first theory is consistent.

Another theorem is easy to show, and left as an exercise.

T13.11. Let T be a recursively axiomatized theory extending Q . Then supposing T satisfies the derivability conditions and so the K4 logic of provability, $T \vdash \text{Cont} \leftrightarrow \sim \text{Prvt}(\overline{\text{Cont}})$.

Hints: (i) Show that $T \vdash \text{Cont} \rightarrow \sim \Box \text{Cont}$; you can do this starting with $\text{Cont} \rightarrow \sim \Box \mathcal{G}$ from T13.9 and $\sim \Box \mathcal{G} \rightarrow \mathcal{G}$ from T13.3. Then (ii) show $T \vdash \sim \Box \text{Cont} \rightarrow \text{Cont}$; for this, use T3.39 with T3.9 to show $T \vdash \bar{0} = \bar{1} \rightarrow \text{Cont}$; then you should be able to obtain $\sim \Box \text{Cont} \rightarrow \sim \Box(\bar{0} = \bar{1})$ which is to say $\sim \Box \text{Cont} \rightarrow \text{Cont}$. Together these give the desired result.

From this theorem, supposing the derivability conditions, Cont is another \mathcal{P} which, like \mathcal{G} , is such that $T \vdash \mathcal{P} \leftrightarrow \sim \text{Prvt}(\overline{\mathcal{P}})$; so Cont is another fixed point for $\sim \text{Prvt}(x)$. It follows that Cont is another sentence such that both it and its negation are unprovable. Interestingly, Cont uses the notion of provability, but is not constructed so as to say anything about its *own* provability — and so this instance of incompleteness does not depend on self-reference for the unprovable sentence.

We have shown that the second theorem holds for a theory if it meets the derivability conditions. But this is not to show that the theorem holds for any theories! In order to tie the result to something concrete, we turn now to showing that PA meets the derivability conditions, and so that PA, and theories extending PA, satisfy the theorem.

Demonstration of the first condition is simple.

T13.12. Suppose T is a recursively axiomatized theory extending Q . Then if $T \vdash \mathcal{P}$, then $T \vdash \Box \mathcal{P}$.

⁸G. Gentzen shows this very thing, “The Consistency of Elementary Number Theory,” and “New Version of the Consistency Proof for Elementary Number Theory,” both in *The Collected Papers of Gerhard Gentzen*, ed. Szabo. See also Gentzen, “The Concept of Infinite in Mathematics” also in Szabo, along with Pohlers, *Proof Theory*, chapter 1, and Takeuti, *Proof Theory*, §12.

Suppose $T \vdash \mathcal{P}$; then since T is recursively axiomatized, for some m , $\text{PRFT}(m, \ulcorner \mathcal{P} \urcorner)$; and since T extends Q , there is a Prft that captures PRFT ; so $T \vdash \text{Prft}(\overline{m}, \ulcorner \mathcal{P} \urcorner)$; so by $\exists\text{I}$, $T \vdash \exists x \text{Prft}(x, \ulcorner \mathcal{P} \urcorner)$; so $T \vdash \text{Prvt}(\ulcorner \mathcal{P} \urcorner)$; so $T \vdash \Box \mathcal{P}$.

The next conditions are considerably more difficult. We build gradually to the required results in PA.

E13.4. (i) Produce derivations to show both parts of T13.11. (ii) Use your result to demonstrate that T is negation incomplete — that if T is recursively axiomatized theory extending Q that satisfies the derivability conditions, then if T is consistent, $T \not\vdash \text{Cont}$, and if T is ω -consistent, $T \not\vdash \sim \text{Cont}$.

13.3 The Derivability Conditions: Background

In this section we develop some results required for demonstration of derivability conditions two and three. We proceed by introducing functions and relations into PA by *definition*, and then proving some results about them.

13.3.1 Remarks on Definition

So far, we have taken a language, as \mathcal{L}_q or \mathcal{L}_{NT} as basic, and introduced any additional symbols, for example \leq , as means of *abbreviation* for expressions in the original language. But in more complex contexts — especially involving function symbols, it will be convenient to *extend* the language by the definition of new symbols. Thus given a theory T in language \mathcal{L} , we might introduce symbols and corresponding axioms to obtain T' and \mathcal{L}' as follows,

Symbol	Axiom	Condition
\exists	$\exists x \mathcal{P} \leftrightarrow \sim \forall x \sim \mathcal{P}$	
\leq	$x \leq y \leftrightarrow \exists z (z + x = y)$	
\emptyset	$y = \emptyset \leftrightarrow \forall x (x \notin y)$	$T \vdash \exists! y \forall x (x \notin y)$
S	$y = Sx \leftrightarrow \forall z [z \in y \leftrightarrow (z \in x \vee z = x)]$	$T \vdash \exists! y \forall z [z \in y \leftrightarrow (z \in x \vee z = x)]$

We are familiar with the first two cases. Strictly, the first lists an axiom schema, representing different axioms for different instances of \mathcal{P} . So far, we have thought of

Additional Theorems of PA

*T13.13. *The following are theorems of PA:*

- (a) $\text{PA} \vdash (r \leq s \wedge s \leq t) \rightarrow r \leq t$
- (b) $\text{PA} \vdash (r < s \wedge s < t) \rightarrow r < t$
- (c) $\text{PA} \vdash (r \leq s \wedge s < t) \rightarrow r < t$
- (d) $\text{PA} \vdash \emptyset \leq t$
- (e) $\text{PA} \vdash \emptyset < St$
- (f) $\text{PA} \vdash t \neq \emptyset \leftrightarrow \emptyset < t$
- (g) $\text{PA} \vdash t > \emptyset \rightarrow \exists y(t = Sy) \quad y \text{ not in } t.$
- (h) $\text{PA} \vdash t < St$
- (i) $\text{PA} \vdash St = s \rightarrow t < s$
- (j) $\text{PA} \vdash s \leq t \leftrightarrow Ss \leq St$
- (k) $\text{PA} \vdash s < t \leftrightarrow Ss < St$
- (l) $\text{PA} \vdash s < t \leftrightarrow Ss \leq t$
- (m) $\text{PA} \vdash s \leq t \leftrightarrow s < t \vee s = t$
- (n) $\text{PA} \vdash s < St \leftrightarrow s < t \vee s = t$
- (o) $\text{PA} \vdash s \leq St \leftrightarrow s \leq t \vee s = St$
- (p) $\text{PA} \vdash s < t \vee s = t \vee t < s$
- (q) $\text{PA} \vdash s \leq t \vee t < s$
- (r) $\text{PA} \vdash s \leq t \leftrightarrow t \not< s$
- (s) $\text{PA} \vdash t < s \rightarrow t \neq s$
- (t) $\text{PA} \vdash (s \leq t \wedge t \leq s) \rightarrow s = t$
- (u) $\text{PA} \vdash s \leq s + t$
- (v) $\text{PA} \vdash r \leq s \leftrightarrow r + t \leq s + t$
- (w) $\text{PA} \vdash r < s \leftrightarrow r + t < s + t$
- (x) $\text{PA} \vdash (r \leq s \wedge t \leq u) \rightarrow r + t \leq s + u$
- (y) $\text{PA} \vdash (r < s \wedge t \leq u) \rightarrow r + t < s + u$
- (z) $\text{PA} \vdash \emptyset < t \rightarrow s \leq s \times t$
- (aa) $\text{PA} \vdash r \leq s \rightarrow r \times t \leq s \times t$
- (ab) $\text{PA} \vdash r \times s > \emptyset \rightarrow s > \emptyset$
- (ac) $\text{PA} \vdash (r > \bar{1} \wedge s > \emptyset) \rightarrow r \times s > s$
- (ad) $\text{PA} \vdash (t > \emptyset \wedge r < s) \rightarrow r \times t < s \times t$
- (ae) $\text{PA} \vdash (r < s \wedge t < u) \rightarrow r \times t < s \times u$
- (af) $\text{PA} \vdash \forall x[(\forall z < x)\mathcal{P}_z^x \rightarrow \mathcal{P}] \rightarrow \forall x\mathcal{P} \quad \text{strong induction (a)}$
- (ag) $\text{PA} \vdash \mathcal{P}_\emptyset^x \wedge \forall x[(\forall z \leq x)\mathcal{P}_z^x \rightarrow \mathcal{P}_{Sx}^x] \rightarrow \forall x\mathcal{P} \quad \text{strong induction (b)}$
- (ah) $\text{PA} \vdash \exists x\mathcal{P} \rightarrow \exists x[\mathcal{P} \wedge (\forall z < x)\sim\mathcal{P}_z^x] \quad \text{least number principle}$

Some of these are related to results we obtained in [chapter 8](#) for Q. But there results were of the sort, for any n, $\text{Q} \vdash t < \bar{n} \vee t = \bar{n} \vee \bar{n} < t$; with PA, the induction is in the logic rather than in the metalanguage, and we obtain the universal quantifier (or rather, an arbitrary term which may be a free variable) in the object formula.

these as *abbreviations* — and as such the listed axioms are of the sort $\mathcal{Q} \leftrightarrow \mathcal{Q}$ with the abbreviated form on one side, and the unabbreviated on the other. A theory is not extended by the addition of an “axiom” of this sort. But is possible to see the symbols as *new* vocabulary. In all four cases T' includes a new axiom. The last two require also a uniqueness condition in the original T . For these, let $\exists!y\mathcal{P}(y)$ abbreviate $\exists y[\mathcal{P}(y) \wedge \forall z(\mathcal{P}(z) \rightarrow z = y)]$ or equivalently $\exists y\mathcal{P}(y) \wedge \forall y\forall z[(\mathcal{P}(y) \wedge \mathcal{P}(z)) \rightarrow y = z]$ so that *exactly one* thing is \mathcal{P} . Then the cases for a constant and function symbol are standard examples from set theory, where zero and successor are defined (the condition for successor sets $Sx = x \cup \{x\}$ so that the successor of x includes x and all of its members). The details of the examples are not important; we illustrate only the idea of definition. We begin with a formal account, and extend it in different directions.

Basic Account

Consider some theory T and language \mathcal{L} . We will consider a language \mathcal{L}' extended with some new symbol and theory T' extended with the corresponding axiom. There are separate cases for a relation symbol, operator symbol, constant symbol and function symbol.

Relation symbol. To introduce a new relation symbol $\mathcal{R}\vec{x}$ we require an axiom in the extended theory such that,

$$T' \vdash \mathcal{R}(\vec{x}) \leftrightarrow \mathcal{Q}(\vec{x})$$

where $\mathcal{Q}(\vec{x})$ is in \mathcal{L} . Then for a formula \mathcal{F}' including the new symbol, there should be a conversion \mathbb{C} such that $\mathbb{C}[\mathcal{F}'] = \mathcal{F}$ for \mathcal{F} in the original \mathcal{L} , and

$$T' \vdash \mathcal{F}' \quad \text{iff} \quad T \vdash \mathbb{C}[\mathcal{F}']$$

So $\mathbb{C}[\mathcal{F}']$ is like our unabbreviated formula, always available in the original T when \mathcal{F}' is a theorem of T' . The conversion for a relation $\mathcal{R}\vec{x}$ is straightforward. Make sure the bound variables of \mathcal{Q} do not overlap the variables of $\mathcal{R}\vec{x}$. Then $\mathbb{C}[\mathcal{F}'] = \mathcal{F}'_{\mathcal{Q}(\vec{s})}^{\mathcal{R}\vec{x}}$. So from above, $\mathcal{R} = x \leq y$, $\mathcal{Q} = \exists z(z + x = y)$ and $T' \vdash x \leq y \leftrightarrow \exists z(z + x = y)$. Suppose $\mathcal{F}' = \forall z(a \leq z)$. It will not make sense to instantiate x and y from \mathcal{R} and \mathcal{Q} to a and z from \mathcal{F}' insofar as z is not free in \mathcal{Q} . But we solve the problem by revising bound variables; so $T' \vdash x \leq y \leftrightarrow \exists w(w + x = y)$ and $T' \vdash a \leq z \leftrightarrow \exists w(w + a = z)$; then $\mathbb{C}[\mathcal{F}']$ replaces $(a \leq z)$ in \mathcal{F}' with $\exists w(w + a = z)$ to obtain, $\forall z\exists w(w + a = z)$.

Operator symbol. Extend notation in the obvious way so that $\mathcal{O}[\vec{\mathcal{P}}]$ indicates that operator symbol \mathcal{O} operates on formulas $\mathcal{P}_1 \dots \mathcal{P}_n$. To introduce a new operator symbol $\mathcal{O}[\vec{\mathcal{P}}]$ we require axioms in the extended theory such that,

$$T' \vdash \mathcal{O}[\vec{\mathcal{P}}] \leftrightarrow \mathcal{Q}[\vec{\mathcal{P}}]$$

where $\mathcal{Q}[\vec{\mathcal{P}}]$ is an expression in \mathcal{L} . Again for \mathcal{F}' including the new symbol, there should be a conversion \mathbb{C} such that $\mathbb{C}[\mathcal{F}'] = \mathcal{F}$ for \mathcal{F} in the original \mathcal{L} and $T' \vdash \mathcal{F}'$ iff $T \vdash \mathbb{C}[\mathcal{F}']$. This time set $\mathbb{C}[\mathcal{F}'] = \mathcal{F}'^{\mathcal{O}[\vec{\mathcal{P}}]}$. So, from the above, suppose $\mathcal{F}' = \forall x \exists z R x z$. We are given $T' \vdash \exists z R x z \leftrightarrow \sim \forall z \sim R x z$. And $\mathbb{C}[\mathcal{F}'] = \forall x \sim \forall z \sim R x z$.

Constant symbol. To introduce a new constant symbol we require an axiom in the extended theory, along with a condition in the original theory such that,

$$T' \vdash y = c \leftrightarrow \mathcal{Q}(y) \quad \text{and} \quad T \vdash \exists! y \mathcal{Q}(y)$$

Again for a formula \mathcal{F}' including the new symbol, we expect a conversion \mathbb{C} such that $\mathbb{C}[\mathcal{F}'] = \mathcal{F}$, where $T' \vdash \mathcal{F}'$ iff $T \vdash \mathbb{C}[\mathcal{F}']$. Let z be a variable that does not appear in \mathcal{F}' or \mathcal{Q} . Then

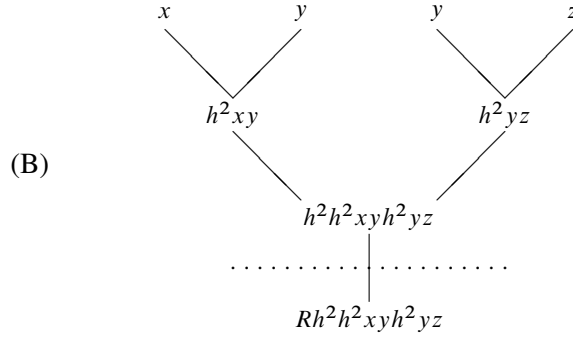
$$\mathbb{C}[\mathcal{F}'] = \exists z (\mathcal{Q}(z) \wedge \mathcal{F}'^c_z)$$

So, from the example above, suppose $\mathcal{F}' = \exists x (\emptyset \in x)$. Then z is a variable that does not appear in \mathcal{F}' or \mathcal{Q} . So $\mathbb{C}[\mathcal{F}'] = \exists z [\forall x (x \notin z) \wedge \exists x (z \in x)]$.

Function symbol. To introduce a function symbol, there is an axiom and condition,

$$T' \vdash y = h\vec{x} \leftrightarrow \mathcal{Q}(\vec{x}, y) \quad \text{and} \quad T \vdash \exists! y \mathcal{Q}(\vec{x}, y)$$

The conversion for a function symbol works like that for constants when a single instance of $h\vec{x}$ appears in \mathcal{F}' . Again, make sure the bound variables of \mathcal{Q} do not overlap the variables of $h\vec{x}$ and let z be a variable that does not appear in \mathcal{F}' or in \mathcal{Q} . Then it is sufficient to set $\mathbb{C}[\mathcal{F}'] = \exists z (\mathcal{Q}(\vec{x}, z) \wedge \mathcal{F}'^h_{\vec{x}, z})$. In general, however, \mathcal{F}' may include multiple instances of h , including one in the scope of another. For the general case, begin where \mathcal{F}' is an atomic $\mathcal{R}' = \mathcal{R}t_1 \dots t_n$ and $t_1 \dots t_n$ may involve instances of $h\vec{x}$. Order instances of $h\vec{x}$ in \mathcal{R}' from the left (or, on a [chapter 2](#) tree, from the bottom) into a list $h\vec{x}_1, h\vec{x}_2, \dots, h\vec{x}_m$, so that when $i < j$, no $h\vec{x}_i$ appears in the scope of $h\vec{x}_j$. Then set $\mathcal{R}_0 = \mathcal{R}'$, and for $i \geq 1$, $\mathcal{R}_i = \exists z (\mathcal{Q}(\vec{x}_i, z) \wedge (\mathcal{R}_{i-1})^h_{\vec{x}_i})$. Then $\mathbb{C}[\mathcal{R}'] = \mathcal{R}_m$ and for an arbitrary \mathcal{F}' , $\mathbb{C}[\mathcal{F}'] = \mathcal{F}'^{\mathcal{R}'}$. So, for example, if $\mathcal{R}' = \mathcal{R}_0 = R h^2 h^2 x y h^2 y z$, the tree is as follows,



So instances of hqr are ordered $\langle h^2 h^2 xy h^2 yz, h^2 xy, h^2 yz \rangle$. Then we use \mathcal{Q} to replace instances of h , working our way up through the tree. So,

$$\begin{aligned}\mathcal{R}_0 &= Rh^2 h^2 xy h^2 yz \\ \mathcal{R}_1 &= \exists u[\mathcal{Q}h^2 xy h^2 yz u \wedge Ru] \\ \mathcal{R}_2 &= \exists v(\mathcal{Q}xyv \wedge \exists u[\mathcal{Q}vh^2 yz u \wedge Ru]) \\ \mathcal{R}_3 &= \exists w[\mathcal{Q}yzw \wedge \exists v(\mathcal{Q}xyv \wedge \exists u[\mathcal{Q}vwu \wedge Ru])]\end{aligned}$$

\mathcal{R}_1 uses \mathcal{Q} to replace all of $h^2 h^2 xy h^2 yz$, operating on the terms $h^2 xy$ and $h^2 yz$. \mathcal{R}_2 uses \mathcal{Q} to replace $h^2 xy$ in \mathcal{R}_1 , and \mathcal{R}_3 uses \mathcal{Q} to replace $h^2 yz$ in \mathcal{R}_2 . Observe that free variables are the same as in \mathcal{R}' .

To show that this works, that $T' \vdash \mathcal{F}'$ iff $T \vdash \mathcal{F}$ we need a couple of theorems. The idea is to show that $T' \vdash \mathcal{F}' \leftrightarrow \mathcal{F}$ and then that $T' \vdash \mathcal{F}$ iff $T \vdash \mathcal{F}$. Together, these give the result we want. First,

T13.14. For a defined symbol, with its associated axiom and conversion procedure,
 $T' \vdash \mathcal{F}' \leftrightarrow \mathcal{F}$.

(r) For a relation symbol, we are given $T' \vdash \mathcal{R}\vec{x} \leftrightarrow \mathcal{Q}(\vec{x})$; then so long as the bound variables of \mathcal{Q} do not overlap the variables of $\mathcal{R}\vec{x}$ (which we can guarantee by reasoning as for T3.27) \vec{x} is free for \vec{x} in \mathcal{Q} , so $T' \vdash \mathcal{R}\vec{x} \leftrightarrow \mathcal{Q}(\vec{x})$; so by T9.9, $T' \vdash \mathcal{F}' \leftrightarrow \mathcal{F}'^{\mathcal{R}\vec{x}}_{\mathcal{Q}(\vec{x})}$; so $T' \vdash \mathcal{F}' \leftrightarrow \mathcal{F}$.

(o) For an operator symbol, we are given $T' \vdash \mathcal{O}[\vec{\mathcal{P}}] \leftrightarrow \mathcal{Q}[\vec{\mathcal{P}}]$; so by T9.9, $T' \vdash \mathcal{F}' \leftrightarrow \mathcal{F}'^{\mathcal{O}[\vec{\mathcal{P}}]}_{\mathcal{Q}[\vec{\mathcal{P}}]}$; so $T' \vdash \mathcal{F}' \leftrightarrow \mathcal{F}$.

(c) The case for constants is left as an exercise.

(f) For a function symbol h , begin with a derivation to show $T' \vdash \mathcal{R}_{i-1} \leftrightarrow \mathcal{R}_i$. For a $\mathcal{R}_{i-1}[h(\vec{x})]$, $\mathcal{R}_i(\vec{x})$ is $\exists z(\mathcal{Q}(\vec{x}, z) \wedge \mathcal{R}_{i-1}[z])$. We have as an axiom that $T' \vdash y = h\vec{x} \leftrightarrow \mathcal{Q}(\vec{x}, y)$

1.	$\mathcal{R}_{i-1}[\vec{h}(\vec{z})]$	$A(g \leftrightarrow I)$
2.	$\vec{h}(\vec{z}) = \vec{h}(\vec{z}) \leftrightarrow \mathcal{Q}(\vec{z}, \vec{h}(\vec{z}))$	from T'
3.	$\vec{h}\vec{z} = \vec{h}\vec{z}$	$=I$
4.	$\mathcal{Q}(\vec{z}, \vec{h}(\vec{z}))$	$2,3 \leftrightarrow E$
5.	$\mathcal{Q}(\vec{z}, \vec{h}(\vec{z})) \wedge \mathcal{R}_{i-1}[\vec{h}(\vec{z})]$	$1,4 \wedge I$
6.	$\exists z(\mathcal{Q}(\vec{z}, z) \wedge \mathcal{R}_{i-1}[z])$	$5 \exists I$
7.	$\exists z(\mathcal{Q}(\vec{z}, z) \wedge \mathcal{R}_{i-1}[z])$	$A(g \leftrightarrow I)$
8.	$\mathcal{Q}(\vec{z}, j) \wedge \mathcal{R}_{i-1}[j]$	$A(g \exists E)$
9.	$\mathcal{Q}(\vec{z}, j)$	$8 \wedge E$
10.	$j = \vec{h}(\vec{z}) \leftrightarrow \mathcal{Q}(\vec{z}, j)$	from T'
11.	$j = \vec{h}(\vec{z})$	$10,9 \leftrightarrow E$
12.	$\mathcal{R}_{i-1}[j]$	$8 \wedge E$
13.	$\mathcal{R}_{i-1}[\vec{h}(\vec{z})]$	$11,12 =E$
14.	$\mathcal{R}_{i-1}[\vec{h}(\vec{z})]$	$7,8-13 \exists E$
15.	$\mathcal{R}_{i-1}[\vec{h}(\vec{z})] \leftrightarrow \exists z(\mathcal{Q}(\vec{z}, z) \wedge \mathcal{R}_{i-1}[z])$	$1-6,7-14 \leftrightarrow I$

Things are arranged so that the variables of $\vec{h}\vec{z}$ are not bound upon substitution into \mathcal{Q} . So instances of the axiom at (2) and (10) and $\exists I$ at (6) satisfy constraints. So $T' \vdash \mathcal{R}_{i-1} \leftrightarrow \mathcal{R}_i$; and by repeated applications of this theorem, $T' \vdash \mathcal{R}' \leftrightarrow \mathcal{R}_m$; so by T9.9, $T' \vdash \mathcal{F}' \leftrightarrow \mathcal{F}'_{\mathcal{R}'_m}$; so $T' \vdash \mathcal{F}' \leftrightarrow \mathcal{F}$.

So far, so good, but this only says what the extended T' proves — that the richer T' proves \mathcal{F}' iff it proves \mathcal{F} . But we want to see that T' proves \mathcal{F}' iff the original T proves \mathcal{F} . We bridge the gap between T and T' by an additional theorem.

T13.15. For a T and \mathcal{L} , given a defined symbol with its associated axiom, and for any formula \mathcal{F} in the original \mathcal{L} , $T' \vdash \mathcal{F}$ iff $T \vdash \mathcal{F}$.

Since T' proves everything T proves, the direction from right to left is obvious. So suppose $T' \vdash \mathcal{F}$; by soundness, $T' \models \mathcal{F}$; we show $T \models \mathcal{F}$; so that, by adequacy, $T \vdash \mathcal{F}$. For $T \models \mathcal{F}$, suppose there is a model M such that $M[T] = T$; our aim is to show $M[\mathcal{F}] = T$.

(r) Relation symbol. Extend M to a model M' like M except that for arbitrary d , $\langle d[x_1] \dots d[x_n] \rangle \in M'[\mathcal{R}]$ iff $M_d[\mathcal{Q}(x_1 \dots x_n)] = S$; iff $M'_d[\mathcal{Q}(x_1 \dots x_n)] = S$ (the latter by T10.15 since M and M' agree on assignments to symbols in \mathcal{Q}). Since M' and M agree on assignments to symbols other than \mathcal{R} , by T10.15 $M'[T] = T$. And $M'[\mathcal{R}\vec{x} \leftrightarrow \mathcal{Q}(\vec{x})] = T$: suppose otherwise; then by TI there is some d such that $M'_d[\mathcal{R}x_1 \dots x_n \leftrightarrow \mathcal{Q}(x_1 \dots x_n)] \neq S$; so by SF(\leftrightarrow), $M'_d[\mathcal{R}x_1 \dots x_n] \neq S$ and $M'_d[\mathcal{Q}(x_1 \dots x_n)] = S$ (or the other

way around); so $\langle d[x_1] \dots d[x_n] \rangle \notin M'[\mathcal{R}]$ and $M'_d[\mathcal{Q}(x_1 \dots x_n)] = S$; but by construction, this is impossible; and similarly in the other case; reject the assumption, $M'[\mathcal{R}\vec{x} \leftrightarrow \mathcal{Q}(\vec{x})] = T$. So $M'[T'] = T$; so since $T' \models \mathcal{F}$, $M'[\mathcal{F}] = T$; and by T10.15 again, $M[\mathcal{F}] = T$; and since this reasoning applies for arbitrary M , $T \models \mathcal{F}$.

(o) Operator symbol. Since we do not usually think of the specification for an operator as part of an interpretation, we cannot proceed in quite the same way as for a relation symbol. Still, it is possible to see operators as functions. For any M , let $|\mathcal{P}|_M$ be the set of all assignments on which \mathcal{P} is satisfied. Treat the set of all assignments as T and the empty set as F . The interpretation of a relation symbol directly identifies a set of tuples and so the set of interpretations on which it is satisfied. After that, for an n -place operator \mathcal{O} , $M[\mathcal{O}]$ is a function with members $\langle \langle V_1 \dots V_n \rangle, V \rangle$ where $V_1 \dots V_n$ and V are sets of assignments; and $\mathcal{O}[\mathcal{P}_1 \dots \mathcal{P}_n]$ is satisfied on d just in case $d \in M[\mathcal{O}](|\mathcal{P}_1|_M \dots |\mathcal{P}_n|_M)$. So, for example, conjunction is a function that takes $|\mathcal{P}_1|_M$ and $|\mathcal{P}_2|_M$ to $|\mathcal{P}_1|_M \cap |\mathcal{P}_2|_M$ — a conjunction $\mathcal{P}_1 \wedge \mathcal{P}_2$ is satisfied on d just in case d is among the assignments that satisfy both \mathcal{P}_1 and \mathcal{P}_2 . And an existential x -quantifier takes $|\mathcal{P}|_M$ to the set of all assignments that have an x -variant in $|\mathcal{P}|_M$.

Now extend M to a model M' like M except that $d \in M'[\mathcal{O}](|\mathcal{P}_1|_M \dots |\mathcal{P}_n|_M)$ iff $M_d[\mathcal{Q}(\mathcal{P}_1 \dots \mathcal{P}_n)] = S$; iff $M'_d[\mathcal{Q}(\mathcal{P}_1 \dots \mathcal{P}_n)] = S$ (this by a simple extension of T10.15). Again since M' and M agree on assignments to symbols other than \mathcal{O} , with T10.15, $M'[T] = T$. And $M'[\mathcal{O}(\vec{\mathcal{P}}) \leftrightarrow \mathcal{Q}(\vec{\mathcal{P}})] = T$; suppose otherwise; then by TI there is some d such that $M'_d[\mathcal{O}(\vec{\mathcal{P}}) \leftrightarrow \mathcal{Q}(\vec{\mathcal{P}})] \neq S$; so by SF(\leftrightarrow), $M'_d[\mathcal{O}(\vec{\mathcal{P}})] \neq S$ and $M'_d[\mathcal{Q}(\vec{\mathcal{P}})] = S$ (or the other way around); from the second, by construction, $d \in M'[\mathcal{O}](|\mathcal{P}_1|_M \dots |\mathcal{P}_n|_M)$; so $M'_d[\mathcal{O}(\vec{\mathcal{P}})] = S$; this is impossible; and similarly in the other direction; reject the assumption: $M'[\mathcal{O}(\vec{\mathcal{P}}) \leftrightarrow \mathcal{Q}(\vec{\mathcal{P}})] = T$. So $M'[T'] = T$; so since $T' \models \mathcal{F}$, $M'[\mathcal{F}] = T$; and by T10.15 again, $M[\mathcal{F}] = T$; and since this reasoning applies for arbitrary M , $T \models \mathcal{F}$.

(c) The case for constants is left as an exercise.

(f) Function symbol. Since $T \vdash \exists! y \mathcal{Q}(\vec{x}, y)$, by soundness $T \models \exists! y \mathcal{Q}(\vec{x}, y)$; so since $M[T] = T$, $M[\exists! y \mathcal{Q}(\vec{x}, y)] = T$; so by TI, for any d , $M_d[\exists! y \mathcal{Q}(\vec{x}, y)] = S$, and there is exactly one $m \in U$ such that $M_{d(y|m)}[\mathcal{Q}(\vec{x}, y)] = S$. Extend M to a model M' like M except that for arbitrary d , $\langle \langle d[x_1] \dots d[x_n] \rangle, m \rangle \in M'[\mathcal{h}]$ iff $M_{d(y|m)}[\mathcal{Q}(x_1 \dots x_n, y)] = S$; by T10.15 iff $M'_{d(y|m)}[\mathcal{Q}(x_1 \dots x_n, y)] = S$.

$= S$. Since M' and M agree on assignments to symbols other than h , by T10.15 $M'[T] = T$. And $M'[y = h\vec{x} \leftrightarrow Q(\vec{x}, y)] = T$: suppose otherwise; then by TI there is some h such that $M'_h[y = hx_1 \dots x_n \leftrightarrow Q(x_1 \dots x_n, y)] \neq S$; so by SF(\leftrightarrow), $M'_h[y = hx_1 \dots x_n] \neq S$ and $M'_h[Q(x_1 \dots x_n, y)] = S$ (or the other way around). Where $h(y) = m$, $h = h(y|m)$, and $M'_{h(y|m)}[Q(x_1 \dots x_n, y)] = S$; so by construction with TA(f), $M'_h[hx_1 \dots x_n] = m$; and since $h(y) = m$, $M'_h[y] = m$; so $M'_h[y = hx_1 \dots x_n] = S$; this is impossible; and similarly in the other case; reject the assumption, $M'[y = h\vec{x} \leftrightarrow Q(\vec{x}, y)] = T$. So $M'[T'] = T$; so since $T' \models \mathcal{F}$, $M'[\mathcal{F}] = T$; and by T10.15 again, $M[\mathcal{F}] = T$; and since this reasoning applies for arbitrary M , $T \models \mathcal{F}$.

These reasonings work insofar as M and M' give the same results for a Q in the original \mathcal{L} . It is, in fact, important to show that the specifications are consistent — that we do not both assert and deny that some objects are in the interpretation of a symbol. But this is easily done. Here one case and the start for another.

(r) The specification for a relation symbol is consistent: Suppose otherwise; that is, suppose there are some assignments d and h such that $\langle \langle d[x_1] \dots d[x_n] \rangle, m \rangle \in M'[h]$ and $\langle \langle h[x_1] \dots h[x_n] \rangle, m \rangle \notin M'[h]$ but $d[x_1] = h[x_1]$ and \dots and $d[x_n] = h[x_n]$. From the first, $M_{d(y|m)}[Q(x_1 \dots x_n, y)] = S$; from the second, $M_{h(y|m)}[Q(x_1 \dots x_n, y)] \neq S$; but $d(y|m)$ and $h(y|m)$ make the same assignments to variables free in $Q(\vec{x}, y)$; so by T8.4, $M_{d(y|m)}[Q(\vec{x}, y)] = M_{h(y|m)}[Q(\vec{x}, y)]$; so $M_{h(y|m)}[Q(\vec{x}, y)] = S$; reject the assumption: if $d[x_1] = h[x_1]$ and \dots and $d[x_n] = h[x_n]$ and $\langle \langle d[x_1] \dots d[x_n] \rangle, m \rangle \in M'[h]$ then $\langle \langle h[x_1] \dots h[x_n] \rangle, m \rangle \in M'[h]$.

(o) The specification for an operator symbol is consistent: Suppose otherwise; that is, suppose $d \in M'[\mathcal{O}](|\mathcal{A}_1|_{M'} \dots |\mathcal{A}_n|_{M'})$ and $d \notin M'[\mathcal{O}](|\mathcal{B}_1|_{M'} \dots |\mathcal{B}_n|_{M'})$ but $|\mathcal{A}_1|_{M'} = |\mathcal{B}_1|_{M'}$ and \dots and $|\mathcal{A}_n|_{M'} = |\mathcal{B}_n|_{M'}$. From the first, $M'_d[Q(\mathcal{A}_1 \dots \mathcal{A}_n)] = S$ and from the second, $M'_d[Q(\mathcal{B}_1 \dots \mathcal{B}_n)] \neq S$. Now reasoning is similar except with T9.10 instead of T8.4.

And now our desired result is simple. The basic idea is that for some T and \mathcal{L} with a defined constant, relation symbol or function symbol, from T13.14 $T' \vdash \mathcal{F}' \leftrightarrow \mathcal{F}$ and from T13.15 $T' \vdash \mathcal{F}$ iff $T \vdash \mathcal{F}$; so that $T' \vdash \mathcal{F}'$ iff $T \vdash \mathcal{F}$. Put more generally,

T13.16. For some defined symbols, with their associated axioms and conversion procedures, $T' \vdash \mathcal{F}'$ iff $T \vdash \mathcal{F}$.

Consider a sequence of formulas $\mathcal{F}_0 \dots \mathcal{F}_n$ and theories $T_0 \dots T_n$ ordered according to the number of new symbols where for any i , $\mathcal{F}_i = \mathbb{C}[\mathcal{F}_{i+1}]$. By our results, $T_{i+1} \vdash \mathcal{F}_{i+1} \leftrightarrow \mathcal{F}_i$, and $T_{i+1} \vdash \mathcal{F}_i$ iff $T_i \vdash \mathcal{F}_i$. It follows that $T_{i+1} \vdash \mathcal{F}_{i+1}$ iff $T_i \vdash \mathcal{F}_i$. And by a simple induction, $T_n \vdash \mathcal{F}_n$ iff $T_0 \vdash \mathcal{F}_0$, which is to say $T' \vdash \mathcal{F}'$ iff $T \vdash \mathcal{F}$.

In the following, we will be clear about when new symbols and associated axioms are introduced, and about the conditions under which this may be done. In light of the results we have achieved however, we will not generally distinguish between a theory and its definitional extensions.

It is worth remarking on the increased requirement for definition relative to capture. In particular, for a function, capture requires $T \vdash \forall z[\mathcal{F}(\bar{m}_1 \dots \bar{m}_n, z) \rightarrow z = \bar{a}]$. For definition, from uniqueness, the comparable condition is $T \vdash \forall y \forall z[(\mathcal{F}(\vec{x}, y) \wedge \mathcal{F}(\vec{x}, z)) \rightarrow y = z]$. So definition builds in a sort of generality not required in the other case. Q is great about proving particular facts — but not so great when it comes to generality (this was a sticking point about the shift between Q and Q_s in chapter 12 (p. 577 and below). But this is just the sort of thing PA is fitted to do.⁹

***E13.5.** Show T13.13af and T13.13ah. Hard core: show each of the results in T13.13.

E13.6. (i) Complete the unfinished cases for constants in T13.14 and T13.15. (ii) Show consistency results for operator, relation and constant symbols.

First applications

Here are a couple of quick results that will be helpful as we move forward. First, if PA defines some functions $h(\vec{x}, w, \vec{z})$ and $g(\vec{y})$, then PA defines their composition, $f(\vec{x}, \vec{y}, \vec{z}) = h(\vec{x}, g(\vec{y}), \vec{z})$. We introduce a definition and then show that the condition is met. This pattern will repeat many times.

T13.17. If PA defines some $h(\vec{x}, w, \vec{z})$ and $g(\vec{y})$, then PA defines $f(\vec{x}, \vec{y}, \vec{z}) = h(\vec{x}, g(\vec{y}), \vec{z})$. Suppose PA defines some $h(\vec{x}, w, \vec{z})$ and $g(\vec{y})$. Let,

$\text{Def}[f(\vec{x}, \vec{y}, \vec{z})] \text{ PA } \vdash v = f(\vec{x}, \vec{y}, \vec{z}) \leftrightarrow v = h(\vec{x}, g(\vec{y}), \vec{z})$. Then,

⁹Is definition so described *necessary* for reasoning to follow? We might continue to think in terms of abbreviation — or even unabbreviated formulas themselves, so that there are no *new* symbols. Even so, the conditions on such formulas would be like those for definition, so that the overall argument would remain the same.

- (i) $\text{PA} \vdash \exists v[v = h(\vec{x}, g(\vec{y}), \vec{z})]$
- | | |
|--|---------------|
| 1. $h(\vec{x}, g(\vec{y}), \vec{z}) = h(\vec{x}, g(\vec{y}), \vec{z})$ | $=I$ |
| 2. $\exists v[v = h(\vec{x}, g(\vec{y}), \vec{z})]$ | $1 \exists I$ |
- (ii) $\text{PA} \vdash \forall u \forall v[(u = h(\vec{x}, g(\vec{y}), \vec{z}) \wedge v = h(\vec{x}, g(\vec{y}), \vec{z})) \rightarrow u = v]$
- | | |
|--|-----------------------|
| 1. $j = h(\vec{x}, g(\vec{y}), \vec{z}) \wedge k = h(\vec{x}, g(\vec{y}), \vec{z})$ | $A (g \rightarrow I)$ |
| 2. $j = h(\vec{x}, g(\vec{y}), \vec{z})$ | $1 \wedge E$ |
| 3. $k = h(\vec{x}, g(\vec{y}), \vec{z})$ | $1 \wedge E$ |
| 4. $j = k$ | $2, 3 =E$ |
| 5. $(j = h(\vec{x}, g(\vec{y}), \vec{z}) \wedge k = h(\vec{x}, g(\vec{y}), \vec{z})) \rightarrow j = k$ | $1-4 \rightarrow I$ |
| 6. $\forall v[(j = h(\vec{x}, g(\vec{y}), \vec{z}) \wedge v = h(\vec{x}, g(\vec{y}), \vec{z})) \rightarrow j = v]$ | $5 \forall I$ |
| 7. $\forall u \forall v[(u = h(\vec{x}, g(\vec{y}), \vec{z}) \wedge v = h(\vec{x}, g(\vec{y}), \vec{z})) \rightarrow u = v]$ | $6 \forall I$ |
- So $\text{PA} \vdash \exists! v[v = h(\vec{x}, g(\vec{y}), \vec{z})]$ and PA defines $f(\vec{x}, \vec{y}, \vec{z})$.

In addition, we can introduce a function for *minimization*. The idea is to set $v = \mu y \mathcal{Q}(\vec{x}, y) \leftrightarrow [\mathcal{Q}(\vec{x}, v) \wedge (\forall z < v) \sim \mathcal{Q}(\vec{x}, z)]$. In the ordinary case, a new function symbol h is introduced with an axiom of the sort $v = h\vec{x} \leftrightarrow \mathcal{Q}(\vec{x}, v)$ under the condition $T \vdash \exists! v \mathcal{Q}(\vec{x}, v)$. But, in this case, the situation is simplified by the following theorem.

T13.18. If $\text{PA} \vdash \exists v \mathcal{Q}(\vec{x}, v)$, then $\text{PA} \vdash \exists! v[\mathcal{Q}(\vec{x}, v) \wedge (\forall z < v) \sim \mathcal{Q}(\vec{x}, z)]$.

- (i) Suppose $\text{PA} \vdash \exists v \mathcal{Q}(\vec{x}, v)$. Then by the least number principle T13.13ah, $\text{PA} \vdash \exists v[\mathcal{Q}(\vec{x}, v) \wedge (\forall z < v) \sim \mathcal{Q}(\vec{x}, z)]$.
- (ii) Further, $\text{PA} \vdash \forall u \forall v[(\mathcal{Q}(\vec{x}, u) \wedge (\forall z < u) \sim \mathcal{Q}(\vec{x}, z)) \wedge \mathcal{Q}(\vec{x}, v) \wedge (\forall z < v) \sim \mathcal{Q}(\vec{x}, z)) \rightarrow u = v]$.

1.	$\mathcal{Q}(\vec{x}, j) \wedge (\forall z < j) \sim \mathcal{Q}(\vec{x}, z) \wedge \mathcal{Q}(\vec{x}, k) \wedge (\forall z < k) \sim \mathcal{Q}(\vec{x}, z)$	A (g \rightarrow I)
2.	$j < k \vee j = k \vee k < j$	T13.13p
3.	$j < k$	A (c \sim I)
4.	$(\forall z < k) \sim \mathcal{Q}(\vec{x}, z)$	1 \wedge E
5.	$\sim \mathcal{Q}(\vec{x}, j)$	4,3 (\forall E)
6.	$\mathcal{Q}(\vec{x}, j)$	1 \wedge E
7.	\perp	6,5 \perp I
8.	$\sim(j < k)$	3-7 \sim I
9.	$k < j$	A (c \sim I)
10.	$(\forall z < j) \sim \mathcal{Q}(\vec{x}, z)$	1 \wedge E
11.	$\sim \mathcal{Q}(\vec{x}, k)$	10,9 (\forall E)
12.	$\mathcal{Q}(\vec{x}, k)$	1 \wedge E
13.	\perp	12,11, \perp I
14.	$\sim(k < j)$	9-13 \sim I
15.	$j = k$	2,8,14 DS
16.	$(\mathcal{Q}(\vec{x}, j) \wedge (\forall z < j) \sim \mathcal{Q}(\vec{x}, z) \wedge \mathcal{Q}(\vec{x}, k) \wedge (\forall z < k) \sim \mathcal{Q}(\vec{x}, z)) \rightarrow j = k$	1-15 \rightarrow I
17.	$\forall v[(\mathcal{Q}(\vec{x}, j) \wedge (\forall z < j) \sim \mathcal{Q}(\vec{x}, z) \wedge \mathcal{Q}(\vec{x}, v) \wedge (\forall z < v) \sim \mathcal{Q}(\vec{x}, z)) \rightarrow j = v]$	16 \forall I
18.	$\forall u \forall v[(\mathcal{Q}(\vec{x}, u) \wedge (\forall z < u) \sim \mathcal{Q}(\vec{x}, z) \wedge \mathcal{Q}(\vec{x}, v) \wedge (\forall z < v) \sim \mathcal{Q}(\vec{x}, z)) \rightarrow u = v]$	17 \forall I

So under the condition $\exists v \mathcal{Q}(\vec{x}, v)$, we have $\exists! v[\mathcal{Q}(\vec{x}, v) \wedge (\forall z < v) \sim \mathcal{Q}(\vec{x}, z)]$. As from the strengthened capture result (chapter 12, p. 583) this is because the bounded quantifier builds in that at most one thing satisfies the expression. Thus we may define functions for minimization and bounded minimization under revised conditions. Let,

Def $[\mu v \mathcal{Q}(\vec{x}, v)] \text{ PA } \vdash v = \mu v \mathcal{Q}(\vec{x}, v) \leftrightarrow [\mathcal{Q}(\vec{x}, v) \wedge (\forall z < v) \sim \mathcal{Q}(\vec{x}, z)]$

(i) $\text{PA} \vdash \exists v[\mathcal{Q}(\vec{x}, v) \wedge (\forall z < v) \sim \mathcal{Q}(\vec{x}, z)]$.

(ii) $\forall u \forall v[(\mathcal{Q}(\vec{x}, u) \wedge (\forall z < u) \sim \mathcal{Q}(\vec{x}, z) \wedge \mathcal{Q}(\vec{x}, v) \wedge (\forall z < v) \sim \mathcal{Q}(\vec{x}, z)) \rightarrow u = v]$

But given T13.18, these conditions are met so long as $\text{PA} \vdash \exists v \mathcal{Q}(\vec{x}, v)$.

And,

Def $[(\mu y \leq z) \mathcal{Q}(\vec{x}, z, y)] \text{ PA } \vdash v = (\mu y \leq z) \mathcal{Q}(\vec{x}, z, y) \leftrightarrow v = \mu y[y = z \vee \mathcal{Q}(\vec{x}, z, y)]$

Let $m(\vec{x}, z) = \mu y[y = z \vee \mathcal{Q}(\vec{x}, z, y)]$ then we require,

(i) $\text{PA} \vdash \exists v(v = m(\vec{x}, z))$

(ii) $\text{PA} \vdash \forall u \forall v([u = m(\vec{x}, z) \wedge v = m(\vec{x}, z)] \rightarrow u = v)$

These conditions are trivially met so long as $m(\vec{x}, z)$ is defined; and for this, the existential condition, $\text{PA} \vdash \exists y[y = z \vee \mathcal{Q}(\vec{x}, z, y)]$ follows immediately from $\text{PA} \vdash z = z$; so the conditions for bounded minimization are automatically satisfied.

Given these notions, we may write down some immediate, simple results.

***T13.19.** Let $m(\vec{x}) = \mu v \mathcal{Q}(\vec{x}, v)$; then,

- (a) $\text{PA} \vdash \mathcal{Q}(\vec{x}, m(\vec{x})) \wedge (\forall z < m(\vec{x})) \sim \mathcal{Q}(\vec{x}, z)$
- (b) $\text{PA} \vdash \mathcal{Q}(\vec{x}, m(\vec{x}))$
- (c) $\text{PA} \vdash (\forall z < m(\vec{x})) \sim \mathcal{Q}(\vec{x}, z)$
- (d) $\text{PA} \vdash \mathcal{Q}(\vec{x}, v) \rightarrow m(\vec{x}) \leq v$

Because it is always possible to switch bound variables so that \mathcal{Q} is converted to an equivalent \mathcal{Q}' whose bound variables do not overlap with variables free in $m(\vec{x})$, we simply assume $m(\vec{x})$ is free for v in $\mathcal{Q}(\vec{x}, v)$ (and we will generally make this move). Thus (a) follows from the definition $v = m(\vec{x}) \leftrightarrow [\mathcal{Q}(\vec{x}, v) \wedge (\forall z < v) \sim \mathcal{Q}(\vec{x}, z)]$ with v instantiated to $m(\vec{x})$ together with $m(\vec{x}) = m(\vec{x})$. Both conjuncts, and so (b) and (c) follow from (a). And (d) can be done in eight or nine lines with (c).

Of these, (a) - (c) simply observe that the definition applies to the function defined. From (d), the least v such that $\mathcal{Q}(\vec{x}, v)$ is always \leq an arbitrary v such that $\mathcal{Q}(\vec{x}, v)$.

In addition, a couple of results for bounded minimization.

T13.20. The following result in PA,

- (a) $\text{PA} \vdash (\mu y \leq \emptyset) \mathcal{Q}(\vec{x}, \emptyset, y) = \emptyset$
- (b) If $\text{PA} \vdash (\exists v \leq t(u)) \mathcal{Q}(\vec{x}, u, v)$ then (i) PA defines $\mu v \mathcal{Q}(\vec{x}, u, v)$ and (ii) $\text{PA} \vdash (\mu v \leq t(u)) \mathcal{Q}(\vec{x}, u, v) = \mu v \mathcal{Q}(\vec{x}, u, v)$.

Hints: (a) follows easily from the definition. For (b), the existential for (i) follows simply from $(\exists v \leq t(u)) \mathcal{Q}(\vec{x}, u, v)$. For (ii),

1.	$(\exists v \leq t(u))Q(\vec{x}, u, v)$	P
2.	$n(\vec{x}, u) = (\mu v \leq t(u))Q(\vec{x}, u, v)$	abv
3.	$n(\vec{x}, u) = \mu v[v = t(u) \vee Q(\vec{x}, u, v)]$	2 def
4.	$n(\vec{x}, u) = t(u) \vee Q(\vec{x}, u, n(\vec{x}, u))$	3 T13.19b
5.	$Q(\vec{x}, u, a)$	A (g 1($\exists E$))
6.	$a \leq t(u)$	
7.	$a < t(u) \vee a = t(u)$	6 T13.13m
8.	$a = t(u)$	A (g 7 $\vee E$)
9.	$t(u) = n(\vec{x}, u) \vee t(u) \neq n(\vec{x}, u)$	T3.1
10.	$t(u) = n(\vec{x}, u)$	A (g 9 $\vee E$)
11.	$Q(\vec{x}, u, t(u))$	5,8 =E
12.	$Q(\vec{x}, u, n(\vec{x}, u))$	11,10 =E
13.	$t(u) \neq n(\vec{x}, u)$	A (g 9 $\vee E$)
14.	$Q(\vec{x}, u, n(\vec{x}, u))$	4,13 DS
15.	$Q(\vec{x}, u, n(\vec{x}, u))$	9,10-12,13-14 $\vee E$
16.	$a < t(u)$	A (g 7 $\vee E$)
17.	$a = t(u) \vee Q(\vec{x}, u, a)$	5 $\vee I$
18.	$n(\vec{x}, u) \leq a$	17 T13.19d
19.	$n(\vec{x}, u) < t(u)$	18,16 T13.13c
20.	$n(\vec{x}, u) \neq t(u)$	19 T13.13s
21.	$Q(\vec{x}, u, n(\vec{x}, u))$	4,20 DS
22.	$Q(\vec{x}, u, n(\vec{x}, u))$	7,8-15,16-21 $\vee E$
23.	$(\forall w < n(\vec{x}, u)) \sim [w = t(u) \vee Q(\vec{x}, u, w)]$	3 T13.19c
24.	$l < n(\vec{x}, u)$	A (g ($\forall I$))
25.	$\sim [l = t(u) \vee Q(\vec{x}, u, l)]$	23,24 ($\forall E$)
26.	$l \neq t(u) \wedge \sim Q(\vec{x}, u, l)$	25 DeM
27.	$\sim Q(\vec{x}, u, l)$	26 $\wedge E$
28.	$(\forall w < n(\vec{x}, u)) \sim Q(\vec{x}, u, w)$	24-27 ($\forall I$)
29.	$Q(\vec{x}, u, n(\vec{x}, u)) \wedge (\forall w < n(\vec{x}, u)) \sim Q(\vec{x}, u, w)$	22,28 $\wedge I$
30.	$n(\vec{x}, u) = \mu v Q(\vec{x}, u, v)$	29 def
31.	$n(\vec{x}, u) = \mu v Q(\vec{x}, u, v)$	1,5-30 ($\exists E$)
32.	$(\mu v \leq t(u))Q(\vec{x}, u, v) = \mu v Q(\vec{x}, u, v)$	31 abv

So a is some object less than or equal to the bound $t(u)$ such that $Q(\vec{x}, u, a)$. It is clear enough that the least Q under the bound — $n(\vec{x}, u)$ is same as $\mu v Q(\vec{x}, u, v)$ when $n(\vec{x}, u)$ is other than $t(u)$. The most interesting case is the one at (10) where $n(\vec{x}, u)$ is equal to the bound $t(u)$; then it remains that $Q(\vec{x}, u, n(\vec{x}, u))$ because $a = t(u)$ and $Q(\vec{x}, u, a)$.

From T13.20a it does not matter about \mathcal{Q} , the least y under the bound \emptyset is always \emptyset . T13.20b converts between a bounded minimization and one without a bound; thus when T13.20b applies, results from T13.19 for unbounded minimization apply to the bounded case.

*E13.7. Produce the quick derivation to show T13.19d.

E13.8. Complete the unfinished parts of T13.20.

13.3.2 Definitions for recursive functions

Our aim is to show $T \vdash \text{Cont} \rightarrow \sim \text{Prvt}(\overline{\mathcal{G}})$ — where this corresponds to our previous result that if T is consistent, then $T \not\vdash \mathcal{G}$. For this it is no surprise that we shall want to define and manipulate functions corresponding to the recursive functions of chapter 12. Thus we begin by showing that PA defines relations and functions corresponding to recursive relations and functions.

Insofar as we understand what a theorem of PA is, not all of the *demonstrations* are required to *understand* the argument — and some may obscure the overall flow. Thus, for our main argument, we often list results (with hints), shifting demonstrations into exercises and answers to exercises. To retain demonstration of results, a great many exercises are in fact worked in the answers section. Also since the only constant in \mathcal{L}_{NT} is \emptyset , there is no need to reserve letters for constants. Thus it is convenient to suppose that all of $a \dots z$ are variables of the language.

The core result

The main argument is an induction on the sequence of recursive functions. However, with an eye to the β -function, we begin showing that PA defines remainder $rm(m, n)$ and quotient $qt(m, n)$ functions corresponding to $m/(n + 1)$. Division is by $n + 1$ to avoid the possibility of division by zero.¹⁰

*Def[rm] Let $\text{PA} \vdash v = rm(m, n) \leftrightarrow (\exists w \leq m)[m = Sn \times w + v \wedge v < Sn]$.

(i) $\text{PA} \vdash \exists x(\exists w \leq m)[m = Sn \times w + x \wedge x < Sn]$. Hint: This is an argument by **IN** on m . It is easy to show $\exists x(\exists w \leq \emptyset)[\emptyset = Sn \times w + x \wedge x < Sn]$, from

¹⁰A choice is made: Another option is define the functions so that an arbitrary value is assigned for division by zero (as for example Boolos, *The Logic of Provability*, p. 27). Our selection makes for somewhat unintuitive statements of that which is intuitively true — rather than (relatively) intuitive statements including that which is intuitively undefined or false.

$\emptyset = Sn \times \emptyset + \emptyset \wedge \emptyset < Sn$ with $(\exists I)$ and $\exists I$. Then, for the main argument, for the remainder k , $k < n \vee k = n$. In the first case Sj is divided by leaving the quotient l the same, and incrementing k ; in the second case Sj is divided by Sl with remainder zero.

(ii) $PA \vdash \forall x \forall y [((\exists w \leq m)[m = Sn \times w + x \wedge x < Sn] \wedge (\exists w \leq m)[m = Sn \times w + y \wedge y < Sn]) \rightarrow x = y]$. Hint: This does not require IN , but is an involved derivation all the same. Once you instantiate the bounded existential quantifiers to quotients p with remainder j and q with remainder k , you have $p < q \vee p = q \vee q < p$. When $p = q$, $j = k$ follows easily with cancellation for addition. And the other cases contradict. So, if $p < q$, you will be able to set up an l such that $Sl + p = q$, and show $j \not< Sn$. And similarly in the other case.

Def[qt] Let $PA \vdash v = qt(m, n) \leftrightarrow m = Sn \times v + rm(m, n)$.

(i) $PA \vdash \exists x[m = Sn \times x + rm(m, n)]$. Hint: By $=I$, $rm(m, n) = rm(m, n)$; so with *Def[rm]*, $(\exists w \leq m)[m = Sn \times w + rm(m, n) \wedge rm(m, n) < Sn]$; and the result follows easily.

(ii) $PA \vdash \forall x \forall y[(m = Sn \times x + rm(m, n) \wedge m = Sn \times y + rm(m, n)) \rightarrow x = y]$. Hint: This is easy with cancellation laws for addition and multiplication.

Def[β] $PA \vdash \beta(p, q, i) = rm(p, q \times Si)$.

Since this is a composition of functions, immediate from T13.17.

Observe that, from the definition, $PA \vdash v = \beta(p, q, i) \leftrightarrow (\exists w \leq p)[p = S(q \times Si) \times w + v \wedge v < S(q \times Si)]$, which is to say $PA \vdash v = \beta(p, q, i) \leftrightarrow \mathcal{B}(p, q, i, v)$, where \mathcal{B} is the original formula to express the beta function.

And now our main argument that PA defines relations and functions corresponding to recursive relations and functions. The main result is for functions; relations follow as an easy corollary. But we shall not be able to show that PA defines relations and functions corresponding to *all* the recursive relations and functions: Say an application of regular minimization to generate $f(\vec{x})$ from $g(\vec{x}, y)$ is (PA) *friendly* just in case $PA \vdash \exists y \mathcal{G}(\vec{x}, y, \emptyset)$ where $\mathcal{G}(\vec{x}, y, v)$ is the original formula that expresses and captures $g(\vec{x}, y)$; and a recursive function is (PA) *friendly* just in case it is an initial function or arises by applications of composition, recursion or friendly regular minimization. Observe that all *primitive* recursive functions are automatically friendly insofar as they involve no applications of minimization at all.

*T13.21. For any friendly recursive function $r(\vec{x})$ and original formula $\mathcal{R}(\vec{x}, v)$ by which it is expressed and captured, PA defines a function $r'(\vec{x})$ such that $\text{PA} \vdash v = r'(\vec{x}) \leftrightarrow \mathcal{R}(\vec{x}, v)$.

By induction on the sequence of recursive functions.

Basis: $r_0(\vec{x})$ is an initial function $\text{suc}(x)$, $\text{zero}(x)$ or $\text{idnt}_k^j(x_1 \dots x_j)$.

(s) $r_0(\vec{x})$ is $\text{suc}(x)$. Let $\text{PA} \vdash v = \text{suc}(x) \leftrightarrow Sx = v$. But $Sx = v$ is the original formula $\text{Suc}(x, v)$ by which $\text{suc}(x)$ is expressed and captured; so $\text{PA} \vdash v = \text{suc}(x) \leftrightarrow \text{Suc}(x, v)$. And by reasoning as follows,

1. $Sx = Sx$	=I	1. $Sx = j \wedge Sx = k$	A ($g \rightarrow$ I)
2. $\exists y(Sx = y)$	1 \exists I	2. $Sx = j$	1 \wedge E
		3. $Sx = k$	1 \wedge E
		4. $j = k$	2,3 =E
		5. $(Sx = j \wedge Sx = k) \rightarrow j = k$	1-4 \rightarrow I
		6. $\forall z[(Sx = j \wedge Sx = z) \rightarrow j = z]$	5 \forall I
		7. $\forall y \forall z[(Sx = y \wedge Sx = z) \rightarrow y = z]$	6 \forall I

$\text{PA} \vdash \exists! y(Sx = y)$. So PA defines $\text{suc}(x)$.

(z) $r_0(\vec{x})$ is $\text{zero}(x)$. Let $\text{PA} \vdash v = \text{zero}(x) \leftrightarrow x = x \wedge v = \emptyset$. Then $\text{PA} \vdash v = \text{zero}(x) \leftrightarrow \text{Zero}(x, v)$. And by (homework) PA defines $\text{zero}(x)$.

(i) $r_0(\vec{x})$ is $\text{idnt}_k^j(x_1 \dots x_j)$. Let $\text{PA} \vdash v = \text{idnt}_k^j(x_1 \dots x_j) \leftrightarrow (x_1 = x_1 \wedge \dots \wedge x_j = x_j) \wedge x_k = v$. Then $\text{PA} \vdash v = \text{idnt}_k^j(x_1 \dots x_j) \leftrightarrow \text{Idnt}_k^j(x_1 \dots x_j, v)$. And by (homework) PA defines $\text{idnt}_k^j(x_1 \dots x_j)$.

Assp: For any i , $0 \leq i < k$, and $r_i(\vec{x})$ with $\mathcal{R}_i(\vec{x}, v)$, PA defines $r'_i(\vec{x})$ such that $\text{PA} \vdash v = r'_i(\vec{x}) \leftrightarrow \mathcal{R}_i(\vec{x}, v)$.

Show: PA defines $r'_k(\vec{x})$ such that $\text{PA} \vdash v = r'_k(\vec{x}) \leftrightarrow \mathcal{R}_k(\vec{x}, v)$.

$r_k(\vec{x})$ is either an initial function or arises by composition, recursion or PA friendly regular minimization. If $r_k(\vec{x})$ is an initial function, then reason as in the basis. So suppose one of the other cases.

(c) $r_k(\vec{x}, \vec{y}, \vec{z})$ is $h(\vec{x}, g(\vec{y}), \vec{z})$ for some $h_i(\vec{x}, w, \vec{z})$ and $g_j(\vec{y})$ where $i, j < k$. By assumption PA defines $h(\vec{x}, w, \vec{z})$ such that $\text{PA} \vdash v = h(\vec{x}, w, \vec{z}) \leftrightarrow \mathcal{H}(\vec{x}, w, \vec{z}, v)$ and PA defines $g(\vec{y})$ such that $\text{PA} \vdash w = g(\vec{y}) \leftrightarrow \mathcal{G}(\vec{y}, w)$. Let $\text{PA} \vdash r_k(\vec{x}, \vec{y}, \vec{z}) = h(\vec{x}, g(\vec{y}), \vec{z})$. Then by T13.17 PA defines r'_k . And, where the original \mathcal{R}_k is of the sort, $\exists w[\mathcal{G}(\vec{y}, w) \wedge \mathcal{H}(\vec{x}, w, \vec{z}, v)]$, $\text{PA} \vdash v = r'_k(\vec{x}, \vec{y}, \vec{z}) \leftrightarrow \mathcal{R}_k(\vec{x}, \vec{y}, \vec{z}, v)$. Thus, dropping \vec{x} and \vec{z} and reducing \vec{y} to a single variable,

1.	$r(y) = h(g(y))$	def
2.	$v = h(w) \leftrightarrow \mathcal{H}(w, v)$	by assp
3.	$w = g(y) \leftrightarrow \mathcal{G}(y, w)$	by assp
4.	$v = r(y)$	$\Lambda (g \leftrightarrow I)$
5.	$v = h(g(y))$	1,4 =E
6.	$g(y) = g(y)$	=I
7.	$g(y) = g(y) \leftrightarrow \mathcal{G}(y, g(y))$	3 $\forall E$
8.	$\mathcal{G}(y, g(y))$	7,6 $\leftrightarrow E$
9.	$h(g(y)) = h(g(y))$	=I
10.	$h(g(y)) = h(g(y)) \leftrightarrow \mathcal{H}(g(y), h(g(y)))$	2 $\forall E$
11.	$\mathcal{H}(g(y), h(g(y)))$	10,9 $\leftrightarrow E$
12.	$\mathcal{H}(g(y), v)$	11,5 =E
13.	$\mathcal{G}(y, g(y)) \wedge \mathcal{H}(g(y), v)$	8,12 $\wedge I$
14.	$\exists w[\mathcal{G}(y, w) \wedge \mathcal{H}(w, v)]$	13 $\exists I$
15.	$\exists w[\mathcal{G}(y, w) \wedge \mathcal{H}(w, v)]$	$\Lambda (g \leftrightarrow I)$
16.	$\mathcal{G}(y, j) \wedge \mathcal{H}(j, v)$	$\Lambda (g \text{ 15}\exists E)$
17.	$j = g(y) \leftrightarrow \mathcal{G}(y, j)$	3 $\forall E$
18.	$\mathcal{G}(y, j)$	16 $\wedge E$
19.	$j = g(y)$	17,18 $\leftrightarrow E$
20.	$v = h(j) \leftrightarrow \mathcal{H}(j, v)$	2 $\forall E$
21.	$\mathcal{H}(j, v)$	16 $\wedge E$
22.	$v = h(j)$	20,21 $\leftrightarrow E$
23.	$v = h(g(y))$	22,19 =E
24.	$v = r(y)$	1,23 =E
25.	$v = r(y)$	15,16-24 $\exists E$
26.	$v = r(y) \leftrightarrow \exists w[\mathcal{G}(y, w) \wedge \mathcal{H}(w, v)]$	4-14,15-25 $\leftrightarrow I$

In the first subderivation, as usual, we suppose that quantifiers are arranged so that substitutions are allowed — and in particular so that $g(y)$ is free for w in $\mathcal{H}(w, v)$ and $\mathcal{G}(y, w)$. And with dropped variables restored we have that, $PA \vdash v = r_k(\vec{x}, \vec{y}, \vec{z}) \leftrightarrow \exists w[\mathcal{G}(\vec{y}, w) \wedge \mathcal{H}(\vec{x}, w, \vec{z}, v)]$ which is to say, $PA \vdash v = r_k(\vec{x}) \leftrightarrow \mathcal{R}_k(\vec{x}, v)$.

- (r) $r_k(\vec{x}, y)$ arises by recursion from some $g_i(\vec{x})$ and $h_j(\vec{x}, y, u)$ where $i, j < k$. By assumption PA defines $g(\vec{x})$ such that $PA \vdash v = g(\vec{x}) \leftrightarrow \mathcal{G}(\vec{x}, v)$ and PA defines $h(\vec{x}, y, u)$ such that $PA \vdash v = h(\vec{x}, y, u) \leftrightarrow \mathcal{H}(\vec{x}, y, u, v)$. Let $PA \vdash z = r_k(\vec{x}, y) \leftrightarrow$

$$\exists p \exists q [\beta(p, q, \emptyset) = g(\vec{x}) \wedge (\forall i < y) h(\vec{x}, i, \beta(p, q, i)) = \beta(p, q, Si) \wedge \beta(p, q, y) = z]$$

By the argument of the next section, PA defines $r(\vec{x}, y)$. And where the original $\mathcal{R}(\vec{x}, y, z) =$

$$\exists p \exists q \{ \exists v [\mathcal{B}(p, q, \emptyset, v) \wedge \mathcal{G}(\vec{x}, v)] \wedge (\forall i < y) \exists u \exists v [\mathcal{B}(p, q, i, u) \wedge \mathcal{B}(p, q, Si, v) \wedge \mathcal{H}(\vec{x}, i, u, v)] \wedge \mathcal{B}(p, q, y, z) \}$$

we require $\text{PA} \vdash z = r_k(\vec{x}, y) \leftrightarrow \mathcal{R}_k(\vec{x}, y, z)$. Here is the argument from left to right.

1.	$v = \beta(p, q, i) \leftrightarrow \mathcal{B}(p, q, i, v)$	def β
2.	$v = g(\vec{x}) \leftrightarrow \mathcal{G}(\vec{x}, v)$	assp
3.	$v = h(\vec{x}, y, u) \leftrightarrow \mathcal{H}(\vec{x}, y, u, v)$	assp
4.	$z = r(\vec{x}, y)$	$\Lambda (g \rightarrow I)$
5.	$\exists p \exists q [\beta(p, q, \emptyset) = g(\vec{x}) \wedge (\forall i < y) h(\vec{x}, i, \beta(p, q, i)) = \beta(p, q, Si) \wedge \beta(p, q, y) = z]$	4 def r
6.	$\beta(a, b, \emptyset) = g(\vec{x}) \wedge (\forall i < y) h(\vec{x}, i, \beta(a, b, i)) = \beta(a, b, Si) \wedge \beta(a, b, y) = z$	$\Lambda (g \exists \exists E)$
7.	$\beta(a, b, \emptyset) = g(\vec{x})$	6 $\wedge E$
8.	$\mathcal{G}(\vec{x}, g(\vec{x}))$	from 2
9.	$\mathcal{B}(a, b, \emptyset, \beta(a, b, \emptyset))$	from 1
10.	$\mathcal{B}(a, b, \emptyset, g(\vec{x}))$	7,9 $=E$
11.	$\mathcal{B}(a, b, \emptyset, g(\vec{x})) \wedge \mathcal{G}(\vec{x}, g(\vec{x}))$	10,8 $\wedge I$
12.	$\exists v [\mathcal{B}(a, b, \emptyset, v) \wedge \mathcal{G}(\vec{x}, v)]$	11 $\exists I$
13.	$(\forall i < y) h(\vec{x}, i, \beta(a, b, i)) = \beta(a, b, Si)$	6 $\wedge E$
14.	$l < y$	$\Lambda (g (\forall I))$
15.	$h(\vec{x}, l, \beta(a, b, l)) = \beta(a, b, Sl)$	13,14 $(\forall E)$
16.	$\mathcal{B}(a, b, l, \beta(a, b, l))$	from 1
17.	$\mathcal{B}(a, b, Sl, \beta(a, b, Sl))$	from 1
18.	$\mathcal{H}(\vec{x}, l, \beta(a, b, l), h(\vec{x}, l, \beta(a, b, l)))$	from 3
19.	$\mathcal{H}(\vec{x}, l, \beta(a, b, l), \beta(a, b, Sl))$	18,15 $=E$
20.	$\mathcal{B}(a, b, l, \beta(a, b, l)) \wedge \mathcal{B}(a, b, Sl, \beta(a, b, Sl)) \wedge \mathcal{H}(\vec{x}, l, \beta(a, b, l), \beta(a, b, Sl))$	16,17,19 $\wedge I$
21.	$\exists u \exists v [\mathcal{B}(a, b, l, u) \wedge \mathcal{B}(a, b, Sl, v) \wedge \mathcal{H}(\vec{x}, l, u, v)]$	20 $\exists I$
22.	$(\forall i < y) \exists u \exists v [\mathcal{B}(a, b, i, u) \wedge \mathcal{B}(a, b, Si, v) \wedge \mathcal{H}(\vec{x}, i, u, v)]$	14-21 $(\forall I)$
22.	$\beta(a, b, y) = z$	6 $\wedge E$
23.	$\mathcal{B}(a, b, y, \beta(a, b, y))$	from 1
24.	$\mathcal{B}(a, b, y, z)$	23,22 $=E$
25.	$\exists v [\mathcal{B}(a, b, \emptyset, v) \wedge \mathcal{G}(\vec{x}, v)] \wedge$ $(\forall i < y) \exists u \exists v [\mathcal{B}(a, b, i, u) \wedge \mathcal{B}(a, b, Si, v) \wedge \mathcal{H}(\vec{x}, i, u, v)] \wedge \mathcal{B}(a, b, y, z)$	12,22,24 $\wedge I$
26.	$\exists p \exists q \{ \exists v [\mathcal{B}(p, q, \emptyset, v) \wedge \mathcal{G}(\vec{x}, v)] \wedge$ $(\forall i < y) \exists u \exists v [\mathcal{B}(p, q, i, u) \wedge \mathcal{B}(p, q, Si, v) \wedge \mathcal{H}(\vec{x}, i, u, v)] \wedge \mathcal{B}(p, q, y, z) \}$	25 $\exists I$
27.	$\mathcal{R}(\vec{x}, y, z)$	26 def
28.	$\mathcal{R}(\vec{x}, y, z)$	5,6-27 $\exists E$
29.	$z = r(\vec{x}, y) \rightarrow \mathcal{R}(\vec{x}, y, z)$	4-28 $\rightarrow I$

The other direction is left as an exercise.

- (m) $f_k(\vec{x})$ arises by friendly regular minimization from $g(\vec{x}, y)$. By assumption PA defines $g(\vec{x}, y)$ such that $\text{PA} \vdash v = g(\vec{x}, y) \leftrightarrow \mathcal{G}(\vec{x}, y, v)$ where \mathcal{G} is the original formula to express and capture g . Let $\text{PA} \vdash r_k(\vec{x}) = \mu y \mathcal{G}(\vec{x}, y, \emptyset)$. Since the minimization is friendly, $\text{PA} \vdash \exists y \mathcal{G}(\vec{x}, y, \emptyset)$; so by T13.19, PA defines $r_k(\vec{x})$. And by definition, $\text{PA} \vdash v = r_k(\vec{x}) \leftrightarrow \mathcal{G}(\vec{x}, v, \emptyset) \wedge (\forall y < v) \sim \mathcal{G}(\vec{x}, y, \emptyset)$. So $\text{PA} \vdash$

$$v = r_k(\vec{x}) \leftrightarrow \mathcal{R}_k(\vec{x}, v).$$

Indct: For any friendly recursive function $r(\vec{x})$ and the original formula $\mathcal{R}(\vec{x}, v)$ by which it is expressed and captured, PA defines a function $r(\vec{x})$ such that $\text{PA} \vdash v = r(\vec{x}) \leftrightarrow \mathcal{R}(\vec{x}, v)$ (subject to the recursion clause) .

*E13.9. Complete the justifications for *Def[rm]* and *Def[qt]*.

*E13.10. Complete the unfinished cases to T13.21. You should set up the entire induction, but may refer to the text as the text refers unfinished cases to homework.

The Recursion Clause

We turn now to a series of results with the aim of showing that PA defines r in the case when r arises by recursion. This will require a series of definitions and results in PA. Some of the functions so defined parallel ones that will result from recursive functions. However, insofar as we have not yet proved the core result, we cannot use it! So we are showing directly that PA gives the required results.

Uniqueness. It will be easiest to begin with the uniqueness clause. Where $\mathcal{F}(\vec{x}, y, v)$ is our formula,

$$\exists p \exists q [\beta(p, q, \emptyset) = g(\vec{x}) \wedge (\forall i < y) h(\vec{x}, i, \beta(p, q, i)) = \beta(p, q, Si) \wedge \beta(p, q, y) = z]$$

we want $\text{PA} \vdash \forall m \forall n [(\mathcal{F}(\vec{x}, y, m) \wedge \mathcal{F}(\vec{x}, y, n)) \rightarrow m = n]$. The argument is structured very much as for the parallel uniqueness case in Q (T12.12) except that the argument is in PA and so by **IN**, and uniqueness conditions are simplified by the use of function symbols. The argument is simplified — but that does not mean that it is simple!

T13.22. With $\mathcal{F}(\vec{x}, y, v)$ as described above, $\text{PA} \vdash \forall m \forall n [(\mathcal{F}(\vec{x}, y, m) \wedge \mathcal{F}(\vec{x}, y, n)) \rightarrow m = n]$.

For the zero case you need to show $\forall m \forall n [(\mathcal{F}(\vec{x}, \emptyset, m) \wedge \mathcal{F}(\vec{x}, \emptyset, n)) \rightarrow m = n]$. This is simple enough and left as an exercise. Given the zero case, here is the main argument by **IN**.

First theorems of chapter 13

- T13.1 For any recursively axiomatized theory T whose language includes \mathcal{L}_{NT} , \mathcal{G} is true iff it is unprovable in T (iff $T \not\vdash \mathcal{G}$).
- T13.2 If T is a recursively axiomatized sound theory whose language includes \mathcal{L}_{NT} , then T is negation incomplete.
- T13.3 Let T be any recursively axiomatized theory extending Q ; then $T \vdash \mathcal{G} \leftrightarrow \sim \exists x Prft(x, \ulcorner \mathcal{G} \urcorner)$.
- T13.4 If T is a consistent, recursively axiomatized theory extending Q , then $T \not\vdash \mathcal{G}$.
- T13.5 If T is an ω -consistent, recursively axiomatized theory extending Q , then $T \not\vdash \sim \mathcal{G}$.
- T13.6 Let T be any recursively axiomatized theory extending Q ; then $T \vdash \mathcal{R} \leftrightarrow \sim \exists x RPrft(x, \ulcorner \mathcal{R} \urcorner)$.
- T13.7 If T is a consistent, recursively axiomatized theory extending Q , then $T \not\vdash \mathcal{R}$.
- T13.8 If T is a consistent, recursively axiomatized theory extending Q , then $T \not\vdash \sim \mathcal{R}$.
- T13.9 Let T be a recursively axiomatized theory extending Q . Then supposing T satisfies the derivability conditions and so the K4 logic of provability, $T \vdash Cont \rightarrow \sim Prvt(\ulcorner \mathcal{G} \urcorner)$.
- T13.10 Let T be a recursively axiomatized theory extending Q . Then supposing T satisfies the derivability conditions, if T is consistent, $T \not\vdash Cont$.
- T13.11 Let T be a recursively axiomatized theory extending Q . Then supposing T satisfies the derivability conditions and so the K4 logic of provability, $T \vdash Cont \leftrightarrow \sim Prvt(\ulcorner Cont \urcorner)$.
- T13.12 Suppose T is a recursively axiomatized theory extending Q . Then if $T \vdash \mathcal{P}$, then $T \vdash \Box \mathcal{P}$.
- T13.13 This lists a number of straightforward theorems of PA.
- T13.14 For a defined symbol, with its associated axiom and conversion procedure, $T' \vdash \mathcal{F}' \leftrightarrow \mathcal{F}$.
- T13.15 For a T and \mathcal{L} , given a defined symbol with its associated axiom, and for any formula \mathcal{F} in the original \mathcal{L} , $T' \vdash \mathcal{F}$ iff $T \vdash \mathcal{F}$.
- T13.16 For some defined symbols, with their associated axioms and conversion procedures, $T' \vdash \mathcal{F}'$ iff $T \vdash \mathcal{F}$.
- T13.17 If PA defines some $h(\vec{x}, w, \vec{z})$ and $g(\vec{y})$, then PA defines $f(\vec{x}, \vec{y}, \vec{z}) = h(\vec{x}, g(\vec{y}), \vec{z})$.
- T13.18 If $PA \vdash \exists v \mathcal{Q}(\vec{x}, v)$, then $PA \vdash \exists! v [\mathcal{Q}(\vec{x}, v) \wedge (\forall z < v) \sim \mathcal{Q}(\vec{x}, z)]$.
- T13.19 Where $m(\vec{x}) = \mu v \mathcal{Q}(\vec{x}, v)$, (a) $PA \vdash \mathcal{Q}(\vec{x}, m(\vec{x})) \wedge (\forall z < m(\vec{x})) \sim \mathcal{Q}(\vec{x}, z)$; (b) $PA \vdash \mathcal{Q}(\vec{x}, m(\vec{x}))$; (c) $PA \vdash (\forall z < m(\vec{x})) \sim \mathcal{Q}(\vec{x}, z)$; (d) $PA \vdash \mathcal{Q}(\vec{x}, v) \rightarrow m(\vec{x}) \leq v$.
- T13.20 (a) $PA \vdash (\mu y \leq \emptyset) \mathcal{Q}(\vec{x}, \emptyset, y) = \emptyset$; (b) if $PA \vdash (\exists v \leq t(u)) \mathcal{Q}(\vec{x}, u, v)$ then (i) PA defines $\mu v \mathcal{Q}(\vec{x}, u, v)$ and (ii) $PA \vdash (\mu v \leq t(u)) \mathcal{Q}(\vec{x}, u, v) = \mu v \mathcal{Q}(\vec{x}, u, v)$.

1.	$\forall m \forall n [(\mathcal{F}(\vec{x}, \emptyset, m) \wedge \mathcal{F}(\vec{x}, \emptyset, n)) \rightarrow m = n]$	zero case
2.	$\forall m \forall n [(\mathcal{F}(\vec{x}, j, m) \wedge \mathcal{F}(\vec{x}, j, n)) \rightarrow m = n]$	A (g \rightarrow I)
3.	$\mathcal{F}(\vec{x}, Sj, u) \wedge \mathcal{F}(\vec{x}, Sj, v)$	A (g \rightarrow I)
4.	$\exists p \exists q [\beta(p, q, \emptyset) = g(\vec{x}) \wedge (\forall i < Sj) \mathcal{H}(\vec{x}, i, \beta(p, q, i)) = \beta(p, q, Si) \wedge \beta(p, q, Sj) = u]$	3 \wedge E
5.	$\exists p \exists q [\beta(p, q, \emptyset) = g(\vec{x}) \wedge (\forall i < Sj) \mathcal{H}(\vec{x}, i, \beta(p, q, i)) = \beta(p, q, Si) \wedge \beta(p, q, Sj) = v]$	3 \wedge E
6.	$\beta(a, b, \emptyset) = g(\vec{x}) \wedge (\forall i < Sj) \mathcal{H}(\vec{x}, i, \beta(a, b, i)) = \beta(a, b, Si) \wedge \beta(a, b, Sj) = u$	A (g 4 \exists E)
7.	$\beta(a, b, \emptyset) = g(\vec{x})$	6 \wedge E
8.	$(\forall i < Sj) \mathcal{H}(\vec{x}, i, \beta(a, b, i)) = \beta(a, b, Si)$	6 \wedge E
9.	$\beta(a, b, Sj) = u$	6 \wedge E
10.	$\beta(c, d, \emptyset) = g(\vec{x}) \wedge (\forall i < Sj) \mathcal{H}(\vec{x}, i, \beta(c, d, i)) = \beta(c, d, Si) \wedge \beta(c, d, Sj) = v$	A (g 5 \exists E)
11.	$\beta(c, d, \emptyset) = g(\vec{x})$	10 \wedge E
12.	$(\forall i < Sj) \mathcal{H}(\vec{x}, i, \beta(c, d, i)) = \beta(c, d, Si)$	10 \wedge E
13.	$\beta(c, d, Sj) = v$	10 \wedge E
14.	$j < Sj$	T13.13h
15.	$\mathcal{H}(\vec{x}, j, \beta(a, b, j)) = \beta(a, b, Sj)$	8,14 (\forall E)
16.	$\mathcal{H}(\vec{x}, j, \beta(c, d, j)) = \beta(c, d, Sj)$	12,14 (\forall E)
17.	$k < j$	A (g (\forall I))
18.	$k < Sj$	17, T13.13n
19.	$\mathcal{H}(\vec{x}, k, \beta(a, b, k)) = \beta(a, b, Sk)$	8,18 (\forall E)
20.	$(\forall i < j) \mathcal{H}(\vec{x}, i, \beta(a, b, i)) = \beta(a, b, Si)$	17-19 (\forall I)
21.	$\beta(a, b, j) = \beta(a, b, j)$	=I
22.	$\beta(a, b, \emptyset) = g(\vec{x}) \wedge (\forall i < j) \mathcal{H}(\vec{x}, i, \beta(a, b, i)) = \beta(a, b, Si) \wedge \beta(a, b, j) = \beta(a, b, j)$	7,20,21 \wedge I
23.	$\exists p \exists q [\beta(p, q, \emptyset) = g(\vec{x}) \wedge (\forall i < j) \mathcal{H}(\vec{x}, i, \beta(p, q, i)) = \beta(p, q, Si) \wedge \beta(p, q, j) = \beta(a, b, j)]$	22 \exists I
24.	$\mathcal{F}(\vec{x}, j, \beta(a, b, j))$	23 abv
25.	$k < j$	A (g (\forall I))
26.	$k < Sj$	25, T13.13n
27.	$\mathcal{H}(\vec{x}, k, \beta(c, d, k)) = \beta(c, d, Sk)$	12,26 (\forall E)
28.	$(\forall i < j) \mathcal{H}(\vec{x}, i, \beta(c, d, i)) = \beta(c, d, Si)$	25-27 (\forall I)
29.	$\beta(c, d, j) = \beta(c, d, j)$	=I
30.	$\beta(c, d, \emptyset) = g(\vec{x}) \wedge (\forall i < j) \mathcal{H}(\vec{x}, i, \beta(c, d, i)) = \beta(c, d, Si) \wedge \beta(c, d, j) = \beta(c, d, j)$	11,28,29 \wedge I
31.	$\exists p \exists q [\beta(p, q, \emptyset) = g(\vec{x}) \wedge (\forall i < j) \mathcal{H}(\vec{x}, i, \beta(p, q, i)) = \beta(p, q, Si) \wedge \beta(p, q, j) = \beta(c, d, j)]$	30 \exists I
32.	$\mathcal{F}(\vec{x}, j, \beta(c, d, j))$	31 abv
33.	$\beta(a, b, j) = \beta(c, d, j)$	2,24,32 \forall E
34.	$\mathcal{H}(\vec{x}, j, \beta(c, d, j)) = \beta(a, b, Sj)$	15,33 =E
35.	$\beta(a, b, Sj) = \beta(c, d, Sj)$	34,16 =E
36.	$u = v$	9,13,35 =E
37.	$u = v$	5,10-36 \exists E
38.	$u = v$	4,6-37 \exists E
39.	$(\mathcal{F}(\vec{x}, Sj, u) \wedge \mathcal{F}(\vec{x}, Sj, v)) \rightarrow u = v$	3-38 \rightarrow I
40.	$\forall m \forall n [(\mathcal{F}(\vec{x}, Sj, m) \wedge \mathcal{F}(\vec{x}, Sj, n)) \rightarrow m = n]$	39 \forall I
41.	$\forall m \forall n [(\mathcal{F}(\vec{x}, j, m) \wedge \mathcal{F}(\vec{x}, j, n)) \rightarrow m = n] \rightarrow \forall m \forall n [(\mathcal{F}(\vec{x}, Sj, m) \wedge \mathcal{F}(\vec{x}, Sj, n)) \rightarrow m = n]$	2-40 \rightarrow I
42.	$\forall y \{ \forall m \forall n [(\mathcal{F}(\vec{x}, y, m) \wedge \mathcal{F}(\vec{x}, y, n)) \rightarrow m = n] \rightarrow \forall m \forall n [(\mathcal{F}(\vec{x}, Sy, m) \wedge \mathcal{F}(\vec{x}, Sy, n)) \rightarrow m = n] \}$	41 \forall I
43.	$\forall y \forall m \forall n [(\mathcal{F}(\vec{x}, y, m) \wedge \mathcal{F}(\vec{x}, y, n)) \rightarrow m = n]$	1,42 IN
44.	$\forall m \forall n [(\mathcal{F}(\vec{x}, y, m) \wedge \mathcal{F}(\vec{x}, y, n)) \rightarrow m = n]$	43 \forall E

As before, the key to this argument is attaining $\mathcal{F}(\vec{x}, j, \beta(a, b, j))$ and $\mathcal{F}(\vec{x}, j, \beta(c, d, j))$ on lines (24) and (32). From these the assumption on (2) comes into play, and the result follows with other equalities.

***E13.11.** Complete the demonstration for T13.22 by completing the demonstration of the zero case.

Existence. Considerably more difficult is the existential condition. To show this, we must show the Chinese remainder theorem in PA. Though we have resources to state the β -function, we do not yet have all that is required to duplicate reasoning from the **beta function** reference (for example, factorial). Thus we shall have to proceed in a different way. In particular, we specially depend on the *least common multiple* of a sequence of values. Again, we build by a series of results.

First, subtraction with cutoff. The definition is not recursive as before. However the effect is the same: $x \dot{-} y$ works like subtraction when $x \geq y$, and otherwise goes to \emptyset .

***Def[$\dot{-}$]** $\text{PA} \vdash v = x \dot{-} y \leftrightarrow x = y + v \vee (x < y \wedge v = \emptyset)$

(i) $\text{PA} \vdash \exists v [x = y + v \vee (x < y \wedge v = \emptyset)]$

(ii) $\text{PA} \vdash \forall m \forall n [(x = y + m \vee (x < y \wedge m = \emptyset)) \wedge (x = y + n \vee (x < y \wedge n = \emptyset))] \rightarrow m = n]$

The proof of (i) and (ii) is left as an exercise. So PA defines ($\dot{-}$). And it proves a series of intuitive results.

***T13.23.** The following result in PA:

(a) $\text{PA} \vdash a \geq b \rightarrow a = b + (a \dot{-} b)$

(b) $\text{PA} \vdash b \geq a \rightarrow a \dot{-} b = \emptyset$

(c) $\text{PA} \vdash a \dot{-} b \leq a$

(d) $\text{PA} \vdash (r \geq a \wedge r \leq s) \rightarrow r \dot{-} a \leq s \dot{-} a$

(e) $\text{PA} \vdash (r \geq a \wedge r < s) \rightarrow r \dot{-} a < s \dot{-} a$

***(f)** $\text{PA} \vdash a > b \rightarrow a \dot{-} b > \emptyset$

(g) $\text{PA} \vdash a \dot{-} \emptyset = a$

(h) $\text{PA} \vdash Sa \dot{-} a = \bar{1}$

- (i) $\text{PA} \vdash a > \emptyset \rightarrow a \dot{-} \bar{1} < a$
- (j) $\text{PA} \vdash a \geq Sb \rightarrow a \dot{-} b = S(a \dot{-} Sb)$
- (k) $\text{PA} \vdash a = Sa \dot{-} \bar{1}$
- *(l) $\text{PA} \vdash a \geq c \rightarrow (a \dot{-} c) + b = (a + b) \dot{-} c$
- (m) $\text{PA} \vdash (a \geq b \wedge b \geq c) \rightarrow a \dot{-} (b \dot{-} c) = (a \dot{-} b) + c$
- *(n) $\text{PA} \vdash (a \dot{-} b) \dot{-} c = a \dot{-} (b + c)$
- (o) $\text{PA} \vdash (a + c) \dot{-} (b + c) = a \dot{-} b$
- *(p) $\text{PA} \vdash a \times (b \dot{-} c) = a \times b \dot{-} a \times c$

Hints. (f): with the assumption you can get both $a = Sj + b$ and $a = b + (a \dot{-} b)$; then you have what you need with T6.67. (l): with the assumption $a \geq c$ you have also $a + b \geq c$; so that both $a = c + (a \dot{-} c)$ and $a + b = c + [(a + b) \dot{-} c]$; then =E and T6.67 do the work. (m): You can get this with a couple applications of (l). (n): First, $a \geq b + c \vee a < b + c$; in the second case, $a \geq b \vee a < b$; in each of these cases, both sides equal \emptyset ; for the first main option, you will be able to show that $(b + c) + [(a \dot{-} b) \dot{-} c] = (b + c) + [a \dot{-} (b + c)]$ and apply T6.67. (p): First $a = \emptyset \vee a > \emptyset$; in the first case, both sides equal \emptyset ; then in the second case, $b \geq c \vee b < c$; again in the first of these cases, both sides equal \emptyset ; in the last case, you will be able to show $ac + a(b \dot{-} c) = ac + (ab \dot{-} ac)$ and apply T6.67.

Many of these state standard results for subtraction — except where the inequalities are required to protect against cases when $a \dot{-} b$ goes to \emptyset . (a) and (b) extract basic information from the definition upon which rest depend. (c) - (k) are simple subtraction facts. And (l) - (p) are some results for association and distribution.

Next *factor*. Again, consistent with remainder and quotient, we say $m|n$ when $m + 1$ divides n .

Def[] $\text{PA} \vdash m|n \leftrightarrow \exists q(Sm \times q = n)$

Since *factor* is a relation, no condition is required over and above the axiom so that the definition is good as it stands. And, again, PA proves a series of results. These are reasonably intuitive. Observe, however that our choice to divide by $m + 1$ means that, as in T13.24a below, $\emptyset|a$.

*T13.24. The following result in PA:

- (a) $PA \vdash \emptyset | a$
- (b) $PA \vdash a | Sa$
- (c) $PA \vdash a | \emptyset$
- (d) $PA \vdash a | b \rightarrow a | (b \times c)$
- (e) $PA \vdash (a > \emptyset \wedge b > \emptyset) \rightarrow [(a \dot{-} \bar{1}) | c \wedge (b \dot{-} \bar{1}) | d \rightarrow (ab \dot{-} \bar{1}) | cd]$
- (f) $PA \vdash (a | Sb \wedge b | c) \rightarrow a | c$
- *(g) $PA \vdash a | b \rightarrow [a | (b + c) \leftrightarrow a | c]$
- (h) $PA \vdash (b \geq c \wedge a | b) \rightarrow [a | (b \dot{-} c) \leftrightarrow a | c]$
- (i) $PA \vdash b > a \rightarrow b \nmid Sa$
- (j) $PA \vdash a | b \leftrightarrow rm(b, a) = \emptyset$
- *(k) $PA \vdash rm[a + (y \times Sd), d] = rm(a, d)$
- *(l) $PA \vdash Sd \times z \leq a \rightarrow z \leq qt(a, d)$
- *(m) $PA \vdash a \geq y \times Sd \rightarrow rm[a \dot{-} (y \times Sd), d] = rm(a, d)$

Hints. (g): The assumption $a | b$ gives $Sa \times j = b$; then $a | (b + c)$ gives $Sa \times k = b + c$; you will have to show $j \leq k$ so that $l + j = k$; $a | c$ follows with these; then $a | c$ gives $Sa \times k = c$ and you will be able to substitute for both b and c to get $(Sa \times j) + (Sa \times k) = b + c$; the result follows with this. (k): From the assumption you have $a = (Sd \times j) + r \wedge r < Sd$; and if you assert $a + (y \times Sd) = a + (y \times Sd)$ by =I you should be able to show, $a + (y \times Sd) = Sd \times (j + y) + r \wedge r < Sd$; then with $j + y \leq a + (y \times Sd)$ you can apply (\exists I) and the definition. (l): With $r = rm(a, d)$ and $q = qt(a, d)$ by Def[qt] you have $a = Sd \times q + r \wedge r < Sd$; assume $Sd \times z \leq a$ for \rightarrow I and $z > q$ for \sim I; then you should be able to show $a < Sd \times z$ to contradict the assumption for \rightarrow I. (m): Again let $r = rm(a, d)$ and $q = qt(a, d)$; then by Def[qt] you have $a = Sd \times q + r \wedge r < Sd$; assume $a \geq y \times Sd$ for \rightarrow I; you should be able to show, $a \dot{-} (y \times Sd) = Sd(q \dot{-} y) + r \wedge r < Sd$ toward $(\exists w < a \dot{-} (y \times Sd))[a \dot{-} (y \times Sd) = Sd \times w + r \wedge r < Sd]$ by (\exists I), to apply Def[rm].

So (a) (the successor of) \emptyset divides any number; (b) (the successor of) a divides Sa ; and (c) any number divides into \emptyset zero times. (d) if a divides b then it divides $b \times c$; (e) where subtraction compensates for successor, if a divides c and b divides d , ab divides cd ; and (f) if a divides Sb and (the successor of) b divides c , then a divides c . (g) is like $(b + c)/a = b/a + c/a$ so that dividing the sum breaks into dividing the members; (h) is the comparable principle for subtraction. From (i) if $b > a$, then (the successor of) b does not divide Sa . (j) makes the obvious connection between remainder and factor. In (k) the remainder of the second part ($y \times Sd$) is \emptyset so that the remainder of the sum is just whatever there is from the first $rm(a, d)$; (m) is the comparable principle for subtraction. The intervening (l) is required for (m) and tells us that if z multiples of (the successor of) d come to $\leq a$, then $z \leq qt(a, d)$ — since the quotient maximizes the multiples of (the successor of) d that are $\leq a$.

And now PA defines relations *prime* and *relatively prime*. Prime has its usual sense. And numbers are relatively prime when they have no common divisor other than one — though they may not therefore individually be prime. Though division is by successor, these notions are given their usual sense by adjusting the numbers that are said to “divide.”

$$Def[Pr] \text{ PA} \vdash Pr(n) \leftrightarrow \bar{1} < n \wedge \forall x[x|n \rightarrow (x = \emptyset \vee Sx = n)]$$

$$Def[Rp] \text{ PA} \vdash Rp(a, b) \leftrightarrow \forall x[(x|a \wedge x|b) \rightarrow x = \emptyset]$$

Since these are relations, no condition is required over and above the axioms. For any b we get $Rp(\bar{1}, b)$ since the only number that divides both $\bar{1}$ and b is (the successor of) \emptyset . And $Rp(\emptyset, \bar{1})$: anything divides \emptyset , so (the successor of) \emptyset divides \emptyset ; and the only b that divides $S\emptyset$ is (the successor of) \emptyset . But for $x \neq \bar{1}$, $\sim Rp(\emptyset, x)$, for when $x \neq \emptyset$, both \emptyset and Sx are divided by (the successor of) x and so by a number other than (the successor of) \emptyset .

It will be helpful to introduce a couple of subsidiary notions. When $G(a, b, i)$ we say that i is *good*, and $d(a, b)$ is (zero or) the *least* such good when a and b are greater than zero.

$$Def[G] \text{ PA} \vdash G(a, b, i) \leftrightarrow \exists x \exists y (ax + i = by)$$

$$Def[d] \text{ PA} \vdash d(a, b) = \mu v [(a > \emptyset \wedge b > \emptyset) \rightarrow G(a, b, Sv)]$$

$$(i) \text{ PA} \vdash \exists v [(a > \emptyset \wedge b > \emptyset) \rightarrow G(a, b, Sv)]$$

Begin with $b = \emptyset \vee b > \emptyset$ and go for the existentially quantified goal. In the second case, there is some l such that $b = Sl$ and it is easy to show, $a \times \emptyset + b = b \times \bar{1}$ and generalize.

If a or b is not greater than \emptyset then $d(a, b)$ is just \emptyset . Otherwise, the notion is more significant.

Again, PA proves a series of results. Observe again that if we are interested in whether a prime divides some b we are interested in whether $Pr(Sa) \wedge a|b$ since it is the successor that is divided into b .

***T13.25.** The following result in PA:

$$(a) \text{ PA } \vdash \sim Pr(\emptyset)$$

$$(b) \text{ PA } \vdash \sim Pr(\bar{1})$$

$$(c) \text{ PA } \vdash Pr(\bar{2})$$

$$*(d) \text{ PA } \vdash \forall x[x > \bar{1} \rightarrow \exists z(Pr(Sz) \wedge z|x)]$$

$$*(e) \text{ PA } \vdash Rp(a, b) \leftrightarrow \sim \exists x[Pr(Sx) \wedge x|a \wedge x|b]$$

$$(f) \text{ PA } \vdash \forall x \forall y[G(a, b, x) \rightarrow G(a, b, x \times y)]$$

$$*(g) \text{ PA } \vdash (a > \emptyset \wedge b > \emptyset) \rightarrow \forall x \forall y[(G(a, b, x) \wedge G(a, b, y) \wedge x \geq y) \rightarrow G(a, b, x \dot{-} y)]$$

$$*(h) \text{ PA } \vdash [Rp(a, b) \wedge a > \emptyset \wedge b > \emptyset] \rightarrow G(a, b, \bar{1})$$

$$*(i) \text{ PA } \vdash [Pr(Sa) \wedge a|(b \times c)] \rightarrow (a|b \vee a|c)$$

Hints. (c): This is straightforward with T13.24i. (d): You can do this by the second form of strong induction T13.13ag; the zero case is trivial; to reach $\forall x\{(\forall y \leq x)[y > \bar{1} \rightarrow \exists z(Pr(Sz) \wedge z|y)] \rightarrow [Sx > \bar{1} \rightarrow \exists z(Pr(Sz) \wedge z|Sx)]\}$ assume $(\forall y \leq k)[y > \bar{1} \rightarrow \exists z(Pr(Sz) \wedge z|y)]$ and $Sk > \bar{1}$; then Sk is prime or not; if it is prime, the result is immediate; if it is not, you will be able to show $Sj \leq k$ and apply the assumption. (e): From left to right, under the assumption for \leftrightarrow I assume $\exists x[Pr(Sx) \wedge x|a \wedge x|b]$ and $Pr(Sj) \wedge j|a \wedge j|b$ for \sim I and \exists E; then you should be able to show that $\bar{1} < Sj$ and $\bar{1} \not\leq Sj$; in the other direction, under the assumption for \leftrightarrow I and then $j|a \wedge j|b$ for \rightarrow I, $j = \emptyset \vee j > \emptyset$ by T13.13f; the latter is impossible, which gives the result you want. (g): Under the assumptions

$a > \emptyset \wedge b > \emptyset$ and then $G(a, b, i) \wedge G(a, b, j) \wedge i \geq j$ for \rightarrow I and then $ap + i = bq$ and $ar + j = bs$ for \exists E, starting with $(bq + bar) + (bsa \dot{-} bs) = (bq + bar) + (bsa \dot{-} bs)$ by =I, with some effort, you will be able to show $a[(p + bs) + (br \dot{-} r)] + (i \dot{-} j) = b[(q + ar) + (sa \dot{-} s)]$ and generalize. (i): Under the assumption $Pr(Sa) \wedge a|(b \times c)$ assume $a \nmid b$ with the idea of obtaining $a \nmid b \rightarrow a|c$ for Impl; set out to show $Rp(b, Sa)$ for an application of T13.25h to get $\exists x \exists y [bx + \bar{1} = Sa \times y]$; with this, you will have $bp + \bar{1} = Sa \times q$ by \exists E; and you should be able to show $a|cbp$ and $a|(cbp + c)$ for an application of T13.24g.

T13.25h is important. But the argument is relatively complex; it has the following main stages.

1.	$[(a > \emptyset \wedge b > \emptyset) \rightarrow G(a, b, Sd(a, b))] \wedge (\forall y < d(a, b)) \sim [(a > \emptyset \wedge b > \emptyset) \rightarrow G(a, b, Sy)]$	def d
2.	$(a > \emptyset \wedge b > \emptyset) \rightarrow G(a, b, Sd(a, b))$	1 \wedge E
3.	$Rp(a, b) \wedge a > \emptyset \wedge b > \emptyset$	A ($g \rightarrow$ I)
4.	$Rp(a, b)$	3 \wedge E
5.	$\forall x [(x a \wedge x b) \rightarrow x = \emptyset]$	4 def
6.	$a > \emptyset \wedge b > \emptyset$	3 \wedge E
7.	$G(a, b, Sd(a, b))$	2, 6 \rightarrow E
8.	$G(a, b, a)$	[a]
9.	$G(a, b, b)$	[b]
10.	$\forall x [G(a, b, x) \rightarrow d(a, b) x]$	[c]
11.	$d(a, b) a$	8, 10 \forall E
12.	$d(a, b) b$	9, 10 \forall E
13.	$d(a, b) a \wedge d(a, b) b$	11, 12 \wedge I
14.	$d(a, b) = \emptyset$	5, 13 \forall E
15.	$G(a, b, \bar{1})$	7, 14 =E
16.	$[Rp(a, b) \wedge a > \emptyset \wedge b > \emptyset] \rightarrow G(a, b, \bar{1})$	3-15 \rightarrow I

Hint. For (c) let $q = qt(i, d(a, b))$ and $r = rm(i, d(a, b))$ then from the definitions you have $i = (Sd(a, b) \times q) + r$ and $r < Sd(a, b)$ and from (1) of the main argument $(\forall y < d(a, b)) \sim [(a > \emptyset \wedge b > \emptyset) \rightarrow G(a, b, Sy)]$; then under the assumption $G(a, b, i)$ for \rightarrow I you should be able to show $G(a, b, i \dot{-} (Sd(a, b) \times q))$ using (6) from the main argument with (f) and (g); but also $i \dot{-} (Sd(a, b) \times q) = r$ so that $G(a, b, r)$. Now the assumption that r is a successor leads to contradiction; so $r = \emptyset$ and $d(a, b)|i$.

T13.25(a) - (c) are simple particular facts. From (d) every number greater than one is divided by some prime (which may or may not be itself). From (e), a and b are

relatively prime iff there is no prime that divides them both; in one direction this is obvious — if a prime divides them both, then they are not relatively prime; in the other direction, if some number other than (the successor of) zero divides them both, then some prime of it divides them both. (f) and (g) let you manipulate G ; they are required for (h) which is in turn required for (i). (h) is an instance of Bézout's lemma according to which there are x and y such that $ax + d = by$ when d is the greatest common divisor of a and b ; if a and b are relatively prime, their greatest common divisor is one. (i) is sometimes known as Euclid's lemma: if Sa is prime and Sa divides $b \times c$ then Sa divides b or c ; if Sa is prime and divides $b \times c$ then it must appear in the factorization of b or the factorization of c — so that it divides one or the other.

Now *least common multiple*. Given a function $m(i)$, $\text{lcm}\{m(i) \mid i < k\}$ is the least $y > \emptyset$ such that for any $i < k$, $Sm(i)$ divides y . We avoid worries about the case when $m(i) = \emptyset$ by our usual account of factor. And since $y > \emptyset$ it is possible to define a predecessor to the least common multiple, helpful when switching between the numerator and denominator of fractions.

$$*Def[lcm] \text{ lcm}\{m(i) \mid i < k\} = \mu v[v > \emptyset \wedge (\forall i < k)m(i)|v]$$

$$(i) \text{ PA} \vdash \exists x[x > \emptyset \wedge (\forall i < k)m(i)|x]$$

Hint: This is an argument by **IN** on k . For the basis, you may assert that $\bar{1} > \emptyset$; then the argument is trivial. For the main argument, under the assumptions $\exists x[x > \emptyset \wedge (\forall i < j)m(i)|x]$ for $\rightarrow I$ and $a > \emptyset \wedge (\forall i < j)m(i)|a$ for $\exists E$, set out to show $a \times Sm(j) > \emptyset \wedge (\forall i < Sj)m(i)|(a \times Sm(j))$ and generalize.

Because lcm is defined by minimization, only the existence condition is required. As a matter of notation, let $l[m]_k = \text{lcm}\{m(i) \mid i < k\}$ and, where m is understood, let $l_k = \text{lcm}\{m(i) : i < k\}$.

$$Def[plm] \ v = \text{plm}\{m(i) \mid i < k\} \leftrightarrow Sv = \text{lcm}\{m(i) \mid i < k\}$$

$$(i) \text{ PA} \vdash \exists v(Sv = l_k)$$

$$(ii) \text{ PA} \vdash \forall x \forall y[(Sx = l_k \wedge Sy = l_k) \rightarrow x = y]$$

Again, let $p[m]_k = \text{plm}\{m(i) \mid i < k\}$ and, where m is understood, $p_k = \text{plm}\{m(i) \mid i < k\}$.

*T13.26. The following result in PA:

$$(a) \text{ PA } \vdash l_{\emptyset} = \bar{1}$$

$$(b) \text{ PA } \vdash j < k \rightarrow m(j) | l_k$$

$$*(c) \text{ PA } \vdash (\forall i < k) m(i) | x \rightarrow p_k | x$$

$$*(d) \text{ PA } \vdash \forall n [(Pr(Sn) \wedge n | l_k) \rightarrow (\exists i < k) n | Sm(i)]$$

Hints. (c): Let $q = qt(x, p_k)$ and $r = rm(x, p_k)$; assume $(\forall i < k) m(i) | x$ for $\rightarrow I$; you have $(\forall y < l_k) \sim [y > \emptyset \wedge (\forall i < k) m(i) | y]$ from def l_k with T13.19c; you should be able to apply this to show that $r = \emptyset$ and so that $p_k | x$. (d): This is an induction on k . The basis is straightforward given $l_{\emptyset} = \bar{1}$ from T13.26a; for the main argument, you have $(\forall i < j) m(i) | l_j$ from def l_j ; under assumptions $\forall n [(Pr(Sn) \wedge n | l_j) \rightarrow (\exists i < j) n | Sm(i)]$ and $Pr(Sa) \wedge a | l_{Sj}$ for $\rightarrow I$, you should be able to use T13.26c to show $p_{Sj} | (l_j \times Sm(j))$; and from this $a | l_j \vee a | Sm(j)$; in either case, you have your result.

(a) for any function $m(i)$, the least common multiple for $i < 0$ defaults to $\bar{1}$. (b) applies the definition for the result that when $j < k$, $m(j)$ divides $lcm\{m(i) \mid i < k\}$. (c) is perhaps best conceived by prime factorization: the least common multiple of some collection has all the primes of its members and no more; but any number into which all the members of the collection divide must include all those primes; so the least common multiple divides it as well. (d) is the related result that if a prime divides the least common multiple of some collection, then it divides some member of the collection.

Finally we arrive at the Chinese Remainder Theorem. Let $m(i)$ be a function such that (successors of) its values are relatively prime; $h(i)$ is a function whose values are to be matched by remainders. Then the theorem tells us that if for all $i < k$, $m(i) > \emptyset$ and $m(i) \geq h(i)$, and if for all $i < j < k$, $Rp(Sm(i), Sm(j))$, then $\exists p (\forall i < k) rm(p, m(i)) = h(i)$. Once we specify an adequate $m(i)$, this will be the p that figures in the β -function.

*T13.27. $\text{PA} \vdash [(\forall i < k) (m(i) > \emptyset \wedge m(i) \geq h(i)) \wedge \forall i \forall j (i < j \wedge j < k \rightarrow Rp(Sm(i), Sm(j)))] \rightarrow \exists p (\forall i < k) rm(p, m(i)) = h(i)$. Let,

$$\mathcal{A}(k) =_{\text{def}} (\forall i < k) (m(i) > \emptyset \wedge m(i) \geq h(i)) \wedge \forall i \forall j (i < j \wedge j < k \rightarrow Rp(Sm(i), Sm(j)))$$

$$\mathcal{B}(k) =_{\text{def}} \exists p (\forall i < k) rm(p, m(i)) = h(i).$$

So we want $\text{PA} \vdash \mathcal{A}(k) \rightarrow \mathcal{B}(k)$. By induction on n we show $\forall n [n \leq k \rightarrow (\mathcal{A}(n) \rightarrow \mathcal{B}(n))]$. The result follows immediately with $k \leq k$. Here is the overall structure of the argument:

1.	$\emptyset \leq k \rightarrow (\mathcal{A}(\emptyset) \rightarrow \mathcal{B}(\emptyset))$	[a]
2.	$a \leq k \rightarrow (\mathcal{A}(a) \rightarrow \mathcal{B}(a))$	A (g \rightarrow I)
3.	$Sa \leq k$	A (g \rightarrow I)
4.	$a < k$	3 T13.13l
5.	$a \leq k$	4 T13.13m
6.	$\mathcal{A}(a) \rightarrow \mathcal{B}(a)$	2,5 \rightarrow E
7.	$\mathcal{A}(Sa)$	A (g \rightarrow I)
8.	$[(\forall i < a)(m(i) > \emptyset \wedge m(i) \geq h(i)) \wedge \forall i \forall j ((i < j \wedge j < a) \rightarrow Rp(Sm(i), Sm(j)))] \rightarrow$ $\exists p(\forall i < a)rm(p, m(i)) = h(i)$	6 abv
9.	$(\forall i < Sa)(m(i) > \emptyset \wedge m(i) \geq h(i)) \wedge \forall i \forall j ((i < j \wedge j < Sa) \rightarrow Rp(Sm(i), Sm(j)))$	7 abv
10.	$(\forall i < Sa)(m(i) > \emptyset \wedge m(i) \geq h(i))$	9 \wedge E
11.	$\forall i \forall j ((i < j \wedge j < Sa) \rightarrow Rp(Sm(i), Sm(j)))$	9 \wedge E
12.	$\exists p(\forall i < a)rm(p, m(i)) = h(i)$	[b]
13.	$(\forall i < a)rm(r, m(i)) = h(i)$	A (g 12 \exists E)
14.	$Rp(l[m]_a, Sm(a))$	[c]
15.	$Sm(a) > \emptyset$	T13.13e
16.	$l_a > \emptyset$	def l_a
17.	$G(l_a, Sm(a), \bar{1})$	14,15,16 T13.25h
18.	$G(l_a, Sm(a), r + (l_a \dot{-} \bar{1}) \times h(a))$	17 T13.25f
19.	$\exists x \exists y (l_a \times x + [r + (l_a \dot{-} \bar{1}) \times h(a)] = Sm(a) \times y)$	18 def G
20.	$l_a \times b + [r + (l_a \dot{-} \bar{1}) \times h(a)] = Sm(a) \times c$	A (g 19 \exists E)
21.	$s = l_a \times (b + h(a)) + r$	def
22.	$s = Sm(a) \times c + h(a)$	[d]
23.	$(\forall i < Sa)rm(s, m(i)) = h(i)$	[e]
24.	$\exists p(\forall i < Sa)rm(p, m(i)) = h(i)$	23 \exists I
25.	$\mathcal{B}(Sa)$	24 abv
26.	$\mathcal{B}(Sa)$	19,20-25 \exists E
27.	$\mathcal{B}(Sa)$	12,13-26 \exists E
28.	$\mathcal{A}(Sa) \rightarrow \mathcal{B}(Sa)$	7-27 \rightarrow I
29.	$Sa \leq k \rightarrow (\mathcal{A}(Sa) \rightarrow \mathcal{B}(Sa))$	3-28 \rightarrow I
30.	$[a \leq k \rightarrow (\mathcal{A}(a) \rightarrow \mathcal{B}(a))] \rightarrow [Sa \leq k \rightarrow (\mathcal{A}(Sa) \rightarrow \mathcal{B}(Sa))]$	2-29 \rightarrow I
31.	$\forall n([n \leq k \rightarrow (\mathcal{A}(n) \rightarrow \mathcal{B}(n))] \rightarrow [Sn \leq k \rightarrow (\mathcal{A}(Sn) \rightarrow \mathcal{B}(Sn))])$	30 \forall I
32.	$(\forall n \leq k)(\mathcal{A}(n) \rightarrow \mathcal{B}(n))$	1,31 IN
33.	$k \leq k$	T13.13m
34.	$\mathcal{A}(k) \rightarrow \mathcal{B}(k)$	32,33 (\forall E)

Hints. (c): Suppose otherwise; with T13.25e there is a u such that $Pr(Su) \wedge u|l_a \wedge u|Sm(a)$; then with T13.26d there is a $v < a$ such that $u|Sm(v)$ so that with (11) $Rp(Sm(v), Sm(a))$. But this is impossible with $u|Sm(a)$, $u|Sm(v)$ and T13.25e. (d): By Def[lcm], $l_a > \emptyset$ so that $h(a)l_a > h(a)$. Then with T13.23a and T13.23p you can show, $s = (l_a \times b + [r + (l_a \dot{-} \bar{1}) \times h(a)]) + h(a)$ and apply (20). (e): Suppose for $(\forall i) u < Sa$; then $u < a \vee u = a$. In the first case, with T13.26b and T13.24d $m(u)|l_a(b + h(a))$; so that there is a v such that $Sm(u)v = l_a(b + h(a))$; then using (21) and T13.24k, $rm(d, m(u)) =$

$rm(s, m(u))$; so that you can apply (13). In the second case, with (22) and T13.24k $rm(d, m(u)) = rm(h(u), m(u))$; but from (10), $m(u) \geq h(u)$ and you will be able to show that $rm(h(u), m(u)) = h(u)$.

The core of this derivation is to obtain (21) and (22) and from them (23). For a claim about all $i < Sa$, s appears in the forms from both (21) and (22). For any $i < a$ and x , $m(i)$ divides $l_a x$ evenly; so $m(i)$ divides the first term from (21) evenly; so the remainder of $m(i)$ and s is the same as the remainder of $m(i)$ with r — and with (13) this is just $h(i)$. But x is chosen so that with (20), we get (22); so when $i = a$, $m(i)$ divides the first term evenly, and since $m(i) \geq h(a)$ again the remainder of $m(i)$ and s is $h(i)$. Putting these together, for any $i < Sa$, the remainder of $m(i)$ and s is $h(i)$. The “trick” to this is in the construction of s (and so p) so that remainders for $i < a$ stay the same, but the remainder at a is $h(a)$.¹¹

For our final result in this section, we require a couple notions for maximum value. First $maxs$ for the maximum from a *set* of values, and then $maxp$ for the greatest of a *pair*.

*Def[$maxs$] $PA \vdash v = maxs\{m(i) \mid i < k\} \leftrightarrow (k = \emptyset \wedge v = \emptyset) \vee ((\exists i < k)m(i) = v \wedge (\forall i < k)m(i) \leq v)$

Let $\mathcal{A}(k, v) =_{\text{def}} (k = \emptyset \wedge v = \emptyset)$ and $\mathcal{B}(k, v) =_{\text{def}} (\exists i < k)m(i) = v \wedge (\forall i < k)m(i) \leq v$. Then we require,

(i) $PA \vdash \exists v[\mathcal{A}(k, v) \vee \mathcal{B}(k, v)]$

(ii) $PA \vdash \forall y \forall z [(\mathcal{A}(k, y) \vee \mathcal{B}(k, y)) \wedge (\mathcal{A}(k, z) \vee \mathcal{B}(k, z))] \rightarrow y = z]$

The argument for (ii) is long and disjunctive, but straightforward. (i) is an argument by IN on k . It is not difficult, but, again, long and disjunctive. Here is the basic structure including key subgoals.

¹¹For this construction see Boolos, *The Logic of Provability*, 30-31.

1.	$\mathcal{A}(\emptyset, \emptyset) \vee \mathcal{B}(\emptyset, \emptyset)$	[a]
2.	$\exists v[\mathcal{A}(\emptyset, v) \vee \mathcal{B}(\emptyset, v)]$	1 \exists I
3.	$\exists v[\mathcal{A}(j, v) \vee \mathcal{B}(j, v)]$	A (g \rightarrow I)
4.	$\mathcal{A}(j, u) \vee \mathcal{B}(j, u)$	A (g \exists E)
5.	$j = \emptyset \vee j \neq \emptyset$	T3.1
6.	$j = \emptyset$	A (g \vee E)
7.	$\mathcal{A}(Sj, m(\emptyset)) \vee \mathcal{B}(Sj, m(\emptyset))$	[b]
8.	$\exists v[\mathcal{A}(Sj, v) \vee \mathcal{B}(Sj, v)]$	7 \exists I
9.	$j \neq \emptyset$	A (g \vee E)
10.	$j \neq \emptyset \vee u \neq \emptyset$	9 \vee I
11.	$\sim(j = \emptyset \wedge u = \emptyset)$	10 DeM
12.	$\sim\mathcal{A}(j, u)$	11 abv
13.	$\mathcal{B}(j, u)$	4,12 DS
14.	$(\exists i < j)m(i) = u \wedge (\forall i < j)m(i) \leq u$	13 abv
15.	$(\forall i < j)m(i) \leq u$	14 \wedge E
16.	$(\exists i < j)m(i) = u$	14 \wedge E
17.	$m(a) = u$	A (g 16(\exists E))
18.	$a < j$	
19.	$m(j) \leq m(a) \vee m(j) > m(a)$	T13.13q
20.	$m(j) \leq m(a)$	A (g 19 \vee E)
21.	$\mathcal{A}(Sj, m(a)) \vee \mathcal{B}(Sj, m(a))$	[c]
22.	$\exists v[\mathcal{A}(Sj, v) \vee \mathcal{B}(Sj, v)]$	21 \exists I
23.	$m(j) > m(a)$	A (g 19 \vee E)
24.	$\mathcal{A}(Sj, m(j)) \vee \mathcal{B}(Sj, m(j))$	[d]
25.	$\exists v[\mathcal{A}(Sj, v) \vee \mathcal{B}(Sj, v)]$	24 \exists I
26.	$\exists v[\mathcal{A}(Sj, v) \vee \mathcal{B}(Sj, v)]$	19,20-22,23-25 \vee E
27.	$\exists v[\mathcal{A}(Sj, v) \vee \mathcal{B}(Sj, v)]$	16,17-26 (\exists E)
28.	$\exists v[\mathcal{A}(Sj, v) \vee \mathcal{B}(Sj, v)]$	5,6-8,9-27 \vee E
29.	$\exists v[\mathcal{A}(Sj, v) \vee \mathcal{B}(Sj, v)]$	3,4-28 \exists E
30.	$\exists v[\mathcal{A}(j, v) \vee \mathcal{B}(j, v)] \rightarrow \exists v[\mathcal{A}(Sj, v) \vee \mathcal{B}(Sj, v)]$	3-29 \rightarrow I
31.	$\forall y(\exists v[\mathcal{A}(y, v) \vee \mathcal{B}(y, v)] \rightarrow \exists v[\mathcal{A}(Sy, v) \vee \mathcal{B}(Sy, v)])$	30 \forall I
32.	$\exists v[\mathcal{A}(k, v) \vee \mathcal{B}(k, v)]$	2,31 IN

So there are generalizations from different individuals in the different cases [a], [b], [c] and [d]. As a matter of notation, let $\maxs[m]_k = \maxs\{m(i) \mid i < k\}$ and where m is understood, $\maxs_k = \maxs\{m(i) \mid i < k\}$.

*Def[maxp] $\text{PA} \vdash v = \max p(x, y) \leftrightarrow (x \geq y \wedge v = x) \vee (x < y \wedge v = y)$

(i) $\text{PA} \vdash \exists v[(x \geq y \wedge v = x) \vee (x < y \wedge v = y)]$

(ii) $\text{PA} \vdash \forall u \forall v[(\{(x \geq y \wedge u = x) \vee (x < y \wedge u = y)\} \wedge [(x \geq y \wedge v = x) \vee (x < y \wedge v = y)]) \rightarrow u = v]$

A couple of results make the obvious applications from the definitions.

*T13.28. The following result in PA.

- (a) $\text{PA} \vdash \text{maxp}(x, y) \geq x \wedge \text{maxp}(x, y) \geq y$
- (b) $\text{PA} \vdash (\forall i < k) m(i) \leq \text{maxs}_k$

These simply state the obvious: that the maximum is greater than or equal to the rest. From (a) the maximum is the greater of the two in the pair; from (b) the maximum is the greatest of the values of the function.

Now we are in a position to generate some results for the β function. With values of q and $m(i)$ as below, we may demonstrate the antecedent to the *CRT* (T13.27), and so obtain its consequent — where this is a result for the β -function.

*T13.29. $\text{PA} \vdash \exists p \exists q (\forall i < k) \beta(p, q, i) = h(i)$.

Let $r =_{\text{def}} \text{maxp}(k, \text{maxs}[h]_k)$;

$s =_{\text{def}} Sr$;

$q =_{\text{def}} \text{lcm}\{i \mid i < s\}$;

$m(i) =_{\text{def}} q \times Si$.

Then $\beta(p, q, i) = rm(p, q \times Si)$. And we may reason,

- | | | |
|-----|---|---------------------|
| 1. | $(\forall i < k)(m(i) > \emptyset \wedge m(i) \geq h(i))$ | [i] |
| 2. | $\forall i \forall j [(i < j \wedge j < k) \rightarrow Rp(Sm(i), Sm(j))]$ | [ii] |
| 3. | $\exists p (\forall i < k) rm(p, m(i)) = h(i)$ | 1,2 T13.27 |
| 4. | $m(i) = q \times Si$ | def |
| 5. | $\exists p (\forall i < k) rm(p, q \times Si) = h(i)$ | 3,4 =E |
| 6. | $\beta(p, q, i) = rm(p, q \times Si)$ | def |
| 7. | $\exists p (\forall i < k) \beta(p, q, i) = h(i)$ | 5,6 =E |
| 8. | $(\forall i < k) \beta(p, q, i) = h(i)$ | A (g 7 \exists E) |
| 9. | $\exists q (\forall i < k) \beta(p, q, i) = h(i)$ | 8 \exists I |
| 10. | $\exists p \exists q (\forall i < k) \beta(p, q, i) = h(i)$ | 9 \exists I |
| 11. | $\exists p \exists q (\forall i < k) \beta(p, q, i) = h(i)$ | 7,8-10 \exists E |

So the demonstration reduces to that of (i) and (ii), the two conjuncts to the antecedent of *CRT* (T13.27). (i): Under the assumption $j < k$ for (\forall I) it will be easy to show $m(j) > \emptyset$; then you will be able to use T13.28 to show $h(j) < s$; but also with T13.26b that $r|q$ and from this that $s \leq q$ which gives $s \leq q \times Sj$ and the result you want. (ii): Here is the main outline of the argument.

1.	$i < j \wedge j < k$	$A \ g \rightarrow I$
2.	$i < j$	$1 \ \wedge E$
3.	$j < k$	$1 \ \wedge E$
4.	$\sim Rp(Sm(i), Sm(j))$	$A \ (c \sim I)$
5.	$\exists x[Pr(Sx) \wedge x S(q \times Si) \wedge x S(q \times Sj)]$	$4 \ T13.25e$
6.	$Pr(Sa) \wedge a S(q \times Si) \wedge a S(q \times Sj)$	$A \ (c \ 5\exists E)$
7.	$Pr(Sa)$	$6 \ \wedge E$
8.	$a S(q \times Si)$	$6 \ \wedge E$
9.	$a S(q \times Sj)$	$6 \ \wedge E$
10.	$a q(j \dot{-} i)$	$[a]$
11.	$a q \vee a (j \dot{-} i)$	$7,10 \ T13.25i$
12.	$a q$	$A \ (g \ 11\vee E)$
13.	$a q$	$12 \ R$
14.	$a (j \dot{-} i)$	$A \ (g \ 11\vee E)$
15.	$a q$	$[b]$
16.	$a q$	$11,12-13,14-15 \ \vee E$
17.	$a (q \times Si)$	$16 \ T13.24d$
18.	$S(q \times Si) > q \times Si$	$T13.13h$
19.	$S(q \times Si) \geq q \times Si$	$18 \ T13.13m$
20.	$a (S(q \times Si) \dot{-} (q \times Si))$	$19,8,17 \ T13.24h$
21.	$a \bar{1}$	$20 \ T13.23h$
22.	$S\emptyset < Sa$	$\text{def } Pr$
23.	$\emptyset < a$	$22 \ T13.13k$
24.	$a \nmid \bar{1}$	$23 \ T13.24i$
25.	\perp	$21,24 \ \perp I$
26.	\perp	$5,6-25 \ \exists E$
27.	$Rp(Sm(i), Sm(j))$	$4-26 \ \sim E$
28.	$(i < j \wedge j < k) \rightarrow Rp(Sm(i), Sm(j))$	$1-27 \rightarrow I$
29.	$\forall i \forall j [(i < j \wedge j < k) \rightarrow Rp(Sm(i), Sm(j))]$	$28 \ \forall I$

Hints. (a): With $i < j$ you will be able to show $a|(S(q \times Sj) \dot{-} S(q \times Si))$; and with some work that $S(q \times Sj) \dot{-} S(q \times Si) = q(j \dot{-} i)$. (b): With $i < j$, you have $j \dot{-} i > \emptyset$; so there is an l such that $Sl + \emptyset = j \dot{-} i$; you will be able to show $a|Sl$ and with T13.26b, $l|q$ so with T13.24f, $a|q$.

Now a theorem that uses this result to show that one β -function can always be extended another like it but with an arbitrary next value. We show that given $\beta(a, b, i)$ there are sure to be p and q such that $\beta(p, q, i)$ is like $\beta(a, b, i)$ for $i < k$ and for arbitrary n , $\beta(p, q, k) = n$. This is because we may *define* a function h which is like $\beta(a, b, i)$ for $i < k$ and otherwise n — and find p, q such that $\beta(p, q, i)$ matches it. As a preliminary,

$$Def[h(i)] \quad PA \vdash v = h(i) \leftrightarrow [(i < k \wedge v = \beta(a, b, i)) \vee (i \geq k \wedge v = n)]$$

- (i) $\text{PA} \vdash \exists v[(i < k \wedge v = \beta(a, b, i)) \vee (i \geq k \wedge v = n)]$
- (ii) $\text{PA} \vdash \forall x \forall y [([(i < k \wedge x = \beta(a, b, i)) \vee (i \geq k \wedge x = n)] \wedge [(i < k \wedge y = \beta(a, b, i)) \vee (i \geq k \wedge y = n)]) \rightarrow x = y]$

Then,

***T13.30.** $\text{PA} \vdash \exists p \exists q [(\forall i < k) \beta(p, q, i) = \beta(a, b, i) \wedge \beta(p, q, k) = n].$

Hints: From *Def[h(i)]* you have $(k < k \wedge h(k) = \beta(a, b, k)) \vee (k \geq k \wedge h(k) = n)$ and $(l < k \wedge h(l) = \beta(a, b, l)) \vee (l \geq k \wedge h(l) = n)$; and from T13.29 applied to Sk , $\exists p \exists q (\forall i < Sk) \beta(p, q, i) = h(i)$; then with $(\forall i < Sk) \beta(c, d, i) = h(i)$ for $\exists E$, you will be able to show that $\beta(c, d, k) = n$ and under $l < k$ for $(\forall I)$ that $\beta(c, d, l) = \beta(a, b, l)$.

For application of this theorem, it is important that free variables are universally quantified. So the theorem is effectively, $\forall k \forall n \forall a \forall b \exists p \exists q [(\forall i < k) \beta(p, q, i) = \beta(a, b, i) \wedge \beta(p, q, k) = n]$

And finally the result we have been after in this section: As before, let $\mathcal{F}(\vec{x}, y, v)$ be our formula,

$$\exists p \exists q [\beta(p, q, \emptyset) = g(\vec{x}) \wedge (\forall i < y) h(\vec{x}, i, \beta(p, q, i)) = \beta(p, q, Si) \wedge \beta(p, q, y) = v]$$

Then we want, $\text{PA} \vdash \exists v \mathcal{F}(\vec{x}, y, v)$.

***T13.31.** For \mathcal{F} as above, $\text{PA} \vdash \exists v \mathcal{F}(\vec{x}, y, v)$.

Let $\mathcal{F}(\vec{x}, y, v)$ be as above; the argument is by **IN** on y . The zero case is left as an exercise. Here is the main argument.

1.	$\exists v \mathcal{F}(\vec{x}, \emptyset, v)$	zero case
2.	$\exists v \mathcal{F}(\vec{x}, j, v)$	$\Lambda (g \rightarrow I)$
3.	$\exists v \exists p \exists q [\beta(p, q, \emptyset) = g(\vec{x}) \wedge (\forall i < j) \mathcal{H}(\vec{x}, i, \beta(p, q, i)) = \beta(p, q, Si) \wedge \beta(p, q, j) = v]$	2 abv
4.	$\beta(a, b, \emptyset) = g(\vec{x}) \wedge (\forall i < j) \mathcal{H}(\vec{x}, i, \beta(a, b, i)) = \beta(a, b, Si) \wedge \beta(a, b, j) = z$	$\Lambda (g \exists \exists E)$
5.	$\beta(a, b, \emptyset) = g(\vec{x})$	4 $\wedge E$
6.	$(\forall i < j) \mathcal{H}(\vec{x}, i, \beta(a, b, i)) = \beta(a, b, Si)$	4 $\wedge E$
7.	$\exists p \exists q [(\forall i < Sj) \beta(p, q, i) = \beta(a, b, i) \wedge \beta(p, q, Sj) = \mathcal{H}(\vec{x}, j, \beta(a, b, j))]$	T13.30 $\forall E$
8.	$(\forall i < Sj) \beta(c, d, i) = \beta(a, b, i) \wedge \beta(c, d, Sj) = \mathcal{H}(\vec{x}, j, \beta(a, b, j))$	$\Lambda (g \exists \exists E)$
9.	$(\forall i < Sj) \beta(c, d, i) = \beta(a, b, i)$	8 $\wedge E$
10.	$\beta(c, d, Sj) = \mathcal{H}(\vec{x}, j, \beta(a, b, j))$	8 $\wedge E$
11.	$\emptyset < Sj$	T13.13e
12.	$\beta(c, d, \emptyset) = \beta(a, b, \emptyset)$	9,11 ($\forall E$)
13.	$\beta(c, d, \emptyset) = g(\vec{x})$	5,12 =E
14.	$l < Sj$	$\Lambda (g \forall I)$
15.	$\beta(c, d, l) = \beta(a, b, l)$	9,14 ($\forall E$)
16.	$l < j \vee l = j$	14 T13.13n
17.	$l < j$	$\Lambda (g \exists \vee E)$
18.	$\mathcal{H}(\vec{x}, l, \beta(a, b, l)) = \beta(a, b, Sl)$	6,17 ($\forall E$)
19.	$Sl < Sj$	17 T13.13k
20.	$\beta(c, d, Sl) = \beta(a, b, Sl)$	9,19 $\forall E$
21.	$\mathcal{H}(\vec{x}, l, \beta(a, b, l)) = \beta(c, d, Sl)$	18,20 =E
22.	$l = j$	$\Lambda (g \exists \vee E)$
23.	$\mathcal{H}(\vec{x}, l, \beta(a, b, l)) = \beta(c, d, Sl)$	10,22 =E
24.	$\mathcal{H}(\vec{x}, l, \beta(c, d, l)) = \beta(c, d, Sl)$	15,23 =E
25.	$\mathcal{H}(\vec{x}, l, \beta(c, d, l)) = \beta(c, d, Sl)$	16,17-21,22-24 $\vee E$
26.	$(\forall i < Sj) \mathcal{H}(\vec{x}, i, \beta(c, d, i)) = \beta(c, d, Si)$	14-25 ($\forall I$)
27.	$\beta(c, d, Sj) = \beta(c, d, Sj)$	=I
28.	$\beta(c, d, \emptyset) = g(\vec{x}) \wedge (\forall i < Sj) \mathcal{H}(\vec{x}, i, \beta(c, d, i)) = \beta(c, d, Si) \wedge \beta(c, d, Sj) = \beta(c, d, Sj)$	13,26,27 $\wedge I$
29.	$\exists v \exists p \exists q [\beta(p, q, \emptyset) = g(\vec{x}) \wedge (\forall i < Sj) \mathcal{H}(\vec{x}, i, \beta(p, q, i)) = \beta(p, q, Si) \wedge \beta(p, q, Sj) = v]$	28 $\exists I$
30.	$\exists v \mathcal{F}(\vec{x}, Sj, v)$	29 abv
31.	$\exists v \mathcal{F}(\vec{x}, Sj, v)$	7,8-30 $\exists E$
32.	$\exists v \mathcal{F}(\vec{x}, Sj, v)$	3,4-31 $\exists E$
33.	$\exists v \mathcal{F}(\vec{x}, j, v) \rightarrow \exists v \mathcal{F}(\vec{x}, Sj, v)$	2-32 $\rightarrow I$
34.	$\forall y [\exists v \mathcal{F}(\vec{x}, y, v) \rightarrow \exists v \mathcal{F}(\vec{x}, Sy, v)]$	33 $\forall I$
35.	$\exists v \mathcal{F}(\vec{x}, y, v)$	1,34 IN

From the assumption, there are a, b such that the β -function has the right features for every $i < j$. With T13.30 there are c, d such that the β -function has the right features for $i < Sj$. The derivation establishes that this is so and generalizes.

This completes the demonstration of T13.21! So for any friendly recursive function $r(\vec{x})$ and original formula $\mathcal{R}(\vec{x}, v)$ by which it is expressed and captured, PA defines a function $r(\vec{x})$ such that $PA \vdash v = r(\vec{x}) \leftrightarrow \mathcal{R}(\vec{x}, v)$. In particular, then, PA

defines functions corresponding to all the primitive recursive functions from [chapter 12](#).

In addition, say a recursive relation is *friendly* iff it has a friendly characteristic function. Then as a simple corollary, PA defines relations corresponding to each friendly recursive relation, equivalent to the original formulas used to express them.

T13.32. For any friendly recursive relation $\mathbb{R}(\vec{x})$ with characteristic function $ch_{\mathbb{R}}(\vec{x})$, PA defines a relation $\mathbb{R}(\vec{x})$ such that $PA \vdash \mathbb{R}(\vec{x}) \leftrightarrow ch_{\mathbb{R}}(\vec{x}) = \emptyset$. As a simple corollary, where $\mathbb{R}(\vec{x})$ is originally captured by $\mathcal{R}(\vec{x}, \emptyset)$, $PA \vdash \mathbb{R}(\vec{x}) \leftrightarrow \mathcal{R}(\vec{x}, \emptyset)$.

Suppose a friendly recursive relation \mathbb{R} has recursive characteristic function $ch_{\mathbb{R}}(\vec{x})$. Since \mathbb{R} is friendly, it has a friendly characteristic function that is defined in PA. Set,

$$PA \vdash \mathbb{R}(\vec{x}) \leftrightarrow ch_{\mathbb{R}}(\vec{x}) = \emptyset$$

Then PA defines $\mathbb{R}(\vec{x})$. In fact, however, for relations defined in [chapter 12](#) we will want to define relations whose structure matches the structure of functions there defined. For this, it will be helpful to obtain the same result by an (informal) induction.

- (a) Say an *atomic* recursive relation is one like EQ, LEQ or LESS whose characteristic function does not depend on the characteristic functions of other recursive relations. Then let,

$$PA \vdash \mathbb{R}(\vec{x}) \leftrightarrow ch_{\mathbb{R}}(\vec{x}) = \emptyset$$

- (b) Now suppose $PA \vdash \mathbb{P}_1(\vec{x}) \leftrightarrow ch_{\mathbb{P}_1}(\vec{x}) = \emptyset$ and ...and $PA \vdash \mathbb{P}_n(\vec{x}) \leftrightarrow ch_{\mathbb{P}_n}(\vec{x}) = \emptyset$. And consider a recursive operator, $OP(\mathbb{P}_1(\vec{x}) \dots \mathbb{P}_n(\vec{x}))$ with characteristic function $f(ch_{\mathbb{P}_1}(\vec{x}) \dots ch_{\mathbb{P}_n}(\vec{x}))$. Since $f(ch_{\mathbb{P}_1}(\vec{x}) \dots ch_{\mathbb{P}_n}(\vec{x}))$ is friendly, PA defines $f(\vec{x})$. Let $c_{\mathbb{P}}(\vec{x}) = \mu v[(\mathbb{P}(\vec{x}) \wedge v = \emptyset) \vee (\sim \mathbb{P}(\vec{x}) \wedge v = \bar{1})]$ and set,

$$PA \vdash Op(\mathbb{P}_1(\vec{x}) \dots \mathbb{P}_n(\vec{x})) \leftrightarrow f(c_{\mathbb{P}_1}(\vec{x}) \dots c_{\mathbb{P}_n}(\vec{x})) = \emptyset$$

From this axiom, Op is defined by an expression including $c_{\mathbb{P}_1} \dots c_{\mathbb{P}_n}$ of which $\mathbb{P}_1 \dots \mathbb{P}_n$ are parts. So it works like the axiom from [13.3.1](#). But by T13.38 (which we shall see shortly), $PA \vdash ch_{\mathbb{P}}(\vec{x}) = \emptyset \vee ch_{\mathbb{P}}(\vec{x}) = \bar{1}$; and it is easy to see, $PA \vdash c_{\mathbb{P}}(\vec{x}) = ch_{\mathbb{P}}(\vec{x})$; so that $PA \vdash Op(\mathbb{P}_1(\vec{x}) \dots \mathbb{P}_n(\vec{x})) \leftrightarrow f(ch_{\mathbb{P}_1}(\vec{x}) \dots ch_{\mathbb{P}_n}(\vec{x})) = \emptyset$. Now for any $\mathbb{R}(\vec{x}) = OP(\mathbb{P}_1(\vec{x}) \dots \mathbb{P}_n(\vec{x}))$ set,

$$\text{PA} \vdash \mathcal{R}(\vec{x}) \leftrightarrow \mathcal{O}p(\mathcal{P}_1(\vec{x}) \dots \mathcal{P}_n(\vec{x}))$$

Then $\text{PA} \vdash \mathcal{R}(\vec{x}) \leftrightarrow f(ch_{\mathcal{P}_1}(\vec{x}) \dots ch_{\mathcal{P}_n}(\vec{x})) = \emptyset$; which is to say, $\text{PA} \vdash \mathcal{R}(\vec{x}) \leftrightarrow ch_{\mathcal{R}}(\vec{x}) = \emptyset$.

- (d) So for any primitive recursive relation defined in chapter 12, $\text{PA} \vdash \mathcal{R}(\vec{x}) \leftrightarrow ch_{\mathcal{R}}(\vec{x}) = \emptyset$. Further, with T13.21, $\text{PA} \vdash v = ch_{\mathcal{R}}(\vec{x}) \leftrightarrow \mathcal{R}(\vec{x}, v)$; so $\text{PA} \vdash \emptyset = ch_{\mathcal{R}}(\vec{x}) \leftrightarrow \mathcal{R}(\vec{x}, \emptyset)$; so $\text{PA} \vdash \mathcal{R}(\vec{x}) \leftrightarrow \mathcal{R}(\vec{x}, \emptyset)$.

So for example, from part (a) we have, say, $\text{PA} \vdash \mathcal{E}q(\vec{x}) \leftrightarrow ch_{\mathcal{E}q}(\vec{x}) = \emptyset$. For part (b), $\text{DSJ}(\mathcal{P}(\vec{x}), \mathcal{Q}(\vec{x}))$ has characteristic function $\text{times}(ch_{\mathcal{P}}(\vec{x}), ch_{\mathcal{Q}}(\vec{x}))$; so we set $\text{PA} \vdash \mathcal{D}sj(\mathcal{P}(\vec{x}), \mathcal{Q}(\vec{x})) \leftrightarrow \text{times}(ch_{\mathcal{P}}(\vec{x}), ch_{\mathcal{Q}}(\vec{x})) = \emptyset$; then where $\mathcal{R}(\vec{x}) = \text{DSJ}(\mathcal{P}(\vec{x}), \mathcal{Q}(\vec{x}))$, $\text{PA} \vdash \mathcal{R}(\vec{x}) \leftrightarrow \mathcal{D}sj(\mathcal{P}(\vec{x}), \mathcal{Q}(\vec{x}))$. Thus PA defines both functions and relations corresponding to the friendly recursive functions and relations, equivalent to the original formulas used to express and capture them.

*E13.12. Show (i) and (ii) for $\text{Def}[\div]$. Then show T13.23 (a) and (o). Hard core: show all of the results in T13.23.

*E13.13. Show T13.24d and T13.24i. Hard core: show all of the results in T13.24.

*E13.14. Provide a complete demonstration of T13.25h including the justification for d . Hard core: Show all of the results from T13.25.

*E13.15. Show the condition for $\text{Def}[lcm]$ and provide a demonstration for T13.26d. Hard core: show all of the results for $\text{Def}[lcm]$, $\text{Def}[plm]$ and T13.26.

*E13.16. Provide derivations to show each of [a] - [e] to complete the derivation for T13.27.

*E13.17. Complete the argument for condition (i) of $\text{Def}[maxs]$ for by producing arguments for (a), (b), (c) and (d). Hard core: Provide complete justifications for $\text{Def}[maxs]$ and $\text{Def}[maxp]$; and show each of the results in T13.28.

*E13.18. Complete the demonstration for T13.29.

Font conventions

At different stages, we employ different fonts for items of different sorts. For the most part, this is straightforward. Here we collect them together.

1. Expressions of symbolic object languages are given in italics; these include the function (lowercase) and relation (first letter uppercase) symbols abbreviated or defined in Q and PA.

function, Relation

2. Objects from the semantic account are indicated by a sans-serif font; these include recursive functions (lowercase) and relations (small-caps) — and bold when special symbols are used.

function, RELATION,

3. The language for description of expressions in the formal object language uses script variables,

\mathcal{P}, p

4. The language for description of metalinguistic expressions uses Fraktur variables,

\mathfrak{A}, α

5. Function and relation symbols introduced into PA from recursive functions and relations by T13.21 and T13.32 have their first character in a “hollow” blackboard bold font — these are not automatically the equivalent to ones that may be described in (1), though we may set out to demonstrate equivalence.

$\!function, \!Relation$

6. Object expressions for computer languages are given in a typewriter font,
Expression

7. In addition, for informal inductions italic i, j generally index objects arranged in series, but i, j when the objects are specifically the members of \mathbb{N} .

*E13.19. Show T13.30. Hard core: show the conditions for $\text{Def}[h(i)]$.

*E13.20. Complete the demonstration of T13.31 by showing the zero case.

E13.21. Give the demonstration to show $\text{PA} \vdash \mathcal{O}p(\mathcal{P}_1(\vec{x}) \dots \mathcal{P}_n(\vec{x})) \leftrightarrow f(\text{ch}_{\mathcal{P}_1}(\vec{x}) \dots \text{ch}_{\mathcal{P}_n}(\vec{x})) = \emptyset$ from (b) of T13.32.

13.4 The Second Condition: $\Box(\mathcal{P} \rightarrow \mathcal{Q}) \rightarrow (\Box\mathcal{P} \rightarrow \Box\mathcal{Q})$

We turn now to demonstration of the second derivability condition. Again there is some background — after which demonstration of the condition itself is straightforward. The overall idea is simple: Suppose both $\Box(\mathcal{P} \rightarrow \mathcal{Q})$ and $\Box\mathcal{P}$. Then there are j and k such that $\text{PRFT}(j, \ulcorner \mathcal{P} \rightarrow \mathcal{Q} \urcorner)$ and $\text{PRFT}(k, \ulcorner \mathcal{P} \urcorner)$. Intuitively, then, $l = j \star k \star 2^{\ulcorner \mathcal{Q} \urcorner}$ numbers a proof of \mathcal{Q} — for we prove $\mathcal{P} \rightarrow \mathcal{Q}$ and \mathcal{P} , so that \mathcal{Q} follows immediately as the last line by MP. So $\text{PRFT}(l, \ulcorner \mathcal{Q} \urcorner)$, and $\Box\mathcal{Q}$ follows from the assumptions. The task is to prove all of this in PA.

13.4.1 Some Applications

Having shown that PA defines recursive functions, we require some results about them. To start, observe that $\text{plus}(x, y)$, say, is defined by a complex expression through recursion, and so is not the same expression as our old friend $x + y$. Thus it is not obvious that our standard means for manipulation of $+$ apply to plus . We could recover our ordinary results if we could show $\text{PA} \vdash x + y = \text{plus}(x, y)$. And similar comments apply to other ordinary functions and relations. Thus initially we seek to show that defined relations functions are equivalent to ones with which we are familiar. Again many details are shifted to exercises and/or answers to exercises.

Equivalencies. We begin with equivalences between functions and relations already defined in PA, and ones that result by T13.21 and T13.32. So we begin with functions and relations from \mathcal{L}_{NT} including S , $+$, \times , $=$, \leq , $<$, truth functional operators, bounded quantifiers and bounded minimization. Given the way recursive functions are constructed, these will require a few additional notions along the way.

As a preliminary, however, we require a result that is fundamental to every case where a function is defined by recursion. As above let $\mathcal{F}(\vec{x}, y, v)$ be,

$$\exists p \exists q [\beta(p, q, \emptyset) = g(\vec{x}) \wedge (\forall i < y) h(\vec{x}, i, \beta(p, q, i)) = \beta(p, q, Si) \wedge \beta(p, q, y) = v]$$

and suppose $\text{PA} \vdash v = f(\vec{x}, y) \leftrightarrow \mathcal{F}(\vec{x}, y, v)$ so that $f(\vec{x}, y)$ is defined by recursion. Then the standard recursive conditions apply. That is,

T13.33. Suppose $f(\vec{x}, y)$ is defined by $g(\vec{x})$ and $h(\vec{x}, y, u)$ so that $\text{PA} \vdash v = f(\vec{x}, y) \leftrightarrow \mathcal{F}(\vec{x}, y, v)$. Then,

(a) $\text{PA} \vdash f(\vec{x}, \emptyset) = g(\vec{x})$

(b) $\text{PA} \vdash f(\vec{x}, S(y)) = h(\vec{x}, y, f(\vec{x}, y))$

Hint: (a) follows easily in 6 lines with $\exists p \exists q [\beta(p, q, \emptyset) = g(\vec{x}) \wedge (\forall i < \emptyset) h(\vec{x}, i, \beta(p, q, i)) = \beta(p, q, Si) \wedge \beta(p, q, \emptyset) = f(\vec{x}, \emptyset)]$. For (b),

1.	$\exists p \exists q [\beta(p, q, \emptyset) = g(\vec{x}) \wedge (\forall i < Sy) h(\vec{x}, i, \beta(p, q, i)) = \beta(p, q, Si) \wedge \beta(p, q, Sy) = f(\vec{x}, Sy)]$	def
2.	$\beta(a, b, \emptyset) = g(\vec{x}) \wedge (\forall i < Sy) h(\vec{x}, i, \beta(a, b, i)) = \beta(a, b, Si) \wedge \beta(a, b, Sy) = f(\vec{x}, Sy)$	A (g 13E)
3.	$(\forall i < Sy) h(\vec{x}, i, \beta(a, b, i)) = \beta(a, b, Si)$	2 \wedge E
4.	$y < Sy$	T13.13h
5.	$h(\vec{x}, y, \beta(a, b, y)) = \beta(a, b, Sy)$	3,4 (\forall E)
6.	$\beta(a, b, Sy) = f(\vec{x}, Sy)$	2 \wedge E
7.	$f(\vec{x}, Sy) = h(\vec{x}, y, \beta(a, b, y))$	5,6 =E
8.	$\beta(a, b, \emptyset) = g(\vec{x})$	2 \wedge E
9.	$j < y$	A (g (\forall I))
10.	$j < Sy$	9 and T13.13h
11.	$h(\vec{x}, j, \beta(a, b, j)) = \beta(a, b, Sj)$	3,10 (\forall E)
12.	$(\forall i < y) h(\vec{x}, i, \beta(a, b, i)) = \beta(a, b, Si)$	9-11 (\forall I)
13.	$\beta(a, b, y) = \beta(a, b, y)$	=I
14.	$\beta(a, b, \emptyset) = g(\vec{x}) \wedge (\forall i < y) h(\vec{x}, i, \beta(a, b, i)) = \beta(a, b, Si) \wedge \beta(a, b, y) = \beta(a, b, y)$	8,12,13 \wedge I
15.	$\exists p \exists q [\beta(p, q, \emptyset) = g(\vec{x}) \wedge (\forall i < y) h(\vec{x}, i, \beta(p, q, i)) = \beta(p, q, Si) \wedge \beta(p, q, y) = \beta(a, b, y)]$	14 \exists I
16.	$f(\vec{x}, y) = \beta(a, b, y)$	15 def
17.	$f(\vec{x}, Sy) = h(\vec{x}, y, f(\vec{x}, y))$	7,16 =E
18.	$f(\vec{x}, S(y)) = h(\vec{x}, y, f(\vec{x}, y))$	1,2-17 \exists E

The key stages of this argument are at (7) which has the result with $\beta(a, b, y)$ where we want $f(\vec{x}, y)$ and then (16) which shows they are one and the same.

From this theorem, our defined functions behave like ones we have seen before, with clauses for the basis and then for successor. This lets us manipulate the functions very much as before. The importance of this point will emerge shortly, in application to recursive cases.

With this theorem we are in a position to show that definitions of functions and relations from chapter 12 are “coordinate” with definitions in PA.

CF The definition of a recursive function is *coordinate* with its definition in PA iff,

- (i) $f(\vec{x})$ is an initial function $\text{init}(\vec{x})$ and $f(\vec{x})$ is $\text{init}(\vec{x})$
- (c) $f(\vec{x}, \vec{y}, \vec{z})$ is defined from $g(\vec{y})$ and $h(\vec{x}, w, \vec{z})$ by composition so that $f(\vec{x}, \vec{y}, \vec{z}) = h(\vec{x}, g(\vec{y}), \vec{z})$, and for coordinate $g(\vec{x})$ and $h(\vec{x}, w, \vec{z})$, $\text{PA} \vdash f(\vec{x}, \vec{y}, \vec{z}) \leftrightarrow h(\vec{x}, g(\vec{y}), \vec{z})$.
- (r) $f(\vec{x}, y)$ is defined from $g(\vec{x})$ and $h(\vec{x}, y, u)$ by recursion so that $f(\vec{x}, 0) = g(\vec{x})$ and $f(\vec{x}, Sy) = h(\vec{x}, y, f(\vec{x}, y))$ and for coordinate $g(\vec{x})$ and $h(\vec{x}, y, u)$, $\text{PA} \vdash f(\vec{x}, 0) = g(\vec{x})$ and $\text{PA} \vdash f(\vec{x}, Sy) = h(\vec{x}, y, f(\vec{x}, y))$.
- (m) $f(\vec{x}, y)$ is defined from $g(\vec{x}, y)$ by friendly regular minimization so that $f(\vec{x}) = \mu y[g(\vec{x}, y)]$ and for coordinate $g(\vec{x}, y)$, $\text{PA} \vdash f(\vec{x}) = \mu y[g(\vec{x}, y)]$.

CR The definition of a recursive relation is *coordinate* with its definition in PA iff,

- (a) $R(\vec{x})$ is an atomic $\text{ATOM}(\vec{x})$ and $R(\vec{x})$ is $\text{Atom}(\vec{x})$.
- (o) $R(\vec{x})$ is defined from an operator OP and relations $P_1(\vec{x}) \dots P_n(\vec{x})$ so that $R(\vec{x})$ is $\text{OP}(P_1(\vec{x}) \dots P_n(\vec{x}))$ and for coordinate $P_1(\vec{x}) \dots P_n(\vec{x})$, $\text{PA} \vdash R(\vec{x}) \leftrightarrow \text{Op}(P_1(\vec{x}) \dots P_n(\vec{x}))$.

T13.34. (a) For any friendly recursive function $r(\vec{x})$ and original formula $\mathcal{R}(\vec{x}, v)$ by which it is expressed and captured, PA defines a coordinate function $r(\vec{x})$ such that $\text{PA} \vdash v = r(\vec{x}) \leftrightarrow \mathcal{R}(\vec{x}, v)$. And (b) for any friendly recursive relation $R(\vec{x})$ with characteristic function $\text{ch}_R(\vec{x})$, PA defines a coordinate relation $R(\vec{x})$ such that $\text{PA} \vdash R(\vec{x}) \leftrightarrow \text{ch}_R(\vec{x}) = 0$.

The argument is by simple review of arguments for T13.21 and T13.32 together with T13.33.

From this theorem we simply “write down” claims for defined functions and relations directly from the recursive definitions. So, for example from the definition for $\text{plus}(x, y)$ on p. 554, $\text{PA} \vdash \text{plus}(x, 0) = \text{idnt}_1^l(x)$ and $\text{PA} \vdash \text{plus}(x, Sy) = \text{suc}(\text{idnt}_3^3(x, y, \text{plus}(x, y)))$. Again, the defined symbol plus is not the same as the primitive symbol $+$. But now we are in a position to show that the functions are equivalent.

T13.35. The following result in PA.

- (a) $\text{PA} \vdash \text{suc}(x) = Sx$

1. $v = \text{suc}(x) \leftrightarrow Sx = v$	def <i>suc</i>
2. $\text{suc}(x) = \text{suc}(x) \leftrightarrow Sx = \text{suc}(x)$	1 $\forall E$
3. $\text{suc}(x) = \text{suc}(x)$	$=I$
4. $\text{suc}(x) = Sx$	2,3 $=E$

- (b) $\text{PA} \vdash \text{zero}(x) = \emptyset$
- (c) $\text{PA} \vdash \text{idnt}_k^j(x_1 \dots x_j) = x_k$
- (d) $\text{PA} \vdash \text{plus}(x, y) = x + y$
- (e) $\text{PA} \vdash \text{times}(x, y) = x \times y$

The first line of (a) is from T13.21. Arguments for (a) - (c) are very much the same and nearly trivial. Arguments for (d) and (e) are by IN. Here is the case for (d) as an example.

1.	$\text{gplus}(x) = \text{idnt}_1^1(x)$	def from plus, T13.34
2.	$\text{gplus}(x) = x$	1 with T13.35c
3.	$\text{plus}(x, \emptyset) = \text{gplus}(x)$	T13.34
4.	$\text{plus}(x, \emptyset) = x$	3,2 =E
5.	$x + \emptyset = x$	T6.40
6.	$\text{plus}(x, \emptyset) = x + \emptyset$	4,5 =E
7.	$\text{plus}(x, j) = x + j$	A ($g \rightarrow I$)
8.	$\text{plus}(x, Sj) = \text{hplus}(x, j, \text{plus}(x, j))$	T13.34
9.	$\text{hplus}(x, j, u) = \text{suc}(\text{idnt}_3^3(x, j, u))$	def from plus, T13.34
10.	$\text{hplus}(x, j, u) = Su$	9 with T13.35a,c
11.	$\text{hplus}(x, j, \text{plus}(x, j)) = S \text{plus}(x, j)$	10 $\forall E$
12.	$\text{plus}(x, Sj) = S \text{plus}(x, j)$	8,11 =E
13.	$\text{plus}(x, Sj) = S(x + j)$	12,7 =E
14.	$S(x + j) = x + Sj$	T6.41
15.	$\text{plus}(x, Sj) = x + Sj$	13,14 =E
16.	$[\text{plus}(x, j) = x + j] \rightarrow [\text{plus}(x, Sj) = x + Sj]$	7-15 $\rightarrow I$
17.	$\forall y([\text{plus}(x, y) = x + y] \rightarrow [\text{plus}(x, Sy) = x + Sy])$	16 $\forall I$
18.	$\text{plus}(x, y) = x + y$	6,17 IN

Again, we simply write down the expressions on (1) and (9) with T13.34; and on (3) and (8) T13.34 makes the conditions for $\text{plus}(x, y)$ work like the ones for $x + y$ — so that with zero and inductive cases, the equivalence results by IN.

So this theorem establishes the equivalences we expect for the defined symbols *suc*, *zero*, *idnt*, *plus* and *times*. Again, $+$, \times and the like are primitive symbols of \mathcal{L}_{NT} where *plus* and *times* are defined according to our induction from the corresponding recursive functions. Having shown that the functions are equivalent, however, we may manipulate the one with all the results we have achieved for the other.

Some additional results will be facilitated by a couple of auxiliary definitions. *pred*(*y*), *sg*(*y*) and *csg*(*y*) are defined directly, without appeal to recursive functions — but still behave as we expect.

Def[pred] $\text{PA} \vdash \text{pred}(y) = y \dot{-} \bar{1}$

Since this is a composition of functions, immediate by T13.17.

Def[sg] $\text{PA} \vdash v = \text{sg}(y) \leftrightarrow (y = \emptyset \wedge v = \emptyset) \vee (y > \emptyset \wedge v = S\emptyset)$

(i) $\text{PA} \vdash \exists v[(y = \emptyset \wedge v = \emptyset) \vee (y > \emptyset \wedge v = \bar{1})]$

(ii) $\text{PA} \vdash \forall u \forall v \{[(y = \emptyset \wedge u = \emptyset) \vee (y > \emptyset \wedge u = \bar{1})] \wedge ((y = \emptyset \wedge v = \emptyset) \vee (y > \emptyset \wedge v = \bar{1})) \rightarrow u = v\}$

Def[csg] $\text{PA} \vdash v = \text{csg}(y) \leftrightarrow (y = \emptyset \wedge v = \bar{1}) \vee (y > \emptyset \wedge v = \emptyset)$

(i) $\text{PA} \vdash \exists v[(y = \emptyset \wedge v = \bar{1}) \vee (y > \emptyset \wedge v = \emptyset)]$

(ii) $\text{PA} \vdash \forall u \forall v \{[(y = \emptyset \wedge u = \bar{1}) \vee (y > \emptyset \wedge u = \emptyset)] \wedge ((y = \emptyset \wedge v = \bar{1}) \vee (y > \emptyset \wedge v = \emptyset)) \rightarrow u = v\}$

And some basic results on these notions,

T13.36. The following result in PA.

- (a) $\text{PA} \vdash \text{pred}(\emptyset) = \emptyset$
- (b) $\text{PA} \vdash \text{pred}(\bar{1}) = \emptyset$
- (c) $\text{PA} \vdash y > \emptyset \rightarrow \text{Spred}(y) = y$
- (d) $\text{PA} \vdash \text{pred}(Sy) = y$
- (e) $\text{PA} \vdash y = \emptyset \leftrightarrow \text{sg}(y) = \emptyset$
- (f) $\text{PA} \vdash y > \emptyset \leftrightarrow \text{sg}(y) = \bar{1}$
- (g) $\text{PA} \vdash y = \emptyset \leftrightarrow \text{csg}(y) = \bar{1}$
- (h) $\text{PA} \vdash y > \emptyset \leftrightarrow \text{csg}(y) = \emptyset$

(a) - (d) recover from the definition some basic results for *pred*. (e) and (f) extract basic information for the behavior of *sg*; and then (g) and (h) for *csg*.

And given these notions in PA, we can build on them for another set of equivalents.

*T13.37. The following result in PA.

$$(a) \text{ PA } \vdash \textit{pred}(y) = \textit{pred}(y)$$

$$*(b) \text{ PA } \vdash \textit{subc}(x, y) = x \dot{-} y$$

$$(c) \text{ PA } \vdash \textit{absval}(x - y) = (x \dot{-} y) + (y \dot{-} x)$$

$$(d) \text{ PA } \vdash \textit{sg}(y) = \textit{sg}(y)$$

$$(e) \text{ PA } \vdash \textit{csg}(y) = \textit{csg}(y)$$

$$*(f) \text{ PA } \vdash \textit{Eq}(x, y) \leftrightarrow x = y$$

$$(g) \text{ PA } \vdash \textit{Leq}(x, y) \leftrightarrow x \leq y$$

$$(h) \text{ PA } \vdash \textit{Less}(x, y) \leftrightarrow x < y$$

$$*(i) \text{ PA } \vdash \textit{Neg}(\mathcal{P}(\vec{x})) \leftrightarrow \sim \mathcal{P}(\vec{x})$$

$$(j) \text{ PA } \vdash \textit{Dsj}(\mathcal{P}(\vec{x}), \mathcal{Q}(\vec{y})) \leftrightarrow \mathcal{P}(\vec{x}) \vee \mathcal{Q}(\vec{y})$$

Hints. (b): This works in the usual way up to the point in the show stage where you get $\textit{subc}(x, Sj) = \textit{pred}(x \dot{-} j)$; then it will take some work to show $x \dot{-} Sj = \textit{pred}(x \dot{-} j)$; for this begin with $x \leq j \vee x > j$ by T13.13q; the first case is straightforward; for the second, you will be able to show, $S(x \dot{-} Sj) = \textit{Spred}(x \dot{-} j)$ and apply T6.39. (f): For this relation, you have $\textit{Eq}(x, y) \leftrightarrow \textit{sg}(\textit{absval}(x - y)) = \emptyset$ from the def EQ and T13.34; this gives $\textit{Eq}(x, y) \leftrightarrow [(x \dot{-} y) + (y \dot{-} x)] = \emptyset$; now for \leftrightarrow I, the case from $x = y$ is easy; from $\textit{Eq}(x, y)$, you have $x \geq y \vee x < y$ from T13.13q; the cases are not hard and similar (since $x < y$ gives $y \geq x$). (i): This is straightforward with $\mathcal{P}(\vec{x}) \leftrightarrow \textit{ch}_p(\vec{x}) = \emptyset$ and $\textit{Neg}(\mathcal{P}(\vec{x})) \leftrightarrow \textit{csg}(\textit{ch}_p(\vec{x})) = \emptyset$ from NEG with T13.34.

So this theorem delivers the equivalences we expect for \textit{pred} , \textit{subc} , \textit{absval} , \textit{sg} , \textit{csg} , \textit{Eq} , \textit{Leq} , \textit{Less} , \textit{Neg} , and \textit{Dsj} . Given this, we will typically move without comment from some $\text{PA} \vdash \textit{Dsj}(A, B)$ given from T13.34 to $\text{PA} \vdash A \vee B$. And similarly in other cases.

We pause to remark on a on a simple consequence for characteristic functions. Recall from (CF) that a characteristic function is (officially) of the sort $\text{sg}(\mathcal{P}(\vec{x}))$ so that,

T13.38. For any recursive characteristic function $ch_R(\vec{x})$, $PA \vdash ch_R(\vec{x}) = \emptyset \vee ch_R(\vec{x}) = \bar{1}$.

From (CF), $ch_R(\vec{x})$ is of the sort $sg(p(\vec{x}))$; so with T13.34, $PA \vdash ch_R(\vec{x}) = sg(p(\vec{x}))$. The result is nearly immediate with $PA \vdash p(\vec{x}) = \emptyset \vee p(\vec{x}) > \emptyset$ and results for sg .

It is worth observing that this theorem, which depends on results for functions through T13.37d, is independent of any applications of T13.32 or T13.34b for relations. There is therefore no problem about appeal to T13.38 in the demonstration of T13.32.

Now reasoning for the bounded quantifiers, bounded minimization and a couple relations built on them.

*T13.39. The following result in PA.

- *(a) $PA \vdash (\exists y \leq z) P(\vec{x}, z, y) \leftrightarrow (\exists y < z) P(\vec{x}, z, y)$
- (b) $PA \vdash (\exists y < z) P(\vec{x}, z, y) \leftrightarrow (\exists y < z) P(\vec{x}, z, y)$
- (c) $PA \vdash (\forall y \leq z) P(\vec{x}, z, y) \leftrightarrow (\forall y < z) P(\vec{x}, z, y)$
- (d) $PA \vdash (\forall y < z) P(\vec{x}, z, y) \leftrightarrow (\forall y < z) P(\vec{x}, z, y)$
- *(e) $PA \vdash (\mu y \leq z) P(\vec{x}, z, y) \leftrightarrow (\mu y \leq z) P(\vec{x}, z, y)$
- (f) $PA \vdash Fctr(m, n) \leftrightarrow m|n$
- *(g) $PA \vdash Prime(n) \leftrightarrow Pr(n)$

Hints. (a): Recall from chapter 12 that $s(\vec{x}, z) = (\exists y \leq z) P(\vec{x}, z, y)$ is defined by means of a $R(\vec{x}, z, n)$ corresponding to $(\exists y \leq n) P(\vec{x}, z, y)$; the main argument is to show by IN that $PA \vdash ch_R(\vec{x}, z, n) = \emptyset \leftrightarrow (\exists y \leq n) P(\vec{x}, z, y)$. You have $P(\vec{x}, z, y) \leftrightarrow ch_p(\vec{x}, z, y) = \emptyset$ from T13.32. For the zero case, you have $ch_R(\vec{x}, z, \emptyset) = gch_R(\vec{x}, z)$, and $gch_R(\vec{x}, z) = ch_p(\vec{x}, z, \emptyset)$ from the definitions with T13.34; for the main reasoning, you have $ch_R(\vec{x}, z, Sj) = \mathbb{I}ch_R(\vec{x}, z, j, ch_R(x, z, j))$, and $\mathbb{I}ch_R(\vec{x}, z, j, u) = times[u, ch_p(\vec{x}, z, suc(j))]$ from the definitions with T13.34; once you have finished the induction, it is a simple matter of applying $ch_s(\vec{x}, z) = ch_R(\vec{x}, z, z)$ from the definition, and where where $S(\vec{x}, z)$ just abbreviates $(\exists y \leq z) P(\vec{x}, z, y)$, applying $S(\vec{x}, z) \leftrightarrow ch_s(\vec{x}, z) = \emptyset$ to get $(\exists y \leq z) P(\vec{x}, z, y) \leftrightarrow (\exists y \leq z) P(\vec{x}, z, y)$. (f) and (g): Give previous results, these have nearly matching definitions except that the recursive side includes a bounded quantifier — so that you have to work to show the bound obtains for one direction of the biconditional.

The argument for T13.39e is particularly involved. Recall from chapter 12 that $m(\vec{x}, z) = (\mu y \leq z)P(\vec{x}, z, y)$ is defined by means of $R(\vec{x}, z, n)$ as above and a $q(\vec{x}, z, n)$ corresponding to $(\mu y \leq n)P(\vec{x}, z, y)$. The main reasoning is by IN to show $q(\vec{x}, z, n) = (\mu y \leq n)P(\vec{x}, z, y)$; here are the main outlines of that part.

1.	$q(\vec{x}, z, \emptyset) = (\mu y \leq \emptyset)P(\vec{x}, z, y)$	[a]
2.	$ch_R(\vec{x}, z, j) = \emptyset \vee ch_R(\vec{x}, z, j) = \bar{1}$	T13.38
3.	$ch_R(\vec{x}, z, j) = \emptyset \leftrightarrow (\exists y \leq j)P(\vec{x}, z, y)$	from T13.39a
4.	$q(\vec{x}, z, Sj) = hq(\vec{x}, z, j, q(\vec{x}, z, j))$	T13.33b
5.	$hq(\vec{x}, z, j, u) = plus(u, ch_R(\vec{x}, z, j))$	def from least, T13.34
6.	$hq(\vec{x}, z, j, u) = u + ch_R(\vec{x}, z, j)$	5 T13.35d
7.	$hq(\vec{x}, z, j, q(\vec{x}, z, j)) = q(\vec{x}, z, j) + ch_R(\vec{x}, z, j)$	6 $\forall E$
8.	$q(\vec{x}, z, Sj) = q(\vec{x}, z, j) + ch_R(\vec{x}, z, j)$	4,7 $=E$
9.	$q(\vec{x}, z, j) = (\mu y \leq j)P(\vec{x}, z, y)$	A ($g \rightarrow I$)
10.	$a = q(\vec{x}, z, j)$	abv
11.	$b = q(\vec{x}, z, Sj)$	abv
12.	$b = a + ch_R(\vec{x}, z, j)$	8,10,11 $=E$
13.	$a = (\mu y \leq j)P(\vec{x}, z, y)$	9,10 $=E$
14.	$a = \mu y[y = j \vee P(\vec{x}, z, y)]$	13 def
15.	$(\forall w < a)[w \neq j \wedge \sim P(\vec{x}, z, w)]$	14 T13.19c
16.	$a = j \vee P(\vec{x}, z, a)$	14 T13.19b
17.	$a = j$	A (g 16 $\vee E$)
18.	$\sim P(\vec{x}, z, j) \vee P(\vec{x}, z, j)$	T3.1
19.	$\sim P(\vec{x}, z, j)$	A (g 18 $\vee E$)
20.	$[b = Sj \vee P(\vec{x}, z, b)] \wedge (\forall w < b)(w \neq Sj \wedge \sim P(\vec{x}, z, w))$	[b]
21.	$P(\vec{x}, z, j)$	A (g 18 $\vee E$)
22.	$[b = Sj \vee P(\vec{x}, z, b)] \wedge (\forall w < b)(w \neq Sj \wedge \sim P(\vec{x}, z, w))$	[c]
23.	$[b = Sj \vee P(\vec{x}, z, b)] \wedge (\forall w < b)(w \neq Sj \wedge \sim P(\vec{x}, z, w))$	18,19-20,21-22 $\vee E$
24.	$P(\vec{x}, z, a)$	A (g 16 $\vee E$)
25.	$[b = Sj \vee P(\vec{x}, z, b)] \wedge (\forall w < b)(w \neq Sj \wedge \sim P(\vec{x}, z, w))$	[d]
26.	$[b = Sj \vee P(\vec{x}, z, b)] \wedge (\forall w < b)(w \neq Sj \wedge \sim P(\vec{x}, z, w))$	16,17-23,24-25 $\vee E$
27.	$b = \mu y[y = Sj \vee P(\vec{x}, z, y)]$	26 def μ
28.	$b = (\mu y \leq Sj)P(\vec{x}, z, y)$	27 def
29.	$q(\vec{x}, z, Sj) = (\mu y \leq Sj)P(\vec{x}, z, y)$	28 abv
30.	$[q(\vec{x}, z, j) = (\mu y \leq j)P(\vec{x}, z, y)] \rightarrow [q(\vec{x}, z, Sj) = (\mu y \leq Sj)P(\vec{x}, z, y)]$	9-29 $\rightarrow I$
31.	$\forall n([q(\vec{x}, z, n) = (\mu y \leq n)P(\vec{x}, z, y)] \rightarrow [q(\vec{x}, z, Sn) = (\mu y \leq Sn)P(\vec{x}, z, y)])$	30 $\forall I$
32.	$q(\vec{x}, z, n) = (\mu y \leq n)P(\vec{x}, z, y)$	1,31 IN

Hints: The zero case (a) is straightforward with T13.20a; for (b) you will be able to show that $b = Sj$; for (c) and (d) you will be able to show $b = a$. And the final result is nearly automatic from this.

T13.39 delivers the equivalences we expect for the bounded quantifiers, bounded minimization, factor and prime.

At this stage, we have defined in PA functions and relations corresponding to the recursive functions and relations. And we have taken advantage of equivalences to functions and relations already defined. Thus supposing T is a theory including PA, we are in a position simply to write down the following.

T13.40. The following are theorems of PA:

- (a) $PA \vdash \text{Axiomad1}(n) \leftrightarrow (\exists p \leq n)(\exists q \leq n)[\mathcal{Wff}(p) \wedge \mathcal{Wff}(q) \wedge n = \text{end}(p, \text{end}(q, p))]$
and similarly for the other axioms
- (b) $PA \vdash \text{Axiompa}(n) \leftrightarrow \text{Axiomad1}(n) \vee \dots \vee \text{Axiomq1}(n) \vee \dots \vee \text{Axiompa7}(n)$
- (c) $PA \vdash \mathcal{M}p(m, n, o) \leftrightarrow \text{end}(n, o) = m$
- (d) $PA \vdash \mathcal{G}en(m, n) \leftrightarrow (\exists v \leq n)[\mathcal{V}ar(v) \wedge n = \mathcal{u}nv(v, m)]$
- (e) $PA \vdash \mathcal{I}con(m, n, o) \leftrightarrow \mathcal{M}p(m, n, o) \vee (m = n \wedge \mathcal{G}en(n, o))$
- (f) $PA \vdash \mathcal{P}rft(m, n) \leftrightarrow \text{exp}(m, \text{len}(m) \dot{-} \bar{1}) = n \wedge m > \bar{1} \wedge (\forall k < \text{len}(m))[\text{Axiomt}(\text{exp}(m, k)) \vee (\exists i < k)(\exists j < k)\mathcal{I}con(\text{exp}(m, i), \text{exp}(m, j), \text{exp}(m, k))]$

These follow directly from our results with recursive definitions. So for example, the definition $\mathcal{M}p$, with T13.34 gives us, say, $PA \vdash \mathcal{M}p(m, n, o) \leftrightarrow \mathcal{E}q(\text{end}(n, o), m)$; then with T13.37f, we arrive at (c). And similarly in other cases.

Where $\mathcal{M}p$, end and the like are defined relative to corresponding recursive functions, it is important that the *operators* in expressions above are the ordinary operators of \mathcal{L}_{NT} . Thus we shall be able to manipulate the expressions in the usual ways. We shall find these results useful for the following!

E13.22. Produce derivations to show T13.33a and T13.35e. Hard core: show the remaining cases from T13.35.

E13.23. Show (i) of the condition for $\text{Def}[\text{pred}]$ and then T13.36c. Hard core: Show each of the conditions for $\text{Def}[\text{pred}]$, $\text{Def}[\text{sg}]$ and $\text{Def}[\text{csg}]$ and all of the results in T13.36.

*E13.24. Show a, g and j from T13.37. Hard core: Demonstrate each of the results in T13.37.

*E13.25. Show T13.39a. Hard core: show T13.38 along with each of the results in T13.39.

Further results. T13.40 gives us functions in PA corresponding to all the ones from chapter 12. Now we require the ability to manipulate them. Thus we begin with some results for exponentiation, factorial and the like, and continue through to complex notions including \mathbb{Wff} and $forms_{sub}$. At this stage, we are acquiring results, not by demonstrating equivalence to expressions already defined (since there are no such expressions already defined), but by showing them directly for symbols defined for the recursive functions.

*T13.41. The following are theorems of PA.

- (a) (i) $PA \vdash m^{\emptyset} = \bar{1}$
(ii) $PA \vdash m^{S^n} = m^n \times m$
- (b) $PA \vdash m^{\bar{1}} = m$
- (c) $PA \vdash \bar{2}^{\bar{2}} = \bar{4}$
- (d) $PA \vdash a > \emptyset \rightarrow \emptyset^a = \emptyset$
- (e) $PA \vdash m^a \times m^b = m^{a+b}$
- (f) $PA \vdash m \geq n \rightarrow m^a \geq n^a$
- (g) $PA \vdash pred(m^b) | m^{a+b}$
- (h) $PA \vdash (a > \emptyset \wedge m > \bar{1}) \rightarrow pred(m^{a+b}) \nmid m^b$
- (i) $PA \vdash m > \emptyset \rightarrow m^a > \emptyset$
- (j) $PA \vdash (m > \emptyset \wedge a \geq b) \rightarrow m^a \geq m^b$
- (k) $PA \vdash (m > \bar{1} \wedge a > b) \rightarrow m^a > m^b$
- (l) $PA \vdash a > \emptyset \rightarrow m^a \geq m$
- *(m) $PA \vdash m > \bar{1} \rightarrow a < m^a$

$$(n) \text{ PA } \vdash m > \bar{1} \rightarrow (m^a = m^b \rightarrow a = b)$$

Hints: (a) is from the the definition of **power** and prior results. For (c) take a look at E6.35e. (e) uses IN on the value of b and (f) uses IN on a . (g) is straightforward with cases for $m^b = \emptyset$ and $m^b > \emptyset$. (i), (j), (k) and (m) are by IN. For (t1453:13), $a < b \vee a = b \vee b < a$; but the first and last are impossible.

(a) gives the recursive conditions from which the rest follow. Then (b) - (n) are basic results that should be accessible from ordinary arithmetic.

***T13.42.** The following are theorems of PA.

- (a) (i) $\text{PA} \vdash \text{fact}(\emptyset) = \bar{1}$
(ii) $\text{PA} \vdash \text{fact}(Sn) = \text{fact}(n) \times Sn$
- (b) $\text{PA} \vdash \text{fact}(\bar{1}) = \bar{1}$
- (c) $\text{PA} \vdash \text{fact}(n) > \emptyset$
- (d) $\text{PA} \vdash (\forall y < n) y \mid \text{fact}(n)$

$$*(e) (\exists y \leq \text{fact}(n) + \bar{1})[n < y \wedge \text{Pr}(y)]$$

Hints: (a) is from the definition of **fact** and prior results. (c) and (d) are straightforward by IN. Reasoning for (e) is like (G2) in the **arithmetic for Gödel numbering** reference once you realize that all the primes less than n are included in $\text{fact}(n)$.

These are some basic results for factorial. Again (a) gives the recursive conditions from which the rest follow. (b) is a simple particular fact; and the result from (c) is obvious. (d) is a consequence of the way the factorial includes successors of all the numbers less than it. We will be able to take advantage of (e) immediately below.

***T13.43.** The following are theorems of PA.

- (a) (i) $\text{PA} \vdash \text{pi}(\emptyset) = \bar{2}$
(ii) $\text{PA} \vdash \text{pi}(Sn) = (\mu y \leq \text{fact}(\text{pi}(n)) + \bar{1})[\text{pi}(n) < y \wedge \text{Pr}(y)]$
- (b) $(\exists y \leq \text{fact}(\text{pi}(n)) + \bar{1})[\text{pi}(n) < y \wedge \text{Pr}(y)]$
- (c) $\text{PA} \vdash \text{pi}(Sn) = \mu y[\text{pi}(n) < y \wedge \text{Pr}(y)]$

- (d) $PA \vdash \text{pi}(n) < \text{pi}(Sn) \wedge Pr(\text{pi}(Sn))$
- (e) $PA \vdash (\forall w < \text{pi}(Sn)) \sim [\text{pi}(n) < w \wedge Pr(w)]$
- (f) $PA \vdash Pr(\text{pi}(n))$
- (g) $PA \vdash \text{pi}(n) > \bar{1}$
- (h) $PA \vdash \text{pi}(n)^a > \emptyset$
- (i) $PA \vdash a > \emptyset \rightarrow \text{pi}(n)^a > \bar{1}$
- (j) $PA \vdash Spred(\text{pi}(n)^a) = \text{pi}(n)^a$
- (k) $PA \vdash (\forall m < n) \text{pi}(m) < \text{pi}(n)$
- (l) $PA \vdash (\forall m \leq n) Sm < \text{pi}(n)$
- *(m) $PA \vdash \forall y [Pr(y) \rightarrow \exists j \text{pi}(j) = y]$
- *(n) $PA \vdash m \neq n \rightarrow pred(\text{pi}(m)) \nmid \text{pi}(n)^a$
- (o) $PA \vdash m \neq n \rightarrow pred(\text{pi}(m)^{Sb}) \nmid \text{pi}(n)^a$
- *(p) $PA \vdash [m \neq n \wedge pred(\text{pi}(m)^b) | (s \times \text{pi}(n)^a)] \rightarrow pred(\text{pi}(m)^b) | s$

Hints: (a) is from definition pi and prior results. (b) is from T13.42e; (c) applies T13.20.b; and then (d) and (e) are by T13.19(b) and (c). (f), (k) and (l) are simple inductions. (m) is by using IN on i to show $(\forall y \leq \text{pi}(i)) [Pr(y) \rightarrow \exists j \text{pi}(j) = y]$; the result then follows easily with (l). Under the assumption for $\rightarrow I$, (n) is by IN on a . For (o) you will be able to show that if $pred(\text{pi}(m)^{Sb}) | \text{pi}(n)^a$ then $pred(\text{pi}(m)) | \text{pi}(n)^a$ and use (n). For (p) under the assumption for $\rightarrow I$ you will be able to show $i \leq b \rightarrow pred(\text{pi}(m)^i) | s$ by induction on i ; the result then follows easily with $b \leq b$.

These are some basic results from prime sequences. (a) gives the basic recursive conditions. (b) is an existential result; then (c) extracts the successor condition from bounded to unbounded minimization; this allows application of the definition in (d) and (e). (f) - (j) are some simple consequences of the fact that $\text{pi}(n)$ is prime. Then the primes are ordered (k). And (l) each prime is greater than the successor of its index. (m) every prime appears as some $\text{pi}(j)$. And (n) - (p) echo results for factor except combined with primes and exponentiation.

(b) and then (c) - (e) are a first instance of a pattern we shall see repeatedly: Given a bounded condition $a = (\mu x \leq t) \mathcal{P}(x)$ of the sort that arises from a recursive definition, we show there exists some $\mathcal{P}(x)$ less than or equal to the bound; this allows application of T13.20.b to “extract” the bounded to an unbounded minimization, and then T13.19 to obtain $\mathcal{P}(a)$; this forms the basis for further results.

In order to manipulate exp , it will be convenient to introduce a function ex , that finds the least exponent x such that $\underline{pi}(i)^x$ does *not* divide Sn .

Def[ex] $ex(n, i) = \mu x[pred(\underline{pi}(i)^x) \nmid Sn]$

(i) $PA \vdash \exists x[pred(\underline{pi}(i)^x) \nmid Sn]$

- | | | |
|----|--|---------------|
| 1. | $\underline{pi}(i) > \bar{1}$ | T13.43g |
| 2. | $Sn < \underline{pi}(i)^{Sn}$ | 1 T13.41m |
| 3. | $Spred(\underline{pi}(i)^{Sn}) = \underline{pi}(i)^{Sn}$ | T13.43j |
| 4. | $Sn < Spred(\underline{pi}(i)^{Sn})$ | 2,3 =E |
| 5. | $n < pred(\underline{pi}(i)^{Sn})$ | 4 T13.13k |
| 6. | $pred(\underline{pi}(i)^{Sn}) \nmid Sn$ | 5 T13.24i |
| 7. | $\exists x[pred(\underline{pi}(i)^x) \nmid Sn]$ | 6 $\exists I$ |

*T13.44. The following are theorems of PA.

(a) $PA \vdash exp(n, i) = (\mu x \leq n)[pred(\underline{pi}(i)^x) \mid n \wedge pred(\underline{pi}(i)^{x+\bar{1}}) \nmid n]$

(b) $PA \vdash exp(\emptyset, i) = \emptyset$

*(c) $PA \vdash exp(Sn, i) = \mu x[pred(\underline{pi}(i)^x) \mid Sn \wedge pred(\underline{pi}(i)^{x+\bar{1}}) \nmid Sn]$

(d) $PA \vdash pred(\underline{pi}(i)^{exp(Sn, i)}) \mid Sn \wedge pred(\underline{pi}(i)^{exp(Sn, i)+\bar{1}}) \nmid Sn$

(e) $PA \vdash (\forall w < exp(Sn, i)) \sim [pred(\underline{pi}(i)^w) \mid Sn \wedge pred(\underline{pi}(i)^{w+\bar{1}}) \nmid Sn]$

(f) $PA \vdash [pred(\underline{pi}(i)^a) \mid Sn \wedge pred(\underline{pi}(i)^{a+\bar{1}}) \nmid Sn] \rightarrow exp(Sn, i) = a$

(g) $PA \vdash exp(m, j) \leq m$

(h) $PA \vdash j \geq n \rightarrow exp(Sn, j) = \emptyset$

(i) $PA \vdash exp(\underline{pi}(i)^p, i) = p$

(j) $PA \vdash i \neq j \rightarrow exp(\underline{pi}(i)^p, j) = \emptyset$

(k) $PA \vdash pred(\underline{pi}(i)) \mid Sm \leftrightarrow exp(Sm, i) \geq \bar{1}$

$$*(l) \text{ PA } \vdash \exists q[\underline{pi}(i)^{\exp(Sn,i)} \times q = Sn \wedge \text{pred}(\underline{pi}(i)) \nmid q \wedge \forall y(y \neq i \rightarrow \exp(q, y) = \exp(Sn, y))]$$

$$*(m) \text{ PA } \vdash \exp(Sm \times Sn, i) = \exp(Sm, i) + \exp(Sn, i)$$

Hints: (a) is from definition **exp** and prior results. (c) is by $\text{PA} \vdash (\exists x \leq Sn)[\text{pred}(\underline{pi}(i)^x) | Sn \wedge \text{pred}(\underline{pi}(i)^{x+1}) \nmid Sn]$ and then T13.20b; $\exp(n, i) = \emptyset \vee \exp(n, i) > \emptyset$; in the latter case, the trick is to generalize on the number prior to $\exp(n, i)$. (f) is by showing that $a = \mu x[\text{pred}(\underline{pi}(i)^x) | Sn \wedge \text{pred}(\underline{pi}(i)^{x+1}) \nmid Sn]$. (l): from $\text{pred}(\underline{pi}(i)^{\exp(Sn,i)}) | Sn$ there is a j such that $\underline{pi}(i)^{\exp(Sn,i)} \times j = Sn$; the hard part is to show $k \neq i \rightarrow \exp(j, k) = \exp(Sn, k)$ — for this, it will be helpful to establish that j is a successor. (m): toward an application of T13.44f it will be easy to establish that $\text{pred}(\underline{pi}(i)^{\exp(Sm,i) + \exp(Sn,i)}) | (Sm \times Sn)$; for the other conjunct, it will be helpful to begin with a couple applications of T13.44l.

(a) is from the definition. (b) is the standard result with bound \emptyset . (c) extracts the successor case from the bounded to an unbounded minimization; this allows application of the definition in (d) and (e). From (f) the reasoning goes the other way around: not only does the condition apply to the exponent, but if the condition applies to some a , then a is the exponent. Then (g) the exponent of some prime in the factorization of m cannot be greater than m ; and (h) a prime whose index is greater than or equal to n does not divide into Sn . (i) and (j) make an obvious connection for the exponent of a prime, and (k) between exponent and factor. According (l) once you divide Sn by $\underline{pi}(i)^{\exp(Sn,i)}$ times you are left with a q such that $\underline{pi}(i)$ does not divide into it any more, and such that the exponents of all the other primes remain the same as in Sn . From (m) the i^{th} exponent of a product sums the i^{th} exponents of its factors.

*T13.45. The following are theorems of PA.

$$(a) \text{ PA } \vdash \text{len}(n) = (\mu y \leq n)(\forall z \leq n)[z \geq y \rightarrow \exp(n, z) = \emptyset]$$

$$(b) \text{ PA } \vdash \text{len}(\emptyset) = \emptyset$$

$$(c) \text{ PA } \vdash \text{len}(Sn) = \mu y(\forall z \leq Sn)[z \geq y \rightarrow \exp(Sn, z) = \emptyset]$$

$$(d) \text{ PA } \vdash (\forall z \leq Sn)[z \geq \text{len}(Sn) \rightarrow \exp(Sn, z) = \emptyset]$$

$$(e) \text{ PA } \vdash (\forall w < \text{len}(Sn)) \sim (\forall z \leq Sn)[z \geq w \rightarrow \exp(Sn, z) = \emptyset]$$

$$(f) \text{ PA } \vdash \text{len}(\bar{1}) = \emptyset$$

$$(g) \text{ PA } \vdash \text{len}(m) > \emptyset \rightarrow m > \bar{1}$$

$$*(h) \text{ PA } \vdash \text{exp}(m, l) > \emptyset \rightarrow \text{len}(m) > l$$

$$(i) \text{ PA } \vdash (\forall k > l) \text{exp}(Sm, k) = \emptyset \rightarrow \text{len}(Sm) \leq Sl$$

$$(j) \text{ PA } \vdash m > \bar{1} \rightarrow \text{len}(m) > \emptyset$$

$$*(k) \text{ PA } \vdash p > \emptyset \rightarrow \text{len}(\overline{pi}(i)^p) = Si$$

$$(l) \text{ PA } \vdash (\forall z \geq \text{len}(n)) \text{exp}(n, z) = \emptyset$$

$$*(m) \text{ PA } \vdash \text{len}(n) = Sl \rightarrow \text{exp}(n, l) \geq \bar{1}$$

Hints: (a) is from definition [length](#) and prior results. (c) follows with T13.44h and existentially generalizing on Sn itself. (f) is by application of (c). Under the assumption for \rightarrow I, (h) divides into cases for $m = \emptyset$ and $m > \emptyset$; for the latter, suppose $\text{len}(m) \neq i$; then you will be able to make use of (d). (j) is straightforward with T13.25d and ultimately (h) above. For (k), begin with $\text{len}(\overline{pi}(i)^p) < Si \vee \text{len}(\overline{pi}(i)^p) = Si \vee \text{len}(\overline{pi}(i)^p) > Si$ by T13.13p; the first is easily eliminated with T13.45h; then, supposing $\text{len}(\overline{pi}(i)^p) > Si$, you will be able to obtain a contradiction using T13.45e. (l): under the assumption $a \geq \text{len}(n)$ for $(\forall$ I), either $n = \emptyset$ or $n > \emptyset$; the first case is easy; for the second, there is some m such that $n = Sm$; your main reasoning will be to show $\text{exp}(Sm, a) = \emptyset$. (m): under the assumption for \rightarrow I, the case when $n = \emptyset$ is impossible; so there is some m such that $n = Sm$; with this, suppose $\text{exp}(Sm, l) \not\geq \bar{1}$; then you will be able to show, contrary to your assumption that $\text{len}(Sm) = l$.

Again (a) is from the definition and (b) gives the standard result for bound \emptyset . (c) extracts the successor case from bounded to unbounded minimization; (d) and (e) then apply the definition. (f) is a simple particular result; and then (g) is an immediate consequence of (b) and (f). From (h) if an exponent of some prime in the factorization of m is greater than zero, that prime is involved in the factorization of m ; (j) gives the biconditional from (g); (k) gives the length for a prime to any power; and from (l) primes \geq the length of n must all have exponent \emptyset . Length is set up so that it finds the first prime such that it and all the ones after have exponent zero; so (m) the prime prior to the length has exponent $\geq \bar{1}$.

For the rest of this section including results for \star , it will be helpful to introduce a couple of auxiliary notions. First, $\text{exc}(m, n, i)$ which (indirectly) takes the value of the i^{th} exponent in the concatenation of m and n .

$$\begin{aligned} \text{PA} \vdash \text{exc}(m, n, i) &= (\mu y \leq \text{exp}(m, i) + \text{exp}(n, i \dot{-} \text{len}(m))) \\ &([i < \text{len}(m) \wedge y = \text{exp}(m, i)] \vee [i \geq \text{len}(m) \wedge y = \text{exp}(n, i \dot{-} \text{len}(m))]) \end{aligned}$$

Since the definition is by bounded minimization, no condition is required. The idea is simply to set y to one or the other of $\text{exp}(m, i)$ or $\text{exp}(n, i \dot{-} \text{len}(m))$ so that y takes the value of the i^{th} exponent in the concatenation of m and n . Then $\text{val}(n, i)$ returns the product of the first i primes in the factorization of n .

$$\begin{aligned} \text{PA} \vdash \text{val}(n, \emptyset) &= \bar{1} \\ \text{PA} \vdash \text{val}(n, Sy) &= \text{val}(n, y) \times \text{pi}(y)^{\text{exp}(n, y)} \end{aligned}$$

Similarly $\text{val}^*(m, n, i)$ is defined by recursion as follows.

$$\begin{aligned} \text{PA} \vdash \text{val}^*(m, n, \emptyset) &= \bar{1} \\ \text{PA} \vdash \text{val}^*(m, n, Sy) &= \text{val}^*(m, n, y) \times \text{pi}(y)^{\text{exc}(m, n, y)} \end{aligned}$$

So $\text{val}^*(m, n, i)$ returns the product of the first i primes in the factorization of the concatenation of m and n . Here are some results for these notions.

***T13.46.** The following are theorems of PA.

$$(a) \text{ PA} \vdash \text{exc}(m, n, i) = \mu y ([i < \text{len}(m) \wedge y = \text{exp}(m, i)] \vee [i \geq \text{len}(m) \wedge y = \text{exp}(n, i \dot{-} \text{len}(m))])$$

$$(b) \text{ PA} \vdash i < \text{len}(m) \rightarrow \text{exc}(m, n, i) = \text{exp}(m, i)$$

$$(c) \text{ PA} \vdash i \geq \text{len}(m) \rightarrow \text{exc}(m, n, i) = \text{exp}(n, i \dot{-} \text{len}(m))$$

$$(d) \text{ PA} \vdash \text{val}^*(m, n, i) > \emptyset$$

$$*(e) \text{ PA} \vdash (\forall i \geq a) \text{pred}(\text{pi}(i)) \nmid \text{val}^*(m, n, a)$$

$$*(f) \text{ PA} \vdash (\forall j < i) \text{exp}(\text{val}^*(m, n, i), j) = \text{exc}(m, n, j)$$

$$*(g) \text{ PA} \vdash (\forall i < \text{len}(m)) [\text{exp}(\text{val}^*(m, n, l), i) = \text{exp}(m, i)] \wedge \\ (\forall i < \text{len}(n)) [\text{exp}(\text{val}^*(m, n, l), i + \text{len}(m)) = \text{exp}(n, i)]$$

$$*(h) \text{ PA} \vdash [\text{pi}(l)^{m+n}]^l \geq \text{val}^*(m, n, l)$$

$$(i) \text{ PA} \vdash \text{val}(m, i) > \emptyset$$

$$(j) \text{ PA} \vdash \text{len}(\text{val}(a, j)) \leq j$$

$$(k) \text{ PA} \vdash \text{len}(\text{val}(a, j)) \leq \text{len}(a)$$

$$(l) \text{ PA} \vdash (\forall i < k) \text{exp}(m, i) = \text{exp}(\text{val}(m, k), i)$$

(m) $\text{PA} \vdash (\forall i < k) \exp(a, i) = \exp(b, i) \rightarrow \text{val}(a, k) = \text{val}(b, k)$

*(n) $x \geq \text{len}(Sn) \rightarrow \text{val}(Sn, x) = Sn$

corollary: $\text{PA} \vdash \text{val}(Sn, \text{len}(Sn)) = Sn$

*(o) $\text{PA} \vdash [\text{len}(n) \leq q \wedge (\forall k < \text{len}(n)) \exp(n, k) \leq r] \rightarrow [\text{pi}(q)^r]^q \geq \text{val}(n, \text{len}(n))$

Hints: (e) is by IN on a . (f) is by IN on i ; in the show under $(\forall j < i) \exp(\text{val}^*(m, n, i), j) = \text{exc}(m, n, j)$ and $a < Si$ you will have separate cases for $a < i$ and $a = i$. (g) is straightforward with applications of (f), (b) and (c). For (h) you may obtain $i \leq l \rightarrow [\text{pi}(l)^{m+n}]^i \geq \text{val}^*(m, n, i)$ by induction on i ; in the show, the main task is to obtain $\text{exc}(m, n, i) \leq m + n$; the result then follows with previously established inequalities. (j) is easy with a result like (e). For (n) you will be able to show $\forall x \forall n [\text{len}(Sn) \leq x \rightarrow \text{val}(Sn, x) = Sn]$ by induction on x : the \emptyset -case is straightforward; then under the inductive assumption with $\text{len}(Sa) \leq Sx$ for \rightarrow I you have $\text{len}(Sa) \leq x \vee \text{len}(Sa) = Sx$; the first case is straightforward; the second is an extended argument — you will be able to apply T13.44l to obtain an Sr whose prime factorization is like that of Sa but without $\text{pi}(x)$; show that $\text{len}(Sr) \leq x$ so that from the assumption, $\text{val}(Sr, x) = Sr$; then $\text{val}(Sa, Sx) = Sa$ is straightforward. For (o) under the assumption for \rightarrow I, you will be able to get $i \leq q \rightarrow [\text{pi}(q)^r]^i \geq \text{val}(n, i)$ by IN.

(a) extracts exc from the bounded to unbounded minimization; (b) and (c) apply the definition. (d) is obvious. (e) results because $\text{val}^*(m, n, a)$ is a product of primes prior to $\text{pi}(a)$ so that greater primes do not divide it. Then (f) the exponents in val^* are like the exponents in exc . This gives us (g) that the exponents in val^* are like the exponents in m and n . But (h) val^* is constructed so that an induction enables a natural comparison of exponents. Then (m) - (o) are related results for val .

The comparison of exponents from (h) and the closely related (o) are crucial for finding bounds and so extracting results from bounded minimization.

We are now ready for some results about concatenation. Say $m * n$ is the defined correlate to $m \star n$ and let $l = \text{len}(m) + \text{len}(n)$.

*T13.47. The following are theorems of PA.

- (a) (i) $\text{PA} \vdash m * n = (\mu x \leq B_{m,n}) [x \geq \bar{1} \wedge (\forall i < \text{len}(m)) \{ \exp(x, i) = \exp(m, i) \} \wedge (\forall i < \text{len}(n)) \{ \exp(x, i + \text{len}(m)) = \exp(n, i) \}]$
(ii) $\text{PA} \vdash B_{m,n} = [\text{pi}(l)^{m+n}]^l$

- (b) $\text{PA} \vdash m * n = \mu x [x \geq \bar{1} \wedge (\forall i < \text{len}(m)) \{ \text{exp}(x, i) = \text{exp}(m, i) \} \wedge (\forall i < \text{len}(n)) \{ \text{exp}(x, i + \text{len}(m)) = \text{exp}(n, i) \}]$
- (c) $\text{PA} \vdash m * n \geq \bar{1} \wedge (\forall i < \text{len}(m)) \{ \text{exp}(m * n, i) = \text{exp}(m, i) \} \wedge (\forall i < \text{len}(n)) \{ \text{exp}(m * n, i + \text{len}(m)) = \text{exp}(n, i) \}$
- (d) $\text{PA} \vdash (\forall w < m * n) \sim [w \geq \bar{1} \wedge (\forall i < \text{len}(m)) \{ \text{exp}(w, i) = \text{exp}(m, i) \} \wedge (\forall i < \text{len}(n)) \{ \text{exp}(w, i + \text{len}(m)) = \text{exp}(n, i) \}]$
- *(e) $\text{PA} \vdash \text{len}(m * n) \geq l$
- *(f) $\text{PA} \vdash \text{len}(m * n) = l$
- (g) $\text{PA} \vdash \text{exp}(m * n, i + \text{len}(m)) = \text{exp}(n, i)$
- (h) $\text{PA} \vdash (a * b) * c = a * (b * c)$
- (i) $\text{PA} \vdash n \leq \bar{1} \rightarrow Sm * n = Sm$
- (j) $\text{PA} \vdash n \leq \bar{1} \rightarrow n * Sm = Sm$
- (k) $\text{PA} \vdash (\text{len}(c) = \text{len}(d) \wedge Sa * c = Sb * d) \rightarrow Sa = Sb$
 corollary: $\text{PA} \vdash Sa * c = Sb * c \rightarrow Sa = Sb$
- (l) $\text{PA} \vdash (\text{len}(c) = \text{len}(d) \wedge c * Sa = d * Sb) \rightarrow Sa = Sb$
 corollary: $\text{PA} \vdash c * Sa = c * Sb \rightarrow Sa = Sb$
- *(m) $\text{PA} \vdash \text{val}(Sm * Sn, a) = \text{val}(Sm, a) * \text{val}(Sn, a \dot{-} \text{len}(Sm))$
- (n) $\text{PA} \vdash (\forall y \leq \text{len}(n)) [\text{val}(m * n, y + \text{len}(m)) \geq \text{val}(m, \text{len}(m))]$
 corollary: $\text{PA} \vdash m * n \geq m$
- (o) $\text{PA} \vdash (\forall y \leq \text{len}(n)) [\text{val}(m * n, y + \text{len}(m)) \geq \text{val}(n, y)]$
 corollary: $\text{PA} \vdash m * n \geq n$

Hints: (a) is from the definition **concatenation** with prior results. (b) uses T13.46h. (e) divides into cases for $\text{len}(n) = \emptyset$ and $\text{len}(n) > \emptyset$; and within the first, again, cases for $\text{len}(m) = \emptyset$ and $\text{len}(m) > \emptyset$. For (f) show $\text{len}(m * n) \leq l$ and apply (e); for the main argument (which will be long!) assume $\text{len}(m * n) \not\leq l$; then you will be able to apply T13.44i and show that the q so obtained contradicts T13.47d. (h) where $l = \text{len}(a) + \text{len}(b) + \text{len}(c)$, you will be able to show $(\forall i < l) \text{exp}((a * b) * c, i) = \text{exp}(a * (b * c), i)$. (k)

and (l) are straightforward with T13.47c. For (m) you will be able to show $(\forall i < a) \exp(Sm * Sn, i) = \exp(\text{val}(Sm, a) * \text{val}(Sn, a \dot{-} \text{len}(Sm)), i)$ and so $\text{val}(Sm * Sn, a) = \text{val}(\text{val}(Sm, a) * \text{val}(Sn, a \dot{-} \text{len}(Sm)), a)$; and from this the result you want. (n) and (o) are by induction on y (with the bounded quantifier unabbreviated to the associated conditional).

(a) is from the definition. T13.46h enables us to extract $m * n$ from bounded to unbounded minimization to get (b) and then (c) and (d). (e) and (f) establish that the length of $m * n$ sums the lengths of m and n . (h) is an association result — and with this, we typically ignore parentheses in concatenations much as we have done for association with addition. (k) and (l) enable manipulation of concatenations as from chapter 11. (n) and (o) apply results from T13.46m and T13.46n for relative values of $m * n$.

The idea for application of T13.46h to get (b) is the same as behind the intuitive account of the bound from chapter 12: $\text{pi}(l)^{m+n}$ is greater than every term in the factorization of $m * n$; so $[\text{pi}(l)^{m+n}]^i$ remains greater than $\text{val}^*(m, n, i)$; and $\text{val}^*(m, n, l)$ is therefore both under the bound and satisfies the condition for $m * n$ — so that the existential condition is satisfied, and we may extract the bounded to an unbounded minimization. Once this is accomplished, we are most of the way home.

To manipulate Termseq it will be convenient to let,

$$\begin{aligned} A(s, x) &= \exp(s, x) = \ulcorner \emptyset \urcorner \vee \text{Var}(\exp(s, x)) \\ B(s, x) &= (\exists j < x) \exp(s, x) = \ulcorner S \urcorner * \exp(s, j) \\ C(s, x) &= (\exists i < x) (\exists j < x) \exp(s, x) = \ulcorner + \urcorner * \exp(s, i) * \exp(s, j) \\ D(s, x) &= (\exists i < x) (\exists j < x) \exp(s, x) = \ulcorner \times \urcorner * \exp(s, i) * \exp(s, j) \end{aligned}$$

*T13.48. The following are theorems of PA.

- (a) $\text{PA} \vdash \text{Termseq}(m, t) \leftrightarrow \exp(m, \text{len}(m) \dot{-} \bar{1}) = t \wedge m > \bar{1} \wedge (\forall k < \text{len}(m)) [A(m, k) \vee B(m, k) \vee C(m, k) \vee D(m, k)]$
- (b) (i) $\text{PA} \vdash \text{Term}(t) \leftrightarrow (\exists x \leq B_t) \text{Termseq}(x, t)$
(ii) $\text{PA} \vdash B_t = [\text{pi}(\text{len}(t))^t]^{\text{len}(t)}$
- (c) $\text{PA} \vdash \text{Var}(t) \leftrightarrow (\exists x \leq t) (t = \bar{2}^{\bar{2}^3 + \bar{2}x})$
- (d) $\text{PA} \vdash \text{Var}(t) \rightarrow \text{len}(t) = \bar{1}$
- (e) $\text{PA} \vdash \text{Termseq}(m, t) \rightarrow (\forall k < \text{len}(m)) \exp(m, k) > \bar{1}$
- (f) $\text{PA} \vdash \text{Term}(t) \rightarrow t > \bar{1}$

- (g) $\text{PA} \vdash t = \ulcorner \emptyset \urcorner \rightarrow \text{Termseq}(\overline{2}^t, t)$
- (h) $\text{PA} \vdash \text{Var}(t) \rightarrow \text{Termseq}(\overline{2}^t, t)$
- *(i) $\text{PA} \vdash \text{Termseq}(m, t) \rightarrow \text{Termseq}(m * \overline{2}^{\ulcorner S \urcorner * t}, \ulcorner S \urcorner * t)$
- (j) $\text{PA} \vdash [\text{Termseq}(m, t) \wedge \text{Termseq}(n, q)] \rightarrow \text{Termseq}(m * n * \overline{2}^{\ulcorner + \urcorner * t * q}, \ulcorner + \urcorner * t * q)$
- (k) $\text{PA} \vdash [\text{Termseq}(m, t) \wedge \text{Termseq}(n, q)] \rightarrow \text{Termseq}(m * n * \overline{2}^{\ulcorner \times \urcorner * t * q}, \ulcorner \times \urcorner * t * q)$
- *(l) $\text{PA} \vdash \text{Termseq}(m, t) \rightarrow \forall x (\forall k < \text{len}(m)) \{ \text{len}(\text{exp}(m, k)) \leq x \rightarrow \exists n [\text{Termseq}(n, \text{exp}(m, k)) \wedge (\forall i < \text{len}(n)) \text{exp}(n, i) \leq \text{exp}(m, k) \wedge \text{len}(n) \leq \text{len}(\text{exp}(m, k))] \}$
- (m) $\text{PA} \vdash \text{Termseq}(m, t) \rightarrow \text{Term}(t)$
- *(n) $\text{PA} \vdash \text{Termseq}(m, t) \rightarrow (\forall i < \text{len}(m)) \text{Term}(\text{exp}(m, i))$
- (o) $\text{PA} \vdash \text{Term}(\ulcorner \emptyset \urcorner)$
- (p) $\text{PA} \vdash \text{Var}(v) \rightarrow [\text{Term}(v) \wedge \text{Term}(\ulcorner S \urcorner * v)]$

Hints: (e) is straightforward by an extended \vee E. (g) - (k) are disjunctive but straightforward. (l) is by induction on x : under the assumption $\text{Termseq}(m, t)$ the basis is straightforward; then, under the inductive assumption along with $a < \text{len}(m)$ for (\forall) I and $\text{len}(\text{exp}(m, a)) \leq Sx$ for \rightarrow I, apply (a); the derivation is then a (long!) argument by cases where you will be able to apply (g)-(k). (m) follows easily with T13.46o. For (n) under the assumption for \rightarrow I, you will be able to show $\forall k [k < \text{len}(m) \rightarrow \exists x (\text{Termseq}(x, \text{exp}(m, k)))]$ by strong induction; the result follows easily.

(a), (b) and (c) are from the definitions **term sequence** and **term** and **variable** with prior results. (d), (e) and (f) are simple results. (g) - (k) generate term sequences. (l) yields (m), that anything with a term sequence is a term; the rest follow from that.

From its definition, $\text{Term}(t)$ does not immediately follow from $\text{Termseq}(m, t)$ insofar as the sequence might build in extraneous terms not required for t — with the result that m is not less than B_n . The general idea for these theorems is that given a term sequence, there is a *standard* term sequence containing just the elements you would have included in a **chapter 4** tree, adequate to yield $\text{Term}(t)$. Thus we move from the existence of a term sequence through (l) to a term sequence of the right sort,

and so to (m). Something new happens in (l) insofar as the induction is not on the length of m but on the length of its *exponents*.

We continue with some results for $\mathcal{F}ormseq$ and $\mathcal{W}ff$ that are closely related to T13.48. Let,

$$\begin{aligned} E(s, x) &= Atomic(exp(s, x)) \\ F(s, x) &= (\exists j < x)[exp(s, x) = neg(exp(s, j))] \\ G(s, x) &= (\exists i < x)(\exists j < x)[exp(s, x) = cnd(exp(s, i), exp(s, j))] \\ H(p, s, x) &= (\exists i < x)(\exists j < p)[Var(j) \wedge exp(s, x) = unn(j, exp(s, i))] \end{aligned}$$

*T13.49. The following are theorems of PA.

- (a) $PA \vdash \mathcal{F}ormseq(m, p) \leftrightarrow exp(m, len(m) \dot{-} \bar{1}) = p \wedge m > \bar{1} \wedge (\forall k < len(m))[E(m, k) \vee F(m, k) \vee G(m, k) \vee H(p, m, k)]$
- (b) (i) $PA \vdash \mathcal{W}ff(p) \leftrightarrow (\exists x \leq B_p) \mathcal{F}ormseq(x, p)$
(ii) $PA \vdash B_p = [\bar{p}i(len(p))^p]^{len(p)}$
- (c) $PA \vdash Atomic(p) \leftrightarrow (\exists x \leq p)(\exists y \leq p)[Term(x) \wedge Term(y) \wedge p = \overline{r = s} * x * y]$
- (d) $PA \vdash \mathcal{F}ormseq(m, p) \rightarrow (\forall k < len(m)) exp(m, k) > \bar{1}$
- (e) $PA \vdash \mathcal{W}ff(p) \rightarrow p > \bar{1}$
- (f) $PA \vdash Atomic(p) \rightarrow \mathcal{F}ormseq(\bar{2}^p, p)$
- (g) $PA \vdash \mathcal{F}ormseq(m, p) \rightarrow \mathcal{F}ormseq(m * \bar{2}^{neg(p)}, neg(p))$
- (h) $PA \vdash [\mathcal{F}ormseq(m, p) \wedge \mathcal{F}ormseq(n, q)] \rightarrow \mathcal{F}ormseq(m * n * \bar{2}^{cnd(p, q)})$
- (i) $PA \vdash [\mathcal{F}ormseq(m, p) \wedge var(v)] \rightarrow \mathcal{F}ormseq(m * \bar{2}^{unn(v, p)}, unn(v, p))$
- (j) $PA \vdash \mathcal{F}ormseq(m, p) \rightarrow \forall x (\forall k < len(m)) \{len(exp(m, k)) \leq x \rightarrow \exists n [\mathcal{F}ormseq(n, exp(m, k)) \wedge (\forall i < len(n)) exp(n, i) \leq exp(m, k) \wedge len(n) \leq len(exp(m, k))]\}$
- (k) $PA \vdash \mathcal{F}ormseq(m, p) \rightarrow \mathcal{W}ff(p)$
- (l) $PA \vdash \mathcal{F}ormseq(m, p) \rightarrow (\forall i < len(m)) \mathcal{W}ff(exp(m, i))$
- (m) $PA \vdash Atomic(p) \rightarrow \mathcal{W}ff(p)$
- (n) $PA \vdash \mathcal{W}ff(p) \rightarrow \mathcal{W}ff(neg(p))$

$$(o) \text{ PA } \vdash [\mathcal{Wff}(p) \wedge \mathcal{Wff}(q)] \rightarrow \mathcal{Wff}(\text{cnd}(p, q))$$

$$(p) \text{ PA } \vdash [\mathcal{Wff}(p) \wedge \text{Var}(v)] \rightarrow \mathcal{Wff}(\text{unv}(v, p))$$

Hints: For each of (a) - (l), see the parallel theorems for T13.48. The others are nearly trivial.

Again, from its definition, $\mathcal{Wff}(p)$ does not immediately follow from $\text{Formseq}(m, p)$ insofar as the sequence might build in extraneous elements not required for p — with the result that m is not less than B_p . And again the general idea is that given a formula sequence, there is a *standard* formula sequence containing just the elements you would have included in a [chapter 4](#) tree, adequate to yield $\mathcal{Wff}(n)$. Thus we move from the existence of a formula sequence through (j) to a formula sequence of the required sort.

Continuing roughly in the order of [chapter 12](#) we move on to some substitution results for terms and atomics. Let,

$$\begin{aligned} I(m, n, k) &= \exp(m, k) = \overline{\emptyset} \wedge \exp(n, k) = \overline{\emptyset} \\ J(v, m, n, k) &= \text{Var}(\exp(m, k)) \wedge \exp(m, k) \neq v \wedge \exp(n, k) = \exp(m, k) \\ K(v, s, m, n, k) &= \text{Var}(\exp(m, k)) \wedge \exp(m, k) = v \wedge \exp(n, k) = s \\ L(m, n, k) &= (\exists i < k)[\exp(m, k) = \overline{S} * \exp(m, i) \wedge \exp(n, k) = \overline{S} * \exp(n, i)] \\ M(m, n, k) &= (\exists i < k)(\exists j < k)[\exp(m, k) = \overline{+} * \exp(m, i) * \exp(m, j) \wedge \\ &\quad \exp(n, k) = \overline{+} * \exp(n, i) * \exp(n, j)] \\ N(m, n, k) &= (\exists i < k)(\exists j < k)[\exp(m, k) = \overline{\times} * \exp(m, i) * \exp(m, j) \wedge \\ &\quad \exp(n, k) = \overline{\times} * \exp(n, i) * \exp(n, j)] \end{aligned}$$

*T13.50. The following are theorems of PA.

- (a) $\text{PA} \vdash \mathcal{Tsubseq}(m, n, t, v, s, u) \leftrightarrow \mathcal{Termseq}(m, t) \wedge \text{len}(m) = \text{len}(n) \wedge \exp(n, \text{len}(n) \dot{-} 1) = u \wedge (\forall k < \text{len}(m))(I(m, n, k) \vee J(v, m, n, k) \vee K(v, s, m, n, k) \vee L(m, n, k) \vee M(m, n, k) \vee N(m, n, k))$
- (b) (i) $\text{PA} \vdash \mathcal{Termsub}(t, v, s, u) \leftrightarrow (\exists x \leq X_t)(\exists y \leq Y_{t,u})\mathcal{Tsubseq}(x, y, t, v, s, u)$
 (ii) $\text{PA} \vdash X_t = [\overline{\text{pi}}(\text{len}(t))^t]^{\text{len}(t)}$
 (iii) $\text{PA} \vdash Y_{t,u} = [\overline{\text{pi}}(\text{len}(t))^u]^{\text{len}(t)}$
- (c) $\text{PA} \vdash \mathcal{Atomsub}(p, v, s, q) \leftrightarrow (\exists a \leq p)(\exists b \leq p)(\exists a' \leq q)(\exists b' \leq q)[\mathcal{Term}(a) \wedge \mathcal{Term}(b) \wedge p = \overline{=} * a * b \wedge \mathcal{Termsub}(a, v, s, a') \wedge \mathcal{Termsub}(b, v, s, b') \wedge q = \overline{=} * a' * b']$
- (d) $\text{PA} \vdash [\mathcal{Term}(s) \wedge \mathcal{Tsubseq}(m, n, t, v, s, u)] \rightarrow (\forall j < \text{len}(n))\mathcal{Term}(\exp(n, j))$
 corollary: $\text{PA} \vdash [\mathcal{Term}(s) \wedge \mathcal{Termsub}(t, v, s, u)] \rightarrow \mathcal{Term}(u)$

- (e) $\text{PA} \vdash [\text{Term}(s) \wedge \text{Atomsub}(p, v, s, q)] \rightarrow \text{Atomic}(q)$
- (f) $\text{PA} \vdash t = \overline{\emptyset} \rightarrow \mathcal{T}\text{subseq}(\overline{2}^t, \overline{2}^t, t, v, s, t)$
- (g) $\text{PA} \vdash (\text{Var}(t) \wedge t \neq v) \rightarrow \mathcal{T}\text{subseq}(\overline{2}^t, \overline{2}^t, t, v, s, t)$
- (h) $\text{PA} \vdash (\text{Var}(t) \wedge t = v) \rightarrow \mathcal{T}\text{subseq}(\overline{2}^t, \overline{2}^s, t, v, s, s)$
- $\ast(\text{i})$ $\text{PA} \vdash \mathcal{T}\text{subseq}(m, n, t, v, s, u) \rightarrow \mathcal{T}\text{subseq}(m \ast \overline{2}^{\overline{\text{S}}^\top \ast t}, n \ast \overline{2}^{\overline{\text{S}}^\top \ast u}, \overline{\text{S}}^\top \ast t, v, s, \overline{\text{S}}^\top \ast u)$
- (j) $\text{PA} \vdash [\mathcal{T}\text{subseq}(m, n, t, v, s, u) \wedge \mathcal{T}\text{subseq}(m', n', t', v, s, u')] \rightarrow \mathcal{T}\text{subseq}(m \ast m' \ast \overline{2}^{\overline{+}^\top \ast t \ast t'}, n \ast n' \ast \overline{2}^{\overline{+}^\top \ast u \ast u'}, \overline{+}^\top \ast t \ast t', v, s, \overline{+}^\top \ast u \ast u')$
- (k) $\text{PA} \vdash [\mathcal{T}\text{subseq}(m, n, t, v, s, u) \wedge \mathcal{T}\text{subseq}(m', n', t', v, s, u')] \rightarrow \mathcal{T}\text{subseq}(m \ast m' \ast \overline{2}^{\overline{\times}^\top \ast t \ast t'}, n \ast n' \ast \overline{2}^{\overline{\times}^\top \ast u \ast u'}, \overline{\times}^\top \ast t \ast t', v, s, \overline{\times}^\top \ast u \ast u')$
- $\ast(\text{l})$ $\text{PA} \vdash \mathcal{T}\text{subseq}(m, n, t, v, s, u) \rightarrow \text{Termsub}(t, v, s, u)$
- $\ast(\text{m})$ $\text{PA} \vdash [\text{Term}(t) \wedge \text{Term}(s)] \rightarrow \exists u[\text{Termsub}(t, v, s, u) \wedge \text{len}(u) \leq \text{len}(t) \times \text{len}(s) \wedge (\forall k < \text{len}(u)) \exp(u, k) \leq t + s]$
- $\ast(\text{n})$ $\text{PA} \vdash [\text{Atomic}(p) \wedge \text{Term}(s)] \rightarrow \exists q[\text{Atomsub}(p, v, s, q) \wedge \text{len}(q) \leq \text{len}(p) \times \text{len}(s) \wedge (\forall k < \text{len}(q)) \exp(q, k) \leq p + s]$

Hints: For (l) let $\mathcal{P}(m, n, v, s, k) = \exists a \exists b [\mathcal{T}\text{subseq}(a, b, \exp(m, k), v, s, \exp(n, k)) \wedge \text{len}(a) \leq \text{len}(\exp(m, k)) \wedge (\forall i < \text{len}(a)) (\exp(a, i) \leq \exp(m, k) \wedge \exp(b, i) \leq \exp(n, k))]$; then under the assumption for $\rightarrow\text{I}$, show $\forall x (\forall k < \text{len}(m)) [\text{len}(\exp(m, k)) \leq x \rightarrow \mathcal{P}]$ by IN; the result follows from this. Similarly, for (m) let $\mathcal{P}(m, i, v, s) = \exists x \exists y \exists u [\mathcal{T}\text{subseq}(x, y, \exp(m, i), v, s, u) \wedge \text{len}(u) \leq \text{len}(\exp(m, i)) \times \text{len}(s) \wedge (\forall k < \text{len}(u)) \exp(u, k) \leq \exp(m, i) + s]$; under the assumption $\text{Term}(t) \wedge \text{Term}(s)$ given $\mathcal{T}\text{subseq}(m, t)$ you will be able to show $\forall i [i < \text{len}(m) \rightarrow \mathcal{P}]$ by strong induction on i (with extended disjunctions in both the basis and show); the result follows easily from this.

Some substitution results for formulas are closely related to the previous theorem. Let,

$$\begin{aligned}
O(v, s, m, n, k) &= \text{Atomic}(\exp(m, k)) \wedge \text{Atomsub}(\exp(m, k), v, s, \exp(n, k)) \\
P(m, n, k) &= (\exists i < k)[\exp(m, k) = \text{neg}(\exp(m, i)) \wedge \exp(n, k) = \text{neg}(\exp(n, i))] \\
Q(m, n, k) &= (\exists i < k)(\exists j < k)[\exp(m, k) = \text{cnd}(\exp(m, i), \exp(m, j)) \wedge \\
&\quad \exp(n, k) = \text{cnd}(\exp(n, i), \exp(n, j))] \\
R(v, p, m, n, k) &= (\exists i < k)(\exists j < p)[\text{Var}(j) \wedge j \neq v \wedge \exp(m, k) = \text{unv}(j, \exp(m, i)) \wedge \\
&\quad \exp(n, k) = \text{unv}(j, \exp(n, i))] \\
S(v, p, m, n, k) &= (\exists i < k)(\exists j < p)[\text{Var}(j) \wedge j = v \wedge \exp(m, k) = \text{unv}(j, \exp(m, i)) \wedge \\
&\quad \exp(n, k) = \exp(m, k)]
\end{aligned}$$

*T13.51. The following are theorems of PA.

- (a) $\text{PA} \vdash \mathbb{F}\text{subseq}(m, n, p, v, s, q) \leftrightarrow [\mathbb{F}\text{ormseq}(m, p) \wedge \text{len}(m) = \text{len}(n) \wedge \exp(n, \text{len}(n) \dot{-} 1) = q \wedge (\forall k < \text{len}(m))(O(v, s, m, n, k) \vee P(m, n, k) \vee Q(m, n, k) \vee R(p, m, n, k) \vee S(p, m, n, k))]$
- (b) (i) $\text{PA} \vdash \mathbb{F}\text{ormsub}(p, v, s, q) \leftrightarrow (\exists x \leq X_p)(\exists y \leq Y_{p,q}) \mathbb{F}\text{subseq}(x, y, p, v, s, q)$
(ii) $\text{PA} \vdash X_p = [\text{pi}(\text{len}(p))^p]^{\text{len}(p)}$
(iii) $\text{PA} \vdash Y_{p,q} = [\text{pi}(\text{len}(p))^q]^{\text{len}(p)}$
- (c) (i) $\text{PA} \vdash \text{formusb}(p, v, s) = (\mu q \leq Z_{p,s}) \mathbb{F}\text{ormsub}(p, v, s, q)$
(ii) $\text{PA} \vdash Z_{p,s} = [\text{pi}(\text{len}(p) \times \text{len}(s))^{p+s}]^{\text{len}(p) \times \text{len}(s)}$
- (d) $\text{PA} \vdash [\text{Term}(s) \wedge \mathbb{F}\text{subseq}(m, n, p, v, s, q)] \rightarrow (\forall j < \text{len}(n)) \mathbb{W}\text{ff}(\exp(n, j))$
corollary: $\text{PA} \vdash [\text{Term}(s) \wedge \mathbb{F}\text{ormsub}(p, v, s, q)] \rightarrow \mathbb{W}\text{ff}(q)$
- (e) $\text{PA} \vdash [\text{Atomic}(p) \wedge \text{Atomsub}(p, v, s, q)] \rightarrow \mathbb{F}\text{subseq}(\bar{2}^p, \bar{2}^q, p, v, s, q)$
- (f) $\text{PA} \vdash \mathbb{F}\text{subseq}(m, n, p, v, s, q) \rightarrow \mathbb{F}\text{subseq}(m * \bar{2}^{\text{neg}(p)}, n * \bar{2}^{\text{neg}(q)}, \text{neg}(p), v, s, \text{neg}(q))$
- (g) $\text{PA} \vdash [\mathbb{F}\text{subseq}(m, n, p, v, s, q) \wedge \mathbb{F}\text{subseq}(m', n', p', v, s, q')] \rightarrow \mathbb{F}\text{subseq}(m * m' * \bar{2}^{\text{cnd}(p,p')}, n * n' * \bar{2}^{\text{cnd}(q,q')}, \text{cnd}(p, p'), v, s, \text{cnd}(q, q'))$
- (h) $\text{PA} \vdash [\mathbb{F}\text{subseq}(m, n, p, v, s, q) \wedge \text{Var}(u) \wedge u \neq v] \rightarrow \mathbb{F}\text{subseq}(m * \bar{2}^{\text{unv}(u,p)}, n * \bar{2}^{\text{unv}(u,q)}, \text{unv}(u, p), v, s, \text{unv}(u, q))$
- (i) $\text{PA} \vdash [\mathbb{F}\text{subseq}(m, n, p, v, s, q) \wedge \text{Var}(u) \wedge u = v] \rightarrow \mathbb{F}\text{subseq}(m * \bar{2}^{\text{unv}(u,p)}, n * \bar{2}^{\text{unv}(u,p)}, \text{unv}(u, p), v, s, \text{unv}(u, p))$
- (j) $\text{PA} \vdash \mathbb{F}\text{subseq}(m, n, p, v, s, q) \rightarrow \mathbb{F}\text{ormsub}(p, v, s, q)$
- (k) $\text{PA} \vdash [\mathbb{W}\text{ff}(p) \wedge \text{Term}(s)] \rightarrow \exists q[\mathbb{F}\text{ormsub}(p, v, s, q) \wedge \text{len}(q) \leq \text{len}(p) \times \text{len}(s) \wedge (\forall k < \text{len}(q)) \exp(q, k) \leq p + s]$

- (l) $\text{PA} \vdash [\text{Wff}(p) \wedge \text{Term}(s)] \rightarrow \text{Formsub}(p, v, s, \text{formsub}(p, v, s))$
- (m) $\text{PA} \vdash [\text{Wff}(p) \wedge \text{Term}(s)] \rightarrow \text{Wff}(\text{formsub}(p, v, s))$

Hints: For (a) - (k) see the parallel results from T13.50. (l) follows easily with (k).

Finally we extend our results by means of a pair of theorems whose results are related to unique readability for terms and then formulas (see chapter 11, p. 522).

***T13.52.** First, as a preliminary to T13.52f and then T13.53g it will be helpful in PA to show the following. Let

$$\begin{aligned} l_1 &= \text{len}(c) \\ l_2 &= \text{len}(c) + \text{len}(a) \\ l_3 &= \text{len}(c) + \text{len}(a) + \text{len}(c_1) \\ l_4 &= \text{len}(c) + \text{len}(a) + \text{len}(c_1) + \text{len}(b) \\ l &= \text{len}(c) + \text{len}(a) + \text{len}(c_1) + \text{len}(b) + \text{len}(c_2) \end{aligned}$$

- *(a)**
- | | | |
|----|--|---|
| a. | $\forall u[(\mathcal{P}(u) \wedge \text{len}(u) \leq x) \rightarrow (\forall k < \text{len}(u) \sim \mathcal{P}(\text{val}(u, k)))]$ | P |
| b. | $\text{val}(c, j) * \text{val}(a, j \dot{-} l_1) * \text{val}(c_1, j \dot{-} l_2) * \text{val}(b, j \dot{-} l_3) * \text{val}(c_2, j \dot{-} l_4) = c * d * c_1 * e * c_2$ | P |
| c. | $\mathcal{P}(a) \wedge \mathcal{P}(b) \wedge \mathcal{P}(d) \wedge \mathcal{P}(e)$ | P |
| d. | $\forall v(\mathcal{P}(v) \rightarrow v > \bar{1})$ | P |
| e. | $\text{len}(c) = 1 \wedge c_1 > \emptyset \wedge c_2 > \emptyset \wedge \text{len}(c_1) \leq 1 \wedge \text{len}(c_2) \leq 1$ | P |
| f. | $j < l \wedge Sx \geq l$ | P |
| | \vdots | |
| | \vdots | |
| g. | \perp | |

We are thinking of $c * a * c_1 * b * c_2$ as for example, $\overline{\overline{(\overline{\overline{c}} * \overline{\overline{a}} * \overline{\overline{c_1}} * \overline{\overline{b}} * \overline{\overline{c_2}})}}}$. Then the above premises are inconsistent. As a corollary when $c_1 = c_2 = \bar{1}$ their lengths go to zero and $\text{val}(c_1, x) = \text{val}(c_2, x) = \bar{1}$, so that the theorem reduces to a version where the only conjunct of (e) is the first and (b) is $\text{val}(c, j) * \text{val}(a, j \dot{-} l_1) * \text{val}(b, j \dot{-} l_3) = c * d * e$. Then the following are theorems of PA.

- (b) $\text{PA} \vdash [\text{Term}(a) \wedge \text{Term}(b)] \rightarrow [\overline{\overline{S}} * a = \overline{\overline{S}} * b \rightarrow a = b]$
- (c) $\text{PA} \vdash \text{Term}(\overline{\overline{S}} * a) \rightarrow \exists r[\overline{\overline{S}} * a = \overline{\overline{S}} * r \wedge \text{Term}(r)]$
- (d) $\text{PA} \vdash \text{Term}(\overline{\overline{+}} * a) \rightarrow \exists r \exists s[\overline{\overline{+}} * a = \overline{\overline{+}} * r * s \wedge \text{Term}(r) \wedge \text{Term}(s)]$
- (e) $\text{PA} \vdash \text{Term}(\overline{\overline{\times}} * a) \rightarrow \exists r \exists s[\overline{\overline{\times}} * a = \overline{\overline{\times}} * r * s \wedge \text{Term}(r) \wedge \text{Term}(s)]$
- *(f)** $\text{PA} \vdash \text{Term}(t) \rightarrow (\forall k < \text{len}(t)) \sim \text{Term}(\text{val}(t, k))$
- (g) $\text{PA} \vdash [\text{Term}(a) \wedge \text{Term}(b) \wedge \text{Term}(c) \wedge \text{Term}(d)] \rightarrow [\overline{\overline{+}} * a * b = \overline{\overline{+}} * c * d \rightarrow (a = c \wedge b = d)]$

- (h) $\text{PA} \vdash [\text{Term}(a) \wedge \text{Term}(b) \wedge \text{Term}(c) \wedge \text{Term}(d)] \rightarrow [\overline{\Gamma \times \Gamma} * a * b = \overline{\Gamma \times \Gamma} * c * d \rightarrow (a = c \wedge b = d)]$
- (i) $\text{PA} \vdash [\text{Term}(a) \wedge \text{Term}(b) \wedge \text{Term}(c) \wedge \text{Term}(d)] \rightarrow [\overline{\Gamma = \Gamma} * a * b = \overline{\Gamma = \Gamma} * c * d \rightarrow (a = c \wedge b = d)]$

Hints: For (a) suppose $j \leq l_1$, this leads to contradiction so that $j \geq l_1$ and you can “pick off” the first conjunct from premise (b) to get $\text{val}(a, j \dot{-} l_1) * \text{val}(c_1, j \dot{-} l_2) * \text{val}(b, j \dot{-} l_3) * \text{val}(c_2, j \dot{-} l_4) = d * c_1 * e * c_2$; suppose $j < l_2$, again this leads to contradiction so that $j \geq l_2$; either $\text{len}(d) < \text{len}(a) \vee \text{len}(d) = \text{len}(a) \vee \text{len}(d) > \text{len}(a)$; the first and last lead to contradiction and with the other you will be able to pick off another conjunct; continue to $j \geq l$, which contradicts premise (f). For (f) show $\forall t[(\text{Term}(t) \wedge \text{len}(t) \leq x) \rightarrow (\forall k < \text{len}(t)) \sim \text{Term}(\text{val}(t, k))]$ by induction on x ; the zero case is easy; then under the inductive assumption with $\text{Term}(a) \wedge \text{len}(a) \leq Sx$ for $\rightarrow I$ and $j < \text{len}(a)$ for $(\forall I)$ you will be able to show $j > \emptyset$; then with $\text{Termseq}(m, a)$ the argument is an extended disjunction from $A(m, \text{len}(m) \dot{-} \bar{1}) \vee B(m, \text{len}(m) \dot{-} \bar{1}) \vee C(m, \text{len}(m) \dot{-} \bar{1}) \vee D(m, \text{len}(m) \dot{-} \bar{1})$; you can assume $\text{Term}(\text{val}(a, j))$ and reach contradiction in each case.

Returning to our original results for unique readability, reasoning for (c) - (e) is like that for T11.3 - T11.5. Then (f) is like T11.6. And there are the parallel results for formulas.

***T13.53.** The following are theorems of PA.

- (a) $\text{PA} \vdash [\mathcal{Wff}(p) \wedge \mathcal{Wff}(q)] \rightarrow [\text{neg}(p) = \text{neg}(q) \rightarrow p = q]$
- (b) $\text{PA} \vdash [\mathcal{Wff}(p)) \wedge \text{Var}(u) \wedge \mathcal{Wff}(q) \wedge \text{Var}(v)] \rightarrow [\text{unv}(u, p) = \text{unv}(v, q) \rightarrow (u = v \wedge p = q)]$
- (c) $\text{PA} \vdash \mathcal{Wff}(\overline{\Gamma = \Gamma} * a) \rightarrow \exists r \exists s [\overline{\Gamma = \Gamma} * a = \overline{\Gamma = \Gamma} * r * s \wedge \text{Term}(r) \wedge \text{Term}(s)]$
- (d) $\text{PA} \vdash \mathcal{Wff}(\overline{\Gamma \sim \Gamma} * p) \rightarrow \exists r [\overline{\Gamma \sim \Gamma} * p = \text{neg}(r) \wedge \mathcal{Wff}(r)]$
- (e) $\text{PA} \vdash \mathcal{Wff}(\overline{\Gamma \Gamma} * p) \rightarrow \exists r \exists s [\overline{\Gamma \Gamma} * p = \text{end}(r, s) \wedge \mathcal{Wff}(r) \wedge \mathcal{Wff}(s)]$
- (f) $\text{PA} \vdash \mathcal{Wff}(\overline{\Gamma \forall \Gamma} * p) \rightarrow \exists w \exists r [\overline{\Gamma \forall \Gamma} * p = \text{unv}(w, r) \wedge \text{Var}(w) \wedge \mathcal{Wff}(r)]$
- (g) $\text{PA} \vdash \mathcal{Wff}(p) \rightarrow (\forall k < \text{len}(p)) \sim \mathcal{Wff}(\text{val}(p, k))$

***(h)** $\text{PA} \vdash [\mathcal{Wff}(p)) \wedge \mathcal{Wff}(q) \wedge \mathcal{Wff}(a) \wedge \mathcal{Wff}(b)] \rightarrow [\text{end}(p, q) = \text{end}(a, b) \rightarrow (p = a \wedge q = b)]$

(i) $\text{PA} \vdash [\mathcal{Wff}(\text{end}(p, q)) \wedge \mathcal{Wff}(p)] \rightarrow \mathcal{Wff}(q)$

***(j)** $\text{PA} \vdash \text{Axiompa}(p) \rightarrow \mathcal{Wff}(p)$

(k) $\text{PA} \vdash \text{Prvpa}(p) \rightarrow \mathcal{Wff}(p)$

Hint: (i) is straightforward, starting with (e) — though with $\overline{\vdash \rightarrow \vdash} * q = \overline{\vdash \rightarrow \vdash} * s$, for an application of T13.47l, you will need to worry about the case $q = \emptyset$. Reasoning for T13.53g is like T13.52f. Reasoning for (i) is like the final uniqueness part of T11.3. Beginning with T13.40, (j) and (k) are not hard.

In the following we shall assume results like (j) - (k) for theories extending PA — though, of course, our prime example just *is* PA. Insofar as theories are recursively defined, some such results should be in the offing.

***E13.26.** Show (e) and (j) from T13.41. Hard core: show each of the results from T13.41.

***E13.27.** Show (d) and (e) from T13.42. Hard core: show each of the results from T13.42.

***E13.28.** Show (k) and (l) from T13.43. Hard core: show each of the results from T13.43.

***E13.29.** Show (c) and (f) from T13.44. Hard core: show each of the results from T13.44.

***E13.30.** Show (f) and (l) from T13.45. Hard core: show each of the results from T13.45.

***E13.31.** Show (a) and (b) from T13.46. Hard core: show each of the results from T13.46.

- *E13.32. Show (b) and (e) from T13.47. Hard core: show each of the results from T13.47.
- *E13.33. Show (j) and the unfinished cases for the C disjunct in (l) and (n). Hard core: show each of the results from T13.48.
- E13.34. Work (g) from T13.49 including at least the A and B cases. Hard core: show each of the results from T13.49.
- *E13.35. Work the K and M cases from T13.50l. Hard core: show each of the results from T13.50.
- E13.36. Work j from T13.51 including at least the O case. Hard core: show each of the results from T13.51.
- *E13.37. Work the case marked “similarly” on line 115 of T13.52a and the D case from T13.52f. Hard core: show each of the results from T13.52.
- *E13.38. Show (g) including at least the A case, and (k) from T13.53. Hard core: show each of the results from T13.53.

13.4.2 The result

After all our preparation, we are ready to turn to the second condition, that $\text{PA} \vdash \Box(\mathcal{P} \rightarrow \mathcal{Q}) \rightarrow (\Box\mathcal{P} \rightarrow \Box\mathcal{Q})$. Again, given both $\Box(\mathcal{P} \rightarrow \mathcal{Q})$ and $\Box\mathcal{P}$ the idea is that there are j and k such that $\text{PRFT}(j, \ulcorner \mathcal{P} \rightarrow \mathcal{Q} \urcorner)$ and $\text{PRFT}(k, \ulcorner \mathcal{P} \urcorner)$ so that $l = j \star k \star 2^{\ulcorner \mathcal{Q} \urcorner}$ numbers a proof of \mathcal{Q} . As it turns out, it will be convenient to have the result in a form with free variables, $\text{PA} \vdash \text{Prvt}(\text{cnd}(p, q)) \rightarrow (\text{Prvt}(p) \rightarrow \text{Prvt}(q))$; the second condition then follows as an immediate corollary.

Observe that we have on the table expressions of the sort, $+$, Plus and plus — where the first is a primitive symbol of \mathcal{L}_{NT} , the second the original relation to capture the recursive function plus, and the last a function symbol defined from the recursive function. In view of demonstrated equivalences, we will tend to slide between them without notice. So, for example, given that $\langle \langle 2, 2 \rangle, 4 \rangle \in \text{plus}$, by capture $\text{PA} \vdash \text{Plus}(\bar{2}, \bar{2}, \bar{4})$; and by demonstrated equivalences, $\text{PA} \vdash \bar{2} + \bar{2} = \bar{4}$ and $\text{PA} \vdash \text{plus}(\bar{2}, \bar{2}) = \bar{4}$; and similarly in other cases.

*T13.54. $\text{PA} \vdash \text{Prvt}(\text{end}(p, q)) \rightarrow (\text{Prvt}(p) \rightarrow \text{Prvt}(q))$. Corollary: $\text{PA} \vdash \Box(\mathcal{P} \rightarrow \mathcal{Q}) \rightarrow (\Box\mathcal{P} \rightarrow \Box\mathcal{Q})$.

1.	$\text{Prvt}(\text{cnd}(p, q))$	$A (g \rightarrow I)$
2.	$\text{Wff}(\text{cnd}(p, q))$	1 T13.53k
3.	$\text{Prvt}(p)$	$A (g \rightarrow I)$
4.	$\text{Wff}(p)$	3 T13.53k
5.	$\text{Wff}(q)$	2,4 T13.53i
6.	$\text{Icon}(\text{cnd}(p, q), p, q)$	T13.40c,e
7.	$\exists v \text{Prft}(v, \text{cnd}(p, q))$	1 abv
8.	$\exists v \text{Prft}(v, p)$	3 abv
9.	$\text{Prft}(j, \text{cnd}(p, q))$	$A (g \text{ } 7\exists E)$
10.	$\text{Prft}(k, p)$	$A (g \text{ } 8\exists E)$
11.	$l = j * k * \bar{2}^q$	def
12.	$\text{exp}(j, \text{len}(j) \dot{-} \bar{1}) = \text{cnd}(p, q)$	9 T13.40f
13.	$\text{exp}(k, \text{len}(k) \dot{-} \bar{1}) = p$	10 T13.40f
14.	$\text{exp}(l, \text{len}(j) + \text{len}(k)) = q$	11 T13.47c,f
15 ^a	$\text{Icon}[\text{exp}(j, \text{len}(j) \dot{-} \bar{1}), \text{exp}(k, \text{len}(k) \dot{-} \bar{1}), \text{exp}(l, \text{len}(j) + \text{len}(k))]$	6,12,13,14 $\Rightarrow E$
16.	$(\forall i < \text{len}(j))[\text{exp}(l, i) = \text{exp}(j, i)]$	11 T13.47c
17.	$(\forall i < \text{len}(k))[\text{exp}(l, \text{len}(j) + i) = \text{exp}(k, i)]$	11 T13.47c
18.	$\text{exp}(l, \text{len}(j) \dot{-} \bar{1}) = \text{exp}(j, \text{len}(j) \dot{-} \bar{1})$	16 T13.45h ($\forall E$)
19.	$\text{exp}(l, \text{len}(j) + \text{len}(k) \dot{-} \bar{1}) = \text{exp}(k, \text{len}(k) \dot{-} \bar{1})$	17 T13.45h ($\forall E$)
20 ^b	$\text{Icon}[\text{exp}(l, \text{len}(j) \dot{-} \bar{1}), \text{exp}(l, \text{len}(j) + \text{len}(k) \dot{-} \bar{1}), \text{exp}(l, \text{len}(j) + \text{len}(k))]$	15,18,19 $\Rightarrow E$
21.	$(\forall i < \text{len}(j))[\text{Axiom}(\text{exp}(l, i)) \vee (\exists m < i)(\exists n < i)\text{Icon}(\text{exp}(l, m), \text{exp}(l, n), \text{exp}(l, i))]$	9,16 T13.40f
22.	$(\forall i < \text{len}(k))[\text{Axiom}(\text{exp}(l, \text{len}(j) + i)) \vee$ $(\exists m < i)(\exists n < i)\text{Icon}(\text{exp}(l, \text{len}(j) + m), \text{exp}(l, \text{len}(j) + n), \text{exp}(l, \text{len}(j) + i))]$	10,17 T13.40f
23 ^c	$(\forall i : \text{len}(j) \leq i < \text{len}(j) + \text{len}(k))[\text{Axiom}(\text{exp}(l, i)) \vee$ $(\exists m < i)(\exists n < i)\text{Icon}(\text{exp}(l, m), \text{exp}(l, n), \text{exp}(l, i))]$	from 22
24.	$x < \text{len}(l)$	$A (g \text{ } (\forall I))$
25.	$x < \text{len}(j) \vee \text{len}(j) \leq x < \text{len}(j) + \text{len}(k) \vee x = \text{len}(j) + \text{len}(k)$	11,24 T13.47f
26.	$x < \text{len}(j)$	$A (g \text{ } 25\vee E)$
27.	$\text{Axiom}(\text{exp}(l, x)) \vee (\exists m < x)(\exists n < x)\text{Icon}(\text{exp}(l, m), \text{exp}(l, n), \text{exp}(l, x))$	21,26 ($\forall E$)
28.	$\text{len}(j) \leq x < \text{len}(j) + \text{len}(k)$	$A (g \text{ } 25\vee E)$
29.	$\text{Axiom}(\text{exp}(l, x)) \vee (\exists m < x)(\exists n < x)\text{Icon}(\text{exp}(l, m), \text{exp}(l, n), \text{exp}(l, x))$	23,28 ($\forall E$)
30.	$x = \text{len}(j) + \text{len}(k)$	$A (g \text{ } 25\vee E)$
31.	$(\exists m < x)(\exists n < x)\text{Icon}(\text{exp}(l, m), \text{exp}(l, n), \text{exp}(l, x))$	20,30
32.	$\text{Axiom}(\text{exp}(l, x)) \vee (\exists m < x)(\exists n < x)\text{Icon}(\text{exp}(l, m), \text{exp}(l, n), \text{exp}(l, x))$	31 $\vee I$
33.	$\text{Axiom}(\text{exp}(l, x)) \vee (\exists m < x)(\exists n < x)\text{Icon}(\text{exp}(l, m), \text{exp}(l, n), \text{exp}(l, x))$	25,26-31 $\vee E$
34 ^d	$(\forall x < \text{len}(l))[\text{Axiom}(\text{exp}(l, x)) \vee (\exists m < x)(\exists n < x)\text{Icon}(\text{exp}(l, m), \text{exp}(l, n), \text{exp}(l, x))]$	24-33 ($\forall I$)
35.	$q > \emptyset$	5 T13.49e
36.	$\text{len}(\bar{2}^q) = \bar{1}$	35 T13.45k
37.	$\text{len}(l) \geq \bar{1}$	11,36 T13.47f
38.	$l > \bar{1}$	37 T13.45g
39.	$\text{exp}(l, \text{len}(l) \dot{-} \bar{1}) = q$	14 T13.47f
40.	$\text{exp}(l, \text{len}(l) \dot{-} \bar{1}) = q \wedge l > \bar{1} \wedge$ $(\forall x < \text{len}(l))[\text{Axiom}(\text{exp}(l, x)) \vee (\exists m < x)(\exists n < x)\text{Icon}(\text{exp}(l, m), \text{exp}(l, n), \text{exp}(l, x))]$	39,38,34 $\wedge I$
41.	$\text{Prft}(l, q)$	40 T13.40f
42.	$\text{Prvt}(q)$	41 $\exists I$
43.	$\text{Prvt}(q)$	8,10-42 $\exists E$
44.	$\text{Prvt}(q)$	7,9-43 $\exists E$
45.	$\text{Prvt}(p) \rightarrow \text{Prvt}(q)$	3-44 $\rightarrow I$
46 ^e	$\text{Prvt}(\text{cnd}(p, q)) \rightarrow [\text{Prvt}(p) \rightarrow \text{Prvt}(q)]$	1-45 $\rightarrow I$

This derivation is long, and skips steps; but it should be enough for you to see how the argument works — and to fill in the details if you choose. First, at (a), under assumptions for \rightarrow I, there are derivations numbered j , k and a longer sequence numbered l . And the last member of this longer sequence is an immediate consequence of last members from the derivations numbered j and k . At (b) the results from (12) are all applied to the sequence numbered l ; so the last sentence in the longer sequence is an immediate consequence of its earlier members. At (c), the different fragments of the longer sequence have the character of a proof. And at (d), the whole sequence numbered l has the character of a proof. Finally, at (e) we observe that this longer sequence yields $\text{Prvt}(q)$ and discharge the assumptions for the result that $\text{Prvt}(\text{end}(p, q)) \rightarrow [\text{Prvt}(p) \rightarrow \text{Prvt}(q)]$ so that with T13.34 $\text{PA} \vdash \text{Prvt}(\text{end}(p, q)) \rightarrow (\text{Prvt}(p) \rightarrow \text{Prvt}(q))$.

But then we have $\text{Prvt}(\text{end}(\ulcorner \mathcal{P} \urcorner, \ulcorner \mathcal{Q} \urcorner)) \rightarrow [\text{Prvt}(\ulcorner \mathcal{P} \urcorner) \rightarrow \text{Prvt}(\ulcorner \mathcal{Q} \urcorner)]$ as an instance, and by capture, $\text{Prvt}(\ulcorner \mathcal{P} \rightarrow \mathcal{Q} \urcorner) \rightarrow [\text{Prvt}(\ulcorner \mathcal{P} \urcorner) \rightarrow \text{Prvt}(\ulcorner \mathcal{Q} \urcorner)]$ so that $\text{PA} \vdash \Box(\mathcal{P} \rightarrow \mathcal{Q}) \rightarrow (\Box\mathcal{P} \rightarrow \Box\mathcal{Q})$. Thus the second derivability condition is established.

***E13.39.** As a start to a complete demonstration of T13.54, provide a demonstration through part (c) that does not skip any steps. You may find it helpful to divide your demonstration into separate parts for (a), (b) and then for lines (21), (22) and (23). Hard core: complete the entire derivation.

13.5 The Third Condition: $\Box\mathcal{P} \rightarrow \Box\Box\mathcal{P}$

To show the third condition, that $\text{PA} \vdash \Box\mathcal{P} \rightarrow \Box\Box\mathcal{P}$, it is sufficient to show $\text{PA} \vdash \mathcal{Q} \rightarrow \Box\mathcal{Q}$. For when \mathcal{Q} is $\Box\mathcal{P}$, the result is immediate. Further, $\Box\mathcal{P}$ is $\text{Prvt}(\ulcorner \mathcal{P} \urcorner)$ and $\text{Prvt}(\ulcorner \mathcal{P} \urcorner)$ is Σ_1 . So it is sufficient to show that for any Σ_1 sentence \mathcal{Q} , $\text{PA} \vdash \mathcal{Q} \rightarrow \Box\mathcal{Q}$.

We begin with some additional applications. Then we additionally focus what needs to be shown by an alternate characterization of Σ_1 formulas, along with some results about substitutions. Then we shall finally be ready to show the third condition.

13.5.1 More applications

Recall from chapter 12 that where $\mathbf{p} = \ulcorner \mathcal{P} \urcorner$, $\mathbf{v} = \ulcorner v \urcorner$, and $\mathbf{s} = \ulcorner s \urcorner$ there is a recursive $\text{formsub}(\mathbf{p}, \mathbf{v}, \mathbf{s})$ which returns the Gödel number of \mathcal{P}_s^v . In addi-

Second theorems of chapter 13

- T13.21. For any friendly recursive function $r(\vec{x})$ and original formula $\mathcal{R}(\vec{x}, v)$ by which it is expressed and captured, PA defines a function $r(\vec{x})$ such that $\text{PA} \vdash v = r(\vec{x}) \leftrightarrow \mathcal{R}(\vec{x}, v)$. This theorem depends on conditions for the recursion clause and so on T13.22 and T13.31.
- T13.22. Where $\mathcal{F}(\vec{x}, y, v)$ is the formula for recursion, $\text{PA} \vdash \forall m \forall n [(\mathcal{F}(\vec{x}, y, m) \wedge \mathcal{F}(\vec{x}, y, n)) \rightarrow m = n]$.
- T13.23 - T13.26. T13.23 Results for $a \dot{-} b$. T13.24 results for $a|b$. T13.25 results for $Pr(a)$ and $Rp(a)$. T13.26 results for $lcm(a)$.
- T13.27. $\text{PA} \vdash [(\forall i < k)(m(i) > \emptyset \wedge m(i) > h(i)) \wedge \forall i \forall j (i < j \wedge j < k \rightarrow Rp(Sm(i), Sm(j)))] \rightarrow \exists p (\forall i < k) rm(p, m(i)) = h(i)$ (CRT).
- T13.28 - T13.30. T13.28 results for $maxp$ and $maxs$. T13.29 $\text{PA} \vdash \exists p \exists q (\forall i < k) \beta(p, q, i) = h(i)$. T13.30 $\text{PA} \vdash \exists p \exists q [(\forall i < k) \beta(p, q, i) = \beta(r, s, i) \wedge \beta(p, q, k) = n]$.
- T13.31. $\text{PA} \vdash \exists v \exists p \exists q [\beta(p, q, \emptyset) = g(\vec{x}) \wedge (\forall i < y) h(\vec{x}, i, \beta(p, q, i)) = \beta(p, q, Si) \wedge \beta(p, q, y) = v]$.
- T13.32. For any friendly recursive relation $\mathcal{R}(\vec{x})$ with characteristic function $ch_{\mathcal{R}}(\vec{x})$, $\text{PA} \vdash \mathcal{R}(\vec{x}) \leftrightarrow ch_{\mathcal{R}}(\vec{x}) = \emptyset$. And for a recursive operator $\text{OP}(P_1(\vec{x}) \dots P_n(\vec{x}))$ with characteristic function $f(ch_{P_1}(\vec{x}) \dots ch_{P_n}(\vec{x}))$, $\text{PA} \vdash \text{Op}(P_1(\vec{x}) \dots P_n(\vec{x})) \leftrightarrow f(ch_{P_1}(\vec{x}) \dots ch_{P_n}(\vec{x})) = \emptyset$. Corollary: where $\mathcal{R}(\vec{x})$ is originally captured by $\mathcal{R}(\vec{x}, \emptyset)$, $\text{PA} \vdash \mathcal{R}(\vec{x}) \leftrightarrow \mathcal{R}(\vec{x}, \emptyset)$.
- T13.33. Suppose $f(\vec{x}, y)$ is defined by $g(\vec{x})$ and $h(\vec{x}, y, u)$ so that $\text{PA} \vdash v = f(\vec{x}, y) \leftrightarrow \mathcal{F}(\vec{x}, y, v)$; then, (i) $f(\vec{x}, \emptyset) = g(\vec{x})$ and (ii) $f(\vec{x}, S(y)) = h(\vec{x}, y, f(\vec{x}, y))$.
- T13.34. (a) For any friendly recursive function $r(\vec{x})$ and original formula $\mathcal{R}(\vec{x}, v)$ by which it is expressed and captured, PA defines a coordinate function $r(\vec{x})$ such that $\text{PA} \vdash v = r(\vec{x}) \leftrightarrow \mathcal{R}(\vec{x}, v)$. And (b) for any friendly recursive relation $\mathcal{R}(\vec{x})$ with characteristic function $ch_{\mathcal{R}}(\vec{x})$, PA defines a coordinate relation $\mathcal{R}(\vec{x})$ such that $\text{PA} \vdash \mathcal{R}(\vec{x}) \leftrightarrow ch_{\mathcal{R}}(\vec{x}) = \emptyset$.
- T13.35 - T13.37. T13.35 equivalences for *suc*, *zero*, $idnt_k^j$, *plus* and *times*. T13.36 results for *pred*, *sg* and *csg*. T13.37 Equivalences for *pred*, *subc*, *absval*, *sg*, *csg*, *Eq*, *Leq*, *Less*, *Neg*, and *Dsj*.
- T13.38. PA proves a characteristic function takes the value \emptyset or $\bar{1}$.
- T13.39. Equivalences for $(\exists y \leq z)$, $(\exists y < z)$, $(\forall y \leq z)$, $(\forall y < z)$, $(\mu y \leq z)$, *Fctr*, and *Prime*.
- T13.40 - T13.44. T13.40 first applications to recursive functions. T13.41 Results for m^a . T13.42 results for *fact*. T13.43 results for *pi*. T13.44 results for *exp*.
- T13.45 - T13.51. T13.45 results for *len*. T13.46 results for *val*. T13.47 results for $m * n$. T13.48 results for *Termseq*. T13.49 results for *Formseq*. T13.50 results for *Tsubseq*. T13.51 results for *Fsubseq*.
- T13.52 - T13.53. T13.52 on unique readability. T13.53 results for *Wff* and *Prvpa*.
- T13.54. $\text{PA} \vdash \Box(\mathcal{P} \rightarrow \mathcal{Q}) \rightarrow (\Box \mathcal{P} \rightarrow \Box \mathcal{Q})$. — D2

tion, there is a function $\text{num}(n)$ that returns the Gödel number of the standard numeral for n . Let $\text{gvar}(n) =_{\text{def}} 2^{23+2n}$ be the Gödel number of variable x_n . Then $\text{formsub}(p, \text{gvar}(n), \text{num}(y))$ is a function which returns the number of the formula that substitutes a numeral for the value (number) assigned to y into the place of x_n . So, for example, if y is assigned the value of 2, then $\text{formsub}(p, \text{gvar}(n), \text{num}(y))$ returns $\ulcorner \mathcal{P}_2^{x_n} \urcorner$. So PA defines $\text{formsub}(p, \text{gvar}(n), \text{num}(y))$. We build to some results for these notions.

First, a theorem with some results for free variables and then substitutions into terms and atomics.

T13.55. The following are theorems of PA.

- (a) $\text{PA} \vdash \text{Free}_t(t, v) \leftrightarrow \sim \text{Termsub}(t, v, v \times \bar{4}, t)$
- (b) $\text{PA} \vdash \text{Var}(v) \rightarrow \text{Term}(v \times \bar{4}) \wedge v \times \bar{4} \neq v$
- (c) $\text{PA} \vdash \text{exp}(m, k) = \overline{\ulcorner \emptyset \urcorner} \rightarrow \sim [J(v, m, n, k) \vee K(v, s, m, n, k) \vee L(m, n, k) \vee M(m, n, k) \vee N(m, n, k)]$
- (d) $\text{PA} \vdash [\text{Var}(\text{exp}(m, k)) \wedge \text{exp}(m, k) \neq v] \rightarrow \sim [I(m, n, k) \vee K(v, s, m, n, k) \vee L(m, n, k) \vee M(m, n, k) \vee N(m, n, k)]$
- (e) $\text{PA} \vdash [\text{Var}(\text{exp}(m, k)) \wedge \text{exp}(m, k) = v] \rightarrow \sim [I(m, n, k) \vee J(v, m, n, k) \vee L(m, n, k) \vee M(m, n, k) \vee N(m, n, k)]$
- (f) $\text{PA} \vdash \text{exp}(m, k) = \overline{\ulcorner S \urcorner} * a \rightarrow \sim [I(m, n, k) \vee J(v, m, n, k) \vee K(v, s, m, n, k) \vee M(m, n, k) \vee N(m, n, k)]$
- (g) $\text{PA} \vdash \text{exp}(m, k) = \overline{\ulcorner + \urcorner} * a \rightarrow \sim [I(m, n, k) \vee J(v, m, n, k) \vee K(v, s, m, n, k) \vee L(m, n, k) \vee N(m, n, k)]$
- (h) $\text{PA} \vdash \text{exp}(m, k) = \overline{\ulcorner \times \urcorner} * a \rightarrow \sim [I(m, n, k) \vee J(v, m, n, k) \vee K(v, s, m, n, k) \vee L(m, n, k) \vee M(m, n, k)]$
- *(i) $\text{PA} \vdash [\text{Termsub}(t, v, s, q) \wedge \text{Termsub}(t, v, s, r)] \rightarrow q = r$
- (j) $\text{PA} \vdash [\text{Atomsub}(p, v, s, q) \wedge \text{Atomsub}(p, v, s, r)] \rightarrow q = r$
- (k) $\text{PA} \vdash [\text{Term}(t) \wedge \text{Term}(s)] \rightarrow [\sim \text{Free}_t(t, v) \rightarrow \text{Termsub}(t, v, s, t)]$
- (l) $\text{PA} \vdash \text{Term}(s) \rightarrow [\text{Atomsub}(p, v, v \times \bar{4}, p) \rightarrow \text{Atomsub}(p, v, s, p)]$
- (m) $\text{PA} \vdash [\text{Term}(t) \wedge \text{Var}(v)] \rightarrow [(\text{Free}_t(t, v) \wedge \text{Termsub}(t, v, s, u)) \rightarrow s \leq u]$

***(n)** $\text{PA} \vdash \text{Var}(v) \rightarrow [(\sim \text{Atomsub}(p, v, v \times \bar{4}, p) \wedge \text{Atomsub}(p, v, s, q)) \rightarrow s \leq q]$

Hints: (i) Under assumptions for \rightarrow I and $(\exists$ E) you have $\mathcal{T}_{\text{subseq}}(m, n, t, v, s, q)$ and $\mathcal{T}_{\text{subseq}}(m', n', t, v, s, r)$; with this show $\forall k[k < \text{len}(m) \rightarrow (\forall x < \text{len}(m'))(\text{exp}(m, k) = \text{exp}(m', x) \rightarrow \text{exp}(n, k) = \text{exp}(n', x))]$ by strong induction; the result follows easily from this. (k) Under assumptions for \rightarrow I and then \exists E, you have both $\mathcal{T}_{\text{subseq}}(m, n, t, v, v \times \bar{4}, t)$ and $\mathcal{T}_{\text{subseq}}(m', n', t, v, s, u)$ with goal $t = u$; by strong induction show, $\forall k[k < \text{len}(m) \rightarrow (\forall x < \text{len}(m'))(\text{exp}(m, k) = \text{exp}(m', k) \rightarrow (\text{exp}(m, k) = \text{exp}(n, k) \rightarrow \text{exp}(m', x) = \text{exp}(n', x)))]$; then the result follows easily. (m) Under assumptions for \rightarrow I and \exists E you have $\mathcal{T}_{\text{termsub}}(m, n, t, v, v \times \bar{4}, r)$ and $\mathcal{T}_{\text{termsub}}(m', n', t, v, s, u)$ where $r \neq t$ with goal $s \leq u$; by strong induction show $\forall k(k < \text{len}(m) \rightarrow (\forall x < \text{len}(m'))[\text{exp}(m, k) = \text{exp}(m', x) \rightarrow (\text{exp}(m, k) \neq \text{exp}(n, k) \rightarrow s \leq \text{exp}(n', x))])$; the result follows.

And some results for substitutions into formulas that are linked to the previous theorem.

T13.56. The following are theorems of PA.

- (a) $\text{PA} \vdash \text{Free}_f(p, v) \leftrightarrow \sim \text{Formsub}(p, v, v \times \bar{4}, p)$
- (b) $\text{PA} \vdash \text{Atomic}(\text{exp}(m, k) \rightarrow \sim[P(m, n, k) \vee Q(m, n, k) \vee R(v, p, m, n, k) \vee S(v, p, m, n, k)])$
- (c) $\text{PA} \vdash \text{exp}(m, k) = \overline{\neg} \sim * a \rightarrow \sim[O(v, s, m, n, k) \vee Q(m, n, k) \vee R(v, p, m, n, k) \vee S(v, p, m, n, k)]$
- (d) $\text{PA} \vdash \text{exp}(m, k) = \overline{\neg} \neg * a \rightarrow \sim[O(v, s, m, n, k) \vee P(m, n, k) \vee R(v, p, m, n, k) \vee S(v, p, m, n, k)]$
- (e) $\text{PA} \vdash [\text{Var}(j) \wedge \text{exp}(m, k) = \overline{\neg} \forall * j * a \wedge j \neq v] \rightarrow \sim[O(v, s, m, n, k) \vee P(m, n, k) \vee Q(m, n, k) \vee S(v, p, m, n, k)]$
- (f) $\text{PA} \vdash [\text{Var}(j) \wedge \text{exp}(m, k) = \overline{\neg} \forall * j * a \wedge j = v] \rightarrow \sim[O(v, s, m, n, k) \vee P(m, n, k) \vee Q(m, n, k) \vee R(v, p, m, n, k)]$
- (g) $\text{PA} \vdash [\text{Formsub}(p, v, s, q) \wedge \text{Formsub}(p, v, s, r)] \rightarrow q = r$
- (h) $\text{PA} \vdash [\mathcal{W}\text{ff}(p) \wedge \text{Term}(s)] \rightarrow [\text{Formsub}(p, v, s, q) \rightarrow \text{formusb}(p, v, s) = q]$

- (i) $\text{PA} \vdash [\mathcal{Wff}(p) \wedge \text{Term}(s)] \rightarrow [\sim \text{Free}_f(p, v) \rightarrow \text{formsub}(p, v, s) = p]$
 corollary: If x is not free in \mathcal{P} , then $\text{PA} \vdash \text{formsub}(\overline{\mathcal{P}}, \overline{x}, y) = \overline{\mathcal{P}}$
- (j) $\text{PA} \vdash [\mathcal{Wff}(p) \wedge \text{Term}(s) \wedge \text{Var}(v)] \rightarrow [\text{Free}_f(p, v) \rightarrow s \leq \text{formsub}(p, v, s)]$
 Hint: See the corresponding members of T13.55.

Given what we have just obtained, we are positioned for some results related to Gen and A4. Let $\text{numseq}(n)$ be as follows.

$$\begin{aligned} \text{PA} \vdash \text{numseq}(\emptyset) &= \text{pi}(\emptyset)^{\text{num}(\emptyset)} \\ \text{PA} \vdash \text{numseq}(Sy) &= \text{numseq}(y) \times \text{pi}(Sy)^{\text{num}(Sy)} \end{aligned}$$

We shall be able to show that $\text{numseq}(n)$ numbers a term sequence for $\text{num}(n)$. In addition let,

$$\begin{aligned} T(m, k) &= \text{Atomic}(\text{exp}(m, k)) \\ U(m, k) &= (\exists j < k)[\text{exp}(m, k) = \text{neg}(\text{exp}(m, j))] \\ V(m, k) &= (\exists i < k)(\exists j < k)[\text{exp}(m, k) = \text{end}(\text{exp}(m, i), \text{exp}(m, j))] \\ W(u, v, m, k) &= (\exists p \leq u)[\mathcal{Wff}(p) \wedge \text{exp}(m, k) = \text{inv}(v, p)] \\ X(u, v, s, m, k) &= (\exists i < k)(\exists j \leq u)[\text{Var}(j) \wedge j \neq v \wedge (\sim \text{Free}_f(s, j) \vee \sim \text{Free}_f(\text{exp}(m, i), v)) \wedge \\ &\quad \text{exp}(m, k) = \text{inv}(j, \text{exp}(m, i))] \end{aligned}$$

T13.57. The following are theorems of PA.

- (a) $\text{PA} \vdash \text{Ffseq}(m, s, v, u) \leftrightarrow [\text{exp}(m, \text{len}(m) \dot{-} 1) = u \wedge m > \bar{1} \wedge (\forall k < \text{len}(m))(T(m, k) \vee U(m, k) \vee V(m, k) \vee W(u, v, m, k) \vee X(u, v, s, m, k))]$
- (b) (i) $\text{PA} \vdash \text{Freefor}(s, v, u) \leftrightarrow (\exists x \leq B_u) \text{Ffseq}(x, s, v, u)$
 (ii) $\text{PA} \vdash B_u = [\text{pi}(\text{len}(u))^u]^{\text{len}(u)}$
- (c) $\text{PA} \vdash \text{Axiomad4}(n) \leftrightarrow (\exists p \leq n)(\exists v \leq n)\{\mathcal{Wff}(p) \wedge \text{Var}(v) \wedge [$
 $(\sim \text{Free}_f(v, p) \wedge n = \text{end}(\text{inv}(v, p), p)) \vee$
 $(\exists s \leq n)(\text{Free}_f(v, p) \wedge \text{Term}(s) \wedge \text{Freefor}(s, v, p) \wedge n = \text{end}(\text{inv}(v, p), \text{formsub}(p, v, s)))\}$
- (d) (i) $\text{PA} \vdash \text{num}(\emptyset) = \overline{\emptyset}$
 (ii) $\text{PA} \vdash \text{num}(Sy) = \overline{S} * \text{num}(y)$
- (e) $\text{PA} \vdash \text{gvar}(n) = \overline{2}^{\overline{23} + \overline{2} \times n}$
- (f) $\text{PA} \vdash \text{Var}(\text{gvar}(n))$
- (g) $\text{PA} \vdash \text{gvar}(m) = \text{gvar}(n) \rightarrow m = n$

- ***(h)** $\text{PA} \vdash [\text{Prvt}(p) \wedge \text{Var}(v)] \rightarrow \text{Prvt}(\text{unv}(v, p))$
- (i) $\text{PA} \vdash \text{Axiom}(n) \rightarrow \text{Prvt}(n)$
- ***(j)** $\text{PA} \vdash [\text{Wff}(p) \wedge \text{Var}(v)] \rightarrow \text{Freefor}(v, v, p)$
- ***(k)** $\text{PA} \vdash \text{Axiomad4}(n) \leftrightarrow \exists s(\exists p \leq n)(\exists v \leq n)[\text{Wff}(p) \wedge \text{Var}(v) \wedge$
 $\text{Term}(s) \wedge \text{Freefor}(s, v, p) \wedge n = \text{end}(\text{unv}(v, p), \text{formsub}(p, v, s))]$
- (l) $\text{PA} \vdash \text{num}(x) > \emptyset$
- (m) $\text{PA} \vdash \text{numseq}(x) > \bar{1}$
- (n) $\text{PA} \vdash \text{len}(\text{num}(x)) = Sx$
- ***(o)** $\text{PA} \vdash \text{len}(\text{numseq}(x)) = Sx$
- (p) $\text{PA} \vdash \forall y[y \leq x \rightarrow \text{exp}(\text{numseq}(x), y) = \text{num}(y)]$
- (q) $\text{PA} \vdash \text{Var}(v) \rightarrow v \neq \text{num}(y)$
- (r) $\text{PA} \vdash \text{Termseq}(\text{numseq}(x), \text{num}(x))$
corollary: $\text{PA} \vdash \text{Term}(\text{num}(x))$
- (s) $\text{PA} \vdash \text{Termsub}(\text{num}(n), v, s, \text{num}(n))$
corollary: $\text{PA} \vdash \sim \text{Free}_t(\text{num}(n), v)$
- ***(t)** $\text{PA} \vdash [\text{Wff}(p) \wedge \text{Var}(v)] \rightarrow \text{Freefor}(\text{num}(x), v, p)$
- (u) $\text{PA} \vdash \text{Wff}(p) \rightarrow \text{Prvt}(\text{end}(\text{unv}(\text{gvar}(n), p), \text{formsub}(p, \text{gvar}(n), \text{num}(x))))$

Hint: (p) is by induction on the value of x . For (q) it may help to think about the length of v and $\text{num}(y)$. For (r) to show the bounded quantification for $\text{Termseq}(\text{numseq}(x), \text{num}(x))$ you assume $j < \text{len}(\text{numseq}(x))$; then $j = \emptyset \vee j > \emptyset$ and the cases are easy. (s) again, in the argument for the bounded quantifier, $j = \emptyset \vee j > \emptyset$.

Effectively, (h) is like Gen. (k) is like the intuitive version of A4 from p. 605. And (u) results with A4 when the substituted term is a numeral (so that associated restrictions are automatically met).

Finally, a theorem with results first for substitution into a conditional, and then for substitution into other substitutions. The latter include matched results for *Termsub*, *Atomsub* and then *Formsub*.

T13.58. The following are theorems of PA. Suppose $x = x_i$ and $y = x_j$.

- (a) $\text{PA} \vdash [\mathcal{W}\mathcal{F}\mathcal{F}(p) \wedge \mathcal{W}\mathcal{F}\mathcal{F}(q) \wedge \text{Term}(s)] \rightarrow \text{formsub}(\text{end}(p, q), v, s) = \text{end}(\text{formsub}(p, v, s), \text{formsub}(q, v, s))$
- *(b) $\text{PA} \vdash [\text{Term}(p) \wedge v \neq w] \rightarrow \exists q \exists t \exists t' [\text{Termsub}(p, v, \text{num}(y), t) \wedge \text{Termsub}(p, w, \text{num}(z), t') \wedge \text{Termsub}(t, w, \text{num}(z), q) \wedge \text{Termsub}(t', v, \text{num}(y), q)]$
- (c) $\text{PA} \vdash [\text{Atomic}(p) \wedge v \neq w] \rightarrow \exists q \exists t \exists t' [\text{Atomsub}(p, v, \text{num}(y), t) \wedge \text{Atomsub}(p, w, \text{num}(z), t') \wedge \text{Atomsub}(t, w, \text{num}(z), q) \wedge \text{Atomsub}(t', v, \text{num}(y), q)]$
- *(d) $\text{PA} \vdash [\mathcal{W}\mathcal{F}\mathcal{F}(p) \wedge v \neq w] \rightarrow \text{formsub}(\text{formsub}(p, v, \text{num}(y)), w, \text{num}(z)) = \text{formsub}(\text{formsub}(p, w, \text{num}(z)), v, \text{num}(y))$
- (e) $\text{PA} \vdash [\text{Term}(p) \wedge \text{Var}(w)] \rightarrow \exists q \exists t \exists t' [\text{Termsub}(p, v, w, t) \wedge \text{Termsub}(p, v, \text{num}(y), t') \wedge \text{Termsub}(t, w, \text{num}(y), q) \wedge \text{Termsub}(t', w, \text{num}(y), q)]$
- (f) $\text{PA} \vdash [\text{Atomic}(p) \wedge \text{Var}(w)] \rightarrow \exists q \exists t \exists t' [\text{Atomsub}(p, v, w, t) \wedge \text{Atomsub}(p, v, \text{num}(y), t') \wedge \text{Atomsub}(t, w, \text{num}(y), q) \wedge \text{Atomsub}(t', w, \text{num}(y), q)]$
- (g) $\text{PA} \vdash [\mathcal{W}\mathcal{F}\mathcal{F}(p) \wedge \text{Var}(w)] \rightarrow \text{formsub}(\text{formsub}(p, v, w), w, \text{num}(y)) = \text{formsub}(\text{formsub}(p, v, \text{num}(y)), w, \text{num}(y))$
- (h) $\text{PA} \vdash [\text{Term}(p) \wedge \text{Var}(w)] \rightarrow \exists q \exists t \exists t' [\text{Termsub}(p, v, \overline{\Gamma S \overline{\Gamma}} * w, t) \wedge \text{Termsub}(p, v, \text{num}(Sy), t') \wedge \text{Termsub}(t, w, \text{num}(y), q) \wedge \text{Termsub}(t', w, \text{num}(y), q)]$
- (i) $\text{PA} \vdash [\text{Atomic}(p) \wedge \text{Var}(w)] \rightarrow \exists q \exists t \exists t' [\text{Atomsub}(p, v, \overline{\Gamma S \overline{\Gamma}} * w, t) \wedge \text{Atomsub}(p, v, \text{num}(Sy), t') \wedge \text{Atomsub}(t, w, \text{num}(y), q) \wedge \text{Atomsub}(t', w, \text{num}(y), q)]$
- (j) $\text{PA} \vdash [\mathcal{W}\mathcal{F}\mathcal{F}(p) \wedge \text{Var}(w)] \rightarrow \text{formsub}(\text{formsub}(p, v, \overline{\Gamma S \overline{\Gamma}} * w), w, \text{num}(y)) = \text{formsub}(\text{formsub}(p, v, \text{num}(Sy)), w, \text{num}(y)).$

Hints: (b) Let $\mathcal{P} = \exists q \exists a \exists b \exists c \exists d [\text{Tsubseq}(a, b, \text{exp}(n, k), w, \text{num}(z), q) \wedge \text{Tsubseq}(c, d, \text{exp}(n', k'), v, \text{num}(y), q)]$; show $\forall x (\forall k < \text{len}(m)) (\forall k' < \text{len}(m')) [\text{len}(\text{exp}(m, k)) \leq x \rightarrow (\text{exp}(m, k) = \text{exp}(m', k') \rightarrow \mathcal{P})]$ by IN; the result follows. (c) Under the assumption for \rightarrow I, apply T13.49c and then (b). For (e) let $\mathcal{P} = \exists q \exists a \exists b \exists c \exists d [\text{Tsubseq}(a, b, \text{exp}(n, k), w, \text{num}(y), q) \wedge \text{Tsubseq}(c, d, \text{exp}(n', k'), w, \text{num}(y), q)]$; show $\forall x (\forall k < \text{len}(m)) (\forall k' < \text{len}(m')) [\text{len}(\text{exp}(m, k)) \leq x \rightarrow (\text{exp}(m, k) = \text{exp}(m', k') \rightarrow \mathcal{P})]$ by IN.

Speaking loosely: From (a), $(\mathcal{P} \rightarrow \mathcal{Q})_s^v = \mathcal{P}_s^v \rightarrow \mathcal{Q}_s^v$. From theorems leading up to (d), if $v \neq w$ then $(\mathcal{P}_{num(y)}^v)^w_{num(z)} = (\mathcal{P}_{num(z)}^w)^v_{num(y)}$. From ones leading to (g), $(\mathcal{P}_w^v)^w_{num(y)} = (\mathcal{P}_{num(y)}^v)^w_{num(y)}$. And from ones leading to (j), $(\mathcal{P}_{sw}^v)^w_{num(y)} = (\mathcal{P}_{num(Sy)}^v)^w_{num(y)}$. For these is important that $num(y)$ is a numeral and so has no variables to be replaced. Arguments combine methods we have seen before; reasoning is straightforward but long.

***E13.40.** Set up the argument for T13.55k including assertion of the main proposition to be shown by induction; then set up the show part working just the L case. Hard core: finish T13.55k and the rest of the results in T13.55.

***E13.41.** Set up the argument for T13.56i including assertion of the main proposition to be shown by induction; then set up the show part working just the P case. Hard core: finish T13.56c and the rest of the results in T13.56.

***E13.42.** Show (s) and (u) from T13.57. Hard core: show the rest of the results from T13.57.

***E13.43.** Show T13.58a; then set up the argument for T13.58g including assertion of the main proposition to be shown by induction; then set up the show part working just the P case. Hard core: finish T13.58g and the rest of the results in T13.58.

13.5.2 Sigma star.

Our aim is to show $PA \vdash \mathcal{Q} \rightarrow \Box \mathcal{Q}$ for any Σ_1 sentence \mathcal{Q} . Given our minimal resources, the task is simplified if we can give a minimal specification of the Σ_1 formulas themselves. Toward this end, we introduce a special class of formulas, the Σ^* formulas; and show that every Σ_1 formula is a Σ^* formula.

Σ^* formulas are as follows.

(Σ^*) For any variables x, y and z ,

- (a) $\emptyset = z, y = z, Sy = z, x + y = z$ and $x \times y = z$ are *strictly* Σ^* .
- (s) If \mathcal{P} and \mathcal{Q} are strictly Σ^* , then so are $(\mathcal{P} \vee \mathcal{Q})$, and $(\mathcal{P} \wedge \mathcal{Q})$.
- (\forall) If \mathcal{P} is strictly Σ^* , then so is $(\forall x \leq y) \mathcal{P}$ where y does not occur in \mathcal{P} .

(\exists) If \mathcal{P} is strictly Σ^* , then so is $\exists x\mathcal{P}$.

(c) Nothing else is strictly Σ^* .

A formula is Σ^* iff it is equivalent to a strictly Σ^* formula.

Given that the existential quantifier comes to the front (as for T12.10), it is perhaps obvious that every Σ^* formula is Σ_1 . At any rate, we aim to show the other direction: that every Σ_1 formula is provably equivalent to a Σ^* formula. Then results which apply to all the Σ^* formulas immediately transfer to the Σ_1 formulas. We begin showing that there are Σ^* formulas equivalent to atomic equalities of the sort $t = x$. Then (depending on an extended notion of *normal* form and a result according to which Δ_0 formulas always have equivalent normal forms) we show that there are Σ^* formulas equivalent to Δ_0 formulas. From this it is a short step to the result that there are Σ^* formulas equivalent to all the Σ_1 formulas. First, then, the result for atomic equalities,

T13.59. For any \mathcal{P} of the form $t = x$, there is a Σ^* formula \mathcal{P}^* such that $\text{PA} \vdash \mathcal{P} \leftrightarrow \mathcal{P}^*$.

By induction on the function symbols in t .

Basis: If t has no function symbols, then it is the constant \emptyset or a variable y , so \mathcal{P} is of the form, $\emptyset = x$ or $y = x$; but these are already Σ^* formulas. So let \mathcal{P}^* be the same as \mathcal{P} . Then $\text{PA} \vdash \mathcal{P} \leftrightarrow \mathcal{P}^*$.

Assp: For any i , $0 \leq i < k$, if t has i function symbols, there is a \mathcal{P}^* such that $\text{PA} \vdash \mathcal{P} \leftrightarrow \mathcal{P}^*$.

Show: If t has k function symbols, there is a \mathcal{P}^* such that $\text{PA} \vdash \mathcal{P} \leftrightarrow \mathcal{P}^*$.

If t has k function symbols, then it is of the form, Sr , $r + s$ or $r \times s$ for r and s with $< k$ function symbols.

(S) t is Sr , so that \mathcal{P} is $Sr = x$. Set $\mathcal{P}^* = \exists z[(r = z)^* \wedge Sz = x]$; then by assumption, $\text{PA} \vdash r = z \leftrightarrow (r = z)^*$. So reason as follows,

1.	$r = z \leftrightarrow (r = z)^*$	assp
2.	$Sr = x$	A ($g \leftrightarrow I$)
3.	$r = r \wedge Sr = x$	from 2
4.	$\exists z[r = z \wedge Sz = x]$	\exists EI
5.	$\exists z[(r = z)^* \wedge Sz = x]$	1,4 with T9.9
6.	$\exists z[(r = z)^* \wedge Sz = x]$	A ($g \leftrightarrow I$)
7.	$(r = z)^* \wedge Sz = x$	A ($g \exists E$)
8.	$r = z$	1,7 $\leftrightarrow E$
9.	$Sr = x$	from 7,8
10.	$Sr = x$	6,7-9 $\exists E$
11.	$Sr = x \leftrightarrow \exists z[(r = z)^* \wedge Sz = x]$	2-5,6-10 $\leftrightarrow I$

So $PA \vdash \mathcal{P} \leftrightarrow \mathcal{P}^*$.

(+) $t = s + r$, so that \mathcal{P} is $s + r = x$. Set $\mathcal{P}^* = \exists u \exists v[(s = u)^* \wedge (r = v)^* \wedge u + v = x]$. Then $PA \vdash \mathcal{P} \leftrightarrow \mathcal{P}^*$.

(\times) Similarly.

Indct: For any \mathcal{P} of the form $t = x$, there is a \mathcal{P}^* such that $PA \vdash \mathcal{P} \leftrightarrow \mathcal{P}^*$.

Now generalize the some operations from T8.1. There we said a formula is in *normal* form iff its only operators are \vee , \wedge , and \sim , and the only instances of \sim are immediately prefixed to atomics. Now a formula is in (*extended*) *normal* form iff its only operators are \vee , \wedge , \sim , or a bounded quantifier, and the only instances of \sim are immediately prefixed to atomics (which may include inequalities). Again, generalizing from before, where \mathcal{P} is a normal form, let \mathcal{P}' be like \mathcal{P} except that \vee and \wedge , universal and existential quantifiers and, for an atomic \mathcal{A} , \mathcal{A} and $\sim \mathcal{A}$ are interchanged. So, for example, $(\exists x \leq p)(x = p \vee x \not\leq p)' = (\forall x \leq p)(x \neq p \wedge x > p)$. Still generalizing, for any Δ_0 formula whose operators are \sim , \rightarrow and the bounded quantifiers, for atomic \mathcal{A} , let $\mathcal{A}^* = \mathcal{A}$; and $[\sim \mathcal{P}]^* = [\mathcal{P}^*]'$; $(\mathcal{P} \rightarrow \mathcal{Q})^* = ([\mathcal{P}^*]' \vee \mathcal{Q}^*)$; $[(\exists x \leq t)\mathcal{P}]^* = (\exists x \leq t)\mathcal{P}^*$ and $[(\forall x \leq t)\mathcal{P}]^* = (\forall x \leq t)\mathcal{P}^*$ (and similarly for $(\exists x < t)$ and $(\forall x < t)$). Then as a simple extension to the result from E8.9,

T13.60. For any Δ_0 formula \mathcal{P} , there is a normal formula \mathcal{P}^* such that $\vdash \mathcal{P} \leftrightarrow \mathcal{P}^*$.

The demonstration is straightforward extension of the reasoning from E8.9.

We show our result as applied to these normal forms. Thus,

***T13.61.** For any Δ_0 formula \mathcal{P} there is a Σ^* formula \mathcal{P}^* such that $\text{PA} \vdash \mathcal{P} \leftrightarrow \mathcal{P}^*$.

From T13.60, for any Δ_0 formula \mathcal{P} , there is a normal \mathcal{P}^* such that $\vdash \mathcal{P} \leftrightarrow \mathcal{P}^*$. Now by induction on the number of operators in \mathcal{P}^* , we show there is a \mathcal{P}^* such that $\text{PA} \vdash \mathcal{P}^* \leftrightarrow \mathcal{P}^*$.

Basis: If \mathcal{P}^* has no operators, then it is an atomic of the sort $s = t$, $s \leq t$ or $s < t$.

(=) \mathcal{P}^* is $s = t$. Set $\mathcal{P}^* = \exists z[(s = z)^* \wedge (t = z)^*]$. By T13.59, $\text{PA} \vdash s = z \leftrightarrow (s = z)^*$ and $\text{PA} \vdash t = z \leftrightarrow (t = z)^*$; so $\text{PA} \vdash \mathcal{P}^* \leftrightarrow \mathcal{P}^*$.

(\leq) \mathcal{P}^* is $s \leq t$, which is to say $\exists z(z + s = t)$. By the case immediately above, $\text{PA} \vdash (z + s = t) \leftrightarrow (z + s = t)^*$. Set $\mathcal{P}^* = \exists z(z + s = t)^*$. Then $\text{PA} \vdash \mathcal{P}^* \leftrightarrow \mathcal{P}^*$. And similarly for $<$.

Assp: For any i , $0 \leq i < k$, if a normal \mathcal{P}^* has i operator symbols, then there is a Σ^* formula \mathcal{P}^* such that $\text{PA} \vdash \mathcal{P}^* \leftrightarrow \mathcal{P}^*$.

Show: If a normal \mathcal{P}^* has k operator symbols, then there is a Σ^* formula \mathcal{P}^* such that $\text{PA} \vdash \mathcal{P}^* \leftrightarrow \mathcal{P}^*$.

If \mathcal{P}^* has k operator symbols, then it is of the form $\sim \mathcal{A}$, $\mathcal{B} \wedge \mathcal{C}$, $\mathcal{B} \vee \mathcal{C}$, $(\exists x \leq t)\mathcal{B}$, $(\exists x < t)\mathcal{B}$, $(\forall x \leq t)\mathcal{B}$ or $(\forall x < t)\mathcal{B}$, where \mathcal{A} is atomic and \mathcal{B} and \mathcal{C} are normal with $< k$ operator symbols.

(\sim) \mathcal{P}^* is $\sim \mathcal{A}$. (i) \mathcal{P}^* is $s \neq t$. Set $\mathcal{P}^* = (s < t)^* \vee (t < s)^*$; then by assumption, $\text{PA} \vdash s < t \leftrightarrow (s < t)^*$ and $\text{PA} \vdash t < s \leftrightarrow (t < s)^*$; and with T13.13p, $\text{PA} \vdash \mathcal{P}^* \leftrightarrow \mathcal{P}^*$.

(ii) \mathcal{P}^* is $s \not\leq t$; set $\mathcal{P}^* = (t \leq s)^*$; then by assumption, $\text{PA} \vdash t \leq s \leftrightarrow (t \leq s)^*$; and with T13.13r, $\text{PA} \vdash \mathcal{P}^* \leftrightarrow \mathcal{P}^*$. And similarly for $\mathcal{P}^* = s \not< t$.

(\wedge) \mathcal{P}^* is $\mathcal{B} \wedge \mathcal{C}$. Set $\mathcal{P}^* = \mathcal{B}^* \wedge \mathcal{C}^*$; since \mathcal{B} and \mathcal{C} are normal, by assumption $\text{PA} \vdash \mathcal{B} \leftrightarrow \mathcal{B}^*$ and $\text{PA} \vdash \mathcal{C} \leftrightarrow \mathcal{C}^*$; so $\text{PA} \vdash \mathcal{P}^* \leftrightarrow \mathcal{P}^*$. And similarly for \vee .

(\forall) \mathcal{P}^* is $(\forall x \leq t)\mathcal{B}$. Set $\mathcal{P}^* = \exists z[(t = z)^* \wedge (\forall x \leq z)\mathcal{B}^*]$; by T13.59 $\text{PA} \vdash t = z \leftrightarrow (t = z)^*$ and by assumption, $\text{PA} \vdash \mathcal{B} \leftrightarrow \mathcal{B}^*$ so $\text{PA} \vdash \mathcal{P}^* \leftrightarrow \mathcal{P}^*$. And, by a related construction, similarly for $(\forall x < t)\mathcal{B}$.

(\exists) \mathcal{P}^* is $(\exists x \leq t)\mathcal{B}$. Set $\mathcal{P}^* = \exists x[(x \leq t)^* \wedge \mathcal{B}^*]$; then by assumption $\text{PA} \vdash x \leq t \leftrightarrow (x \leq t)^*$ and $\text{PA} \vdash \mathcal{B} \leftrightarrow \mathcal{B}^*$; so $\text{PA} \vdash \mathcal{P}^* \leftrightarrow \mathcal{P}^*$. And similarly for $(\exists x < t)\mathcal{B}$.

Indct: For any normal \mathcal{P}^* there is a \mathcal{P}^* such that $\text{PA} \vdash \mathcal{P}^* \leftrightarrow \mathcal{P}^*$.

So from T13.60 for any Δ_0 formula \mathcal{P} , there is a \mathcal{P}^* such that $\vdash \mathcal{P} \leftrightarrow \mathcal{P}^*$ and by the above reasoning, $\text{PA} \vdash \mathcal{P}^* \leftrightarrow \mathcal{P}^*$. So $\text{PA} \vdash \mathcal{P} \leftrightarrow \mathcal{P}^*$.

Now it is immediate that for any Σ_1 formula \mathcal{P} there is a Σ^* formula \mathcal{P}^* such that $\text{PA} \vdash \mathcal{P} \leftrightarrow \mathcal{P}^*$.

T13.62. For any Σ_1 formula \mathcal{P} there is a Σ^* formula \mathcal{P}^* such that $\text{PA} \vdash \mathcal{P} \leftrightarrow \mathcal{P}^*$.

Consider any Σ_1 formula \mathcal{P} . This formula is of the form $\exists x_1 \dots \exists x_n \mathcal{A}$ for Δ_0 formula \mathcal{A} . But by T13.61, there is an \mathcal{A}^* such that $\text{PA} \vdash \mathcal{A} \leftrightarrow \mathcal{A}^*$. Let \mathcal{P}^* be $\exists x_1 \dots \exists x_n \mathcal{A}^*$. Then $\text{PA} \vdash \mathcal{P} \leftrightarrow \mathcal{P}^*$.

E13.44. Provide a demonstration to show T13.60.

E13.45. Fill in the parts of T13.59 and T13.61 that are left as “similarly” to show that $\text{PA} \vdash \mathcal{P} \leftrightarrow \mathcal{P}^$.

E13.46. Show that for any Σ^* formula \mathcal{P}^* there is a Σ_1 formula \mathcal{P} such that $\text{PA} \vdash \mathcal{P} \leftrightarrow \mathcal{P}^*$ and so that the Σ^* formulas are the same as the Σ_1 formulas.

13.5.3 Substitutions

Return to our function $\text{formsub}(p, \text{gvar}(n), \text{num}(y))$ which returns the number of the formula that substitutes a numeral for the value assigned to y into the place of variable x_n , and to the corresponding $\text{formsub}(p, \text{gvar}(n), \text{num}(y))$. We now define a $\text{sub}(\overline{\mathcal{P}}, \vec{y})$ which substitutes numerals for all the variables free in \mathcal{P} . Where \vec{y} is a (possibly empty) sequence of distinct variables, including at least all variables free in \mathcal{P} , consider an enumeration $\text{enum}(i)$ of variable subscripts in \vec{y} so that $\text{enum}(i) = y_i$ is the subscript of the i^{th} variable and \bar{y}_i the numeral corresponding to that subscript; so the variables of \vec{y} are $x_{y_1} \dots x_{y_n}$ (perhaps the enumeration is by list where $\text{enum}(i) = \text{enum}(n)$ when $i > n$). Then,

$$\text{PA} \vdash \text{sub}_0(\overline{\mathcal{P}}, \vec{y}) = \overline{\mathcal{P}}$$

$$\text{PA} \vdash \text{sub}_{Si}(\overline{\mathcal{P}}, \vec{y}) = \text{formsub}(\text{sub}_i(\overline{\mathcal{P}}, \vec{y}), \text{gvar}(\bar{y}_{Si}), \text{num}(x_{y_{Si}}))$$

And $\text{PA} \vdash \text{sub}(\overline{\mathcal{P}^\top}, \vec{y}) = \text{sub}_n(\overline{\mathcal{P}^\top}, \vec{y})$. Observe that enum does not appear in the \mathcal{L}_{NT} expression; rather we *use* the function to make the specification in which there appear a certain variable $x_{y_{\text{Si}}}$ and numeral \bar{y}_{Si} . Also, $\text{sub}(\overline{\mathcal{P}^\top}, \vec{y})$ still has as free variables each $x_{y_{\text{Si}}}$ free in \mathcal{P} but returns the Gödel number of a sentence — the sentence which substitutes into places for free variables numerals for the values assigned to those variables.

From a few quick theorems, so long as \vec{y} and \vec{z} include all the free variables of \mathcal{P} , $\text{sub}(\overline{\mathcal{P}^\top}, \vec{y}) = \text{sub}(\overline{\mathcal{P}^\top}, \vec{z})$.

T13.63. $\text{PA} \vdash \mathcal{Wff}(\text{sub}_i(\overline{\mathcal{P}^\top}, \vec{y}))$. Corollary: $\text{PA} \vdash \mathcal{Wff}(\text{sub}(\overline{\mathcal{P}^\top}, \vec{y}))$.

By an easy induction.

T13.64. $\text{sub}_i(\overline{\mathcal{P}^\top}, x_{x_1} \dots x_{x_i}, \vec{y}) = \text{sub}_i(\overline{\mathcal{P}^\top}, x_{x_1} \dots x_{x_i}, \vec{z})$

By an easy induction.

***T13.65.** For any i , $\text{PA} \vdash \text{sub}_{i+1}(\overline{\mathcal{P}^\top}, x_a, x_{y_1} \dots x_{y_n}) = \text{sub}_{i+1}(\overline{\mathcal{P}^\top}, x_{y_1} \dots x_{y_i}, x_a, x_{y_{i+1}} \dots x_{y_n})$

The argument is an induction on the value of i . For the show, you need $\text{PA} \vdash \text{sub}_{i+2}(\overline{\mathcal{P}^\top}, x_a, x_{y_1} \dots x_{y_n}) = \text{sub}_{i+2}(\overline{\mathcal{P}^\top}, x_{y_1} \dots x_{y_{i+1}}, x_a, x_{y_{i+2}} \dots x_{y_n})$. The key to this is that $\text{sub}_{i+2}(\overline{\mathcal{P}^\top}, x_{y_1} \dots x_{y_{i+1}}, x_a, x_{y_{i+2}} \dots x_{y_n})$ is,

$$\text{formsub}[\text{formsub}(\text{sub}_i(\overline{\mathcal{P}^\top}, x_{y_1} \dots x_{y_{i+1}}, x_a, x_{y_{i+2}} \dots x_{y_n}), \mathcal{Gvar}(\bar{y}_{i+1}), \mathcal{num}(x_{y_{i+1}})), \mathcal{Gvar}(\bar{y}_a), \mathcal{num}(x_{y_a})]$$

You will be able to use T13.64 and T13.58d. As a preliminary it will be useful to show that if $\text{PA} \vdash \mathcal{Wff}(p)$, then $\text{PA} \vdash \text{formsub}(\text{formsub}(p, \mathcal{Gvar}(\bar{a}), \mathcal{num}(x_a)), \mathcal{Gvar}(\bar{b}), \mathcal{num}(x_b)) = \text{formsub}(\text{formsub}(p, \mathcal{Gvar}(\bar{b}), \mathcal{num}(x_b)), \mathcal{Gvar}(\bar{a}), \mathcal{num}(x_a))$.

T13.65 effectively gives the ability to sort variables from one order into another. Suppose the variables of \vec{x} are the same as the variables of \vec{y} . To convert \vec{y} to \vec{x} , a straightforward approach is to switch members into the first position in the reverse of their order in \vec{x} — so for n members, at stage i , the result is $x_{x_{\text{Sn}-i}} \dots x_{x_n}, \vec{y}'$ where \vec{y}' is like \vec{y} less the members that precede it. So for a vector with 6 members, at stage 0 we begin with some $\text{sub}(\overline{\mathcal{P}^\top}, \vec{y})$; then at stage three PA proves this is equivalent to $\text{sub}(\overline{\mathcal{P}^\top}, x_{x_4}, x_{x_5}, x_{x_6}, \vec{y}')$; and at stage 6 that it is equivalent to $\text{sub}(\overline{\mathcal{P}^\top}, \vec{x})$. This is an induction, but simple enough, so left as an exercise.

T13.66. If x_a is not free in \mathcal{P} , then $\text{PA} \vdash \text{sub}_{i+1}(\overline{\mathcal{P}}, x_{y_1} \dots x_{y_i}, x_a, x_{y_{(i+1)}} \dots x_{y_n})$
 $= \text{sub}_i(\overline{\mathcal{P}}, x_{y_1} \dots x_{y_i}, x_a, x_{y_{(i+1)}} \dots x_{y_n})$

In light of T13.64 and T13.65 it is sufficient to show $\text{PA} \vdash \text{sub}_{i+1}(\overline{\mathcal{P}}, x_a, x_{y_1} \dots x_{y_i}) = \text{sub}_i(\overline{\mathcal{P}}, x_{y_1} \dots x_{y_i}, x_a)$. The argument is by induction on i , where the basis uses $\mathbb{Wff}(\overline{\mathcal{P}}) \wedge \sim \text{Free}_f(\overline{\mathcal{P}}, \text{gvar}(\bar{a})) \wedge \text{Term}(\text{num}(x_a))$ by capture and T13.57r, and then T13.56i to establish that $\text{PA} \vdash \text{sub}_1(\overline{\mathcal{P}}, x_a, x_{y_1} \dots x_{y_i}) = \text{sub}_0(\overline{\mathcal{P}}, x_{y_1} \dots x_{y_i}, x_a)$.

*T13.67. If the variables of \vec{y} and \vec{z} are ordered by their subscripts and \vec{y} and \vec{z} are the same except that \vec{z} includes some variables not in \vec{y} (and so not free in \mathcal{P}), then $\text{PA} \vdash \text{sub}(\overline{\mathcal{P}}, \vec{y}) = \text{sub}(\overline{\mathcal{P}}, \vec{z})$.

Hint: Where the variables of \vec{y} are $x_{y_1} \dots x_{y_m}$ and of \vec{z} are $x_{z_1} \dots x_{z_n}$, let $S(i.j) = Si.Sj$ when $y_{Si} = z_{Sj}$ and $S(i.j) = i.Sj$ when $y_{Si} \neq z_{Sj}$. Then $i.j$ “counts” in the natural way from 0.0 to $m.n$; and you will be able to show that for any member of this $i.j$ sequence, $\text{PA} \vdash \text{sub}_i(\overline{\mathcal{P}}, \vec{y}) = \text{sub}_j(\overline{\mathcal{P}}, \vec{z})$.

And with T13.65 and T13.67, details of the vectors do not matter: Let \vec{x}' and \vec{y}' be like \vec{x} and \vec{y} except that variables are in standard order, and \vec{z} be just the free variables of \mathcal{P} in standard order. Then by T13.65, $\text{sub}(\overline{\mathcal{P}}, \vec{x}) = \text{sub}(\overline{\mathcal{P}}, \vec{x}')$; by T13.67, $\text{sub}(\overline{\mathcal{P}}, \vec{x}') = \text{sub}(\overline{\mathcal{P}}, \vec{z})$; by T13.67 again, $\text{sub}(\overline{\mathcal{P}}, \vec{z}) = \text{sub}(\overline{\mathcal{P}}, \vec{y}')$; and with T13.65, $\text{sub}(\overline{\mathcal{P}}, \vec{y}') = \text{sub}(\overline{\mathcal{P}}, \vec{y})$. So $\text{PA} \vdash \text{sub}(\overline{\mathcal{P}}, \vec{x}) = \text{sub}(\overline{\mathcal{P}}, \vec{y})$ and we shall not usually worry about details of the vectors.

Then, introducing double brackets as a special notation,

$$\text{Prvt}[\![\mathcal{P}(\vec{x})]\!] =_{\text{def}} \text{Prvt}(\text{sub}(\overline{\mathcal{P}}, \vec{x}))$$

Where \mathcal{P} has free variables \vec{x} , $\text{Prvt}(\overline{\mathcal{P}})$ asserts the provability of the open formula $\mathcal{P}(\vec{x})$. But $\text{Prvt}[\![\mathcal{P}(\vec{x})]\!]$ itself has all the free variables of \mathcal{P} and asserts the provability of whatever *sentences* have numerals for the variables free in \mathcal{P} : so, for example, $\forall x \text{Prvt}[\![\mathcal{P}(x)]\!]$ asserts the provability of \mathcal{P}_\emptyset^x , $\mathcal{P}_{S\emptyset}^x$, and so forth. When \mathcal{P} is a sentence, there are no substitutions to be made, and $\text{Prvt}[\![\mathcal{P}]\!]$ is the same as $\text{Prvt}(\overline{\mathcal{P}})$. Thus we set out to show $\text{PA} \vdash \mathcal{P} \rightarrow \text{Prvt}[\![\mathcal{P}]\!]$ for Σ^* formulas. When \mathcal{P} is a sentence, this gives $\text{PA} \vdash \mathcal{P} \rightarrow \text{Prvt}(\overline{\mathcal{P}})$, which is to be shown.

Finally we shall require also some short theorems in order to manipulate this new notion. There are analogs to D1 and D2, and results for substitution. Each is by a short induction. First, for D1.

T13.68. If $\text{PA} \vdash \mathcal{P}$, then $\text{PA} \vdash \text{Prvt}[\![\mathcal{P}]\!]$

Suppose $\text{PA} \vdash \mathcal{P}$. By induction on the value of n , $\text{PA} \vdash \text{Prvt}(\text{sub}_n(\overline{\mathcal{P}^\perp}, \vec{x}))$; the case when $i = n$ gives the desired result.

Basis: $\text{sub}_0(\overline{\mathcal{P}^\perp}, \vec{x}) = \overline{\mathcal{P}^\perp}$. Since $\text{PA} \vdash \mathcal{P}$, by D1, $\text{PA} \vdash \text{Prvt}(\overline{\mathcal{P}^\perp})$; so $\text{PA} \vdash \text{Prvt}(\text{sub}_0(\overline{\mathcal{P}^\perp}, \vec{x}))$.

Assp: $\text{PA} \vdash \text{Prvt}(\text{sub}_i(\overline{\mathcal{P}^\perp}, \vec{x}))$.

Show: $\text{PA} \vdash \text{Prvt}(\text{sub}_{Si}(\overline{\mathcal{P}^\perp}, \vec{x}))$.

- | | |
|--|---------------------|
| 1. $\text{Prvt}(\text{sub}_i(\overline{\mathcal{P}^\perp}, \vec{x}))$ | assp |
| 2. $\text{Var}(\text{gvar}(\vec{x}_{Si}))$ | T13.57f |
| 3. $\text{Wff}(\text{sub}_i(\overline{\mathcal{P}^\perp}, \vec{x}))$ | T13.63 |
| 4. $\text{Prvt}[\text{unv}(\text{gvar}(\vec{x}_{Si}), \text{sub}_i(\overline{\mathcal{P}^\perp}, \vec{x}))]$ | 1,2 T13.57h |
| 5. $\text{Prvt}[\text{end}(\text{unv}(\text{gvar}(\vec{x}_{Si}), \text{sub}_i(\overline{\mathcal{P}^\perp}, \vec{x})),$
$\text{formsub}(\text{sub}_i(\overline{\mathcal{P}^\perp}, \vec{x}), \text{gvar}(\vec{x}_{Si}), \text{num}(x_{x_{Si}})))]$ | 3 T13.57u |
| 6. $\text{Prvt}[\text{unv}(\text{gvar}(\vec{x}_{Si}), \text{sub}_i(\overline{\mathcal{P}^\perp}, \vec{x}))] \rightarrow$
$\text{Prvt}[\text{formsub}(\text{sub}_i(\overline{\mathcal{P}^\perp}, \vec{x}), \text{gvar}(\vec{x}_{Si}), \text{num}(x_{x_{Si}})))]$ | 5 D2 |
| 7. $\text{Prvt}[\text{formsub}(\text{sub}_i(\overline{\mathcal{P}^\perp}, \vec{x}), \text{gvar}(\vec{x}_{Si}), \text{num}(x_{x_{Si}}))]$ | 4,6 $\rightarrow E$ |
| 8. $\text{Prvt}(\text{sub}_{Si}(\overline{\mathcal{P}^\perp}, \vec{x}))$ | 7 def |

Indct: For any n , $\text{PA} \vdash \text{Prvt}(\text{sub}_n(\overline{\mathcal{P}^\perp}, \vec{x}))$

And an analog to D2,

T13.69. $\text{PA} \vdash \text{Prvt}[\![\mathcal{P} \rightarrow \mathcal{Q}]\!] \rightarrow (\text{Prvt}[\![\mathcal{P}]\!] \rightarrow \text{Prvt}[\![\mathcal{Q}]\!])$

We must show $\text{PA} \vdash \text{Prvt}(\text{sub}(\overline{\mathcal{P} \rightarrow \mathcal{Q}^\perp}, \vec{x})) \rightarrow (\text{Prvt}(\text{sub}(\overline{\mathcal{P}^\perp}, \vec{x})) \rightarrow \text{Prvt}(\text{sub}(\overline{\mathcal{Q}^\perp}, \vec{x})))$. First, by induction, $\text{PA} \vdash \text{sub}_i(\text{end}(\overline{\mathcal{P}^\perp}, \overline{\mathcal{Q}^\perp}), \vec{x}) = \text{end}(\text{sub}_i(\overline{\mathcal{P}^\perp}, \vec{x}), \text{sub}_i(\overline{\mathcal{Q}^\perp}, \vec{x}))$. This leads immediately to the desired result.

Basis: $\text{sub}_0(\text{end}(\overline{\mathcal{P}^\perp}, \overline{\mathcal{Q}^\perp}), \vec{x}) = \text{end}(\text{sub}_0(\overline{\mathcal{P}^\perp}, \vec{x}), \text{sub}_0(\overline{\mathcal{Q}^\perp}, \vec{x}))$

- | | |
|---|----------|
| 1. $\text{sub}_0(\text{end}(\overline{\mathcal{P}^\perp}, \overline{\mathcal{Q}^\perp}), \vec{x}) = \text{end}(\overline{\mathcal{P}^\perp}, \overline{\mathcal{Q}^\perp})$ | def |
| 2. $\text{sub}_0(\overline{\mathcal{P}^\perp}, \vec{x}) = \overline{\mathcal{P}^\perp}$ | def |
| 3. $\text{sub}_0(\overline{\mathcal{Q}^\perp}, \vec{x}) = \overline{\mathcal{Q}^\perp}$ | def |
| 4. $\text{sub}_0(\text{end}(\overline{\mathcal{P}^\perp}, \overline{\mathcal{Q}^\perp}), \vec{x}) = \text{end}(\text{sub}_0(\overline{\mathcal{P}^\perp}, \vec{x}), \text{sub}_0(\overline{\mathcal{Q}^\perp}, \vec{x}))$ | 1,2,3 =E |

Assp: $\text{PA} \vdash \text{sub}_i(\text{end}(\overline{\mathcal{P}^\perp}, \overline{\mathcal{Q}^\perp}), \vec{x}) = \text{end}(\text{sub}_i(\overline{\mathcal{P}^\perp}, \vec{x}), \text{sub}_i(\overline{\mathcal{Q}^\perp}, \vec{x}))$

Show: $\text{PA} \vdash \text{sub}_{Si}(\text{end}(\overline{\mathcal{P}^\perp}, \overline{\mathcal{Q}^\perp}), \vec{x}) = \text{end}(\text{sub}_{Si}(\overline{\mathcal{P}^\perp}, \vec{x}), \text{sub}_{Si}(\overline{\mathcal{Q}^\perp}, \vec{x}))$

1. $\mathcal{Wff}(sub_i(\overline{\mathcal{P}^\perp}, \vec{x})) \wedge \mathcal{Wff}(sub_i(\overline{\mathcal{Q}^\perp}, \vec{x}))$ T13.63
2. $\mathcal{Term}(\mathcal{num}(x_{x_{Si}}))$ T13.57r
3. $sub_{Si}(\overline{\mathcal{P}^\perp}, \vec{x}) = \mathcal{formsub}(sub_i(\overline{\mathcal{P}^\perp}, \vec{x}), \mathcal{gvar}(\overline{x_{Si}}), \mathcal{num}(x_{x_{Si}}))$ def
4. $sub_{Si}(\overline{\mathcal{Q}^\perp}, \vec{x}) = \mathcal{formsub}(sub_i(\overline{\mathcal{Q}^\perp}, \vec{x}), \mathcal{gvar}(\overline{x_{Si}}), \mathcal{num}(x_{x_{Si}}))$ def
5. $sub_{Si}(\mathcal{end}(\overline{\mathcal{P}^\perp}, \overline{\mathcal{Q}^\perp}), \vec{x})$
6. $= \mathcal{formsub}(sub_i(\mathcal{end}(\overline{\mathcal{P}^\perp}, \overline{\mathcal{Q}^\perp}), \vec{x}), \mathcal{gvar}(\overline{x_{Si}}), \mathcal{num}(x_{x_{Si}}))$ def
7. $= \mathcal{formsub}(\mathcal{end}(sub_i(\overline{\mathcal{P}^\perp}, \vec{x}), sub_i(\overline{\mathcal{Q}^\perp}, \vec{x})), \mathcal{gvar}(\overline{x_{Si}}), \mathcal{num}(x_{x_{Si}}))$ assp
8. $= \mathcal{end}(\mathcal{formsub}(sub_i(\overline{\mathcal{P}^\perp}, \vec{x}), \mathcal{gvar}(\overline{x_{Si}}), \mathcal{num}(x_{x_{Si}})),$
- $\mathcal{formsub}(sub_i(\overline{\mathcal{Q}^\perp}, \vec{x}), \mathcal{gvar}(\overline{x_{Si}}), \mathcal{num}(x_{x_{Si}})))$ 1,2 T13.58a
9. $= \mathcal{end}(sub_{Si}(\overline{\mathcal{P}^\perp}, \vec{x}), sub_{Si}(\overline{\mathcal{Q}^\perp}, \vec{x}))$ 8,3,4 =E

Indct: For any i , $\text{PA} \vdash sub_i(\mathcal{end}(\overline{\mathcal{P}^\perp}, \overline{\mathcal{Q}^\perp}), \vec{x}) = \mathcal{end}(sub_i(\overline{\mathcal{P}^\perp}, \vec{x}), sub_i(\overline{\mathcal{Q}^\perp}, \vec{x}))$

So $\text{PA} \vdash sub(\mathcal{end}(\overline{\mathcal{P}^\perp}, \overline{\mathcal{Q}^\perp}), \vec{x}) = \mathcal{end}(sub(\overline{\mathcal{P}^\perp}, \vec{x}), sub(\overline{\mathcal{Q}^\perp}, \vec{x}))$. Now,

1. $\left| \begin{array}{l} \text{Prvt}(sub(\overline{\mathcal{P}^\perp} \rightarrow \overline{\mathcal{Q}^\perp}, \vec{x})) \end{array} \right|$ A ($g \rightarrow I$)
2. $\left| \begin{array}{l} \text{Prvt}(sub(\mathcal{end}(\overline{\mathcal{P}^\perp}, \overline{\mathcal{Q}^\perp}), \vec{x})) \end{array} \right|$ 1 cap
3. $\left| \begin{array}{l} \text{Prvt}(\mathcal{end}(sub(\overline{\mathcal{P}^\perp}, \vec{x}), sub(\overline{\mathcal{Q}^\perp}, \vec{x}))) \end{array} \right|$ 2 above
4. $\left| \begin{array}{l} \text{Prvt}(sub(\overline{\mathcal{P}^\perp}, \vec{x}) \rightarrow \text{Prvt}(sub(\overline{\mathcal{Q}^\perp}, \vec{x}))) \end{array} \right|$ 3 D2
5. $\left| \begin{array}{l} \text{Prvt}(sub(\overline{\mathcal{P}^\perp} \rightarrow \overline{\mathcal{Q}^\perp}, \vec{x})) \rightarrow [\text{Prvt}(sub(\overline{\mathcal{P}^\perp}, \vec{x})) \rightarrow \text{Prvt}(sub(\overline{\mathcal{Q}^\perp}, \vec{x}))] \end{array} \right|$ 2-5 $\rightarrow I$

Finally a result for substitutions into these expressions. Again, let $x = x_i$ and $y = x_j$.

T13.70. If t is one of \emptyset , y or Sy and t is free for x in \mathcal{P} , then $\text{PA} \vdash \text{Prvt}[\mathcal{P}_t^x] \leftrightarrow \text{Prvt}[\mathcal{P}]_t^x$.

Consider the case $t = Sy$ and take the variables in the order x, y, \vec{z} where x and y do not appear in \vec{z} . $\text{Prvt}[\mathcal{P}_{Sy}^x] = \text{Prvt}(sub(\overline{\mathcal{P}_{Sy}^x}, x, y, \vec{z}))$. And $\text{Prvt}[\mathcal{P}]_{Sy}^x = \text{Prvt}[sub(\overline{\mathcal{P}}, x, y, \vec{z})]_{Sy}^x = \text{Prvt}[sub(\overline{\mathcal{P}}, x, y, \vec{z})_{Sy}^x]$. Thus it suffices to show $\text{PA} \vdash sub(\overline{\mathcal{P}_{Sy}^x}, x, y, \vec{z}) = sub(\overline{\mathcal{P}}, x, y, \vec{z})_{Sy}^x$. By induction, $\text{PA} \vdash sub_n(\overline{\mathcal{P}_{Sy}^x}, x, y, \vec{z}) = sub_n(\overline{\mathcal{P}}, x, y, \vec{z})_{Sy}^x$.

Basis: $\text{PA} \vdash sub_2(\overline{\mathcal{P}_{Sy}^x}, x, y, \vec{z}) = sub_2(\overline{\mathcal{P}}, x, y, \vec{z})_{Sy}^x$.

1. $\mathbb{Wff}(\overline{\mathcal{P}^\perp})$	cap
2. $\text{Var}(\text{gvar}(j))$	T13.57f
3. $\text{sub}_1(\overline{\mathcal{P}_{S_y}^x}, x, y, \vec{z})$	
4. $= \text{formsub}(\overline{\mathcal{P}_{S_y}^x}, \text{gvar}(\bar{i}), \text{num}(x))$	def
5. $= \overline{\mathcal{P}_{S_y}^x}$	T13.56i
6. $\text{sub}_2(\overline{\mathcal{P}_{S_y}^x}, x, y, \vec{z})$	
7. $= \text{formsub}(\text{sub}_1(\overline{\mathcal{P}_{S_y}^x}, x, y, \vec{z}), \text{gvar}(\bar{j}), \text{num}(y))$	def
8. $= \text{formsub}(\overline{\mathcal{P}_{S_y}^x}, \text{gvar}(\bar{j}), \text{num}(y))$	3-5 =E
9. $= \text{formsub}(\text{formsub}(\overline{\mathcal{P}^\perp}, \text{gvar}(\bar{i}), \overline{S^\perp} * \text{gvar}(\bar{j})), \text{gvar}(\bar{j}), \text{num}(y))$	cap
10. $= \text{formsub}[\text{formsub}(\overline{\mathcal{P}^\perp}, \text{gvar}(\bar{i}), \text{num}(S_y)), \text{gvar}(\bar{j}), \text{num}(y)]$	1,2 T13.58j
11. $\text{sub}_1(\overline{\mathcal{P}^\perp}, x, y, \vec{z})$	
12. $= \text{formsub}(\overline{\mathcal{P}^\perp}, \text{gvar}(\bar{i}), \text{num}(x))$	def
13. $\text{sub}_2(\overline{\mathcal{P}^\perp}, x, y, \vec{z})_{S_y}^x$	
14. $= \text{formsub}(\text{sub}_1(\overline{\mathcal{P}^\perp}, x, y, \vec{z}), \text{gvar}(\bar{j}), \text{num}(y))_{S_y}^x$	def
15. $= \text{formsub}(\text{formsub}(\overline{\mathcal{P}^\perp}, \text{gvar}(\bar{i}), \text{num}(x)), \text{gvar}(\bar{j}), \text{num}(y))_{S_y}^x$	11-12 =E
16. $= \text{formsub}(\text{formsub}(\overline{\mathcal{P}^\perp}, \text{gvar}(\bar{i}), \text{num}(S_y)), \text{gvar}(\bar{j}), \text{num}(y))$	abv
17. $\text{sub}_2(\overline{\mathcal{P}_{S_y}^x}, x, y, \vec{z}) = \text{sub}_2(\overline{\mathcal{P}^\perp}, x, y, \vec{z})_{S_y}^x$	6-10,13-16 =E

Assp: For $2 \leq i$, $\text{PA} \vdash \text{sub}_i(\overline{\mathcal{P}_{S_y}^x}, x, y, \vec{z}) = \text{sub}_i(\overline{\mathcal{P}^\perp}, x, y, \vec{z})_{S_y}^x$.

Show: $\text{PA} \vdash \text{sub}_{S_i}(\overline{\mathcal{P}_{S_y}^x}, x, y, \vec{z}) = \text{sub}_{S_i}(\overline{\mathcal{P}^\perp}, x, y, \vec{z})_{S_y}^x$.

1. $\text{sub}_{S_i}(\overline{\mathcal{P}_{S_y}^x}, x, y, \vec{z})$	
2. $= \text{formsub}(\text{sub}_i(\overline{\mathcal{P}_{S_y}^x}, x, y, \vec{z}), \text{gvar}(\vec{z}_{S_i-2}), \text{num}(x_{z_{S_i-2}}))$	def
3. $= \text{formsub}(\text{sub}_i(\overline{\mathcal{P}^\perp}, x, y, \vec{z})_{S_y}^x, \text{gvar}(\vec{z}_{S_i-2}), \text{num}(x_{z_{S_i-2}}))$	assp
4. $= \text{formsub}(\text{sub}_i(\overline{\mathcal{P}^\perp}, x, y, \vec{z}), \text{gvar}(\vec{z}_{S_i-2}), \text{num}(x_{z_{S_i-2}}))_{S_y}^x$	abv
5. $= \text{sub}_{S_i}(\overline{\mathcal{P}^\perp}, x, y, \vec{z})_{S_y}^x$	def

Indct: $\text{PA} \vdash \text{sub}_n(\overline{\mathcal{P}_{S_y}^x}, x, y, \vec{z}) = \text{sub}_n(\overline{\mathcal{P}^\perp}, x, y, \vec{z})_{S_y}^x$

Line (4) of the show is justified insofar as x does not appear in \vec{z} .

Other cases are similar and left for homework.

*E13.47. (i) Provide a demonstration for T13.65. (ii) Then provide a demonstration for the sorting result that is “simple enough” and so left as an exercise.

*E13.48. Provide a demonstration for T13.67

E13.49. Complete the demonstration of T13.70 by completing the remaining cases.

13.5.4 The result.

We are finally (!) ready to show that for any $\Sigma^* \mathcal{P}$, $\text{PA} \vdash \mathcal{P} \rightarrow \text{Prvt}[\![\mathcal{P}]\!]$. And this is the result we need for D3. The argument is by induction on the number of operators in a Σ^* formula.

Before we launch into the main argument, a word about substitution. From their original statement, the rules $\forall\text{I}$ and $=\text{E}$ result in formulas of the sort \mathcal{P}_t^x or $\mathcal{P}^t/_s$. So from, say, $\forall\text{E}$ applied to $\forall x \text{Prvt}[\![\mathcal{P}]\!]$ we get something of the sort $\text{Prvt}[\![\mathcal{P}]\!]_t^x$. But we need to be careful about what the substitution comes to. In the simplest case, $\text{Prvt}[\![\mathcal{P}(x)]\!]$ is of the sort $\text{Prvt}(\text{formsub}(\overline{\mathcal{P}(x)}, \text{gvar}(\bar{i}), \text{num}(x)))$, where there is a free x to be replaced by t ; but this does not automatically convert to $\text{Prvt}[\![\mathcal{P}(t)]\!]$ insofar as $\overline{\mathcal{P}(x)}$ is a *numeral* and so lacks any free x . But we do have a theorem, T13.70 which tells us that in certain cases $\text{PA} \vdash \text{Prvt}[\![\mathcal{P}_t^x]\!] \leftrightarrow \text{Prvt}[\![\mathcal{P}]\!]_t^x$, so that the replacements can be moved across the bracket in the natural way. With this said, we turn to our theorem.

T13.71. For any Σ^* formula \mathcal{P} , $\text{PA} \vdash \mathcal{P} \rightarrow \text{Prvt}[\![\mathcal{P}]\!]$.

By induction on the number of operators in \mathcal{P} .

Basis: If a Σ^* \mathcal{P} has no operator symbols, then it is an atomic of the sort $\emptyset = z$, $y = z$, $Sy = z$, $x + y = z$ or $x \times y = z$.

(S) Suppose \mathcal{P} is $Sy = z$. Reason as follows,

1.	$Sy = Sy$	$=\text{I}$
2.	$\text{Prvt}[\![Sy = Sy]\!]$	1 T13.68
3.	$Sy = z$	A ($g \rightarrow \text{I}$)
4.	$\text{Prvt}[\![Sy = z]_{Sy}^z]\!]$	2 abv
5.	$\text{Prvt}[\![Sy = z]_{Sy}^z]\!]$	4 T13.70
6.	$\text{Prvt}[\![Sy = z]\!]$	3,5 $=\text{E}$
7.	$Sy = z \rightarrow \text{Prvt}[\![Sy = z]\!]$	3-6 $\rightarrow\text{I}$

Observe that T13.68 applies to theorems, and so not to formulas under the assumption for $\rightarrow\text{I}$. Thus we take care to restrict its application to formulas against the main scope line. Also, at (5) we use T13.70 to move the substitution across the bracket. With this done, the substitution on line (4) applies only to the free z of $\text{Prvt}[\![Sy = z]\!]$ — that is, to the free z of $\text{Prvt}(\text{sub}(\overline{Sy = z}, y, z))$; so that $=\text{E}$ applies in a straightforward way to substitute a z back into that place. The argument is similar for $\emptyset = z$ and $y = z$.

(+) Suppose \mathcal{P} is $x + y = z$. The proof in PA requires appeal to IN, with induction on the value of x in $\forall y \forall z (x + y = z \rightarrow \text{Prvt}[\![x + y = z]\!])$. For the basis,

1.	$\emptyset + y = y$	T6.50
2.	$\text{Prvt}[\emptyset + y = y]$	1 T13.68
3.	$(x + y = z)_{\emptyset}^x$	A ($g \rightarrow I$)
4.	$\emptyset + y = z$	3 abv
5.	$y = z$	1,4 =E
6.	$\text{Prvt}[(\emptyset + y = z)_y^z]$	2 abv
7.	$\text{Prvt}[\emptyset + y = z]_y^z$	6 T13.70
8.	$\text{Prvt}[\emptyset + y = z]$	6,5 =E
9.	$\text{Prvt}[(x + y = z)_{\emptyset}^x]$	8 abv
10.	$\text{Prvt}[x + y = z]_{\emptyset}^x$	9 T13.70
11.	$(x + y = z)_{\emptyset}^x \rightarrow \text{Prvt}[x + y = z]_{\emptyset}^x$	3-10 $\rightarrow I$
12.	$(x + y = z \rightarrow \text{Prvt}[x + y = z])_{\emptyset}^x$	11 abv
13.	$\forall y \forall z (x + y = z \rightarrow \text{Prvt}[x + y = z])_{\emptyset}^x$	12 $\forall I$

And the inductive stage,

14.	$x + Sy = z \leftrightarrow Sx + y = z$	T6.41, T6.52
15.	$\text{Prvt}[x + Sy = z \rightarrow Sx + y = z]$	14 T13.68
16.	$\forall y \forall z (x + y = z \rightarrow \text{Prvt}[x + y = z])$	A ($g \rightarrow I$)
17.	$(x + y = z)_{Sx}^x$	A ($g \rightarrow I$)
18.	$Sx + y = z$	17 abv
19.	$x + Sy = z$	14,18 $\leftrightarrow E$
20.	$x + Sy = z \rightarrow \text{Prvt}[x + y = z]_{Sy}^y$	16 $\forall E$
21.	$\text{Prvt}[x + y = z]_{Sy}^y$	20,19 $\rightarrow E$
22.	$\text{Prvt}[x + Sy = z]$	21 T13.70
23.	$\text{Prvt}[x + Sy = z] \rightarrow \text{Prvt}[Sx + y = z]$	15 T13.69
24.	$\text{Prvt}[Sx + y = z]$	23,22 $\rightarrow E$
25.	$\text{Prvt}[x + y = z]_{Sx}^x$	24 T13.70
26.	$(x + y = z)_{Sx}^x \rightarrow \text{Prvt}[x + y = z]_{Sx}^x$	17-25 $\rightarrow I$
27.	$(x + y = z \rightarrow \text{Prvt}[x + y = z])_{Sx}^x$	26 abv
28.	$\forall y \forall z (x + y = z \rightarrow \text{Prvt}[x + y = z])_{Sx}^x$	27 $\forall I$
29.	$\forall y \forall z (x + y = z \rightarrow \text{Prvt}[x + y = z]) \rightarrow \forall y \forall z (x + y = z \rightarrow \text{Prvt}[x + y = z])_{Sx}^x$	16-28 $\rightarrow I$
30.	$\forall y \forall z (x + y = z \rightarrow \text{Prvt}[x + y = z])$	13,29 IN

We are able to apply the assumption to get $\text{Prvt}[x + y = z]_{Sy}^y$ and convert this into the desired result. So $\text{PA} \vdash x + y = z \rightarrow \text{Prvt}[x + y = z]$.

- (\times) Suppose \mathcal{P} is $x \times y = z$. The proof in PA requires appeal to IN, on the value of x in $\forall y \forall z (x \times y = z \rightarrow \text{Prvt}[x \times y = z])$. The zero case is straightforward. Then,

1.	$\forall y \forall z (x \times y = z \rightarrow \text{Prvt}[x \times y = z])_0^x$	zero case
2.	$Sx \times y = z \leftrightarrow x \times y + y = z$	T6.59
3.	$x \times y = v \rightarrow (v + y = z \rightarrow x \times y + y = z)$	simple ND
4.	$\text{Prvt}[x \times y + y = z \rightarrow Sx \times y = z]$	2 T13.68
5.	$\text{Prvt}[x \times y = v \rightarrow (v + y = z \rightarrow x \times y + y = z)]$	3 T13.68
6.	$\forall y \forall z (x \times y = z \rightarrow \text{Prvt}[x \times y = z])$	A ($g \rightarrow I$)
7.	$(x \times y = z)_{Sx}^x$	A ($g \rightarrow I$)
8.	$Sx \times y = z$	7 abv
9.	$x \times y + y = z$	2,8 $\leftrightarrow E$
10.	$\exists v (x \times y = v)$	=I, $\exists I$
11.	$x \times y = v$	A (g 10 $\exists E$)
12.	$v + y = z$	9,11 $=E$
13.	$\text{Prvt}[v + y = z]$	12 (+) case
14.	$\text{Prvt}[x \times y = z]_v^z$	6,11 $\forall E, \rightarrow E$
15.	$\text{Prvt}[x \times y = v]$	14 T13.70
16.	$\text{Prvt}[x \times y = v] \rightarrow \text{Prvt}[v + y = z \rightarrow x \times y + y = v]$	5 T13.69
17.	$\text{Prvt}[v + y = z \rightarrow x \times y + y = z]$	15,16 $\rightarrow E$
18.	$\text{Prvt}[v + y = z] \rightarrow \text{Prvt}[x \times y + y = z]$	17 T13.69
19.	$\text{Prvt}[x \times y + y = z]$	18,13 $\rightarrow E$
20.	$\text{Prvt}[x \times y + y = z] \rightarrow \text{Prvt}[Sx \times y = z]$	4 T13.69
21.	$\text{Prvt}[Sx \times y = z]$	19,20 $\rightarrow E$
22.	$\text{Prvt}[x \times y = z]_{Sx}^x$	21 T13.70
23.	$\text{Prvt}[x \times y = z]_{Sx}^x$	10,11-22 $\exists E$
24.	$(x \times y = z)_{Sx}^x \rightarrow \text{Prvt}[x \times y = z]_{Sx}^x$	7-23 $\rightarrow I$
25.	$(x \times y = z \rightarrow \text{Prvt}[x \times y = z])_{Sx}^x$	24 abv
26.	$\forall y \forall z (x \times y = z \rightarrow \text{Prvt}[x \times y = z])_{Sx}^x$	25 $\forall I$
27.	$\forall y \forall z (x \times y = z \rightarrow \text{Prvt}[x \times y = z]) \rightarrow \forall y \forall z (x \times y = z \rightarrow \text{Prvt}[x \times y = z])_{Sx}^x$	6-26 $\rightarrow I$
28.	$\forall y \forall z (x \times y = z \rightarrow \text{Prvt}[x \times y = z])$	1,27 IN

The (+) case does not directly apply to $x \times y + y = z$. However, having identified $x \times y$ with variable v we get $\text{Prvt}[v + y = z]$, and with the inductive assumption $\text{Prvt}[x \times y = v]$. These then unpack into $\text{Prvt}[Sx \times y = z]$. So $\text{PA} \vdash x \times y = z \rightarrow \text{Prvt}[x \times y = z]$.

Assp: For any i , $0 \leq i < k$ if a $\Sigma^* \mathcal{P}$ has i operator symbols, then $\text{PA} \vdash \mathcal{P} \rightarrow \text{Prvt}[\mathcal{P}]$.

Show: If a $\Sigma^* \mathcal{P}$ has k operator symbols, then $\text{PA} \vdash \mathcal{P} \rightarrow \text{Prvt}[\mathcal{P}]$.

If $\Sigma^* \mathcal{P}$ has k operator symbols, then it is of the form, $\mathcal{A} \vee \mathcal{B}$, $\mathcal{A} \wedge \mathcal{B}$, $(\forall x \leq y) \mathcal{A}$ (y not in \mathcal{A}), or $\exists x \mathcal{A}$ for $\Sigma^* \mathcal{A}$ and \mathcal{B} with $< k$ operator symbols.

(\wedge) \mathcal{P} is $\mathcal{A} \wedge \mathcal{B}$. Reason as follows.

1.	$\mathcal{A} \rightarrow \text{Prvt}[\mathcal{A}]$	by assp
2.	$\mathcal{B} \rightarrow \text{Prvt}[\mathcal{B}]$	by assp
3.	$\mathcal{A} \rightarrow (\mathcal{B} \rightarrow (\mathcal{A} \wedge \mathcal{B}))$	T9.4
4.	$\text{Prvt}[\mathcal{A} \rightarrow (\mathcal{B} \rightarrow (\mathcal{A} \wedge \mathcal{B}))]$	3 T13.68
5.	$\mathcal{A} \wedge \mathcal{B}$	A ($g \rightarrow$ I)
6.	$\text{Prvt}[\mathcal{A}]$	1,5
7.	$\text{Prvt}[\mathcal{B}]$	2,5
8.	$\text{Prvt}[\mathcal{A}] \rightarrow \text{Prvt}[\mathcal{B} \rightarrow (\mathcal{A} \wedge \mathcal{B})]$	4 T13.69
9.	$\text{Prvt}[\mathcal{B} \rightarrow (\mathcal{A} \wedge \mathcal{B})]$	6,8 \rightarrow E
10.	$\text{Prvt}[\mathcal{B}] \rightarrow \text{Prvt}[\mathcal{A} \wedge \mathcal{B}]$	9 T13.69
11.	$\text{Prvt}[\mathcal{A} \wedge \mathcal{B}]$	7,10 \rightarrow E
12.	$(\mathcal{A} \wedge \mathcal{B}) \rightarrow \text{Prvt}[\mathcal{A} \wedge \mathcal{B}]$	5-11 \rightarrow I

And similarly for \vee .

(\exists) \mathcal{P} is $\exists x \mathcal{A}$. Reason as follows.

1.	$\mathcal{A} \rightarrow \text{Prvt}[\mathcal{A}]$	by assp
2.	$\mathcal{A} \rightarrow \exists x \mathcal{A}$	T3.29
3.	$\text{Prvt}[\mathcal{A} \rightarrow \exists x \mathcal{A}]$	2 T13.68
4.	$\exists x \mathcal{A}$	A ($g \rightarrow$ I)
5.	\mathcal{A}	A (g 4 \exists E)
6.	$\text{Prvt}[\mathcal{A}]$	1,5 \rightarrow E
7.	$\text{Prvt}[\mathcal{A}] \rightarrow \text{Prvt}[\exists x \mathcal{A}]$	3 T13.69
8.	$\text{Prvt}[\exists x \mathcal{A}]$	7,6 \rightarrow E
9.	$\text{Prvt}[\exists x \mathcal{A}]$	4,5-8 \exists E
10.	$\exists x \mathcal{A} \rightarrow \text{Prvt}[\exists x \mathcal{A}]$	5-9 \rightarrow I

\mathcal{A} has x free. But $\exists x \mathcal{A}$ does not, and $\text{Prvt}[\exists x \mathcal{A}]$ has the same free variables as $\exists x \mathcal{A}$. So the restriction is met for \exists E at (9).

(\forall) \mathcal{P} is $(\forall x \leq y) \mathcal{A}$. The argument in PA requires appeal to IN, for induction on the value of y . For the zero case,

1.	$\mathcal{A}_\emptyset^x \rightarrow \text{Prvt}[\mathcal{A}_\emptyset^x]$	by assp
2.	$(\forall x \leq \emptyset)\mathcal{A} \leftrightarrow \mathcal{A}_\emptyset^x$	thrm (with T8.21)
3.	$\text{Prvt}[\mathcal{A}_\emptyset^x \rightarrow (\forall x \leq \emptyset)\mathcal{A}]$	2 T13.68
4.	$(\forall x \leq y)\mathcal{A}_\emptyset^y$	A ($g \rightarrow I$)
5.	$(\forall x \leq \emptyset)\mathcal{A}$	4 abv
6.	\mathcal{A}_\emptyset^x	2,5 $\leftrightarrow E$
7.	$\text{Prvt}[\mathcal{A}_\emptyset^x]$	1,6 $\rightarrow E$
8.	$\text{Prvt}[\mathcal{A}_\emptyset^x] \rightarrow \text{Prvt}[(\forall x \leq \emptyset)\mathcal{A}]$	3 T13.69
9.	$\text{Prvt}[(\forall x \leq \emptyset)\mathcal{A}]$	8,7 $\rightarrow E$
10.	$\text{Prvt}[(\forall x \leq y)\mathcal{A}_\emptyset^y]$	9 abv
11.	$\text{Prvt}[(\forall x \leq y)\mathcal{A}]_\emptyset^y$	10 T13.70
12.	$(\forall x \leq y)\mathcal{A}_\emptyset^y \rightarrow \text{Prvt}[(\forall x \leq y)\mathcal{A}]_\emptyset^y$	5-11 $\rightarrow I$
13.	$((\forall x \leq y)\mathcal{A} \rightarrow \text{Prvt}[(\forall x \leq y)\mathcal{A}])_\emptyset^y$	12 abv

For (5) and (10) it is important that y in a bound quantifier of the Σ^* formula does not appear in \mathcal{A} . Now the inductive stage.

14.	$\mathcal{A}_{S_y}^x \rightarrow \text{Prvt}[\mathcal{A}_{S_y}^x]$	by assp
15.	$(\forall x \leq S_y)\mathcal{A} \leftrightarrow (\forall x \leq y)\mathcal{A} \wedge \mathcal{A}_{S_y}^x$	with T13.13o
16.	$\text{Prvt}[(\forall x \leq y)\mathcal{A} \wedge \mathcal{A}_{S_y}^x] \rightarrow (\forall x \leq S_y)\mathcal{A}$	15 T13.68
17.	$(\forall x \leq y)\mathcal{A} \rightarrow \text{Prvt}[(\forall x \leq y)\mathcal{A}]$	A ($g \rightarrow I$)
18.	$((\forall x \leq y)\mathcal{A} \wedge \mathcal{A}_{S_y}^x) \rightarrow \text{Prvt}[(\forall x \leq y)\mathcal{A} \wedge \mathcal{A}_{S_y}^x]$	14,17 as for \wedge
19.	$(\forall x \leq S_y)\mathcal{A}$	A ($g \rightarrow I$)
20.	$(\forall x \leq y)\mathcal{A} \wedge \mathcal{A}_{S_y}^x$	15,19 $\leftrightarrow E$
21.	$\text{Prvt}[(\forall x \leq y)\mathcal{A} \wedge \mathcal{A}_{S_y}^x]$	18,20 $\rightarrow E$
22.	$\text{Prvt}[(\forall x \leq y)\mathcal{A} \wedge \mathcal{A}_{S_y}^x] \rightarrow \text{Prvt}[(\forall x \leq S_y)\mathcal{A}]$	16 T13.69
23.	$\text{Prvt}[(\forall x \leq S_y)\mathcal{A}]$	22,21 $\rightarrow E$
24.	$\text{Prvt}[(\forall x \leq y)\mathcal{A}]_{S_y}^y$	23, T13.70
25.	$(\forall x \leq S_y)\mathcal{A} \rightarrow \text{Prvt}[(\forall x \leq y)\mathcal{A}]_{S_y}^y$	19-24 $\rightarrow I$
26.	$((\forall x \leq y)\mathcal{A} \rightarrow \text{Prvt}[(\forall x \leq y)\mathcal{A}])_{S_y}^y$	25 abv
27.	$((\forall x \leq y)\mathcal{A} \rightarrow \text{Prvt}[(\forall x \leq y)\mathcal{A}]) \rightarrow ((\forall x \leq y)\mathcal{A} \rightarrow \text{Prvt}[(\forall x \leq y)\mathcal{A}])_{S_y}^y$	17-26 $\rightarrow I$
28.	$(\forall x \leq y)\mathcal{A} \rightarrow \text{Prvt}[(\forall x \leq y)\mathcal{A}]$	13,27 IN

So $\text{PA} \vdash (\forall x \leq y)\mathcal{A} \rightarrow \text{Prvt}[(\forall x \leq y)\mathcal{A}]$.

Indct: For any Σ^* formula \mathcal{P} , $\text{PA} \vdash \mathcal{P} \rightarrow \text{Prvt}[\mathcal{P}]$.

Now it is a simple matter to pull together our results into the third derivability condition.

T13.72. For any formula \mathcal{P} , $\text{PA} \vdash \Box \mathcal{P} \rightarrow \Box \Box \mathcal{P}$

Consider any formula \mathcal{P} and the Σ_1 sentence $\Box\mathcal{P}$. By T13.62, there is a $(\Box\mathcal{P})^*$ such that $\text{PA} \vdash \Box\mathcal{P} \leftrightarrow (\Box\mathcal{P})^*$. By T13.71, $\text{PA} \vdash (\Box\mathcal{P})^* \rightarrow \text{Prvt}[(\Box\mathcal{P})^*]$. Reason as follows.

- | | | |
|----|---|----------|
| 1. | $(\Box\mathcal{P})^* \rightarrow \text{Prvt}[(\Box\mathcal{P})^*]$ | T13.71 |
| 2. | $\Box\mathcal{P} \leftrightarrow (\Box\mathcal{P})^*$ | T13.62 |
| 3. | $\text{Prvt}[(\Box\mathcal{P})^* \rightarrow \Box\mathcal{P}]$ | 2 T13.68 |
| 4. | $\text{Prvt}[(\Box\mathcal{P})^*] \rightarrow \text{Prvt}[\Box\mathcal{P}]$ | 3 T13.69 |
| 5. | $\Box\mathcal{P} \rightarrow \text{Prvt}[\Box\mathcal{P}]$ | 2,1,4 HS |

So $\text{PA} \vdash \Box\mathcal{P} \rightarrow \text{Prvt}[\Box\mathcal{P}]$; and since $\Box\mathcal{P}$ is a sentence, this is to say, $\text{PA} \vdash \Box\mathcal{P} \rightarrow \text{Prvt}(\ulcorner\Box\mathcal{P}\urcorner)$; which is to say, $\text{PA} \vdash \Box\mathcal{P} \rightarrow \Box\Box\mathcal{P}$.

So, at long last, we have a demonstration of D3 and so, given demonstration of the other conditions, of Gödel's second incompleteness theorem.

It is worth reflecting a bit on what we have accomplished. Beginning in [section 13.2](#) we saw how the second theorem follows from the derivability conditions. The first is easy, the others not. In [section 13.3](#) we introduced the idea of definition in PA and demonstrated that PA defines (friendly) recursive functions. [13.4](#) moves to demonstration of the second condition. The basic idea is straightforward: To show $\Box(\mathcal{P} \rightarrow \mathcal{Q}) \rightarrow (\Box\mathcal{P} \rightarrow \Box\mathcal{Q})$, suppose $\Box(\mathcal{P} \rightarrow \mathcal{Q})$ and $\Box\mathcal{P}$; then there are j and k such that $\text{PRFT}(j, \ulcorner\mathcal{P} \rightarrow \mathcal{Q}\urcorner)$ and $\text{PRFT}(k, \ulcorner\mathcal{P}\urcorner)$; so $l = j \star k \star 2^{\ulcorner\mathcal{Q}\urcorner}$ numbers a proof of \mathcal{Q} . But considerable effort is expended to show that PA has the resources for the relevant results. And we have just completed discussion of the third condition. If you have gotten this far you have seen the theorem proved — or at least how it is proved. Thus you have progressed considerably beyond the initial argument from the derivability conditions. One reason why it is typical to bypass the details is that there are *so many* details — not all themselves mathematically significant. Still, it is interesting to see *how* reasoning from [chapter 12](#) is reflected in PA for the second theorem.

E13.50. Complete the demonstration of T13.71 by completing the remaining cases.

13.6 Reflections on the theorem

We conclude this chapter with a couple final reflections and consequences on our results.

13.6.1 Consistency sentences

As is typical for Gödel's second theorem, we have let $Cont$ be $\sim Prvt(\overline{\neg \emptyset = S\emptyset})$. But other sentences would do as well. So, where \mathcal{T} is any theorem of T , we might let $Cont_a$ be $\sim Prvt(\overline{\neg \sim \mathcal{T}})$. In particular, we might simply consider the case where $\sim \mathcal{T}$ is (equivalent to) \perp and set $Cont_a = \sim Prvt(\overline{\neg \perp})$. Then it is easy to see that $PA \vdash Cont \leftrightarrow Cont_a$.

$PA \vdash \emptyset = S\emptyset \leftrightarrow \perp$; so with D1, $PA \vdash Prvt(\overline{\neg \emptyset = S\emptyset \leftrightarrow \perp})$; so with D2, $PA \vdash Prvt(\overline{\neg \emptyset = S\emptyset}) \leftrightarrow Prvt(\overline{\neg \perp})$; and contraposing, $PA \vdash Cont \leftrightarrow Cont_a$.

Again, one might let $Cont_b = \sim \exists x (Prvt(x) \wedge \overline{Prvt(x)})$, where $\overline{Prvt(x)}$ just in case there is a proof of the negation of the formula with Gödel number x . Then T is consistent just in case there is no proof of a formula and its negation. Again, $PA \vdash Cont \leftrightarrow Cont_b$. This time the result requires a bit more work.

We show $Prvt(\overline{\neg \emptyset = S\emptyset}) \leftrightarrow \exists x (Prvt(x) \wedge \overline{Prvt(x)})$ and contrapose. First from left to right: Since a contradiction implies anything, $PA \vdash \emptyset = S\emptyset \rightarrow A$ and $PA \vdash \emptyset = S\emptyset \rightarrow \sim A$. Reason as follows.

1.	$\emptyset = S\emptyset \rightarrow A$	thrm
2.	$\emptyset = S\emptyset \rightarrow \sim A$	thrm
3.	$Prvt(\overline{\neg \emptyset = S\emptyset \rightarrow A})$	1 D1
4.	$Prvt(\overline{\neg \emptyset = S\emptyset \rightarrow \sim A})$	2 D1
5.	$Prvt(\overline{\neg \emptyset = S\emptyset})$	A ($g \rightarrow I$)
6.	$Prvt(\overline{\neg \emptyset = S\emptyset}) \rightarrow Prvt(\overline{\neg A})$	3 D2
7.	$Prvt(\overline{\neg \emptyset = S\emptyset}) \rightarrow Prvt(\overline{\neg \sim A})$	4 D2
8.	$Prvt(\overline{\neg A}) \wedge Prvt(\overline{\neg \sim A})$	5,6,7
9.	$\exists x (Prvt(x) \wedge \overline{Prvt(x)})$	8 $\exists I$
10.	$Prvt(\overline{\neg \emptyset = S\emptyset}) \rightarrow \exists x (Prvt(x) \wedge \overline{Prvt(x)})$	7-9 $\rightarrow I$

So $PA \vdash Prvt(\overline{\neg \emptyset = S\emptyset}) \rightarrow \exists x (Prvt(x) \wedge \overline{Prvt(x)})$.

The other direction is not much more difficult. Insofar as the right-hand side is existentially quantified we shall not be able to depend on capture for any particular sentence. However we can reason with free variables. Working up from the bottom of a tree for \mathcal{P} say its (*sententially*) *basic* subformulas are the first subformulas without a truth functional main operator. Then where where \mathcal{P} has basic subformulas $\mathcal{A}_1 \dots \mathcal{A}_n$, let $A_1^* \dots A_n^*$ be some variables $a_1 \dots a_n$; $\sim \mathcal{P}^*$ is $neg(p)$; and $(\mathcal{P} \rightarrow \mathcal{Q})^*$ is $end(p, q)$. Then where $\vdash_{ADS} \mathcal{P}$, we shall be able to show $PA \vdash Wff(a_1) \wedge \dots \wedge Wff(a_n) \rightarrow Prvt(\mathcal{P}^*)$. Though we shall not go through all the details here, it is simple enough to see how the argument goes: The argument is an

induction (of a sort we have seen before). Given an *ADS* derivation of \mathcal{P} , under the assumption $\mathcal{Wff}(a) \wedge \dots \wedge \mathcal{Wff}(b)$, corresponding to any axiom \mathcal{A} , we may use the definition to get $\mathcal{Axiom}(\mathcal{A}^*)$ and then T13.57i for $\mathcal{Prvt}(\mathcal{A}^*)$. Corresponding to an application of MP to some \mathcal{P} and $\mathcal{P} \rightarrow \mathcal{Q}$, use T13.54 to convert $\mathcal{Prvt}(\mathcal{end}(\mathcal{P}^*, \mathcal{Q}^*))$ to $\mathcal{Prvt}(\mathcal{P}^*) \rightarrow \mathcal{Prvt}(\mathcal{Q}^*)$ and apply MP. As an example, compare the following lines of the sort we might have obtained in chapter 3,

- | | | |
|----|---|--------|
| 1. | $A \rightarrow (B \rightarrow A)$ | A1 |
| 2. | $[A \rightarrow (B \rightarrow A)] \rightarrow [(A \rightarrow B) \rightarrow (A \rightarrow A)]$ | A2 |
| 3. | $(A \rightarrow B) \rightarrow (A \rightarrow A)$ | 1,2 MP |

and the derived version,

- | | | |
|------|---|-------------|
| 0. | $\mathcal{Wff}(a) \wedge \mathcal{Wff}(b)$ | A |
| 1.1. | $\mathcal{Axiom}(\mathcal{end}(a, \mathcal{end}(b, a)))$ | 0 def |
| 1. | $\mathcal{Prvt}(\mathcal{end}(a, \mathcal{end}(b, a)))$ | 1.1 T13.57i |
| 2.1. | $\mathcal{Axiom}(\mathcal{end}(\mathcal{end}[a, \mathcal{end}(b, a)], \mathcal{end}[\mathcal{end}(a, b), \mathcal{end}(a, a)]))$ | 0 def |
| 2. | $\mathcal{Prvt}(\mathcal{end}(\mathcal{end}[a, \mathcal{end}(b, a)], \mathcal{end}[\mathcal{end}(a, b), \mathcal{end}(a, a)]))$ | 2.1 T13.57i |
| 3.1. | $\mathcal{Prvt}(\mathcal{end}[a, \mathcal{end}(b, a)]) \rightarrow \mathcal{Prvt}(\mathcal{end}[\mathcal{end}(a, b), \mathcal{end}(a, a)])$ | 2 T13.54 |
| 3. | $\mathcal{Prvt}(\mathcal{end}[\mathcal{end}(a, b), \mathcal{end}(a, a)])$ | 1,3.1 MP |
| 4. | $\mathcal{Wff}(a) \wedge \mathcal{Wff}(b) \rightarrow \mathcal{Prvt}(\mathcal{end}[\mathcal{end}(a, b), \mathcal{end}(a, a)])$ | 0 - 3 DT |

And similarly we might show the correlate to T3.9, $\vdash \sim \mathcal{A} \rightarrow (\mathcal{A} \rightarrow \mathcal{B})$, which we record as a theorem.

T13.73. $\text{PA} \vdash \mathcal{Wff}(a) \wedge \mathcal{Wff}(b) \rightarrow \mathcal{Prvt}(\mathcal{end}[\mathcal{neg}(a), \mathcal{end}(a, b)])$.

But then we may reason as follows.

- | | | |
|-----|---|-------------------------------|
| 1. | $\mathcal{Wff}(\overline{\neg \emptyset = S\emptyset})$ | cap |
| 2. | $\exists x[\mathcal{Prvt}(x) \wedge \overline{\mathcal{Prvt}(x)}]$ | A ($g \rightarrow I$) |
| 3. | $\mathcal{Prvt}(j) \wedge \overline{\mathcal{Prvt}(j)}$ | A (g 2 $\exists E$) |
| 4. | $\mathcal{Wff}(j)$ | 3 T13.53 |
| 5. | $\mathcal{Prvt}(\mathcal{end}[\mathcal{neg}(j), \mathcal{end}(j, \overline{\neg \emptyset = S\emptyset})])$ | 1,4 T13.73 |
| 6. | $\mathcal{Prvt}(\mathcal{neg}(j)) \rightarrow \mathcal{Prvt}(\mathcal{end}(j, \overline{\neg \emptyset = S\emptyset}))$ | 5 T13.54 |
| 7. | $\mathcal{Prvt}(\mathcal{end}(j, \overline{\neg \emptyset = S\emptyset}))$ | 3,6 $\wedge E, \rightarrow E$ |
| 8. | $\mathcal{Prvt}(j) \rightarrow \mathcal{Prvt}(\overline{\neg \emptyset = S\emptyset})$ | 7 T13.54 |
| 9. | $\mathcal{Prvt}(\overline{\neg \emptyset = S\emptyset})$ | 3,8 $\wedge E, \rightarrow E$ |
| 10. | $\mathcal{Prvt}(\overline{\neg \emptyset = S\emptyset})$ | 2,3-9 $\exists E$ |
| 11. | $\exists x[\mathcal{Prvt}(x) \wedge \overline{\mathcal{Prvt}(x)}] \rightarrow \mathcal{Prvt}(\overline{\neg \emptyset = S\emptyset})$ | 2-10 $\rightarrow I$ |

So $\text{PA} \vdash \exists x[\mathcal{Prvt}(x) \wedge \overline{\mathcal{Prvt}(x)}] \rightarrow \mathcal{Prvt}(\overline{\neg \emptyset = S\emptyset})$. Again note that we reason with free variables under the assumption for $\exists E$.

Putting the parts together, $\text{PA} \vdash \text{Prvt}(\overline{\neg \emptyset = S\emptyset}) \leftrightarrow \exists x(\text{Prvt}(x) \wedge \overline{\text{Prvt}(x)})$; and contraposing, $\text{PA} \vdash \text{Cont} \leftrightarrow \text{Cont}_b$. So, to this extent, it does not matter which version of the consistency statement we select. Underlying the point that these different statements are equivalent is that anything follows from a contradiction — so that the one follows from the others.¹²

Having proved $\text{PA} \not\vdash \text{Cont}$, we therefore have $\text{PA} \not\vdash \text{Cont}_a$ and $\text{PA} \not\vdash \text{Cont}_b$. These are particular sentences which, like \mathcal{G} , are unprovable. And, now that we have the derivability conditions, with T13.11, neither are their negations provable. They have special interest because each “says” that PA is consistent.

Still, it is worth asking whether there is some different sentence to express the consistency of PA such that *it* would be provable. Consider, for example a trick related to the Rosser sentence,

$$\text{Prft}_c(x, y) =_{\text{def}} \text{Prft}(x, y) \wedge (\forall v \leq x) \sim \text{Prft}(v, \overline{\neg \emptyset = S\emptyset})$$

So $\text{Prft}_c(x, y)$ requires a measure of consistency: it says x numbers a proof of the formula numbered y and no proof numbered less than x demonstrates inconsistency ($\emptyset = \bar{1}$). Then so long as PA is consistent $\text{Prft}_c(x, y)$ continues to capture $\text{PRFT}(x, y)$.

- (i) Suppose $\langle m, n \rangle \in \text{PRFT}$. (a) By capture, $\text{PA} \vdash \text{Prft}(\overline{m}, \overline{n})$. And (b), since PA is consistent, there is no proof of a contradiction in PA and again by capture, $\text{PA} \vdash \sim \text{Prft}(\overline{0}, \overline{\neg \emptyset = S\emptyset})$; $\text{PA} \vdash \sim \text{Prft}(\overline{1}, \overline{\neg \emptyset = S\emptyset}) \dots$ and $\text{PA} \vdash \sim \text{Prft}(\overline{m}, \overline{\neg \emptyset = S\emptyset})$; so with T8.21, $\text{PA} \vdash (\forall v \leq \overline{m}) \sim \text{Prft}(v, \overline{\neg \emptyset = S\emptyset})$; so $\text{PA} \vdash \text{Prft}_c(\overline{m}, \overline{n})$.
- (ii) Suppose $\langle m, n \rangle \notin \text{PRFT}$; then by capture, $\text{PA} \vdash \sim \text{Prft}(\overline{m}, \overline{n})$. So $\text{PA} \vdash \sim [\text{Prft}(\overline{m}, \overline{n}) \wedge (\forall v \leq \overline{m}) \sim \text{Prft}(v, \overline{\neg \emptyset = S\emptyset})]$, which is to say $\text{PA} \vdash \sim \text{Prft}_c(\overline{m}, \overline{n})$.

And, with T12.6, $\text{Prft}_c(x, y)$ expresses $\text{PRFT}(x, y)$ as well. Given this, set $\text{Prvt}_c(y) =_{\text{def}} \exists x \text{Prft}_c(x, y)$, and $\text{Cont}_c =_{\text{def}} \sim \text{Prvt}_c(\overline{\neg \emptyset = S\emptyset})$. The idea, then is that Cont_c just in case PA is consistent.

But Prvt_c is designed so that $\text{Prvt}_c(\overline{\neg \emptyset = S\emptyset})$ is impossible — $\text{Prvt}_c(\overline{\neg \emptyset = S\emptyset})$ requires an x that numbers a proof of $\emptyset = S\emptyset$ such that no $v \leq x$ numbers a proof of $\emptyset = S\emptyset$. This is impossible. So,

¹²This equivalence breaks down in a non-classical logic which blocks *ex falso quodlibet*, the principle that from a contradiction anything follows. So, for example, in relevant logic, it might be that there is some A such that $T \vdash A \wedge \sim A$ but $T \not\vdash \emptyset = S\emptyset$. See Priest, *Non-Classical Logics* for an introduction to these matters.

1.	$\exists x[Prft(x, \overline{\emptyset = S\emptyset}) \wedge (\forall v \leq x) \sim Prft(v, \overline{\emptyset = S\emptyset})]$	A (c, \sim I)
2.	$Prft(j, \overline{\emptyset = S\emptyset}) \wedge (\forall v \leq j) \sim Prft(v, \overline{\emptyset = S\emptyset})$	A (c \exists E)
3.	$Prft(j, \overline{\emptyset = S\emptyset})$	2 \wedge E
4.	$(\forall v \leq j) \sim Prft(v, \overline{\emptyset = S\emptyset})$	2 \wedge E
5.	$j \leq j$	with T13.13m
6.	$\sim Prft(j, \overline{\emptyset = S\emptyset})$	4,5 (\forall E)
7.	\perp	3,6 \perp I
8.	\perp	1,2-7 \exists E
9.	$\sim \exists x[Prft(x, \overline{\emptyset = S\emptyset}) \wedge (\forall v \leq x) \sim Prft(v, \overline{\emptyset = S\emptyset})]$	1-8 \sim I

So $PA \vdash \sim \exists x[Prft(x, \overline{\emptyset = S\emptyset}) \wedge (\forall v \leq x) \sim Prft(v, \overline{\emptyset = S\emptyset})]$ which is to say $PA \vdash Cont_c$. This works because $Prft_c$ builds in from the start that nothing numbers a proof of $\emptyset = S\emptyset$.

Intuitively, so long as PA is consistent, $Prft_c$ works just fine. But if PA is not consistent, then it no longer tracks with proof. Similarly, if PA is consistent, $Cont_c$ plausibly “says” PA is consistent. But if PA is inconsistent then it no longer tracks with consistency. So its provability is, in this sense, uninteresting.

Insofar as $Cont_c$ is provable it must be that $Prvt_c$ fails one or more of the derivability conditions. To see how this might be, suppose PA is inconsistent and proofs are ordered according to their Gödel numbers as follows,

$$\mathcal{A} \rightarrow \mathcal{B} \qquad \mathcal{A} \qquad \emptyset = S\emptyset \qquad \mathcal{B}$$

Then $PA \vdash Prvt(\overline{\mathcal{B}})$. However we get $PA \vdash Prvt_c(\overline{\mathcal{A} \rightarrow \mathcal{B}})$ and $PA \vdash Prvt(\overline{\mathcal{A}})$ but, insofar as the proof of \mathcal{B} is numbered greater than the proof of $\emptyset = S\emptyset$, $PA \not\vdash Prvt_c(\overline{\mathcal{B}})$. In this case, D2 fails, so that our main argument to show $PA \not\vdash Cont$ does not apply to $Cont_c$.

13.6.2 Löb's Theorem

If T is a recursively axiomatized theory extending Q, by the diagonal lemma there is a sentence \mathcal{H} , of which \mathcal{G} is a sample, such that $T \vdash \mathcal{H} \leftrightarrow \sim Prvt(\overline{\mathcal{H}})$ — that is, $T \vdash \mathcal{H} \leftrightarrow \sim \Box \mathcal{H}$. We have seen that such a formula \mathcal{H} is not provable. But, of course, by the diagonal lemma, there is another sentence \mathcal{H} such that $T \vdash \mathcal{H} \leftrightarrow \Box \mathcal{H}$. In a brief note, “[A Problem Concerning Provability](#)” L. Henkin asks whether this \mathcal{H} is provable. Supposing the first is analogous to the liar, ‘this sentence is not

true', the latter is like the truth-teller, 'this sentence is true'. An answer to Henkin's question follows immediately from Löb's theorem.

T13.74. Suppose T is a recursively axiomatized theory for which the derivability conditions D1 - D3 hold and $T \vdash \Box \mathcal{P} \rightarrow \mathcal{P}$, then $T \vdash \mathcal{P}$. *Löb's Theorem*.

Suppose T is a recursively axiomatized theory for which the derivability conditions hold and $T \vdash \Box \mathcal{P} \rightarrow \mathcal{P}$. Then the diagonal lemma obtains as well. Consider $\text{Prvt}(y) \rightarrow \mathcal{P}$; this is an expression of the sort $\mathcal{F}(y)$ to which the diagonal lemma applies; so by the diagonal lemma there is some \mathcal{H} such that, $T \vdash \mathcal{H} \leftrightarrow (\text{Prvt}(\ulcorner \mathcal{H} \urcorner) \rightarrow \mathcal{P})$ — that is, $T \vdash \mathcal{H} \leftrightarrow (\Box \mathcal{H} \rightarrow \mathcal{P})$. Now reason as follows.

1. $\Box \mathcal{P} \rightarrow \mathcal{P}$	P
2. $\mathcal{H} \leftrightarrow (\Box \mathcal{H} \rightarrow \mathcal{P})$	diag lemma
3. $[\mathcal{H} \rightarrow (\Box \mathcal{H} \rightarrow \mathcal{P})] \wedge [(\Box \mathcal{H} \rightarrow \mathcal{P}) \rightarrow \mathcal{H}]$	2 abv
4. $\mathcal{H} \rightarrow (\Box \mathcal{H} \rightarrow \mathcal{P})$	3 with T3.20
5. $\Box[\mathcal{H} \rightarrow (\Box \mathcal{H} \rightarrow \mathcal{P})]$	4 D1
6. $\Box[\mathcal{H} \rightarrow (\Box \mathcal{H} \rightarrow \mathcal{P})] \rightarrow [\Box \mathcal{H} \rightarrow \Box(\Box \mathcal{H} \rightarrow \mathcal{P})]$	D2
7. $\Box \mathcal{H} \rightarrow \Box(\Box \mathcal{H} \rightarrow \mathcal{P})$	6,5 MP
8. $\Box(\Box \mathcal{H} \rightarrow \mathcal{P}) \rightarrow (\Box \Box \mathcal{H} \rightarrow \Box \mathcal{P})$	D2
9. $\Box \mathcal{H} \rightarrow (\Box \Box \mathcal{H} \rightarrow \Box \mathcal{P})$	7,8 T3.2
10. $[\Box \mathcal{H} \rightarrow (\Box \Box \mathcal{H} \rightarrow \Box \mathcal{P})] \rightarrow [(\Box \mathcal{H} \rightarrow \Box \Box \mathcal{H}) \rightarrow (\Box \mathcal{H} \rightarrow \Box \mathcal{P})]$	A2
11. $(\Box \mathcal{H} \rightarrow \Box \Box \mathcal{H}) \rightarrow (\Box \mathcal{H} \rightarrow \Box \mathcal{P})$	10,9 MP
12. $\Box \mathcal{H} \rightarrow \Box \Box \mathcal{H}$	D3
13. $\Box \mathcal{H} \rightarrow \Box \mathcal{P}$	11,12 MP
14. $\Box \mathcal{H} \rightarrow \mathcal{P}$	13,1 T3.2
15. $(\Box \mathcal{H} \rightarrow \mathcal{P}) \rightarrow \mathcal{H}$	3 with T3.19
16. \mathcal{H}	15,14 MP
17. $\Box \mathcal{H}$	16 D1
18. \mathcal{P}	14,17 MP

So $T \vdash \mathcal{P}$. Now return to our original question. Suppose $T \vdash \mathcal{H} \leftrightarrow \Box \mathcal{H}$; then $T \vdash \Box \mathcal{H} \rightarrow \mathcal{H}$; so by Löb's theorem, $T \vdash \mathcal{H}$. So if T proves $\mathcal{H} \leftrightarrow \Box \mathcal{H}$, then T proves \mathcal{H} .

Löb's theorem is at least surprising! From soundness, if \mathcal{P} is provable then \mathcal{P} , so that $\Box \mathcal{P} \rightarrow \mathcal{P}$ is true. One might think that PA would "believe" in its soundness so that any such sentence would be provable. But from the theorem, if $\text{PA} \nvdash \mathcal{P}$, then $\text{PA} \nvdash \Box \mathcal{P} \rightarrow \mathcal{P}$. So in any case when $\text{PA} \nvdash \mathcal{P}$, PA does not "know" about its own soundness with respect to \mathcal{P} . Observe that insofar as $\Box \mathcal{P} \rightarrow \mathcal{P}$ is true, for any case where $\text{PA} \nvdash \mathcal{P}$ we have here another sentence true but not provable.

Löb's theorem depends upon the derivability conditions. Thus perhaps it is not surprising that Löb's theorem both results in and results from Gödel's second theorem: First, the second theorem follows from Löb's result.

Suppose PA is consistent and $PA \vdash \sim \Box(\bar{0} = \bar{1})$; then with $\forall I$ and Impl, $PA \vdash \Box(\bar{0} = \bar{1}) \rightarrow \bar{0} = \bar{1}$; so by Löb's theorem $PA \vdash \bar{0} = \bar{1}$; but $PA \vdash \bar{0} \neq \bar{1}$; so PA is inconsistent. Reject the assumption, $PA \not\vdash \sim \Box(\bar{0} = \bar{1})$, which is to say $PA \not\vdash \text{Conpa}$.

And Löb's theorem follows from the second theorem with consistency.

Suppose PA is consistent and $PA \vdash \Box \mathcal{P} \rightarrow \mathcal{P}$. Let $\sim \mathcal{P}$ be an axiom of an extended theory PA' . Then $PA' \vdash \Box \mathcal{P} \rightarrow \mathcal{P}$ and $PA' \vdash \sim \mathcal{P}$; so $PA' \vdash \sim \Box \mathcal{P}$; but since it extends PA, $PA' \vdash \emptyset \neq \bar{1}$, so $PA' \vdash \emptyset = \bar{1} \rightarrow \mathcal{P}$ and by D1 with D2, $PA' \vdash \Box(\emptyset = \bar{1}) \rightarrow \Box \mathcal{P}$, so $PA' \vdash \sim \Box \mathcal{P} \rightarrow \sim \Box(\emptyset = \bar{1})$, which is to say $PA' \vdash \sim \Box \mathcal{P} \rightarrow \text{Cont}'$; so $PA' \vdash \text{Cont}'$. But by the second theorem, if PA' is consistent, then $PA' \not\vdash \text{Cont}'$; so PA' is not consistent. But by T10.6 if PA is consistent and $PA \not\vdash \mathcal{P}$ then $PA \cup \{\sim \mathcal{P}\}$ and so PA' is consistent; this is impossible: so $PA \vdash \mathcal{P}$.

Finally we are in a position to make some applications to the logic of provability. With $\Box \mathcal{P}$ for $\text{Prvt}(\ulcorner \mathcal{P} \urcorner)$ by the derivability conditions we have shown that K4 is sound in the sense that if $\vdash_{K4} \mathcal{P}$, then $PA \vdash \mathcal{P}$. It is natural to ask if the converse is true, whether K4 is complete in the sense that if $PA \vdash \mathcal{P}$ then $\vdash_{K4} \mathcal{P}$. But K4 is not so complete. To see this let K4LR be like K4 but with the addition of the *Löb rule*,

LR \mathcal{P} follows from $\Box \mathcal{P} \rightarrow \mathcal{P}$

By Löb's theorem, K4LR is sound, so that if $\vdash_{K4LR} \mathcal{P}$, then $PA \vdash \mathcal{P}$. But the proof of Löb's theorem is entirely within K4 except for an appeal to the diagonal lemma. Thus K4LR builds in something that K4 does not. In particular, $\vdash_{K4LR} \Box(\Box \mathcal{P} \rightarrow \mathcal{P}) \rightarrow \Box \mathcal{P}$,

- | | | |
|----|---|------------|
| 1. | $\Box[\Box(\Box \mathcal{P} \rightarrow \mathcal{P}) \rightarrow \Box \mathcal{P}] \rightarrow [\Box \Box(\Box \mathcal{P} \rightarrow \mathcal{P}) \rightarrow \Box \Box \mathcal{P}]$ | D2 |
| 2. | $\Box(\Box \mathcal{P} \rightarrow \mathcal{P}) \rightarrow (\Box \Box \mathcal{P} \rightarrow \Box \mathcal{P})$ | D2 |
| 3. | $\Box(\Box \mathcal{P} \rightarrow \mathcal{P}) \rightarrow \Box \Box(\Box \mathcal{P} \rightarrow \mathcal{P})$ | D3 |
| 4. | $\Box[\Box(\Box \mathcal{P} \rightarrow \mathcal{P}) \rightarrow \Box \mathcal{P}] \rightarrow [\Box(\Box \mathcal{P} \rightarrow \mathcal{P}) \rightarrow \Box \mathcal{P}]$ | 1,2,3 T6.4 |
| 5. | $\Box(\Box \mathcal{P} \rightarrow \mathcal{P}) \rightarrow \Box \mathcal{P}$ | 4 LR |

From this, $PA \vdash \Box(\Box \mathcal{P} \rightarrow \mathcal{P}) \rightarrow \Box \mathcal{P}$. But by E13.53 just below, $\not\vdash_{K4} \Box(\Box \mathcal{P} \rightarrow \mathcal{P}) \rightarrow \Box \mathcal{P}$ so that $\not\vdash_{K4} \Box(\Box \mathcal{P} \rightarrow \mathcal{P}) \rightarrow \Box \mathcal{P}$. So PA proves something that K4 does

not. So K4 is not complete in the sense that if $PA \vdash \mathcal{P}$ then $\vdash_{K4} \mathcal{P}$. In fact K4LR is complete — but that is a discussion for another place (see Boolos, *The Logic of Provability*).¹³

E13.51. Provide the argument to show that if $\vdash_{ADs} \mathcal{P}$, then $PA \vdash \mathcal{Wff}(a_1) \wedge \dots \wedge \mathcal{Wff}(a_n) \rightarrow \mathcal{Prvt}(\mathcal{P}^*)$.

E13.52. In the middle of a restless night dreaming about PA you bolt out of bed. “Eureka!” you cry, “I have discovered a simple means for proving the consistency of arithmetic.” Your idea is to show $PA \vdash \Box(\bar{0} = \bar{1}) \rightarrow \bar{0} = \bar{1}$; then from $PA \vdash \bar{0} \neq \bar{1}$ it follows that $PA \vdash \sim\Box(\bar{0} = \bar{1})$ and so that $PA \vdash \text{Conpa}$. Explain why this is one of those ideas that seems better at night than in the cold light of day.

E13.53. For those with some knowledge of semantics for modal logic: K4 is the normal modal logic with a transitive access relation. Find a K4 interpretation to show, $\not\vdash_{K4} \Box(\Box\mathcal{P} \rightarrow \mathcal{P}) \rightarrow \Box\mathcal{P}$. Hint: Your interpretation will have infinitely many worlds.

E13.54. Reasoning for Löb’s theorem is closely related to *Curry’s paradox*. For this read $\Box\mathcal{P}$ to say that ‘ \mathcal{P} ’ is *true* rather than that it is provable. Consider some false sentence \mathcal{F} , as ‘I have two heads’. Let \mathcal{C} be the sentence, “If this sentence is true then \mathcal{F} ” — that is, “If ‘ \mathcal{C} ’ is true then \mathcal{F} .” Take as given,

D1’.	if \mathcal{P} , then $\Box\mathcal{P}$	truth analog to D1
D2’.	$\Box(\mathcal{P} \rightarrow \mathcal{Q}) \rightarrow (\Box\mathcal{P} \rightarrow \Box\mathcal{Q})$	truth analog to D2
D3’.	$\Box\mathcal{P} \rightarrow \Box\Box\mathcal{P}$	truth analog to D3

And as premises,

1’.	$\Box\mathcal{F} \rightarrow \mathcal{F}$	from nature of truth (Tarski’s schema T)
2’.	$\mathcal{C} \leftrightarrow (\Box\mathcal{C} \rightarrow \mathcal{F})$	from the definition of \mathcal{C}

Use these principles to show that you have two heads. Reflect on this result: When \Box indicates provability, we are in a position to deny (1) that $PA \vdash \Box\mathcal{P} \rightarrow \mathcal{P}$ when $PA \vdash \sim\mathcal{P}$. But it may seem less plausible to deny (1’). Supposing you do not have two heads, what do you think is wrong?

¹³K4LR is equivalent to a logic (GL) like K4 without the Löb rule but with D3 replaced by $\Box(\Box\mathcal{P} \rightarrow \mathcal{P}) \rightarrow \Box\mathcal{P}$. This is the usual form for the logic of provability. We have just seen that K4LR proves anything proved by GL.

- E13.55. For each of the following concepts, explain in an essay of about two pages, so that Hannah could understand. In your essay, you should (i) identify the objects to which the concept applies, (ii) give and explain the definition, and give and explicate examples (iii) where the concept applies, and (iv) where it does not. Your essay should exhibit an understanding of methods from the text.
- a. The essential elements contributing to the proof (from this chapter) of the incompleteness of arithmetic.
 - b. The essential elements contributing to the demonstration that PA does not prove its own consistency

Final theorems of chapter 13

T13.55. Further results for *Termsub*.

T13.56. Further results for *Formsub*.

T13.57. Results for Gen and A4.

T13.58. Results for iterated substitutions.

T13.59. For any \mathcal{P} of the form $t = x$, there is a \mathcal{P}^* such that $\text{PA} \vdash \mathcal{P} \leftrightarrow \mathcal{P}^*$.

T13.60. For any Δ_0 formula \mathcal{P} , there is a normal formula \mathcal{P}^* such that $\vdash \mathcal{P} \leftrightarrow \mathcal{P}^*$.

T13.61. For any Δ_0 formula \mathcal{P} there is a Σ^* formula \mathcal{P}^* such that $\text{PA} \vdash \mathcal{P} \leftrightarrow \mathcal{P}^*$.

T13.62. For any Σ_1 formula \mathcal{P} there is a Σ^* formula \mathcal{P}^* such that $\text{PA} \vdash \mathcal{P} \leftrightarrow \mathcal{P}^*$.

T13.63 $\text{PA} \vdash \mathcal{Wff}(sub_i(\overline{\mathcal{P}^\top}, \vec{y}))$.

T13.64 For $\vec{x} = x_{x_1} \dots x_{x_i}$, $sub_i(\overline{\mathcal{P}^\top}, x_{x_1} \dots x_{x_i}, \vec{y}) = sub_i(\overline{\mathcal{P}^\top}, x_{x_1} \dots x_{x_i}, \vec{z})$.

T13.65 For any i , $\text{PA} \vdash sub_{i+1}(\overline{\mathcal{P}^\top}, x_a, x_{y_1} \dots x_{y_n}) = sub_{i+1}(\overline{\mathcal{P}^\top}, x_{y_1} \dots x_{y_i}, x_a, x_{y_{(i+1)}} \dots x_{y_n})$.

T13.66 If x_a is not free in \mathcal{P} , then $\text{PA} \vdash sub_{i+1}(\overline{\mathcal{P}^\top}, x_{y_1} \dots x_{y_i}, x_a, x_{y_{(i+1)}} \dots x_{y_n}) = sub_i(\overline{\mathcal{P}^\top}, x_{y_1} \dots x_{y_i}, x_a, x_{y_{(i+1)}} \dots x_{y_n})$.

T13.67 If the variables of \vec{y} and \vec{z} are ordered by their subscripts and \vec{y} and \vec{z} are the same except that \vec{z} includes some variables not in \vec{y} (and so not free in \mathcal{P}), then $\text{PA} \vdash sub(\overline{\mathcal{P}^\top}, \vec{y}) = sub(\overline{\mathcal{P}^\top}, \vec{z})$.

T13.68 If $\text{PA} \vdash \mathcal{P}$, then $\text{PA} \vdash \text{Prvt}[\mathcal{P}]$ — analog to D1

T13.69 $\text{PA} \vdash \text{Prvt}[\mathcal{P} \rightarrow \mathcal{Q}] \rightarrow (\text{Prvt}[\mathcal{P}] \rightarrow \text{Prvt}[\mathcal{Q}])$ — analog to D2

T13.70 If t is one of \emptyset , y or Sy , then $\text{PA} \vdash \text{Prvt}[\mathcal{P}_t^x] \leftrightarrow \text{Prvt}[\mathcal{P}]_t^x$.

T13.71 For any Σ^* formula \mathcal{P} , $\text{PA} \vdash \mathcal{P} \rightarrow \text{Prvt}[\mathcal{P}]$.

T13.72 For any formula \mathcal{P} , $\text{PA} \vdash \Box \mathcal{P} \rightarrow \Box \Box \mathcal{P}$ — D3

T13.73 $\text{PA} \vdash \mathcal{Wff}(a) \wedge \mathcal{Wff}(b) \rightarrow \text{Prvt}(\text{end}[\text{neg}(a), \text{end}(a, b)])$.

T13.74 Suppose T is a recursively axiomatized theory for which the derivability conditions D1 - D3 hold and $T \vdash \Box \mathcal{P} \rightarrow \mathcal{P}$, then $T \vdash \mathcal{P}$. *Löb's Theorem*.

Chapter 14

Logic and Computability

In this chapter, we begin with the notion of a Turing machine, and a Turing computable function. It turns out that the Turing computable functions are the same as the recursive functions. Once we have seen this, it is a short step from a problem about computability — the *halting problem*, to another demonstration of essential results. Further, according to Church’s thesis, the Turing computable functions, and so the recursive functions, are *all* the algorithmically computable functions. This converts results like T12.22 according to which no recursive function is true just of (numbers for) theorems of predicate logic, into ones according to which no algorithmically computable function is true just of theorems of predicate logic — where this result is much more than a curiosity about an obscure class of functions.

14.1 Turing Computable Functions

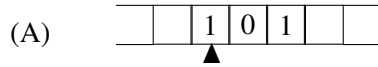
We begin saying what a Turing machine, and the Turing computable functions are. Then we turn to demonstrations that Turing computable functions are recursive, and recursive functions are Turing computable.

14.1.1 Turing Machines

A Turing machine is a simple device which, despite its simplicity, is capable of computing any recursive function — and capable of computing whatever is computable by the more sophisticated computers with which we are familiar.¹

¹So called after Alan Turing, who originally proposed them hypothetically, prior to the existence of modern computing devices, for purposes much like our own. Turing went on to develop electro-mechanical machines for code breaking during World War II, and was involved in development of early

We may think of a Turing machine as consisting of a *tape*, *machine head*, and a finite set of *instruction quadruples*.²



The tape is a sequence of cells, infinite in two directions, where the cells may be empty or filled with 0 or 1. The machine head, indicated by arrow, reads or writes the contents of a given cell, and moves left or right, one cell at a time. The head is capable of five actions: (L) move left one cell; (R) move right one cell; (B) write a blank; (0) write a zero; (1) write a one. When the head is over a cell it is capable of reading or writing the contents of that cell.

Instruction quadruples are of the sort, $\langle q_1, C, A, q_2 \rangle$ and constitute a function in the sense that no two quadruples have $\langle q_1, C \rangle$ the same but $\langle A, q_2 \rangle$ different. For an instruction quadruple: (q_1) labels the quadruple; (C) is a possible state or content of the scanned cell; (A) is one of the five actions; (q_2) is a label for some (other) quadruples. In effect, an instruction quadruple q_1 says, “if the current cell has content C , perform action A and go to instruction q_2 .” The machine begins at an instruction with label $q_1 = 1$, and stops after executing an instruction with $q_2 = 0$.

For a simple example, consider the following quadruples, along with the tape (A) from above.

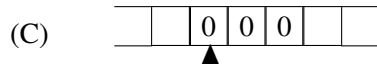
- (B)

$\langle 1, 0, R, 1 \rangle$	if 0 move right
$\langle 1, 1, 0, 1 \rangle$	if 1 write 0
$\langle 1, B, L, 2 \rangle$	end of word, back up and go to instruction 2
$\langle 2, 0, L, 2 \rangle$	while value is 0, move left
$\langle 2, B, R, 0 \rangle$	end of word, return right and stop

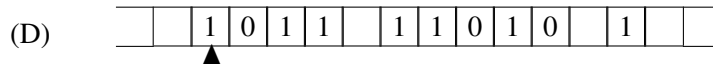
The machine begins at label 1. In this case, the head is over a cell with content 1; so from the second instruction the machine writes 0 in that cell and returns to instruction label 1. Because the cell now contains 0, the machine reads 0; so, from instruction 1, the head moves right one space and returns to instruction 1 again. Now the machine reads 0; so it moves right again and goes returns to instruction 1. Because it reads 1, again the machine writes 0 and goes to instruction 1 where it moves right and goes to 1. Now the head is over a blank; so it moves left one cell, and goes to 2. At instruction 2, the head moves left so long as the tape reads 0. When the head reaches a blank, it moves right one space, back over the word, and stops. So the result is,

stored-program computers after the war.

²Specifications of Turing machines differ somewhat. So, for example, some versions allow instruction quintuples, and allow different symbols on the tape. Nothing about what is computable changes on the different accounts.



In the standard case, we begin with a blank tape except for one or more binary “words” where the words are separated by single blank cells, and the machine head is over the left-most cell of the left-most block. The above example is a simple case of this sort, but also,



And in the usual case the program halts with the head over the leftmost cell of a single word on the tape. A total function $f(\vec{x})$ is *Turing computable* when, beginning with \vec{x} on the tape in binary digits, the result is $f(\vec{x})$.³ Thus our little program computes $\text{zero}(x)$, beginning with any x , and returning the value 0.

It will be convenient to require that programs are *dextral* (right-handed), in the sense that (a) in executing a program we never write in a cell to the left of the initial cell, or scan a cell more than one to the left of the initial cell; and (b) when the program halts, the head is over the initial cell and the final result begins in the same cell as the initial scanned cell. This does not affect what can be computed, but aids in predicting results when Turing programs are combined. Our little program is dextral.

A program to compute $\text{suc}(x)$ is not much more difficult. Let us begin by thinking about what we want the program to do. With a three-digit input word, the desired outputs are,

000	\Rightarrow	001	100	\Rightarrow	101
001	\Rightarrow	010	101	\Rightarrow	110
010	\Rightarrow	011	110	\Rightarrow	111
011	\Rightarrow	100	111	\Rightarrow	1000

Moving from the right of the input word, we want to turn any one to a zero until we can turn a zero (or a blank) to a one. Here is a way to do that.

³A Turing machine might calculate the values a function that is *partial* in the sense that it does not return a value for every input string. We are particularly interested in total functions.

(E)

$\langle 1, 0, R, 1 \rangle$	move to end of word
$\langle 1, 1, R, 1 \rangle$	
$\langle 1, B, L, 5 \rangle$	
$\langle 5, 0, 1, 7 \rangle$	flip 1 to 0 then 0 or blank to 1
$\langle 5, 1, 0, 6 \rangle$	
$\langle 5, B, 1, 7 \rangle$	
$\langle 6, 0, L, 5 \rangle$	
$\langle 7, 0, L, 7 \rangle$	return to start
$\langle 7, 1, L, 7 \rangle$	
$\langle 7, B, R, 0 \rangle$	

Do not worry about the gap in instruction labels. Nothing so-far requires instruction labels be sequential. This program moves the head to the right end of the word; from the right, flips one to zero until it finds a zero or blank; once it has acted on a zero or blank, it returns to the start.

So-far, so-good. But there is a problem with this program: In the case when the input is, say,

(F)

		1	1	1		
--	--	---	---	---	--	--

▲

the output is,

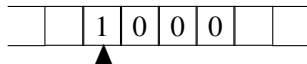
	1	0	0	0		
--	---	---	---	---	--	--

▲

with the first symbol one to the left of the initial position. We turn the first blank to the left of the initial position to a one. So the program is not dextral. The problem is solved by “shifting” the word in the case when it is all ones.

	if solid ones shift right	flip 1 to 0 then 0 to 1
	$\langle 1, 0, R, 4 \rangle$	$\langle 5, 0, 1, 7 \rangle$
	$\langle 1, 1, R, 1 \rangle$	$\langle 5, 1, 0, 6 \rangle$
	$\langle 1, B, 1, 2 \rangle$	$\langle 5, B, 1, 7 \rangle$
	$\langle 2, 1, L, 2 \rangle$	$\langle 6, 0, L, 5 \rangle$
(G)	$\langle 2, B, R, 3 \rangle$	return to start
	$\langle 3, 1, B, 3 \rangle$	$\langle 7, 0, L, 7 \rangle$
	$\langle 3, B, R, 4 \rangle$	$\langle 7, 1, L, 7 \rangle$
		$\langle 7, B, R, 0 \rangle$
	$\langle 4, 0, R, 4 \rangle$	
	$\langle 4, 1, R, 4 \rangle$	
	$\langle 4, B, L, 5 \rangle$	

States 5, 6 and 7 are as before. This time we test to see if the word is all ones. If not, the program jumps to 4 where it goes to the end, and to the routine from before. If it gets to the end without encountering a zero, it writes a one, returns to the beginning and deletes the initial symbol — so that the entire word is shifted one to the right. Then it goes to instruction 4 so that it goes to the right and works entirely as before. This time the output from (F) is,



as it should be. It is worthwhile to follow the actual operation of this and the previous program on one of the many Turing simulators available on the web (see E14.1).

More complex is a copy program to take an input x and return $x.x$. This program has four basic elements.

- (1) A sort of control section which says what to do, depending on what sort of character we have in the original word.
- (2) A program to copy 0; this will write a blank in the original word to “mark the spot”; move right to the second blank (across the blank between words, and to the blank to be filled); write a 0; move left to the original position, and replace the 0.
- (3) Similarly a program to copy 1; this will write a blank in the original word to mark the spot; move right to the second blank; write a 1; move left to the original position, and replace the 1.
- (4) And a program to move the head back to the original position when we are done.

Here is a program to do the job.

(H)	(1) <i>Control</i>	(2) <i>Copy 0</i>	(3) <i>Copy 1</i>
	$\langle 1, 0, B, 10 \rangle$	move from blank	move from blank
	$\langle 1, 1, B, 20 \rangle$	$\langle 10, B, R, 11 \rangle$	$\langle 20, B, R, 21 \rangle$
	$\langle 1, B, L, 30 \rangle$		
		right 2 blanks: 0	right 2 blanks: 1
	(4) <i>Finish</i>	$\langle 11, 0, R, 11 \rangle$	$\langle 21, 0, R, 21 \rangle$
	start of word	$\langle 11, 1, R, 11 \rangle$	$\langle 21, 1, R, 21 \rangle$
	$\langle 30, 0, L, 30 \rangle$	$\langle 11, B, R, 12 \rangle$	$\langle 21, B, R, 22 \rangle$
	$\langle 30, 1, L, 30 \rangle$		
	$\langle 30, B, R, 0 \rangle$	$\langle 12, 0, R, 12 \rangle$	$\langle 22, 0, R, 22 \rangle$
		$\langle 12, 1, R, 12 \rangle$	$\langle 22, 1, R, 22 \rangle$
		$\langle 12, B, 0, 13 \rangle$	$\langle 22, B, 1, 23 \rangle$
		left 2 blanks: 0	left 2 blanks: 1
		$\langle 13, 0, L, 13 \rangle$	$\langle 23, 0, L, 23 \rangle$
		$\langle 13, 1, L, 13 \rangle$	$\langle 23, 1, L, 23 \rangle$
		$\langle 13, B, L, 14 \rangle$	$\langle 23, B, L, 24 \rangle$
		$\langle 14, 0, L, 14 \rangle$	$\langle 24, 0, L, 24 \rangle$
		$\langle 14, 1, L, 14 \rangle$	$\langle 24, 1, L, 24 \rangle$
		$\langle 14, B, 0, 15 \rangle$	$\langle 24, B, 1, 25 \rangle$
		next char: return	next char: return
		$\langle 15, 0, R, 1 \rangle$	$\langle 25, 1, R, 1 \rangle$

You should be able to follow each stage.

E14.1. Study the copy program from the text along with the samples zero and suc from the text website (<http://rocket.csusb.edu/~troy/int-ml.html>). Then, starting with the file `blank.rb`, create Turing programs to compute the following. It will be best to submit your programs electronically.

- `copy(n)`. Takes input m and returns $m.m$. This is a simple implementation of the program from the text.
- Create a Turing program to compute `pred(n)`. Hint: Give your function two separate exit paths: One when the input is a string of 0s, returning with the input. In any other case, the output for input n is the predecessor of n . The method simply flips that for successor: From the right, change 0 to 1 until some 1 can be flipped to 0. There is no need to worry about the addition of a possible leading 0 to your result.

- c. Create a Turing program to compute $\text{ident}_3^3(x, y, z)$. For $x.y.z$ observe that z might be longer than x and y put together; but, of course, it is not longer than x, y and z put together. Here is one way to proceed: Move to the start of the third word; use copy to generate $x.y.z.z$ then plug spaces so that you have one long first word, $xoyoz.z$; you can mark the first position of the long word with a blank (and similarly, each time you write a character, mark the next position to the right with a blank so that you are always writing into the second blank up from the one where the character is read); then it is a simple matter of running a basic copy routine from right-to-left, and erasing junk when you are done.

14.1.2 Turing Computable Functions are Recursive

We turn now to showing that the (dextral) Turing computable functions are the same as the recursive functions. Our first aim is to show that every Turing computable function is recursive. But we begin with the simpler result that there is a recursive enumeration of Turing machines. We shall need this as we go forward, and it will let us compile some important preliminary results along the way.

The method is by now familiar. It will require some work, but we can do it in the same way as we approached recursive functions before. Begin by assigning to each symbol a *Gödel Number*.

- | | |
|---------------|-----------------------|
| a. $g[B] = 3$ | f. $g[L] = 9$ |
| b. $g[0] = 5$ | g. $g[R] = 11$ |
| c. $g[1] = 7$ | h. $g[q_i] = 13 + 2i$ |

For a quadruple, say, $\langle q_1, B, L, q_1 \rangle$, set $g = 2^{15} \times 3^3 \times 5^9 \times 7^{15}$. And for a sequence of quadruples with numbers $g_0, g_1 \dots g_n$ the super Gödel number $g_s = 2^{g_0} \times 3^{g_1} \times \dots \times \pi_n^{g_n}$. Again, for convenience we frequently refer to the individual symbol codes with angle quotes around the symbol, so $\langle B \rangle = 3$ where $\ulcorner B \urcorner$, the number of the expression is 2^3 .

Now we define a recursive function and some simple recursive relations,

$$\text{lb}(v) = 13 + 2v$$

$$\text{LB}(n) =_{\text{def}} (\exists v \leq n)(n = \text{lb}(v))$$

$$\text{SYM}(n) =_{\text{def}} n = \langle B \rangle \vee n = \langle 0 \rangle \vee n = \langle 1 \rangle$$

$$\text{ACT}(n) =_{\text{def}} \text{sym}(n) \vee n = \langle L \rangle \vee n = \langle R \rangle$$

$$\text{QUAD}(n) =_{\text{def}} \text{len}(n) = 4 \wedge \text{LB}(\text{exp}(n, 0)) \wedge \text{SYM}(\text{exp}(n, 1)) \wedge \text{ACT}(\text{exp}(n, 2)) \wedge \text{LB}(\text{exp}(n, 3))$$

$lb(v)$ is the Gödel number of instruction v . Then the relations are true when n is the number for an instruction label, a symbol, an action and a quadruple. In particular, a code for a quadruple numbers a sequence of four symbols of the appropriate sort.

We are now ready to number the Turing machines. For this, adopt a simple modification of our original specification: We have so-far supposed that a Turing machine might lack any given quadruple, say $\langle 3, 1, x, y \rangle$. In case it lacks this quadruple, if the machine reads 1 and is sent to state 3 it simply “hangs” with no place to go. Where q is the largest label in the machine, we now suppose that for any $p \leq q$, if no $\langle p, C, x, y \rangle$ is a member of the machine, the machine is simply supplemented with $\langle p, C, C, p \rangle$. The effect is as before: In this case, there is a place for the machine to go; but if the machine goes to $\langle p, C, C, p \rangle$, it remains in that state, repeating it over and over. In the case of label 0, the states are added to the machine, but serve no function, as the zero label forces halt. Further, we suppose that the quadruples in a Turing machine are taken in order, $\langle 0, 0, x, y \rangle, \langle 0, 1, x, y \rangle, \langle 0, B, x, y \rangle, \langle 1, 0, x, y \rangle, \langle 1, 1, x, y \rangle, \dots \langle q, 0, x, y \rangle, \langle q, 1, x, y \rangle, \langle q, B, x, y \rangle$. So each Turing machine has a unique specification. On this account, a Turing machine halts only when it reaches a state of the sort $\langle x, x, x, 0 \rangle$. And the ordered specification itself guarantees the functional requirement – that there are no two quadruples with the first inputs the same and the latter different. So for $TMACH(n)$,

$$\begin{aligned} &(\exists w < \text{len}(n))(\text{len}(n) = 3 \times (w + 2)) \wedge (\forall v, 3 \times v + 2 < \text{len}(n))(\forall x \leq n) \{ \\ &[x = \text{exp}(n, 3 \times v) \rightarrow (\text{QUAD}(x) \wedge \text{exp}(x, 0) = lb(v) \wedge \text{exp}(x, 1) = \langle 0 \rangle)] \wedge \\ &[x = \text{exp}(n, 3 \times v + 1) \rightarrow (\text{QUAD}(x) \wedge \text{exp}(x, 0) = lb(v) \wedge \text{exp}(x, 1) = \langle 1 \rangle)] \wedge \\ &[x = \text{exp}(n, 3 \times v + 2) \rightarrow (\text{QUAD}(x) \wedge \text{exp}(x, 0) = lb(v) \wedge \text{exp}(x, 1) = \langle B \rangle)] \} \end{aligned}$$

Given our modifications, the length of a Turing machine must be a non-zero multiple of three including at least the initial labels zero and one. So for some w , $\text{len}(n) = 3 \times (w + 2)$. Then for each initial label v , there are three quadruples; so there are quadruples $3 \times v$, $3 \times v + 1$ and $3 \times v + 2$, taken in the standard order, and each with initial label v . Since n is a super Gödel number, and each x the number of a quadruple it is the exponents of x that reveal the instruction label and cell content.

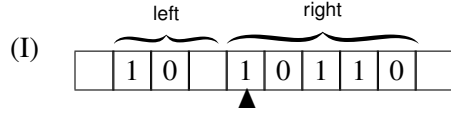
But now it is easy to see,

T14.1. There is a recursive enumeration of the Turing machines. Set,

$$\begin{aligned} \text{mach}(0) &= \mu z[TMACH(z)] \\ \text{mach}(Sn) &= \mu z[z > \text{mach}(n) \wedge TMACH(z)] \end{aligned}$$

Since $\text{mach}(n)$ is a recursive function from the natural numbers onto the Turing machines, they are recursively enumerable. While this enumeration is recursive, it is not primitive recursive.

Now, as we work toward a demonstration that Turing computable functions are recursive, let us pause for some key ideas. Consider a tape divided as follows,



We shall code the tape with a pair of numbers. Where at any stage the head divides the tape into left and right parts, first a standard code for the right hand side, $\lceil 10110 \rceil$, and second, a code for the left side read from the inside out $\lceil B01 \rceil$. Taken as a pair, these numbers record at once contents of the tape, and the position of the head — which is always under the first digit of the coded right number.

Say a dextral Turing machine computes a total function $f(n) = m$. Let us suppose that we have functions $\text{code}(n)$ and $\text{decode}(m)$ to move between m and n and their codes (where this requires moving from the numbers m and n through their binary representations, and then to the codes). So we concentrate on the machine itself, and wish to track the status of the Turing machine i given input n for each step j of its operation. In order to track the status of the machine, we shall require functions $\text{left}(i, n, j)$, $\text{right}(i, n, j)$ to record codes of the left and right portions of the tape, and $\text{state}(i, n, j)$ for the current quadruple state of the machine.

First, as we have observed, for any Turing machine, there is a unique quadruple for any instruction label and tape value. Thus, $\text{machs}(i, m, n)$ numbers a quadruple as a function of the number of the machine in the enumeration, and Gödel numbers for initial label and tape value. Thus $\text{machs}(i, m, n)$ is,

$$(\mu y \leq \text{mach}(i))(\exists v < \text{len}(\text{mach}(i))) [y = \text{exp}(\text{mach}(i), v) \wedge \text{exp}(y, 0) = m \wedge \text{exp}(y, 1) = n]$$

So $\text{machs}(i, m, n)$ returns the number of that quadruple in machine i whose initial label has number m , and initial value number n . Since the machine is a function, there must be a unique state with those initial values.

In addition, where $n = a \star b$, let us adopt a sort of converse to concatenation such that $a \circ n = b$.

$$a \circ n = (\mu x \leq n)(\forall i < \text{len}(n) \dot{-} \text{len}(a))(\text{exp}(x, i) = \text{exp}(n, \text{len}(a) + i))$$

So we want the least x such that its length is the length of n less the length of a , and the values of x at any position i are the same as those of n at $\text{len}(a) + i$. Thus $a \circ n$ “lops off” the portion numbered a from the expression numbered n .

Recall that our Turing machine is to calculate a function $f(n) = m$. Initial values of $\text{left}(i, n, j)$, $\text{right}(i, n, j)$ and $\text{state}(i, n, j)$ are straightforward.

$$\begin{aligned}\text{left}(i, n, 0) &= \ulcorner \text{BB} \urcorner \\ \text{right}(i, n, 0) &= \text{code}(n) \\ \text{state}(i, n, 0) &= \text{machs}(i, \langle 1 \rangle, \text{exp}(\text{right}(i, n, 0), 0))\end{aligned}$$

On a dextral machine, the machine never writes to the left of its initial position, and the head never moves more than one position to the left of its initial position; so we simply set the value of the left portion to a couple of blanks. This ensures that there is enough “space” on the left for the machine to operate (and that, for any position of the machine head, there is always a left portion of the tape). The starting right number is just the code of the input to the function. And the initial state value is determined by the input label 1 and the first value on the tape which is coded by the first exponent of $\text{right}(i, n, 0)$.

For the successor values,

$$\text{left}(i, n, S_j) = \begin{cases} \text{left}(i, n, j) & \text{if } \text{SYM}(\text{exp}(\text{state}(i, n, j), 2)) \\ 2^{\text{exp}(\text{right}(i, n, j), 0)} \star \text{left}(i, n, j) & \text{if } \text{exp}(\text{state}(i, n, j), 2) = \langle R \rangle \\ 2^{\text{exp}(\text{left}(i, n, j), 0)} \circ \text{left}(i, n, j) & \text{if } \text{exp}(\text{state}(i, n, j), 2) = \langle L \rangle \end{cases}$$

If a symbol is written in the current cell, there is no change in the left number. If the head moves to the left or the right, the first value is either appended or deleted, depending on direction. And similarly for $\text{right}(i, n, S_j)$ but with separate clauses for each of the symbols that may be written onto the first position. And now the successor value for state is determined by the Turing machine together with the new label and the value under the head after the current action has been performed.

$$\text{state}(i, n, S_j) = \text{machs}(i, \text{exp}(\text{state}(i, n, j), 3), \text{exp}(\text{right}(i, n, S_j), 0))$$

The machine jumps to a new state depending on the label and value on the tape. Observe that we are here proceeding by *simultaneous* recursion, defining multiple functions together. It should be clear enough how this works (see E12.25, p. 595).

If the machine enters a zero state then it halts. So set,

$$\text{stop}(i, n, j) =_{\text{def}} (\mu y \leq \text{len}(\text{mach}(i))) (\text{exp}(\text{state}(i, n, j), 0) = \text{lb}(y))$$

$\text{exp}(\text{state}(i, n, j), 0)$ is the number of of the instruction label. So $\text{exp}(\text{state}(i, n, j), 0) = \text{lb}(y)$ when y is the label. Since there always is some such label, $\text{exp}(\text{state}(i, n, j), 0) = \text{lb}(y)$ is regular. And $\text{stop}(i, n, j)$ takes the value 0 just in case machine i with input n is halted at step j . When the first member of $\text{state}(i, n, j)$ codes zero, the machine is

halted, otherwise it is running. So y takes the value zero just in case the machine is halted.

T14.2. Every Turing computable function is a recursive function. Supposing Turing machine i computes a function $f(n)$,

$$f(n) = \text{decode}(\text{right}(i, n, \mu_j[\text{stop}(i, n, j) = 0]))$$

When a dextral Turing machine stops, the value of right is just the code of its output value m ; so if we decode $\text{right}(i, n, j)$ at that stage, we have the value of the function calculated by the Turing machine. Since the Turing computable function is total, there must be some j where the machine is stopped; so the minimization operates on a regular function. Since this function is recursive, the function calculated by Turing machine i is a recursive function.

E14.2. Find a recursive function to calculate $\text{right}(i, n, j)$. Hint: You might find a combination of \star and \circ useful for the case when a symbol is written into the first cell.

E14.3. Find a recursive function to calculate $\text{decode}(n)$.

E14.4. Suppose a “dual” Turing machine has two tapes, with a machine head for each. Instructions are of the sort $\langle q_i, C_{t_a}, A_{t_b}, q_j \rangle$ where t_a and t_b indicate the relevant tape. Show that every function that is dual Turing computable is recursive.

14.1.3 Recursive Functions are Turing Computable

To show that the recursive functions are identical to the Turing computable functions, we now show that all recursive functions are Turing computable.

T14.3. Every recursive function is Turing computable.

Suppose $f(\vec{x})$ is a recursive function. Then there is a sequence of recursive functions $f_0, f_1 \dots f_n$ such that $f_n = f$, where each member is either an initial function or arises from previous members by composition, recursion, or regular minimization. The argument is by induction on this sequence.

Basis: We have already seen that the initial functions $\text{zero}(x)$, $\text{suc}(x)$ and idnt_k^i , as illustrated in E14.1, are Turing computable.

Assp: For any i , $0 \leq i < k$, $f_i(\vec{x})$ is Turing computable.

Show: $f_k(\vec{x})$ is Turing computable.

f_k is either an initial function or arises from previous members by composition, recursion, or regular minimization. If an initial function, then as in the basis. So suppose f_k arises from previous members.

- (c) $f_k(\vec{x}, \vec{y}, \vec{z})$ arises by composition from $g(\vec{y})$ and $h(\vec{x}, w, \vec{z})$. By assumption $g(\vec{y})$ and $h(\vec{x}, w, \vec{z})$ are Turing computable. For the simplest case, consider $h(g(\vec{y}))$: Chain together Turing programs to calculate $g(\vec{y})$ and then $h(w)$ — so the first program operates upon \vec{y} to calculate $g(\vec{y})$ and the second begins where the first leaves off, operating on the result to calculate $h(g(\vec{y}))$. A case like $h(x, g(\vec{y}), \vec{z})$ is more complex insofar as $g(\vec{y})$ may take up a different number of cells from \vec{y} : it is sufficient to run a copy to get $x.y.z.y$; then $g(\vec{y})$ to get $x.y.z.g(\vec{y})$; then copy for $x.y.z.g(\vec{y}).z$ and a copy that replaces the last two numbers to get $x.g(\vec{y}).z$. Then you can run h . And similarly in other cases.
- (r) $f_k(\vec{x}, y)$ arises by recursion from $g(\vec{x})$ and $h(\vec{x}, y, u)$. By assumption $g(\vec{x})$ and $h(\vec{x}, y, u)$ are Turing computable. Recall our little programs from [chapter 12](#) which begin by using $g(\vec{x})$ to find $f(0)$ and then use $h(\vec{x}, y, u)$ repeatedly for y in 0 to $b - 1$ to find the value of $f(\vec{x}, b)$ (see, for example, p. 555). For a representative case, consider $f(m, b)$.

- a. Produce a sequence,

$$m.b.m.b - 1.m.b - 2 \dots m.2.m.1.m.0.m$$

This requires a `copypair(x, y)` that takes $m.n$ and returns $m.n.m.n$ and `pred(x)`. Given $m.b$ on the tape, run `copypair` to get $m.b.m.b$ (and mark the first m with a blank). Then loop as follows: if the final b is 0, delete it, go to the previous m , and move on to (b); otherwise run `pred` on the final b , move to previous m , run `copypair`, and loop.

- b. Run g on the last block of digits m . This gives,

$$m.b.m.b - 1.m.b - 2 \dots m.2.m.1.m.0.f(m, 0)$$

- c. Back up to the previous m and run h on the concluding three blocks $m.0.f(m, 0)$. This gives,

$$m.b.m.b - 1.m.b - 2 \dots m.2.m.1.f(m, 1)$$

And so forth. Stop when you reach the m with an extra blank (with two blanks in a row). At that stage, we have, $m^*.b.f(m, b)$. Fill the first

blank, run idnt_3^3 and you are done. Observe that the original $m.b$ plays no role in the calculation other to serve as the initial template for the series, and then as an end marker on your way back up — there is never a need to apply h to any value greater than $b - 1$ in the calculation of $f(m, b)$.

(m) $f_k(\vec{x})$ arises by regular minimization from $g(\vec{x}, y)$. By assumption, $g(\vec{x}, y)$ is Turing computable. For a representative case, suppose we are given m and want $\mu y[g(m, y) = 0]$.

- a. Given, m , produce $m.0.m.0$.
- b. From a tape of the form $m.y.m.y$ loop as follows: Move to the second m ; run g on $m.y$; this gives $m.y.g(m, y)$; check to see if the result is zero; if it is, run idnt_2^3 and you are done (this is the same as deleting the last zero and running idnt_2^2); if the result is not zero, delete $g(m, y)$ to get $m.y$; run suc on y ; and then a copier to get $m.y'.m.y'$, and loop. The loop halts when it reaches the value of y for which g has output 0 — and there must be some such value if g is regular.

Indct: Any recursive function $f(\vec{x})$ is Turing computable.

And from T14.2 together with T14.3, the Turing computable functions are identical to the recursive functions. It is perhaps an “amazing” coincidence — that functions independently defined in these ways should turn out to be identical. And we have here the beginnings of an idea behind Church’s thesis which we shall explore in section 14.3.

E14.5. From exercise E14.1 you should already have Turing programs for $\text{suc}(x)$, $\text{pred}(x)$, $\text{copy}(x)$ and $\text{idnt}_3^3(x, y, z)$. Now produce each of the following, in order, leading up to the recursive addition function. When you require one as part of another simply copy it into the larger file.

- a. The function, $h(x, y, u)$. For addition, $h(x, y, u)$ is $\text{suc}(\text{idnt}_3^3(x, y, u))$. So this is a simple combination of suc and idnt_3^3 . For addition, $g(x) = \text{idnt}_1^1(x) = x$, which requires no action; so we will not worry about that.
- b. The function, coppair . Take $a.b$ and return $a.b.a.b$. One approach is to produce a simple modification of copy that takes $a.b$ and produces $a.b.a$. Run this program starting at a , and then another copy of it starting at b .

- c. The function, *cascade*. This is the program to produce $m.n.m.n - 1.m.n - 2 \dots m.0.m$. The key elements are *copypair* and *pred*. To prepare for the next stage, you should begin by running *copypair* and then “damage” the very first *m* by putting a blank in its first cell. Let the program finish with the head on *m* at the end.
- d. The function, *plus(m, n)*. *g* is trivial. So from *m* at the far right of the sequence, back up two words; check to see if there is an extra blank; if so, run idnt_3^3 and you are done; if not, run $h(x, y, u)$. Though $m.n$ is part of the “cascade” series, we never run h on $m.n.u$. In a program we may make use of $m.n$ as described, but in damaged form — as an end marker for the series.

There are easier ways to do addition on a Turing machine! The obvious strategy is to put m in a location x and n in a location y ; run *pred* on the value in location x and then *suc* on the value in location y ; the result appears in y when *pred* hits zero. The advantage of our approach is that it illustrates (an important case of) the demonstration that a Turing machine can compute any recursive function.

E14.6. Produce each of the following, leading up to a Turing program for the function $\mu y[\text{ch}(x = \text{pred}(y)) = 0]$, that is the function which returns the least y such that x equals the predecessor of y — such that the characteristic function of $x = \text{pred}(y)$ returns 0.

- a. The function $\text{idnt}_2^2(x, y)$. This can be a simple modification of idnt_3^3 .
- b. The function $\text{ch}(x = y)$, which returns 0 when $x = y$ and otherwise 1. This is, of course, a recursive function. But you can get it more efficiently and more directly. To compare numbers, you have to worry about leading zeros that might make equivalent numbers physically distinct. Here is one strategy: From $x.y$ check to see if one or both are all zeros; exit with 1 or 0 in the different cases; if neither works, apply *pred* to x and to y and return to the start; eventually you will come to a stage where the check for zero returns a result.
- c. The function $\text{ch}(x = \text{pred}(y))$. This is a simple case of composition.
- d. The function $\mu y[\text{ch}(x = \text{pred}(y)) = 0]$, by the routine discussed in the text.
Of course, for any number except 0, this is nothing but a long-winded equivalent to *suc*(x). The point, however, is to apply the algorithm for regular

minimization, and so to work through the last stage of the demonstration that recursive functions are Turing computable.

14.2 Essential Results

In [chapter 12](#) essential results were built on the diagonal lemma (T12.19). This time, we depend on a *halting problem* with special application to Turing machines. Once we have established the halting problem, results like ones from before follow in short order.

14.2.1 Halting

A Turing machine is a set of quadruples. Things are arranged so that Turing machines do not “hang” in the sense that they reach a state with no applicable instruction. But a Turing machine may go into a loop or routine from which it never emerges. That is, a Turing machine may or may not *halt* in a finite number of steps. So for example, this machine never stops.

$\langle 1, 0, 0, 1 \rangle$
 $\langle 1, 1, 1, 1 \rangle$
 $\langle 1, B, B, 1 \rangle$

For any input it simply repeats forever. This raises the question whether there is a general way to *tell* whether Turing machines halt when started on a given input. This is an issue of significance for computing theory. And, as we shall see, the answer has consequences beyond computing.

The problem divides into narrower “self-halting” and broader “general halting” versions. First, the self-halting problem: By T14.1 there is an enumeration of the Turing machines. Consider an enumeration, $\Pi_0, \Pi_1 \dots$ of Turing machines for functions with a single free variable and an array as follows,

		0	1	2	...
	Π_0	$\Pi_0(0)$	$\Pi_0(1)$	$\Pi_0(2)$	
(J)	Π_1	$\Pi_1(0)$	$\Pi_1(1)$	$\Pi_1(2)$	
	Π_2	$\Pi_2(0)$	$\Pi_2(1)$	$\Pi_2(2)$	
	\vdots				

We run Π_0 on inputs 0, 1...; Π_1 on 0, 1...; and so forth. Now ask whether there is a Turing program (that is, a recursive function) to decide in general whether Π_i halts when applied to its own number in the enumeration — a program $H(i)$ such that $H(i) = 0$ if $\Pi_i(i)$ halts, and $H(i) = 1$ if $\Pi_i(i)$ does not halt.

T14.4. There is no Turing machine $H(i)$ such that $H(i) = 0$ if $\Pi_i(i)$ halts and $H(i) = 1$ if it does not.

Suppose otherwise. That is, suppose there is a halting machine $H(i)$ where for any $\Pi_i(i)$, $H(i) = 0$ if $\Pi_i(i)$ halts and $H(i) = 1$ if it does not. Chain this program into a simple looping machine $\Lambda(j)$ defined as follows,

$\langle q, 0, 0, q \rangle$

$\langle q, 1, 1, 0 \rangle$

So when $j = 0$, Λ goes into an infinite loop, remaining in state q forever; when $j = 1$, Λ halts gracefully with output 1. Let the combination of H and Λ be $\Delta(i)$; so $\Delta(i)$ calculates $\Lambda(H(i))$. On our assumption that there is a Turing machine $H(i)$, the machine Δ must appear in the enumeration of Turing machines with some number d .

But this is impossible. Consider $\Delta(d)$ and suppose $\Delta(d)$ halts; since Δ halts on input d , the halting machine, $H(d) = 0$; and with this input, Λ goes into the infinite loop; so the composition $\Lambda(H(d))$ does not halt; and this is just to say $\Delta(d)$ does not halt. Reject the assumption, $\Delta(d)$ does not halt. But since $\Delta(d)$ does not halt, the halting machine $H(d) = 1$; and with this input, Λ halts gracefully with output 1; so the composition $\Lambda(H(d))$ halts; and this is just to say $\Delta(d)$ halts. Reject the original assumption, there is no machine $H(i)$ which says whether an arbitrary $\Pi_i(i)$ halts.

For this argument, it is important that H is a component of Δ . Information about whether Δ halts gives information about the behavior of H , and information about the behavior of H , about whether Δ halts.

The more general question is whether there is a machine to decide for any Π_i and n whether $\Pi_i(n)$ halts. But it is immediate that if there is no Turing machine to decide the more narrow self-halting problem, there is no Turing machine to decide this more general version.

T14.5. There is no Turing machine $H(i, n)$ such that $H(i, n) = 0$ if $\Pi_i(n)$ halts and $H(i, n) = 1$ if it does not.

Suppose otherwise. That is, suppose there is a halting machine $H(i, n)$ where for any $\Pi_i(n)$, $H(i, n) = 0$ if $\Pi_i(n)$ halts and $H(i, n) = 1$ if it does not. Chain this program after a copier $K(n)$ which takes input n and gives $n.n$. The combination $H(K(i))$ decides whether $\Pi_i(i)$ halts. This is impossible; reject the assumption: There is no such Turing machine $H(i, n)$.

And when combined with T14.3 according to which every recursive function is Turing computable, these theorems which tell us that no Turing program is sufficient to solve the halting problem, yield the result that no recursive function solves the halting problem: if a function is recursive, then it is Turing computable; and since it is Turing computable, it does not solve the halting problem. Observe that we may be able to decide in particular cases whether a program halts. No doubt you have been able to do so in particular cases! What we have shown is that there is no perfectly general recursive method to decide whether $\Pi_i(n)$ halts.

E14.7. Say a function is μ -recursive just in case it satisfies the conditions for the recursive functions but without the regularity requirement for minimization. So all the recursive functions are μ -recursive, but some μ -recursive functions are not recursive. Where every recursive function $f(\vec{x})$ is *total* in the sense that it returns a value for every \vec{x} , some μ -recursive functions are *partial* insofar as there may be values of \vec{x} for which they return no value (as occurs when minimization is applied to a $g(\vec{x}, y)$ that never evaluates to zero). Suppose that the μ -recursive functions can be numbered and that there is a μ -recursive function $\text{emurec}(i)$ to enumerate them; so $\text{emurec}(i)$ returns the Gödel number of the i^{th} function in the enumeration. (You will have occasion to produce this function in a later exercise.) Show that there is no μ -recursive function $\text{def}(i)$ such that $\text{def}(i) = 0$ if $f_i(i)$ is defined and $\text{def}(i) = 1$ if $f_i(i)$ is undefined. Hint: Let your diagonal function $\text{diag}(i) = \mu y[\text{def}(i) = y \wedge y = 1]$. We might think of this as the *definition problem*.

14.2.2 The Decision Problem

Recall our demonstration from section 12.5.2 that if Q is consistent then no recursive relation identifies the theorems of predicate logic. With the identity between the recursive functions and the Turing computable functions, this is the same as the result that if Q is consistent then no Turing computable function identifies the theorems of predicate logic. We are now in a position to obtain a related result directly, by means of the halting problem. Recall from chapter 13 (p. 622) that a theory T is ω -inconsistent iff for some $\mathcal{P}(x)$, T proves each $\mathcal{P}(\bar{m})$ but also proves $\sim \forall x \mathcal{P}(x)$. Equivalently, T is ω -inconsistent iff T proves each $\sim \mathcal{P}(\bar{m})$ but also proves $\exists x \mathcal{P}(x)$. We show,

T14.6. If Q is ω -consistent, then no Turing computable function $\text{thrmpl}(n)$ is such that $\text{thrmpl}(n) = 0$ just in case n numbers a theorem of predicate logic.

Suppose Q is ω -consistent, and suppose some Turing computable $\text{thrmpl}(n) = 0$ just in case n numbers a theorem of predicate logic. Consider our recursive function $\text{stop}(i, n, j)$ which takes the value 0 iff $\Pi_i(n)$ is halted. Since it is recursive, stop is captured by some $\text{Stop}(i, n, j, z)$ so that,

- (i) If $\Pi_i(i)$ is halted by step j , $Q \vdash \text{Stop}(\bar{i}, \bar{i}, \bar{j}, \emptyset)$
- (ii) If $\Pi_i(i)$ never halts, $Q \vdash \sim \text{Stop}(\bar{i}, \bar{i}, \bar{j}, \emptyset)$ for any j

Let $\mathcal{H}(i) = \exists z \text{Stop}(i, i, z, \emptyset)$. Then if $\Pi_i(i)$ halts, there is some j such that $Q \vdash \text{Stop}(\bar{i}, \bar{i}, \bar{j}, \emptyset)$; so $Q \vdash \mathcal{H}(\bar{i})$. And if $\Pi_i(i)$ never halts, for every j , $Q \vdash \sim \text{Stop}(\bar{i}, \bar{i}, \bar{j}, \emptyset)$; so since Q is ω -consistent, $Q \not\vdash \mathcal{H}(\bar{i})$. So where \mathcal{Q} is a conjunction of the axioms of Q , if $\Pi_i(i)$ halts $\vdash \mathcal{Q} \rightarrow \mathcal{H}(\bar{i})$ and if $\Pi_i(i)$ never halts $\not\vdash \mathcal{Q} \rightarrow \mathcal{H}(\bar{i})$; so,

$$\vdash \mathcal{Q} \rightarrow \mathcal{H}(\bar{i}) \quad \text{iff} \quad \Pi_i(i) \text{ halts}$$

Let $q = \ulcorner \mathcal{Q} \urcorner$ and $h(i) = \text{formsub}(\ulcorner \mathcal{H}(i) \urcorner, \ulcorner i \urcorner, \text{num}(i))$ — so $h(i)$ is the number of $\mathcal{H}(\bar{i})$. Then $\text{thrmpl}(\text{cnd}(q, h(i)))$ takes the value 0 iff $\mathcal{Q} \rightarrow \mathcal{H}(\bar{i})$ is a theorem, iff $\Pi_i(i)$ halts. So thrmpl solves the halting problem. This is impossible; reject the assumption: If Q is ω -consistent, then there is no Turing computable function that returns the value zero just for numbers of theorems of predicate logic.

And, of course, this result according to which if Q is ω -consistent no Turing computable function returns zero just for theorems of predicate logic is equivalent to the result that if Q is ω -consistent, then no recursive function returns zero just for theorems of predicate logic.⁴

E14.8. Return again to the μ -recursive functions from E13.7. Suppose that in addition to $\text{emurec}(i)$ to enumerate the functions there is a μ -recursive $\text{umurec}(i, n)$ to return the value of $f_i(n)$ so that $\text{umurec}(i, n) = f_i(n)$; say this function is captured in Q_s by some $\text{Umurec}(i, n, y)$ so that if $f_i(n) = a$ then $Q \vdash \text{Umurec}(\bar{i}, \bar{n}, \bar{a})$ and if $f_i(n) \neq a$ then $Q \vdash \sim \text{Umurec}(\bar{i}, \bar{n}, \bar{a})$. Use your result from the definition problem in E14.7 to show that if Q_s is ω -consistent, then no μ -recursive function $\text{muthrmpl}(n)$ is such that $\text{muthrmpl}(n) = 0$ just in case n numbers a theorem of predicate logic. Hint: Let $\text{Defined}(\bar{i}) =_{\text{def}} \exists z \text{Umurec}(\bar{i}, \bar{i}, z)$.

⁴This argument, and the parallel one in chapter 12 have the advantage of simplicity. However, this result that no recursive function is true just of the theorems of predicate logic need not be conditional on the consistency (or ω -consistency) of Q . For an illuminating version of the strengthened result from the halting problem, see chapter 11 of Boolos et al., *Computability and Logic*.

14.2.3 Incompleteness Again

In T12.21 we saw that no consistent, recursively axiomatizable theory extending Q is negation complete. We shall see this again. However, as described in chapter 13, the incompleteness result comes in different forms. In particular, the one as from chapter 12 which depends on consistency and capture, and another which depends on soundness and expression. We are positioned to see the result in both forms.

Semantic Version

A key preliminary to the chapter 12 demonstration of incompleteness is T12.20 which applies the diagonal lemma to show that for no consistent theory T extending Q is a recursive relation true of (numbers for) its theorems. This time, by means of the halting result, we show that the *truths* of \mathcal{L}_{NT} are not recursively enumerable.

T14.7. The set of truths of \mathcal{L}_{NT} is not recursively enumerable.

Consider again our recursive function $\text{stop}(i, n, j)$; since it is recursive, it is expressed by some $\text{Stop}(i, n, j, z)$; set $\mathcal{H}(i) = \exists z \text{Stop}(i, i, z, \emptyset)$ and $h(i) = \text{formsub}(\ulcorner \mathcal{H}(i) \urcorner, \ulcorner i \urcorner, \text{num}(i))$ — so $h(i)$ is the number of $\mathcal{H}(\bar{i})$. Suppose some $\Pi_e(i)$ enumerates the truths of \mathcal{L}_{NT} , halting with output 0 if $h(i)$ appears in the enumeration, and with output 1 if $\text{neg}(h(i))$ appears. Exactly one of $\mathcal{H}(\bar{i})$ or $\sim \mathcal{H}(\bar{i})$ is true; so the number for one of them will eventually turn up insofar as Π_e enumerates all the truths of \mathcal{L}_{NT} .

(i) Suppose $N[\mathcal{H}(\bar{i})] = T$; then for some m , $N[\text{Stop}(\bar{i}, \bar{i}, \bar{m}, \emptyset)] = T$; so $N[\sim \text{Stop}(\bar{i}, \bar{i}, \bar{m}, \emptyset)] \neq T$; so by expression, $\langle \langle i, i, m \rangle, 0 \rangle \in \text{stop}$; so $\Pi_i(i)$ stops.

(ii) Suppose $N[\mathcal{H}(\bar{i})] \neq T$; then for any $m \in \mathbb{U}$, $N[\text{Stop}(\bar{i}, \bar{i}, \bar{m}, \emptyset)] \neq T$; so by expression, $\langle \langle i, i, m \rangle, 0 \rangle \notin \text{stop}$; so $\Pi_i(i)$ never stops.

So $N[\mathcal{H}(\bar{i})] = T$ iff $\Pi_i(i)$ halts

Thus by its definition, $\Pi_e(i)$ halts with output 0 iff $N[\mathcal{H}(\bar{i})] = T$; iff $\Pi_i(i)$ halts; so $\Pi_e(i)$ solves the halting problem. This is impossible; there is no such Turing machine. And since no Turing machine enumerates the truths of \mathcal{L}_{NT} , no recursive function enumerates the truths of \mathcal{L}_{NT} .

This theorem, together with T12.17 which tells us that if T is a recursively axiomatized formal theory then the set of theorems of T is recursively enumerable, puts us in a position to obtain an incompleteness result mirroring T13.2.

T14.8. If T is a recursively axiomatized sound theory whose language includes \mathcal{L}_{NT} , then T is negation incomplete.

Suppose T is a recursively axiomatized sound theory whose language includes \mathcal{L}_{NT} . By T12.17, there is an enumeration of the theorems of T , and since T is sound, all of the theorems in the enumeration are true. But by T14.7, there is no enumeration of all the truths of \mathcal{L}_{NT} ; so the enumeration of theorems is not an enumeration of all truths; so some true \mathcal{P} is not among the theorems of T ; and since \mathcal{P} is true, $\sim\mathcal{P}$ is not true; and since T is sound, neither is $\sim\mathcal{P}$ among the theorems of T . So $T \not\vdash \mathcal{P}$ and $T \not\vdash \sim\mathcal{P}$.

This incompleteness result requires the *soundness* of T , where where soundness is more than mere consistency. But it requires only that the language include \mathcal{L}_{NT} and so have the power to *express* recursive functions — where this leaves to the side a requirement that T extends Q , and so be able to capture recursive functions.

Syntactic Version

From the halting problem, we can obtain the other sort of incompleteness result as well. Thus we have a theorem like the combination of T13.4 and T13.5.

T14.9. If T is a recursively axiomatized theory extending Q , then there is a sentence \mathcal{P} such that if T is consistent $T \not\vdash \mathcal{P}$, and if T is ω -consistent, $T \not\vdash \sim\mathcal{P}$.

Suppose T is a recursively axiomatized theory extending Q . Once again consider $\text{stop}(i, n, j)$; since stop is recursive and T extends Q , stop is captured in T by some $\text{Stop}(i, n, j, z)$; let $\mathcal{H}(i) = \exists z \text{Stop}(i, i, z, \emptyset)$, and $h(i) = \text{formsub}(\ulcorner \mathcal{H}(i) \urcorner, \ulcorner i \urcorner, \text{num}(i))$. Consider a Turing machine $\Pi_s(i)$ which tests whether successive values of m number a proof of $\sim\mathcal{H}(\bar{i})$, halting if some m numbers a proof and otherwise continuing forever — so $\Pi_s(i)$ evaluates $\text{PRFT}(m, \text{neg}(h(i)))$ for successive values of m ; since T is a recursively axiomatized theory, this is a recursive relation so that there must be some such Turing machine. We can think of $\Pi_s(i)$ as seeking a proof that $\Pi_i(i)$ does not halt.

Suppose $\Pi_s(s)$ halts. By its definition, $\Pi_s(i)$ halts just in case some m numbers a proof of $\sim\mathcal{H}(\bar{i})$; since $\Pi_s(s)$ halts, then, there is some m such that $\text{PRFT}(m, \text{neg}(h(s)))$; so $T \vdash \sim\mathcal{H}(\bar{s})$. But if $\Pi_s(s)$ halts, for some m , $\langle \langle s, s, m \rangle, 0 \rangle \in \text{stop}$; so by capture, $T \vdash \text{Stop}(\bar{s}, \bar{s}, \bar{m}, \emptyset)$; so $T \vdash \exists z \text{Stop}(\bar{s}, \bar{s}, z, \emptyset)$, which is to say, $T \vdash \mathcal{H}(\bar{s})$. Reject the assumption: if T is consistent, $\Pi_s(s)$ does not halt.

- (i) Suppose T is consistent and $T \vdash \sim \mathcal{H}(\bar{s})$; then for some m , $\text{PRFT}(m, \text{neg}(h(s)))$; so by its definition, $\Pi_s(s)$ halts. But since T is consistent, as we have just seen, $\Pi_s(s)$ does not halt. Reject the assumption: $T \not\vdash \sim \mathcal{H}(\bar{s})$.
- (ii) Suppose T is ω -consistent and $T \vdash \sim \sim \mathcal{H}(\bar{s})$; then $T \vdash \mathcal{H}(\bar{s})$; so $T \vdash \exists z \text{Stop}(\bar{s}, \bar{s}, z, \emptyset)$. But since T is ω -consistent, it is consistent so that $\Pi_s(s)$ does not halt; so for any m , $\langle \langle s, s, m \rangle, 0 \rangle \notin \text{stop}$; and by capture, for any m , $T \vdash \sim \text{Stop}(\bar{s}, \bar{s}, \bar{m}, \emptyset)$; so by ω -consistency, $T \not\vdash \exists z \text{Stop}(\bar{s}, \bar{s}, z, \emptyset)$. This is impossible, $T \not\vdash \sim \sim \mathcal{H}(\bar{s})$

Again, this is roughly the form in which Gödel first proved the incompleteness of arithmetic. However, as we have seen it is possible to strengthen this version of the result to drop the requirement of ω -consistency for the simple result that no consistent, recursively axiomatizable theory extending Q is negation complete.

E14.9. Use the definition problem for μ -recursive functions to show that there is no μ -recursive enumeration of the set of truths of \mathcal{L}_{NT} . Hint: Return to $\text{umurec}(i, n)$, $\text{Umurec}(i, n, y)$ and $\text{Defined}(\bar{i})$ — this time supposing that Umurec expresses umurec so that if $f_i(n) = a$ then $N[\text{Umurec}(\bar{i}, \bar{n}, \bar{a})] = \top$ and if $f_i(n) \neq a$ then $N[\sim \text{Umurec}(\bar{i}, \bar{n}, \bar{a})] = \top$. Suppose there is an enumeration $\text{entruth}(n)$ of the truths of \mathcal{L}_{NT} ; then the characteristic function of $\text{entruth}\{\mu y[\text{enthrm}(y) = \ulcorner \text{Defined}(\bar{i}) \urcorner \vee \text{enthrm}(y) = \ulcorner \sim \text{Defined}(\bar{i}) \urcorner]\} = \ulcorner \text{Defined}(\bar{i}) \urcorner$ is 0 when the minimization finds $\text{Defined}(\bar{i})$ in the enumeration, and otherwise 1.

E14.10. Use your results for μ -recursive functions from other exercises to show that if T is a recursively axiomatized theory extending Q_s , then there is a sentence \mathcal{P} such that if T is consistent $T \not\vdash \mathcal{P}$, and if T is ω -consistent, $T \not\vdash \sim \mathcal{P}$.

14.3 Church's Thesis

We have attained a number of negative results, as T14.6 that if Q is ω -consistent then no Turing computable function $\text{thrmpl}(n)$ returns zero just for numbers of theorems of predicate logic, and from T14.7 that no Turing machine enumerates the truths of \mathcal{L}_{NT} . These are interesting. But, one might very well think, if no Turing machine computes a function, then we ought simply to compute the function some *other* way. So the significance of our negative results is magnified if the Turing computable functions are, in some sense, the *only* computable functions. If in some important

sense the Turing computable functions are the only computable functions, and no Turing machine computes a function, then in the relevant sense the function is not computable. Thus Church's Thesis:

CT The total numerical functions that are effectively computable by some algorithmic method are just the recursive functions.

We want to be clear first, on the *content* of this thesis, and once we know what it says on reasons for thinking that it is true.

14.3.1 The content of Church's thesis

Church's thesis makes a claim about "total numerical functions that are effectively computable by an algorithmic method." Original motivations are from the simple routines we learn in grade school for addition, multiplication, and the like. These effectively compute total numerical functions by an algorithmic method. By themselves, such methods are of interest. However, we mean to include the sorts of methods contemporary computing devices can execute. These are of considerable interest as well. Let us take up the different elements of the proposal in turn.

First, as always, a numerical function is *total* iff it is defined on the entire numerical domain. Arbitrary functions on a finite domain may be finitely specified by listing their members, and then computed by simple lookup. This was our approach with simple, but arbitrary, functions from [chapter 4](#). The question of computability becomes interesting when domains are not finite (and from methods like those in the [countability](#) reference a function on an infinite domain is always comparable to one that is total). So Church's thesis is a thesis about the computability of total functions.

A function is *effectively computable* iff there is a method for finding its value for any given argument. Correspondingly, a property or relation is *effectively decidable* iff its characteristic function is effectively computable. So methods for addition and multiplication are adequate to calculate the value of the function for any inputs. Or consider a Turing machine programmed to enumerate the theorems of T , stopping with output 0 if it reaches (the number for) \mathcal{P} , and output 1 if it reaches $\sim\mathcal{P}$. If T is a consistent recursively axiomatized and negation complete theory, then this is an effective method for deciding the theorems of T . If \mathcal{P} is a theorem, it eventually shows up in the enumeration, and the Turing machine stops with output 0. If \mathcal{P} is not a theorem, $\sim\mathcal{P}$ is a theorem, so $\sim\mathcal{P}$ eventually shows up in the enumeration, and the machine stops with output 1. This was the idea behind T12.18. But if T is not negation complete, this is not an effective method for deciding theorems of T . If \mathcal{P} is a theorem, it eventually shows up in the enumeration, and the machine stops

with output 0. But if \mathcal{P} is not a theorem and T is not negation complete, $\sim\mathcal{P}$ might also fail to be a theorem. In this case, the machine continues forever, and does not stop with output 1; so for some arguments, this method does not find the value of the characteristic function, and we have not described an *effective* method for deciding the theorems of this T .

From the start, we may agree that there is some uncertainty about the notion of an *algorithmic* method; so, for example, different texts offer somewhat different definitions. However, as we did for logical validity and soundness in [chapter 1](#), we shall take a particular account as a technical definition — partly as clarified in examples that follow. Difficulties to the side, there does seem to be a relevant core notion: for our purposes an *algorithmic* method is a finitely constrained rule-based procedure (rote, if you will).⁵

There is some vagueness in how much “processing” is allowed in following a rule. So, “write down the value of $f(n)$ ” will not do as a rule for arbitrary $f(n)$; and, less dramatically, an algorithm for multiplication does not typically include instructions for required additions. However, we may take it that if some instructions are sufficient for a computer to calculate a function, then the function is algorithmically computable. Thus that a function is Turing computable is sufficient to show that it is algorithmically computable. Again, standard methods for addition and multiplication are examples of algorithmic procedures. Truth table construction is another example of a method that proceeds by rote in this way. Given the basic tables for the operators, one simply follows the rules to complete the tables and determine validity — and one could program a computer to perform the same task. Thus validity in sentential logic is effectively decidable by an algorithmic method. In contrast, derivations are not an algorithmic method. The strategies are helpful! But, at least in complex cases, there may come a stage where insight or something like lucky guessing is required. And at such a stage, you are not following any rules by rote, and so not following any specific algorithm to reach your result.

And algorithmic methods operate under finite constraints. In general, we shall not worry about how large these constraints may be, so long as they remain finite. Consider first, truth table construction. If this is to be an effective method for determining validity, it should return a result for any sentence. But for any $n > 0$ there are sentences with that many atomic sentences (for example, $A_1 \wedge A_2 \wedge \dots \wedge A_n$), so the corresponding table requires 2^n rows. This number may be arbitrarily large — and a table may require more paper or memory than are in the entire universe. But, in every case, the limit is finite. So, for our purposes, it qualifies as an effective algo-

⁵We have no intention of engaging Wittgenstenian concerns about following a rule. See, for example, Kripke *Wittgenstein on Rules and Private Language*.

rithmic method. Contrast this case with a device, which we may call “god’s mind,” that stores all the theorems of predicate logic sorted in order of their Gödel numbers. To calculate whether \mathcal{P} is a theorem, simply search up to the Gödel number of \mathcal{P} to see if that sentence is in the database: if it is, \mathcal{P} is a theorem, if it is not \mathcal{P} is not a theorem. It is not our intent to deny the existence of god, or that one might very well solve mathematical problems by prayer (though this might not go over very well on examinations which require that you show your work)! But, insofar as a device requires infinite memory or the like, it will not for our purposes count as an algorithmic method.

Or consider again a Turing machine programmed to enumerate the theorems of T , stopping with output 0 if it reaches (the number for) \mathcal{P} , but continuing forever if \mathcal{P} does not appear. One might suppose the information that \mathcal{P} is not a theorem is contained already in the fact *that the machine never halts*, and that god or some being with an infinite perspective might very well extract this information from the machine. Perhaps so. But this method is not algorithmic just because it requires the infinite perspective. Still, there are interesting attempts to attain the effect of this latter machine without appeals to god. Consider, first, “Zeno’s machine.” As before, the machine enumerates theorems, this time flashing a light if \mathcal{P} appears in the list. However, for some finite time t (say 60 seconds), this machine takes its first step in $t/2$ seconds, its second step in $t/4$ seconds, and for any n , step n in $t/2^n$ seconds. But the sum of $t/2 + t/4 + \dots = t$, and the Turing machine runs through all of infinitely many steps in time t . So start the machine. If the light flashes before t seconds elapse, \mathcal{P} is a theorem. If t elapses, the machine has run through all of infinitely many steps, so if the light does not flash, \mathcal{P} is not a theorem.

One might object this proposal reduces to a tautology of the sort, “If such-and-such (impossible) circumstances obtain, then the theorems are decidable.” Great, but who cares? However, we should not reject the general strategy out-of-hand. From even a very basic introduction to special relativity, one is exposed to time dilation effects (for a simple case see the [time dilation](#) reference). General relativity allows a related effect. Where special relativity applies just to reference frames moving at constant velocity relative to one another, general relativity allows accelerated frames. And it is at least consistent with the laws of general relativity for one frame to have an infinite elapsed time, while another’s time is finite.⁶ So, for a Malament-Hogarth (MH) machine, put a Turing machine in the one frame and an observer in the other.

⁶Students with the requisite math and physics background might be interested in Hogarth, “[Does General Relativity Allow an Observer To View an Eternity In a Finite Time?](#)” See also Earman and Norton, “[Forever is a Day](#),” and for the same content, chapter 4 of Earman, *Bangs, Crunches, Whimpers, and Shrieks* (but with additional, though still difficult, setup in earlier chapters of the text).

The Turing machine operates in the usual way in its frame enumerating the theorems forever. If \mathcal{P} is a theorem, it sends a signal back to the observer's frame that is received within the finite interval. From the observer's perspective, this machine runs through infinitely many operations. So if a signal is received in the finite interval, \mathcal{P} is a theorem. If no signal is received in the finite interval, then \mathcal{P} is not a theorem. (And similarly, the MH machine might search for a counterexample to the Goldbach conjecture, or the like.) There is considerable room for debate about whether such a machine is physically possible. But, even if physically realized, it is not *algorithmic*. For we require that an algorithmic method terminates in a finite number of steps.

Church's thesis is thus that the total numerical functions that are effectively computable by some algorithmic method are the the same as the recursive functions. Suppose we obtain a negative result that some function is not algorithmically computable. Even with the finite limits we have placed on memory, number of instructions and the like, the negative result remains of considerable interest: So long as a routine follows definite rules, no (finite) amount of parallel processing, high-speed memory, nanotechnology, and so forth is going to make a difference — the function remains uncomputable.

14.3.2 The basis for Church's thesis

It is widely accepted that Church's thesis is true, but also that it is not susceptible to *proof*. We shall return to the question of proof. There are perhaps three sorts of reasons that have led philosophers, computer scientists and logicians to think it is true. (i) A number of independently defined notions plausibly associated with computability converge on the recursive functions. (ii) No plausible counterexamples — algorithmically computable functions not recursive, have come to light. And (iii) there is a sort of rationale from the nature of an algorithm. This last may verge on, or amount to, demonstration of Church's thesis.

Independent definitions. We have already seen that the Turing computable functions are the same as the recursive functions. And we are in a position to close another loop. From T12.16, any recursive function is captured by a recursively axiomatized consistent theory extending Q. But also,

T14.10. Every (total) function that can be captured by a consistent recursively axiomatized theory is recursive.

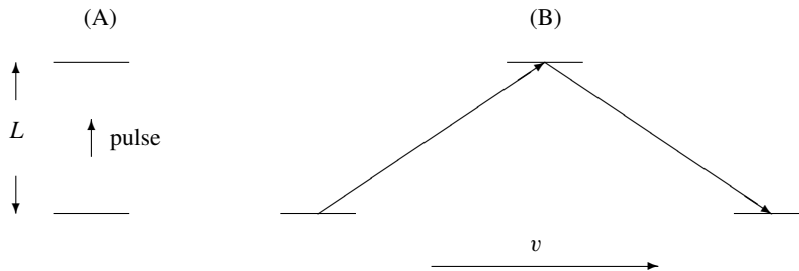
Suppose a function $f(m) = n$ can be captured in a consistent recursively axiomatized theory T ; then there is some $\mathcal{F}(x, y)$ such that if $\langle m, n \rangle \in f$,

Simple Time Dilation

It is natural to think that, just as a wave in water approaches a boat faster when the boat is moving toward it than when the boat is moving away, so light would approach an observer faster when she is moving toward it, and more slowly when she is moving away. But this is not so. The 1887 Michelson-Morley experiment (and many others) verify that the speed of light has the *same* value for all observers. Special relativity takes as foundational:

1. The laws of physics may be expressed in equations having the same form in all frames of reference moving at constant velocity with respect to one another.
2. The speed of light in free space has the same value for all observers, regardless of their state of motion.

These principles have many counterintuitive consequences. Here is one: Consider a clock which consists of a pulse of light bouncing between two mirrors separated by distance L as in (A) below. Where c is the constant speed of light, the time between ticks is the distance traveled by the pulse divided by its speed L/c .



Now consider the same clock as observed from a reference frame relative to which it is in motion, as in (B). The speed of light remains c (instead of being increased, as one might expect, by the addition of the horizontal component to its velocity). But the distance traveled between ticks is greater than L , so the time between ticks is greater than L/c — which is to say the clock ticks more slowly from the perspective of the second frame.

One might wonder happens if this clock is rotated 90 degrees so that the pulse is bouncing parallel to the direction of motion, or what would happen if time were measured by a pendulum clock. But within a frame, everything is coordinated according to the usual laws: On special relativity, there are coordinated changes to length, mass and the like so that the effect is robust. As observed from a reference frame relative to which the frame is in motion, time, mass, and length are distorted together. For further discussion, consult any textbook on introductory modern physics.

then $T \vdash \mathcal{F}(\bar{m}, \bar{n})$ and if $\langle m, n \rangle \notin f$ then $T \vdash \sim \mathcal{F}(\bar{m}, \bar{n})$; and from the latter, since T is consistent, $T \not\vdash \mathcal{F}(\bar{m}, \bar{n})$. But since f is a function, if $\langle m, n \rangle \in f$, any $k \neq n$ is such that $\langle m, k \rangle \notin f$; so that $T \not\vdash \mathcal{F}(\bar{m}, \bar{k})$. Since T is recursively axiomatized there is a recursive PRFT. Suppose $\langle m, n \rangle \in f$; then (i) for $b = \ulcorner \mathcal{F}(\bar{m}, \bar{n}) \urcorner$ there is some a such that $\text{PRFT}(a, b)$; and (ii) for $n \neq k$, there is no $b' = \ulcorner \mathcal{F}(\bar{m}, \bar{k}) \urcorner$ such that for some a , $\text{PRFT}(a, b')$.

Intuitively, we can find the value of $f(m)$ by searching the theorems until we find one of the sort $\mathcal{F}(\bar{m}, \bar{n})$; and from this derive the value n . More formally: First, for the number of $\mathcal{F}(\bar{m}, \bar{n})$,

$$\text{numf}(m, n) =_{\text{def}} \text{formsub}[\text{formsub}(\ulcorner \mathcal{F}(x, y) \urcorner, \ulcorner x \urcorner, \text{num}(m)), \ulcorner y \urcorner, \text{num}(n)]$$

Recall that $\text{formsub}(p, v, s)$ takes the Gödel numbers of a formula \mathcal{P} , variable x and term s and returns the number of \mathcal{P}_s^x ; and $\text{num}(m)$ returns the Gödel number of the standard numeral for m . So this gives the Gödel number of $\mathcal{F}(\bar{m}, \bar{n})$ as a function of m and n . By (loose) analogy with code from chapter 12 (p. 607),

$$\text{codef}(m) =_{\text{def}} \mu z [\text{len}(z) = 2 \wedge \text{PRFT}(\text{exp}(z, 0), \text{numf}(m, \text{exp}(z, 1)))]$$

So $\text{codef}(m)$ is of the sort $2^a \times 3^n$, where a numbers a proof of $\mathcal{F}(\bar{m}, \bar{n})$; that is, $\text{exp}(z, 0)$ numbers a proof of $\text{numf}(m, \text{exp}(z, 1))$. But there is only one n that could result in a proof of $\mathcal{F}(\bar{m}, \bar{n})$. So,

$$f(m) = \text{exp}(\text{codef}(m), 1)$$

And n is easily recovered from codef . So $f(m)$ is a recursive function.

We use the $\mathcal{F}(x, y)$ that captures $f(m)$ to generate the recursive $f(m)$. So a function is captured in a recursively axiomatized consistent theory iff it is recursive. And increasing the power of a deductive system from Q to PA and beyond does not extend the range of captured functions. So the recursive functions, Turing computable functions and functions captured by a recursively axiomatized consistent theory extending Q are the same.⁷

E14.11. Given that $\text{Plus}(x, y)$ captures $\text{plus}(m, n)$, apply the method of T14.10 to show that plus is recursive.

⁷And there are more. Church himself was originally impressed by an equivalence between his *lambda calculus* and the recursive functions. As additional examples, Markov algorithms are discussed in Mendelson, *Introduction to Mathematical Logic*, §5.5; abacus machines in Boolos et al., *Computability and Logic*, §5; see below for discussion of the Kolmogorov-Uspenskii machine.

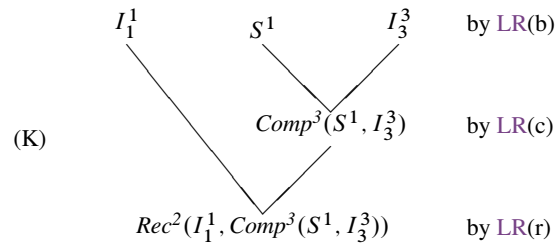
Failure of counterexamples. Another reason for accepting Church's thesis is the failure to find counterexamples. This may be very much the same point as before: When we set out to define a notion of computability, or compute a function, what we end up with are recursive functions, rather than something other. Of course, god's mind, Zeno's machine, an MH machine, or the like might compute a non-recursive function. Perhaps there are such devices. However, on our account, they are not algorithmic. What we do not seem to have are algorithmic methods for computing non-recursive functions.

But also in this category of reasons to accept Church's thesis is the failure of a natural strategy for showing that Church's thesis is false. Suppose one were to propose that the *primitive* recursive functions are all the computable functions, and so that regular minimization is redundant (perhaps you have had this very idea). Here is a way to see this hypothesis false:

Observe that the primitive recursive functions are recursively enumerable. For this, we introduce a language \mathcal{L}_R for an alternative representation of the recursive functions. The syntax of this language is developed in the usual way. Symbols are Z^1 , S^1 , I_i^n , $Comp^n$ and Rec^n with parentheses and comma. Then,

- LR (b) If \mathcal{P}^n is Z^1 , S^1 or I_i^n then \mathcal{P}^n is a *formula*.
 (c) If \mathcal{P}^m and $\mathcal{Q}_1^n \dots \mathcal{Q}_m^n$ are formulas, then $Comp^n(\mathcal{P}^m, \mathcal{Q}_1^n \dots \mathcal{Q}_m^n)$ is a *formula*.
 (r) If \mathcal{G}^n and \mathcal{H}^{n+2} are formulas, then $Rec^{n+1}(\mathcal{G}^n, \mathcal{H}^{n+2})$ is a *formula*.
 (cl) Any formula can be formed by repeated application of these rules.

These expressions may be exhibited on trees in the usual way. So, for example, $Rec^2(I_1^1, Comp^3(S^1, I_3^3))$ is a formula.



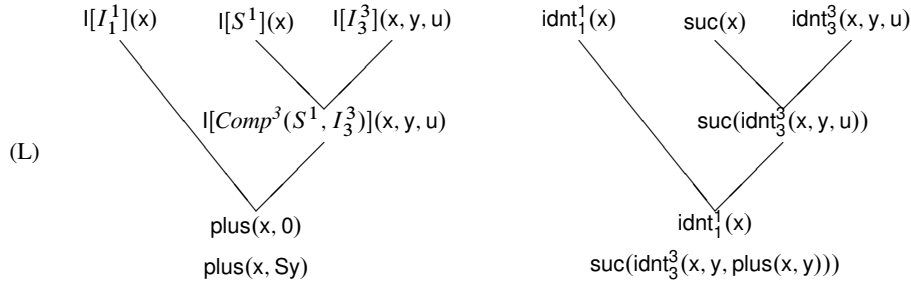
These expressions may be interpreted so that each \mathcal{P}^n represents a recursive function that applies to n objects. Say \vec{x} is $x_1 \dots x_n$.

- IR (z) $\llbracket Z^1 \rrbracket(x) = \text{zero}(x)$
 (s) $\llbracket S^1 \rrbracket(x) = \text{suc}(x)$
 (i) $\llbracket I_i^n \rrbracket(\vec{x}) = \text{idnt}_i^n(\vec{x})$

$$\begin{aligned}
(c) \quad & \llbracket \text{Comp}^n(\mathcal{P}^m, \mathcal{Q}_1^n \dots \mathcal{Q}_m^n) \rrbracket(\vec{x}) = \llbracket \mathcal{P}^m \rrbracket(\llbracket \mathcal{Q}_1^n \rrbracket(\vec{x}) \dots \llbracket \mathcal{Q}_m^n \rrbracket(\vec{x})) \\
(r) \quad & \llbracket \text{Rec}^{n+1}(\mathcal{G}^n, \mathcal{H}^{n+2}) \rrbracket(\vec{x}, 0) = \llbracket \mathcal{G}^n \rrbracket(\vec{x}) \\
& \llbracket \text{Rec}^{n+1}(\mathcal{G}^n, \mathcal{H}^{n+2}) \rrbracket(\vec{x}, \text{Sy}) = \llbracket \mathcal{H}^{n+2} \rrbracket(\vec{x}, y, \llbracket \text{Rec}^{n+1}(\mathcal{G}^n, \mathcal{H}^{n+2}) \rrbracket(\vec{x}, y))
\end{aligned}$$

Observe that we apply a *generalized* version of composition on which $\llbracket \mathcal{Q}_1^n \rrbracket(\vec{x}) \dots \llbracket \mathcal{Q}_m^n \rrbracket(\vec{x})$ are substituted respectively for the variables of $\llbracket \mathcal{P}^m \rrbracket$. Clearly, a generalized composition results from multiple applications of our familiar singular form. And singular composition can be seen as an instance of the generalized form: Say $\vec{x} = x_1 \dots x_n$ has all the variables of \mathcal{P} and \mathcal{Q} , where for $a \leq n$ the variables of \mathcal{Q} are $x_1 \dots x_a$; then for $j \leq a$, a $\mathcal{Q}'(\vec{x})$ with $\llbracket I_j^n \rrbracket(\vec{x})$ for each x_j is equivalent to \mathcal{Q} ; and the singular composition is like \mathcal{P} with \mathcal{Q}' for the x_i to be replaced and $\llbracket I_i^n \rrbracket(\vec{x})$ for each of the others.

As an example for **IR**, $\text{Rec}^2(I_1^1, \text{Comp}^3(S^1, I_3^3))$ is plus. Corresponding to the above tree are functions,



And the conditions for plus are as we expect.

Now a recursive enumeration of the primitive recursive functions is straightforward. From their interpretation, an enumeration of the formulas is an enumeration of the primitive recursive functions: Assign numbers to the symbols and formulas of \mathcal{L}_R ; find a recursive $\text{PRECWFF}(n)$ true of numbers for formulas; and enumerate,

$$\begin{aligned}
\text{eprfnc}(0) &= \mu z[\text{PRECWFF}(z)] \\
\text{eprfnc}(Sn) &= \mu z[z > \text{eprfnc}(n) \wedge \text{PRECWFF}(z)]
\end{aligned}$$

So there is a recursive enumeration of the primitive recursive functions, there is an enumeration of the functions of one free variable, and so forth.

Consider an enumeration of the primitive recursive functions of one free variable and an array as follows.

		0	1	2	...
(M)	f_0	$\mathbf{f_0(0)}$	$f_0(1)$	$f_0(2)$	
	f_1	$f_1(0)$	$\mathbf{f_1(1)}$	$f_1(2)$	
	f_2	$f_2(0)$	$f_2(1)$	$\mathbf{f_2(2)}$	
	\vdots				

And consider the function $d(n) = f_n(n) + 1$. This function is *computable*; for any n : (i) run the enumeration to find f_n ; (ii) run f_n to find $f_n(n)$; (iii) add one. Since each step is recursive, the whole is computable. But $d(n)$ is not primitive recursive: $d(0) \neq f_0(0)$; $d(1) \neq f_1(1)$; and in general, $d(n) \neq f_n(n)$; so d is not identical to any of the primitive recursive functions. So there are computable functions that are not primitive recursive.

It is natural to think that a related argument would show that not all computable functions are recursive: recursively enumerate the recursive functions; then diagonalize to find a computable function not on the list. But this does not work! It is an entirely “grammatical” matter to identify the primitive recursive functions — the function $\text{eprfnc}(n)$ results purely as a matter of form. But there is no parallel method for the recursive functions. This clear already by the halting and definition problems (for the latter see E14.7) — there is no recursive way to say in general whether a function is regular, and so to identify functions as recursive. But we may make the point by another diagonal argument (here applied to Turing machines).

Suppose there is an enumeration of Turing machines to compute recursive functions (of one free variable) and consider an array as follows.

		0	1	2	...
(N)	Π_0	$\mathbf{\Pi_0(0)}$	$\Pi_0(1)$	$\Pi_0(2)$	
	Π_1	$\Pi_1(0)$	$\mathbf{\Pi_1(1)}$	$\Pi_1(2)$	
	Π_2	$\Pi_2(0)$	$\Pi_2(1)$	$\mathbf{\Pi_2(2)}$	
	\vdots				

Let $\Delta(n)$ be $\Pi_n(n) + 1$. From T14.2 $\Pi_n(n)$ computes the recursive $f(n) = \text{decode}(\text{right}(n, n, \mu j[\text{stop}(n, n, j) = 0]))$; so $f(n) + 1$ computes $\Delta(n)$. And since $f(n) + 1$ is recursive, $\Delta(n)$ is a Turing program of one free variable; so $\Delta(n)$ appears in the enumeration of Turing programs. But this is impossible: $\Delta(0) \neq \Pi_0(0)$; $\Delta(1) \neq \Pi_1(1)$; and in general $\Delta(n) \neq \Pi_n(n)$. Reject the assumption: there is no enumeration of Turing machines to compute recursive functions.

There is an enumeration of Turing machines; but as in the case of a machine that never halts, not every Turing machine computes a total function. Thus the enumeration of Turing machines does not automatically convert to an enumeration of Turing machines to compute recursive functions. And we are in fact blocked from recursively enumerating the recursive functions. So we are blocked from the proposed means of finding a computable function that is not a recursive function. So this attempt to find a counterexample to Church's thesis fails.

E14.12. (i) Write down the \mathcal{L}_R expression that corresponds to times. (ii) Assign numbers to expressions of \mathcal{L}_R and produce the relation `PRECWFF` to complete the demonstration that there is an enumeration of primitive recursive functions. (iii) Extend the demonstration that there is an enumeration of primitive recursive functions, to an enumeration `emurec` of μ -recursive functions (as from E14.7).

The nature of an algorithm. There are also reasons for Church's thesis from the very nature of an algorithm.⁸ Perhaps the "received wisdom" with respect to Church's thesis is as follows.

The reason why Church's [Thesis] is called a *thesis* is that it has not been rigorously proved and, in this sense, it is something like a "working hypothesis." Its plausibility can be attested inductively — this time not in the sense of mathematical induction, but "on the basis of particular confirming cases." The Thesis is corroborated by the number of intuitively computable functions commonly used by mathematicians, which can be defined within recursion theory. But Church's Thesis is believed by many to be destined to *remain* a thesis. The reason lies, again, in the fact that the notion of effectively computable function is a merely intuitive and somewhat fuzzy one. It is quite difficult to produce a completely rigorous proof of the equivalence between intuitively computable and recursive functions, precisely because one of the sides of the equivalence is not well-defined (Berto, *There's Something About Gödel*, pp. 76-77).

There are a couple of themes in this passage. First, that Church's thesis is typically accepted on grounds of the sort we have already considered. Fair enough. But second that it is not, and perhaps cannot, be proved. The idea seems to be that the recursive functions are a precise mathematically defined class, while the algorithmically

⁸Material in this section is developed from Smith, *An Introduction to Gödel's Theorems*, chapter 45; Smith, "Squeezing Arguments"; along with Kolmogorov and Uspenskii, "On the Definition of an Algorithm." See also Black, "Proving Church's Thesis."

computable functions are not. Thus there is no hope of a demonstrable equivalence between the two.

But we should be careful. Granted: If we start with an inchoate notion of computable function that includes, at once, calculations with pencil and paper, calculations on the latest and greatest supercomputer, and calculations on Zeno's machine, there will be no saying whether the computable functions definitely are, or are not, identical to the Turing computable functions. But this is not the notion with which we are working. We have a relatively refined technical account of algorithmic computability. Of course, it is not yet a *mathematical* definition. But neither are our [chapter 1](#) accounts of logical validity and soundness; yet we have been able to show in T9.1 that any argument that is quantificationally valid (in our mathematical sense) is logically valid. And similarly, the whole translation project of [chapter 5](#) assumes the possibility of moving between ordinary and mathematical notions. It is at least possible that a vaguely defined predicate might pick out a precise object ("the number of people on campus," on a university with a core campus area and other empty but vaguely associated land, might be 15,214 despite vagueness in "campus"). The question is whether we can "translate" the notion of an algorithm to formal terms.

So let us turn to the hard work of considering whether there is an argument for accepting Church's thesis. A natural first suggestion is that the step-by-step and finite nature of any algorithm is always within the reach of, or reflected by, some Turing program or recursive function, so that the algorithmically computable functions are inevitably recursively computable.⁹ Already, this may amount to a consideration or reason in favor of accepting the Thesis. In chapter 45 of his *An Introduction to Gödel's Theorems*, Peter Smith advances a proposal according to which such considerations amount to proof.

Smith's overall strategy involves "squeezing" algorithmic computability between a pair of mathematically precise notions. Even if a condition C (say, "being a tall person") is vague, it might remain that there is some completely precise sufficient condition S (being over seven feet tall), such that anything that is S is C , and perfectly precise necessary condition N (being over five feet tall) such that anything that is C is N . So,

$$S \implies C \implies N$$

If it should also happen that N implies S , then the loop is closed, so that,

$$S \iff C \iff N$$

⁹This idea is contained already in the foundational papers of Church, "[An Unsolvable Problem](#)," and Turing, "[On Computable Numbers](#)."

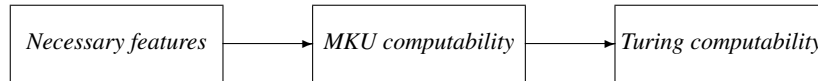
And the target condition C is equivalent to (squeezed between) the precise necessary and sufficient conditions. Of course, in our simple example, N does not imply C : being over five feet tall does not imply being over seven feet tall.

For Church's thesis, we already have that Turing computability is sufficient for algorithmic computability. So what is required is some necessary condition so that,

$$T \implies A \implies N$$

Turing computability implies algorithmic computability and algorithmic computability implies the necessary condition. Church's thesis follows if, in addition, N implies Turing computability. As it turns out, we shall be able to specify a condition N which (mathematically) implies T . It will be more controversial whether A implies N .

The argument has three stages: The idea is that, (i) there are some necessary features of an algorithm, such that any algorithm has those features; (ii) any routine with those features is embodied in a modified Kolmogorov-Uspenskii (MKU) machine; (iii) every function that is MKU computable is recursive, and so Turing computable.



The result is that MKU computability works as as the precise condition N in the squeezing argument: A implies N , and N implies T . So T iff A iff N , and Church's thesis is established — or no less plausible than is the conclusion of this argument.

Perhaps the following are necessary conditions on any algorithm, so that any algorithm satisfies the conditions. We are free, however, to hold that any routine which satisfies the constraints is an algorithm; if this is so the conditions are necessary and sufficient — so we may see them as an extension or sharpening of our initial more sketchy account.¹⁰

- AC (1) There is some *dataspace* consisting of a finite array of “cells” which may stand in some relations $R_0, R_1 \dots R_a$ and contain some symbols $s_0, s_1 \dots s_b$.
- (2) At every stage in a computation, there is some finite “active” portion of the dataspace upon which the algorithm operates.

¹⁰Smith seems to grant that some such conditions are necessary, even though some method may satisfy the conditions yet fail to count as an algorithm. Perhaps this is because he is impressed by the initial examples of routines implemented by human agents with relatively limited computing power. This is not a problem for his squeezing argument, since the corresponding recursive function may yet be computable by some other method which satisfies more narrow constraints — for example, by a Turing machine.

- (3) The body of the algorithm includes finitely many instructions for modifying the active portion of the dataspace depending on its character, and for jumping to the next set of instructions.
- (4) For the calculation of a function $f(\vec{x}) = y$ there is some finite initial representation of \vec{x} and some way to read off the value of y , after a finite number of steps.

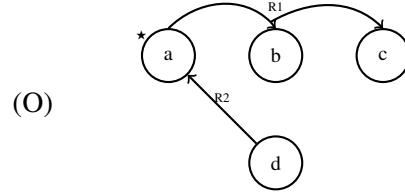
So this sets up an algorithm abstractly described. It is hard to see how an algorithm would not involve some space, portions of which would stand in different relations. At any given stage, the algorithm operates on some portion of the space, where these operations may depend upon, and modify the arrangement of the active space. The algorithm itself consists of some instructions for operating on the dataspace, where these are generically of the sort, “if the active area is of type t , perform action a , and go to new instructions q .” The calculation of a function $f(\vec{x})$ somehow takes \vec{x} as an input, and gives a way to read off the value of y as an output. And an algorithm terminates in a finite number of steps.

Observe that the squeezing argument is effective to the extent that we begin with the notion of an algorithm and show that for any algorithm there is a Turing machine equivalent to it. It is cast into doubt if we start with the notion of a Turing machine and force the notion of an algorithm to match. Thus it is important that we are simply spelling out the idea of an algorithm — of what is required of a rote, rule-based based procedure.

Also the finite constraints on the dataspace, relations, symbols and area in (1) and (2) above seem to be consequences of (3) and (4): There is some upper bound to the space modified by instructions from a finite collection, each member of which modifies at most a finite area. Then beginning with a finite initial representation of some \vec{x} , including finitely many cells of the dataspace standing in finitely many relations, filled with finitely many symbols and then modifying finite portions of the space finitely many times, all we are going to get are finitely many cells, standing in finitely many relations, filled with finitely many symbols.

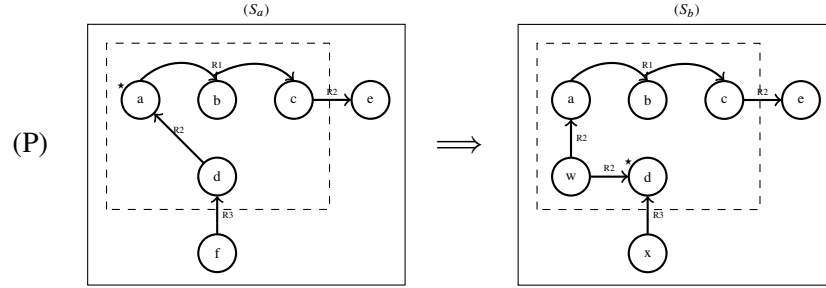
On the face of it, given their extreme simplicity, it is not obvious that Turing machines compute every algorithmically computable function. But a related device, the MKU machine (modified from Kolmogorov and Uspenskii, “[On the Definition of an Algorithm](#)”) purports to implement conditions along these lines.

MKU (1) There are some cells $c_0, c_1 \dots c_a$ which may stand in relations $R_0, R_1 \dots R_b$ and contain symbols $s_0, s_1 \dots s_c$. In simple cases, we may think of such arrangements graphically as follows,



R_2 is a binary relation and R_1 tertiary. Each such relation constitutes an *edge*.

- (2) Among the one-place relations is an *origin* property such that exactly one cell has it — as indicated by \star above. Then the active area includes all cells on paths $\leq n$ edges from the origin. From (O), cells other than the origin are all one edge from the origin cell.
- (3) Instructions are finitely many quadruples of the sort $\langle q_i, S_a, S_b, q_j \rangle$ where q_i and q_j are instruction labels; S_a describes an active area; and S_b a state with which the active area is to be replaced. Associate each cell in S_a with the least number of edges between it and the origin; let n be the greatest such integer in S_a ; this n remains the same in every quadruple with label q_i , though the value of n may vary as q_i varies. Again, instructions are a function in the sense that no instruction has $\langle q_i, S_a \rangle$ the same but $\langle S_b, q_j \rangle$ different. We may see S_a and S_b as follows.

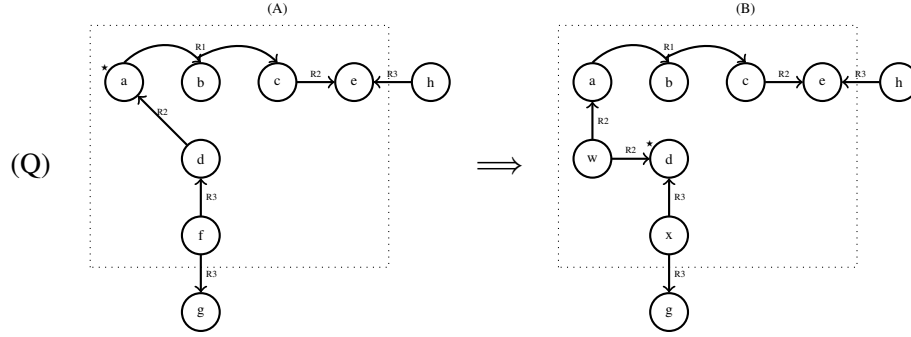


In this case $n = 2$. The active area S_a is replaced by the configuration S_b . The concentric rectangles indicate the “boundary” cells which may themselves be related to cells not part of the active area; the replacing area must have a boundary with cells to match boundary cells of the active area.

- (4) There is some finite initial setup, and some means of reading off the final value of the function (for different relation and symbol sets, these may be different). We think of the origin cell as the “machine head,” where an

algorithm always begins with an instruction label $q_i = 1$ and terminates when $q_i = 0$.

So an MKU machine is a significant generalization of a Turing machine. We allow arbitrarily many symbols. And the dataspace is no longer a tape with cells in a fixed linear relation, but a space with cells in arbitrary relations which may themselves be modified by the program. Instructions respond to, and modify, not just individual cells, but arbitrarily large areas of the dataspace. Still, it remains that an instruction q_i is of the sort, if S_a perform action A and go to instruction q_j . So, the instruction (P) might be applied to get,



As indicated by the dotted line, the dataspace (A) has an active area of the sort required in instruction (P); so the active area is replaced according to the instruction to for the resultant space (B). The example is arbitrary. But that is the point: The machine allows arbitrary rote modifications of a dataspace.

Observe that instructions with $S_a \neq S'_a$ might both map onto a given dataspace in case the number n of edges from the origin in S_a is different from S'_a (say an active area with a box for $n = 1$ inside the box in (Q)). But the consistency requirement is satisfied with constant n : for consistency, it is sufficient to require that so long as $n(q_i, S_a)$ is a constant, there is no instruction with $\langle q_i, S_a \rangle$ the same but $\langle S_b, q_j \rangle$ different.

Now every MKU computable function is recursive.

T14.11. Every MKU computable function is a recursive function.

We have been through this sort of thing before. And there are different ways to proceed. I indicate only some natural first steps. Begin assigning numbers to labels, symbols, cells and relations in some reasonable way.

- | | |
|----------------------|---------------------------------------|
| a. $g[q_i] = 3 + 8i$ | c. $g[c_i] = 7 + 8i$ |
| b. $g[s_i] = 5 + 8i$ | d. $g[r_j^i] = 9 + 8(2^i \times 3^j)$ |

Then number for a *page* is $\pi_0^{(c_i)} \times \pi_1^{(s_a)} \times \dots \times \pi_n^{(s_b)}$, and for an *edge* $\pi_0^{(r_j^i)} \times \pi_1^{(c_a)} \times \dots \times \pi_i^{(c_b)}$. Some *data* is a sequence of pages with distinct cell numbers, and a *lattice* is a sequence of distinct edges. Cells are (*immediately*) *connected* on an edge when both cells are members of it, and *connected* on a lattice when there is a sequence of cells from the lattice, beginning with the one, ending with the other such that each is immediately connected to the next. A *space* is a lattice with exactly one origin and every cell connected to all the others. A *dataspace* is of the sort $\pi_0^m \times \pi_1^n$ where m numbers some data, n a space, and every cell from m appears in n .

After that, with considerable work, KUMACH(n) numbers the KU machines. (Given the potentially vast array of finite spaces, rather than supplementing the machine with repeating commands for every missing instruction, it is simplest to include a single label that loops on the origin, such that the machine defaults to it.) $\text{kumachs}(i, m, n)$ numbers an instruction as a function of the number for the machine, initial label, and dataspace. (Where cells are numbered, some S_a matches the active portion of a dataspace when there is a *map* on cells that makes S_a match the active area.) For machine i with input n , $\text{kuspace}(i, n, j)$ and $\text{kustate}(i, n, j)$ give the current number of the dataspace and state. And $\text{kustop}(i, n, j)$ takes the value zero when the machine is stopped. Then,

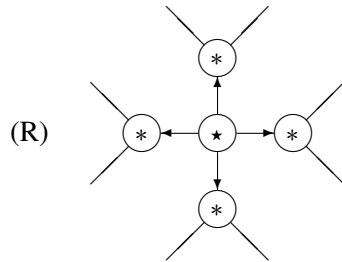
$$f(n) = \text{kudecode}(\text{kuspace}(i, n, \mu j[\text{kustop}(i, n, j) = 0]))$$

So any MKU computable function is recursive, and therefore every MKU computable function is Turing computable.

Given this, the squeezing argument is complete: Turing computability implies algorithmic computability and algorithmic computability implies MKU and so Turing computability. So the algorithmically computable functions are the same as the Turing computable functions. So Church's thesis!

This argument is just as strong as the premise that algorithmic computability implies MKU and so Turing computability. For this, we have *translated* an informal notion into a formal one. Insofar as translation is not itself a formal procedure, the result is not formal proof of Church's thesis. Perhaps it is difficult to imagine an algorithmic method that does not conform to **AC** and then **MKU**. But failure of imagination is not the same as proof. This leaves space for different objections: First, one might worry that the account **AC** of an algorithm is insufficient in some respect. But **AC** is offered as a further exposition or sharpening of what it is to be an algorithm. Then Church's thesis applies to it. An argument about whether Church's thesis applies to a class C of functions is not undercut by observing that there are classes other than C .

Still, one might worry that the MKU machine does not compute every algorithm. Against this, there are a couple of replies. First, careful about what the MKU machine can do. Say we are interested in parallel computing, whether by persons following instructions or by computing devices. An MKU machine has but a single origin; this might seem to be a problem. Still, an active area might have many “shapes” — and things might be set up as follows,



with “satellite” centers, to achieve the effect of parallel computing. Similarly, with a bit of thought, one can see how the MKU machine might achieve the effect of absolute addressing or bounded quantifiers other than ‘all’ and ‘some’ — as ‘most’ or the like. So it is important to recognize the generality already built into the MKU machine.

Perhaps, though, the objection goes through and some algorithmic method really is beyond the reach of the MKU machine. So for example some algorithm might require physical actions other than symbol manipulation. Consider a method for truth table construction with the instruction, “whack yourself in the head three times and write a T in the first row of the first column.” An MKU machine does not have a head, and so cannot perform this action. More seriously, we might consider actions as applied to, say, a physical abacus — as “move the bead on the second wire to the leftmost available position.” The MKU machine does not move physical beads on a wire, so it does not perform addition on an abacus. Still, it should be possible to *number* the states of an abacus, and to represent the successive states so as to calculate any function that can be worked on the physical device. In this case, the claim is not that the MKU machine *effectuates* every algorithm, but rather that it *models* every algorithm. Supposing this is sustained, the argument for Church’s thesis stands.

So we are not left with a formal proof of Church’s thesis. Rather we have a (powerful) *case* from the independent definitions, the failure of counterexamples and the nature of an algorithm for the result that Church’s thesis is true. Plausibly, there is no formal proof that you have a head. Still, there is a strong case to establish that you do! Similarly our case may seem sufficient to establish Church’s thesis. To the extent that Church’s thesis is either plausible or established, our limiting results become

full-fledged *incomputability* results with applications to logic and computing more generally. In addition, from Church's thesis, the *computability* of a function implies that it is recursive. Given Church's thesis only at the very end, we have not applied the thesis in this way. But one might move from the observation that some function is computable, through the thesis, to the result that the function is recursive. And this is frequently done!

Theorems of chapter 14

T14.1 There is a recursive enumeration of the Turing machines.

T14.2 Every Turing computable function is a recursive function.

T14.3 Every recursive function is Turing computable.

T14.4 There is no Turing machine $H(i)$ such that $H(i) = 0$ if $\Pi_i(i)$ halts and $H(i) = 1$ if it does not.

T14.5 There is no Turing machine $H(i, n)$ such that $H(i, n) = 0$ if $\Pi_i(n)$ halts and $H(i, n) = 1$ if it does not.

T14.6 If Q is ω -consistent, then no Turing computable function $f(n)$ is such that $f(n) = 0$ just in case n numbers a theorem of predicate logic.

T14.7 The set of truths of \mathcal{L}_{NT} is not recursively enumerable.

T14.8 If T is a recursively axiomatized sound theory whose language includes \mathcal{L}_{NT} , then T is negation incomplete.

T14.9 If T is a recursively axiomatized theory extending Q , then there is a sentence \mathcal{P} such that if T is consistent $T \not\vdash \mathcal{P}$, and if T is ω -consistent, $T \not\vdash \sim\mathcal{P}$.

T14.10 Every (total) function that can be captured by a recursively axiomatized consistent theory extending Q is recursive.

T14.11 Every KU computable function is a recursive function.

And we mention,

CT *Church's Thesis*: The total numerical functions that are effectively computable by some algorithmic method are just the recursive functions.

E14.13. Work out codes for the MKU machine through dataspace. Very hard core: Assuming functions $\text{code}(n)$ and $\text{decode}(d)$, complete the demonstration that any MKU computable function $f(n)$ is recursive.

- E14.14. For each of the following concepts, explain in an essay of about two pages, so that Hannah could understand. In your essay, you should (i) identify the objects to which the concept applies, (ii) give and explain the definition, and give and explicate examples (iii) where the concept applies, and (iv) where it does not. Your essay should exhibit an understanding of methods from the text.
- a. The Turing computable functions, and their relation to the recursive functions.
 - b. The essential elements from the chapter contributing to a demonstration of the decision problem, along with the significance of Church's thesis for this result.
 - c. The essential elements from this chapter contributing to a demonstration of (the semantic version of) the incompleteness of arithmetic.
 - d. Church's thesis, along with reasons for thinking it is true, including the possibility of demonstrating its truth.

Concluding Remarks

Looking Forward and Back

We began this text in [Part I](#) setting up the elements of classical symbolic logic. Thus we began with four notions of validity: logical validity, validity in our derivation systems *AD* and *ND*, along with semantic (sentential and) quantificational validity. After a parenthesis in [Part II](#) to think about techniques for reasoning about logic, we began to put those techniques to work. The main burden of [Part III](#) was to show soundness and adequacy of our classical logic, that $\Gamma \vdash \mathcal{P}$ iff $\Gamma \models \mathcal{P}$. This is the good news. In [Part IV](#) we established some limiting results. These include Gödel's first and second theorems, that no consistent, recursively axiomatizable extension of *Q* is negation complete, and that no consistent recursively axiomatized theory extending *PA* proves its own consistency. Results about derivations are associated with computations, and the significance of this association extended by means of Church's thesis. This much constitutes a solid introduction to classical logic, and should position you to make progress in logic and philosophy, along with related areas of mathematics and computer science.

Excellent texts which mostly overlap the content of one, but extend it in different ways are Mendelson, *Introduction to Mathematical Logic*; Enderton, *Introduction to Mathematical Logic*; and Boolos, Burgess and Jeffrey, *Computability and Logic*; these put increased demands on the reader (and such demands are one motivation for our text), but should be accessible to you now; Schonfield, *Introduction to Mathematical Logic* is excellent yet still more difficult. Smith, *An Introduction to Gödel's Theorems* extends the material of [Part IV](#); Cooper, *Computability Theory* develops it especially from the perspective of [chapter 14](#). Much of what we have done presumes some set theory as Enderton, *Elements of Set Theory*, or model theory as Manzano, *Model Theory* and, more advanced, Hodges, *A Shorter Model Theory*.

In places, we have touched on logics alternative to classical logic, including multi-valued logic, modal logic, and logics with alternative accounts of the conditional. A good place to start is Priest, *Non-Classical Logics*, which is profitably read with Roy, “[Natural Derivations for Priest](#)” which introduces derivations in a style

much like our own. Our logic is *first-order* insofar as quantifiers bind just variables for objects. Second-order logic lets quantifiers bind variables for predicates as well (so $\forall x \forall y [x = y \rightarrow \forall F (Fx \leftrightarrow Fy)]$ expresses the *indiscernibility of identicals*). Second-order logic has important applications in mathematics, and raises important issues in metalogic. For this, see Shapiro, *Foundations Without Foundationalism*, and Manzano, *Extensions of First Order Logic*.

Philosophy of logic and mathematics is a subject matter of its own. Shapiro, “*Philosophy of Mathematics and Its Logic*” (along with the rest of the articles in the *Oxford Handbook*, and Shapiro, *Thinking About Mathematics* are a good place to start. Benacerraf and Putnam, *Philosophy of Mathematics* and Marcus and McEvoy, *Philosophy of Mathematics* are collections of classic articles.

Smith’s online, “*Teach Yourself Logic*” is an excellent comprehensive guide to further resources.

Have fun!

Answers to Selected Exercises

Chapter One

E1.1. Say whether each of the following stories is internally consistent or inconsistent. In either case, explain why.

- a. Smoking cigarettes greatly increases the risk of lung cancer, although most people who smoke cigarettes do not get lung cancer.

Consistent. Even though the risk of cancer goes up with smoking, it may be that most people who smoke do not have cancer. Perhaps 49% of people who smoke get cancer, and 1% of people who do not smoke get cancer. Then smoking greatly increases the risk, even though most people who smoke do not get it.

- c. Abortion is always morally wrong, though abortion is morally right in order to save a woman's life.

Inconsistent. Suppose (whether you agree or not) that abortion is *always* morally wrong. Then abortion is wrong even in the case when it would save a woman's life. So the story requires that abortion is and is not wrong.

- e. No rabbits are nearsighted, though some rabbits wear glasses.

Consistent. One reason for wearing glasses is to correct nearsightedness. But glasses may be worn for other reasons. It might be that rabbits who wear glasses are farsighted, or have astigmatism, or think that glasses are stylish. Or maybe they wear sunglasses just to look cool.

- g. Barack Obama was never president of the United States, although Michelle is president right now.

Consistent. Do not get confused by the facts! In a story it may be that Barack was never president and his wife was. Thus this story does not contradict itself and is consistent.

- i. The death star is a weapon more powerful than that in any galaxy, though there is, in a galaxy far, far away, a weapon more powerful than it.

Inconsistent. If the death star is more powerful than any weapon in any galaxy, then according to this story it is and is not more powerful than the weapon in the galaxy far far away.

E1.2. For each of the following sentences, (i) say whether it is true or false in the real world and then (ii) say, if you can, whether it is true or false according to the accompanying story. In each case, explain your answers.

Exercise 1.2

- c. Sentence: After overrunning Phoenix in early 2006, a herd of buffalo overran Newark, New Jersey.

Story: A thundering herd of buffalo overran Phoenix, Arizona in early 2006. The city no longer exists.

(i) It is *false* in the real world that any herd of buffalo overran Newark anytime after 2006. (ii) And, though the story says something about Phoenix, the story does not contain enough information to say whether the sentence regarding Newark is true or false.

- e. Sentence: Jack Nicholson has swum the Atlantic.

Story: No human being has swum the Atlantic. Jack Nicholson and Bill Clinton and you are all human beings, and at least one of you swam all the way across!

(i) It is *false* in the real world that Jack Nicholson has swum the Atlantic. (ii) This story is inconsistent! It requires that some human both has and has not swum the Atlantic. Thus we refuse to say that it makes the sentence true or false.

- g. Sentence: Your instructor is not a human being.

Story: No beings from other planets have ever made it to this country. However, your instructor made it to this country from another planet.

(i) Presumably, the claim that your instructor is not a human being is *false* in the real world (assuming that you are not working by independent, or computer-aided study). (ii) But this story is inconsistent! It says both that no beings from other planets have made it to this country and that some being has. Thus we refuse to say that it makes any sentence true or false.

- i. Sentence: The Yugo is the most expensive car in the world.

Story: Jaguar and Rolls Royce are expensive cars. But the Yugo is more expensive than either of them.

(i) The Yugo is a famously cheap automobile. So the sentence is *false* in the real world. (ii) According to the story, the Yugo is more expensive than some expensive cars. But this is not enough information to say whether it is the most expensive car in the world. So there is not enough information to say whether the sentence is true or false.

- E1.3. Use our invalidity test to show that each of the following arguments is not logically valid, and so not logically sound.

Exercise 1.3

*For each of these problems, different stories might do the job.

- a. If Joe works hard, then he will get an 'A'

Joe will get an 'A'

Joe works hard

- a. In any story with premises true and conclusion false,
 1. If Joe works hard, then he will get an 'A'
 2. Joe will get an 'A'
 3. Joe does not work hard
- b. Story: Joe is very smart, and if he works hard, then he will get an 'A'. Joe will get an 'A'; however, Joe cheats and gets the 'A' without working hard.
- c. This is a consistent story that makes the premises true and the conclusion false; thus, by definition, the argument is not logically valid.
- d. Since the argument is not logically valid, by definition, it is not logically sound.

E1.4. Use our validity procedure to show that each of the following is logically valid, and decide (if you can) whether it is logically sound.

*For each of these problems, particular reasonings might take different forms.

- a. If Bill is president, then Hillary is first lady

Hillary is not first lady

Bill is not president

- a. In any story with premises true and conclusion false,
 1. If Bill is president, then Hillary is first lady
 2. Hillary is not first lady
 3. Bill is president
- b. In any such story,
 - Given (1) and (3),
 4. Hillary is first lady
 - Given (2) and (4),
 5. Hillary is and is not first lady

- c. So no story with the premises true and conclusion false is a consistent story; so by definition, the argument is logically valid.
- d. In the real world Hillary is not first lady and Bill and Hillary are married so it is true that if Bill is president, then Hillary is first lady; so all the premises are true and by definition the argument is logically sound.

E1.5. Use our procedures to say whether the following are logically valid or invalid, and sound or unsound. Hint: You may have to do some experimenting to decide whether the arguments are logically valid or invalid — and so decide which procedure applies.

- c. Some dogs have red hair
Some dogs have long hair

Some dogs have long, red hair

- a. In any story with the premise true and conclusion false,
 - 1. Some dogs have red hair
 - 2. Some dogs have long hair
 - 3. No dogs have long, red hair
- b. Story: There are dogs with red hair, and there are dogs with long hair. However, due to a genetic defect, no dogs have long, red hair.
- c. This is a consistent story that makes the premise true and the conclusion false; thus, by definition, the argument is not logically valid.
- d. Since the argument is not logically valid, by definition, it is not logically sound.

E1.6. Use our procedures to say whether the following are logically valid or invalid, and sound or unsound.

- d. Cheerios are square
Chex are round

There is no round square

- a. In any story with the premises true and conclusion false,
 - 1. Cheerios are square
 - 2. Chex are round
 - 3. There is a round square

- b. In any such story, given (3),
4. Something is round and not round
- c. So no story with the premises true and conclusion false is a consistent story; so by definition, the argument is logically valid.
- d. In the real world Cheerios are not square and Chex are not round, so the premises are not true; so though the argument is valid, by definition it is not logically sound.

E1.8. Which of the following are true, and which are false? In each case, explain your answers, with reference to the relevant definitions.

- c. If the conclusion of an argument is true in the real world, then the argument must be logically valid.

False. An argument is logically valid iff there is no consistent story that makes the premises true and the conclusion false. Though the conclusion is true in the real world (and so in the real story), there may be some other story that makes the premises true and the conclusion false. If this is so, then the argument is not logically valid.

- e. If a premise of an argument is false in the real world, then the argument cannot be logically valid.

False. An argument is logically valid iff there is no consistent story that makes the premises true and the conclusion false. For logical validity, there is no requirement that every story have true premises — only that ones that do, also have true conclusions. So an argument might be logically valid, and have premises that are false in many stories, including the real story.

- g. If an argument is logically sound, then its conclusion is true in the real world.

True. An argument is logically valid iff there is no consistent story that makes the premises true and the conclusion false. An argument is logically sound iff it is logically valid and its premises are true in the real world. Since the premises are true in the real world, they hold in the real story; since the argument is valid, this story cannot be one where the conclusion is false. So the conclusion of a sound argument is true in the real world.

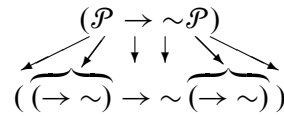
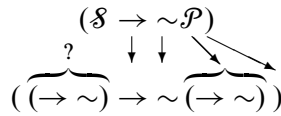
- i. If the conclusion of an argument cannot be false (is false in no consistent story), then the argument is logically valid.

True. If there is no consistent story where the conclusion is false, then there is no consistent story where the premises are true and the conclusion is false; but an argument is logically valid iff there is no consistent story where the premises are true and the conclusion is false. So the argument is logically valid.

Chapter Two

E2.1. Assuming that \mathcal{S} may represent any sentence letter, and \mathcal{P} any arbitrary expression of \mathcal{L}_3 , use maps to determine whether each of the following expressions is (i) of the form $(\mathcal{S} \rightarrow \sim \mathcal{P})$ and then (ii) whether it is of the form $(\mathcal{P} \rightarrow \sim \mathcal{P})$. In each case, explain your answers.

e. $((\rightarrow \sim) \rightarrow \sim(\rightarrow \sim))$



(i) Since $(\rightarrow \sim)$ is not a sentence letter, there is nothing to which \mathcal{S} maps, and $((\rightarrow \sim) \rightarrow \sim(\rightarrow \sim))$ is not of the form $(\mathcal{S} \rightarrow \sim \mathcal{P})$. (ii) Since \mathcal{P} maps to any expression, $((\rightarrow \sim) \rightarrow \sim(\rightarrow \sim))$ is of the form $(\mathcal{P} \rightarrow \sim \mathcal{P})$ by the above map.

E2.3. For each of the following expressions, demonstrate that it is a formula and a sentence of \mathcal{L}_3 with a tree. Then on the tree (i) bracket all the subformulas, (ii) box the immediate subformula(s), (iii) star the atomic subformulas, and (iv) circle the main operator.

a. A

subformula: $[A^*$ This is a formula by **FR(s)**

In this case, the “tree” is very simple. There are no operators, and so no main operator. There are no immediate subformulas.

E2.4. Explain why the following expressions are not formulas or sentences of \mathcal{L}_3 . Hint: you may find that an attempted tree will help you see what is wrong.

b. $(\mathcal{P} \rightarrow \mathcal{Q})$

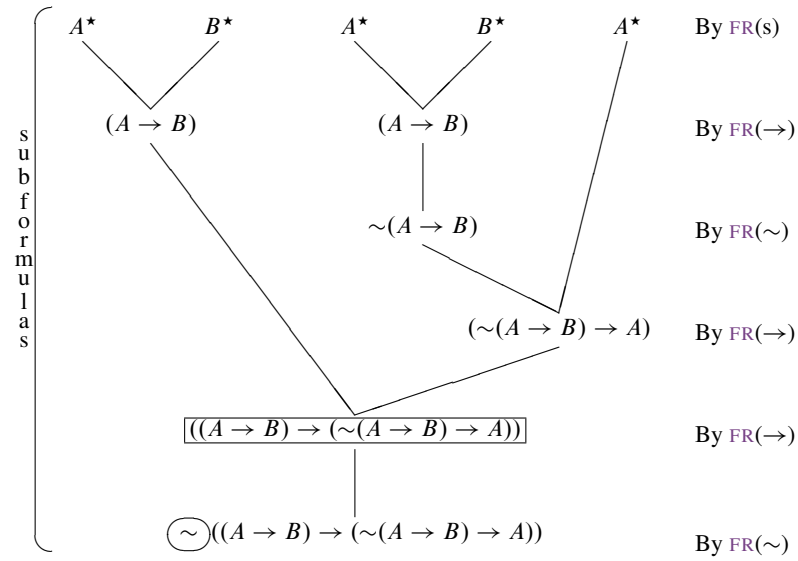
This is not a formula because \mathcal{P} and \mathcal{Q} are not sentence letters of \mathcal{L}_3 . They are part of the metalanguage by which we describe \mathcal{L}_3 , but are not among the

Roman italics (with or without subscripts) that are the sentence letters. Since it is not a formula, it is not a sentence.

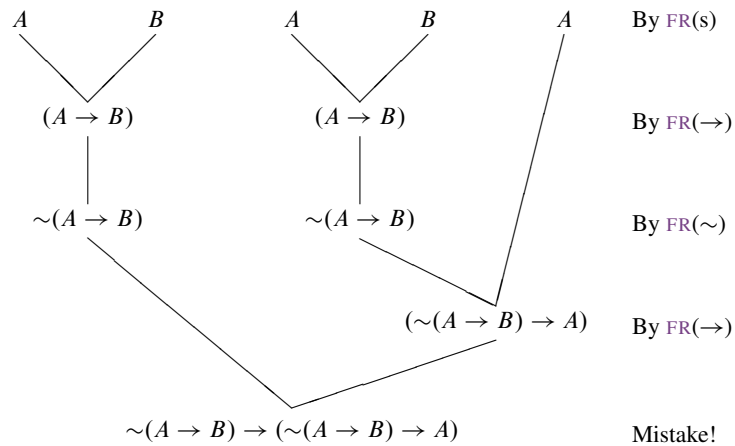
E2.5. For each of the following expressions, determine whether it is a formula and sentence of \mathcal{L}_3 . If it is, show it on a tree, and exhibit its parts as in E2.3. If it is not, explain why as in E2.4.

a. $\sim((A \rightarrow B) \rightarrow (\sim(A \rightarrow B) \rightarrow A))$

This is a formula and a sentence.



c. $\sim(A \rightarrow B) \rightarrow (\sim(A \rightarrow B) \rightarrow A)$

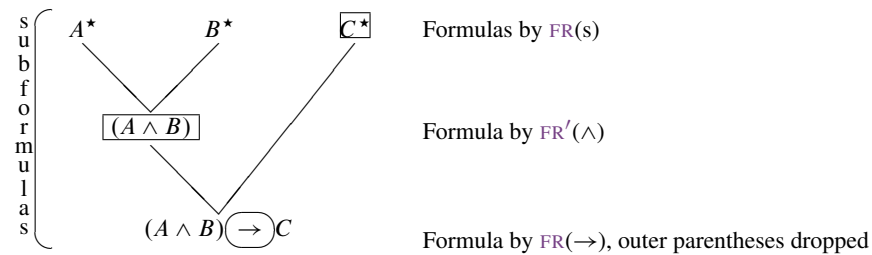


Exercise 2.5.c

Not a formula or sentence. The attempt to apply $\text{FR}(\rightarrow)$ at the last step fails, insofar as the outer parentheses are missing.

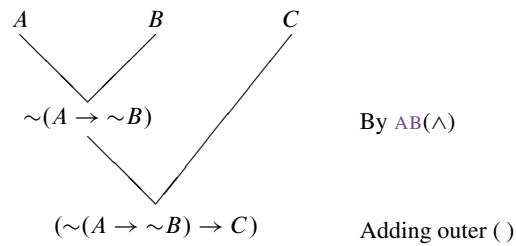
E2.6. For each of the following expressions, demonstrate that it is a formula and a sentence of \mathcal{L}_3 with a tree. Then on the tree (i) bracket all the subformulas, (ii) box the immediate subformula(s), (iii) star the atomic subformulas, and (iv) circle the main operator.

a. $(A \wedge B) \rightarrow C$



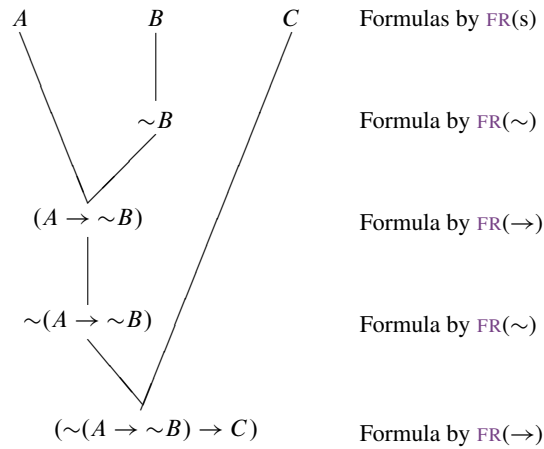
E2.7. For each of the formulas in E2.6a - e, produce an unabbreviating tree to find the unabbreviated expression it represents.

a. $(A \wedge B) \rightarrow C$



E2.8. For each of the unabbreviated expressions from E2.7a - e, produce a complete tree to show by direct application FR that it is an official formula.

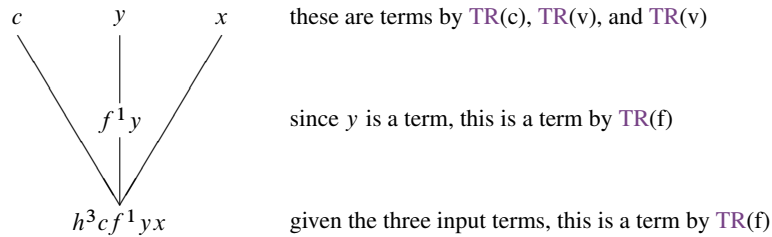
a. $(\sim(A \rightarrow \sim B) \rightarrow C)$



E2.12. For each of the following expressions, demonstrate that it is a term of \mathcal{L}_q with a tree.

c. $h^3 c f^1 y x$

This is a term as follows.



E2.13. Explain why the following expressions are not terms of \mathcal{L}_q .

d. $g^2 y f^1 x c$.

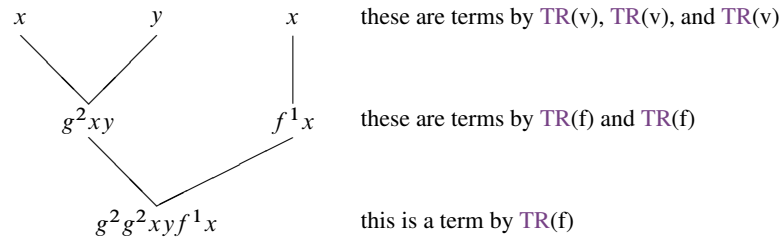
y is a term, $f^1 x$ is a term and c is a term; but g^2 followed by these three terms is not a term. $g^2 y f^1 x$ is a term, but not $g^2 y f^1 x c$.

E2.14. For each of the following expressions, determine whether it is a term of \mathcal{L}_q ; if it is, demonstrate with a tree; if not, explain why.

a. $g^2 g^2 x y f^1 x$

This is a term as follows.

Exercise 2.14.a

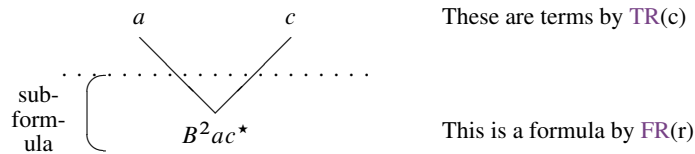


b. $h^3 c f^2 y x$

This is not a term. c is a term, and $f^2 y x$ is a term; but h^3 followed by these two terms is not a term.

E2.15. For each of the following expressions, (i) Demonstrate that it is a formula of \mathcal{L}_q with a tree. (ii) On the tree bracket all the subformulas, box the immediate subformulas, star the atomic subformulas, circle the main operator, and indicate quantifier scope with underlines. Then (iii) say whether the formula is a sentence, and if it is not, explain why.

b. $B^2 a c$



Since there are no variables, there are no free variables, and it is a sentence.

E2.16. Explain why the following expressions are not formulas or sentences of \mathcal{L}_q .

c. $\forall x B^2 x g^2 a x$

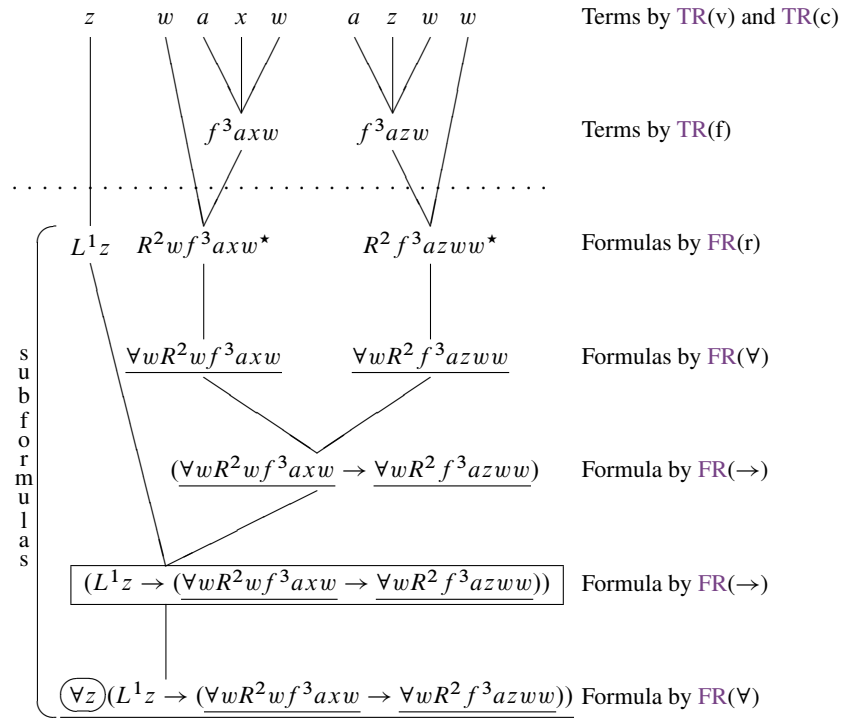
This is not a formula because x is not a variable and a is not a constant. These are symbols of the metalanguage, rather than symbols of \mathcal{L}_q .

E2.17. For each of the following expressions, determine whether it is a formula and a sentence of \mathcal{L}_q . If it is a formula, show it on a tree, and exhibit its parts as in E2.15. If it fails one or both, explain why.

d. $\forall z (L^1 z \rightarrow (\forall w R^2 w f^3 a x w \rightarrow \forall w R^2 f^3 a z w w))$

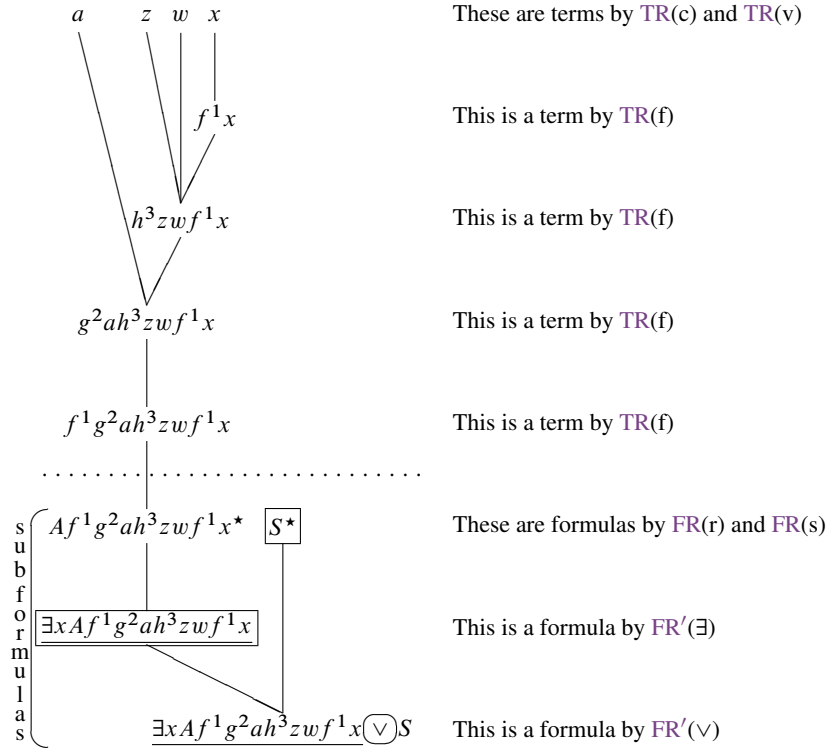
This has a tree, so it is a formula. But x is free, so it is not a sentence.

Exercise 2.17.d



E2.18. For each of the following expressions, (i) Demonstrate that it is a formula of \mathcal{L}_q with a tree. (ii) On the tree bracket all the subformulas, box the immediate subformulas, star the atomic subformulas, circle the main operator, and indicate quantifier scope with underlines. Then (iii) say whether the formula is a sentence, and if it is not, explain why.

c. $\exists x A f^1 g^2 a h^3 z w f^1 x \vee S$

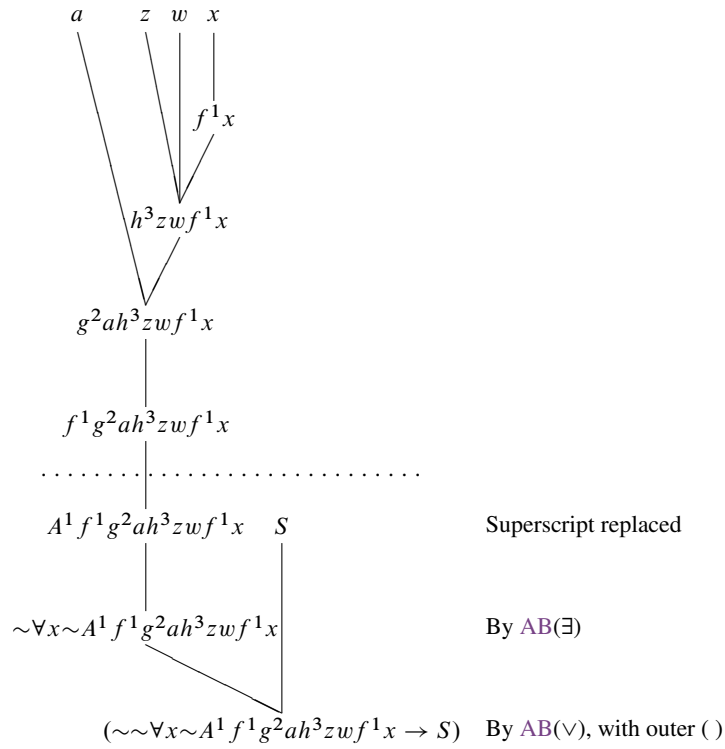


This has a tree, so it has a formula, but z and w are free, so it is not a sentence.

E2.19. For each of the formulas in E2.18, produce an unabbreviating tree to find the unabbreviated expression it represents.

Exercise 2.19

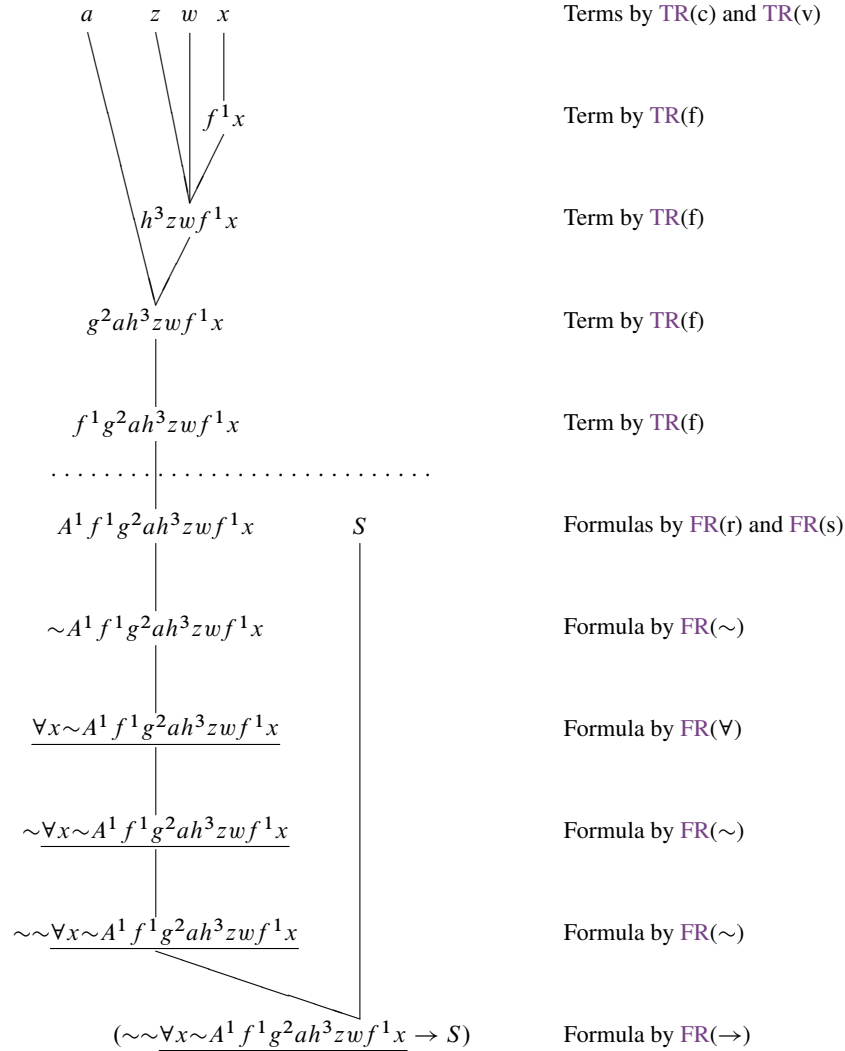
c. $\exists x A f^1 g^2 a h^3 z w f^1 x \vee S$



So $\exists x A f^1 g^2 a h^3 z w f^1 x \vee S$ abbreviates $(\sim \sim \forall x \sim A^1 f^1 g^2 a h^3 z w f^1 x \rightarrow S)$.

E2.20. For each of the unabbreviated expressions from E2.19, produce a complete tree to show by direct application of FR that it is an official formula. In each case, using underlines to indicate quantifier scope, is the expression a sentence? does this match with the result of E2.18?

c. $(\sim\sim\forall x\sim A^1 f^1 g^2 ah^3 zwf^1 x \rightarrow S)$



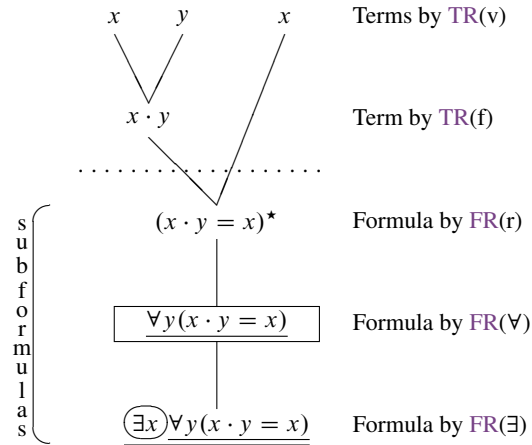
Since it has a tree it is a formula. But z and w are free so it is not a sentence. This is exactly the same situation as for E2.18(c).

E2.21. For each of the following expressions, (i) Demonstrate that it is a formula of \mathcal{L}_{NT} with a tree. (ii) On the tree bracket all the subformulas, box the immediate subformulas, star the atomic subformulas, circle the main operator, and indicate quantifier scope with underlines. Then (iii) say whether the formula is a sentence, and if it is not, explain why.

Exercise 2.21

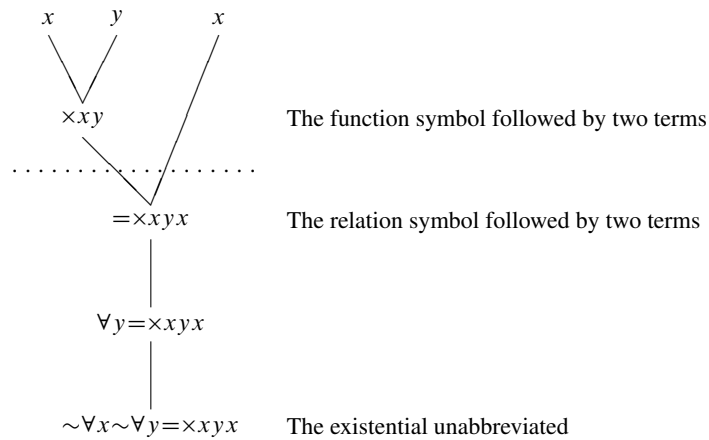
b. $\exists x \forall y (x \cdot y = x)$

Both a formula and a sentence.



E2.22. For each of the formulas in **E2.21**, produce an unabbreviating tree to find the unabbreviated expression it represents.

b. $\exists x \forall y (x \cdot y = x)$



So $\exists x \forall y (x \cdot y = x)$ abbreviates $\sim \forall x \sim \forall y = x \cdot y \cdot x$.

Chapter Three

E3.1. Where *AI* is as above, construct derivations to show each of the following.

Exercise 3.1

a. $\mathcal{A} \wedge (\mathcal{B} \wedge \mathcal{C}) \vdash_{AI} \mathcal{B}$

- | | |
|---|------------|
| 1. $\mathcal{A} \wedge (\mathcal{B} \wedge \mathcal{C})$ | prem |
| 2. $[\mathcal{A} \wedge (\mathcal{B} \wedge \mathcal{C})] \rightarrow (\mathcal{B} \wedge \mathcal{C})$ | $\wedge 2$ |
| 3. $\mathcal{B} \wedge \mathcal{C}$ | 2,1 MP |
| 4. $(\mathcal{B} \wedge \mathcal{C}) \rightarrow \mathcal{B}$ | $\wedge 1$ |
| 5. \mathcal{B} | 4,3 MP |

E3.2. Provide derivations for T3.6, T3.7, T3.9, T3.10, T3.11, T3.12, T3.13, T3.14, T3.15, T3.16, T3.18, T3.19, T3.20, T3.21, T3.22, T3.23, T3.24, T3.25, and T3.26. As you are working these problems, you may find it helpful to refer to the AD summary on p. 89.

T3.12. $\vdash_{AD} (\mathcal{A} \rightarrow \mathcal{B}) \rightarrow (\sim\sim\mathcal{A} \rightarrow \sim\sim\mathcal{B})$

- | | |
|--|----------|
| 1. $\sim\sim\mathcal{A} \rightarrow \mathcal{A}$ | T3.10 |
| 2. $(\sim\sim\mathcal{A} \rightarrow \mathcal{A}) \rightarrow [(\mathcal{A} \rightarrow \mathcal{B}) \rightarrow (\sim\sim\mathcal{A} \rightarrow \mathcal{B})]$ | T3.5 |
| 3. $(\mathcal{A} \rightarrow \mathcal{B}) \rightarrow (\sim\sim\mathcal{A} \rightarrow \mathcal{B})$ | 2,1 MP |
| 4. $\mathcal{B} \rightarrow \sim\sim\mathcal{B}$ | T3.11 |
| 5. $(\mathcal{A} \rightarrow \sim\sim\mathcal{B}) \rightarrow [(\sim\sim\mathcal{A} \rightarrow \mathcal{B}) \rightarrow (\sim\sim\mathcal{A} \rightarrow \sim\sim\mathcal{B})]$ | T3.4 |
| 6. $(\sim\sim\mathcal{A} \rightarrow \mathcal{B}) \rightarrow (\sim\sim\mathcal{A} \rightarrow \sim\sim\mathcal{B})$ | 5,4 MP |
| 7. $(\mathcal{A} \rightarrow \mathcal{B}) \rightarrow (\sim\sim\mathcal{A} \rightarrow \sim\sim\mathcal{B})$ | 3,6 T3.2 |

T3.16. $\vdash_{AD} \mathcal{A} \rightarrow [\sim\mathcal{B} \rightarrow \sim(\mathcal{A} \rightarrow \mathcal{B})]$

- | | |
|--|----------|
| 1. $(\mathcal{A} \rightarrow \mathcal{B}) \rightarrow (\mathcal{A} \rightarrow \mathcal{B})$ | T3.1 |
| 2. $\mathcal{A} \rightarrow [(\mathcal{A} \rightarrow \mathcal{B}) \rightarrow \mathcal{B}]$ | 1 T3.3 |
| 3. $[(\mathcal{A} \rightarrow \mathcal{B}) \rightarrow \mathcal{B}] \rightarrow [\sim\mathcal{B} \rightarrow \sim(\mathcal{A} \rightarrow \mathcal{B})]$ | T3.13 |
| 4. $\mathcal{A} \rightarrow [\sim\mathcal{B} \rightarrow \sim(\mathcal{A} \rightarrow \mathcal{B})]$ | 2,3 T3.2 |

T3.21. $\mathcal{A} \rightarrow (\mathcal{B} \rightarrow \mathcal{C}) \vdash_{AD} (\mathcal{A} \wedge \mathcal{B}) \rightarrow \mathcal{C}$

- | | |
|--|----------|
| 1. $\mathcal{A} \rightarrow (\mathcal{B} \rightarrow \mathcal{C})$ | prem |
| 2. $(\mathcal{B} \rightarrow \mathcal{C}) \rightarrow (\sim\mathcal{C} \rightarrow \sim\mathcal{B})$ | T3.13 |
| 3. $\mathcal{A} \rightarrow (\sim\mathcal{C} \rightarrow \sim\mathcal{B})$ | 1,2 T3.2 |
| 4. $\sim\mathcal{C} \rightarrow (\mathcal{A} \rightarrow \sim\mathcal{B})$ | 3, T3.3 |
| 5. $[\sim\mathcal{C} \rightarrow (\mathcal{A} \rightarrow \sim\mathcal{B})] \rightarrow [\sim(\mathcal{A} \rightarrow \sim\mathcal{B}) \rightarrow \mathcal{C}]$ | T3.14 |
| 6. $\sim(\mathcal{A} \rightarrow \sim\mathcal{B}) \rightarrow \mathcal{C}$ | 5,4 MP |
| 7. $(\mathcal{A} \wedge \mathcal{B}) \rightarrow \mathcal{C}$ | 6 abv |

E3.3. For each of the following, expand the derivations to include all the steps from theorems. The result should be a derivation in which each step is either a premise, an axiom, or follows from previous lines by a rule.

Exercise 3.3

b. Expand the derivation for T3.4

- | | |
|---|--------|
| 1. $(\mathcal{B} \rightarrow \mathcal{C}) \rightarrow [\mathcal{A} \rightarrow (\mathcal{B} \rightarrow \mathcal{C})]$ | A1 |
| 2. $[\mathcal{A} \rightarrow (\mathcal{B} \rightarrow \mathcal{C})] \rightarrow [(\mathcal{A} \rightarrow \mathcal{B}) \rightarrow (\mathcal{A} \rightarrow \mathcal{C})]$ | A2 |
| 3. $[(\mathcal{A} \rightarrow (\mathcal{B} \rightarrow \mathcal{C})) \rightarrow ((\mathcal{A} \rightarrow \mathcal{B}) \rightarrow (\mathcal{A} \rightarrow \mathcal{C}))] \rightarrow$
$[(\mathcal{B} \rightarrow \mathcal{C}) \rightarrow ((\mathcal{A} \rightarrow (\mathcal{B} \rightarrow \mathcal{C})) \rightarrow ((\mathcal{A} \rightarrow \mathcal{B}) \rightarrow (\mathcal{A} \rightarrow \mathcal{C})))]$ | A1 |
| 4. $(\mathcal{B} \rightarrow \mathcal{C}) \rightarrow ((\mathcal{A} \rightarrow (\mathcal{B} \rightarrow \mathcal{C})) \rightarrow ((\mathcal{A} \rightarrow \mathcal{B}) \rightarrow (\mathcal{A} \rightarrow \mathcal{C})))$ | 3,2 MP |
| 5. $[(\mathcal{B} \rightarrow \mathcal{C}) \rightarrow ((\mathcal{A} \rightarrow (\mathcal{B} \rightarrow \mathcal{C})) \rightarrow ((\mathcal{A} \rightarrow \mathcal{B}) \rightarrow (\mathcal{A} \rightarrow \mathcal{C})))] \rightarrow$
$[(\mathcal{B} \rightarrow \mathcal{C}) \rightarrow (\mathcal{A} \rightarrow (\mathcal{B} \rightarrow \mathcal{C}))] \rightarrow ((\mathcal{B} \rightarrow \mathcal{C}) \rightarrow ((\mathcal{A} \rightarrow \mathcal{B}) \rightarrow (\mathcal{A} \rightarrow \mathcal{C})))$ | A2 |
| 6. $((\mathcal{B} \rightarrow \mathcal{C}) \rightarrow (\mathcal{A} \rightarrow (\mathcal{B} \rightarrow \mathcal{C}))) \rightarrow ((\mathcal{B} \rightarrow \mathcal{C}) \rightarrow ((\mathcal{A} \rightarrow \mathcal{B}) \rightarrow (\mathcal{A} \rightarrow \mathcal{C})))$ | 5,4 MP |
| 7. $(\mathcal{B} \rightarrow \mathcal{C}) \rightarrow ((\mathcal{A} \rightarrow \mathcal{B}) \rightarrow (\mathcal{A} \rightarrow \mathcal{C}))$ | 6,1 MP |

E3.4. Consider an axiomatic system A2 as described in the main problem. Provide derivations for each of the following, where derivations may appeal to any *prior* result (no matter what *you* have done).

a. $\mathcal{A} \rightarrow \mathcal{B}, \mathcal{B} \rightarrow \mathcal{C} \vdash_{A2} \sim(\sim\mathcal{C} \wedge \mathcal{A})$

- | | |
|--|--------|
| 1. $\mathcal{A} \rightarrow \mathcal{B}$ | prem |
| 2. $(\mathcal{A} \rightarrow \mathcal{B}) \rightarrow [\sim(\mathcal{B} \wedge \sim\mathcal{C}) \rightarrow \sim(\sim\mathcal{C} \wedge \mathcal{A})]$ | A3 |
| 3. $\sim(\mathcal{B} \wedge \sim\mathcal{C}) \rightarrow \sim(\sim\mathcal{C} \wedge \mathcal{A})$ | 2,1 MP |
| 4. $\mathcal{B} \rightarrow \mathcal{C}$ | prem |
| 5. $\sim(\mathcal{B} \wedge \sim\mathcal{C})$ | 4 abv |
| 6. $\sim(\sim\mathcal{C} \wedge \mathcal{A})$ | 5,3 MP |

d. $\vdash_{A2} \sim(\mathcal{A} \wedge \mathcal{B}) \rightarrow (\mathcal{B} \rightarrow \sim\mathcal{A})$

- | | |
|--|--------|
| 1. $\sim\sim\mathcal{A} \rightarrow \mathcal{A}$ | (c) |
| 2. $(\sim\sim\mathcal{A} \rightarrow \mathcal{A}) \rightarrow [\sim(\mathcal{A} \wedge \mathcal{B}) \rightarrow \sim(\mathcal{B} \wedge \sim\sim\mathcal{A})]$ | A3 |
| 3. $\sim(\mathcal{A} \wedge \mathcal{B}) \rightarrow \sim(\mathcal{B} \wedge \sim\sim\mathcal{A})$ | 2,1 MP |
| 4. $\sim(\mathcal{A} \wedge \mathcal{B}) \rightarrow (\mathcal{B} \rightarrow \sim\mathcal{A})$ | 3 abv |

g. $\sim\mathcal{A} \rightarrow \sim\mathcal{B} \vdash_{A2} \mathcal{B} \rightarrow \mathcal{A}$

- | | |
|--|--------|
| 1. $\sim\mathcal{A} \rightarrow \sim\mathcal{B}$ | prem |
| 2. $(\sim\mathcal{A} \rightarrow \sim\mathcal{B}) \rightarrow [\sim(\sim\mathcal{B} \wedge \mathcal{B}) \rightarrow \sim(\mathcal{B} \wedge \sim\mathcal{A})]$ | A3 |
| 3. $\sim(\sim\mathcal{B} \wedge \mathcal{B}) \rightarrow \sim(\mathcal{B} \wedge \sim\mathcal{A})$ | 2,1 MP |
| 4. $\sim(\sim\mathcal{B} \wedge \mathcal{B})$ | (b) |
| 5. $\sim(\mathcal{B} \wedge \sim\mathcal{A})$ | 3,4 MP |
| 6. $\mathcal{B} \rightarrow \mathcal{A}$ | 5 abv |

i. $\mathcal{A} \rightarrow \mathcal{B}, \mathcal{B} \rightarrow \mathcal{C}, \mathcal{C} \rightarrow \mathcal{D} \vdash_{A2} \mathcal{A} \rightarrow \mathcal{D}$

- | | |
|--|---------|
| 1. $\mathcal{A} \rightarrow \mathcal{B}$ | prem |
| 2. $\mathcal{B} \rightarrow \mathcal{C}$ | prem |
| 3. $\sim(\sim\mathcal{C} \wedge \mathcal{A})$ | 1,2 (a) |
| 4. $\mathcal{C} \rightarrow \mathcal{D}$ | prem |
| 5. $(\mathcal{C} \rightarrow \mathcal{D}) \rightarrow (\sim\mathcal{D} \rightarrow \sim\mathcal{C})$ | (f) |
| 6. $\sim\mathcal{D} \rightarrow \sim\mathcal{C}$ | 5,4 MP |
| 7. $(\sim\mathcal{D} \rightarrow \sim\mathcal{C}) \rightarrow [\sim(\sim\mathcal{C} \wedge \mathcal{A}) \rightarrow \sim(\mathcal{A} \wedge \sim\mathcal{D})]$ | A3 |
| 8. $\sim(\sim\mathcal{C} \wedge \mathcal{A}) \rightarrow \sim(\mathcal{A} \wedge \sim\mathcal{D})$ | 7,6 MP |
| 9. $\sim(\mathcal{A} \wedge \sim\mathcal{D})$ | 8,3 MP |
| 10. $\mathcal{A} \rightarrow \mathcal{D}$ | 9 abv |

u. $\vdash_{A2} [\mathcal{A} \rightarrow (\mathcal{B} \rightarrow \mathcal{C})] \rightarrow [(\mathcal{A} \wedge \mathcal{B}) \rightarrow \mathcal{C}]$

- | | |
|---|---------|
| 1. $[(\mathcal{A} \wedge \mathcal{B}) \wedge \sim\mathcal{C}] \rightarrow [\mathcal{A} \wedge (\mathcal{B} \wedge \sim\mathcal{C})]$ | (s) |
| 2. $(\mathcal{B} \wedge \sim\mathcal{C}) \rightarrow \sim\sim(\mathcal{B} \wedge \sim\mathcal{C})$ | (e) |
| 3. $[\mathcal{A} \wedge (\mathcal{B} \wedge \sim\mathcal{C})] \rightarrow [\mathcal{A} \wedge \sim\sim(\mathcal{B} \wedge \sim\mathcal{C})]$ | 2 (q) |
| 4. $[(\mathcal{A} \wedge \mathcal{B}) \wedge \sim\mathcal{C}] \rightarrow [\mathcal{A} \wedge \sim\sim(\mathcal{B} \wedge \sim\mathcal{C})]$ | 1,3 (l) |
| 5. $[(\mathcal{A} \wedge \mathcal{B}) \wedge \sim\mathcal{C}] \rightarrow [\mathcal{A} \wedge \sim\sim(\mathcal{B} \wedge \sim\mathcal{C})] \rightarrow$
$(\sim[\mathcal{A} \wedge \sim\sim(\mathcal{B} \wedge \sim\mathcal{C})] \rightarrow \sim[(\mathcal{A} \wedge \mathcal{B}) \wedge \sim\mathcal{C}])$ | (f) |
| 6. $\sim[\mathcal{A} \wedge \sim\sim(\mathcal{B} \wedge \sim\mathcal{C})] \rightarrow \sim[(\mathcal{A} \wedge \mathcal{B}) \wedge \sim\mathcal{C}]$ | 5,4 MP |
| 7. $[\mathcal{A} \rightarrow (\mathcal{B} \rightarrow \mathcal{C})] \rightarrow [(\mathcal{A} \wedge \mathcal{B}) \rightarrow \mathcal{C}]$ | 6 abv |

w. $\mathcal{A} \rightarrow \mathcal{B}, \mathcal{A} \rightarrow (\mathcal{B} \rightarrow \mathcal{C}) \vdash_{A2} \mathcal{A} \rightarrow \mathcal{C}$

- | | |
|---|---------|
| 1. $\mathcal{A} \rightarrow (\mathcal{B} \rightarrow \mathcal{C})$ | prem |
| 2. $[\mathcal{A} \rightarrow (\mathcal{B} \rightarrow \mathcal{C})] \rightarrow [(\mathcal{A} \wedge \mathcal{B}) \rightarrow \mathcal{C}]$ | (u) |
| 3. $(\mathcal{A} \wedge \mathcal{B}) \rightarrow \mathcal{C}$ | 2,1 MP |
| 4. $\mathcal{A} \rightarrow \mathcal{A}$ | (j) |
| 5. $\mathcal{A} \rightarrow \mathcal{B}$ | prem |
| 6. $\mathcal{A} \rightarrow (\mathcal{A} \wedge \mathcal{B})$ | 4,5 (r) |
| 7. $\mathcal{A} \rightarrow \mathcal{C}$ | 6,3 (l) |

E3.5. Provide derivations for T3.29, T3.31 and T3.30, explaining in words for every step that has a restriction, how you know that that restriction is met.

T3.29. $\vdash_{AD} \mathcal{A}_t^x \rightarrow \exists x \mathcal{A}$ — for any term t free for x in \mathcal{A}

- | | |
|--|-----------------|
| 1. $\forall x \sim \mathcal{A} \rightarrow [\sim \mathcal{A}]_t^x$ | A4 |
| 2. $\forall x \sim \mathcal{A} \rightarrow \sim[\mathcal{A}_t^x]$ | same expression |
| 3. $(\forall x \sim \mathcal{A} \rightarrow \sim[\mathcal{A}_t^x]) \rightarrow (\sim\sim[\mathcal{A}_t^x] \rightarrow \sim\forall x \sim \mathcal{A})$ | T3.13 |
| 4. $\sim\sim[\mathcal{A}_t^x] \rightarrow \sim\forall x \sim \mathcal{A}$ | 3,2 MP |
| 5. $\mathcal{A}_t^x \rightarrow \sim\sim[\mathcal{A}_t^x]$ | T3.11 |
| 6. $\mathcal{A}_t^x \rightarrow \sim\forall x \sim \mathcal{A}$ | 4,5 T3.2 |
| 7. $\mathcal{A}_t^x \rightarrow \exists x \mathcal{A}$ | 6 abv |

Exercise 3.5 T3.29

For (1): Since t is free for x in \mathcal{A} , we can be sure that t is free for x in $\sim\mathcal{A}$ and so that (1) is an instance of A4. Also for line (2) — not strictly necessary as it involves *no change* — observe that $[\sim\mathcal{A}]_t^x$ is the same expression as $\sim[\mathcal{A}_t^x]$; this shift is tracked by square brackets; it matters when it comes time to apply T3.11.

E3.6. Provide derivations to show each of the following.

a. $\forall x(Hx \rightarrow Rx), \forall yHy \vdash_{AD} \forall zRz$

- | | |
|---|--------|
| 1. $\forall x(Hx \rightarrow Rx)$ | prem |
| 2. $\forall yHy$ | prem |
| 3. $\forall x(Hx \rightarrow Rx) \rightarrow (Hz \rightarrow Rz)$ | A4 |
| 4. $Hx \rightarrow Rx$ | 3,1 MP |
| 5. $\forall yHy \rightarrow Hz$ | A4 |
| 6. Hx | 5,2 MP |
| 7. Rx | 4,6 MP |
| 8. $\forall zRz$ | 7 Gen |

c. $\vdash_{AD} \exists x\forall yRxy \rightarrow \forall y\exists xRxy$

- | | |
|--|----------|
| 1. $\forall yRxy \rightarrow Rxy$ | A4 |
| 2. $Rxy \rightarrow \exists xRxy$ | T3.29 |
| 3. $\forall yRxy \rightarrow \exists xRxy$ | 1,2 T3.2 |
| 4. $\exists x\forall yRxy \rightarrow \exists xRxy$ | 3 T3.31 |
| 5. $\exists x\forall yRxy \rightarrow \forall y\exists xRxy$ | 4 T3.28 |

E3.9. Provide demonstrations for the following instances of T3.36 and T3.37. Then, in each case, say in words how you would go about showing the results for an arbitrary number of places.

b. $(s = t) \rightarrow (\mathcal{A}^2rs \rightarrow \mathcal{A}^2rt)$

- | | |
|---|--------|
| 1. $(y = u) \rightarrow (A^2xy \rightarrow A^2xu)$ | A8 |
| 2. $\forall x[(y = u) \rightarrow (A^2xy \rightarrow A^2xu)]$ | 1 Gen |
| 3. $\forall x[(y = u) \rightarrow (A^2xy \rightarrow A^2xu)] \rightarrow [(y = u) \rightarrow (A^2ry \rightarrow A^2ru)]$ | A4 |
| 4. $(y = u) \rightarrow (A^2ry \rightarrow A^2ru)$ | 3,2 MP |
| 5. $\forall y[(y = u) \rightarrow (A^2ry \rightarrow A^2ru)]$ | 4 Gen |
| 6. $\forall y[(y = u) \rightarrow (A^2ry \rightarrow A^2ru)] \rightarrow [(s = u) \rightarrow (A^2rs \rightarrow A^2ru)]$ | A4 |
| 7. $(s = u) \rightarrow (A^2rs \rightarrow A^2ru)$ | 6,5 MP |
| 8. $\forall u[(s = u) \rightarrow (A^2rs \rightarrow A^2ru)]$ | 7 Gen |
| 9. $\forall u[(s = u) \rightarrow (A^2rs \rightarrow A^2ru)] \rightarrow [(s = t) \rightarrow (A^2rs \rightarrow A^2rt)]$ | A4 |
| 10. $(s = t) \rightarrow (\mathcal{A}^2rs \rightarrow \mathcal{A}^2rt)$ | 9,8 MP |

Exercise 3.9.b

For an arbitrary $t_i = s$ and $\mathcal{R}^n t_1 \dots t_n$ begin with an instance of A8 that has $x_i = y$ and $\mathcal{R}^n x_1 \dots x_n$; then apply the Gen / A4 / MP pattern n times to convert $x_1 \dots x_n$ to $t_1 \dots t_n$, and then once more to convert y to s .

E3.10. Provide derivations to show each of T3.40, T3.41, T3.42, T3.43, T3.44, T3.49, T3.50, T3.51, T3.52, T3.53, and T3.54.

T3.40. $\vdash_{PA} (St = Ss) \rightarrow (t = s)$

- | | |
|---|--------|
| 1. $(Sx = Sy) \rightarrow (x = y)$ | P2 |
| 2. $\forall x[(Sx = Sy) \rightarrow (x = y)]$ | 1 Gen |
| 3. $\forall x[(Sx = Sy) \rightarrow (x = y)] \rightarrow [(St = Sy) \rightarrow (t = y)]$ | A4 |
| 4. $(St = Sy) \rightarrow (t = y)$ | 3,2 MP |
| 5. $\forall y[(St = Sy) \rightarrow (t = y)]$ | 4 Gen |
| 6. $\forall y[(St = Sy) \rightarrow (t = y)] \rightarrow [(St = Ss) \rightarrow (t = s)]$ | A4 |
| 7. $(St = Ss) \rightarrow (t = s)$ | 6,5 MP |

T3.50. $\vdash_{PA} [((r + s) + t) = (r + (s + t))]$

- | | |
|--|------------|
| 1. $[((r + s) + 0) = (r + (s + 0))]$ | T3.49 |
| 2. $[((r + s) + x) = (r + (s + x))] \rightarrow [S((r + s) + x) = S(r + (s + x))]$ | T3.36 |
| 3. $[S((r + s) + x) = ((r + s) + Sx)]$ | T3.42* |
| 4. $[S((r + s) + x) = ((r + s) + Sx)] \rightarrow$
$[S((r + s) + x) = S(r + (s + x))] \rightarrow [((r + s) + Sx) = S(r + (s + x))]$ | T3.37 |
| 5. $[S((r + s) + x) = S(r + (s + x))] \rightarrow [((r + s) + Sx) = S(r + (s + x))]$ | 4,3 MP |
| 6. $[((r + s) + x) = (r + (s + x))] \rightarrow [((r + s) + Sx) = S(r + (s + x))]$ | 2,5 T3.2 |
| 7. $[S(r + (s + x)) = (r + S(s + x))]$ | T3.42* |
| 8. $[S(s + x) = (s + Sx)]$ | T3.42* |
| 9. $[S(s + x) = (s + Sx)] \rightarrow [(r + S(s + x)) = (r + (s + Sx))]$ | T3.36 |
| 10. $[(r + S(s + x)) = (r + (s + Sx))]$ | 9,8 MP |
| 11. $[S(r + (s + x)) = (r + (s + Sx))]$ | 7,10 T3.35 |
| 12. $[S(r + (s + x)) = (r + (s + Sx))] \rightarrow$
$[((r + s) + Sx) = S(r + (s + x))] \rightarrow [((r + s) + Sx) = (r + (s + Sx))]$ | T3.37 |
| 13. $[((r + s) + Sx) = S(r + (s + x))] \rightarrow [((r + s) + Sx) = (r + (s + Sx))]$ | 12,11 MP |
| 14. $[((r + s) + x) = (r + (s + x))] \rightarrow [((r + s) + Sx) = (r + (s + Sx))]$ | 6,13 T3.2 |
| 15. $\forall x[(((r + s) + x) = (r + (s + x))) \rightarrow (((r + s) + Sx) = (r + (s + Sx)))]$ | 14 Gen |
| 16. $\forall x[(((r + s) + x) = (r + (s + x)))]$ | 1,15 Ind* |
| 17. $\forall x[(((r + s) + x) = (r + (s + x))) \rightarrow (((r + s) + t) = (r + (s + t)))]$ | A4 |
| 18. $[((r + s) + t) = (r + (s + t))]$ | 17,16 MP |

T3.53. $\vdash_{PA} [(St \cdot s) = ((t \cdot s) + s)]$

first (a): $\vdash_{PA} [((t \cdot x) + (x + St)) = ((t \cdot Sx) + Sx)]$

1. $[(x + St) = S(x + t)]$ T3.42
2. $[S(x + t) = (Sx + t)]$ T3.47*
3. $[(x + St) = (Sx + t)]$ 1,2 T3.35
4. $[(Sx + t) = (t + Sx)]$ T3.48
5. $[(x + St) = (t + Sx)]$ 3,4 T3.35
6. $[(x + St) = (t + Sx)] \rightarrow [((t \cdot x) + (x + St)) = ((t \cdot x) + (t + Sx))]$ T3.36
7. $[((t \cdot x) + (x + St)) = ((t \cdot x) + (t + Sx))]$ 6,5 MP
8. $[((t \cdot x) + (t + Sx)) = (((t \cdot x) + t) + Sx)]$ T3.50*
9. $[((t \cdot x) + (x + St)) = (((t \cdot x) + t) + Sx)]$ 7,8 T3.35
10. $[((t \cdot x) + t) = (t \cdot Sx)]$ T3.44*
11. $[((t \cdot x) + t) = (t \cdot Sx)] \rightarrow [(((t \cdot x) + t) + Sx) = ((t \cdot Sx) + Sx)]$ T3.36
12. $[(((t \cdot x) + t) + Sx) = ((t \cdot Sx) + Sx)]$ 11,10 MP
13. $[((t \cdot x) + (x + St)) = ((t \cdot Sx) + Sx)]$ 9,12 T3.35

main result:

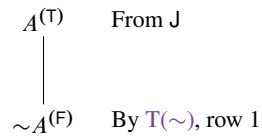
1. $[St \cdot \emptyset = ((t \cdot \emptyset) + \emptyset)]$ T3.52
2. $[(St \cdot x) = ((t \cdot x) + x)] \rightarrow [((St \cdot x) + St) = (((t \cdot x) + x) + St)]$ T3.36
3. $[((St \cdot x) + St) = (St \cdot Sx)]$ T3.44*
4. $[((St \cdot x) + St) = (St \cdot Sx)] \rightarrow$
 $[(St \cdot Sx) = (((t \cdot x) + x) + St)] \rightarrow [(St \cdot Sx) = (((t \cdot x) + x) + St)]$ T3.37
5. $[((St \cdot x) + St) = (((t \cdot x) + x) + St)] \rightarrow [(St \cdot Sx) = (((t \cdot x) + x) + St)]$ 4,3 MP
6. $[(St \cdot x) = ((t \cdot x) + x)] \rightarrow [(St \cdot Sx) = (((t \cdot x) + x) + St)]$ 2,5 T3.2
7. $[(((t \cdot x) + x) + St) = ((t \cdot x) + (x + St))]$ T3.50
8. $[((t \cdot x) + (x + St)) = ((t \cdot Sx) + Sx)]$ (a)
9. $[(((t \cdot x) + x) + St) = ((t \cdot Sx) + Sx)]$ T3.35
10. $[(((t \cdot x) + x) + St) = ((t \cdot Sx) + Sx)] \rightarrow$
 $[(St \cdot Sx) = (((t \cdot x) + x) + St)] \rightarrow [(St \cdot Sx) = ((t \cdot Sx) + Sx)]$ T3.36
11. $[(St \cdot Sx) = (((t \cdot x) + x) + St)] \rightarrow [(St \cdot Sx) = ((t \cdot Sx) + Sx)]$ 10,9 MP
12. $[(St \cdot x) = ((t \cdot x) + x)] \rightarrow [(St \cdot Sx) = ((t \cdot Sx) + Sx)]$ 6,11 T3.2
13. $\forall x[(St \cdot x) = ((t \cdot x) + x)] \rightarrow [(St \cdot Sx) = ((t \cdot Sx) + Sx)]$ 12 Gen
14. $\forall x[(St \cdot x) = ((t \cdot x) + x)]$ 1,13 Ind*
15. $\forall x[(St \cdot x) = ((t \cdot x) + x)] \rightarrow [(St \cdot s) = ((t \cdot s) + s)]$ A4
16. $[(St \cdot s) = ((t \cdot s) + s)]$ 15,14 MP

Exercise 3.10 T3.53

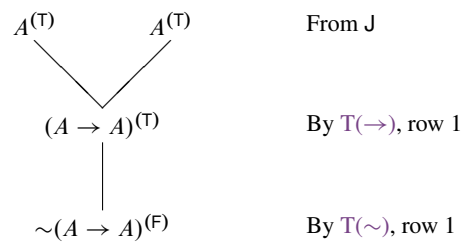
Chapter Four

E4.1. Where the interpretation is as in J from p. 99, use trees to decide whether the following sentences of \mathcal{L}_3 are T or F.

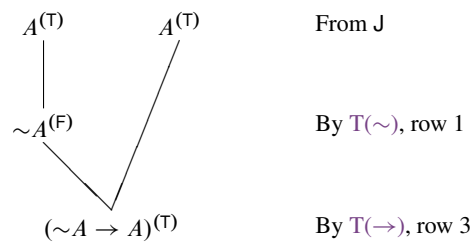
a. $\sim A$ *false*



e. $\sim(A \rightarrow A)$ *false*



f. $(\sim A \rightarrow A)$ *true*



$A^{(T)}$	$B^{(T)}$	$B^{(T)}$	$A^{(T)}$	From J
	$\sim B^{(F)}$		$\sim A^{(F)}$	By $T(\sim)$, row 1
$(A \rightarrow \sim B)^{(F)}$		$(B \rightarrow \sim A)^{(F)}$		By $T(\rightarrow)$, row 2
		$\sim(B \rightarrow \sim A)^{(T)}$		By $T(\sim)$, row 2
$(A \rightarrow \sim B) \rightarrow \sim(B \rightarrow \sim A)^{(T)}$				By $T(\rightarrow)$, row 3

a. $\sim\sim A$

A	$\sim \sim A$
T	T F
F	F T

d. $(\sim B \rightarrow A) \rightarrow B$

A	B	$(\sim B \rightarrow A) \rightarrow B$		
T	T	F	T	T
T	F	T	T	F
F	T	F	T	T
F	F	T	F	T

g. $C \rightarrow (A \rightarrow B)$

A	B	C	$C \rightarrow (A \rightarrow B)$
T	T	T	T
T	T	F	T
T	F	T	F
T	F	F	T
F	T	T	T
F	T	F	T
F	F	T	T
F	F	F	T

i. $(\sim A \rightarrow B) \rightarrow (\sim C \rightarrow D)$

A	B	C	D	$(\sim A \rightarrow B) \rightarrow (\sim C \rightarrow D)$				
T	T	T	T	F	T	T	F	T
T	T	T	F	F	T	T	F	T
T	T	F	T	F	T	T	T	T
T	T	F	F	F	T	F	T	F
T	F	T	T	F	T	T	F	T
T	F	T	F	F	T	T	F	T
T	F	F	T	F	T	T	T	T
T	F	F	F	F	T	F	T	F
F	T	T	T	T	T	T	F	T
F	T	T	F	T	T	T	F	T
F	T	F	T	T	T	T	T	T
F	T	F	F	T	T	F	T	F
F	F	T	T	T	F	T	F	T
F	F	T	F	T	F	T	F	T
F	F	F	T	T	F	T	T	T
F	F	F	F	T	F	T	T	F

E4.3. For each of the following, use truth tables to decide whether the entailment claims hold.

a. $A \rightarrow \sim A \models_s \sim A$ *valid*

A	$A \rightarrow \sim A \quad / \quad \sim A$				
T	T	F	F	T	F
F	F	T	T	F	T

c. $A \rightarrow B, \sim A \models_s \sim B$ *invalid*

A	B	$A \rightarrow B \quad \sim A \quad / \quad \sim B$		
T	T	T	F	F
T	F	F	F	T
F	T	T	T	F \Leftarrow
F	F	T	T	T

g. $\models_s [A \rightarrow (C \rightarrow B)] \rightarrow [(A \rightarrow C) \rightarrow (A \rightarrow B)]$ *valid*

A	B	C	$[A \rightarrow (C \rightarrow B)] \rightarrow [(A \rightarrow C) \rightarrow (A \rightarrow B)]$				
T	T	T	T	T	T	T	T
T	T	F	T	T	T	F	T
T	F	T	F	F	T	T	F
T	F	F	T	T	T	F	F
F	T	T	T	T	T	T	T
F	T	F	T	T	T	T	T
F	F	T	T	F	T	T	T
F	F	F	T	T	T	T	T

E4.4. For each of the following, use truth tables to decide whether the entailment claims hold.

c. $B \vee \sim C \models_s B \rightarrow C$ *invalid*

B	C	$B \vee \sim C$	$B \rightarrow C$
T	T	T	T
T	F	T	F
F	T	F	T
F	F	T	T

d. $A \vee B, \sim C \rightarrow \sim A, \sim(B \wedge \sim C) \models_s C$ *valid*

A	B	C	$A \vee B$	$\sim C \rightarrow \sim A$	$\sim(B \wedge \sim C)$	C
T	T	T	T	F	T	T
T	T	F	T	T	F	F
T	F	T	T	F	T	T
T	F	F	T	T	F	F
F	T	T	T	F	T	T
F	T	F	T	T	F	F
F	F	T	F	F	T	T
F	F	F	F	T	F	F

h. $\models_s \sim(A \leftrightarrow B) \leftrightarrow (A \wedge \sim B)$ *invalid*

A	B	$\sim(A \leftrightarrow B)$	$(A \wedge \sim B)$
T	T	F	F
T	F	T	T
F	T	T	F
F	F	F	F

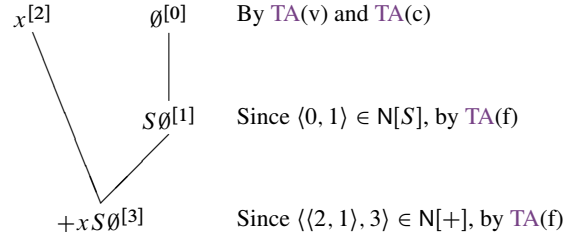
E4.5. For each of the following, use truth tables to decide whether the entailment claims hold.

a. $\exists x Ax \rightarrow \exists x Bx, \sim \exists x Ax \models_s \exists x Bx$ *invalid*

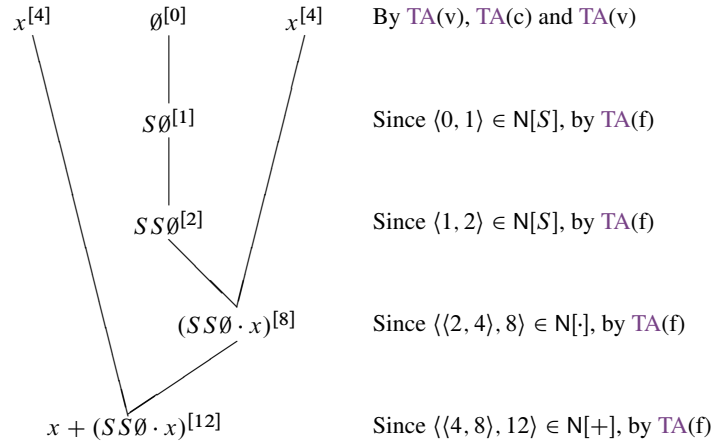
$\exists x Ax$	$\exists x Bx$	$\exists x Ax \rightarrow \exists x Bx$	$\sim \exists x Ax$	$\exists x Bx$
T	T	T	F	T
T	F	F	F	F
F	T	T	T	T
F	F	T	T	F

E4.8. For \mathcal{L}_{NT} and interpretation N as on p. 118, with d as described in the main problem, use trees to determine each of the following.

a. $N_d[+xS\emptyset] = 3$

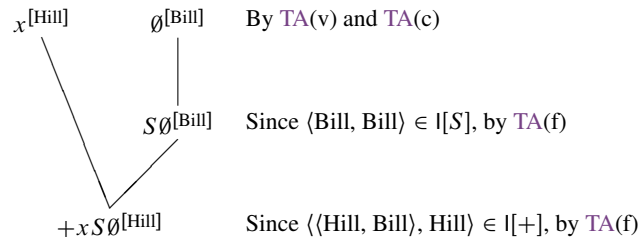


d. $N_{d(x|4)}[x + (SS\emptyset \cdot x)] = 12$

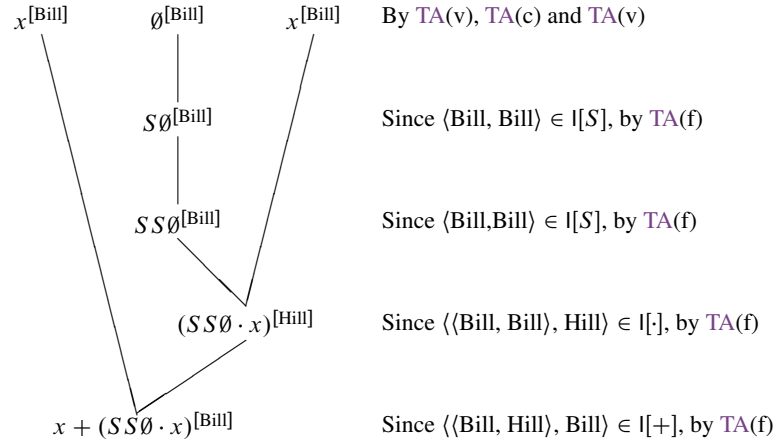


E4.9. For \mathcal{L}_{NT} and interpretation I as above on p. 118, with d as described in the main problem, use trees to determine each of the following.

a. $I_d[+xS\emptyset] = \text{Hill}$

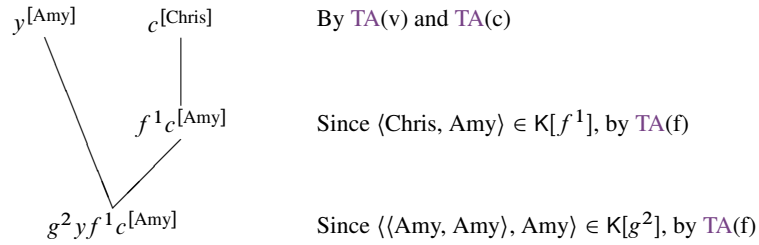


d. $I_{d(x|Bill)}[x + (SS\emptyset \cdot x)] = \text{Bill}$



E4.11. For \mathcal{L}_q and interpretation K with variable assignment d as described in the main problem, use trees to determine each of the following.

b. $K_d[g^2 y f^1 c] = \text{Amy}$

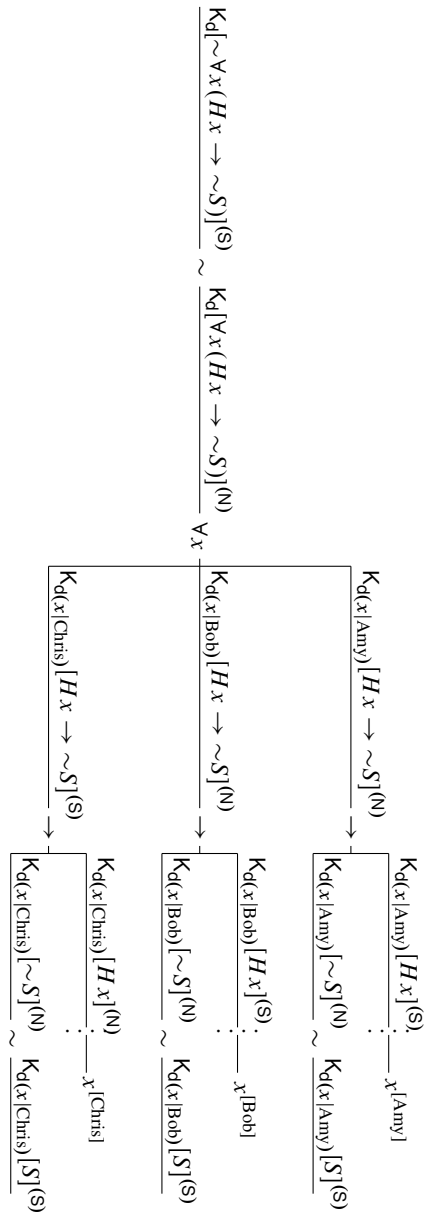


E4.12. Where the interpretation K and variable assignment d are as described in the main problem, use trees to determine whether the following formulas are satisfied on K with d .

a. Hx Satisfied

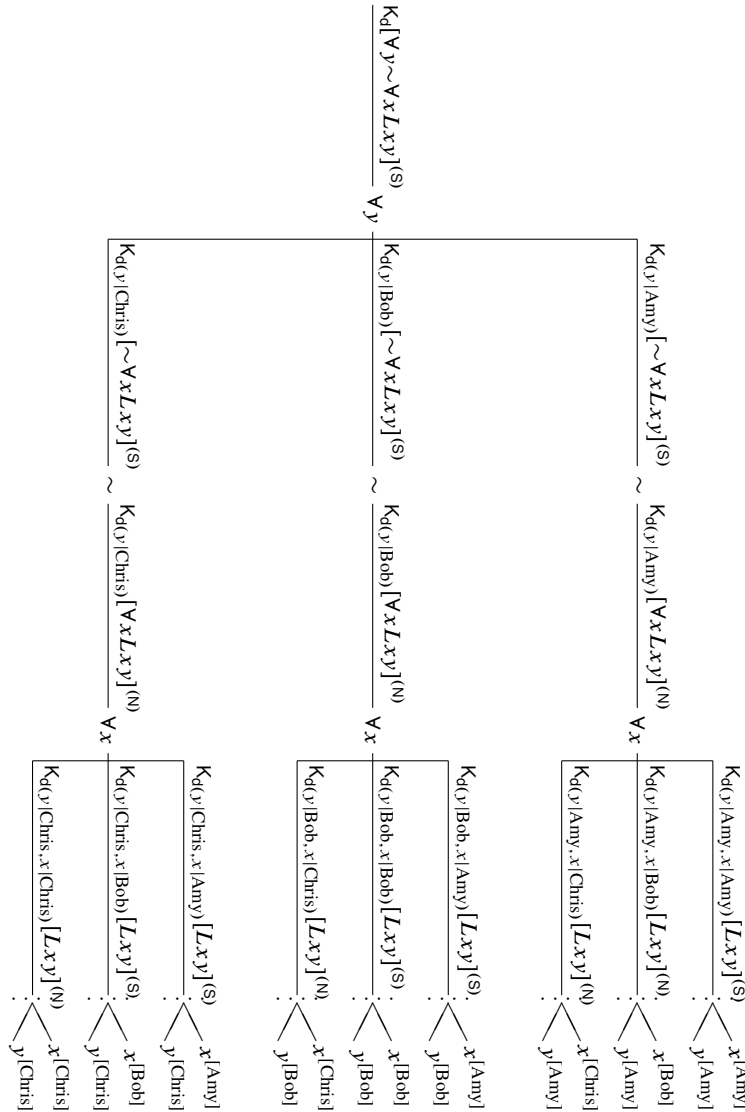
$$\frac{K_d[Hx]^{(S)} : \text{---}}{x^{[Amy]}}$$

f. $\sim \forall x (Hx \rightarrow \sim S)$ *Satisfied*



Exercise 4.12.f

g. $\forall y \sim \forall x Lxy$ *Satisfied*



E4.14. For language \mathcal{L}_q consider an interpretation I such that $U = \{1, 2\}$, $I[a] = 1$, $I[A] = \top$, $I[P^1] = \{1\}$, $I[f^1] = \{\langle 1, 2 \rangle, \langle 2, 1 \rangle\}$. Use interpretation I and trees to show that (a) is not quantificationally valid. Each of the others can be shown to be invalid on an interpretation I^* that modifies just one of the main parts of I . Produce the modified interpretations, and use them to show that the other

arguments also are invalid.

$$c. \forall x P f^1 x \not\models \forall x P x$$

Set $I^*[f^1] = \{\langle 1, 1 \rangle, \langle 2, 1 \rangle\}$.

$$\frac{I_d^*[\forall x P f^1 x]^{(S)}}{\forall x} \left[\begin{array}{l} I_{d(x|1)}^*[P f^1 x]^{(S)} \vdots \text{--- } f^1 x^{[1]} \text{ --- } x^{[1]} \\ \vdots \\ I_{d(x|2)}^*[P f^1 x]^{(S)} \vdots \text{--- } f^1 x^{[1]} \text{ --- } x^{[2]} \\ \vdots \end{array} \right]$$

$$\frac{I_d^*[\forall x P x]^{(N)}}{\forall x} \left[\begin{array}{l} I_{d(x|1)}^*[P x]^{(S)} \vdots \text{--- } x^{[1]} \\ \vdots \\ I_{d(x|2)}^*[P x]^{(N)} \vdots \text{--- } x^{[2]} \\ \vdots \end{array} \right]$$

Since the premise is satisfied and a sentence, it is true; since the conclusion is not satisfied, it is not true. Since I^* makes the premise T and the conclusion not, the argument is not quantificationally valid.

E4.15. Find interpretations and use trees to demonstrate each of the following. Be sure to explain why your interpretations and trees have the desired result.

For these exercises, other interpretations might do the job!

$$a. \forall x (Qx \rightarrow Px) \not\models \forall x (Px \rightarrow Qx)$$

For an interpretation I set $U = \{1\}$, $I[P] = \{1\}$, $I[Q] = \{\}$.

$$\frac{I_d[\forall x (Qx \rightarrow Px)]^{(S)}}{\forall x} \frac{I_{d(x|1)}[Qx \rightarrow Px]^{(S)}}{\rightarrow} \left[\begin{array}{l} I_{d(x|1)}[Qx]^{(N)} \vdots \text{--- } x^{[1]} \\ \vdots \\ I_{d(x|1)}[Px]^{(S)} \vdots \text{--- } x^{[1]} \\ \vdots \end{array} \right]$$

$$\frac{I_d[\forall x (Px \rightarrow Qx)]^{(N)}}{\forall x} \frac{I_{d(x|1)}[Px \rightarrow Qx]^{(N)}}{\rightarrow} \left[\begin{array}{l} I_{d(x|1)}[Px]^{(S)} \vdots \text{--- } x^{[1]} \\ \vdots \\ I_{d(x|1)}[Qx]^{(N)} \vdots \text{--- } x^{[1]} \\ \vdots \end{array} \right]$$

Since the premise is satisfied and a sentence, it is true; since the conclusion is not satisfied, it is not true. Since I makes the premise T and the conclusion not, the argument is not quantificationally valid.

c. $\sim \forall x Px \not\models \sim Pa$

For an interpretation I , set $U = \{1, 2\}$, $I[a] = 1$, and $I[P] = \{1\}$.

$$\frac{I_d[\sim \forall x Px]^{(S)}}{\sim} \sim \frac{I_d[\forall x Px]^{(N)}}{\forall x} \left[\begin{array}{l} I_{d(x|1)}[Px]^{(S)} \vdots \text{--- } x[1] \\ \vdots \\ I_{d(x|2)}[Px]^{(N)} \vdots \text{--- } x[2] \end{array} \right]$$

$$\frac{I_d[\sim Pa]^{(N)}}{\sim} \sim \frac{I_d[Pa]^{(S)}}{\vdots} \text{--- } a[1]$$

Since the premise is satisfied and a sentence, it is true; since the conclusion is not satisfied, it is not true. Since I makes the premise T and the conclusion not, the argument is not quantificationally valid.

h. $\sim \forall y \forall x Rxy \not\models \forall x \sim \forall y Rxy$

For an interpretation I , set $U = \{1, 2\}$, $I[R] = \{\langle 1, 1 \rangle, \langle 1, 2 \rangle\}$.

$$\frac{I_d[\sim \forall x \forall y Rxy]^{(S)}}{\sim} \sim \frac{I_d[\forall x \forall y Rxy]^{(N)}}{\forall x} \left[\begin{array}{l} I_{d(x|1)}[\forall y Rxy]^{(S)} \forall y \left[\begin{array}{l} I_{d(x|1,y|1)}[Rxy]^{(S)} \vdots \text{--- } x[1] \\ \vdots \text{--- } y[1] \\ I_{d(x|1,y|2)}[Rxy]^{(S)} \vdots \text{--- } x[1] \\ \vdots \text{--- } y[2] \end{array} \right] \\ I_{d(x|2)}[\forall y Rxy]^{(N)} \forall y \left[\begin{array}{l} I_{d(x|2,y|1)}[Rxy]^{(N)} \vdots \text{--- } x[2] \\ \vdots \text{--- } y[1] \\ I_{d(x|2,y|2)}[Rxy]^{(N)} \vdots \text{--- } x[2] \\ \vdots \text{--- } y[2] \end{array} \right] \end{array} \right]$$

$$\frac{I_d[\forall x \sim \forall y Rxy]^{(N)}}{\forall x} \left[\begin{array}{l} I_{d(x|1)}[\sim \forall y Rxy]^{(S)} \sim \frac{I_{d(x|1)}[\forall y Rxy]^{(S)}}{\forall y} \left[\begin{array}{l} I_{d(x|1,y|1)}[Rxy]^{(S)} \vdots \text{--- } x[1] \\ \vdots \text{--- } y[1] \\ I_{d(x|1,y|2)}[Rxy]^{(S)} \vdots \text{--- } x[1] \\ \vdots \text{--- } y[2] \end{array} \right] \\ I_{d(x|2)}[\sim \forall y Rxy]^{(N)} \sim \frac{I_{d(x|2)}[\forall y Rxy]^{(N)}}{\forall y} \left[\begin{array}{l} I_{d(x|2,y|1)}[Rxy]^{(N)} \vdots \text{--- } x[2] \\ \vdots \text{--- } y[1] \\ I_{d(x|2,y|2)}[Rxy]^{(N)} \vdots \text{--- } x[2] \\ \vdots \text{--- } y[2] \end{array} \right] \end{array} \right]$$

Since the premise is satisfied and a sentence, it is true; since the conclusion is not satisfied, it is not true. Since I makes the premise T and the conclusion not, the argument is not quantificationally valid.

E4.17. Produce interpretations to demonstrate each of the following. Use trees, with derived clauses as necessary, to demonstrate your results. Be sure to explain why your interpretations and trees have the results they do.

a. $\exists xPx \not\models \forall yPy$

For an interpretation I , set $U = \{1, 2\}$, and $I[P] = \{1\}$.

$$\frac{I_d[\exists xPx]^{(S)}}{\exists x} \left[\begin{array}{l} I_{d(x|1)}[Px]^{(S)} : \text{--- } x^{[1]} \\ \vdots \\ I_{d(x|2)}[Px]^{(N)} : \text{--- } x^{[2]} \\ \vdots \end{array} \right]$$

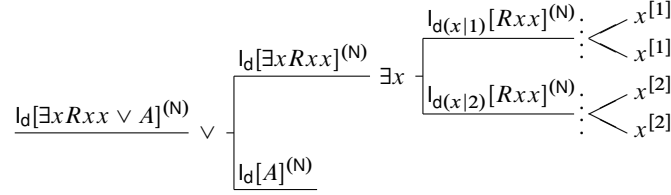
$$\frac{I_d[\forall yPy]^{(N)}}{\forall y} \left[\begin{array}{l} I_{d(y|1)}[Py]^{(S)} : \text{--- } y^{[1]} \\ \vdots \\ I_{d(y|2)}[Py]^{(N)} : \text{--- } y^{[2]} \\ \vdots \end{array} \right]$$

Since the premise is satisfied and a sentence, it is true; since the conclusion is not satisfied, it is not true. Since I makes the premise T and the conclusion not, the argument is not quantificationally valid.

g. $\forall x(\exists yRxy \leftrightarrow \sim A) \not\models \exists xRxx \vee A$

For an interpretation I , set $U = \{1, 2\}$, $I[A] = F$, $I[R] = \{\langle 1, 2 \rangle, \langle 2, 1 \rangle\}$.

$$\frac{I_d[\forall x(\exists yRxy \leftrightarrow \sim A)]^{(S)}}{\forall x} \left[\begin{array}{l} I_{d(x|1)}[\exists yRxy \leftrightarrow \sim A]^{(S)} \leftrightarrow \left[\begin{array}{l} I_{d(x|1)}[\exists yRxy]^{(S)} \exists y \left[\begin{array}{l} I_{d(x|1,y|1)}[Rxy]^{(N)} : \text{--- } x^{[1]} \\ \vdots \\ I_{d(x|1,y|2)}[Rxy]^{(S)} : \text{--- } x^{[1]} \\ \vdots \\ I_{d(x|1)}[\sim A]^{(S)} \sim I_{d(x|1)}[A]^{(N)} \end{array} \right] \\ I_{d(x|2)}[\exists yRxy \leftrightarrow \sim A]^{(S)} \leftrightarrow \left[\begin{array}{l} I_{d(x|2)}[\exists yRxy]^{(S)} \exists y \left[\begin{array}{l} I_{d(x|2,y|1)}[Rxy]^{(S)} : \text{--- } x^{[2]} \\ \vdots \\ I_{d(x|2,y|2)}[Rxy]^{(N)} : \text{--- } x^{[2]} \\ \vdots \\ I_{d(x|2)}[\sim A]^{(S)} \sim I_{d(x|2)}[A]^{(N)} \end{array} \right] \end{array} \right] \end{array} \right]$$



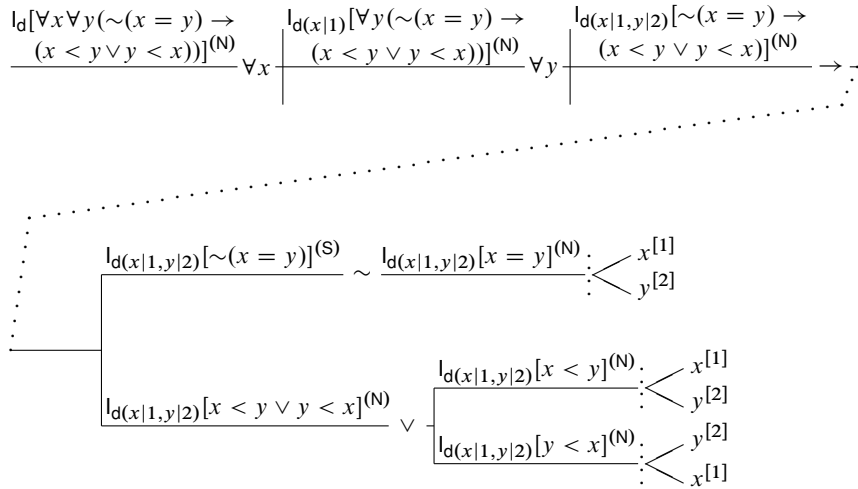
Since the premise is satisfied and a sentence, it is true; since the conclusion is not satisfied, it is not true. Since I makes the premise T and the conclusion not, the argument is not quantificationally valid.

E4.18. Produce an interpretation to demonstrate each of the following (now in \mathcal{L}_{NT}).

Use trees to demonstrate your results. Be sure to explain why your interpretations and trees have the results they do.

d. $\not\models \forall x \forall y [\sim(x = y) \rightarrow (x < y \vee y < x)]$

For an interpretation I , set $U = \{1, 2\}$, and $I[<] = \{\}$. The interpretation of $=$ is given.



The quantifiers generate additional branches. However, this part of the tree is sufficient to show that the entire formula is not satisfied. Since it is not satisfied, it is not true. And since I makes the formula not true, it is not quantificationally valid.

Chapter Five

E5.1. For each of the following, identify the simple sentences that are parts. If the sentence is compound, use underlines to exhibit its operator structure, and say what is its main operator.

- h. Hermione believes that studying is good, and Hermione studies hard, but Ron believes studying is good, and it is not the case that Ron studies hard.

Simple sentences:

Studying is good

Hermione studies hard

Ron studies hard

Hermione believes that studying is good and Hermione studies hard but Ron believes studying is good and it is not the case that Ron studies hard.

main operator: ____ but ____

E5.2. Which of the following operators are truth-functional and which are not? If the operator is truth-functional, display the relevant table; if it is not, give a case to show that it is not. Clearly explain your response.

- a. It is a fact that ____ *truth functional*

It is a fact that ____

T	T
F	F

In any situation, the compound takes the same value as the sentence in the blank. So the operator is truth-functional.

- c. ____ but ____ *truth functional*

____ but ____

T	T	T
T	F	F
F	F	T
F	F	F

In any situation this operator takes the same value as ____ and _____. Though 'but' may carry a conversational sense of opposition not present with 'and' the *truth value* of the compound works the same. Thus, where Bob loves Sue even 'Bob loves Sue but Bob loves Sue' might elicit the response "True, but why did you say that?"

- f. It is always the case that _____ *not truth functional*

It may be that any false sentence in the blank results in a false compound. However, consider something true in the blank: perhaps ‘I am at my desk’ and ‘Life is hard’ are both true. But

It is always the case that I am at my desk

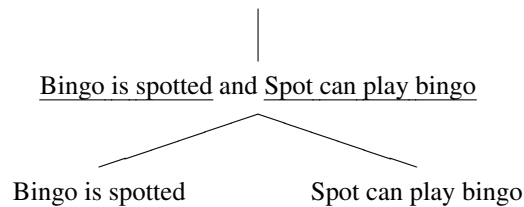
It is always the case that life is hard

are such that the first is false, but the second remains true. For perhaps I sometimes get up from my desk (so that the first is false), but the difficult character of living goes on and on (and on). Thus there are situations where truth values of sentences in the blanks are the same, but the truth values of resultant compounds are different. So the operator is not truth-functional.

- E5.3. Use our method to expose truth functional structure and produce parse trees for each of the following. Use your trees to produce an interpretation function for the sentences.

- d. It is not the case that: Bingo is spotted and Spot can play bingo.

It is not the case that Bingo is spotted and Spot can play bingo



From this sentence, Π includes,

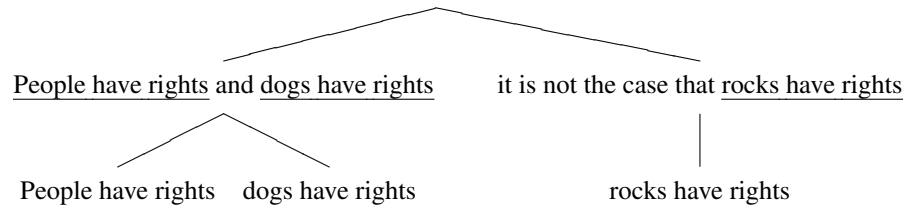
B : Bingo is spotted

S : Spot can play bingo

- E5.4. Use our method to expose truth functional structure and produce parse trees for each of the following. Use your trees to produce an interpretation function for the sentences.

- a. People have rights and dogs have rights, but rocks do not.

People have rights and dogs have rights but it is not the case that rocks have rights



From this sentence, Π includes,

P : People have rights

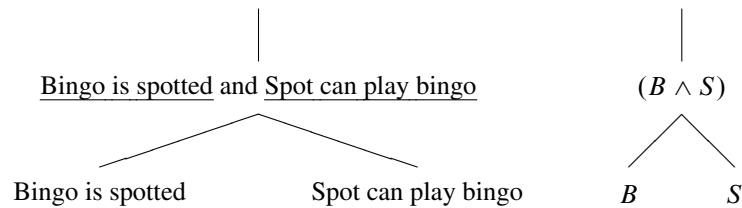
D : Dogs have rights

R : Rocks have rights

- E5.5. Construct parallel trees to complete the translation of the sentences from E5.3 and E5.4.

- d. It is not the case that: Bingo is spotted and Spot can play bingo.

It is not the case that Bingo is spotted and Spot can play bingo $\sim(B \wedge S)$



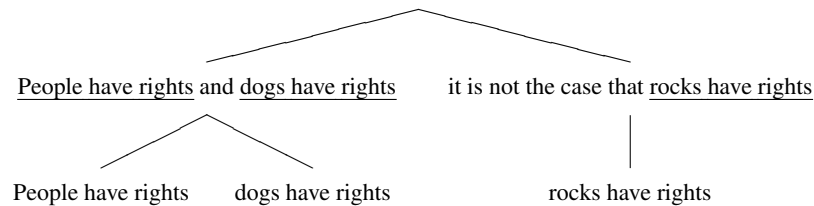
Where Π includes,

B : Bingo is spotted

S : Spot can play bingo

- a. People have rights and dogs have rights, but rocks do not.

People have rights and dogs have rights but it is not the case that rocks have rights



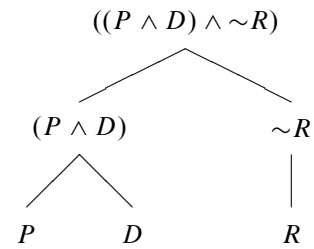
Exercise 5.5.4a

Where Π includes,

P : People have rights

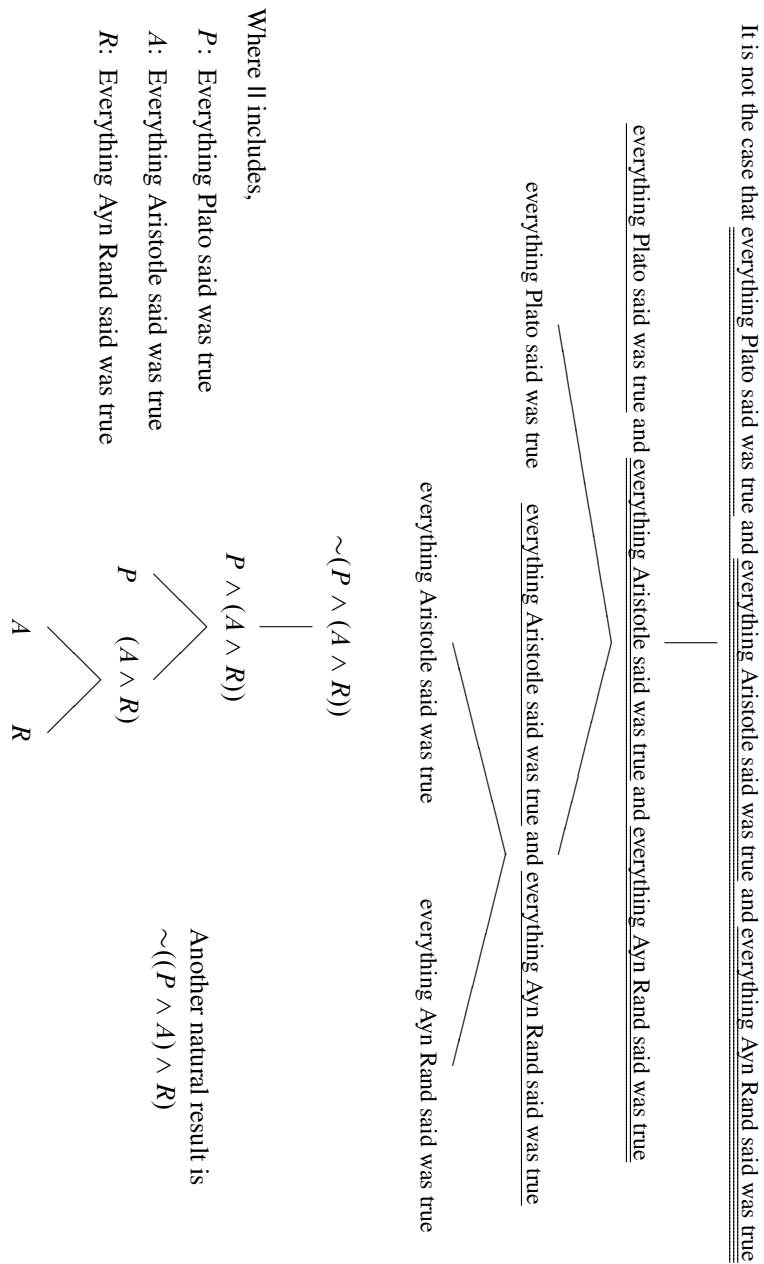
D : Dogs have rights

R : Rocks have rights



- E5.6. Use our method to translate each of the following. That is, generate parse trees with an interpretation function for all the sentences, and then parallel trees to produce formal equivalents.

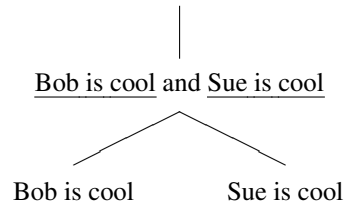
- c. It is not the case that: everything Plato, and Aristotle, and Ayn Rand said was true.



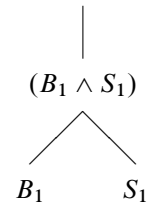
E5.8. Using the given interpretation function, produce parse trees and then parallel ones to complete the translation for each of the following.

h. Not both Bob and Sue are cool.

It is not the case that Bob is cool and Sue is cool

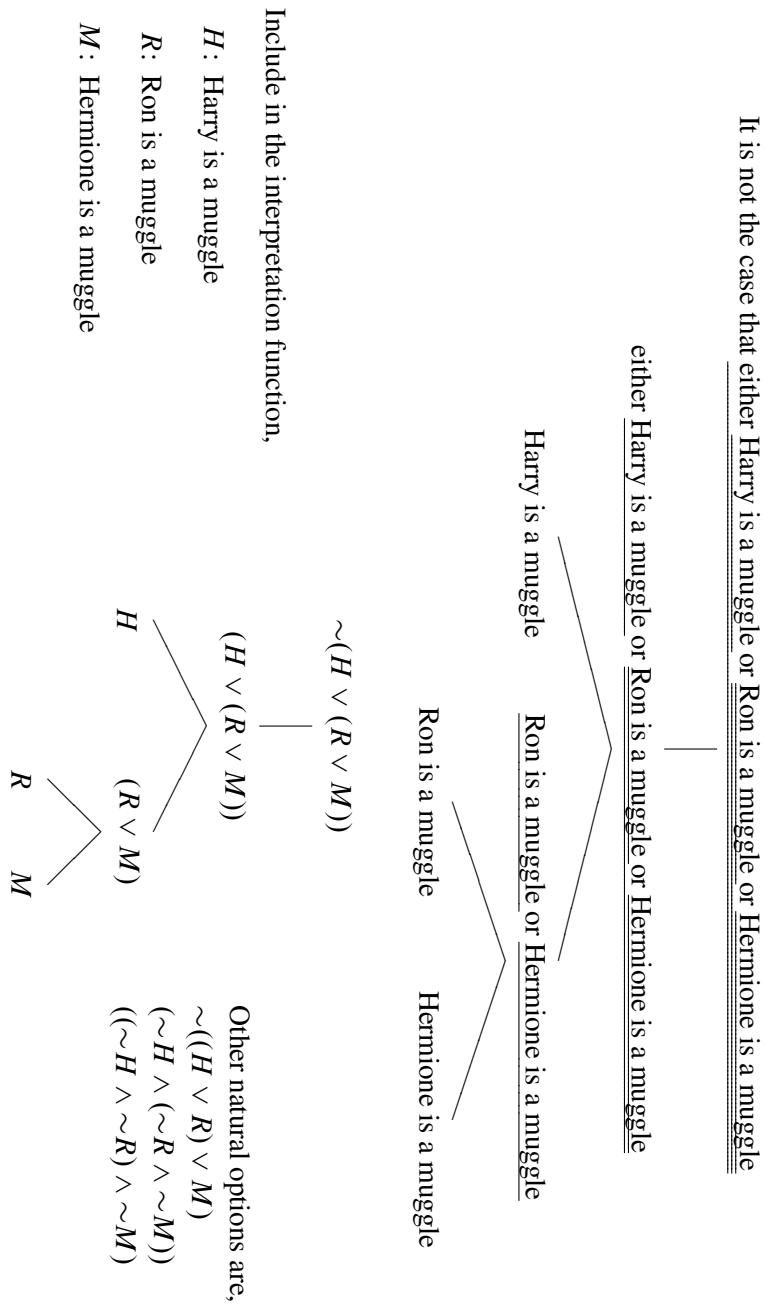


$\sim(B_1 \wedge S_1)$



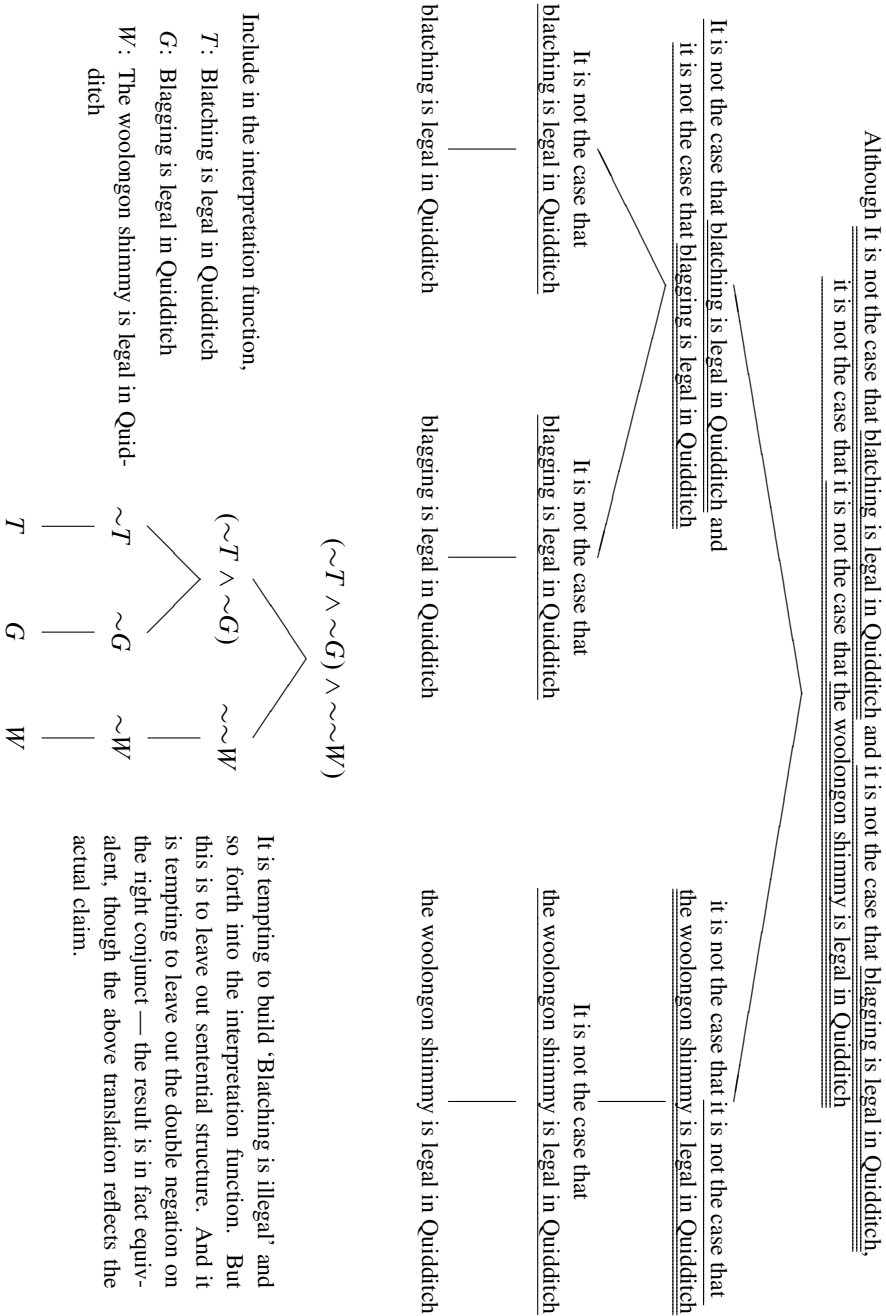
E5.9. Use our method to translate each of the following. That is, generate parse trees with an interpretation function for all the sentences, and then parallel trees to produce formal equivalents.

- d. Neither Harry, nor Ron, nor Hermione are Muggles.



Exercise 5.9.d

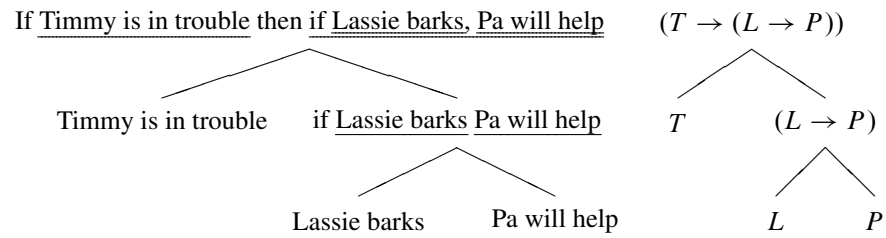
g. Although blatching and blagging are illegal in Quidditch, the woolongong shimmy is not.



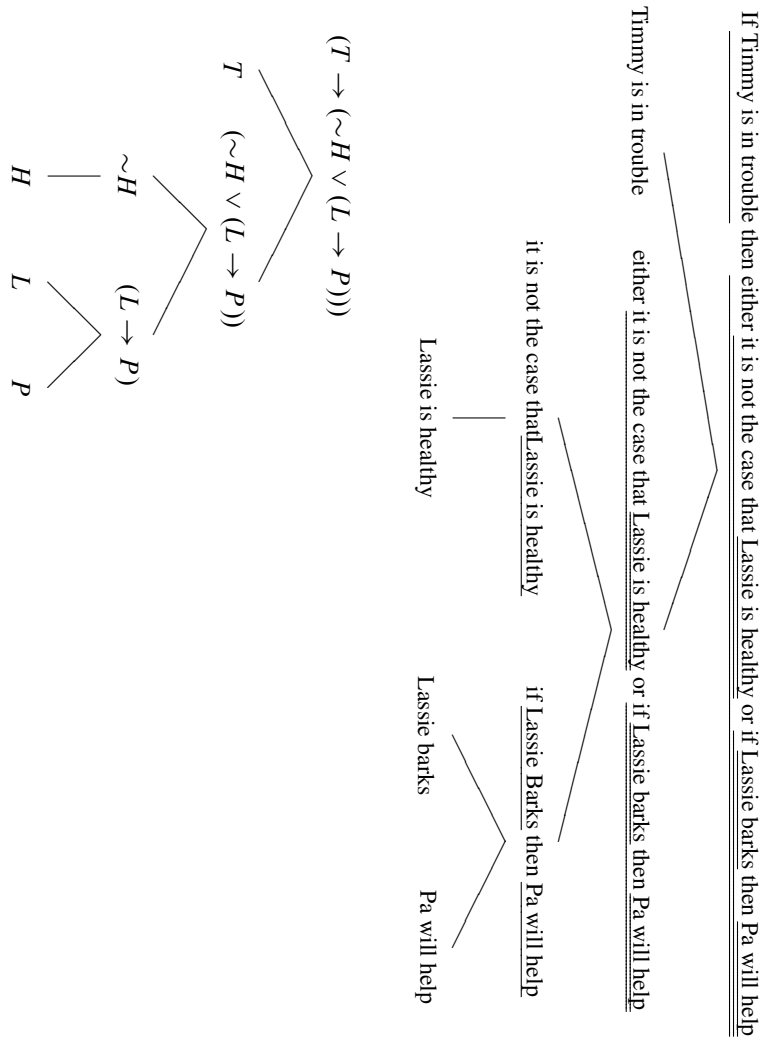
Exercise 5.9.g

E5.10. Using the given interpretation function, produce parse trees and then parallel ones to complete the translation for each of the following.

- e. If Timmy is in trouble, then if Lassie barks Pa will help.



- i. If Timmy is in trouble, then either Lassie is not healthy or if Lassie barks then Pa will help.



E5.11. Use our method, with or without parse trees, to produce a translation, including interpretation function for the following.

- g. If you think animals do not feel pain, then vegetarianism is not right.

Include in the interpretation function,

V : Vegetarianism is right

Exercise 5.11.g

N : You think it is not the case that animals feel pain

$$(N \rightarrow \sim V)$$

- i. Vegetarianism is right only if both animals feel pain, and animals have intrinsic value just in case they feel pain; but it is not the case that animals have intrinsic value just in case they feel pain.

Include in the interpretation function,

V : Vegetarianism is right

P : Animals feel pain

I : Animals have intrinsic value

$$[V \rightarrow (P \wedge (I \leftrightarrow P))] \wedge (\sim I \leftrightarrow P)$$

E5.12. For each of the following arguments: (i) Produce an adequate translation, including interpretation function and translations for the premises and conclusion. Then (ii) use truth tables to determine whether the argument is sententially valid.

- a. Our car will not run unless it has gasoline

Our car has gasoline

Our car will run

Include in the interpretation function:

R : Our car will run

G : Our car has gasoline

Formal sentences:

$$\sim R \vee G$$

G

R

Truth table:

G	R	$\sim R \vee G$	G	R
T	T	F	T	T
T	F	T	T	F
F	T	F	F	T
F	F	T	F	F

Not sententially valid

Exercise 5.12.a

E5.17. Using the given interpretation function for \mathcal{L}_q , complete the translation for each of the following.

- e. If Harold gets a higher grade than Ninfa, then he gets a higher grade than her homework partner.

If Harold gets a higher grade than Ninfa then Harold gets a higher grade than Ninfa's homework partner.

$$(Hda \rightarrow Hdp^1a)$$

- g. If someone gets a good grade, then Ninfa's homework partner does.

If someone gets a good grade then Ninfa's homework partner gets a good grade.

$$\exists xGx \rightarrow Gp^1a$$

- i. Nobody gets a grade higher than their own grade.

$$\forall x \sim Hxx \quad // \quad \sim \exists x Hxx$$

E5.18. Produce an adequate quantificational translation for each of the following. In this case you should provide an interpretation function for the sentences. Let U be the set of famous philosophers, and, assuming that each has a unique successor, implement a *successor* function.

- d. If Plato is good, then his successor and successor's successor are good.

If Plato is good, then Plato's successor is good and Plato's successor's successor is good.

Where the interpretation function includes,

a : Plato

s^1 : $\{\langle m, n \rangle \mid m, n \in U \text{ and } n \text{ is the successor of } m\}$

G^1 : $\{o \mid o \in U \text{ and } o \text{ is a good philosopher}\}$

$$Ga \rightarrow (Gs^1a \wedge Gs^1s^1a)$$

- i. If some philosopher is better than Plato, then Aristotle is.

If some philosopher is better than Plato then Aristotle is better than Plato

Where the interpretation function includes,

a : Plato

b : Aristotle

B^2 : $\{\langle m, n \rangle \mid m, n \in U \text{ and } m \text{ is a better philosopher than } n\}$

$\exists x Bxa \rightarrow Bba$

E5.20. Using the given interpretation function, complete the translation for each of the following.

b. Some Ford is an unreliable piece of junk.

$\exists x[Fx \wedge (\sim Rx \wedge Jx)]$

g. Any Ford built in the eighties is a piece of junk.

$\forall x[(Fx \wedge Ex) \rightarrow Jx]$

k. If a car is unreliable, then it is a piece of junk.

$\forall x(\sim Rx \rightarrow Jx)$

E5.21. Using the given interpretation function, complete the translation for each of the following.

b. Someone is married to Bob.

$\exists x Mxb$

h. Anyone who loves and is loved by their spouse is happy, though some are not employed.

$\forall x[(Lxs^1x \wedge Ls^1xx) \rightarrow Hx] \wedge \exists x[(Lxs^1x \wedge Ls^1x) \wedge \sim Ex]$

l. Anyone married to Bob is happy if Bob is not having an affair.

$\sim Ab \rightarrow \forall x(Mxb \rightarrow Hx) \quad // \quad \forall x[Mxb \rightarrow (\sim Ab \rightarrow Hx)]$

E5.25. Using the given interpretation function, complete the translation for each of the following.

g. Any man is shaved by someone.

$\forall x(Mx \rightarrow \exists y Syx)$

j. Any man who shaves everyone is a barber.

$\forall x[(Mx \wedge \forall y Sxy) \rightarrow Bx]$

Exercise 5.25.j

- n. A barber shaves only people who do not shave themselves.

$$\forall x[Bx \rightarrow \forall y(Sxy \rightarrow \sim Syx)]$$

E5.26. Using the given extended version of \mathcal{L}_{NT} and standard interpretation, complete the translation for each of the following.

- a. One plus one equals two.

$$(S\emptyset + S\emptyset) = SS\emptyset$$

- g. Any odd (non-even) number is equal to the successor of some even number.

$$\forall x[\sim Ex \rightarrow \exists y(Ey \wedge (x = Sy))]$$

- m. The sum of one odd with another odd is even.

$$\forall x \forall y[(\sim Ex \wedge \sim Ey) \rightarrow E(x + y)]$$

E5.28. Using the given the following interpretation function, complete the translation for each of the following.

- c. There are at least three snakes in the grass.

$$\exists x \exists y \exists z([(Gx \wedge Gy) \wedge Gz] \wedge [(\sim(x = y) \wedge \sim(x = z)) \wedge \sim(y = z)])$$

- k. The snake in the grass is deadly.

$$\exists x[(Gx \wedge \forall y(Gy \rightarrow x = y)) \wedge Dx]$$

- m. Aaalp is bigger than any other snake in the grass.

$$\forall x[(Gx \wedge \sim(x = a)) \rightarrow Bax] \wedge Ga$$

E5.29. Given \mathcal{L}_{NT} and the standard interpretation, complete the translation for each of the following.

- e. If a number a is less than a number b , then b is not less than a .

$$\forall x \forall y[(x < y) \rightarrow \sim(y < x)]$$

- h. Four is even.

$$\exists x[(SS\emptyset \cdot x) = SSSS\emptyset]$$

- j. Any odd number is the sum of an odd and an even.

$$\forall x[\exists w[((SS\emptyset \cdot w) + S\emptyset) = x] \rightarrow$$

$$\exists y \exists z((\exists w[((SS\emptyset \cdot w) + S\emptyset) = y] \wedge \exists w[(SS\emptyset \cdot w) = z]) \wedge x = (y + z))]$$

Exercise 5.29.j

n. Three is prime.

$$\sim \exists x([\sim(x = S\emptyset) \wedge \sim(x = SSS\emptyset)] \wedge \exists y(x \cdot y = SSS\emptyset))$$

E5.30. For each of the following arguments: (i) Produce an adequate translation, including interpretation function and translations for the premises and conclusion. Then (ii) for each argument that is not quantificationally valid, produce an interpretation (trees optional) to show that the argument is not quantificationally valid.

c. Bob is taller than every other man

Only Bob taller than every other man

U: {o | o is a man}

b: Bob

T^2 : {⟨m, n⟩ | m, n ∈ U and m is taller than n}

$$\forall x[\sim(x = b) \rightarrow Tbx]$$

$$\forall x[\forall y(\sim(x = y) \rightarrow Txy) \rightarrow (x = b)]$$

This argument is quantificationally invalid. To see this, consider an (non-intended) interpretation with,

$$U = \{1, 2\}$$

$$I[b] = 1$$

$$I[T] = \{\langle 1, 2 \rangle, \langle 2, 1 \rangle\}$$

This makes the premise true, but the conclusion not. To see this, you may want to consider trees. So the argument is not quantificationally valid.

Chapter Six

E6.1. Show that each of the following is valid in N1. Complete (a) - (d) using just rules R1, R3 and R4. You will need an application of R2 for (e).

a. $(A \wedge B) \wedge C \vdash_{N1} A$

1.	$(A \wedge B) \wedge C$	P
2.	$A \wedge B$	1 R3
3.	A	2 R3 Win!

Exercise 6.1.a

E6.2. (i) For each of the arguments in E6.1, use a truth table to decide if the argument is sententially valid.

a. $(A \wedge B) \wedge C \vdash_{N1} A$

A	B	C	$(A \wedge B) \wedge C$	$/ A$
T	T	T	T	T
T	T	F	T	F
T	F	T	F	F
T	F	F	F	F
F	T	T	F	F
F	T	F	F	F
F	F	T	F	F
F	F	F	F	F

There is no row where the premise is true and the conclusion is false; so this argument is *sententially valid*.

E6.3. Consider a derivation with structure as in the main problem. For each of the lines (3), (6), (7) and (8) which lines are accessible? which subderivations (if any) are accessible?

	accessible lines	accessible subderivations
line 6	(1), (4), (5)	2-3

E6.4. Suppose in a derivation with structure as in E6.3 we have obtained a formula \mathcal{A} on line (3). (i) On what lines would we be allowed to conclude \mathcal{A} by 3 R? Suppose there is a formula \mathcal{B} on line (4). (ii) On what lines would be allowed to conclude \mathcal{B} by 4 R?

(i) There are no lines on which we could conclude \mathcal{A} by 3 R.

E6.6. The following are not legitimate *ND* derivations. In each case, explain why.

a.
$$\begin{array}{lcl} 1. & (A \wedge B) \wedge (C \rightarrow B) & P \\ 2. & A & 1 \wedge E \end{array}$$

This does not apply the rule to the main operator. From (1) by $\wedge E$ we can get $A \wedge B$ or $C \rightarrow B$. From the first A would follow by a *second* application of the rule.

E6.7. Provide derivations to show each of the following.

Exercise 6.7

b. $A \wedge B, B \rightarrow C \vdash_{ND} C$

1.	$A \wedge B$	P
2.	$B \rightarrow C$	P
3.	B	1 \wedge E
4.	C	2,3 \rightarrow E

e. $A \rightarrow (A \rightarrow B) \vdash_{ND} A \rightarrow B$

1.	$A \rightarrow (A \rightarrow B)$	P
2.	A	A (g, \rightarrow I)
3.	$A \rightarrow B$	1,2 \rightarrow E
4.	B	3,2 \rightarrow E
5.	$A \rightarrow B$	2-4 \rightarrow I

h. $A \rightarrow B, B \rightarrow C \vdash_{ND} (A \wedge K) \rightarrow C$

1.	$A \rightarrow B$	P
2.	$B \rightarrow C$	P
3.	$A \wedge K$	A (g, \rightarrow I)
4.	A	3 \wedge E
5.	B	1,4 \rightarrow E
6.	C	2,5 \rightarrow E
7.	$(A \wedge K) \rightarrow C$	3-6 \rightarrow I

1. $A \rightarrow B \vdash_{ND} (C \rightarrow A) \rightarrow (C \rightarrow B)$

1.	$A \rightarrow B$	P
2.	$C \rightarrow A$	A (g, \rightarrow I)
3.	C	A (g, \rightarrow I)
4.	A	2,3 \rightarrow E
5.	B	1,4 \rightarrow E
6.	$C \rightarrow B$	3-5 \rightarrow I
7.	$(C \rightarrow A) \rightarrow (C \rightarrow B)$	2-6 \rightarrow I

E6.9. The following are not legitimate ND derivations. In each case, explain why.

c.	1.	W	P
	2.	R	A (c, \sim I)
	3.	$\sim W$	A (c, \sim I)
	4.	\perp	1,3 \perp I
	5.	$\sim R$	2-4 \sim I

Exercise 6.9.c

There is no contradiction against the scope line for assumption R . So we are not justified in exiting the subderivation that begins on (2). The contradiction *does* justify exiting the subderivation that begins on (3) with the conclusion W by 3-4 \sim E. But this would still be under the scope of assumption R , and does not get us anywhere, as we already had W at line (1)!

E6.10. Produce derivations to show each of the following.

c. $\sim A \rightarrow B, \sim B \vdash_{ND} A$

1.	$\sim A \rightarrow B$	P
2.	$\sim B$	P
3.	$\sim A$	A (c, \sim E)
4.	B	1,3 \rightarrow E
5.	\perp	4,2 \perp I
6.	A	3-5 \sim E

g. $A \vee (A \wedge B) \vdash_{ND} A$

1.	$A \vee (A \wedge B)$	P
2.	A	A (g, 1 \vee E)
3.	A	2 R
4.	$A \wedge B$	A (g, 1 \vee E)
5.	A	4 \wedge E
6.	A	1,2-3,4-5 \vee E

1. $A \rightarrow \sim B \vdash_{ND} B \rightarrow \sim A$

1.	$A \rightarrow \sim B$	P
2.	B	A (g, \rightarrow I)
3.	A	A (c, \sim I)
4.	$\sim B$	1,3 \rightarrow E
5.	\perp	2,4 \perp I
6.	$\sim A$	3-5 \sim I
7.	$B \rightarrow \sim A$	2-6 \rightarrow I

E6.12. Each of the following are not legitimate ND derivations. In each case, explain why.

- c.
- | | | |
|----|-----------------------|-----------------------|
| 1. | $A \leftrightarrow B$ | P |
| 2. | A | 1 \leftrightarrow E |

\leftrightarrow E takes as inputs a biconditional *and* one side or the other. We cannot get A from (1) unless we already have B .

E6.13. Produce derivations to show each of the following.

- a. $(A \wedge B) \leftrightarrow A \vdash_{ND} A \rightarrow B$

- | | | |
|----|----------------------------------|-------------------------|
| 1. | $(A \wedge B) \leftrightarrow A$ | P |
| 2. | A | A (g, \rightarrow I) |
| 3. | $A \wedge B$ | 1,2 \leftrightarrow E |
| 4. | B | 3 \wedge E |
| 5. | $A \rightarrow B$ | 2-4 \rightarrow I |

- e. $A \leftrightarrow (B \wedge C), B \vdash_{ND} A \leftrightarrow C$

- | | | |
|----|----------------------------------|-----------------------------|
| 1. | $A \leftrightarrow (B \wedge C)$ | P |
| 2. | B | P |
| 3. | A | A (g, \leftrightarrow I) |
| 4. | $B \wedge C$ | 1,3 \leftrightarrow E |
| 5. | C | 4 \wedge E |
| 6. | C | A (g, \leftrightarrow I) |
| 7. | $B \wedge C$ | 2,6 \wedge I |
| 8. | A | 1,7 \leftrightarrow E |
| 9. | $A \leftrightarrow C$ | 3-5,6-8 \leftrightarrow I |

k. $\vdash_{ND} \sim\sim A \leftrightarrow A$

1.	$\sim\sim A$	$A (g, \leftrightarrow I)$
2.	$\sim A$	$A (c, \sim E)$
3.	$\sim\sim A$	1 R
4.	\perp	2,3 $\perp I$
5.	A	2-4 $\sim E$
6.	A	$A (g, \leftrightarrow I)$
7.	$\sim A$	$A (g, \sim I)$
8.	A	6 R
9.	\perp	8,7 $\perp I$
10.	$\sim\sim A$	7-9 $\sim I$
11.	$\sim\sim A \leftrightarrow A$	1-5,6-10 $\leftrightarrow I$

E6.14. For each of the following, (i) which primary strategy applies? and (ii) what is the next step? If the strategy calls for a new subgoal, show the subgoal; if it calls for a subderivation, set up the subderivation. In each case, *explain* your response.

c.	1.	$\sim A \leftrightarrow B$	P
		$B \leftrightarrow \sim A$	

(i) There is no contradiction in accessible lines so **SG1** does not apply. There is no disjunction in accessible lines so **SG2** does not apply. The goal does not appear in the premises so **SG3** does not apply. (ii) Given this, we apply **SG4** and go for the goal by $\leftrightarrow I$. For this goal $\leftrightarrow I$ requires a pair of subderivations which set up as follows.

1.	$\sim A \leftrightarrow B$	P
2.	B	$A (g, \leftrightarrow I)$
	$\sim A$	
	$\sim A$	$A (g, \leftrightarrow I)$
	B	
	$B \leftrightarrow \sim A$	$_, _ \leftrightarrow I$

E6.15. Produce derivations to show each of the following. No worked out answers are provided. However, if you get stuck, you will find strategy hints in the back.

a. $A \leftrightarrow (A \rightarrow B) \vdash_{ND} A \rightarrow B$

Hint: There is no contradiction or disjunction; and the goal is not in the premises. So set up to get the primary goal by $\rightarrow I$ in application of SG4.

b. $(A \vee B) \rightarrow (B \leftrightarrow D), B \vdash_{ND} B \wedge D$

Hint: There is no contradiction or disjunction; and the goal is not in the premises. So plan to get the primary goal by $\wedge I$ in application of SG4. Then it is a matter of SG3 to get the parts.

c. $\sim(A \wedge C), \sim(A \wedge C) \leftrightarrow B \vdash_{ND} A \vee B$

Hint: There is no contradiction or disjunction; and the goal is not in the premises. So plan to get the primary goal by (one form of) $\vee I$ in application of SG4.

d. $A \wedge (C \wedge \sim B), (A \vee D) \rightarrow \sim E \vdash_{ND} \sim E$

Hint: There is no contradiction or disjunction; but the goal exists in the premises. So proceed by application of SG3.

e. $A \rightarrow B, B \rightarrow C \vdash_{ND} A \rightarrow C$

Hint: There is no contradiction or disjunction; and the goal is not in the premises. So set up to get the primary goal by $\rightarrow I$ in application of SG4.

f. $(A \wedge B) \rightarrow (C \wedge D) \vdash_{ND} [(A \wedge B) \rightarrow C] \wedge [(A \wedge B) \rightarrow D]$

Hint: There is no contradiction or disjunction; and the goal is not in the premises. So set up to get the primary goal by $\wedge I$ in application of SG4. Then apply SG4 and $\rightarrow I$ again for your new subgoals.

g. $A \rightarrow (B \rightarrow C), (A \wedge D) \rightarrow E, C \rightarrow D \vdash_{ND} (A \wedge B) \rightarrow E$

Hint: There is no contradiction or disjunction; and the goal is not in the premises. So set up to get the primary goal by $\rightarrow I$ in application of SG4. Then it is a matter of SG3.

h. $(A \rightarrow B) \wedge (B \rightarrow C), [(D \vee E) \vee H] \rightarrow A, \sim(D \vee E) \wedge H \vdash_{ND} C$

Hint: There is no contradiction or disjunction; but the goal is in the premises. So proceed by application of SG3.

i. $A \rightarrow (B \wedge C), \sim C \vdash_{ND} \sim(A \wedge D)$

Hint: There is no contradiction or disjunction; and the goal is not in the premises. So set up to get the primary goal by $\sim I$ in application of SG4.

j. $A \rightarrow (B \rightarrow C), D \rightarrow B \vdash_{ND} A \rightarrow (D \rightarrow C)$

Hint: There is no contradiction or disjunction; and the goal is not in the premises. So set up to get the primary goal by $\rightarrow I$ in application of SG4. Similar reasoning applies to the secondary goal.

k. $A \rightarrow (B \rightarrow C) \vdash_{ND} \sim C \rightarrow \sim(A \wedge B)$

Hint: There is no contradiction or disjunction; and the goal is not in the premises. So set up to get the primary goal by $\rightarrow I$ in application of SG4. You can also apply SG4 to the secondary goal.

l. $(A \wedge \sim B) \rightarrow \sim A \vdash_{ND} A \rightarrow B$

Hint: There is no simple contradiction or disjunction; and the goal is not in the premises. So set up to get the primary goal by $\rightarrow I$ in application of SG4. This time the secondary goal has no operator, and so falls all the way through to SG5.

m. $\sim B \leftrightarrow A, C \rightarrow B, A \wedge C \vdash_{ND} \sim K$

Hint: There is no contradiction or disjunction; and the goal is not in the premises. So set up to get the primary goal by $\sim I$ in application of SG4. This works because the premises are themselves inconsistent.

n. $\sim A \vdash_{ND} A \rightarrow B$

Hint: After you set up for the main goal, look for an application of SG1.

o. $\sim A \leftrightarrow \sim B \vdash_{ND} A \leftrightarrow B$

Hint: After you set up for the main goal, look for applications of SG5.

p. $(A \vee B) \vee C, B \leftrightarrow C \vdash_{ND} C \vee A$

Hint: This is not hard, if you recognize each of the places where SG2 applies.

q. $\vdash_{ND} A \rightarrow (A \vee B)$

Hint: Do not panic. Without premises, there is definitely no contradiction or disjunction; and the goal is not in accessible lines! So set up to get the primary goal by $\rightarrow I$ in application of SG4.

r. $\vdash_{ND} A \rightarrow (B \rightarrow A)$

Hint: Apply **SG4** to get the goal, and again for the subgoal.

s. $\vdash_{ND} (A \leftrightarrow B) \rightarrow (A \rightarrow B)$

Hint: This requires multiple applications of **SG4**.

t. $\vdash_{ND} (A \wedge \sim A) \rightarrow (B \wedge \sim B)$

Hint: Once you set up for the main goal, look for an application of **SG1**.

u. $\vdash_{ND} (A \rightarrow B) \rightarrow [(C \rightarrow A) \rightarrow (C \rightarrow B)]$

Hint: This requires multiple applications of **SG4**.

v. $\vdash_{ND} [(A \rightarrow B) \wedge \sim B] \rightarrow \sim A$

Hint: Apply **SG4** to get the main goal, and again to get the subgoal.

w. $\vdash_{ND} A \rightarrow [B \rightarrow (A \rightarrow B)]$

Hint: This requires multiple applications of **SG4**.

x. $\vdash_{ND} \sim A \rightarrow [(B \wedge A) \rightarrow C]$

Hint: After a couple applications of **SG4**, you will have occasion to make use of **SG1** — or equivalently, **SG5**.

y. $\vdash_{ND} (A \rightarrow B) \rightarrow [\sim B \rightarrow \sim(A \wedge D)]$

Hint: This requires multiple applications of **SG4**.

E6.16. Produce derivations to demonstrate each of **T6.1** - **T6.19**.

T6.3. $\vdash_{ND} (\sim \mathcal{Q} \rightarrow \sim \mathcal{P}) \rightarrow ((\sim \mathcal{Q} \rightarrow \mathcal{P}) \rightarrow \mathcal{Q})$

1.	$\sim \mathcal{Q} \rightarrow \sim \mathcal{P}$	A (g, \rightarrow I)
2.	$\sim \mathcal{Q} \rightarrow \mathcal{P}$	A (g, \rightarrow I)
3.	$\sim \mathcal{Q}$	A (c, \sim E)
4.	\mathcal{P}	2,3 \rightarrow E
5.	$\sim \mathcal{P}$	1,3 \rightarrow E
6.	\perp	4,5 \perp I
7.	\mathcal{Q}	3-6 \sim E
8.	$(\sim \mathcal{Q} \rightarrow \mathcal{P}) \rightarrow \mathcal{Q}$	2-7 \rightarrow I
9.	$(\sim \mathcal{Q} \rightarrow \sim \mathcal{P}) \rightarrow ((\sim \mathcal{Q} \rightarrow \mathcal{P}) \rightarrow \mathcal{Q})$	1-8 \rightarrow I

Exercise 6.16 T6.3

T6.12. $\vdash_{ND} (\mathcal{A} \vee \mathcal{B}) \leftrightarrow (\mathcal{B} \vee \mathcal{A})$

1.	$\mathcal{A} \vee \mathcal{B}$	$\mathcal{A} (g, \leftrightarrow I)$
2.	\mathcal{A}	$\mathcal{A} (g, 1 \vee E)$
3.	$\mathcal{B} \vee \mathcal{A}$	$2 \vee I$
4.	\mathcal{B}	$\mathcal{A} (g, 1 \vee E)$
5.	$\mathcal{B} \vee \mathcal{A}$	$4 \vee I$
6.	$\mathcal{B} \vee \mathcal{A}$	$1,2-3,4-5 \vee E$
7.	$\mathcal{B} \vee \mathcal{A}$	$\mathcal{A} (g, \leftrightarrow I)$
8.	\mathcal{B}	$\mathcal{A} (g, 7 \vee E)$
9.	$\mathcal{A} \vee \mathcal{B}$	$8 \vee I$
10.	\mathcal{A}	$\mathcal{A} (g, 7 \vee E)$
11.	$\mathcal{A} \vee \mathcal{B}$	$10 \vee I$
12.	$\mathcal{A} \vee \mathcal{B}$	$7,8-9,10-11 \vee E$
13.	$(\mathcal{A} \vee \mathcal{B}) \leftrightarrow (\mathcal{B} \vee \mathcal{A})$	$1-6,7-12 \leftrightarrow I$

E6.17. Each of the following begins with a simple application of $\sim I$ or $\sim E$ for **sg4** or **sg5**. Complete the derivations, and *explain* your use of secondary strategy.

a.	1.	$A \wedge B$	P	1.	$A \wedge B$	P
	2.	$\sim(A \wedge C)$	P	2.	$\sim(A \wedge C)$	P
	3.	C	$A(c, \sim I)$	3.	C	$A(c, \sim I)$
		\perp		4.	A	$1 \wedge E$
		$\sim C$		5.	$A \wedge C$	$4,3 \wedge I$
				6.	\perp	$5,2 \perp I$
			7.	$\sim C$	$3-6 \sim I$	

There is no contradiction by atomics and negated atomics. And there is no disjunction in the scope of the assumption for $\sim I$. So we fall through to **sc3**. For this set the opposite of (2) as goal, and use primary strategies for it. The derivation of $A \wedge C$ is easy.

E6.18. Produce derivations to show each of the following. No worked out answers are provided. However, if you get stuck, you will find strategy hints in the back.

a. $A \rightarrow \sim(B \wedge C), B \rightarrow C \vdash_{ND} A \rightarrow \sim B$

Apply primary strategies for $\rightarrow I$ and $\sim I$. Then there will be occasion for a simple application of **sc3**.

Exercise 6.18.a

b. $\vdash_{ND} \sim(A \rightarrow A) \rightarrow A$

Apply primary strategies for \rightarrow I and \sim E. Then there will be occasion for a simple application of SC3.

c. $A \vee B \vdash_{ND} \sim(\sim A \wedge \sim B)$

This requires no more than SC1, if you follow the primary strategies properly. From the start, apply SG2 to go for the whole goal $\sim(\sim A \wedge \sim B)$ by VE.

d. $\sim(A \wedge B), \sim(A \wedge \sim B) \vdash_{ND} \sim A$

You will go for the main goal by \sim I in an instance of SG4. Then it is easiest to see this as a case where you use the premises for separate instances of SC3. It is, however, also possible to see the derivation along the lines of SC4.

e. $\vdash_{ND} A \vee \sim A$

For your primary strategy, fall all the way through to SG5. Then you will be able to see the derivation either along the lines of SC3 or 4, building up to the opposite of $\sim(A \vee \sim A)$ twice.

f. $\vdash_{ND} A \vee (A \rightarrow B)$

Your primary strategy falls through to SG5. Then $\sim A$ is sufficient to prove $A \rightarrow B$, and this turns into a pure version of the pattern (AQ) for formulas with main operator \vee .

g. $A \vee \sim B, \sim A \vee \sim B \vdash_{ND} \sim B$

For this you will want to apply SG2 to one of the premises (it does not matter which) for the goal. This gives you a pair of subderivations. One is easy. In the other, SG2 applies again!

h. $A \leftrightarrow (\sim B \vee C), B \rightarrow C \vdash_{ND} A$

The goal is in the premises, so your primary strategy is SG3. The real challenge is getting $\sim B \vee C$. For this you will fall through to SG5, and assume its negation. Then the derivation can be conceived either along the lines of SC3 or SC4, and on the standard pattern for disjunctions.

i. $A \leftrightarrow B \vdash_{ND} (C \leftrightarrow A) \leftrightarrow (C \leftrightarrow B)$

Applying SG4, set up for the primary goal by \leftrightarrow I. You will then need \leftrightarrow I for the subgoals as well.

j. $A \leftrightarrow \sim(B \leftrightarrow \sim C), \sim(A \vee B) \vdash_{ND} C$

Fall through to **SG5** for the primary goal. Then you can think of the derivation along the lines of **SC3** or **SC4**. The derivation of $A \vee B$ works on the standard pattern, insofar as with the assumption $\sim C$, $\sim A$ gets you B .

k. $[C \vee (A \vee B)] \wedge (C \rightarrow E), A \rightarrow D, D \rightarrow \sim A \vdash_{ND} C \vee B$

Though officially there is no formula with main operator \vee , a minor reshuffle exposes $C \vee (A \vee B)$ on an accessible line. Then the derivation is naturally driven by applications of **SG2**.

l. $\sim(A \rightarrow B), \sim(B \rightarrow C) \vdash_{ND} \sim D$

Go for the main goal by **\sim I** in application of **SG4**. Then it is most natural to see the derivation as involving two separate applications of **SC3**. It is also possible to set the derivation up along the lines of **SC4**, though this leads to a rather different result.

m. $C \rightarrow \sim A, \sim(B \wedge C) \vdash_{ND} (A \vee B) \rightarrow \sim C$

Go for the primary goal by **\rightarrow I** in application of **SG4**. Then you will need to apply **SG2** to reach the subgoal.

n. $\sim(A \leftrightarrow B) \vdash_{ND} \sim A \leftrightarrow B$

Go for the primary goal by **\leftrightarrow I** in application of **SG4**. You can go for one subgoal by **\sim E**, the other by **\sim I**. Then fall through to **SC3** for the contradictions, where this will involve you in further instances of **\leftrightarrow I**. The derivation is long, but should be straightforward if you follow the strategies.

o. $A \leftrightarrow B, B \leftrightarrow \sim C \vdash_{ND} \sim(A \leftrightarrow C)$

Go for the primary goal by **\sim I** in application of **SG4**. Then the contradiction comes by application of **SC4**.

p. $A \vee B, \sim B \vee C, \sim C \vdash_{ND} A$

This will set up as a couple instances of **\vee E**. If you begin with $A \vee B$, one subderivation is easy. In the second, be on the lookout for a couple instances of **SG1**.

q. $(\sim A \vee C) \vee D, D \rightarrow \sim B \vdash_{ND} (A \wedge B) \rightarrow C$

Officially, the primary strategy should be **\vee E** in application of **SG2**. However, in this case it will not hurt to begin with **\rightarrow I**, and set up **\vee E** inside the subderivation for that.

r. $A \vee D, \sim D \leftrightarrow (E \vee C), (C \wedge B) \vee [C \wedge (F \rightarrow C)] \vdash_{ND} A$

The two disjunctions require applications of **SG2**. In fact, there are ways to simplify this from the mechanical version entirely driven by the strategy.

s. $(A \vee B) \vee (C \wedge D), (A \leftrightarrow E) \wedge (B \rightarrow F), G \leftrightarrow \sim(E \vee F), C \rightarrow B \vdash_{ND} \sim G$

This derivation is driven by **VE** in application of **SG2** and then **SC3**. Again, there are ways to make the derivation relatively more elegant.

t. $(A \vee B) \wedge \sim C, \sim C \rightarrow (D \wedge \sim A), B \rightarrow (A \vee E) \vdash_{ND} E \vee F$

Since there is no F in the premises, it makes sense to think the conclusion is true because E is true. So it is safe to set up to get the conclusion from E by **VI**. After some simplification, the overall strategy is revealed to be **VE** based on $A \vee B$, in application of **SG2**. One subderivation has another formula with main operator \vee , and so another instance of **VE**.

E6.19. Produce derivations to demonstrate each of T6.20 - T6.27.

T6.20. $\vdash_{ND} \sim(\mathcal{A} \wedge \mathcal{B}) \leftrightarrow (\sim\mathcal{A} \vee \sim\mathcal{B})$

1.	$\sim(\mathcal{A} \wedge \mathcal{B})$	A (g, \leftrightarrow I)
2.	$\sim(\sim\mathcal{A} \vee \sim\mathcal{B})$	A (c, \sim E)
3.	$\sim\mathcal{A}$	A (c, \sim E)
4.	$\sim\mathcal{A} \vee \sim\mathcal{B}$	3 \vee I
5.	\perp	4,2 \perp I
6.	\mathcal{A}	3-5 \sim E
7.	$\sim\mathcal{B}$	A (c, \sim E)
8.	$\sim\mathcal{A} \vee \sim\mathcal{B}$	7 \vee I
9.	\perp	8,2 \perp I
10.	\mathcal{B}	7-9 \sim E
11.	$\mathcal{A} \wedge \mathcal{B}$	6,10 \wedge I
12.	\perp	11,1 \perp I
13.	$\sim\mathcal{A} \vee \sim\mathcal{B}$	2-12 \sim E
14.	$\sim\mathcal{A} \vee \sim\mathcal{B}$	A (g, \leftrightarrow I)
15.	$\sim\mathcal{A}$	A (g, 14 \vee E)
16.	$\mathcal{A} \wedge \mathcal{B}$	A (c, \sim I)
17.	\mathcal{A}	16 \wedge E
18.	\perp	17,15 \perp I
19.	$\sim(\mathcal{A} \wedge \mathcal{B})$	16-18 \sim I
20.	$\sim\mathcal{B}$	A (g, 14 \vee E)
21.	$\mathcal{A} \wedge \mathcal{B}$	A (c, \sim I)
22.	\mathcal{B}	21 \wedge E
23.	\perp	22,20 \perp I
24.	$\sim(\mathcal{A} \wedge \mathcal{B})$	21-23 \sim I
25.	$\sim(\mathcal{A} \wedge \mathcal{B})$	14,15-19,20-24 \vee E
26.	$\sim(\mathcal{A} \wedge \mathcal{B}) \leftrightarrow (\sim\mathcal{A} \vee \sim\mathcal{B})$	1-13,14-25 \leftrightarrow I

E6.23. Complete the following derivations by filling in justifications for each line. Then for each application of \forall E or \exists I, show that the “free for” constraint is met.

b.	1.	Gaa	P
	2.	$\exists yGay$	1 \exists I
	3.	$\exists x\exists yGxy$	2 \exists I

Exercise 6.23.b

For (2), a is free for y in Gxy (as a constant must be). And again, for (3), a is free for x in $\exists yGxy$ (as a constant must be). So the restriction is met in each case.

E6.24. The following are not legitimate *ND* derivations. In each case, explain why.

- b.

1.	$\forall x\exists yGxy$	P
2.	$\exists yGyy$	1 $\forall E$

 y is not free for x in $\exists yGxy$. So the constraint is not met: We cannot instantiate to a term whose variables are bound in the result!

E6.25. Provide derivations to show each of the following.

- b. $\forall x\forall yFxy \vdash_{ND} Fab \wedge Fba$

1.	$\forall x\forall yFxy$	P
2.	$\forall yFay$	1 $\forall E$
3.	Fab	2 $\forall E$
4.	$\forall yFby$	1 $\forall E$
5.	Fba	4 $\forall E$
6.	$Fab \wedge Fba$	3,5 $\wedge I$

- g. $Gaf^1z \vdash_{ND} \exists x\exists yGxy$

1.	Gaf^1z	P
2.	$\exists yGay$	1 $\exists I$
3.	$\exists x\exists yGxy$	2 $\exists I$

- k. $\forall x(Fx \rightarrow Gx), \exists yGy \rightarrow Ka \vdash_{ND} Fa \rightarrow \exists xKx$

1.	$\forall x(Fx \rightarrow Gx)$	P
2.	$\exists yGy \rightarrow Ka$	P
3.	Fa	A (g, $\rightarrow I$)
4.	$Fa \rightarrow Ga$	1 $\forall E$
5.	Ga	4,3 $\rightarrow E$
6.	$\exists yGy$	5 $\exists I$
7.	Ka	2,6 $\rightarrow E$
8.	$\exists xKx$	7 $\exists I$
9.	$Fa \rightarrow \exists xKx$	3-8 $\rightarrow I$

E6.26. Complete the following derivations by filling in justifications for each line. Then for each application of $\forall I$ or $\exists E$ show that the constraints are met by running through each of the three requirements.

b.	1.	$\forall y(Fy \rightarrow Gy)$	P
	2.	$\exists zFz$	P
	3.	Fj	A (g, 2 \exists E)
	4.	$Fj \rightarrow Gj$	1 \forall E
	5.	Gj	3,4 \rightarrow E
	6.	$\exists xGx$	5 \exists I
	7.	$\exists xGx$	2,3-6 \exists E

For \exists E at (7): (i) j is free for z in Fz ; (ii) j is not free in any undischarged auxiliary assumption; (iii) j is not free in $\exists zFz$ or in $\exists xGx$. So the restrictions are met.

E6.27. The following are not legitimate ND derivations. In each case, explain why.

a.	1.	$Gjy \rightarrow Fjy$	P
	2.	$\forall z(Gzy \rightarrow Fjy)$	1 \forall I

j is free in $\forall z(Gzy \rightarrow Fjy)$; so constraint (iii) on \forall I is not met. The restriction requires that each instance of the variable be replaced!

E6.28. Provide derivations to show each of the following.

c. $\forall x \sim Kx, \forall x(\sim Kx \rightarrow \sim Sx) \vdash_{ND} \forall x(Hx \vee \sim Sx)$

1.	$\forall x \sim Kx$	P
2.	$\forall x \sim Kx \rightarrow \sim Sx$	P
3.	$\sim Kj$	1 \forall E
4.	$\sim Kj \rightarrow \sim Sj$	2 \forall E
5.	$\sim Sj$	4,3 \rightarrow E
6.	$Hj \vee \sim Sj$	5 \vee I
7.	$\forall x(Hx \vee \sim Sx)$	6 \forall I

f. $\exists yByyy \vdash_{ND} \exists x\exists y\exists zBxyz$

1.	$\exists yByyy$	P
2.	$Bjjj$	A (g, 1 \exists E)
3.	$\exists zBjjz$	2 \exists I
4.	$\exists y\exists zBjyz$	3 \exists I
5.	$\exists x\exists y\exists zBxyz$	4 \exists I
6.	$\exists x\exists y\exists zBxyz$	1,2-5 \exists E

k. $\forall x \forall y (Fx \rightarrow Gy) \vdash_{ND} \forall x (Fx \rightarrow \forall y Gy)$

1.	$\forall x \forall y (Fx \rightarrow Gy)$	P
2.	Fj	A (g, \rightarrow I)
3.	$\forall y (Fj \rightarrow Gy)$	1 \forall E
4.	$Fj \rightarrow Gk$	3 \forall E
5.	Gk	4,2 \rightarrow E
6.	$\forall y Gy$	5 \forall I
7.	$Fj \rightarrow \forall y Gy$	2-6 \rightarrow I
8.	$\forall x (Fx \rightarrow \forall y Gy)$	7 \forall I

E6.29. For each of the following, (i) which primary strategies apply? and (ii) show the next two steps. If the strategies call for a new subgoal, show the subgoal; if they call for a subderivation, set up the subderivation. In each case, *explain* your response.

a.	1.	$\exists x \exists y (Fxy \wedge Gyx)$	P
		$\exists x \exists y Fyx$	

There is no contradiction in accessible lines, so **SG1** does not apply. Since the premise has main operator, \exists , **SG2** does apply; so we set up for **\exists E**. The result leaves another accessible formula with main operator \exists . So we set up for **\exists E** again. The result is as follows.

1.	$\exists x \exists y (Fxy \wedge Gyx)$	P
2.	$\exists y (Fjy \wedge Gyj)$	A (g, 1 \exists E)
3.	$Fjk \wedge Gkj$	A (g, 2 \exists E)
	$\exists x \exists y Fyx$	
	$\exists x \exists y Fyx$	2,3- \exists E
	$\exists x \exists y Fyx$	1,2- \exists E

E6.30. Each of the following sets up an application of \sim I or \sim E for **SG4** or **SG5**. Complete the derivations, and *explain* your use of secondary strategy.

a.	1.	$\neg \exists x(Fx \wedge Gx)$	P
	2.	Fj	A (g, \rightarrow I)
	3.	Gj	A (c, \sim I)
		\perp	
		$\sim Gj$	3- $\neg \sim$ I
		$Fj \rightarrow \sim Gj$	2- \rightarrow I
		$\forall x(Fx \rightarrow \sim Gx)$	$\neg \forall$ I

There are no atomics and negated atomics to be had, other than the ones on (2) and (3), so **SC1** does not apply. There is no existential or disjunction in the subderivation for \sim I, so **SC2** does not apply. But it is easy to build up to the opposite of $\neg \exists x(Fx \wedge Gx)$ on (1) in application of **SC3**. The result is as follows.

1.	$\neg \exists x(Fx \wedge Gx)$	P
2.	Fj	A (g, \rightarrow I)
3.	Gj	A (c, \sim I)
4.	$Fj \wedge Gj$	2,3 \wedge I
5.	$\exists x(Fx \wedge Gx)$	4 \exists I
6.	\perp	5,1 \bot I
7.	$\sim Gj$	3-6 \sim I
8.	$Fj \rightarrow \sim Gj$	2-7 \rightarrow I
9.	$\forall x(Fx \rightarrow \sim Gx)$	8 \forall I

E6.31. Produce derivations to show each of the following. Though no full answers are provided, strategy hints are available for the first problems.

- a. $\forall x(\sim Bx \rightarrow \sim Wx), \exists x Wx \vdash_{ND} \exists x Bx$

With an existential in the premises, you can go for the primary goal by \exists E, in application of **SG2**. Then set up for \exists I.

- b. $\forall x \forall y \forall z Gxyz \vdash_{ND} \forall x \forall y \forall z (Hxyz \rightarrow Gzyx)$

Think repeatedly from the bottom up in terms of **SG4**. This sets you up for three applications of \forall I and one of \rightarrow I. Then the derivation is easy by \forall E in application of **SG3**.

- c. $\forall x[Ax \rightarrow \forall y(\sim Dxy \leftrightarrow Bf^1 f^1 y)], \forall x(Ax \wedge \sim Bx) \vdash_{ND} \forall x Df^1 x f^1 x$

After setting up to go for the goal by $\forall I$ in application of **SG4**, it will be natural to fall through to **SG5**, and go for a contradiction. For this, you can aim for conflict at the level of atomics and negated atomics, in application of **SC1**. Do not forget that you can use a premise more than once. And do not forget that you can instantiate a universal quantifier to complex terms of the sort $f^1 j$ or even $f^1 f^1 f^1 j$.

- d. $\forall x(Hx \rightarrow \forall yRxyb), \forall x\forall z(Razx \rightarrow Sxzz) \vdash_{ND} Ha \rightarrow \exists xSxcc$

The primary goal has main operator \rightarrow , so set up to get it by $\rightarrow I$, in application of **SG4**. This gives $\exists xSxcc$ as a subgoal which, again in another application of **SG4** you can set out to get from $Sxcc$ for some term t . The key is then to chose terms so that in application of **SG3**, you can exploit the premises for such an expression.

- e. $\sim\forall x(Fx \wedge Abx) \leftrightarrow \sim\forall xKx, \forall y[\exists x\sim(Fx \wedge Abx) \wedge Ryy] \vdash_{ND} \sim\forall xKx$

Though it is tempting to go for the goal in the usual way by $\sim I$, notice that it exists whole in the premises; so you should go for it by the higher priority strategy **SG3**. This gives you $\sim\forall x(Fx \wedge Abx)$ as a subgoal. Also notice that a little bookkeeping ($\forall E$ with $\wedge E$) exposes an existential in the second premise. The argument will be smoothest if you expose the existential, and go for the goal by **SG2**.

- f. $\exists x(Jxa \wedge Cb), \exists x(Sx \wedge Hxx), \forall x[(Cb \wedge Sx) \rightarrow \sim Ax] \vdash_{ND} \exists z(\sim Az \wedge Hzz)$

With two existential premises, set up to get the goal by two applications of $\exists E$, in application of **SG2** (order does not matter, though you will need to use different variables). Then you can think about getting the existential goal by $\exists I$ in application of **SG4**.

- g. $\forall x\forall y(Dxy \rightarrow Cxy), \forall x\exists yDxy, \forall x\forall y(Cyx \rightarrow Dxy) \vdash_{ND} \exists x\exists y(Cxy \wedge Cyx)$

The second premise is one instance of $\forall E$ away from an existential, and it makes sense to take this step, and go for the goal by $\exists E$, in application of **SG2**. Then you will want to go for the goal by $\exists I$, by a couple of applications of **SG4**. This gets you into a case of exploiting the premises by **SG3**. Do not forget that you can use a premise more than once.

- h. $\forall x\forall y[(Ry \vee Dx) \rightarrow \sim Ky], \forall x\exists y(Ax \rightarrow \sim Ky), \exists x(Ax \vee Rx) \vdash_{ND} \exists x\sim Kx$

With an existential premise, go for the goal by $\exists E$, in application of **SG2**. Then in another application of **SG2**, you can go for the goal by $\vee E$. The second premise will be helpful in one of the subderivations, and the first in the other.

- i. $\forall y(My \rightarrow Ay), \exists x \exists y[(Bx \wedge Mx) \wedge (Ry \wedge Syx)], \exists x Ax \rightarrow \forall y \forall z(Syz \rightarrow Ay) \vdash_{ND} \exists x(Rx \wedge Ax)$

Given the existentially quantified premise, set up to reach the primary goal by $\exists E$ with a couple applications of **SG2**. Then you can go for the goal by $\exists I$ in application of **SG4**. You then have an extended project of exploiting the premises to reach your subgoal, in application of **SG3**.

- j. $\forall x \forall y[(Hby \wedge Hxb) \rightarrow Hxy], \forall z(Bz \rightarrow Hbz), \exists x(Bx \wedge Hxb) \vdash_{ND} \exists z[Bz \wedge \forall y(By \rightarrow Hzy)]$

You can go for the primary goal by $\exists E$, in application of **SG2**. Then set up for subgoals by a series of applications of **SG4** (for $\exists I$, $\wedge I$, $\forall I$ and $\rightarrow I$). Then the derivation reduces to exploiting the premises for the subgoal in application of **SG3**.

- k. $\forall x((Fx \wedge \sim Kx) \rightarrow \exists y[(Fy \wedge Hyx) \wedge \sim Ky]), \forall x[(Fx \wedge \forall y[(Fy \wedge Hyx) \rightarrow Ky]) \rightarrow Kx] \rightarrow Ma \vdash_{ND} Ma$

The goal exists as such in the premises; so it is natural to set up to get it, in application of **SG3**, by $\rightarrow E$. This results in $\forall x[(Fx \wedge \forall y[(Fy \wedge Hyx) \rightarrow Ky]) \rightarrow Kx]$ as a subgoal. Do not chicken out, this is the real problem! You can set up for this by a couple applications of **SG4**. In the end, you end up with an atomic subgoal, and may fall through for this to **SG5**; in this case, **SC2** helps for the contradiction.

- l. $\forall x \forall y[(Gx \wedge Gy) \rightarrow (Hxy \rightarrow Hyx)], \forall x \forall y \forall z([(Gx \wedge Gy) \wedge Gz] \rightarrow [(Hxy \wedge Hyz) \rightarrow Hxz]) \vdash_{ND} \forall w([Gw \wedge \exists z(Gz \wedge Hwz)] \rightarrow Hww)$

You can go for the primary goal by $\forall I$, and then the subgoal by $\rightarrow I$, in straightforward applications of **SG4**. This gives you an accessible existential as a conjunct of the assumption for $\rightarrow I$, and after $\wedge E$, you can go for the goal by $\exists E$, in application of **SG2**. then it is a matter of exploiting the premises in application of **SG3**. Notice that you can instantiate x and z in the second premise to the *same* variable.

- m. $\forall x \forall y[(Ax \wedge By) \rightarrow Cxy], \exists y[Ey \wedge \forall w(Hw \rightarrow Cyw)], \forall x \forall y \forall z[(Cxy \wedge Cyz) \rightarrow Cxz], \forall w(Ew \rightarrow Bw) \vdash_{ND} \forall z \forall w[(Az \wedge Hw) \rightarrow Czw]$

With an existential in the premises, you can go for the goal by $\exists E$, in application of **SG2**. Then you can apply **SG4** for a couple applications of $\forall I$ and one of $\rightarrow I$. After that, it is a matter of exploiting the premises for the goal.

$$n. \forall x \exists y \forall z (Axyz \vee Bzyx), \sim \exists x \exists y \exists z Bzyx \vdash_{ND} \forall x \exists y \forall z Axyz$$

It is reasonable to think about reaching goals by **SG4** and, after applications of $\forall E$, using $\exists E$ and then $\vee E$ in application of **SG2**. The trick is to set things up so that you do not “screen off” variables for universal introduction with the assumptions.

$$o. A \rightarrow \exists x Fx \vdash_{ND} \exists x (A \rightarrow Fx)$$

It is reasonable to try for the goal by $\exists I$, but this is a dead end, and we fall through to **SG5**. You can get the contradiction by building up to the opposite of your assumption in application of **SC3**. Then you will be able to use the premise and, and then in an application of $\exists E$, build up to the opposite of the assumption again! Other options apply the **SC3/SC4** model, with either the consequent or the negation of the antecedent (either of which give you the conditional and so a first contradiction) as starting assumption.

$$p. \forall x Fx \rightarrow A \vdash_{ND} \exists x (Fx \rightarrow A)$$

It is reasonable to try for the goal by $\exists I$, but this is a dead end, and we fall through to **SG5**. You can get the contradiction by building up to the opposite of your assumption in application of **SC3**. This will let you use the premise and, in order to obtain the antecedent of the premise, build up to the opposite of the assumption again! Other options apply the **SC3/SC4** model, with either the consequent or the negation of the antecedent (either of which give you the conditional and so a first contradiction) as starting assumption.

E6.32. Produce derivations to demonstrate each of **T6.28** - **T6.31**, explaining for each application how quantifier restrictions are met.

T6.29. $\mathcal{P} \rightarrow \mathcal{Q} \vdash_{ND} \mathcal{P} \rightarrow \forall x \mathcal{Q}$ where variable x is not free in formula \mathcal{P}

1.	$\mathcal{P} \rightarrow \mathcal{Q}$	P
2.	\mathcal{P}	A (g, $\rightarrow I$)
3.	\mathcal{Q}	1,2 $\rightarrow E$
4.	$\forall x \mathcal{Q}$	3 $\forall I$
5.	$\mathcal{P} \rightarrow \forall x \mathcal{Q}$	2-4 $\rightarrow I$

On $\forall I$ at (4): (i) x is sure to be free for every free instance of itself in \mathcal{Q} ; so the first condition is satisfied. (ii) It is given that x is not free in \mathcal{P} , so that it cannot be free in the auxiliary assumption at (2); so the second condition is satisfied. (iii) x is automatically bound in $\forall x\mathcal{Q}$; so the third condition is satisfied.

E6.33. Produce derivations to show T6.32 - T6.37.

T6.33. $\vdash_{ND} (x_i = y) \rightarrow (\hbar^n x_1 \dots x_i \dots x_n = \hbar^n x_1 \dots y \dots x_n)$

1.	$x_i = y$	A (g, $\rightarrow I$)
2.	$\hbar^n x_1 \dots x_i \dots x_n = \hbar^n x_1 \dots x_i \dots x_n$	=I
3.	$\hbar^n x_1 \dots x_i \dots x_n = \hbar^n x_1 \dots y \dots x_n$	2,1 =E
4.	$(x_i = y) \rightarrow (\hbar^n x_1 \dots x_i \dots x_n = \hbar^n x_1 \dots y \dots x_n)$	1-3 $\rightarrow I$

E6.34. Produce derivations to show each of the following.

a. $\vdash_{ND} \forall x \exists y (x = y)$

1.	$j = j$	=I
2.	$\exists y (j = y)$	2 $\exists I$
3.	$\forall x \exists y (x = y)$	2 $\forall I$

E6.35. Produce derivations to show the following.

T6.40. $\vdash_{PN} (t + \emptyset) = t$

1.	$(j + \emptyset) = j$	Q3
2.	$\forall x [(x + \emptyset) = x]$	1 $\forall I$
3.	$(t + \emptyset) = t$	2 $\forall E$

a. $\vdash_{PN} (SS\emptyset + S\emptyset) = SSS\emptyset$

1.	$(SS\emptyset + S\emptyset) = S(SS\emptyset + \emptyset)$	T6.41
2.	$(SS\emptyset + \emptyset) = SS\emptyset$	T6.40
3.	$(SS\emptyset + S\emptyset) = SSS\emptyset$	1,2 =E

f. $\vdash_{QN} \sim \exists x (x + SS\emptyset = S\emptyset)$

1.	$(j + SS\emptyset) = S(j + S\emptyset)$	T6.41
2.	$(j + S\emptyset) = S(j + \emptyset)$	T6.41
3.	$[S(j + S\emptyset) = S\emptyset] \rightarrow (j + S\emptyset = \emptyset)$	T6.39
4.	$\sim[S(j + \emptyset) = \emptyset]$	T6.38
5.	$\exists x(x + SS\emptyset = S\emptyset)$	A (g, \sim I)
6.	$j + SS\emptyset = S\emptyset$	A (c, \exists E)
7.	$S(j + S\emptyset) = S\emptyset$	6,1 =E
8.	$j + S\emptyset = \emptyset$	3,8 \rightarrow E
9.	$S(j + \emptyset) = \emptyset$	9,2 =E
10.	\perp	9,4 \perp I
11.	\perp	5,6-10 \exists E
12.	$\sim\exists x(x + SS\emptyset) = S\emptyset)$	5-11 \sim I

E6.36. Produce derivations to show T6.54 - T6.66.

T6.54. $\vdash_{PN} [(r + s) + \emptyset] = [r + (s + \emptyset)]$

1.	$[(r + s) + \emptyset] = [r + s]$	T6.40
2.	$(s + \emptyset) = s$	T6.40
3.	$[(r + s) + \emptyset] = [r + (s + \emptyset)]$	1,2 =E

T6.55. $\vdash_{PN} [(r + s) + t] = [r + (s + t)]$

1.	$[(r + s) + \emptyset] = [r + (s + \emptyset)]$	T6.54
2.	$[(r + s) + Sj] = S[(r + s) + j]$	T6.41
3.	$[r + S(s + j)] = S[r + (s + j)]$	T6.41
4.	$(s + Sj) = S(s + j)$	T6.41
5.	$[(r + s) + j] = [r + (s + j)]$	A (g, \rightarrow I)
6.	$[(r + s) + Sj] = S[r + (s + j)]$	2,5 =E
7.	$[(r + s) + Sj] = [r + S(s + j)]$	6,3 =E
8.	$[(r + s) + Sj] = [r + (s + Sj)]$	7,4 =E
9.	$(([(r + s) + j] = [r + (s + j)]) \rightarrow (([r + (s + Sj)] = [r + (s + Sj)]))$	5-8 \rightarrow I
10.	$\forall x([(r + s) + x] = [r + (s + x)]) \rightarrow (([r + (s + Sj)] = [r + (s + Sj)]))$	9 \forall I
11.	$\forall x([(r + s) + x] = [r + (s + x)])$	1,10 IN
12.	$[(r + s) + t] = [r + (s + t)]$	11 \forall E

E6.38. Produce derivations to show each of the following.

f. $\forall x \forall y \exists z A f^1 x y z, \forall x \forall y \forall z [A x y z \rightarrow \sim(C x y z \vee B z y x)]$
 $\vdash_{ND+} \exists x \exists y \sim \forall z B z g^1 y f^1 g^1 x$

Exercise 6.38.f

1.	$\forall x \forall y \exists z A f^1 x y z$	P
2.	$\forall x \forall y \forall z [A x y z \rightarrow \sim (C x y z \vee B z y x)]$	P
3.	$\forall y \exists z A f^1 g^1 j y z$	1 $\forall E$
4.	$\exists z A f^1 g^1 j g^1 k z$	3 $\forall E$
5.	$A f^1 g^1 j g^1 k l$	A (g, 4 $\exists E$)
6.	$\forall y \forall z [A f^1 g^1 j y z \rightarrow \sim (C f^1 g^1 j y z \vee B z y f^1 g^1 j)]$	2 $\forall E$
7.	$\forall z [A f^1 g^1 j g^1 k z \rightarrow \sim (C f^1 g^1 j g^1 k z \vee B z g^1 k f^1 g^1 j)]$	6 $\forall E$
8.	$A f^1 g^1 j g^1 k l \rightarrow \sim (C f^1 g^1 j g^1 k l \vee B l g^1 k f^1 g^1 j)$	7 $\forall E$
9.	$\sim (C f^1 g^1 j g^1 k l \vee B l g^1 k f^1 g^1 j)$	8,5 $\rightarrow E$
10.	$\sim C f^1 g^1 j g^1 k l \wedge \sim B l g^1 k f^1 g^1 j$	9 DeM
11.	$\sim B l g^1 k f^1 g^1 j$	10 $\wedge E$
12.	$\exists z \sim B z g^1 k f^1 g^1 j$	11 $\exists I$
13.	$\sim \forall z B z g^1 k f^1 g^1 j$	12 QN
14.	$\exists y \sim \forall z B z g^1 y f^1 g^1 j$	13 $\exists I$
15.	$\exists x \exists y \sim \forall z B z g^1 y f^1 g^1 x$	14 $\exists I$
16.	$\exists x \exists y \sim \forall z B z g^1 y f^1 g^1 x$	4,5-15 $\exists E$

n. $\exists x F x \rightarrow \sim \forall y G y, \forall x (K x \rightarrow \exists y J y), \exists y \sim G y \rightarrow \exists x K x$

$\vdash_{ND+} \sim \exists x F x \vee \exists y J y$		
1.	$\exists x F x \rightarrow \sim \forall y G y$	P
2.	$\forall x (K x \rightarrow \exists y J y)$	P
3.	$\exists y \sim G y \rightarrow \exists x K x$	P
4.	$\exists x F x$	A (g, $\rightarrow I$)
5.	$\sim \forall y G y$	1,4 $\rightarrow E$
6.	$\exists y \sim G y$	5 QN
7.	$\exists x K x$	3,6 $\rightarrow E$
8.	$K j$	A (g, 7 $\exists E$)
9.	$K j \rightarrow \exists y J y$	2 $\forall E$
10.	$\exists y J y$	9,8 $\rightarrow E$
11.	$\exists y J y$	7,8-10 $\exists E$
12.	$\exists x F x \rightarrow \exists y J y$	4-11 $\rightarrow I$
13.	$\sim \exists x F x \vee \exists y J y$	12 Impl

Chapter Seven

E7.1. Suppose $I[A] = T$, $I[B] \neq T$ and $I[C] = T$. For each of the following, produce a formalized derivation, and then non-formalized reasoning to demonstrate either that it is or is not true on I .

Exercise 7.1

b. $I[\sim B \rightarrow \sim C] \neq T$

1. $I[B] \neq T$	prem	It is given that $I[B] \neq T$; so by $ST(\sim)$, $I[\sim B] = T$. But it is given that $I[C] = T$; so by $ST(\sim)$, $I[\sim C] \neq T$. So $I[\sim B] = T$ and $I[\sim C] \neq T$; so by $ST(\rightarrow)$, $I[\sim B \rightarrow \sim C] \neq T$.
2. $I[\sim B] = T$	1 $ST(\sim)$	
3. $I[C] = T$	prem	
4. $I[\sim C] \neq T$	3 $ST(\sim)$	
5. $I[\sim B] = T \Delta I[\sim C] \neq T$	2,4 conj	
6. $I[\sim B \rightarrow \sim C] \neq T$	5 $ST(\rightarrow)$	

E7.2. Produce a formalized derivation, and then informal reasoning to demonstrate each of the following.

a. $A \rightarrow B, \sim A \not\models_s \sim B$

Set $J[A] \neq T, J[B] = T$

1. $J[A] \neq T$	ins (J particular)
2. $J[\sim A] = T$	1 $ST(\sim)$
3. $J[A] \neq T \nabla J[B] = T$	1 dsj
4. $J[A \rightarrow B] = T$	3 $ST(\rightarrow)$
5. $J[B] = T$	ins
6. $J[\sim B] \neq T$	5 $ST(\sim)$
7. $J[A \rightarrow B] = T \Delta J[\sim A] = T \Delta J[\sim B] \neq T$	4,2,6 conj
8. $SI(I[A \rightarrow B] = T \Delta I[\sim A] = T \Delta I[\sim B] \neq T)$	7 exs
9. $A \rightarrow B, \sim A \not\models_s \sim B$	8 SV

$J[A] \neq T$; so by $ST(\sim)$, $J[\sim A] = T$. But since $J[A] \neq T$, $J[A] \neq T$ or $J[B] = T$; so by $ST(\rightarrow)$, $J[A \rightarrow B] = T$. And $J[B] = T$; so by $ST(\sim)$, $J[\sim B] \neq T$. So $J[A \rightarrow B] = T$, and $J[\sim A] = T$, but $J[\sim B] \neq T$; so there is an interpretation I such that $I[A \rightarrow B] = T$, and $I[\sim A] = T$, but $I[\sim B] \neq T$; so by SV , $A \rightarrow B, \sim A \not\models_s \sim B$.

b. $A \rightarrow B, \sim B \models_s \sim A$

1. $A \rightarrow B, \sim B \not\models_s \sim A$	assp
2. $SI(I[A \rightarrow B] = T \Delta I[\sim B] = T \Delta I[\sim A] \neq T)$	1 SV
3. $J[A \rightarrow B] = T \Delta J[\sim B] = T \Delta J[\sim A] \neq T$	2 exs (J particular)
4. $J[\sim B] = T$	3 conj
5. $J[B] \neq T$	4 $ST(\sim)$
6. $J[A \rightarrow B] = T$	3 conj
7. $J[A] \neq T \nabla J[B] = T$	6 $ST(\rightarrow)$
8. $J[A] \neq T$	7,5 dsj
9. $J[\sim A] \neq T$	3 conj
10. $J[A] = T$	9 $ST(\sim)$
11. \perp	8,10 bot
12. $A \rightarrow B, \sim B \models_s \sim A$	1-11 neg

Exercise 7.2.b

Suppose $A \rightarrow B$, $\sim B \not\models_s \sim A$; then by **SV** there is an I such that $I[A \rightarrow B] = T$ and $I[\sim B] = T$ and $I[\sim A] \neq T$. Let J be a particular interpretation of this sort; then $J[A \rightarrow B] = T$ and $J[\sim B] = T$ and $J[\sim A] \neq T$. Since $J[\sim B] = T$, by **ST**(\sim), $J[B] \neq T$. And since $J[A \rightarrow B] = T$, either $J[A] \neq T$ or $J[B] = T$; so $J[A] \neq T$. But since $J[\sim A] \neq T$, by **ST**(\sim), $J[A] = T$. This is impossible; reject the assumption: $A \rightarrow B$, $\sim B \models_s \sim A$.

E7.4. Complete the demonstration of derived clauses **ST'** by completing the demonstration for **dst** in the other direction (and providing demonstrations for other clauses).

1.	$[(\mathcal{A} \Delta \mathcal{B}) \nabla (\neg \mathcal{A} \Delta \neg \mathcal{B})] \Delta \neg[(\neg \mathcal{A} \nabla \mathcal{B}) \Delta (\neg \mathcal{B} \nabla \mathcal{A})]$	assp
2.	$(\mathcal{A} \Delta \mathcal{B}) \nabla (\neg \mathcal{A} \Delta \neg \mathcal{B})$	1 cnj
3.	$\neg[(\neg \mathcal{A} \nabla \mathcal{B}) \Delta (\neg \mathcal{B} \nabla \mathcal{A})]$	1 cnj
4.	$\neg(\neg \mathcal{A} \nabla \mathcal{B}) \nabla \neg(\neg \mathcal{B} \nabla \mathcal{A})$	3 dem
5.	$\neg \mathcal{A} \nabla \mathcal{B}$	assp
6.	$\neg(\neg \mathcal{B} \nabla \mathcal{A})$	4,5 dsj
7.	$\mathcal{B} \Delta \neg \mathcal{A}$	6 dem
8.	\mathcal{B}	7 cnj
9.	$\mathcal{A} \nabla \mathcal{B}$	8 dsj
10.	$\neg(\neg \mathcal{A} \Delta \neg \mathcal{B})$	9 dem
11.	$\mathcal{A} \Delta \mathcal{B}$	2,10 dsj
12.	\mathcal{A}	11 cnj
13.	$\neg \mathcal{A}$	7 cnj
14.	\perp	12,13 bot
15.	$\neg(\neg \mathcal{A} \nabla \mathcal{B})$	5-14 neg
16.	$\mathcal{A} \Delta \neg \mathcal{B}$	15 dem
17.	\mathcal{A}	16 cnj
18.	$\mathcal{A} \nabla \mathcal{B}$	17 dsj
19.	$\neg(\neg \mathcal{A} \Delta \neg \mathcal{B})$	18 dem
20.	$\mathcal{A} \Delta \mathcal{B}$	2,19 dsj
21.	\mathcal{B}	20 cnj
22.	$\neg \mathcal{B}$	16 cnj
23.	\perp	21,22 bot
24.	$[(\mathcal{A} \Delta \mathcal{B}) \nabla (\neg \mathcal{A} \Delta \neg \mathcal{B})] \Rightarrow [(\neg \mathcal{A} \nabla \mathcal{B}) \Delta (\neg \mathcal{B} \nabla \mathcal{A})]$	1-23 cnd

E7.5. Using **ST**(I) as on p. 337, produce non-formalized reasonings to show each of the following.

b. $I[\mathcal{P} \mid (\mathcal{Q} \mid \mathcal{Q})] = T$ iff $I[\mathcal{P} \rightarrow \mathcal{Q}] = T$

By **ST**(I), $I[\mathcal{P} \mid (\mathcal{Q} \mid \mathcal{Q})] = T$ iff $I[\mathcal{P}] \neq T$ or $I[\mathcal{Q} \mid \mathcal{Q}] \neq T$; by **ST**(I), iff $I[\mathcal{P}] \neq T$ or $(I[\mathcal{Q}] = T \text{ and } I[\mathcal{Q}] = T)$; iff iff $I[\mathcal{P}] \neq T$ or $I[\mathcal{Q}] = T$; by **ST**(\rightarrow), iff $I[\mathcal{P} \rightarrow \mathcal{Q}] = T$. So $I[\mathcal{P} \mid (\mathcal{Q} \mid \mathcal{Q})] = T$ iff $I[\mathcal{P} \rightarrow \mathcal{Q}] = T$.

Exercise 7.5.b

E7.6. Produce non-formalized reasoning to demonstrate each of the following.

b. $\sim(A \leftrightarrow B), \sim A, \sim B \models_s C \wedge \sim C$

Suppose $\sim(A \leftrightarrow B), \sim A, \sim B \not\models_s C \wedge \sim C$; then by **SV** there is some I such that $I[\sim(A \leftrightarrow B)] = T$, and $I[\sim A] = T$, and $I[\sim B] = T$, but $I[C \wedge \sim C] \neq T$. Let J be a particular interpretation of this sort; then $J[\sim(A \leftrightarrow B)] = T$, and $J[\sim A] = T$, and $J[\sim B] = T$, but $J[C \wedge \sim C] \neq T$. From the first, by **ST**(\sim), $J[A \leftrightarrow B] \neq T$; so by **ST'**(\leftrightarrow), $(J[A] = T \text{ and } J[B] \neq T) \text{ or } (J[A] \neq T \text{ and } J[B] = T)$. But since $J[\sim A] = T$, by **ST**(\sim), $J[A] \neq T$; so $J[A] \neq T \text{ or } J[B] = T$; so it is not the case that $J[A] = T \text{ and } J[B] \neq T$; so $J[A] \neq T \text{ and } J[B] = T$; so $J[B] = T$. But $J[\sim B] = T$; so by **ST**(\sim), $J[B] \neq T$. This is impossible; reject the assumption: $\sim(A \leftrightarrow B), \sim A, \sim B \models_s C \wedge \sim C$.

c. $\sim(\sim A \wedge \sim B) \not\models_s A \wedge B$

Set $J[A] = T$ and $J[B] \neq T$.

$J[A] = T$; so by **ST**(\sim), $J[\sim A] \neq T$; so $J[\sim A] \neq T \text{ or } J[\sim B] \neq T$; so by **ST'**(\wedge), $J[\sim A \wedge \sim B] \neq T$; so by **ST**(\sim), $J[\sim(\sim A \wedge \sim B)] = T$. But it is given that $J[B] \neq T$; so $J[A] \neq T \text{ or } J[B] \neq T$; so by **ST'**(\wedge), $J[A \wedge B] \neq T$. So $J[\sim(\sim A \wedge \sim B)] = T$ and $J[A \wedge B] \neq T$; so by **SV**, $\sim(\sim A \wedge \sim B) \not\models_s A \wedge B$.

E7.8. Consider some I_d and suppose $I_d[A] = T$, $I_d[B] \neq T$ and $I_d[C] = T$. For each of the expressions in E7.1, produce the formalized and then informal reasoning to demonstrate either that it is or is not *satisfied* on I_d .

b. $I_d[\sim B \rightarrow \sim C] \neq S$

- | | |
|--|-------------------------------|
| 1. $I_d[B] \neq T$ | ins |
| 2. $I_d[B] \neq S$ | 1 SF (s) |
| 3. $I_d[\sim B] = S$ | 2 SF (\sim) |
| 4. $I_d[C] = T$ | ins |
| 5. $I_d[C] = S$ | 4 SF (s) |
| 6. $I_d[\sim C] \neq S$ | 5 SF (\sim) |
| 7. $I_d[\sim B] = S \Delta I_d[\sim C] \neq S$ | 3,6 conj |
| 8. $I_d[\sim B \rightarrow \sim C] \neq S$ | 7 SF (\rightarrow) |

$I_d[B] \neq T$; so by **SF**(s), $I_d[B] \neq S$; so by **SF**(\sim), $I_d[\sim B] = S$. But $I_d[C] = T$; so by **SF**(s), $I_d[C] = S$; so by **SF**(\sim), $I_d[\sim C] \neq S$. So $I_d[\sim B] = S$ and $I_d[\sim C] \neq S$; so by **SF**(\rightarrow), $I_d[\sim B \rightarrow \sim C] \neq S$.

E7.9. Produce formalized derivations and non-formalized reasoning to show that each of the expressions in E7.6 that is sententially valid (a,b,f,g,h,j) is quantificationally valid.

Exercise 7.9

b. $\sim(A \leftrightarrow B), \sim A, \sim B \models C \wedge \sim C$

1.	$\sim(A \leftrightarrow B), \sim A, \sim B \not\models C \wedge \sim C$	assp
2.	$SI(I[\sim(A \leftrightarrow B)] = T \Delta I[\sim A] = T \Delta I[\sim B] = T \Delta I[C \wedge \sim C] \neq T)$	1 QV
3.	$J[\sim(A \leftrightarrow B)] = T \Delta J[\sim A] = T \Delta J[\sim B] = T \Delta J[C \wedge \sim C] \neq T$	2 exs (J particular)
4.	$J[C \wedge \sim C] \neq T$	3 cnj
5.	$Sd(J_d[C \wedge \sim C] \neq S)$	4 TI
6.	$J_h[C \wedge \sim C] \neq S$	5 exs (h particular)
7.	$J[\sim(A \leftrightarrow B)] = T$	3 cnj
8.	$Ad(J_d[\sim(A \leftrightarrow B)] = S)$	7 TI
9.	$J_h[\sim(A \leftrightarrow B)] = S$	8 unv
10.	$J_h[A \leftrightarrow B] \neq S$	9 SF(\sim)
11.	$(J_h[A] = S \Delta J_h[B] \neq S) \nabla (J_h[A] \neq S \Delta J_h[B] = S)$	10 SF'(\leftrightarrow)
12.	$J[\sim A] = T$	3 cnj
13.	$Ad(J_d[\sim A] = S)$	12 TI
14.	$J_h[\sim A] = S$	13 unv
15.	$J_h[A] \neq S$	14 SF(\sim)
16.	$J_h[A] \neq S \nabla J_h[B] = S$	15 dsj
17.	$\neg(J_h[A] = S \Delta J_h[B] \neq S)$	16 dem
18.	$J_h[A] \neq S \Delta J_h[B] = S$	11,17 dsj
19.	$J_h[B] = S$	18 cnj
20.	$J[\sim B] = T$	3 cnj
21.	$Ad(J_d[\sim B] = S)$	20 TI
22.	$J_h[\sim B] = S$	21 unv
23.	$J_h[B] \neq S$	22 SF(\sim)
24.	\perp	19,23 bot
25.	$\sim(A \leftrightarrow B), \sim A, \sim B \models C \wedge \sim C$	1-24 neg

Suppose $\sim(A \leftrightarrow B), \sim A, \sim B \not\models C \wedge \sim C$; then by QV, there is some I such that $I[\sim(A \leftrightarrow B)] = T$ and $I[\sim A] = T$ and $I[\sim B] = T$ but $I[C \wedge \sim C] \neq T$. Let J be a particular interpretation of this sort; then $J[\sim(A \leftrightarrow B)] = T$ and $J[\sim A] = T$ and $J[\sim B] = T$ but $J[C \wedge \sim C] \neq T$. From the latter, by TI, there is some d such that $J_d[C \wedge \sim C] \neq S$; let h be a particular assignment of this sort; then $J_h[C \wedge \sim C] \neq S$. Since $J[\sim(A \leftrightarrow B)] = T$, by TI, for any d , $J_d[\sim(A \leftrightarrow B)] = S$; so $J_h[\sim(A \leftrightarrow B)] = S$; so by SF(\sim), $J_h[A \leftrightarrow B] \neq S$; so by SF'(\leftrightarrow), (*) either both $J_h[A] = S$ and $J_h[B] \neq S$ or both $J_h[A] \neq S$ and $J_h[B] = S$. But since $J[\sim A] = T$, by TI, for any d , $J_d[\sim A] = S$; so $J_h[\sim A] = S$; so by SF(\sim), $J_h[A] \neq S$; so either $J_h[A] \neq S$ or $J_h[B] = S$; so it is not the case that both $J_h[A] = S$ and $J_h[B] \neq S$; so with (*) $J_h[A] \neq S$ and $J_h[B] = S$; so $J_h[B] = S$. But since $J[\sim B] = T$, by TI, for any d , $J_d[\sim B] = S$; so $J_h[\sim B] = S$; so by SF(\sim), $J_h[B] \neq S$. This is impossible; reject the assumption: $\sim(A \leftrightarrow B), \sim A, \sim B \models C \wedge \sim C$.

E7.11. Consider an I and d such that $U = \{1, 2\}$, $I[a] = 1$, $I[f^2] = \{\langle\langle 1, 1 \rangle, 2 \rangle, \langle\langle 1, 2 \rangle, 1 \rangle, \langle\langle 2, 1 \rangle, 1 \rangle, \langle\langle 2, 2 \rangle, 2 \rangle\}$, $I[g^1] = \{\langle 1, 1 \rangle, \langle 2, 1 \rangle\}$, $d[x] = 1$ and $d[y] = 2$. Produce formalized derivations and non-formalized reasoning to determine the assignment I_d for each of the following.

c. $g^1 g^1 x$

- | | |
|---------------------------------|------------------------|
| 1. $d[x] = 1$ | ins (d particular) |
| 2. $I_d[x] = 1$ | 1 TA(v) (I particular) |
| 3. $I_d[g^1 x] = I[g^1](1)$ | 2 TA(f) |
| 4. $I[g^1](1) = 1$ | ins |
| 5. $I_d[g^1 x] = 1$ | 3,4 eq |
| 6. $I_d[g^1 g^1 x] = I[g^1](1)$ | 5 TA(f) |
| 7. $I_d[g^1 g^1 x] = 1$ | 6,4 eq |

$d[x] = 1$; so by TA(v), $I_d[x] = 1$; so by TA(f), $I_d[g^1 x] = I[g^1](1)$. But $I[g^1](1) = 1$; so $I_d[g^1 x] = 1$; so by TA(f), $I_d[g^1 g^1 x] = I[g^1](1)$; so, since $I[g^1](1) = 1$, $I_d[g^1 g^1 x] = 1$.

E7.12. Augment the above interpretation for E7.11 so that $I[A^1] = \{1\}$ and $I[B^2] = \{\langle 1, 2 \rangle, \langle 2, 2 \rangle\}$. Produce formalized derivations and non-formalized reasoning to demonstrate each of the following.

b. $I[B y x] \neq T$

- | | |
|---|-----------|
| 1. $d[y] = 2$ | ins |
| 2. $I_d[y] = 2$ | 1 TA(v) |
| 3. $d[x] = 1$ | ins |
| 4. $I_d[x] = 1$ | 3 TA(v) |
| 5. $I_d[B y x] = S \Leftrightarrow \langle 2, 1 \rangle \in I[B]$ | 2,4 SF(r) |
| 6. $\langle 2, 1 \rangle \notin I[B]$ | ins |
| 7. $I_d[B y x] \neq S$ | 5,6 bcnd |
| 8. $Sh(I_h[B y x] \neq S)$ | 7 exs |
| 9. $I[B y x] \neq T$ | 8 TI |

$d[y] = 2$ and $d[x] = 1$ so by TA(v), $I_d[y] = 2$ and $I_d[x] = 1$; so by SF(r), $I_d[B y x] = S$ iff $\langle 2, 1 \rangle \in I[B]$; but $\langle 2, 1 \rangle \notin I[B]$; so $I_d[B y x] \neq S$; so there is an assignment h such that $I_h[B y x] \neq S$; so by TI, $I[B y x] \neq T$.

E7.13. Produce formalized derivations and non-formalized reasoning to demonstrate each of the following.

c. $Pa \models \exists xPx$

1.	$Pa \not\models \exists xPx$	assp
2.	$SI(I[Pa] = T \Delta I[\exists xPx] \neq T)$	1 QV
3.	$J[Pa] = T \Delta J[\exists xPx] \neq T$	2 exs (J particular)
4.	$J[\exists xPx] \neq T$	3 cnj
5.	$Sd(J_d[\exists xPx] \neq S)$	4 TI
6.	$J_h[\exists xPx] \neq S$	5 exs (h particular)
7.	$J[Pa] = T$	3 cnj
8.	$Ad(J_d[Pa] = S)$	7 TI
9.	$J_h[Pa] = S$	8 unv
10.	$J_h[a] = m$	def
11.	$J_h[Pa] = S \Leftrightarrow m \in I[P]$	10 SF(r)
12.	$m \in J[P]$	9,11 bcnd
13.	$Ad(J_{h(x o)}[Px] \neq S)$	6 SF'(\exists)
14.	$J_{h(x m)}[Px] \neq S$	13 unv
15.	$h(x m)[x] = m$	ins
16.	$J_{h(x m)}[x] = m$	15 TA(v)
17.	$J_{h(x m)}[Px] = S \Leftrightarrow m \in J[P]$	16 SF(r)
18.	$m \notin J[P]$	17,14 bcnd
19.	\perp	12,18 bot
20.	$Pa \models \exists xPx$	1-19 neg

Suppose $Pa \not\models \exists xPx$; then by QV, there is some I such that $I[Pa] = T$ but $I[\exists xPx] \neq T$; let J be a particular interpretation of this sort; then $J[Pa] = T$ but $J[\exists xPx] \neq T$; from the latter, by TI, there is a d such that $J_d[\exists xPx] \neq S$; let h be a particular assignment of this sort; then $J_h[\exists xPx] \neq S$. Since $J[Pa] = T$, by TI, for any d , $J_d[Pa] = S$; so $J_h[Pa] = S$. Let $J_h[a] = m$; then by SF(r), $J_h[Pa] = S$ iff $m \in I[P]$; so $m \in J[P]$. But since $J_h[\exists xPx] \neq S$, by SF'(\exists), for any $o \in U$, $J_{h(x|o)}[Px] \neq S$; so $J_{h(x|m)}[Px] \neq S$. $h(x|m)[x] = m$; so by TA(v), $J_{h(x|m)}[x] = m$; so by SF(r), $J_{h(x|m)}[Px] = S$ iff $m \in J[P]$; so $m \notin J[P]$. This is impossible; reject the assumption: $Pa \models \exists xPx$.

E7.14. Provide a demonstration for (b) T7.7 in the non-formalized style.

For any I and \mathcal{P} , $I[\mathcal{P}] = T$ iff $I[\forall x\mathcal{P}] = T$

(i) Show For arbitrary I and \mathcal{P} , suppose $I[\mathcal{P}] = T$ but $I[\forall x\mathcal{P}] \neq T$ This is impossible; reject the assumption: if $I[\mathcal{P}] = T$ then $I[\forall x\mathcal{P}] = T$. (ii) Suppose $I[\forall x\mathcal{P}] = T$ but $I[\mathcal{P}] \neq T$; from the latter, by TI, there is some d such that $I_d[\mathcal{P}] \neq S$; let h be a particular assignment of this sort; then $I_h[\mathcal{P}] \neq S$. But $I[\forall x\mathcal{P}] = T$; so for any d , $I_d[\forall x\mathcal{P}] = S$; so $I_h[\forall x\mathcal{P}] = S$; so by SF(\forall), for any $o \in U$, $I_{h(x|o)}[\mathcal{P}] = S$; let $m = h[x]$; then $I_{h(x|m)}[\mathcal{P}] = S$; but where $m = h[x]$, $h(x|m) = h$; so $I_h[\mathcal{P}] = S$. This

is impossible; reject the assumption: if $I[\forall x \mathcal{P}] = \top$ then $I[\mathcal{P}] = \top$. So from (i) and (ii), for arbitrary I and \mathcal{P} , $I[\mathcal{P}] = \top$ iff $I[\forall x \mathcal{P}] = \top$.

E7.15. Produce interpretations (with, if necessary, variable assignments) and then formalized derivations and non-formalized reasoning to show each of the following.

b. $\not\models f^1 g^1 x = g^1 f^1 x$

For interpretation J set $U = \{1, 2\}$, $J[g^1] = \{\langle 1, 1 \rangle, \langle 2, 1 \rangle\}$, $J[f^1] = \{\langle 1, 2 \rangle, \langle 2, 2 \rangle\}$, and for assignment h , set $h[x] = 1$.

1. $h[x] = 1$	ins (h particular)
2. $J_h[x] = 1$	1 TA(v) (J particular)
3. $J_h[g^1 x] = J[g^1]\langle 1 \rangle$	2 TA(f)
4. $J[g^1]\langle 1 \rangle = 1$	ins
5. $J_h[g^1 x] = 1$	3,4 eq
6. $J_h[f^1 g^1 x] = J[f^1]\langle 1 \rangle$	5 TA(f)
7. $J[f^1]\langle 1 \rangle = 2$	ins
8. $J_h[f^1 g^1 x] = 2$	6,7 eq
9. $J_h[f^1 x] = J[f^1]\langle 1 \rangle$	2 TA(f)
10. $J_h[f^1 x] = 2$	9,7 eq
11. $J_h[g^1 f^1 x] = J[g^1]\langle 2 \rangle$	10 TA(f)
12. $J[g^1]\langle 2 \rangle = 1$	ins
13. $J_h[g^1 f^1 x] = 1$	11,12 eq
14. $J_h[f^1 g^1 x = g^1 f^1 x] = S \Leftrightarrow \langle 2, 1 \rangle \in J[=]$	8,13 SF(r)
15. $\langle 2, 1 \rangle \notin J[=]$	ins
16. $J_h[f^1 g^1 x = g^1 f^1 x] \neq S$	14,15 bcnd
17. $Sd(J_d[f^1 g^1 x = g^1 f^1 x] \neq S)$	16 exs
18. $J[f^1 g^1 x = g^1 f^1 x] \neq \top$	17 TI
19. $Sl(I[f^1 g^1 x = g^1 f^1 x] \neq \top)$	18 exs
20. $\not\models f^1 g^1 x = g^1 f^1 x$	19 QV

$h[x] = 1$; so by TA(v), $J_h[x] = 1$; so by TA(f), $J_h[g^1 x] = J[g^1]\langle 1 \rangle$; but $J[g^1]\langle 1 \rangle = 1$; so $J_h[g^1 x] = 1$; so by TA(f), $J_h[f^1 g^1 x] = J[f^1]\langle 1 \rangle$; but $J[f^1]\langle 1 \rangle = 2$; so $J_h[f^1 g^1 x] = 2$. Since $J_h[x] = 1$, by TA(f), $J_h[f^1 x] = J[f^1]\langle 1 \rangle$; so $J_h[f^1 x] = 2$; so by TA(f), $J_h[g^1 f^1 x] = J[g^1]\langle 2 \rangle$; but $J[g^1]\langle 2 \rangle = 1$; so $J_h[g^1 f^1 x] = 1$. So by SF(r), $J_h[f^1 g^1 x = g^1 f^1 x] = S$ iff $\langle 2, 1 \rangle \in J[=]$; but $\langle 2, 1 \rangle \notin J[=]$; so $J_h[f^1 g^1 x = g^1 f^1 x] \neq S$. So there is a d such that $J_d[f^1 g^1 x = g^1 f^1 x] \neq S$; so by TI, $J[f^1 g^1 x = g^1 f^1 x] \neq \top$; so there is an I such that $I[f^1 g^1 x = g^1 f^1 x] \neq \top$; so by QV, $\not\models f^1 g^1 x = g^1 f^1 x$.

E7.16. Provide demonstrations for T7.8 - T7.10 in the non-formalized style.

Exercise 7.16

T7.9. $\models (x_i = y) \rightarrow (h^n x_1 \dots x_i \dots x_n = h^n x_1 \dots y \dots x_n)$

Simplified version: $\models (x = y) \rightarrow (h^1 x = h^1 y)$

Suppose $\not\models (x = y) \rightarrow (h^1 x = h^1 y)$; then by **QV**, there is some I such that $I[(x = y) \rightarrow (h^1 x = h^1 y)] \neq T$; let J be a particular interpretation of this sort; then $J[(x = y) \rightarrow (h^1 x = h^1 y)] \neq T$; so by **TI** there is a d such that $J_d[(x = y) \rightarrow (h^1 x = h^1 y)] \neq S$; let h be a particular assignment of this sort; then $J_h[(x = y) \rightarrow (h^1 x = h^1 y)] \neq S$; so by **SF**(\rightarrow), $J_h[x = y] = S$ and $J_h[h^1 x = h^1 y] \neq S$. From the former, by **SF**(r), $\langle J_h[x], J_h[y] \rangle \in J[=]$; but for any $o, p \in U$, $\langle o, p \rangle \in J[=]$ iff $o = p$; so $J_h[x] = J_h[y]$. From the latter, by **SF**(r), $\langle J_h[h^1 x], J_h[h^1 y] \rangle \notin J[=]$; so $J_h[h^1 x] \neq J_h[h^1 y]$. But by **TA**(f), $J_h[h^1 x] = J[h^1](J_h[x])$ and $J_h[h^1 y] = J[h^1](J_h[y])$; so with $J_h[x] = J_h[y]$, $J[h^1](J_h[x]) = J[h^1](J_h[y])$; so $J_h[h^1 x] = J_h[h^1 y]$. This is impossible; reject the assumption: $\models (x = y) \rightarrow (h^1 x = h^1 y)$.

E7.18. Suppose we want to show that $\forall x \exists y Rxy, \forall x \exists y Ryx, \forall x \forall y \forall z ((Rxy \wedge Ryz) \rightarrow Rxz) \not\models \exists x Rxx$.

a. Explain why no interpretation with a finite universe will do.

Suppose U is finite and that things are related by R as indicated by the arrows.

$$o_0 \longrightarrow o_1 \longrightarrow o_2 \longrightarrow o_3 \longrightarrow o_4 \longrightarrow o_5 \dots o_n$$

From the first premise, there can be no thing, like o_n , that does not have R to any thing. From the second, there can be no thing, like o_0 such that nothing bears R to it. The third premise guarantees that R obtains along any path along the arrows — so in this case, we must have also $\langle o_0, o_2 \rangle, \langle o_0, o_3 \rangle$ all the way to $\langle o_0, o_n \rangle$. Given this, if there is a loop, so that one thing bears R to a thing before, it bears R to itself, and the conclusion is not false. The solution for keeping the premises true and conclusion false is to let the series continue in both directions.

Chapter Eight

E8.1. For any (official) formula \mathcal{P} of a quantificational language, where $A(\mathcal{P})$ is the number of its atomic formulas, and $C(\mathcal{P})$ is the number of its arrow symbols, show that $A(\mathcal{P}) = C(\mathcal{P}) + 1$.

Basis: If \mathcal{P} has no operator symbols, then \mathcal{P} is a sentence letter \mathcal{S} or an atomic $\mathcal{R}^n t_1 \dots t_n$. In either case, $A(\mathcal{P}) = 1$. But there are no arrow symbols; so $C(\mathcal{P}) = 0$; so $C(\mathcal{P}) + 1 = 1$. So $A(\mathcal{P}) = C(\mathcal{P}) + 1$.

Assp: For any i , $0 \leq i < k$, if \mathcal{P} has i operator symbols, then $A(\mathcal{P}) = C(\mathcal{P}) + 1$.

Show: If \mathcal{P} has k operator symbols, then $A(\mathcal{P}) = C(\mathcal{P}) + 1$.

If \mathcal{P} has k operator symbols, then it is of the form $\sim \mathcal{A}$, $(\mathcal{A} \rightarrow \mathcal{B})$, or $\forall x \mathcal{A}$ for variable x and formulas \mathcal{A} and \mathcal{B} with less than k operator symbols.

(\sim) Suppose \mathcal{P} is $\sim \mathcal{A}$. Then $A(\mathcal{P}) = A(\mathcal{A})$ and $C(\mathcal{P}) = C(\mathcal{A})$. But by assumption, $A(\mathcal{A}) = C(\mathcal{A}) + 1$. So $A(\mathcal{P}) = C(\mathcal{P}) + 1$.

(\rightarrow) Suppose \mathcal{P} is $(\mathcal{A} \rightarrow \mathcal{B})$. Then $A(\mathcal{P}) = A(\mathcal{A}) + A(\mathcal{B})$ and $C(\mathcal{P}) = C(\mathcal{A}) + C(\mathcal{B}) + 1$. Applying the assumption to the first, $A(\mathcal{P}) = C(\mathcal{A}) + 1 + C(\mathcal{B}) + 1 = [C(\mathcal{A}) + C(\mathcal{B}) + 1] + 1 = C(\mathcal{P}) + 1$. So $A(\mathcal{P}) = C(\mathcal{P}) + 1$.

(\forall) Suppose \mathcal{P} is $\forall x \mathcal{A}$. Then as in the case for (\sim), $A(\mathcal{P}) = A(\mathcal{A})$ and $C(\mathcal{P}) = C(\mathcal{A})$. But by assumption, $A(\mathcal{A}) = C(\mathcal{A}) + 1$. So $A(\mathcal{P}) = C(\mathcal{P}) + 1$.

So in any case, if \mathcal{P} has k operator symbols, $A(\mathcal{P}) = C(\mathcal{P}) + 1$.

Indct: So for any \mathcal{P} in a quantificational language, $A(\mathcal{P}) = C(\mathcal{P}) + 1$.

E8.3. Let $S(n)$ be the sum of the first n even integers; that is $S(n) = 2 + 4 + \dots + 2n$. Show, by mathematical induction, that for any $n \geq 1$, $S(n) = n(n + 1)$.

Basis: If $n = 1$ then $S(n) = 2$, and $n(n + 1) = 1(1 + 1) = 2$. So $S(n) = n(n + 1)$.

Assp: For any i , $1 \leq i < k$, $S(i) = i(i + 1)$.

Show: $S(k) = k(k + 1)$. $S(k)$ is equal to the sum of all the even numbers up to the one before the k th even number, added to the k th even number — that is, $S(k) = S(k - 1) + 2k$. But since $k - 1 < k$, by assumption $S(k - 1) = (k - 1)[(k - 1) + 1] = k^2 - k$. So $S(k) = (k^2 - k) + 2k = k^2 + k = k(k + 1)$. So $S(k) = k(k + 1)$.

Indct: For any n , $S(n) = n(n + 1)$.

E8.5. Using the fact that any diagonal of a k -sided polygon divides it into two with $< k$ sides, show by mathematical induction that the sum of the interior angles of any convex polygon P , $S(P) = (n - 2)180$.

Basis: If $n = 3$, then P is a triangle; but by reasoning as in the main text, the sum of the angles in a triangle is 180° . So $S(P) = 180$. But $(3-2)180 = 180$. So $S(P) = (n-2)180$.

Assp: For any i , $3 \leq i < k$, every P with i sides has $S(P) = (i-2)180$.

Show: For every P with k sides, $S(P) = (k-2)180$.

If P has k sides, then for some a such that both a and $k-a$ are > 1 a diagonal divides it into a figure Q with $a+1$ sides, and a figure R with $(k-a)+1$ sides, where $S(P) = S(Q) + S(R)$. Since $a > 1$, $k > (k-a)+1$; and since $k-a > 1$, $k > a+1$; so by assumption, $S(Q) = [(a+1)-2]180$ and $S(R) = [(k-a+1)-2]180$. So $S(P) = [(a+1)-2]180 + [(k-a+1)-2]180 = [a+1-2+k-a+1-2]180 = (k-2)180$.

Indct: For any P , $S(P) = (n-2)180$.

E8.17. Provide a complete argument for T8.2, completing cases for (\sim) and (\rightarrow) . You should set up the complete induction, but may appeal to the text at parts that are already completed, just as the text appeals to homework.

T8.2 For variables x and v , if v is not free in a formula \mathcal{P} and v is free for x in \mathcal{P} , then $[\mathcal{P}_v^x]_x^v = \mathcal{P}$.

Let \mathcal{P} be any formula such that if v is not free \mathcal{P} and v is free for x in \mathcal{P} . We show that $[\mathcal{P}_v^x]_x^v = \mathcal{P}$ by induction on the number of operator symbols in \mathcal{P} .

Basis: If \mathcal{P} has no operator symbols, then $[\mathcal{P}_v^x]_x^v = \mathcal{P}$ [from text].

Assp: For any i , $0 \leq i < k$, if \mathcal{P} has i operator symbols, where v is not free in \mathcal{P} and v is free for x in \mathcal{P} , then $[\mathcal{P}_v^x]_x^v = \mathcal{P}$.

Show: Any \mathcal{P} with k operator symbols is such that if v is not free in \mathcal{P} and v is free for x in \mathcal{P} , then $[\mathcal{P}_v^x]_x^v = \mathcal{P}$.

If \mathcal{P} has k operator symbols, then it is of the form $\sim \mathcal{A}$, $\mathcal{A} \rightarrow \mathcal{B}$ or $\forall w \mathcal{A}$ for some variable w and formulas \mathcal{A} and \mathcal{B} with $< k$ operator symbols.

(\sim) Suppose \mathcal{P} is $\sim \mathcal{A}$, v is not free in \mathcal{P} , and v is free for x in \mathcal{P} . Then $[\mathcal{P}_v^x]_x^v = \sim [\mathcal{A}_v^x]_x^v$. Since v is not free in \mathcal{P} , v is not free in \mathcal{A} ; and since v is free for x in \mathcal{P} , v is free for x in \mathcal{A} . So the assumption applies to \mathcal{A} ; so by assumption $[\mathcal{A}_v^x]_x^v = \mathcal{A}$; so $\sim [\mathcal{A}_v^x]_x^v = \sim \mathcal{A}$; which is to say, $[\mathcal{P}_v^x]_x^v = \mathcal{P}$.

- (\rightarrow) Suppose \mathcal{P} is $\mathcal{A} \rightarrow \mathcal{B}$, v is not free in \mathcal{P} , and v is free for x in \mathcal{P} . Then $[\mathcal{P}_v^x]_x^v = [\mathcal{A}_v^x]_x^v \rightarrow [\mathcal{B}_v^x]_x^v$. Since v is not free in \mathcal{P} , v is not free in \mathcal{A} or in \mathcal{B} ; and since v is free for x in \mathcal{P} , v is free for x in \mathcal{A} and \mathcal{B} . So the assumption applies to \mathcal{A} and \mathcal{B} ; so by assumption $[\mathcal{A}_v^x]_x^v = \mathcal{A}$ and $[\mathcal{B}_v^x]_x^v = \mathcal{B}$; so $[\mathcal{A}_v^x]_x^v \rightarrow [\mathcal{B}_v^x]_x^v = \mathcal{A} \rightarrow \mathcal{B}$; which is to say, $[\mathcal{P}_v^x]_x^v = \mathcal{P}$.
- (\forall) Suppose \mathcal{P} is $\forall w \mathcal{A}$, v is not free in \mathcal{P} , and v is free for x in \mathcal{P} . Then $[\mathcal{P}_v^x]_x^v = \mathcal{P}$ [from text].
 If \mathcal{P} has k operator symbols, if v is not free in \mathcal{P} and v is free for x in \mathcal{P} , then $[\mathcal{P}_v^x]_x^v = \mathcal{P}$.
-
- Indct:* For any \mathcal{P} , if v is not free in \mathcal{P} and v is free for x in \mathcal{P} , then $[\mathcal{P}_v^x]_x^v = \mathcal{P}$.

E8.19. Provide a complete argument for T8.4, completing the case for (\rightarrow), and expanding the other direction for (\forall). You should set up the complete induction, but may appeal to the text at parts that are already completed, as the text appeals to homework.

T8.4 For any interpretation \mathbf{l} , variable assignments \mathbf{d} and \mathbf{h} , and formula \mathcal{P} , if $\mathbf{d}[x] = \mathbf{h}[x]$ for every free variable x in \mathcal{P} , then $\mathbf{l}_{\mathbf{d}}[\mathcal{P}] = \mathbf{S}$ iff $\mathbf{l}_{\mathbf{h}}[\mathcal{P}] = \mathbf{S}$.
 By induction on the number of operator symbols in the formula \mathcal{P} . Let \mathbf{l} , \mathbf{d} , \mathbf{h} and \mathcal{P} be arbitrary, and suppose $\mathbf{d}[x] = \mathbf{h}[x]$ for every variable x free in \mathcal{P} .

Basis: If \mathcal{P} has no operator symbols, then $\mathbf{l}_{\mathbf{d}}[\mathcal{P}] = \mathbf{S}$ iff $\mathbf{l}_{\mathbf{h}}[\mathcal{P}] = \mathbf{S}$ [as in text].

Assp: For any i , $0 \leq i < k$, if \mathcal{P} has $< k$ operator symbols and $\mathbf{d}[x] = \mathbf{h}[x]$ for every free variable x in \mathcal{P} , then $\mathbf{l}_{\mathbf{d}}[\mathcal{P}] = \mathbf{S}$ iff $\mathbf{l}_{\mathbf{h}}[\mathcal{P}] = \mathbf{S}$.

Show: If \mathcal{P} has k operator symbols and $\mathbf{d}[x] = \mathbf{h}[x]$ for every free variable x in \mathcal{P} , then $\mathbf{l}_{\mathbf{d}}[\mathcal{P}] = \mathbf{S}$ iff $\mathbf{l}_{\mathbf{h}}[\mathcal{P}] = \mathbf{S}$.

If \mathcal{P} has k operator symbols, then it is of the form $\sim \mathcal{A}$, $\mathcal{A} \rightarrow \mathcal{B}$, or $\forall v \mathcal{A}$ for variable v and formulas \mathcal{A} and \mathcal{B} with $< k$ operator symbols. Suppose $\mathbf{d}[x] = \mathbf{h}[x]$ for every free variable x in \mathcal{P} .

(\sim) Suppose \mathcal{P} is $\sim \mathcal{A}$. Then $\mathbf{l}_{\mathbf{d}}[\mathcal{P}] = \mathbf{S}$ iff $\mathbf{l}_{\mathbf{h}}[\mathcal{P}] = \mathbf{S}$ [as in text].

(\rightarrow) Suppose \mathcal{P} is $\mathcal{A} \rightarrow \mathcal{B}$. Then since $\mathbf{d}[x] = \mathbf{h}[x]$ for every free variable x in \mathcal{P} , and every variable free in \mathcal{A} and in \mathcal{B} is free in \mathcal{P} , $\mathbf{d}[x] = \mathbf{h}[x]$ for every free variable in \mathcal{A} and in \mathcal{B} ; so the inductive assumption applies to \mathcal{A} and to \mathcal{B} . $\mathbf{l}_{\mathbf{d}}[\mathcal{P}] = \mathbf{S}$ iff $\mathbf{l}_{\mathbf{d}}[\mathcal{A} \rightarrow \mathcal{B}] = \mathbf{S}$; by SF(\rightarrow), iff $\mathbf{l}_{\mathbf{d}}[\mathcal{A}] \neq \mathbf{S}$ or $\mathbf{l}_{\mathbf{d}}[\mathcal{B}] = \mathbf{S}$; by assumption

iff $l_h[\mathcal{A}] \neq S$ or $l_h[\mathcal{B}] = S$; by **SF**(\rightarrow) iff $l_h[\mathcal{A} \rightarrow \mathcal{B}] = S$; iff $l_h[\mathcal{P}] = S$.

(\forall) Suppose \mathcal{P} is $\forall v \mathcal{A}$. Then since $d[x] = h[x]$ for every free variable x in \mathcal{P} , $d[x] = h[x]$ for every free variable in \mathcal{A} with the possible exception of v ; so for arbitrary $o \in U$, $d(v|o)[x] = h(v|o)[x]$ for every free variable x in \mathcal{A} . Since the assumption applies to arbitrary assignments, it applies to $d(v|o)$ and $h(v|o)$; so by assumption $l_{d(v|o)}[\mathcal{A}] = S$ iff $l_{h(v|o)}[\mathcal{A}] = S$.

If $l_d[\mathcal{P}] = S$, then $l_h[\mathcal{P}] = S$ [from the text]. Suppose $l_h[\mathcal{P}] = S$ but $l_d[\mathcal{P}] \neq S$; then $l_h[\forall v \mathcal{A}] = S$ but $l_d[\forall v \mathcal{A}] \neq S$; from the latter, by **SF**(\forall), there is some $o \in U$ such that $l_{d(v|o)}[\mathcal{A}] \neq S$; so, as above, with the inductive assumption, $l_{h(v|o)}[\mathcal{A}] \neq S$. But $l_h[\forall v \mathcal{A}] = S$; so by **SF**(\forall), for any $m \in U$, $l_{h(v|m)}[\mathcal{A}] = S$; so $l_{h(v|o)}[\mathcal{A}] = S$. This is impossible; reject the assumption: if $l_h[\mathcal{P}] = S$, then $l_d[\mathcal{P}] = S$. So $l_d[\mathcal{P}] = S$ iff $l_h[\mathcal{P}] = S$.

If \mathcal{P} has k operator symbols, then $l_d[\mathcal{P}] = S$ iff $l_h[\mathcal{P}] = S$.

Indct: For any \mathcal{P} , $l_d[\mathcal{P}] = S$ iff $l_h[\mathcal{P}] = S$.

E8.23. Complete the proof of T8.7 by showing by induction on the number of operator symbols in an arbitrary formula \mathcal{P} that if v is distinct from x , then $[\mathcal{P}_t^v]_x^c = [\mathcal{P}_x^c]_{t_x^c}^v$.

Suppose v is distinct from x

Basis: If \mathcal{P} has no operator symbols, then it is a sentence letter \mathcal{S} or an atomic of the form $\mathcal{R}^n r_1 \dots r_n$ for some relation symbol \mathcal{R}^n and terms $r_1 \dots r_n$.

(i) Suppose \mathcal{P} is a sentence letter \mathcal{S} . Then no changes are made, and $[\mathcal{S}_t^v]_x^c = \mathcal{S} = [\mathcal{S}_x^c]_{t_x^c}^v$. So suppose \mathcal{P} is $\mathcal{R}^n r_1 \dots r_n$. Then $[\mathcal{P}_t^v]_x^c = \mathcal{R}^n([r_1]_t^v]_x^c \dots [r_n]_t^v]_x^c)$ and $[\mathcal{P}_x^c]_{t_x^c}^v = \mathcal{R}^n([r_1]_x^c]_{t_x^c}^v \dots [r_n]_x^c]_{t_x^c}^v)$. But since v is distinct from x , by part (i) from the text, $[r_1]_t^v]_x^c = [r_1]_x^c]_{t_x^c}^v$, and \dots and $[r_n]_t^v]_x^c = [r_n]_x^c]_{t_x^c}^v$; so $\mathcal{R}^n([r_1]_t^v]_x^c \dots [r_n]_t^v]_x^c) = \mathcal{R}^n([r_1]_x^c]_{t_x^c}^v \dots [r_n]_x^c]_{t_x^c}^v)$; so $[\mathcal{P}_t^v]_x^c = [\mathcal{P}_x^c]_{t_x^c}^v$.

Assp: For any i , $0 \leq i < k$, if \mathcal{P} has i operator symbols, and v is distinct from x , then $[\mathcal{P}_t^v]_x^c = [\mathcal{P}_x^c]_{t_x^c}^v$.

Show: Any \mathcal{P} with k operator symbols is such that if v is distinct from x then $[\mathcal{P}_t^v]_x^c = [\mathcal{P}_x^c]_{t_x^c}^v$.

If \mathcal{P} has k operator symbols, then it is of the form $\sim \mathcal{A}$, $\mathcal{A} \rightarrow \mathcal{B}$ or $\forall w \mathcal{A}$ for variable w and formulas \mathcal{A} and \mathcal{B} with $< k$ operator symbols.

- (\sim) Suppose \mathcal{P} is $\sim \mathcal{A}$. Then $[\mathcal{P}_t^v]_x^c = \sim [\mathcal{A}_t^v]_x^c$ and $[\mathcal{P}_x^c]_{t_x^c}^v = \sim [\mathcal{A}_x^c]_{t_x^c}^v$. Since v is distinct from x , by assumption, $[\mathcal{A}_t^v]_x^c = [\mathcal{A}_x^c]_{t_x^c}^v$; so $\sim [\mathcal{A}_t^v]_x^c = \sim [\mathcal{A}_x^c]_{t_x^c}^v$; and this is just to say, $[\mathcal{P}_t^v]_x^c = [\mathcal{P}_x^c]_{t_x^c}^v$.
- (\rightarrow) Suppose \mathcal{P} is $\mathcal{A} \rightarrow \mathcal{B}$. Then $[\mathcal{P}_t^v]_x^c = [\mathcal{A}_t^v]_x^c \rightarrow [\mathcal{B}_t^v]_x^c$ and $[\mathcal{P}_x^c]_{t_x^c}^v = [\mathcal{A}_x^c]_{t_x^c}^v \rightarrow [\mathcal{B}_x^c]_{t_x^c}^v$. Since v is distinct from x , by assumption $[\mathcal{A}_t^v]_x^c = [\mathcal{A}_x^c]_{t_x^c}^v$ and $[\mathcal{B}_t^v]_x^c = [\mathcal{B}_x^c]_{t_x^c}^v$; so $[\mathcal{A}_t^v]_x^c \rightarrow [\mathcal{B}_t^v]_x^c = [\mathcal{A}_x^c]_{t_x^c}^v \rightarrow [\mathcal{B}_x^c]_{t_x^c}^v$; and this is just to say, $[\mathcal{P}_t^v]_x^c = [\mathcal{P}_x^c]_{t_x^c}^v$.
- (\forall) Suppose \mathcal{P} is $\forall w \mathcal{A}$. If w is the same variable as v , then there are no free instances of v in \mathcal{P} ; so $\mathcal{P}_t^v = \mathcal{P}$ and $[\mathcal{P}_t^v]_x^c = \mathcal{P}_x^c$; but similarly, $[\mathcal{P}_x^c]_{t_x^c}^v = \mathcal{P}_x^c$; so $[\mathcal{P}_t^v]_x^c = [\mathcal{P}_x^c]_{t_x^c}^v$. If w is different from v then just the same instances of v are replaced in \mathcal{P} as in \mathcal{A} ; so $[\mathcal{P}_t^v]_x^c = \forall w [\mathcal{A}_t^v]_x^c$ and $[\mathcal{P}_x^c]_{t_x^c}^v = \forall w [\mathcal{A}_x^c]_{t_x^c}^v$; but since v is distinct from x , by assumption, $[\mathcal{A}_t^v]_x^c = [\mathcal{A}_x^c]_{t_x^c}^v$; so $\forall w [\mathcal{A}_t^v]_x^c = \forall w [\mathcal{A}_x^c]_{t_x^c}^v$; and this is just to say, $[\mathcal{P}_t^v]_x^c = [\mathcal{P}_x^c]_{t_x^c}^v$.
- For any \mathcal{P} with k operator symbols, $[\mathcal{P}_t^v]_x^c = [\mathcal{P}_x^c]_{t_x^c}^v$.

Indct: For any \mathcal{P} , $[\mathcal{P}_t^v]_x^c = [\mathcal{P}_x^c]_{t_x^c}^v$.

E8.28. Provide an argument to show T8.9.

T8.9. For any $a, b, c \in U$, if $a \times b = c$ then $Q \vdash_{ND} \bar{a} \times \bar{b} = \bar{c}$. By induction on the value of b .

Basis: Suppose $b = 0$ and $a \times b = c$; then $c = 0$; but by Q5, $Q \vdash_{ND} \bar{a} \times \bar{0} = \bar{0}$; so $Q \vdash_{ND} \bar{a} \times \bar{b} = \bar{c}$.

Assp: For any i , $0 \leq i < k$ if $a \times i = c$, then $Q \vdash_{ND} \bar{a} \times \bar{i} = \bar{c}$.

Show: If $a \times k = c$, then $Q \vdash_{ND} \bar{a} \times \bar{k} = \bar{c}$.

Suppose $a \times k = c$, and let $k - 1 = m$ and $c - a = d$. Since $k > i$, $k > 0$ and $a \times k = c \geq a$. So \bar{k} is the same as $S\bar{m}$, $c = d + a$, and $a \times m = d$; so by assumption $Q \vdash_{ND} \bar{a} \times \bar{m} = \bar{d}$. By Q6, $Q \vdash_{ND} (\bar{a} \times S\bar{m}) = (\bar{a} \times \bar{m}) + \bar{a}$; but $S\bar{m}$ is \bar{k} so $Q \vdash_{ND} (\bar{a} \times \bar{k}) = (\bar{a} \times \bar{m}) + \bar{a}$; so with =E, $Q \vdash_{ND} (\bar{a} \times \bar{k}) = \bar{d} + \bar{a}$. But $d + a = c$; so with T8.9, $Q \vdash_{ND} \bar{d} + \bar{a} = \bar{c}$; and by =E again, $Q \vdash_{ND} \bar{a} \times \bar{k} = \bar{c}$.

Indct: For any a, b and c , if $a \times b = c$, then $Q \vdash_{ND} \bar{a} \times \bar{b} = \bar{c}$.

E8.34. Provide derivations to show both parts of T8.21.

T8.21. For any n and formula $\mathcal{P}(x)$, (i) if $Q \vdash_{ND} \mathcal{P}(\bar{0})$ and $Q \vdash_{ND} \mathcal{P}(\bar{1})$ and ... and $Q \vdash_{ND} \mathcal{P}(\bar{n})$ then $Q \vdash_{ND} (\forall x \leq \bar{n}) \mathcal{P}(x)$.

Basis: $n = 0$; so we need if $Q \vdash_{ND} \mathcal{P}(\bar{0})$ then $Q \vdash_{ND} (\forall x \leq \bar{0})\mathcal{P}(x)$.

- | | | |
|----|--|--------------|
| 1. | $\mathcal{P}(\bar{0})$ | given from Q |
| 2. | $j \leq \bar{0}$ | A (g (V)I) |
| 3. | $j = \bar{0}$ | 2 with T8.16 |
| 4. | $\mathcal{P}(j)$ | 1,3 =E |
| 5. | $(\forall x \leq \bar{0})\mathcal{P}(x)$ | 2-4 (V)I |

Assp: For any i , $0 \leq i < k$, if $Q \vdash_{ND} \mathcal{P}(\bar{0})$ and ... and $Q \vdash_{ND} \mathcal{P}(\bar{i})$, then $Q \vdash_{ND} (\forall x \leq \bar{i})\mathcal{P}(x)$

Show: if $Q \vdash_{ND} \mathcal{P}(\bar{0})$ and ... and $Q \vdash_{ND} \mathcal{P}(\bar{k})$, then $Q \vdash_{ND} (\forall x \leq \bar{k})\mathcal{P}(x)$.

Suppose $Q \vdash_{ND} \mathcal{P}(\bar{0})$ and ... and $Q \vdash_{ND} \mathcal{P}(\bar{k})$.

- | | | |
|-----|---|--------------|
| 1. | $j \leq \bar{k}$ | A (g (V)I) |
| 2. | $j = \bar{0} \vee \dots \vee j = \overline{k-1} \vee j = \bar{k}$ | 1 with T8.16 |
| 3. | $j = \bar{0} \vee \dots \vee j = \overline{k-1}$ | A (g 2VE) |
| 4. | $j \leq \overline{k-1}$ | 3 with T8.17 |
| 5. | $(\forall x \leq \overline{k-1})\mathcal{P}(x)$ | by assp |
| 6. | $\mathcal{P}(j)$ | 5,4 (V)E |
| 7. | $j = \bar{k}$ | A (g 2VE) |
| 8. | $\mathcal{P}(\bar{k})$ | given from Q |
| 9. | $\mathcal{P}(j)$ | 8,7 =E |
| 10. | $\mathcal{P}(j)$ | 2,3-6,7-9 VE |
| 11. | $(\forall x \leq \bar{k})\mathcal{P}(x)$ | 1-10 (V)I |

So $Q \vdash_{ND} (\forall x \leq \bar{k})\mathcal{P}(x)$.

Indct: For any n , if $Q \vdash_{ND} \bar{0}$ and ... and $Q \vdash_{ND} \bar{n}$, then $Q \vdash_{ND} (\forall x \leq \bar{n})\mathcal{P}(x)$

E8.35. Provide demonstrations to both parts of T8.22.

T8.22. For any n , (i) $Q \vdash_{ND} \forall x[x \leq \bar{n} \leftrightarrow (x < \bar{n} \vee x = \bar{n})]$.

1.	$j \leq \bar{n}$	A (g \leftrightarrow I)
2.	$j = \bar{0} \vee j = \bar{1} \vee \dots \vee j = \bar{n}$	from 1 with T8.16
3.	$j = \bar{0} \vee \dots \vee j = \overline{n-1}$	A (g $2\vee$ E)
4.	$\emptyset \neq \emptyset \vee j = \bar{0} \vee \dots \vee j = \overline{n-1}$	3 \vee I
5.	$j < \bar{n}$	from 4 with T8.17
6.	$j < \bar{n} \vee j = \bar{n}$	5 \vee I
7.	$j = \bar{n}$	A (g $2\vee$ E)
8.	$j < \bar{n} \vee j = \bar{n}$	7 \vee I
9.	$j < \bar{n} \vee j = \bar{n}$	2,3-6,7-8 \vee E
10.	$j < \bar{n} \vee j = \bar{n}$	A (g \leftrightarrow I)
11.	$j < \bar{n}$	A (g $10\vee$ E)
12.	$\emptyset \neq \emptyset \vee j = \bar{0} \vee \dots \vee j = \overline{n-1}$	from 11 with T8.16
13.	$\sim(\emptyset \neq \emptyset)$	by $=$ I and DN
14.	$j = \emptyset \vee \dots \vee j = \overline{n-1}$	12,13 DS
15.	$j = \emptyset \vee \dots \vee j = \overline{n-1} \vee j = \bar{n}$	14 \vee I
16.	$j \leq \bar{n}$	from 15 with T8.17
17.	$j = \bar{n}$	A (g $10\vee$ E)
18.	$j = \emptyset \vee j = \bar{1} \vee \dots \vee j = \bar{n}$	17 \vee I
19.	$j \leq \bar{n}$	from 18 with T8.17
20.	$j \leq \bar{n}$	10,11-16,17-19 \vee E
21.	$j \leq \bar{n} \leftrightarrow (j < \bar{n} \vee j = \bar{n})$	1-10,11-20 \leftrightarrow I
22.	$\forall x[x \leq \bar{n} \leftrightarrow (x < \bar{n} \vee x = \bar{n})]$	21 \forall I

Chapter Nine

E9.2. Set up the above induction for T9.2, and complete the unfinished cases to show that if $\Gamma \vdash_{AD} \mathcal{P}$, then $\Gamma \vdash_{ND} \mathcal{P}$. For cases completed in the text, you may simply refer to the text, as the text refers cases to homework.

Basis: \mathcal{Q}_1 in A is a premise or an instance of A1, A2, A3, A4, A5, A6, A7 or A8.

(prem) From text.

(A1) From text.

(A2) From text.

(A3) If \mathcal{Q}_1 is an instance of A3, then it is of the form, $(\sim \mathcal{C} \rightarrow \sim \mathcal{B}) \rightarrow ((\sim \mathcal{C} \rightarrow \mathcal{B}) \rightarrow \mathcal{C})$, and we continue N as follows,

Exercise 9.2

0.a	\mathcal{Q}_a	P
0.b	\mathcal{Q}_b	P
\vdots		
0.j	\mathcal{Q}_j	P
1.1	$\sim \mathcal{C} \rightarrow \sim \mathcal{B}$	A (g, \rightarrow I)
1.2	$\sim \mathcal{C} \rightarrow \mathcal{B}$	A (g, \rightarrow I)
1.3	$\sim \mathcal{C}$	A (c, \sim E)
1.4	\mathcal{B}	1.2, 1.3 \rightarrow E
1.5	$\sim \mathcal{B}$	1.1, 1.3 \rightarrow E
1.6	\perp	1.4, 1.5 \perp I
1.7	\mathcal{C}	1.3-1.6 \sim E
1.8	$(\sim \mathcal{C} \rightarrow \mathcal{B}) \rightarrow \mathcal{C}$	1.2-1.7 \rightarrow I
1	$(\sim \mathcal{C} \rightarrow \sim \mathcal{B}) \rightarrow ((\sim \mathcal{C} \rightarrow \mathcal{B}) \rightarrow \mathcal{C})$	1.1-1.8 \rightarrow I

So \mathcal{Q}_1 appears, under the scope of the premises alone, on the line numbered '1' of N .

(A4) From text.

(A6) If \mathcal{Q}_1 is an instance of A6, then it is of the form $x = x$ for some variable x , and we continue N as follows,

0.a	\mathcal{Q}_a	P
0.b	\mathcal{Q}_b	P
\vdots		
0.j	\mathcal{Q}_j	P
1	$x = x$	=I

So \mathcal{Q}_1 appears, under the scope of the premises alone, on the line numbered '1' of N .

(A7) From text.

(A8) If \mathcal{Q}_1 is an instance of A8, then it is of the form $(x_i = y) \rightarrow (\mathcal{R}^n x_1 \dots x_i \dots x_n \rightarrow \mathcal{R}^n x_1 \dots y \dots x_n)$ for some variables $x_1 \dots x_n$ and y , and relation symbol \mathcal{R}^n ; and we continue N as follows,

0.a	\mathcal{Q}_a	P
0.b	\mathcal{Q}_b	P
\vdots		
0.j	\mathcal{Q}_j	P
1.1	$x_i = y$	A (g, \rightarrow I)
1.2	$\mathcal{R}^n x_1 \dots x_i \dots x_n$	A (g, \rightarrow I)
1.3	$\mathcal{R}^n x_1 \dots y \dots x_n$	1.2, 1.1 \Rightarrow E
1.4	$\mathcal{R}^n x_1 \dots x_i \dots x_n \rightarrow \mathcal{R}^n x_1 \dots y \dots x_n$	1.2-1.3 \rightarrow I
1	$(x_i = y) \rightarrow (\mathcal{R}^n x_1 \dots x_i \dots x_n \rightarrow \mathcal{R}^n x_1 \dots y \dots x_n)$	1.1-1.4 \rightarrow I

So \mathcal{Q}_1 appears, under the scope of the premises alone, on the line numbered '1' of N .

Assp: For any i , $1 \leq i < k$, if \mathcal{Q}_i appears on line i of A , then \mathcal{Q}_i appears, under the scope of the premises alone, on the line numbered ' i ' of N .

Show: If \mathcal{Q}_k appears on line k of A , then \mathcal{Q}_k appears, under the scope of the premises alone, on the line numbered ' k ' of N .

\mathcal{Q}_k in A is a premise, an axiom, or arises from previous lines by MP or Gen. If \mathcal{Q}_k is a premise or an axiom then, by reasoning as in the basis (with line numbers adjusted to $k.n$) if \mathcal{Q}_k appears on line k of A , then \mathcal{Q}_k appears, under the scope of the premises alone, on the line numbered ' k ' of A . So suppose \mathcal{Q}_k arises by MP or Gen.

(MP) From text.

(Gen) From text.

In any case then, \mathcal{Q}_k appears under the scope of the premises alone, on the line numbered ' k ' of N .

Indct: For any line j of A , \mathcal{Q}_j appears under the scope of the premises alone, on the line numbered ' j ' of N .

E9.8. Set up the above demonstration for T9.7 and complete the unfinished case to provide a complete demonstration that for any formula \mathcal{A} , and terms r and s , if s is free for the replaced instance of r in \mathcal{A} , then $\vdash_{AD} (r = s) \rightarrow (\mathcal{A} \rightarrow \mathcal{A}^{r//s})$.

Consider an arbitrary r , s and \mathcal{A} , and suppose s is free for the replaced instance of r in $\mathcal{A}^{r//s}$.

Basis: If \mathcal{A} has no operators and some term in it is replaced, then [from text] $\vdash_{AD} (r = s) \rightarrow (\mathcal{A} \rightarrow \mathcal{A}^{r//s})$.

Exercise 9.8

Assp: For any i , $0 \leq i < k$, if \mathcal{A} has i operator symbols, then $\vdash_{AD} (\mathcal{r} = \mathcal{s}) \rightarrow (\mathcal{A} \rightarrow \mathcal{A}^{\mathcal{r}}/\mathcal{s})$.

Show: If \mathcal{A} has k operator symbols, then $\vdash_{AD} (\mathcal{r} = \mathcal{s}) \rightarrow (\mathcal{A} \rightarrow \mathcal{A}^{\mathcal{r}}/\mathcal{s})$.

If \mathcal{A} has k operator symbols, then \mathcal{A} is of the form, $\sim \mathcal{P}$, $\mathcal{P} \rightarrow \mathcal{Q}$ or $\forall x \mathcal{P}$ for variable x and formulas \mathcal{P} and \mathcal{Q} with $< k$ operator symbols.

(\sim) Suppose \mathcal{A} is $\sim \mathcal{P}$. Then [from text] $\vdash_{AD} (\mathcal{r} = \mathcal{s}) \rightarrow (\mathcal{A} \rightarrow \mathcal{A}^{\mathcal{r}}/\mathcal{s})$.

(\rightarrow) Suppose \mathcal{A} is $\mathcal{P} \rightarrow \mathcal{Q}$. Then $\mathcal{A}^{\mathcal{r}}/\mathcal{s}$ is $\mathcal{P}^{\mathcal{r}}/\mathcal{s} \rightarrow \mathcal{Q}$ or $\mathcal{P} \rightarrow \mathcal{Q}^{\mathcal{r}}/\mathcal{s}$. (i) In the former case [from text], $\vdash_{AD} (\mathcal{r} = \mathcal{s}) \rightarrow (\mathcal{A} \rightarrow \mathcal{A}^{\mathcal{r}}/\mathcal{s})$. (ii) In the latter case, since \mathcal{s} is free for the replaced instance of \mathcal{r} in \mathcal{A} , it is free for that instance of \mathcal{r} in \mathcal{Q} ; so by assumption, $\vdash_{AD} (\mathcal{r} = \mathcal{s}) \rightarrow (\mathcal{Q} \rightarrow \mathcal{Q}^{\mathcal{r}}/\mathcal{s})$; so we may reason as follows,

1.	$(\mathcal{r} = \mathcal{s}) \rightarrow (\mathcal{Q} \rightarrow \mathcal{Q}^{\mathcal{r}}/\mathcal{s})$	prem
2.	$\mathcal{r} = \mathcal{s}$	assp (g, DT)
3.	$\mathcal{P} \rightarrow \mathcal{Q}$	assp (g, DT)
4.	\mathcal{P}	assp (g, DT)
5.	\mathcal{Q}	3,4 MP
6.	$\mathcal{Q} \rightarrow \mathcal{Q}^{\mathcal{r}}/\mathcal{s}$	1,2 MP
7.	$\mathcal{Q}^{\mathcal{r}}/\mathcal{s}$	6,5 MP
8.	$\mathcal{P} \rightarrow \mathcal{Q}^{\mathcal{r}}/\mathcal{s}$	4-7 DT
9.	$(\mathcal{P} \rightarrow \mathcal{Q}) \rightarrow (\mathcal{P} \rightarrow \mathcal{Q}^{\mathcal{r}}/\mathcal{s})$	3-8 DT
10.	$(\mathcal{r} = \mathcal{s}) \rightarrow [(\mathcal{P} \rightarrow \mathcal{Q}) \rightarrow (\mathcal{P} \rightarrow \mathcal{Q}^{\mathcal{r}}/\mathcal{s})]$	2-9 DT

So $\vdash_{AD} (\mathcal{r} = \mathcal{s}) \rightarrow [(\mathcal{P} \rightarrow \mathcal{Q}) \rightarrow (\mathcal{P} \rightarrow \mathcal{Q}^{\mathcal{r}}/\mathcal{s})]$; which is to say, $\vdash_{AD} (\mathcal{r} = \mathcal{s}) \rightarrow (\mathcal{A} \rightarrow \mathcal{A}^{\mathcal{r}}/\mathcal{s})$. So in either case, $\vdash_{AD} (\mathcal{r} = \mathcal{s}) \rightarrow (\mathcal{A} \rightarrow \mathcal{A}^{\mathcal{r}}/\mathcal{s})$.

(\forall) Suppose \mathcal{A} is $\forall x \mathcal{P}$. Then [from text] $\vdash_{AD} (\mathcal{r} = \mathcal{s}) \rightarrow (\mathcal{A} \rightarrow \mathcal{A}^{\mathcal{r}}/\mathcal{s})$.

So for any \mathcal{A} with k operator symbols, $\vdash_{AD} (\mathcal{r} = \mathcal{s}) \rightarrow (\mathcal{A} \rightarrow \mathcal{A}^{\mathcal{r}}/\mathcal{s})$.

Indct: For any \mathcal{A} , $\vdash_{AD} (\mathcal{r} = \mathcal{s}) \rightarrow (\mathcal{A} \rightarrow \mathcal{A}^{\mathcal{r}}/\mathcal{s})$.

E9.10. Prove T9.9, to show that for any formulas \mathcal{A} , \mathcal{B} and \mathcal{C} , if $\vdash_{AD} \mathcal{B} \leftrightarrow \mathcal{C}$, then $\vdash_{AD} \mathcal{A} \leftrightarrow \mathcal{A}^{\mathcal{B}}/\mathcal{C}$.

Basis: If \mathcal{A} is atomic, then the only formula to be replaced is \mathcal{A} itself, and \mathcal{B} is \mathcal{A} ; so $\mathcal{A}^{\mathcal{B}}/\mathcal{C}$ is \mathcal{C} . But then $\mathcal{A} \leftrightarrow \mathcal{A}^{\mathcal{B}}/\mathcal{C}$ is the same as $\mathcal{B} \leftrightarrow \mathcal{C}$. So if $\vdash_{AD} \mathcal{B} \leftrightarrow \mathcal{C}$, then $\vdash_{AD} \mathcal{A} \leftrightarrow \mathcal{A}^{\mathcal{B}}/\mathcal{C}$.

Assp: For any i , $0 \leq i < k$, if \mathcal{A} has i operator symbols, then if $\vdash_{AD} \mathcal{B} \leftrightarrow \mathcal{C}$, then $\vdash_{AD} \mathcal{A} \leftrightarrow \mathcal{A}^{\mathcal{B}}/\mathcal{C}$.

Exercise 9.10

Show: If \mathcal{A} has k operator symbols, then if $\vdash_{AD} \mathcal{B} \leftrightarrow \mathcal{C}$, then $\vdash_{AD} \mathcal{A} \leftrightarrow \mathcal{A}^{\mathcal{B}}/\mathcal{C}$.

If \mathcal{A} has k operator symbols, then it is of the form $\sim \mathcal{P}$, $\mathcal{P} \rightarrow \mathcal{Q}$, or $\forall x \mathcal{P}$, for variable x and formulas \mathcal{P} and \mathcal{Q} with $< k$ operator symbols. If \mathcal{B} is all of \mathcal{A} , then as in the basis, if $\vdash_{AD} \mathcal{B} \leftrightarrow \mathcal{C}$, then $\vdash_{AD} \mathcal{A} \leftrightarrow \mathcal{A}^{\mathcal{B}}/\mathcal{C}$. So suppose \mathcal{B} is a proper subformula of \mathcal{A} .

- (\sim) Suppose \mathcal{A} is $\sim \mathcal{P}$ and \mathcal{B} is a proper subformula of \mathcal{A} . Then $\mathcal{A}^{\mathcal{B}}/\mathcal{C}$ is $\sim[\mathcal{P}^{\mathcal{B}}/\mathcal{C}]$. Suppose $\vdash_{AD} \mathcal{B} \leftrightarrow \mathcal{C}$. By assumption, $\vdash_{AD} \mathcal{P} \leftrightarrow \mathcal{P}^{\mathcal{B}}/\mathcal{C}$; so by (abv), $\vdash_{AD} (\mathcal{P} \rightarrow \mathcal{P}^{\mathcal{B}}/\mathcal{C}) \wedge (\mathcal{P}^{\mathcal{B}}/\mathcal{C} \rightarrow \mathcal{P})$; so by T3.20 with MP, $\vdash_{AD} \mathcal{P} \rightarrow \mathcal{P}^{\mathcal{B}}/\mathcal{C}$; and by T3.13 with MP, $\vdash_{AD} \sim \mathcal{P}^{\mathcal{B}}/\mathcal{C} \rightarrow \sim \mathcal{P}$; similarly, by T3.19 with MP, $\vdash_{AD} \mathcal{P}^{\mathcal{B}}/\mathcal{C} \rightarrow \mathcal{P}$; so by T3.13 with MP, $\vdash_{AD} \sim \mathcal{P} \rightarrow \sim \mathcal{P}^{\mathcal{B}}/\mathcal{C}$; so by T9.4 with two applications of MP, $\vdash_{AD} (\sim \mathcal{P} \rightarrow \sim \mathcal{P}^{\mathcal{B}}/\mathcal{C}) \wedge (\sim \mathcal{P}^{\mathcal{B}}/\mathcal{C} \rightarrow \sim \mathcal{P})$; so by abv, $\vdash_{AD} \sim \mathcal{P} \leftrightarrow \sim \mathcal{P}^{\mathcal{B}}/\mathcal{C}$; which is just to say, $\vdash_{AD} \mathcal{A} \leftrightarrow \mathcal{A}^{\mathcal{B}}/\mathcal{C}$.
- (\rightarrow) Suppose \mathcal{A} is $\mathcal{P} \rightarrow \mathcal{Q}$ and \mathcal{B} is a proper subformula of \mathcal{A} . Then $\mathcal{A}^{\mathcal{B}}/\mathcal{C}$ is $\mathcal{P}^{\mathcal{B}}/\mathcal{C} \rightarrow \mathcal{Q}$ or $\mathcal{P} \rightarrow \mathcal{Q}^{\mathcal{B}}/\mathcal{C}$. Suppose $\vdash_{AD} \mathcal{B} \leftrightarrow \mathcal{C}$.
 - (i) Say $\mathcal{A}^{\mathcal{B}}/\mathcal{C}$ is $\mathcal{P}^{\mathcal{B}}/\mathcal{C} \rightarrow \mathcal{Q}$. By assumption, $\vdash_{AD} \mathcal{P} \leftrightarrow \mathcal{P}^{\mathcal{B}}/\mathcal{C}$; so by (abv), $\vdash_{AD} (\mathcal{P} \rightarrow \mathcal{P}^{\mathcal{B}}/\mathcal{C}) \wedge (\mathcal{P}^{\mathcal{B}}/\mathcal{C} \rightarrow \mathcal{P})$; by T3.19 with MP, $\vdash_{AD} \mathcal{P}^{\mathcal{B}}/\mathcal{C} \rightarrow \mathcal{P}$; but by T3.5, $\vdash_{AD} (\mathcal{P}^{\mathcal{B}}/\mathcal{C} \rightarrow \mathcal{P}) \rightarrow [(\mathcal{P} \rightarrow \mathcal{Q}) \rightarrow (\mathcal{P}^{\mathcal{B}}/\mathcal{C} \rightarrow \mathcal{Q})]$; so by MP, $\vdash_{AD} (\mathcal{P} \rightarrow \mathcal{Q}) \rightarrow (\mathcal{P}^{\mathcal{B}}/\mathcal{C} \rightarrow \mathcal{Q})$. Similarly, by T3.20 with MP, $\vdash_{AD} \mathcal{P} \rightarrow \mathcal{P}^{\mathcal{B}}/\mathcal{C}$; and by T3.5, $\vdash_{AD} (\mathcal{P} \rightarrow \mathcal{P}^{\mathcal{B}}/\mathcal{C}) \rightarrow [(\mathcal{P}^{\mathcal{B}}/\mathcal{C} \rightarrow \mathcal{Q}) \rightarrow (\mathcal{P} \rightarrow \mathcal{Q})]$; so by MP, $\vdash_{AD} (\mathcal{P}^{\mathcal{B}}/\mathcal{C} \rightarrow \mathcal{Q}) \rightarrow (\mathcal{P} \rightarrow \mathcal{Q})$. So by T9.4 with two applications of MP, $\vdash_{AD} [(\mathcal{P} \rightarrow \mathcal{Q}) \rightarrow (\mathcal{P}^{\mathcal{B}}/\mathcal{C} \rightarrow \mathcal{Q})] \wedge [(\mathcal{P}^{\mathcal{B}}/\mathcal{C} \rightarrow \mathcal{Q}) \rightarrow (\mathcal{P} \rightarrow \mathcal{Q})]$; so by abv, $\vdash_{AD} (\mathcal{P} \rightarrow \mathcal{Q}) \leftrightarrow (\mathcal{P}^{\mathcal{B}}/\mathcal{C} \rightarrow \mathcal{Q})$; which is just to say, $\vdash_{AD} \mathcal{A} \leftrightarrow \mathcal{A}^{\mathcal{B}}/\mathcal{C}$.
 - (ii) Say $\mathcal{A}^{\mathcal{B}}/\mathcal{C}$ is $\mathcal{P} \rightarrow \mathcal{Q}^{\mathcal{B}}/\mathcal{C}$. By assumption, $\vdash_{AD} \mathcal{Q} \leftrightarrow \mathcal{Q}^{\mathcal{B}}/\mathcal{C}$; so by (abv), $\vdash_{AD} (\mathcal{Q} \rightarrow \mathcal{Q}^{\mathcal{B}}/\mathcal{C}) \wedge (\mathcal{Q}^{\mathcal{B}}/\mathcal{C} \rightarrow \mathcal{Q})$; so by T3.20 with MP, $\vdash_{AD} \mathcal{Q} \rightarrow \mathcal{Q}^{\mathcal{B}}/\mathcal{C}$; but by T3.4, $\vdash_{AD} (\mathcal{Q} \rightarrow \mathcal{Q}^{\mathcal{B}}/\mathcal{C}) \rightarrow [(\mathcal{P} \rightarrow \mathcal{Q}) \rightarrow (\mathcal{P} \rightarrow \mathcal{Q}^{\mathcal{B}}/\mathcal{C})]$; so by MP, $\vdash_{AD} (\mathcal{P} \rightarrow \mathcal{Q}) \rightarrow (\mathcal{P} \rightarrow \mathcal{Q}^{\mathcal{B}}/\mathcal{C})$. Similarly, by T3.19 with MP, $\vdash_{AD} \mathcal{Q}^{\mathcal{B}}/\mathcal{C} \rightarrow \mathcal{Q}$; and by T3.4, $\vdash_{AD} (\mathcal{Q}^{\mathcal{B}}/\mathcal{C} \rightarrow \mathcal{Q}) \rightarrow [(\mathcal{P} \rightarrow \mathcal{Q}^{\mathcal{B}}/\mathcal{C}) \rightarrow (\mathcal{P} \rightarrow \mathcal{Q})]$; so by MP, $\vdash_{AD} (\mathcal{P} \rightarrow \mathcal{Q}^{\mathcal{B}}/\mathcal{C}) \rightarrow (\mathcal{P} \rightarrow \mathcal{Q})$. So by T9.4 with two applications of MP, $\vdash_{AD} [(\mathcal{P} \rightarrow \mathcal{Q}) \rightarrow (\mathcal{P} \rightarrow \mathcal{Q}^{\mathcal{B}}/\mathcal{C})] \wedge [(\mathcal{P} \rightarrow \mathcal{Q}^{\mathcal{B}}/\mathcal{C}) \rightarrow (\mathcal{P} \rightarrow \mathcal{Q})]$; so by abv, $\vdash_{AD} (\mathcal{P} \rightarrow \mathcal{Q}) \leftrightarrow (\mathcal{P} \rightarrow \mathcal{Q}^{\mathcal{B}}/\mathcal{C})$; and this is just to say, $\vdash_{AD} \mathcal{A} \leftrightarrow \mathcal{A}^{\mathcal{B}}/\mathcal{C}$.
- (\forall) Suppose \mathcal{A} is $\forall x \mathcal{P}$ and \mathcal{B} is a proper subformula of \mathcal{A} . Then $\mathcal{A}^{\mathcal{B}}/\mathcal{C}$ is $\forall x[\mathcal{P}^{\mathcal{B}}/\mathcal{C}]$. Suppose $\vdash_{AD} \mathcal{B} \leftrightarrow \mathcal{C}$. Then by assumption $\vdash_{AD} \mathcal{P} \leftrightarrow$

$\mathcal{P}^{\mathcal{B}}/\mathcal{C}$; so by **abv**, $\vdash_{ND} (\mathcal{P} \rightarrow \mathcal{P}^{\mathcal{B}}/\mathcal{C}) \wedge (\mathcal{P}^{\mathcal{B}}/\mathcal{C} \rightarrow \mathcal{P})$; so by T3.20 with MP, $\vdash_{ND} \mathcal{P} \rightarrow \mathcal{P}^{\mathcal{B}}/\mathcal{C}$. But since x is always free for itself in \mathcal{P} , by A4, $\vdash_{AD} \forall x \mathcal{P} \rightarrow \mathcal{P}$; so by T3.2, $\vdash_{AD} \forall x \mathcal{P} \rightarrow \mathcal{P}^{\mathcal{B}}/\mathcal{C}$; and since x is not free in $\forall x \mathcal{P}$, by Gen, $\vdash_{AD} \forall x \mathcal{P} \rightarrow \forall x \mathcal{P}^{\mathcal{B}}/\mathcal{C}$. Similarly, by T3.19 with MP, $\vdash_{AD} \mathcal{P}^{\mathcal{B}}/\mathcal{C} \rightarrow \mathcal{P}$; but, since x is free for itself in $\mathcal{P}^{\mathcal{B}}/\mathcal{C}$, by A4, $\vdash_{AD} \forall x \mathcal{P}^{\mathcal{B}}/\mathcal{C} \rightarrow \mathcal{P}^{\mathcal{B}}/\mathcal{C}$; so by T3.2, $\vdash_{AD} \forall x \mathcal{P}^{\mathcal{B}}/\mathcal{C} \rightarrow \mathcal{P}$; and since x is not free in $\forall x \mathcal{P}^{\mathcal{B}}/\mathcal{C}$, by Gen, $\vdash_{AD} \forall x \mathcal{P}^{\mathcal{B}}/\mathcal{C} \rightarrow \forall x \mathcal{P}$. So by T9.4 with two applications of MP, $\vdash_{AD} [\forall x \mathcal{P} \rightarrow \forall x \mathcal{P}^{\mathcal{B}}/\mathcal{C}] \wedge [\forall x \mathcal{P}^{\mathcal{B}}/\mathcal{C} \rightarrow \forall x \mathcal{P}]$; so by **abv**, $\vdash_{AD} \forall x \mathcal{P} \leftrightarrow \forall x \mathcal{P}^{\mathcal{B}}/\mathcal{C}$; which is to say $\vdash_{AD} \mathcal{A} \leftrightarrow \mathcal{A}^{\mathcal{B}}/\mathcal{C}$.

If \mathcal{A} has k operator symbols, then if $\vdash_{AD} \mathcal{B} \leftrightarrow \mathcal{C}$, then $\vdash_{AD} \mathcal{A} \leftrightarrow \mathcal{A}^{\mathcal{B}}/\mathcal{C}$.

Indct: For any \mathcal{A} , if $\vdash_{AD} \mathcal{B} \leftrightarrow \mathcal{C}$, then $\vdash_{AD} \mathcal{A} \leftrightarrow \mathcal{A}^{\mathcal{B}}/\mathcal{C}$.

E9.12. Set up the above induction for T9.11 and complete the unfinished cases (including the case for $\exists E$) to show that if $\Gamma \vdash_{ND} \mathcal{P}$, then $\Gamma \vdash_{AD} \mathcal{P}$. For cases completed in the text, you may simply refer to the text, as the text refers cases to homework.

Suppose $\Gamma \vdash_{ND} \mathcal{P}$; then there is an *ND* derivation N of \mathcal{P} from premises in Γ . We show that for any i , there is a good *AD* derivation A_i that matches N through line i .

Basis: The first line of N is a premise or an assumption. [From text] A_1 matches N and is good.

Assp: For any i , $0 \leq i < k$, there is a good derivation A_i that matches N through line i .

Show: There is a good derivation A_k that matches N through line k .

Either \mathcal{Q}_k is a premise or assumption, or arises from previous lines by R, $\wedge E$, $\wedge I$, $\rightarrow E$, $\rightarrow I$, $\sim E$, $\sim I$, $\vee E$, $\vee I$, $\leftrightarrow E$, $\leftrightarrow I$, $\forall E$, $\forall I$, $\exists E$, $\exists I$, $=E$ or $=I$.

(p/a) From text.

(R) From text.

($\wedge E$) From text.

($\wedge I$) From text.

($\rightarrow E$) From text.

($\rightarrow I$) From text.

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(\sim E) From text.

(\sim I) If \mathcal{Q}_k arises by \sim I, then N is something like this,

i	\mathcal{B}	
j	$\mathcal{C} \wedge \sim \mathcal{C}$	
k	$\sim \mathcal{B}$	$i-j \sim$ I

where $i, j < k$, the subderivation is accessible at line k , and $\mathcal{Q}_k = \sim \mathcal{B}$. By assumption A_{k-1} matches N through line $k-1$ and is good. So \mathcal{B} and $\mathcal{C} \wedge \sim \mathcal{C}$ appear at the same scope on the lines numbered ' i ' and ' j ' of A_{k-1} ; since they appear at the same scope, the parallel subderivation is accessible in A_{k-1} ; since A_{k-1} is good, no application of Gen under the scope of \mathcal{B} is to a variable free in \mathcal{B} . So let A_k continue as follows,

i	\mathcal{B}	
j	$\mathcal{C} \wedge \sim \mathcal{C}$	
$k.1$	$\mathcal{B} \rightarrow (\mathcal{C} \wedge \sim \mathcal{C})$	$i-j$ DT
$k.2$	$(\mathcal{C} \wedge \sim \mathcal{C}) \rightarrow \mathcal{C}$	T3.20
$k.3$	$(\mathcal{C} \wedge \sim \mathcal{C}) \rightarrow \sim \mathcal{C}$	T3.19
$k.4$	$\mathcal{B} \rightarrow \mathcal{C}$	$k.1, k.2$ T3.2
$k.5$	$\mathcal{B} \rightarrow \sim \mathcal{C}$	$k.1, k.3$ T3.2
$k.6$	$\sim \sim \mathcal{B} \rightarrow \mathcal{B}$	T3.10
$k.7$	$\sim \sim \mathcal{B} \rightarrow \mathcal{C}$	$k.6, k.4$ T3.2
$k.8$	$\sim \sim \mathcal{B} \rightarrow \sim \mathcal{C}$	$k.6, k.5$ T3.2
$k.9$	$(\sim \sim \mathcal{B} \rightarrow \sim \mathcal{C}) \rightarrow ((\sim \sim \mathcal{B} \rightarrow \mathcal{C}) \rightarrow \sim \mathcal{B})$	A3
$k.10$	$(\sim \sim \mathcal{B} \rightarrow \mathcal{C}) \rightarrow \sim \mathcal{B}$	$k.9, k.8$ MP
k	$\sim \mathcal{B}$	$k.10, k.7$ MP

So \mathcal{Q}_k appears at the same scope on the line numbered ' k ' of A_k ; so A_k matches N through line k . And since there is no new application of Gen, A_k is good.

(\vee E) From text.

(\vee I) If \mathcal{Q}_k arises by \vee I, then N is something like this,

i	\mathcal{B}				i	\mathcal{B}
k	$\mathcal{B} \vee \mathcal{C}$	$i \vee$ I	or		k	$\mathcal{C} \vee \mathcal{B}$
						$i \vee$ I

where $i < k$ and \mathcal{B} is accessible at line k . In the first case, $\mathcal{Q}_k = \mathcal{B} \vee \mathcal{C}$. By assumption A_{k-1} matches N through line $k-1$ and is good. So

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\mathcal{B} appears at the same scope on the line numbered ‘ i ’ of A_{k-1} and is accessible in A_{k-1} . So let A_k continue as follows,

i	\mathcal{B}	
$k.1$	$\mathcal{B} \rightarrow (\mathcal{B} \vee \mathcal{C})$	T3.17
k	$\mathcal{B} \vee \mathcal{C}$	$k.1, i$ MP

So \mathcal{Q}_k appears at the same scope on the line numbered ‘ k ’ of A_k ; so A_k matches N through line k . And since there is no new application of Gen, A_k is good. And similarly in the other case, by application of T3.18.

(\leftrightarrow E) If \mathcal{Q}_k arises by \leftrightarrow E, then N is something like this,

i	$\mathcal{B} \leftrightarrow \mathcal{C}$			i	$\mathcal{B} \leftrightarrow \mathcal{C}$
j	\mathcal{B}			j	\mathcal{C}
			or		
k	\mathcal{C}	$i, j \leftrightarrow$ E		k	\mathcal{B}
					$i, j \leftrightarrow$ E

where $i, j < k$ and $\mathcal{B} \leftrightarrow \mathcal{C}$ and \mathcal{B} or \mathcal{C} are accessible at line k . In the first case, $\mathcal{Q}_k = \mathcal{C}$. By assumption A_{k-1} matches N through line $k-1$ and is good. So $\mathcal{B} \leftrightarrow \mathcal{C}$ and \mathcal{B} appear at the same scope on the lines numbered ‘ i ’ and ‘ j ’ of A_{k-1} and are accessible in A_{k-1} . So let A_k continue as follows,

i	$\mathcal{B} \leftrightarrow \mathcal{C}$	
j	\mathcal{B}	
$k.1$	$(\mathcal{B} \rightarrow \mathcal{C}) \wedge (\mathcal{C} \rightarrow \mathcal{B})$	i abv
$k.2$	$[(\mathcal{B} \rightarrow \mathcal{C}) \wedge (\mathcal{C} \rightarrow \mathcal{B})] \rightarrow (\mathcal{B} \rightarrow \mathcal{C})$	T3.20
$k.3$	$\mathcal{B} \rightarrow \mathcal{C}$	$k.2, k.1$ MP
k	\mathcal{C}	$k.3, j$ MP

So \mathcal{Q}_k appears at the same scope on the line numbered ‘ k ’ of A_k ; so A_k matches N through line k . And since there is no new application of Gen, A_k is good. And similarly in the other case, by application of T3.19.

(\leftrightarrow I) If \mathcal{Q}_k arises by \leftrightarrow I, then N is something like this,

g		\mathcal{B}	
h		\mathcal{C}	
i		\mathcal{C}	
j		\mathcal{B}	
k		$\mathcal{B} \leftrightarrow \mathcal{C}$	$g-h, i-j \leftrightarrow I$

where $g, h, i, j < k$, the two subderivations are accessible at line k and $\mathcal{Q}_k = \mathcal{B} \leftrightarrow \mathcal{C}$. By assumption A_{k-1} matches N through line $k-1$ and is good. So the formulas at lines g, h, i, j appear at the same scope on corresponding lines in A_{k-1} ; since they appear at the same scope, corresponding subderivations are accessible in A_{k-1} ; since A_{k-1} is good, no application of Gen under the scope of \mathcal{B} is to a variable free in \mathcal{B} and no application of Gen under the scope of \mathcal{C} is to a variable free in \mathcal{C} . So let A_k continue as follows,

g		\mathcal{B}	
h		\mathcal{C}	
i		\mathcal{C}	
j		\mathcal{B}	
$k.1$		$\mathcal{B} \rightarrow \mathcal{C}$	$g-h$ DT
$k.2$		$\mathcal{C} \rightarrow \mathcal{B}$	$i-j$ DT
$k.3$		$(\mathcal{B} \rightarrow \mathcal{C}) \rightarrow [(\mathcal{C} \rightarrow \mathcal{B}) \rightarrow ((\mathcal{B} \rightarrow \mathcal{C}) \wedge (\mathcal{C} \rightarrow \mathcal{B}))]$	T9.4
$k.4$		$(\mathcal{C} \rightarrow \mathcal{B}) \rightarrow ((\mathcal{B} \rightarrow \mathcal{C}) \wedge (\mathcal{C} \rightarrow \mathcal{B}))$	$k.3, k.1$ MP
$k.5$		$(\mathcal{B} \rightarrow \mathcal{C}) \wedge (\mathcal{C} \rightarrow \mathcal{B})$	$k.4, k.2$ MP
k		$\mathcal{B} \leftrightarrow \mathcal{C}$	$k.5$ abv

So \mathcal{Q}_k appears at the same scope on the line numbered ' k ' of A_k ; so A_k matches N through line k . And since there is no new application of Gen, A_k is good.

($\forall E$) If \mathcal{Q}_k arises by $\forall E$, then N looks something like this,

i		$\forall x \mathcal{B}$	
k		\mathcal{B}_t^x	$i \forall E$

where $i < k$, $\forall x \mathcal{B}$ is accessible at line k , term t is free for variable x in \mathcal{B} , and $\mathcal{Q}_k = \mathcal{B}_t^x$. By assumption A_{k-1} matches N through line $k-1$ and is good. So $\forall x \mathcal{B}$ appears at the same scope on the line numbered ' i ' of A_{k-1} and is accessible in A_{k-1} . So let A_k continue as follows,

Exercise 9.12

i	$\forall x \mathcal{B}$	
$k.1$	$\forall x \mathcal{B} \rightarrow \mathcal{B}_t^x$	A4
k	\mathcal{B}_t^x	$k.1, i$ MP

Since t is free for x in \mathcal{B} , $k.1$ is an instance of A4. So \mathcal{Q}_k appears at the same scope on the line numbered ‘ k ’ of A_k ; so A_k matches N through line k . And since there is no new application of Gen, A_k is good.

($\forall I$) From text.

($\exists E$) If \mathcal{Q}_k arises by $\exists E$, then N looks something like this,

h	$\exists x \mathcal{B}$	
i	\mathcal{B}_v^x	
j	\mathcal{C}	
k	\mathcal{C}	$h, i-j$ $\exists E$

where $h, i, j < k$, $\exists x \mathcal{B}$ and the subderivation are accessible at line k , and \mathcal{C} is \mathcal{Q}_k ; further, the *ND* restrictions on $\exists E$ are met: (i) v is free for x in \mathcal{B} , (ii) v is not free in any undischarged auxiliary assumption, and (iii) v is not free in $\exists x \mathcal{B}$ or in \mathcal{C} . By assumption A_{k-1} matches N through line $k - 1$ and is good. So the formulas at lines h, i and j appear at the same scope on corresponding lines in A_{k-1} ; since they appear at the same scope, $\exists x \mathcal{B}$ and the corresponding subderivation are accessible in A_{k-1} . Since A_{k-1} is good, no application of Gen under the scope of \mathcal{B}_v^x is to a variable free in \mathcal{B}_v^x . So let A_k continue as follows,

h	$\exists x \mathcal{B}$	
i	\mathcal{B}_v^x	
j	\mathcal{C}	
$k.1$	$\mathcal{B}_v^x \rightarrow \mathcal{C}$	$i-j$ DT
$k.2$	$\exists v \mathcal{B}_v^x \rightarrow \mathcal{C}$	$k.1$ T3.31
$k.3$	$\forall v \sim \mathcal{B}_v^x \rightarrow \forall x \sim \mathcal{B}$	T3.27
$k.4$	$(\forall v \sim \mathcal{B}_v^x \rightarrow \forall x \sim \mathcal{B}) \rightarrow (\sim \forall x \sim \mathcal{B} \rightarrow \sim \forall v \sim \mathcal{B}_v^x)$	T3.13
$k.5$	$\sim \forall x \sim \mathcal{B} \rightarrow \sim \forall v \sim \mathcal{B}_v^x$	$k.4, k.3$ MP
$k.6$	$\exists x \mathcal{B} \rightarrow \exists v \mathcal{B}_v^x$	$k.5$ abv
$k.7$	$\exists v \mathcal{B}_v^x$	$h, k.6$ MP
k	\mathcal{C}	$k.2, k.7$ MP

Since from constraint (iii), v is not free in \mathcal{C} , $k.2$ meets the restriction on T3.31. If $v = x$ we can go directly from h and $k.2$ to k . So suppose $v \neq x$. To see that $k.3$ is an instance of T3.27, consider first,

Exercise 9.12

$\forall v \sim \mathcal{B}_v^x \rightarrow \forall x [\sim \mathcal{B}_v^x]_x^v$; this is an instance of T3.27 so long as x is not free in $\forall v \sim \mathcal{B}_v^x$ but free for v in $\sim \mathcal{B}_v^x$. First, since $\sim \mathcal{B}_v^x$ has all its free instances of x replaced by v , x is not free in $\forall v \sim \mathcal{B}_v^x$. Second, since $v \neq x$, with the constraint (iii), that v is not free in $\exists x \mathcal{B}$, v is not free in \mathcal{B} , and so $\sim \mathcal{B}$; but by (i), v is free for x in \mathcal{B} and so $\sim \mathcal{B}$; so v appears free in $\sim \mathcal{B}_v^x$ just where x is free in $\sim \mathcal{B}$; so x is free for every free instance of v in $\sim \mathcal{B}_v^x$. So $\forall v \sim \mathcal{B}_v^x \rightarrow \forall x [\sim \mathcal{B}_v^x]_x^v$ is an instance of T3.27. But since v is not free in $\sim \mathcal{B}$, and free for x in $\sim \mathcal{B}$, by T8.2, $[\sim \mathcal{B}_v^x]_x^v = \sim \mathcal{B}$. So $k.3$ is a version of T3.27.

So \mathcal{Q}_k appears at the same scope on the line numbered ‘ k ’ of A_k ; so A_k matches N through line k . There is an application of Gen in T3.31 at $k.2$. But A_{k-1} is good and since A_k matches N and, by (ii), v is free in no undischarged auxiliary assumption of N , v is not free in any undischarged auxiliary assumption of A_k ; so A_k is good.

(\exists I) If \mathcal{Q}_k arises by \exists I, then N looks something like this,

$$\begin{array}{l|l} i & \mathcal{B}_t^x \\ k & \exists x \mathcal{B} \quad i \exists \text{I} \end{array}$$

where $i < k$, \mathcal{B}_t^x is accessible at line k , term t is free for variable x in \mathcal{B} , and $\mathcal{Q}_k = \exists x \mathcal{B}$. By assumption A_{k-1} matches N through line $k-1$ and is good. So \mathcal{B}_t^x appears at the same scope on the line numbered ‘ i ’ of A_{k-1} and is accessible in A_{k-1} . So let A_k continue as follows,

$$\begin{array}{l|l} i & \mathcal{B}_t^x \\ k.1 & \mathcal{B}_t^x \rightarrow \exists x \mathcal{B} \quad \text{T3.29} \\ k & \exists x \mathcal{B} \quad k.1, i \text{ MP} \end{array}$$

Since t is free for x in \mathcal{B} , $k.1$ is an instance of T3.29. So \mathcal{Q}_k appears at the same scope on the line numbered ‘ k ’ of A_k ; so A_k matches N through line k . And since there is no new application of Gen, A_k is good.

(=E) If \mathcal{Q}_k arises by =E, then N is something like this,

$$\begin{array}{l|l} i & \mathcal{B} \\ j & t = s \\ k & \mathcal{B}^t/s \quad i, j =E \end{array} \quad \text{or} \quad \begin{array}{l|l} i & \mathcal{B} \\ j & s = t \\ k & \mathcal{B}^t/s \quad i, j =E \end{array}$$

where $i, j < k$, s is free for the replaced instances of t in \mathcal{B} , \mathcal{B} and the equality are accessible at line k , and $\mathcal{Q}_k = \mathcal{B}^t/s$. By assumption A_{k-1}

matches N through line $k - 1$ and is good. So in the first case, \mathcal{B} and $t = s$ appear at the same scope on the lines numbered ‘ i ’ and ‘ j ’ of A_{k-1} and are accessible in A_{k-1} . So augment A_k as follows,

$0.k$	$(t = s) \rightarrow (\mathcal{B} \rightarrow \mathcal{B}^t/s)$	T9.8
i	\mathcal{B}	
j	$t = s$	
$k.1$	$\mathcal{B} \rightarrow \mathcal{B}^t/s$	$0.k, j$ MP
k	\mathcal{B}^t/s	$k.1, i$ MP

Since s is free for the replaced instances of t in \mathcal{B} , $0.k$ is an instance of T9.8. So \mathcal{Q}_k appears at the same scope on the line numbered ‘ k ’ of A_k ; so A_k matches N through line k . There may be applications of Gen in the derivation of T9.8; but that derivation is under the scope of no undischarged assumption. And under the scope of any undischarged assumptions, there is no new application of Gen; so A_k is good. And similarly in the other case, with an initial application of T3.33 and MP.

(=I) If \mathcal{Q}_k arises by =I, then N looks something like this,

k	$t = t$	=I
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where \mathcal{Q}_k is $t = t$. By assumption A_{k-1} matches N through line $k - 1$ and is good. So let A_k continue as follows,

k	$t = t$	T3.32
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So \mathcal{Q}_k appears at the same scope on the line numbered ‘ k ’ of A_k ; so A_k matches N through line k . And since there is no new application of Gen, A_k is good.

In any case, A_k matches N through line k and is good.

Indct: Derivation A matches N and is good.

E9.15. Set up the above induction and complete the unfinished cases to show that if $\Gamma \vdash_{ND+} \mathcal{P}$, then $\Gamma \vdash_{AD} \mathcal{P}$. For cases completed in the text, you may simply refer to the text, as the text refers cases to homework.

Suppose $\Gamma \vdash_{ND+} \mathcal{P}$; then there is an $ND+$ derivation N of \mathcal{P} from premises in Γ . We show that for any i , there is a good AD derivation A_i that matches N through line i .

Exercise 9.15

Basis: The first line of N is a premise or an assumption. Let A_1 be the same. Then A_1 matches N ; and since there is no application of Gen, A_1 is good.

Assp: For any i , $0 \leq i < k$, there is a good derivation A_i that matches N through line i .

Show: There is a good derivation of A_k that matches N through line k .

Either \mathcal{Q}_k is a premise or assumption, arises by a rule of ND , or by a the $ND+$ derivation rules, MT, HS, DS, NB or a replacement rule. If \mathcal{Q}_k arises by any of the rules other than HS, DS or NB, then by reasoning from the text, there is a good derivation A_k that matches N through line k .

(HS) If \mathcal{Q}_k arises from previous lines by HS then N is something like this,

$$\begin{array}{l|l} i & \mathcal{B} \rightarrow \mathcal{C} \\ j & \mathcal{C} \rightarrow \mathcal{D} \\ k & \mathcal{B} \rightarrow \mathcal{D} \quad i, j \text{ HS} \end{array}$$

where $i, j < k$, $\mathcal{B} \rightarrow \mathcal{C}$ and $\mathcal{C} \rightarrow \mathcal{D}$ are accessible at line k , and $\mathcal{Q}_k = \mathcal{B} \rightarrow \mathcal{D}$. By assumption A_{k-1} matches N through line $k-1$ and is good. So $\mathcal{B} \rightarrow \mathcal{D}$ and $\mathcal{C} \rightarrow \mathcal{D}$ appear at the same scope on the lines numbered ' i ' and ' j ' of A_{k-1} and are accessible in A_{k-1} . So let A_k continue as follows,

$$\begin{array}{l|l} i & \mathcal{B} \rightarrow \mathcal{C} \\ j & \mathcal{C} \rightarrow \mathcal{D} \\ k & \mathcal{B} \rightarrow \mathcal{D} \quad i, j \text{ T3.2} \end{array}$$

So \mathcal{Q}_k appears at the same scope on the line numbered ' k ' of A_k ; so A_k matches N through line k . And since there is no new application of Gen, A_k is good.

(DS) If \mathcal{Q}_k arises by DS, then N is something like this,

$$\begin{array}{l|l} i & \mathcal{B} \vee \mathcal{C} \\ j & \sim \mathcal{C} \\ k & \mathcal{B} \quad i, j \text{ DS} \end{array} \quad \text{or} \quad \begin{array}{l|l} i & \mathcal{B} \vee \mathcal{C} \\ j & \sim \mathcal{B} \\ k & \mathcal{C} \quad i, j \text{ DS} \end{array}$$

where $i, j < k$, and the formulas at lines i and j are accessible at line k . In the first case, $\mathcal{Q}_k = \mathcal{B}$. By assumption A_{k-1} matches N through line $k-1$ and is good. So $\mathcal{B} \vee \mathcal{C}$ and $\sim \mathcal{C}$ appear at the same scope on

the lines numbered ‘ i ’ and ‘ j ’ of A_{k-1} and are accessible in A_{k-1} . So let A_k continue as follows,

i	$\mathcal{B} \vee \mathcal{C}$	
j	$\sim \mathcal{C}$	
$k.1$	$\sim \mathcal{B} \rightarrow \mathcal{C}$	i abv
$k.2$	$(\sim \mathcal{B} \rightarrow \mathcal{C}) \rightarrow (\sim \mathcal{C} \rightarrow \mathcal{B})$	T3.14
$k.3$	$\sim \mathcal{C} \rightarrow \mathcal{B}$	$k.2, k.1$ MP
k	\mathcal{B}	$k.3, j$ MP

So \mathcal{Q}_k appears at the same scope on the line numbered ‘ k ’ of A_k ; so A_k matches N through line k . And since there is no new application of Gen, A_k is good. And similarly in the other case, by application of MP immediately after $k.1$.

(NB) If \mathcal{Q}_k arises by NB, then N is something like this,

i	$\mathcal{B} \leftrightarrow \mathcal{C}$			i	$\mathcal{B} \leftrightarrow \mathcal{C}$
j	$\sim \mathcal{B}$			j	$\sim \mathcal{C}$
			or		
k	$\sim \mathcal{C}$	i, j NB		k	$\sim \mathcal{B}$
					i, j NB

where $i, j < k$, and the formulas at lines i and j are accessible at line k . In the first case, $\mathcal{Q}_k = \sim \mathcal{C}$. By assumption A_{k-1} matches N through line $k - 1$ and is good. So $\mathcal{B} \leftrightarrow \mathcal{C}$ and $\sim \mathcal{B}$ appear at the same scope on the lines numbered ‘ i ’ and ‘ j ’ of A_{k-1} and are accessible in A_{k-1} . So let A_k continue as follows,

i	$\mathcal{B} \leftrightarrow \mathcal{C}$	
j	$\sim \mathcal{B}$	
$k.1$	$(\mathcal{B} \rightarrow \mathcal{C}) \wedge (\mathcal{C} \rightarrow \mathcal{B})$	i abv
$k.2$	$[(\mathcal{B} \rightarrow \mathcal{C}) \wedge (\mathcal{C} \rightarrow \mathcal{B})] \rightarrow (\mathcal{C} \rightarrow \mathcal{B})$	T3.19
$k.3$	$\mathcal{C} \rightarrow \mathcal{B}$	$k.2, k.1$ MP
$k.4$	$(\mathcal{C} \rightarrow \mathcal{B}) \rightarrow (\sim \mathcal{B} \rightarrow \sim \mathcal{C})$	T3.13
$k.5$	$\sim \mathcal{B} \rightarrow \sim \mathcal{C}$	$k.4, k.3$ MP
k	$\sim \mathcal{C}$	$k.5, j$ MP

So \mathcal{Q}_k appears at the same scope on the line numbered ‘ k ’ of A_k ; so A_k matches N through line k . And since there is no new application of Gen, A_k is good. And similarly in the other case, with application of T3.20 in place of T3.19.

In any case, A_k matches N through line k and is good.

Indct: Derivation A matches N and is good.

Exercise 9.15

Chapter Ten

E10.1. Complete the case for (\rightarrow) in to complete the demonstration of T10.2. You should set up the complete demonstration, but for cases completed in the text, you may simply refer to the text, as the text refers cases to homework.

For arbitrary formula \mathcal{Q} , term τ and interpretation \mathbf{I} , suppose τ is free for x in \mathcal{Q} . By induction on the number of operator symbols in \mathcal{Q} ,

Basis: Suppose $\mathbf{I}_d[\tau] = \mathbf{o}$. Then [from the text], $\mathbf{I}_d[\mathcal{Q}_\tau^x] = \mathbf{S}$ iff $\mathbf{I}_{d(x|\mathbf{o})}[\mathcal{Q}] = \mathbf{S}$.

Assp: For any i , $0 \leq i < k$, if \mathcal{Q} has i operator symbols, τ is free for x in \mathcal{Q} and $\mathbf{I}_d[\tau] = \mathbf{o}$, then $\mathbf{I}_d[\mathcal{Q}_\tau^x] = \mathbf{S}$ iff $\mathbf{I}_{d(x|\mathbf{o})}[\mathcal{Q}] = \mathbf{S}$.

Show: If \mathcal{Q} has k operator symbols, τ is free for x in \mathcal{Q} and $\mathbf{I}_d[\tau] = \mathbf{o}$, then $\mathbf{I}_d[\mathcal{Q}_\tau^x] = \mathbf{S}$ iff $\mathbf{I}_{d(x|\mathbf{o})}[\mathcal{Q}] = \mathbf{S}$.

Suppose $\mathbf{I}_d[\tau] = \mathbf{o}$. If \mathcal{Q} has k operator symbols, then \mathcal{Q} is of the form $\sim \mathcal{B}$, $\mathcal{B} \rightarrow \mathcal{C}$, or $\forall v \mathcal{B}$ for variable v and formulas \mathcal{B} and \mathcal{C} with $< k$ operator symbols.

(\sim) Suppose \mathcal{Q} is $\sim \mathcal{B}$. Then [from the text], $\mathbf{I}_d[\mathcal{Q}_\tau^x] = \mathbf{S}$ iff $\mathbf{I}_{d(x|\mathbf{o})}[\mathcal{Q}] = \mathbf{S}$.

(\rightarrow) Suppose \mathcal{Q} is $\mathcal{B} \rightarrow \mathcal{C}$. Then $\mathcal{Q}_\tau^x = [\mathcal{B} \rightarrow \mathcal{C}]_\tau^x = [\mathcal{B}_\tau^x \rightarrow \mathcal{C}_\tau^x]$. Since τ is free for x in \mathcal{Q} , τ is free for x in \mathcal{B} and \mathcal{C} ; so by assumption, $\mathbf{I}_d[\mathcal{B}_\tau^x] = \mathbf{S}$ iff $\mathbf{I}_{d(x|\mathbf{o})}[\mathcal{B}] = \mathbf{S}$ and $\mathbf{I}_d[\mathcal{C}_\tau^x] = \mathbf{S}$ iff $\mathbf{I}_{d(x|\mathbf{o})}[\mathcal{C}] = \mathbf{S}$. But by **SF** (\rightarrow) , $\mathbf{I}_d[\mathcal{B}_\tau^x \rightarrow \mathcal{C}_\tau^x] = \mathbf{S}$ iff $\mathbf{I}_d[\mathcal{B}_\tau^x] \neq \mathbf{S}$ or $\mathbf{I}_d[\mathcal{C}_\tau^x] = \mathbf{S}$; by assumption, iff $\mathbf{I}_{d(x|\mathbf{o})}[\mathcal{B}] \neq \mathbf{S}$ or $\mathbf{I}_{d(x|\mathbf{o})}[\mathcal{C}] = \mathbf{S}$; by **SF** (\rightarrow) , iff $\mathbf{I}_{d(x|\mathbf{o})}[\mathcal{B} \rightarrow \mathcal{C}] = \mathbf{S}$. So $\mathbf{I}_d[\mathcal{Q}_\tau^x] = \mathbf{S}$ iff $\mathbf{I}_{d(x|\mathbf{o})}[\mathcal{Q}] = \mathbf{S}$.

(\forall) Suppose \mathcal{Q} is $\forall v \mathcal{B}$. From the text, by the assumption, for any $\mathbf{m} \in \mathbf{U}$, $\mathbf{I}_{d(v|\mathbf{m})}[\mathcal{B}_\tau^x] = \mathbf{S}$ iff $\mathbf{I}_{d(v|\mathbf{m}, x|\mathbf{o})}[\mathcal{B}] = \mathbf{S}$. In addition, if $\mathbf{I}_{d(x|\mathbf{o})}[\mathcal{Q}] = \mathbf{S}$ then $\mathbf{I}_d[\mathcal{Q}_\tau^x] = \mathbf{S}$. Now suppose $\mathbf{I}_d[\mathcal{Q}_\tau^x] = \mathbf{S}$ but $\mathbf{I}_{d(x|\mathbf{o})}[\mathcal{Q}] \neq \mathbf{S}$; then $\mathbf{I}_d[\forall v \mathcal{B}_\tau^x] = \mathbf{S}$ but $\mathbf{I}_{d(x|\mathbf{o})}[\forall v \mathcal{B}] \neq \mathbf{S}$. From the latter, by **SF** (\forall) , there is some $\mathbf{m} \in \mathbf{U}$ such that $\mathbf{I}_{d(v|\mathbf{m}, x|\mathbf{o})}[\mathcal{B}] \neq \mathbf{S}$; so by the result from the assumption, $\mathbf{I}_{d(v|\mathbf{m})}[\mathcal{B}_\tau^x] \neq \mathbf{S}$; so by **SF** (\forall) , $\mathbf{I}_d[\forall v \mathcal{B}_\tau^x] \neq \mathbf{S}$; this is impossible. So $\mathbf{I}_d[\mathcal{Q}_\tau^x] = \mathbf{S}$ iff $\mathbf{I}_{d(x|\mathbf{o})}[\mathcal{Q}] = \mathbf{S}$.

If \mathcal{Q} has k operator symbols, if τ is free for x in \mathcal{Q} and $\mathbf{I}_d[\tau] = \mathbf{o}$, then $\mathbf{I}_d[\mathcal{Q}_\tau^x] = \mathbf{S}$ iff $\mathbf{I}_{d(x|\mathbf{o})}[\mathcal{Q}] = \mathbf{S}$.

Indct: For any \mathcal{Q} , if τ is free for x in \mathcal{Q} and $\mathbf{I}_d[\tau] = \mathbf{o}$, then $\mathbf{I}_d[\mathcal{Q}_\tau^x] = \mathbf{S}$ iff $\mathbf{I}_{d(x|\mathbf{o})}[\mathcal{Q}] = \mathbf{S}$.

E10.2. Complete the case for (MP) to round out the demonstration that AD is sound.

You should set up the complete demonstration, but for cases completed in the text, you may simply refer to the text, as the text refers cases to homework.

Suppose $\Gamma \vdash_{AD} \mathcal{P}$. Then there is an AD derivation $A = \langle \mathcal{Q}_1 \dots \mathcal{Q}_n \rangle$ of \mathcal{P} from premises in Γ , with $\mathcal{Q}_n = \mathcal{P}$. By induction on the line numbers in A , for any i , $\Gamma \models \mathcal{Q}_i$. The case when $i = n$ is the desired result.

Basis: The first line of A is a premise or an axiom. Then [from the text], $\Gamma \models \mathcal{Q}_1$.

Assp: For any i , $1 \leq i < k$, $\Gamma \models \mathcal{Q}_i$.

Show: $\Gamma \models \mathcal{Q}_k$.

\mathcal{Q}_k is either a premise, an axiom, or arises from previous lines by MP or Gen. If \mathcal{Q}_k is a premise or an axiom then, as in the basis, $\Gamma \models \mathcal{Q}_k$. So suppose \mathcal{Q}_k arises by MP or Gen.

(MP) If \mathcal{Q}_k arises by MP, then A is something like this,

$$\begin{array}{ll} i & \mathcal{B} \rightarrow \mathcal{C} \\ j & \mathcal{B} \\ & \vdots \\ k & \mathcal{C} \quad \quad i, j \text{ MP} \end{array}$$

where $i, j < k$ and $\mathcal{Q}_k = \mathcal{C}$. Suppose $\Gamma \not\models \mathcal{Q}_k$; then $\Gamma \not\models \mathcal{C}$; so by **QV**, there is some \mathbf{l} such that $\mathbf{l}[\Gamma] = \mathbf{T}$ but $\mathbf{l}[\mathcal{C}] \neq \mathbf{T}$; from the latter, by **TI**, there is some \mathbf{d} such that $\mathbf{l}_d[\mathcal{C}] \neq \mathbf{S}$. But $\mathbf{l}[\Gamma] = \mathbf{T}$ and by assumption, $\Gamma \models \mathcal{B} \rightarrow \mathcal{C}$ and $\Gamma \models \mathcal{B}$; so by **QV**, $\mathbf{l}[\mathcal{B} \rightarrow \mathcal{C}] = \mathbf{T}$ and $\mathbf{l}[\mathcal{B}] = \mathbf{T}$; so by **TI**, $\mathbf{l}_d[\mathcal{B} \rightarrow \mathcal{C}] = \mathbf{S}$ and $\mathbf{l}_d[\mathcal{B}] = \mathbf{S}$; from the first of these, by **SF**(\rightarrow), $\mathbf{l}_d[\mathcal{B}] \neq \mathbf{S}$ or $\mathbf{l}_d[\mathcal{C}] = \mathbf{S}$; so $\mathbf{l}_d[\mathcal{C}] = \mathbf{S}$. This is impossible; reject the assumption: $\Gamma \models \mathcal{Q}_k$.

(Gen) If \mathcal{Q}_k arises by Gen, then [from the text], $\Gamma \models \mathcal{Q}_k$.

$\Gamma \models \mathcal{Q}_k$.

Indct: For any n , $\Gamma \models \mathcal{Q}_n$.

E10.4. Provide an argument to show T10.5.

If there is an interpretation \mathbf{M} such that $\mathbf{M}[\Gamma \cup \{\sim \mathcal{A}\}] = \mathbf{T}$, then $\Gamma \not\models \mathcal{A}$.

Suppose there is an interpretation \mathbf{M} such that $\mathbf{M}[\Gamma \cup \{\sim \mathcal{A}\}] = \mathbf{T}$ but $\Gamma \models \mathcal{A}$. From the former, $\mathbf{M}[\Gamma] = \mathbf{T}$ and $\mathbf{M}[\sim \mathcal{A}] = \mathbf{T}$. From the latter, by soundness, $\Gamma \models \mathcal{A}$; but $\mathbf{M}[\Gamma] = \mathbf{T}$; so by **QV**, $\mathbf{M}[\mathcal{A}] = \mathbf{T}$; so by **TI**, for any \mathbf{d} , $\mathbf{M}_d[\mathcal{A}] =$

S and since $M[\sim \mathcal{A}] = \text{T}$, $M_d[\sim \mathcal{A}] = \text{S}$; so by $\text{SF}(\sim)$, $M_d[\mathcal{A}] \neq \text{S}$. This is impossible; reject the assumption: if there is an interpretation M such that $M[\Gamma \cup \{\sim \mathcal{A}\}] = \text{T}$, then $\Gamma \not\vdash \mathcal{A}$.

E10.10. Complete the second half of the conditional case to complete the proof of T10.9_s. You should set up the entire induction, but may refer to the text for parts completed there, as the text refers to homework.

Suppose Σ' is consistent. Then by T10.8_s, Σ'' is maximal and consistent. Now by induction on the number of operators in \mathcal{B} ,

Basis: If \mathcal{B} has no operators, then it is an atomic of the sort \mathcal{S} . But by the construction of M' , $M'[\mathcal{S}] = \text{T}$ iff $\Sigma'' \vdash \mathcal{S}$; so $M'[\mathcal{B}] = \text{T}$ iff $\Sigma'' \vdash \mathcal{B}$.

Assp: For any i , $0 \leq i < k$, if \mathcal{B} has i operator symbols, then $M'[\mathcal{B}] = \text{T}$ iff $\Sigma'' \vdash \mathcal{B}$.

Show: If \mathcal{B} has k operator symbols, then $M'[\mathcal{B}] = \text{T}$ iff $\Sigma'' \vdash \mathcal{B}$.

If \mathcal{B} has k operator symbols, then it is of the form $\sim \mathcal{P}$ or $\mathcal{P} \rightarrow \mathcal{Q}$ where \mathcal{P} and \mathcal{Q} have $< k$ operator symbols.

(\sim) Suppose \mathcal{B} is $\sim \mathcal{P}$. [From the text], $M'[\mathcal{B}] = \text{T}$ iff $\Sigma'' \vdash \mathcal{B}$.

(\rightarrow) Suppose \mathcal{B} is $\mathcal{P} \rightarrow \mathcal{Q}$. (i) Suppose $M'[\mathcal{B}] = \text{T}$; then [from the text], $\Sigma'' \vdash \mathcal{B}$. (ii) Suppose $\Sigma'' \vdash \mathcal{B}$ but $M'[\mathcal{B}] \neq \text{T}$; then $\Sigma'' \vdash \mathcal{P} \rightarrow \mathcal{Q}$ but $M'[\mathcal{P} \rightarrow \mathcal{Q}] \neq \text{T}$; from the latter, by $\text{ST}(\rightarrow)$, $M'[\mathcal{P}] = \text{T}$ and $M'[\mathcal{Q}] \neq \text{T}$; so by assumption, $\Sigma'' \vdash \mathcal{P}$ and $\Sigma'' \not\vdash \mathcal{Q}$; from the second of these, by maximality, $\Sigma'' \vdash \sim \mathcal{Q}$. But since $\Sigma'' \vdash \mathcal{P}$ and $\Sigma'' \vdash \mathcal{P} \rightarrow \mathcal{Q}$, by MP, $\Sigma'' \vdash \mathcal{Q}$; so by consistency, $\Sigma'' \not\vdash \sim \mathcal{Q}$. This is impossible; reject the assumption: If $\Sigma'' \vdash \mathcal{B}$, then $M'[\mathcal{B}] = \text{T}$. So $M'[\mathcal{B}] = \text{T}$ iff $\Sigma'' \vdash \mathcal{B}$.

If \mathcal{B} has k operator symbols, then $M'[\mathcal{B}] = \text{T}$ iff $\Sigma'' \vdash \mathcal{B}$.

Indct: For any \mathcal{B} , $M'[\mathcal{B}] = \text{T}$ iff $\Sigma'' \vdash \mathcal{B}$.

E10.13. Finish the cases for A2, A3 and MP to complete the proof of T10.12. You should set up the complete demonstration, but may refer to the text for cases completed there, as the text refers cases to homework.

Basis: \mathcal{B}_1 is either a member of Σ' or an axiom.

(prem) If \mathcal{B}_1 is a member of Σ' , then [from text], $\langle \mathcal{B}_1 \frac{a}{x} \rangle$ is a derivation from $\Sigma' \frac{a}{x}$.

Exercise 10.13

- (eq) If \mathcal{B}_1 is an equality axiom, A6, A7 or A8, then [from text], $\langle \mathcal{B}_1^a \rangle$ is a derivation from Σ'_x .
- (A1) If \mathcal{B}_1 is an instance of A1, then [from text], $\langle \mathcal{B}_1^a \rangle$ is a derivation from Σ'_x .
- (A2) If \mathcal{B}_1 is an instance of A2, then it is of the form, $[\mathcal{O} \rightarrow (\mathcal{P} \rightarrow \mathcal{Q})] \rightarrow [(\mathcal{O} \rightarrow \mathcal{P}) \rightarrow (\mathcal{O} \rightarrow \mathcal{Q})]$; so \mathcal{B}_1^a is $[\mathcal{O}_x^a \rightarrow (\mathcal{P}_x^a \rightarrow \mathcal{Q}_x^a)] \rightarrow [(\mathcal{O}_x^a \rightarrow \mathcal{P}_x^a) \rightarrow (\mathcal{O}_x^a \rightarrow \mathcal{Q}_x^a)]$; but this is an instance of A2; so if \mathcal{B}_1 is an instance of A2, then \mathcal{B}_1^a is an instance of A2, and $\langle \mathcal{B}_1^a \rangle$ is a derivation from Σ'_x .
- (A3) If \mathcal{B}_1 is an instance of A3, then it is of the form, $(\sim \mathcal{Q} \rightarrow \sim \mathcal{P}) \rightarrow [(\sim \mathcal{Q} \rightarrow \mathcal{P}) \rightarrow \mathcal{Q}]$; so \mathcal{B}_1^a is $(\sim \mathcal{Q}_x^a \rightarrow \sim \mathcal{P}_x^a) \rightarrow [(\sim \mathcal{Q}_x^a \rightarrow \mathcal{P}_x^a) \rightarrow \mathcal{Q}_x^a]$; but this is an instance of A3; so if \mathcal{B}_1 is an instance of A3, then \mathcal{B}_1^a is an instance of A3, and $\langle \mathcal{B}_1^a \rangle$ is a derivation from Σ'_x .
- (A4) If \mathcal{B}_1 is an instance of A4, then [from text], $\langle \mathcal{B}_1^a \rangle$ is a derivation from Σ'_x .

Assp: For any i , $1 \leq i < k$, $\langle \mathcal{B}_1^a \dots \mathcal{B}_i^a \rangle$ is a derivation from Σ'_x .

Show: $\langle \mathcal{B}_1^a \dots \mathcal{B}_k^a \rangle$ is a derivation from Σ'_x .

\mathcal{B}_k is a member of Σ' , an axiom, or arises from previous lines by MP or Gen. If \mathcal{B}_k is a member of Σ' or an axiom then, by reasoning as in the basis, $\langle \mathcal{B}_1 \dots \mathcal{B}_k \rangle$ is a derivation from Σ'_x . So two cases remain.

(MP) If \mathcal{B}_k arises by MP, then there are some lines in D ,

$$\begin{array}{ll} i & \mathcal{P} \rightarrow \mathcal{Q} \\ j & \mathcal{P} \\ \vdots & \\ k & \mathcal{Q} \end{array} \quad i, j \text{ MP}$$

where $i, j < k$ and $\mathcal{B}_k = \mathcal{Q}$. By assumption $(\mathcal{P} \rightarrow \mathcal{Q})_x^a$ and \mathcal{P}_x^a are members of the derivation $\langle \mathcal{B}_1^a \dots \mathcal{B}_{k-1}^a \rangle$ from Σ'_x ; but $(\mathcal{P} \rightarrow \mathcal{Q})_x^a$ is $\mathcal{P}_x^a \rightarrow \mathcal{Q}_x^a$; so by MP, \mathcal{Q}_x^a follows in this new derivation. So $\langle \mathcal{B}_1^a \dots \mathcal{B}_k^a \rangle$ is a derivation from Σ'_x .

(Gen) If \mathcal{B}_k arises by Gen, then [from text], $\langle \mathcal{B}_1^a \dots \mathcal{B}_k^a \rangle$ is a derivation from Σ'_x .

So $\langle \mathcal{B}_1^a \dots \mathcal{B}_k^a \rangle$ is a derivation from Σ'_x .

Indct: For any n , $\langle \mathcal{B}_1^a \dots \mathcal{B}_n^a \rangle$ is a derivation from Σ'_x .

E10.21. Complete the proof of T10.14. You should set up the complete induction, but may refer to the text, as the text refers to homework.

Exercise 10.21

The argument is by induction on the number of function symbols in t . Let \mathbf{d} be a variable assignment, and t a term in \mathcal{L} .

Basis: If t has no function symbols, then it is a variable or a constant in \mathcal{L} .
 If t is a constant, then by construction, $M[t] = M'[t]$; so by **TA(c)**, $M_{\mathbf{d}}[t] = M'_{\mathbf{d}}[t]$. If t is a variable, by **TA(v)**, $M_{\mathbf{d}}[t] = \mathbf{d}[t] = M'_{\mathbf{d}}[t]$. In either case, then, $M_{\mathbf{d}}[t] = M'_{\mathbf{d}}[t]$.

Assp: For any i , $0 \leq i < k$, if t has i function symbols, then $M_{\mathbf{d}}[t] = M'_{\mathbf{d}}[t]$.

Show: If t has k function symbols, then $M_{\mathbf{d}}[t] = M'_{\mathbf{d}}[t]$.

If t has k function symbols, then [from text] $M_{\mathbf{d}}[t] = M'_{\mathbf{d}}[t]$.

Indct: For any t in \mathcal{L} , $M_{\mathbf{d}}[t] = M'_{\mathbf{d}}[t]$.

E10.22. Complete the proof of T10.15. As usual, you should set up the complete induction, but may refer to the text for cases completed there, as the text refers to homework.

The argument is by induction on the number of operator symbols in \mathcal{P} . Let \mathbf{d} be a variable assignment, and \mathcal{P} a formula in \mathcal{L} .

Basis: If \mathcal{P} has no operator symbols, then [from text] $M_{\mathbf{d}}[\mathcal{P}] = \mathbf{S}$ iff $M'_{\mathbf{d}}[\mathcal{P}] = \mathbf{S}$.

Assp: For any i , $0 \leq i < k$, and any common variable assignment \mathbf{d} , if \mathcal{P} has i operator symbols, $M_{\mathbf{d}}[\mathcal{P}] = \mathbf{S}$ iff $M'_{\mathbf{d}}[\mathcal{P}] = \mathbf{S}$.

Show: For any variable assignment \mathbf{d} for M , if \mathcal{P} has k operator symbols, $M_{\mathbf{d}}[\mathcal{P}] = \mathbf{S}$ iff $M'_{\mathbf{d}}[\mathcal{P}] = \mathbf{S}$.

If \mathcal{P} has k operator symbols, then it is of the form $\sim \mathcal{A}$, $\mathcal{A} \rightarrow \mathcal{B}$ or $\forall x \mathcal{A}$ for variable x and formulas \mathcal{A} and \mathcal{B} with $< k$ operator symbols.

(\sim) Suppose \mathcal{P} is of the form $\sim \mathcal{A}$. Then $M_{\mathbf{d}}[\mathcal{P}] = \mathbf{S}$ iff $M_{\mathbf{d}}[\sim \mathcal{A}] = \mathbf{S}$; by **SF(\sim)**, iff $M_{\mathbf{d}}[\mathcal{A}] \neq \mathbf{S}$; by assumption, iff $M'_{\mathbf{d}}[\mathcal{A}] \neq \mathbf{S}$; by **SF(\sim)**, iff $M'_{\mathbf{d}}[\sim \mathcal{A}] = \mathbf{S}$; iff $M'_{\mathbf{d}}[\mathcal{P}] = \mathbf{S}$.

(\rightarrow) Suppose \mathcal{P} is of the form $\mathcal{A} \rightarrow \mathcal{B}$. Then $M_{\mathbf{d}}[\mathcal{P}] = \mathbf{S}$ iff $M_{\mathbf{d}}[\mathcal{A} \rightarrow \mathcal{B}] = \mathbf{S}$; by **SF(\rightarrow)**, iff $M_{\mathbf{d}}[\mathcal{A}] \neq \mathbf{S}$ or $M_{\mathbf{d}}[\mathcal{B}] = \mathbf{S}$; by assumption, iff $M'_{\mathbf{d}}[\mathcal{A}] \neq \mathbf{S}$ or $M'_{\mathbf{d}}[\mathcal{B}] = \mathbf{S}$; by **SF(\rightarrow)**, iff $M'_{\mathbf{d}}[\mathcal{A} \rightarrow \mathcal{B}] = \mathbf{S}$; iff $M'_{\mathbf{d}}[\mathcal{P}] = \mathbf{S}$.

(\forall) Suppose \mathcal{P} is of the form $\forall x \mathcal{A}$. Then $M_{\mathbf{d}}[\mathcal{P}] = \mathbf{S}$ iff $M_{\mathbf{d}}[\forall x \mathcal{A}] = \mathbf{S}$; by **SF(\forall)**, iff for any $m \in U$, $M_{\mathbf{d}(x|m)}[\mathcal{A}] = \mathbf{S}$; by assumption, iff for any $m \in U$, $M'_{\mathbf{d}(x|m)}[\mathcal{A}] = \mathbf{S}$; by **SF(\forall)**, iff $M'_{\mathbf{d}}[\forall x \mathcal{A}] = \mathbf{S}$; iff $M'_{\mathbf{d}}[\mathcal{P}] = \mathbf{S}$.

Exercise 10.22

If \mathcal{P} has k operator symbols, $M_d[\mathcal{P}] = S$ iff $M'_d[\mathcal{P}] = S$.

Indct: For any formula \mathcal{P} in \mathcal{L} , $M_d[\mathcal{P}] = S$ iff $M'_d[\mathcal{P}] = S$.

Chapter Eleven

E11.9. Complete the proof of T11.9. You should set up the complete induction, but may refer to the text, as the text refers to homework.

By induction on the number of operators in \mathcal{P} . Suppose $D \cong H$.

Basis: Suppose \mathcal{P} has no operator symbols and d and h are such that for any x , $\iota(d[x]) = h[x]$. If \mathcal{P} has no operator symbols, then [from text] $D_d[\mathcal{P}] = S$ iff $H_h[\mathcal{P}] = S$.

Assp: For any i , $0 \leq i < k$, for d and h such that for any x , $\iota(d[x]) = h[x]$ and \mathcal{P} with i operator symbols, $D_d[\mathcal{P}] = S$ iff $H_h[\mathcal{P}] = S$.

Show: For d and h such that for any x , $\iota(d[x]) = h[x]$ and \mathcal{P} with k operator symbols, $D_d[\mathcal{P}] = S$ iff $H_h[\mathcal{P}] = S$.

If \mathcal{P} has k operator symbols, then it is of the form $\sim \mathcal{A}$, $\mathcal{A} \rightarrow \mathcal{B}$, or $\forall x \mathcal{A}$ for variable x and formulas \mathcal{A} and \mathcal{B} with $< k$ operator symbols. Suppose for any x , $\iota(d[x]) = h[x]$.

(\sim) Suppose \mathcal{P} is of the form $\sim \mathcal{A}$. Then [from text] $D_d[\mathcal{P}] = S$ iff $H_h[\mathcal{P}] = S$.

$D_d[\mathcal{P}] = S$ iff $D_d[\sim \mathcal{A}] = S$; by **SF**(\sim), iff $D_d[\mathcal{A}] \neq S$; by assumption, iff $H_h[\mathcal{A}] \neq S$; by **SF**(\sim), iff $H_h[\sim \mathcal{A}] = S$; iff $H_h[\mathcal{P}] = S$.

(\rightarrow) $D_d[\mathcal{P}] = S$ iff $D_d[\mathcal{A} \rightarrow \mathcal{B}] = S$; by **SF**(\rightarrow), iff $D_d[\mathcal{A}] \neq S$ or $D_d[\mathcal{B}] = S$; by assumption, iff $H_h[\mathcal{A}] \neq S$ or $H_h[\mathcal{B}] = S$; by **SF**(\rightarrow), iff $H_h[\mathcal{A} \rightarrow \mathcal{B}] = S$; iff $H_h[\mathcal{P}] = S$.

(\forall) Suppose \mathcal{P} is of the form $\forall x \mathcal{A}$. Then $D_d[\mathcal{P}] = S$ iff $D_d[\forall x \mathcal{A}] = S$; by **SF**(\forall), iff for any $m \in U_D$, $D_{d(x|m)}[\mathcal{A}] = S$. Similarly, $H_h[\mathcal{P}] = S$ iff $H_h[\forall x \mathcal{A}] = S$; by **SF**(\forall), iff for any $n \in U_H$, $H_{h(x|n)}[\mathcal{A}] = S$. (i) [From the text], if $H_h[\mathcal{P}] = S$, then $D_d[\mathcal{P}] = S$. (ii) Suppose $D_d[\mathcal{P}] = S$ but $H_h[\mathcal{P}] \neq S$; then any $m \in U_D$ is such that $D_{d(x|m)}[\mathcal{A}] = S$, but there is some $n \in U_H$ such that $H_{h(x|n)}[\mathcal{A}] \neq S$. Since ι is onto U_H , there is some $o \in U_D$ such that $\iota(o) = n$; so insofar as $d(x|o)$ and $h(x|n)$ have each member related by ι , the assumption applies and $D_{d(x|o)}[\mathcal{A}] \neq S$; so there is some $m \in U_D$ such that $D_{d(x|m)}[\mathcal{A}] \neq S$; this is impossible; reject the assumption: if $D_d[\mathcal{P}] = S$, then $H_h[\mathcal{P}] = S$.

For d and h such that for any x , $\iota(d[x]) = h[x]$ and \mathcal{P} with k operator symbols, $D_d[\mathcal{P}] = S$ iff $H_h[\mathcal{P}] = S$.

Indct: For d and h such that for any x , $\iota(d[x]) = h[x]$, and any \mathcal{P} , $D_d[\mathcal{P}] = S$ iff $H_h[\mathcal{P}] = S$.

Chapter Twelve

E12.1. (b) produce functions $\text{gpower}(x)$, and $\text{hpower}(x, y, u)$ and show that they have the same result as conditions (g) and (h).

Set $\text{gpower}(x) = \text{suc}(\text{zero}(x))$ and $\text{hpower}(x, y, u) = \text{times}(\text{idnt}_3^3(x, y, u), x)$. Then,

$$g' \quad \text{power}(x, 0) = S(\text{zero}(x)) = S0$$

$$h' \quad \text{power}(x, Sy) = \text{idnt}_3^3(x, y, \text{power}(x, y)) \times x = \text{power}(x, y) \times x$$

E12.5. (a) By the method of our core induction, write down formulas to express the following recursive function: $\text{suc}(\text{zero}(x))$.

$\mathcal{Z}(x, w)$ is $x = x \wedge w = \emptyset$ and $\mathcal{S}(w, y)$ is $Sw = y$; so their composition $\mathcal{F}(x, y) = \exists w[(x = x \wedge w = \emptyset) \wedge Sw = y]$.

E12.6. Fill out semantic reasoning to demonstrate that proposed (original) formulas satisfy the conditions for expression for the (z), (i), (c) and (m) clauses to T12.3.

(c) $f_k(y)$ arises by composition from $g(y)$ and $h(w)$. By assumption $g(y)$ is expressed by some $\mathcal{G}(y, w)$ and $h(w)$ by $\mathcal{H}(w, v)$. And the composition $f(y)$ is expressed by $\mathcal{F}(y, v) =_{\text{def}} \exists w[\mathcal{G}(y, w) \wedge \mathcal{H}(w, v)]$. Suppose $\langle m, a \rangle \in f_k$; then by composition there is some b such that $\langle m, b \rangle \in g$ and $\langle b, a \rangle \in h$.

(i) Because \mathcal{G} and \mathcal{H} express g and h , $N[\mathcal{G}(\bar{m}, \bar{b})] = T$ and $N[\mathcal{H}(\bar{b}, \bar{a})] = T$. Suppose $N[\exists w(\mathcal{G}(\bar{m}, w) \wedge \mathcal{H}(w, \bar{a}))] \neq T$; then by **TI**, there is some d such that $N_d[\exists w(\mathcal{G}(\bar{m}, w) \wedge \mathcal{H}(w, \bar{a}))] \neq S$; let h be a particular assignment of this sort; then $N_h[\exists w(\mathcal{G}(\bar{m}, w) \wedge \mathcal{H}(w, \bar{a}))] \neq S$; so by **SF**(\exists), for any $o \in U$, $N_{h(w|o)}[\mathcal{G}(\bar{m}, w) \wedge \mathcal{H}(w, \bar{a})] \neq S$; so $N_{h(w|b)}[\mathcal{G}(\bar{m}, w) \wedge \mathcal{H}(w, \bar{a})] \neq S$; so since $N_h[\bar{b}] = b$, with T10.2, $N_h[\mathcal{G}(\bar{m}, \bar{b}) \wedge \mathcal{H}(\bar{b}, \bar{a})] \neq S$; so by **SF**(\wedge), $N_h[\mathcal{G}(\bar{m}, \bar{b})] \neq S$ or $N_h[\mathcal{H}(\bar{b}, \bar{a})] \neq S$. But $N[\mathcal{G}(\bar{m}, \bar{b})] = T$; so by **TI**, for any d , $N_d[\mathcal{G}(\bar{m}, \bar{b})] = S$; so $N_h[\mathcal{G}(\bar{m}, \bar{b})] = S$; so $N_h[\mathcal{H}(\bar{b}, \bar{a})] \neq S$; but $N[\mathcal{H}(\bar{b}, \bar{a})] = T$; so by **TI**, for any d , $N_d[\mathcal{H}(\bar{b}, \bar{a})] = S$; so $N_h[\mathcal{H}(\bar{b}, \bar{a})] = S$. This is impossible; reject the assumption: $N[\exists w(\mathcal{G}(\bar{m}, w) \wedge \mathcal{H}(w, \bar{a}))] = T$.

(ii) Suppose $N[\forall z(\exists w(\mathcal{G}(\bar{m}, w) \wedge \mathcal{H}(w, z)) \rightarrow z = \bar{a})] \neq T$; then by **TI**, there is some d such that $N_d[\forall z(\exists w(\mathcal{G}(\bar{m}, w) \wedge \mathcal{H}(w, z)) \rightarrow z = \bar{a})] \neq S$; let h be a particular assignment of this sort; then $N_h[\forall z(\exists w(\mathcal{G}(\bar{m}, w) \wedge \mathcal{H}(w, z)) \rightarrow z = \bar{a})] \neq S$; so by **SF**(\forall), for some $o \in U$, $N_{h(z|o)}[\exists w(\mathcal{G}(\bar{m}, w) \wedge \mathcal{H}(w, z)) \rightarrow z = \bar{a}] \neq S$; let p be a particular individual of this sort; then $N_{h(z|p)}[\exists w(\mathcal{G}(\bar{m}, w) \wedge \mathcal{H}(w, z)) \rightarrow z = \bar{a}] \neq S$; since $N_h[p] = p$, with T10.2, $N_h[\exists w(\mathcal{G}(\bar{m}, w) \wedge \mathcal{H}(w, \bar{p})) \rightarrow \bar{p} = \bar{a}] \neq S$; so by **SF**(\rightarrow), $N_h[\exists w(\mathcal{G}(\bar{m}, w) \wedge \mathcal{H}(w, \bar{p}))] = S$ and $N_h[\bar{p} = \bar{a}] \neq S$. From the first of these, by **SF**(\exists), there is some $o \in U$ such that $N_{h(w|o)}[\mathcal{G}(\bar{m}, w) \wedge \mathcal{H}(w, \bar{p})] = S$; let q be a particular individual of this sort; then $N_{h(w|q)}[\mathcal{G}(\bar{m}, w) \wedge \mathcal{H}(w, \bar{p})] = S$; since $N_h[q] = q$, with T10.2, $N_h[\mathcal{G}(\bar{m}, q) \wedge \mathcal{H}(q, \bar{p})] = S$; so by **SF**(\wedge), $N_h[\mathcal{G}(\bar{m}, q)] = S$; and $N_h[\mathcal{H}(q, \bar{p})] = S$.

Because \mathcal{G} expresses g and $\langle m, b \rangle \in g$, $N[\forall z(\mathcal{G}(\bar{m}, z) \rightarrow z = \bar{b})] = T$; so by **TI**, for any d , $N_d[\forall z(\mathcal{G}(\bar{m}, z) \rightarrow z = \bar{b})] = S$; so $N_h[\forall z(\mathcal{G}(\bar{m}, z) \rightarrow z = \bar{b})] = S$; so by **SF**(\forall), for any $o \in U$, $N_{h(z|o)}[\mathcal{G}(\bar{m}, z) \rightarrow z = \bar{b}] = S$; so $N_{h(z|q)}[\mathcal{G}(\bar{m}, z) \rightarrow z = \bar{b}] = S$; since $N_h[q] = q$, with T10.2, $N_h[\mathcal{G}(\bar{m}, q) \rightarrow q = \bar{b}] = S$; so by **SF**(\rightarrow), $N_h[\mathcal{G}(\bar{m}, q)] \neq S$ or $N_h[q = \bar{b}] = S$; but $N_h[\mathcal{G}(\bar{m}, q)] = S$; so $N_h[q = \bar{b}] = S$; and since $N_h[q] = q$ and $N_h[\bar{b}] = b$, with **SF**(r), $q = b$.

Since \mathcal{H} expresses h , and $\langle b, a \rangle \in h$, $\langle q, a \rangle \in h$ and $N[\forall z(\mathcal{H}(q, z) \rightarrow z = \bar{a})] = T$; so by **TI**, for any d , $N_d[\forall z(\mathcal{H}(q, z) \rightarrow z = \bar{a})] = S$; so $N_h[\forall z(\mathcal{H}(q, z) \rightarrow z = \bar{a})] = S$; so by **SF**(\forall), for any $o \in U$, $N_{h(z|o)}[\mathcal{H}(q, z) \rightarrow z = \bar{a}] = S$; so $N_{h(z|p)}[\mathcal{H}(q, z) \rightarrow z = \bar{a}] = S$; since $N_h[p] = p$, with T10.2, $N_h[\mathcal{H}(q, \bar{p}) \rightarrow \bar{p} = \bar{a}] = S$; so by **SF**(\rightarrow), $N_h[\mathcal{H}(q, \bar{p})] \neq S$ or $N_h[\bar{p} = \bar{a}] = S$; but $N_h[\mathcal{H}(q, \bar{p})] = S$; so $N_h[\bar{p} = \bar{a}] = S$. This is impossible; reject the assumption: $N[\forall z(\exists w(\mathcal{G}(\bar{m}, w) \wedge \mathcal{H}(w, z)) \rightarrow z = \bar{a})] = T$.

E12.11. Complete the demonstration of T12.8 by finishing the remaining cases. You should set up the entire argument, but may appeal to the text for parts already completed, as the text appeals to homework.

($\exists \leq$) \mathcal{P} is $(\exists x \leq t)\mathcal{A}(x)$. Since \mathcal{P} is a sentence, x is the only variable free in \mathcal{A} ; in particular, since x does not appear in t , t is variable free; so $N_d[t] = N[t]$ and where $N[t] = n$, by T8.13, $Q \vdash_{ND} t = \bar{n}$; so $Q \vdash_{ND} \mathcal{P}$ just in case $Q \vdash_{ND} (\exists x \leq \bar{n})\mathcal{A}(x)$.

(i) Suppose $N[\mathcal{P}] = T$; then $N[(\exists x \leq t)\mathcal{A}(x)] = T$; so by **TI**, for any d , $N_d[(\exists x \leq t)\mathcal{A}(x)] = S$; so by T12.7, for some $m \leq N_d[t]$, $N_{d(x|m)}[\mathcal{A}(x)] = S$; so where $N_d[t] = N[t] = n$, for some $m \leq n$,

$N_{d(x|m)}[\mathcal{A}(x)] = S$; so with T10.2, for some $m \leq n$, $N_d[\mathcal{A}(\bar{m})] = S$; since x is the only variable free in \mathcal{A} , $\mathcal{A}(\bar{m})$ is a sentence; so with T8.5, for some $m \leq n$, $N[\mathcal{A}(\bar{m})] = T$; so by assumption for some $m \leq n$, $Q \vdash_{ND} \mathcal{A}(\bar{m})$; so by T8.20, $Q \vdash_{ND} (\exists x \leq \bar{n}) \mathcal{A}(x)$; so $Q \vdash_{ND} \mathcal{P}$.

(ii) Suppose $N[\mathcal{P}] \neq T$; then $N[(\exists x \leq t) \mathcal{A}(x)] \neq T$; so by TI, for some d , $N_d[(\exists x \leq t) \mathcal{A}(x)] \neq S$; so by T12.7, for any $m \leq N_d[t]$, $N_{d(x|m)}[\mathcal{A}(x)] \neq S$; so where $N_d[t] = N[t] = n$, for any $m \leq n$, $N_{d(x|m)}[\mathcal{A}(x)] \neq S$; so with T10.2, for any $m \leq n$, $N_d[\mathcal{A}(\bar{m})] \neq S$; so by TI, for any $m \leq n$, $N[\mathcal{A}(\bar{m})] \neq T$; so $N[\mathcal{A}(\bar{0})] \neq T$ and ... and $N[\mathcal{A}(\bar{n})] \neq T$; so by assumption, $Q \vdash_{ND} \sim \mathcal{A}(\bar{0})$ and ... and $Q \vdash_{ND} \sim \mathcal{A}(\bar{n})$; so by T8.21, $Q \vdash_{ND} (\forall x \leq \bar{n}) \sim \mathcal{A}(x)$; so by BQN, $Q \vdash_{ND} \sim (\exists x \leq \bar{n}) \mathcal{A}(x)$; so $Q \vdash_{ND} \sim \mathcal{P}$.

E12.13. Complete the demonstration of T12.11 by completing the remaining cases, including the basis and part (ii) of the case for composition.

1.	$\forall z(\mathcal{G}(\bar{m}, z) \rightarrow z = \bar{b})$	$\mathcal{G} \text{ cap } g$
2.	$\forall z(\mathcal{H}(\bar{b}, z) \rightarrow z = \bar{a})$	$\mathcal{H} \text{ cap } h$
3.	$\exists w[\mathcal{G}(\bar{m}, w) \wedge \mathcal{H}(w, j)]$	$A(g, \rightarrow I)$
4.	$\mathcal{G}(\bar{m}, k) \wedge \mathcal{H}(k, j)$	$A(g, 3 \exists E)$
5.	$\mathcal{G}(\bar{m}, k)$	$4 \wedge E$
6.	$\mathcal{G}(\bar{m}, k) \rightarrow k = \bar{b}$	$1 \forall E$
7.	$k = \bar{b}$	$6, 5 \rightarrow E$
8.	$\mathcal{H}(k, j)$	$4 \wedge E$
9.	$\mathcal{H}(\bar{b}, j)$	$8, 7 = E$
10.	$\mathcal{H}(\bar{b}, j) \rightarrow j = \bar{a}$	$2 \forall E$
11.	$j = \bar{a}$	$10, 9 \rightarrow E$
12.	$j = \bar{a}$	$3, 4-11 \exists E$
13.	$\exists w[\mathcal{G}(\bar{m}, w) \wedge \mathcal{H}(w, j)] \rightarrow j = \bar{a}$	$3-12 \rightarrow I$
14.	$\forall z(\exists w[\mathcal{G}(\bar{m}, w) \wedge \mathcal{H}(w, z)] \rightarrow z = \bar{a})$	$13 \forall I$

E12.14. Produce a derivation to show the basis in the argument for the uniqueness condition.

1.	$\forall z[\mathcal{G}(\bar{m}, z) \rightarrow z = \bar{k}_0]$	from capture
2.	$\forall p \forall q \forall y[(\mathcal{B}(p, q, \emptyset, \bar{k}_0) \wedge \mathcal{B}(p, q, \emptyset, y)) \rightarrow \bar{k}_0 = y]$	from uniqueness
3.	$\exists p \exists q \{ \exists v [\mathcal{B}(p, q, \emptyset, v) \wedge \mathcal{G}(\bar{m}, v)] \wedge \mathcal{Q} \wedge \mathcal{B}(p, q, \emptyset, j) \}$	A (g, \rightarrow I)
4.	$\exists q \{ \exists v [\mathcal{B}(p, q, \emptyset, v) \wedge \mathcal{G}(\bar{m}, v)] \wedge \mathcal{Q} \wedge \mathcal{B}(p, q, \emptyset, j) \}$	A (g, \exists E)
5.	$\exists v [\mathcal{B}(p, q, \emptyset, v) \wedge \mathcal{G}(\bar{m}, v)] \wedge \mathcal{Q} \wedge \mathcal{B}(p, q, \emptyset, j)$	A (g, \exists E)
6.	$\exists v [\mathcal{B}(p, q, \emptyset, v) \wedge \mathcal{G}(\bar{m}, v)]$	5 \wedge E
7.	$\mathcal{B}(p, q, \emptyset, j)$	5 \wedge E
8.	$\mathcal{B}(p, q, \emptyset, k) \wedge \mathcal{G}(\bar{m}, k)$	A (g, \exists E)
9.	$\mathcal{B}(p, q, \emptyset, k)$	8 \wedge E
10.	$\mathcal{G}(\bar{m}, k)$	8 \wedge E
11.	$\mathcal{G}(\bar{m}, k) \rightarrow k = \bar{k}_0$	1 \forall E
12.	$k = \bar{k}_0$	11, 10 \rightarrow E
13.	$\mathcal{B}(p, q, \emptyset, \bar{k}_0)$	9, 12 $=$ E
14.	$j = \bar{k}_0$	2, 7, 13
15.	$j = \bar{k}_0$	6, 8-14 \exists E
16.	$j = \bar{k}_0$	4, 5-15 \exists E
17.	$j = \bar{k}_0$	3, 4-16 \exists E
18.	$\exists p \exists q \{ \exists v [\mathcal{B}(p, q, \emptyset, v) \wedge \mathcal{G}(\bar{x}, v)] \wedge \mathcal{Q} \wedge \mathcal{B}(p, q, \emptyset, j) \} \rightarrow j = \bar{k}_0$	3-17 \rightarrow I
19.	$\forall w [\exists p \exists q \{ \exists v [\mathcal{B}(p, q, \emptyset, v) \wedge \mathcal{G}(\bar{x}, v)] \wedge \mathcal{Q} \wedge \mathcal{B}(p, q, \emptyset, w) \} \rightarrow w = \bar{k}_0]$	18 \forall I

E12.19. Work carefully through the demonstration of T12.16 by setting up revised arguments T12.3[†], T12.11[†] and T12.12[†].

T12.11[†]. For any recursive $f(\vec{x})$ originally expressed by $\mathcal{F}(\vec{x}, v)$, let $\mathcal{F}^\dagger(\vec{x}, v)$ be like $\mathcal{F}(\vec{x}, v)$ except that \mathcal{B} is replaced by \mathcal{B}' . Then $f(\vec{x})$ is captured in Q by $\mathcal{F}^\dagger(\vec{x}, v)$.

By induction on the sequence of recursive functions.

Basis: f_0 is an initial function. Everything is the same, except that conclusions are for Q rather than Q_s .

Assp: For any i , $0 \leq i < k$, $f_i(\vec{x})$ is captured in Q by $\mathcal{F}^\dagger(\vec{x}, v)$.

Show: $f_k(\vec{x})$ is captured in Q by $\mathcal{F}^\dagger(\vec{x}, v)$.

f_k is either an initial function or arises from previous members by composition, recursion or regular minimization. If it is an initial function, then as in the basis. So suppose f_k arises from previous members.

- (c) $f_k(\vec{x}, \vec{y}, \vec{z})$ arises by composition from $g(\vec{y})$ and $h(\vec{x}, w, \vec{z})$. By assumption $g(\vec{y})$ is captured by $\mathcal{G}^\dagger(\vec{y}, w)$ and $h(\vec{x}, w, \vec{z})$ by $\mathcal{H}^\dagger(\vec{x}, w, \vec{z}, v)$. $\mathcal{F}^\dagger(\vec{x}, \vec{y}, \vec{z}, v)$ is $\exists w [\mathcal{G}^\dagger(\vec{y}, w) \wedge \mathcal{H}^\dagger(\vec{x}, w, \vec{z}, v)]$. Consider the case where \vec{x} and \vec{z} drop

out and \bar{y} is a single variable y . Suppose $\langle m, a \rangle \in f_k$; then by composition there is some b such that $\langle m, b \rangle \in g$ and $\langle b, a \rangle \in h$.

(i) By T12.3[†], $\mathcal{F}^\dagger(y, v)$ expresses $f(y)$; thus, since $\langle m, a \rangle \in f_k$, $N[\mathcal{F}^\dagger(\bar{m}, \bar{a})] = T$; so, since $\mathcal{F}^\dagger(y, v)$ is Σ_1 , by T12.9, $Q \vdash_{ND} \mathcal{F}^\dagger(\bar{m}, \bar{a})$.

(ii) Same but with $\mathcal{G}^\dagger, \mathcal{H}^\dagger$ uniformly substituted for \mathcal{F}, \mathcal{H} .

(r) $f_k(\vec{x}, y)$ arises by recursion from $g(\vec{x})$ and $h(\vec{x}, y, u)$. By assumption $g(\vec{x})$ is captured by $\mathcal{G}^\dagger(\vec{x}, v)$ and $h(\vec{x}, y, u)$ by $\mathcal{H}^\dagger(\vec{x}, y, u, v)$. $\mathcal{F}^\dagger(\vec{x}, y, z)$ is,

$$\exists p \exists q \{ \exists v [\mathcal{B}'(p, q, \emptyset, v) \wedge \mathcal{G}^\dagger(\vec{x}, v)] \wedge (\forall i < y) \exists u \exists v [\mathcal{B}'(p, q, i, u) \wedge \mathcal{B}'(p, q, Si, v) \wedge \mathcal{H}^\dagger(\vec{x}, i, u, v)] \wedge \mathcal{B}'(p, q, y, z) \}$$

Suppose \vec{x} reduces to a single variable and $\langle m, n, a \rangle \in f_k$. (i) By T12.3[†], $\mathcal{F}^\dagger(x, y, v)$ expresses $f(x, y)$; thus $N[\mathcal{F}^\dagger(\bar{m}, \bar{n}, \bar{a})] = T$; so, since $\mathcal{F}^\dagger(x, y, v)$ is Σ_1 , by T12.9, $Q \vdash_{ND} \mathcal{F}^\dagger(\bar{m}, \bar{n}, \bar{a})$. And (ii) by T12.12[†], $Q \vdash_{ND} \forall w [\mathcal{F}^\dagger(\bar{m}, \bar{n}, w) \rightarrow w = \bar{a}]$.

(m) $f_k(\vec{x})$ arises by regular minimization from $g(\vec{x}, y)$. By assumption, $g(\vec{x}, y)$ is captured by some $\mathcal{G}^\dagger(\vec{x}, y, z)$. $\mathcal{F}^\dagger(\vec{x}, v)$ is $\mathcal{G}^\dagger(\vec{x}, v, \emptyset) \wedge (\forall y < v) \sim \mathcal{G}^\dagger(\vec{x}, y, \emptyset)$.

Suppose \vec{x} reduces to a single variable and $\langle m, a \rangle \in f_k$.

(i) By T12.3[†], $\mathcal{F}^\dagger(x, v)$ expresses $f(x)$; thus, since $\langle m, a \rangle \in f_k$, $N[\mathcal{F}^\dagger(\bar{m}, \bar{a})] = T$; so, since $\mathcal{F}^\dagger(y, v)$ is Σ_1 , by T12.9, $Q \vdash_{ND} \mathcal{F}^\dagger(\bar{m}, \bar{a})$.

(ii) Same but with \mathcal{G}^\dagger uniformly substituted for \mathcal{F} .

Indct: Any recursive $f(\vec{x})$ is captured in Q by $\mathcal{F}^\dagger(\vec{x}, v)$.

E12.24. Provide definitions for the recursive functions $rm(m, n)$ and $qt(m, n)$ for the remainder and quotient of $m/n + 1$. For $rm(m, n)$,

$$(\mu v \leq n)(\exists w \leq m)[m = Sn \times w + v]$$

E12.25. Functions $f_1(\vec{x}, y)$ and $f_2(\vec{x}, y)$ are defined by *simultaneous* (mutual) recursion just in case,

$$f_1(\vec{x}, 0) = g_1(\vec{x})$$

$$f_2(\vec{x}, 0) = g_2(\vec{x})$$

$$f_1(\vec{x}, Sy) = h_1(\vec{x}, y, f_1(\vec{x}, y), f_2(\vec{x}, y))$$

$$f_2(\vec{x}, Sy) = h_2(\vec{x}, y, f_1(\vec{x}, y), f_2(\vec{x}, y))$$

Show that f_1 and f_2 so defined are recursive. For $F(\vec{x}, y) = \pi_0^{f_1(\vec{x}, y)} \times \pi_1^{f_2(\vec{x}, y)}$, set

Exercise 12.25

$$G(\vec{x}) = \pi_0^{g_1(\vec{x})} \times \pi_1^{g_2(\vec{x})}$$

$$H(\vec{x}, y, u) = \pi_0^{h_1(\vec{x}, y, \exp(u, 0), \exp(u, 1))} \times \pi_1^{h_2(x, y, \exp(u, 0), \exp(u, 1))}$$

You should explain how these contribute to the desired result.

E12.29. (i) Complete the construction with recursive relations for AXIOMAD5(n), GEN(m, n), AXIOMAD8(n), and so AXIOMAD(n) and PRFAD(m, n). (ii) Complete the remaining axioms for Robinson arithmetic, and then AXIOMQ(n) and PRFQ(m, n). (iii) Construct also AXIOMQP(n), like AXIOMQ less AXIOMQ7, and then AXIOMPA(n) and PRFPA(m, n).

$$\text{AXIOMAD5}(n): (\exists p \leq n)(\exists q \leq n)(\exists v \leq n)[\text{WFF}(p) \wedge \text{WFF}(q) \wedge \text{VAR}(v) \wedge \sim \text{FREEf}(p, v) \wedge n = \text{cnd}(\text{unv}(v, \text{cnd}(p, q)), \text{cnd}(p, \text{unv}(v, q)))]$$

$$\text{GEN}(m, n): (\exists v \leq n)[\text{VAR}(v) \wedge n = \text{unv}(v, m)]$$

$$\text{PRFQ}(m, n): \exp(m, \text{len}(m) \div 1) = n \wedge m > 1 \wedge (\forall k < \text{len}(m))[\text{AXIOMQ}(\exp(m, k)) \vee (\exists i < k)(\exists j < k)\text{ICON}(\exp(m, i), \exp(m, j), \exp(m, k))]$$

E12.32. Let T be any theory that extends Q . For any formulas $\mathcal{F}_1(y)$ and $\mathcal{F}_2(y)$, generalize the diagonal lemma to find sentences \mathcal{H}_1 and \mathcal{H}_2 such that,

$$T \vdash \mathcal{H}_1 \leftrightarrow \mathcal{F}_1(\overline{\mathcal{H}_2})$$

$$T \vdash \mathcal{H}_2 \leftrightarrow \mathcal{F}_2(\overline{\mathcal{H}_1})$$

Demonstrate your result.

Let $\text{alt}(p, f_1, f_2) = \ulcorner \exists w \exists x \exists y (w = \ulcorner \star \text{num}(p) \star \urcorner \wedge x = \ulcorner \star \text{num}(f_2) \star \urcorner \wedge y = \ulcorner \star \text{num}(f_1) \star \urcorner \wedge \exists z (\ulcorner \star f_1 \star \urcorner \wedge \ulcorner \star p \star \urcorner)) \urcorner$. Then by capture there is a formula $\text{Alt}(w, x, y, z)$ that captures alt ; let $a = \ulcorner \text{Alt}(w, x, y, z) \urcorner$. Then $\mathcal{H}_1 = \exists w \exists x \exists y (w = \bar{a} \wedge x = \bar{f}_2 \wedge y = \bar{f}_1 \wedge \exists z (\mathcal{F}_1(z) \wedge \text{Alt}(w, x, y, z)))$; and $h_1 = \ulcorner \mathcal{H}_1 \urcorner = \text{alt}(\bar{a}, \bar{f}_1, \bar{f}_2)$. And $\mathcal{H}_2 = \exists w \exists x \exists y (w = \bar{a} \wedge x = \bar{f}_1 \wedge y = \bar{f}_2 \wedge \exists z (\mathcal{F}_2(z) \wedge \text{Alt}(w, x, y, z)))$; and $h_2 = \ulcorner \mathcal{H}_2 \urcorner = \text{alt}(\bar{a}, \bar{f}_2, \bar{f}_1)$. The trick to this is that \mathcal{H}_1 says $\mathcal{F}_1(\bar{h}_2)$ and \mathcal{H}_2 says $\mathcal{F}_2(\bar{h}_1)$. For the first case, argue as follows (broken into separate derivations for the biconditional).

1.	$\mathcal{H}_1 \leftrightarrow \exists w \exists x \exists y (w = \bar{a} \wedge x = \bar{f}_2 \wedge y = \bar{f}_1 \wedge \exists z (\mathcal{F}_1(z) \wedge \text{Alt}(w, x, y, z)))$	def \mathcal{H}_1
2.	$\forall x [\text{Alt}(\bar{a}, \bar{f}_2, \bar{f}_1, x) \rightarrow x = \bar{h}_2]$	from capture
3.	\mathcal{H}_1	$A (g \rightarrow I)$
4.	$\exists w \exists x \exists y (w = \bar{a} \wedge x = \bar{f}_2 \wedge y = \bar{f}_1 \wedge \exists z (\mathcal{F}_1(z) \wedge \text{Alt}(w, x, y, z)))$	$1, 3 \leftrightarrow E$
5.	$\exists x \exists y (j = \bar{a} \wedge x = \bar{f}_2 \wedge y = \bar{f}_1 \wedge \exists z (\mathcal{F}_1(z) \wedge \text{Alt}(j, x, y, z)))$	$A (g \exists E)$
6.	$\exists y (j = \bar{a} \wedge k = \bar{f}_2 \wedge y = \bar{f}_1 \wedge \exists z (\mathcal{F}_1(z) \wedge \text{Alt}(j, k, y, z)))$	$A (g \exists E)$
7.	$j = \bar{a} \wedge k = \bar{f}_2 \wedge l = \bar{f}_1 \wedge \exists z (\mathcal{F}_1(z) \wedge \text{Alt}(j, k, l, z))$	$A (g \exists E)$
8.	$j = \bar{a}$	$7 \wedge E$
9.	$k = \bar{f}_2$	$7 \wedge E$
10.	$l = \bar{f}_1$	$7 \wedge E$
11.	$\exists z (\mathcal{F}_1(z) \wedge \text{Alt}(j, k, l, z))$	$7 \wedge E$
12.	$\mathcal{F}_1(m) \wedge \text{Alt}(j, k, l, m)$	$A (g \exists E)$
13.	$\mathcal{F}_1(m)$	$12 \wedge E$
14.	$\text{Alt}(j, k, l, m)$	$12 \wedge E$
15.	$\text{Alt}(\bar{a}, \bar{f}_2, \bar{f}_1, m) \rightarrow m = \bar{h}_2$	$2 \forall E$
16.	$\text{Alt}(\bar{a}, \bar{f}_2, \bar{f}_1, m)$	$14, 8, 9, 10 = E$
17.	$m = \bar{h}_2$	$15, 14 \rightarrow E$
18.	$\mathcal{F}_1(\bar{h}_2)$	$13, 17 = E$
19.	$\mathcal{F}_1(\bar{h}_2)$	$12, 13-18 \exists E$
20.	$\mathcal{F}_1(\bar{h}_2)$	$6, 7-19 \exists E$
21.	$\mathcal{F}_1(\bar{h}_2)$	$5, 6-20 \exists E$
22.	$\mathcal{F}_1(\bar{h}_2)$	$4, 5-21 \exists E$
23.	$\mathcal{H}_1 \rightarrow \mathcal{F}_1(\bar{h}_2)$	$3-22 \rightarrow I$
1.	$\mathcal{H}_1 \leftrightarrow \exists w \exists x \exists y (w = \bar{a} \wedge x = \bar{f}_2 \wedge y = \bar{f}_1 \wedge \exists z (\mathcal{F}_1(z) \wedge \text{Alt}(w, x, y, z)))$	def \mathcal{H}_1
2.	$\text{Alt}(\bar{a}, \bar{f}_2, \bar{f}_1, \bar{h}_2)$	from capture
3.	$\mathcal{F}_1(\bar{h}_2)$	$A (g \rightarrow I)$
4.	$\mathcal{F}_1(\bar{h}_2) \wedge \text{Alt}(\bar{a}, \bar{f}_2, \bar{f}_1, \bar{h}_2)$	$3, 2 \wedge I$
5.	$\exists z (\mathcal{F}_1(z) \wedge \text{Alt}(\bar{a}, \bar{f}_2, \bar{f}_1, z))$	$4 \exists I$
6.	$\bar{a} = \bar{a} \wedge \bar{f}_2 = \bar{f}_2 \wedge \bar{f}_1 = \bar{f}_1$	$=I, \wedge I$
7.	$\bar{a} = \bar{a} \wedge \bar{f}_2 = \bar{f}_2 \wedge \bar{f}_1 = \bar{f}_1 \wedge \exists z (\mathcal{F}_1(z) \wedge \text{Alt}(\bar{a}, \bar{f}_2, \bar{f}_1, z))$	$6, 5 \wedge I$
8.	$\exists y (\bar{a} = \bar{a} \wedge \bar{f}_2 = \bar{f}_2 \wedge y = \bar{f}_1 \wedge \exists z (\mathcal{F}_1(z) \wedge \text{Alt}(\bar{a}, \bar{f}_2, y, z)))$	$7 \exists I$
9.	$\exists x \exists y (\bar{a} = \bar{a} \wedge x = \bar{f}_2 \wedge y = \bar{f}_1 \wedge \exists z (\mathcal{F}_1(z) \wedge \text{Alt}(\bar{a}, x, y, z)))$	$8 \exists I$
10.	$\exists w \exists x \exists y (w = \bar{a} \wedge x = \bar{f}_2 \wedge y = \bar{f}_1 \wedge \exists z (\mathcal{F}_1(z) \wedge \text{Alt}(w, x, y, z)))$	$9 \exists I$
11.	\mathcal{H}_1	$1, 10 \leftrightarrow E$
12.	$\mathcal{F}_1(\bar{h}_2) \rightarrow \mathcal{H}_1$	$3-11 \rightarrow I$

So $T \vdash \mathcal{H}_1 \leftrightarrow \mathcal{F}_1(\bar{\mathcal{H}}_2)$.

Chapter Thirteen

E13.2. Complete the demonstration of T13.3 by providing a derivation to show $T \vdash \mathcal{G} \leftrightarrow \sim \exists x \text{Prft}(x, \overline{\neg \mathcal{G}})$.

1.	$\mathcal{G} \leftrightarrow \exists z (z = \bar{a} \wedge \sim \exists x \exists y [\text{Prft}(x, y) \wedge \text{Diag}(z, y)])$	from def \mathcal{G}
2.	$\text{Diag}(\bar{a}, \bar{g})$	from capture
3.	$\forall z (\text{Diag}(\bar{a}, z) \rightarrow z = \bar{g})$	from capture
4.	\mathcal{G}	$A (g \leftrightarrow I)$
5.	$\exists z (z = \bar{a} \wedge \sim \exists x \exists y [\text{Prft}(x, y) \wedge \text{Diag}(z, y)])$	1,4 $\leftrightarrow E$
6.	$j = \bar{a} \wedge \sim \exists x \exists y [\text{Prft}(x, y) \wedge \text{Diag}(j, y)]$	$A (g \exists E)$
7.	$j = \bar{a}$	6 $\wedge E$
8.	$\sim \exists x \exists y [\text{Prft}(x, y) \wedge \text{Diag}(j, y)]$	6 $\wedge E$
9.	$\exists x \text{Prft}(x, \bar{g})$	$A (c \sim I)$
10.	$\text{Prft}(k, \bar{g})$	$A (c \exists E)$
11.	$\text{Diag}(j, \bar{g})$	2,7 $=E$
12.	$\text{Prft}(k, \bar{g}) \wedge \text{Diag}(j, \bar{g})$	10,11 $\wedge I$
13.	$\exists y [\text{Prft}(k, y) \wedge \text{Diag}(j, y)]$	12 $\exists I$
14.	$\exists x \exists y [\text{Prft}(x, y) \wedge \text{Diag}(j, y)]$	13 $\exists I$
15.	\perp	8,14 $\perp I$
16.	\perp	9,10-15 $\exists E$
17.	$\sim \exists x \text{Prft}(x, \bar{g})$	9-16 $\sim I$
18.	$\sim \exists x \text{Prft}(x, \bar{g})$	5,6-17 $\exists E$
19.	$\sim \exists x \text{Prft}(x, \bar{g})$	$A (g \leftrightarrow I)$
20.	$\exists x \exists y [\text{Prft}(x, y) \wedge \text{Diag}(\bar{a}, y)]$	$A (c \sim I)$
21.	$\exists y [\text{Prft}(j, y) \wedge \text{Diag}(\bar{a}, y)]$	$A (c \exists E)$
22.	$\text{Prft}(j, k) \wedge \text{Diag}(\bar{a}, k)$	$A (c \exists E)$
23.	$\text{Diag}(\bar{a}, k)$	22 $\wedge E$
24.	$\text{Diag}(\bar{a}, k) \rightarrow k = \bar{g}$	3 $\forall E$
25.	$k = \bar{g}$	24,23 $\rightarrow E$
26.	$\text{Prft}(j, k)$	22 $\wedge E$
27.	$\text{Prft}(j, \bar{g})$	26,25 $=E$
28.	$\exists x \text{Prft}(x, \bar{g})$	27 $\exists I$
29.	\perp	19,28 $\perp I$
30.	\perp	21,22-29 $\exists E$
31.	\perp	20,21-30 $\exists E$
32.	$\sim \exists x \exists y [\text{Prft}(x, y) \wedge \text{Diag}(\bar{a}, y)]$	20-31 $\sim I$
33.	$\bar{a} = \bar{a}$	$=I$
34.	$\bar{a} = \bar{a} \wedge \sim \exists x \exists y [\text{Prft}(x, y) \wedge \text{Diag}(\bar{a}, y)]$	33,32 $\wedge I$
35.	$\exists z (z = \bar{a} \wedge \sim \exists x \exists y [\text{Prft}(x, y) \wedge \text{Diag}(z, y)])$	34 $\exists I$
36.	\mathcal{G}	1,35 $\leftrightarrow E$
37.	$\mathcal{G} \leftrightarrow \sim \exists x \text{Prft}(x, \bar{g})$	4-18,19-36 $\leftrightarrow I$

So $T \vdash \mathcal{G} \leftrightarrow \sim \exists x \text{Prft}(x, \bar{g})$ which is to say, $T \vdash \mathcal{G} \leftrightarrow \sim \exists x \text{Prft}(x, \overline{\neg \mathcal{G}})$.

Exercise 13.2

E13.5. Complete the unfinished cases to T13.13.

T13.13.

T13.13.a. $PA \vdash (r \leq s \wedge s \leq t) \rightarrow r \leq t$

Hint: This does not require IN. It is not hard and can be worked directly from the definitions.

T13.13.b. $PA \vdash (r < s \wedge s < t) \rightarrow r < t$

Hint: This does not require IN. It is not hard and can be worked directly from the definitions.

T13.13.c. $PA \vdash (r \leq s \wedge s < t) \rightarrow r < t$

Hint: This does not require IN. It is not hard and can be worked directly from the definitions.

T13.13.d. $PA \vdash \emptyset \leq t$

Hint: This is nearly trivial with the definition.

T13.13.e. $PA \vdash \emptyset < St$

Hint: This is nearly trivial with the definition.

T13.13.f. $PA \vdash t \neq \emptyset \leftrightarrow \emptyset < t$

Hint: This does not require IN. It is straightforward with the definitions.

T13.13.g. $PA \vdash t > \emptyset \rightarrow \exists y (t = Sy) \quad y \text{ not in } t.$

Hint: This is trivial with (f) and T6.44.

T13.13.h. $PA \vdash t < St$

Hint: This is easy. It does not require IN.

T13.13.i. $PA \vdash St = s \rightarrow t < s$

Hint: This does not require IN. It is not hard and can be worked directly from the definitions.

T13.13.j. $PA \vdash s \leq t \leftrightarrow St \leq St$

Hint: This does not require IN. It is not hard and can be worked directly from the definitions. Do not forget about T6.39.

Exercise 13.5 T13.13.j

T13.13.k. $PA \vdash s < t \leftrightarrow Ss < St$.

Hint: This does not require IN. It is not hard and can be worked directly from the definitions.

T13.13.l. $PA \vdash s < t \leftrightarrow Ss \leq t$

Hint: This does not require IN. It is not hard and can be worked directly from the definitions.

T13.13.m. $PA \vdash s \leq t \leftrightarrow s < t \vee s = t$

Hint: This does not require IN. It works as a direct argument from the definitions. Do not forget that you have $j = \emptyset \vee j \neq \emptyset$ with T6.44.

T13.13.n. $PA \vdash s < St \leftrightarrow s < t \vee s = t$

Hint: This does not require IN. It is simplified with (m).

T13.13.o. $PA \vdash s \leq St \leftrightarrow s \leq t \vee s = St$

Hint: This does not require IN. For one direction, it will be helpful to apply (m) and (n).

T13.13.p. $PA \vdash s < t \vee s = t \vee t < s$

Hint: This is a moderately interesting argument by IN where \mathcal{P} is $s < x \vee s = x \vee x < s$. Under the assumption $s < j \vee s = j \vee j < s$, for the third case, you may find (l) and (m) helpful.

T13.13.q. $PA \vdash s \leq t \vee t < s$

Hint: This is a direct consequence of (p) and (m).

T13.13.r. $PA \vdash s \leq t \leftrightarrow t \not< s$

Hint: When $s \leq t$ you will be able to show $t \not< s$ with the definitions. In the other direction, use (p) and (m).

T13.13.s. $PA \vdash t < s \rightarrow t \neq s$

Hint: This does not require IN. It works from the definitions.

T13.13.t. $PA \vdash (s \leq t \wedge t \leq s) \rightarrow s = t$

Hint: Use (r) and (m) with the assumption for \rightarrow I.

T13.13.u. $PA \vdash s \leq s + t$

Hint: This is nearly trivial from the definition.

Exercise 13.5 T13.13.u

T13.13.v. $\text{PA} \vdash r \leq s \rightarrow r + t \leq s + t$

Hint: This does not require **IN**. It is straightforward from the definition and T6.67.

T13.13.w. $\text{PA} \vdash r < s \rightarrow r + t < s + t$

Hint: This does not require **IN**. It is straightforward from the definition and T6.67.

T13.13.x. $\text{PA} \vdash (r \leq s \wedge t \leq u) \rightarrow r + t \leq s + u$

Hint: This does not require **IN**. It is straightforward from the definitions.

T13.13.y. $\text{PA} \vdash (r < s \wedge t \leq u) \rightarrow r + t < s + u$

Hint: This does not require **IN**. It is straightforward from the definitions.

T13.13.z. $\text{PA} \vdash \emptyset < t \rightarrow s \leq s \times t$

Hint: This is straightforward with (f) and T6.49.

T13.13.aa. $\text{PA} \vdash r \leq s \rightarrow r \times t \leq s \times t$

Hint: This is straightforward with distributivity (T6.63).

T13.13.ab. $\text{PA} \vdash r \times s > \emptyset \rightarrow s > \emptyset$

Hint: Under the assumption for \rightarrow I, assume the opposite and go for a contradiction.

T13.13.ac. $\text{PA} \vdash (r > \bar{1} \wedge s > \emptyset) \rightarrow r \times s > s$

Hint: You can apply the definition for $>$ multiple times.

T13.13.ad. $\text{PA} \vdash (t > \emptyset \wedge r < s) \rightarrow r \times t < s \times t$

Hint: This combines strategies from previous problems.

T13.13.ae. $\text{PA} \vdash (r < s \wedge t < u) \rightarrow r \times t < s \times u$

Hint: This does not require **IN**. It is straightforward with T6.64.

T13.13.af. $\text{PA} \vdash \forall x[(\forall z < x)\mathcal{P}_z^x \rightarrow \mathcal{P}] \rightarrow \forall x\mathcal{P}$ *strong induction (a)*

Hint: Under the assumption for \rightarrow I, you will have a goal like $\mathcal{P}(j)$; you can get $(\forall z < j)\mathcal{P}(z) \rightarrow \mathcal{P}(j)$ from the assumption; go for $(\forall z < j)\mathcal{P}(z)$ by **IN** (where the induction is on j). Then the goal follows immediately by \rightarrow E.

T13.13.ag. $\text{PA} \vdash \mathcal{P}_\emptyset^x \wedge \forall x[(\forall z \leq x) \mathcal{P}_z^x \rightarrow \mathcal{P}_{Sx}^x] \rightarrow \forall x \mathcal{P}$ *strong induction (b)*

Again under the assumption for \rightarrow I, you will be able to obtain $\forall x \mathcal{P}$, this time by (af).

T13.13.ah. $\text{PA} \vdash \exists x \mathcal{P} \rightarrow \exists x[\mathcal{P} \wedge (\forall z < x) \sim \mathcal{P}_z^x]$ *least number principle*

Hint: This follows immediately from T13.13af applied to $\sim \mathcal{P}$.

E13.7. Produce the quick derivation to show T13.19d.

T13.19.

1.	$(\forall z < m(\vec{x})) \sim \mathcal{Q}(\vec{x}, z)$	T13.19c
2.	$\mathcal{Q}(\vec{x}, v)$	A ($g \rightarrow$ I)
3.	$v < m(\vec{x})$	A ($c \sim$ I)
4.	$\sim \mathcal{Q}(\vec{x}, v)$	1,3 (\forall E)
5.	\perp	2,4 \perp I
6.	$v \not\leq m(\vec{x})$	3-5 \sim I
7.	$m(\vec{x}) \leq v$	6 T13.13r
8.	$\mathcal{Q}(\vec{x}, v) \rightarrow m(\vec{x}) \leq v$	2-7 \rightarrow I

E13.9. Complete the justifications for *Def[rm]* and *Def[qt]*.

Def[rm]. (i) $\text{PA} \vdash \exists x(\exists w \leq \emptyset)[\emptyset = Sn \times w + x \wedge x < Sn]$.

Supposing the zero case is done,

1.	$\exists x(\exists w \leq \emptyset)[\emptyset = Sn \times w + x \wedge x < Sn]$	zero case
2.	$\exists x(\exists w \leq j)[j = Sn \times w + x \wedge x < Sn]$	A (g \rightarrow I)
3.	$(\exists w \leq j)[j = Sn \times w + k \wedge k < Sn]$	A (g \exists E)
4.	$j = Sn \times l + k \wedge k < Sn$	A (g \exists (\exists E))
5.	$l \leq j$	
6.	$j = Sn \times l + k$	4 \wedge E
7.	$k < Sn$	4 \wedge E
8.	$Sj = S[Sn \times l + k]$	from 6
9.	$Sn \times l + Sk = S[Sn \times l + k]$	T6.41
10.	$Sj = Sn \times l + Sk$	8,9 =E
11.	$k < n \vee k = n$	7 T13.13n
12.	$k < n$	A (g $11\vee$ E)
13.	$Sk < Sn$	9 T13.13k
14.	$Sj = Sn \times l + Sk \wedge Sk < Sn$	10,13 \wedge I
15.	$l \leq j \vee l = Sj$	5 \vee I
16.	$l \leq Sj$	15 T13.13o
17.	$(\exists w \leq Sj)[Sj = Sn \times w + Sk \wedge Sk < Sn]$	14,16 (\exists I)
18.	$\exists x(\exists w \leq Sj)[Sj = Sn \times w + x \wedge x < Sn]$	17 \exists I
19.	$k = n$	A (g $11\vee$ E)
20.	$Sj = Sn \times l + Sn$	10,19 =E
21.	$Sn \times Sl = Sn \times l + Sn$	T6.43
22.	$Sj = Sn \times Sl$	20,21 =E
23.	$Sn \times Sl = Sn \times Sl + \emptyset$	T6.40
24.	$Sj = Sn \times Sl + \emptyset$	22,23 =E
25.	$\emptyset < Sn$	25 T13.13e
26.	$Sj = Sn \times Sl + \emptyset \wedge \emptyset < Sn$	24,25 \wedge I
27.	$Sl \leq Sj$	5 T13.13k
28.	$(\exists w \leq Sj)[Sj = Sn \times w + \emptyset \wedge \emptyset < Sn]$	26,27 (\exists I)
29.	$\exists x(\exists w \leq Sj)[Sj = Sn \times w + x \wedge x < Sn]$	28 \exists I
30.	$\exists x(\exists w \leq Sj)[Sj = Sn \times w + x \wedge x < Sn]$	11,12-18,19-29 \vee E
31.	$\exists x(\exists w \leq Sj)[Sj = Sn \times w + x \wedge x < Sn]$	3,4-30 (\exists E)
32.	$\exists x(\exists w \leq Sj)[Sj = Sn \times w + x \wedge x < Sn]$	2,3-31 \exists E
33.	$\exists x(\exists w \leq j)[j = Sn \times w + x \wedge x < Sn] \rightarrow \exists x(\exists w \leq Sj)[Sj = Sn \times w + x \wedge x < Sn]$	2-32 \rightarrow I
34.	$\forall z(\exists x(\exists w \leq z)[z = Sn \times w + x \wedge x < Sn] \rightarrow \exists x(\exists w \leq Sz)[Sz = Sn \times w + x \wedge x < Sn])$	33 \forall I
35.	$\forall z \exists x(\exists w \leq z)[z = Sn \times w + x \wedge x < Sn]$	1,34 IN
36.	$\exists x(\exists w \leq m)[m = Sn \times w + x \wedge x < Sn]$	35 \forall E

(ii) $PA \vdash \forall x \forall y [(\exists w \leq m)[m = Sn \times w + x \wedge x < Sn] \wedge (\exists w \leq m)[m = Sn \times w + y \wedge y < Sn] \rightarrow x = y]$

1.	$(\exists w \leq m)[m = Sn \times w + j \wedge j < Sn] \wedge (\exists w \leq m)[m = Sn \times w + k \wedge k < Sn]$	$A (g \rightarrow I)$
2.	$(\exists w \leq m)[m = Sn \times w + j \wedge j < Sn]$	$1 \wedge E$
3.	$(\exists w \leq m)[m = Sn \times w + k \wedge k < Sn]$	$1 \wedge E$
4.	$m = Sn \times p + j \wedge j < Sn$	$A (g \ 2(\exists E))$
5.	$p \leq m$	
6.	$m = Sn \times q + k \wedge k < Sn$	$A (g \ 3(\exists E))$
7.	$q \leq m$	
8.	$m = Sn \times p + j$	$4 \wedge E$
9.	$j < Sn$	$4 \wedge E$
10.	$m = Sn \times q + k$	$6 \wedge E$
11.	$k < Sn$	$6 \wedge E$
12.	$Sn \times p + j = Sn \times q + k$	$8,10 =E$
13.	$p < q \vee p = q \vee q < p$	$T13.13p$
14.	$p < q$	$A (c \sim I)$
15.	$\exists v(Sv + p = q)$	14 abv
16.	$Sl + p = q$	$A (c \ 15\exists E)$
17.	$p + Sl = q$	$16, T6.53$
18.	$Sn \times p + j = Sn \times (p + Sl) + k$	$12,17 =E$
19.	$Sn \times p + j = (Sn \times p + Sn \times Sl) + k$	$18 \ T6.62$
20.	$Sn \times p + j = Sn \times p + (Sn \times Sl + k)$	$19 \ T6.55$
21.	$j = Sn \times Sl + k$	$20 \ T6.67$
22.	$\emptyset < Sl$	$T13.13e$
23.	$Sn \leq Sn \times Sl$	$22 \ T13.13z$
24.	$Sn \times Sl \leq Sn \times Sl + k$	$T13.13u$
25.	$Sn \leq Sn \times Sl + k$	$23,24 \ T13.13a$
26.	$Sn \leq j$	$21,25 =E$
27.	$j \not\leq Sn$	$26 \ T13.13r$
28.	\perp	$9,27 \perp I$
29.	\perp	$15,16-28 \exists E$
30.	$p \not\leq q$	$14-29 \sim I$
31.	$q < p$	$A (c \sim I)$
32.	\perp	similarly
33.	$q \not\leq p$	$31-32 \sim I$
34.	$p = q$	$13,30,33 \text{ DS}$
35.	$Sn \times p + j = Sn \times p + k$	$12,34 =E$
36.	$j = k$	$35 \ T6.67$
37.	$j = k$	$3,6-36 (\exists E)$
38.	$j = k$	$2,4-37 (\exists E)$
39.	$((\exists w \leq m)[m = Sn \times w + j \wedge j < Sn] \wedge (\exists w \leq m)[m = Sn \times w + k \wedge k < Sn]) \rightarrow j = k$	$1-38 \rightarrow I$
40.	$\forall y[(\exists w \leq m)[m = Sn \times w + j \wedge j < Sn] \wedge (\exists w \leq m)[m = Sn \times w + y \wedge y < Sn] \rightarrow j = y]$	$39 \forall I$
41.	$\forall x \forall y[(\exists w \leq m)[m = Sn \times w + x \wedge x < Sn] \wedge (\exists w \leq m)[m = Sn \times w + y \wedge y < Sn] \rightarrow x = y]$	$40 \forall I$

E13.10. Complete the unfinished cases to T13.21.

For the recursion clause from right to left:

Exercise 13.10

1.	$v = \beta(p, q, i) \leftrightarrow \mathcal{B}(p, q, i, v)$	def β
2.	$v = g(\vec{x}) \leftrightarrow \mathcal{G}(\vec{x}, v)$	assp
3.	$v = h(\vec{x}, y, u) \leftrightarrow \mathcal{H}(\vec{x}, y, u, v)$	assp
4.	$\mathcal{R}(\vec{x}, y, z)$	A ($g \rightarrow I$)
5.	$\exists p \exists q \{ \exists v [\mathcal{B}(p, q, \emptyset, v) \wedge \mathcal{G}(\vec{x}, v)] \wedge$ $(\forall i < y) \exists u \exists v [\mathcal{B}(p, q, i, u) \wedge \mathcal{B}(p, q, Si, v) \wedge \mathcal{H}(\vec{x}, i, u, v)] \wedge \mathcal{B}(p, q, y, z) \}$	4 def
6.	$\exists v [\mathcal{B}(a, b, \emptyset, v) \wedge \mathcal{G}(\vec{x}, v)] \wedge$ $(\forall i < y) \exists u \exists v [\mathcal{B}(a, b, i, u) \wedge \mathcal{B}(a, b, Si, v) \wedge \mathcal{H}(\vec{x}, i, u, v)] \wedge \mathcal{B}(a, b, y, z)$	A ($g \exists E$)
7.	$\exists v [\mathcal{B}(a, b, \emptyset, v) \wedge \mathcal{G}(\vec{x}, v)]$	6 $\wedge E$
8.	$\mathcal{B}(a, b, \emptyset, k) \wedge \mathcal{G}(\vec{x}, k)$	A ($g \exists E$)
9.	$\mathcal{B}(a, b, \emptyset, k)$	8 $\wedge E$
10.	$k = \beta(a, b, \emptyset)$	9 with 1
11.	$\mathcal{G}(\vec{x}, k)$	8 $\wedge E$
12.	$k = g(\vec{x})$	11 with 2
13.	$\beta(a, b, \emptyset) = g(\vec{x})$	10, 12 $=E$
14.	$\beta(a, b, \emptyset) = g(\vec{x})$	7, 8-13 $\exists E$
15.	$(\forall i < y) \exists u \exists v [\mathcal{B}(a, b, i, u) \wedge \mathcal{B}(a, b, Si, v) \wedge \mathcal{H}(\vec{x}, i, u, v)]$	6 $\wedge E$
16.	$l < y$	A ($g (\forall I)$)
17.	$\exists u \exists v [\mathcal{B}(a, b, l, u) \wedge \mathcal{B}(a, b, Sl, v) \wedge \mathcal{H}(\vec{x}, l, u, v)]$	15, 16 ($\forall E$)
18.	$\mathcal{B}(a, b, l, r) \wedge \mathcal{B}(a, b, Sl, s) \wedge \mathcal{H}(\vec{x}, l, r, s)$	A ($g \exists E$)
19.	$\mathcal{B}(a, b, l, r)$	18 $\wedge E$
20.	$r = \beta(a, b, l)$	19, with 1
21.	$\mathcal{B}(a, b, Sl, s)$	18 $\wedge E$
22.	$s = \beta(a, b, Sl)$	21 with 1
23.	$\mathcal{H}(\vec{x}, l, r, s)$	18 $\wedge E$
24.	$s = h(\vec{x}, l, r)$	23 with 3
25.	$h(\vec{x}, l, \beta(a, b, l)) = \beta(a, b, Sl)$	24, 20, 22 $=E$
26.	$h(\vec{x}, l, \beta(a, b, l)) = \beta(a, b, Sl)$	17, 18-25 $\exists E$
27.	$(\forall i < y) h(\vec{x}, i, \beta(a, b, i)) = \beta(a, b, Si)$	16-26 ($\forall I$)
28.	$\mathcal{B}(a, b, y, z)$	6 $\wedge E$
29.	$\beta(a, b, y) = z$	28 with 1
30.	$\beta(a, b, \emptyset) = g(\vec{x}) \wedge (\forall i < y) h(\vec{x}, i, \beta(a, b, i)) = \beta(a, b, Si) \wedge \beta(a, b, y) = z$	14, 27, 29 $\wedge I$
31.	$\exists p \exists q [\beta(p, q, \emptyset) = g(\vec{x}) \wedge (\forall i < y) h(\vec{x}, i, \beta(p, q, i)) = \beta(p, q, Si) \wedge \beta(p, q, y) = z]$	30 $\exists I$
32.	$z = r(\vec{x}, y)$	31 def
33.	$z = r(\vec{x}, y)$	5, 6-32 $\exists E$
34.	$\mathcal{R}(\vec{x}, y, z) \rightarrow z = r(\vec{x}, y)$	4-33 $\rightarrow I$

E13.11. Complete the justification for T13.22 by demonstrating the zero case.

T13.22. With $\mathcal{F}(\vec{x}, y, v)$ as described in the main text,

1.	$\mathcal{F}(\vec{x}, \emptyset, m) \wedge \mathcal{F}(\vec{x}, \emptyset, n)$	$A (g \rightarrow I)$
2.	$\exists p \exists q [\beta(p, q, \emptyset) = g(\vec{x}) \wedge (\forall i < \emptyset) \mathcal{H}(\vec{x}, i, \beta(p, q, i)) = \beta(p, q, Si) \wedge \beta(p, q, \emptyset) = m]$	$1 \wedge E$
3.	$\exists p \exists q [\beta(p, q, \emptyset) = g(\vec{x}) \wedge (\forall i < \emptyset) \mathcal{H}(\vec{x}, i, \beta(p, q, i)) = \beta(p, q, Si) \wedge \beta(p, q, \emptyset) = n]$	$1 \wedge E$
4.	$\beta(a, b, \emptyset) = g(\vec{x}) \wedge (\forall i < \emptyset) \mathcal{H}(\vec{x}, i, \beta(a, b, i)) = \beta(a, b, Si) \wedge \beta(a, b, \emptyset) = m$	$A (g \ 2\exists E)$
5.	$\beta(a, b, \emptyset) = g(\vec{x})$	$4 \wedge E$
6.	$\beta(a, b, \emptyset) = m$	$4 \wedge E$
7.	$m = g(\vec{x})$	$5, 6 =E$
8.	$\beta(c, d, \emptyset) = g(\vec{x}) \wedge (\forall i < \emptyset) \mathcal{H}(\vec{x}, i, \beta(c, d, i)) = \beta(c, d, Si) \wedge \beta(c, d, \emptyset) = n$	$A (g \ 3\exists E)$
9.	$\beta(c, d, \emptyset) = g(\vec{x})$	$8 \wedge E$
10.	$\beta(c, d, \emptyset) = n$	$8 \wedge E$
11.	$n = g(\vec{x})$	$9, 10 =E$
12.	$m = n$	$7, 11 =E$
13.	$m = n$	$3, 8-12 \exists E$
14.	$m = n$	$2, 4-13 \exists E$
15.	$(\mathcal{F}(\vec{x}, \emptyset, m) \wedge \mathcal{F}(\vec{x}, \emptyset, n)) \rightarrow m = n$	$1-14 \rightarrow I$
16.	$\forall m \forall n [(\mathcal{F}(\vec{x}, \emptyset, m) \wedge \mathcal{F}(\vec{x}, \emptyset, n)) \rightarrow m = n]$	$15 \forall I$

E13.12. Show (i) and (ii) for $Def[\dot{+}]$. Then show each of the results in T13.23.

$Def[\dot{+}]$.

- (i) $PA \vdash \exists v [x = y + v \vee (x < y \wedge v = \emptyset)]$. Beginning with T13.13q, this is a straightforward derivation.
- (ii) $PA \vdash \forall m \forall n [(x = y + m \vee (x < y \wedge m = \emptyset)) \wedge (x = y + n \vee (x < y \wedge n = \emptyset))] \rightarrow m = n]$

1.	$[x = y + j \vee (x < y \wedge j = \emptyset)] \wedge [x = y + k \vee (x < y \wedge k = \emptyset)]$	A ($g \rightarrow I$)
2.	$x = y + j \vee (x < y \wedge j = \emptyset)$	1 $\wedge E$
3.	$x = y + k \vee (x < y \wedge k = \emptyset)$	1 $\wedge E$
4.	$y \leq x \vee x < y$	T13.13q
5.	$y \leq x$	A ($g \vee E$)
6.	$x \not\leq y$	5 T13.13r
7.	$\sim(x < y \wedge j = \emptyset)$	6 $\vee I$, DeM
8.	$\sim(x < y \wedge k = \emptyset)$	6 $\vee I$, DeM
9.	$x = y + j$	2,7 DS
10.	$x = y + k$	3,8 DS
11.	$y + j = y + k$	9,10 $=E$
12.	$j = k$	11 T6.67
13.	$x < y$	A ($g \vee E$)
14.	$y \leq y + j$	T13.13u
15.	$x < y + j$	13,14 T13.13c
16.	$x \neq y + j$	15 T13.13s
17.	$y \leq y + k$	T13.13u
18.	$x < y + k$	13,17 T13.13c
19.	$x \neq y + k$	18 T13.13s
20.	$x < y \wedge j = \emptyset$	2,16 DS
21.	$x < y \wedge k = \emptyset$	3,19 DS
22.	$j = \emptyset$	20 $\wedge E$
23.	$k = \emptyset$	21 $\wedge E$
24.	$j = k$	22,23 $=E$
25.	$j = k$	4,5-12,13-24 $\vee E$
26.	$([x = y + j \vee (x < y \wedge j = \emptyset)] \wedge [x = y + k \vee (x < y \wedge k = \emptyset)]) \rightarrow j = k$	1-25 $\rightarrow I$
27.	$\forall m \forall n ([x = y + m \vee (x < y \wedge m = \emptyset)] \wedge [x = y + n \vee (x < y \wedge n = \emptyset)]) \rightarrow m = n$	26 $\forall I$

T13.23.

T13.23.a. $PA \vdash a \geq b \rightarrow a = b + (a \dot{-} b)$.

This is straightforward with $a = b + (a \dot{-} b) \vee [a < b \wedge a \dot{-} b = \emptyset]$ from the definition.

T13.23.b. $PA \vdash b \geq a \rightarrow a \dot{-} b = \emptyset$.

From your assumption $b \geq a$ you have $a < b \vee a = b$ with T13.13m. In the first case, as in the previous problem, you get the result with the definition. In the second case, $a \geq b$ by T13.13m and you can use (a) with T6.67.

T13.23.c. $PA \vdash a \dot{-} b \leq a$.

By T13.13q, $a \geq b \vee a < b$. In the first case apply (a); and in the second you have $a \leq b$ so that you can apply (b).

Exercise 13.12 T13.23.c

T13.23.f. $\text{PA} \vdash a > b \rightarrow a \dot{-} b > \emptyset$.

1.	$a > b$	A ($g \rightarrow I$)
2.	$\exists v(Sv + b = a)$	1 def
3.	$Sj + b = a$	A ($g \exists E$)
4.	$a \geq b$	1 T13.13m
5.	$a = b + (a \dot{-} b)$	4 T13.23a
6.	$Sj + b = b + (a \dot{-} b)$	3,5 =E
7.	$Sj = a \dot{-} b$	6 T6.67
8.	$\emptyset < Sj$	T13.13e
9.	$\emptyset < a \dot{-} b$	7,8 =E
10.	$\emptyset < a \dot{-} b$	2,3-9 $\exists E$
11.	$a > b \rightarrow \emptyset < a \dot{-} b$	

T13.23.h. $\text{PA} \vdash Sa \dot{-} a = \bar{1}$.

Given T6.67, this is simple once you see from (a) that $Sa = a + (Sa \dot{-} a)$ and from T6.46 that $Sa = a + \bar{1}$.

T13.23.i. $\text{PA} \vdash a > \emptyset \rightarrow a \dot{-} \bar{1} < a$

You can do this in just a few lines.

T13.23.l. $\text{PA} \vdash a \geq c \rightarrow (a \dot{-} c) + b = (a + b) \dot{-} c$.

1.	$a \geq c$	A ($g \rightarrow I$)
2.	$a = c + (a \dot{-} c)$	1 T13.23a
3.	$a + b \geq a$	T13.13u
4.	$a + b \geq c$	1,3 T13.13a
5.	$a + b = c + [(a + b) \dot{-} c]$	4 T13.23a
6.	$[c + (a \dot{-} c)] + b = c + [(a + b) \dot{-} c]$	2,5 =E
7.	$c + [(a \dot{-} c) + b] = c + [(a + b) \dot{-} c]$	6 T6.55
8.	$(a \dot{-} c) + b = (a + b) \dot{-} c$	7 T6.67
9.	$a \geq c \rightarrow (a \dot{-} c) + b = (a + b) \dot{-} c$	1-8 $\rightarrow I$

T13.23.n. $\text{PA} \vdash (a \dot{-} b) \dot{-} c = a \dot{-} (b + c)$.

1.	$a \geq b + c \vee a < b + c$	T13.13q
2.	$b + c > a$	A (g 1 \vee E)
3.	$b + c \geq a$	2 T13.13m
4.	$a \dot{-} (b + c) = \emptyset$	3 T13.23b
5.	$a \geq b \vee a < b$	T13.13q
6.	$b > a$	A (g 5 \vee E)
7.	$b \geq a$	6 T13.13m
8.	$a \dot{-} b = \emptyset$	7 T13.23b
9.	$c \geq \emptyset$	T13.13d
10.	$c \geq a \dot{-} b$	8,9 \Rightarrow E
11.	$(a \dot{-} b) \dot{-} c = \emptyset$	10 T13.23b
12.	$a \geq b$	A (g 5 \vee E)
13.	$a = b + (a \dot{-} b)$	12 T13.23a
14.	$b + c \geq b + (a \dot{-} b)$	3,13 \Rightarrow E
15.	$c \geq a \dot{-} b$	14 T13.13v
16.	$(a \dot{-} b) \dot{-} c = \emptyset$	15 T13.23b
17.	$(a \dot{-} b) \dot{-} c = \emptyset$	5,6-11,12-16 \vee E
18.	$(a \dot{-} b) \dot{-} c = a \dot{-} (b + c)$	17,4 \Rightarrow E
19.	$a \geq b + c$	A (g 1 \vee E)
20.	$a = (b + c) + [a \dot{-} (b + c)]$	19 T13.23a
21.	$b + c \geq b$	T13.13u
22.	$a \geq b$	19,21 T13.13a
23.	$a = b + (a \dot{-} b)$	22 T13.23a
24.	$b + (a \dot{-} b) \geq b + c$	19,23 \Rightarrow E
25.	$a \dot{-} b \geq c$	24 T13.13v
26.	$a \dot{-} b = c + [(a \dot{-} b) \dot{-} c]$	25 T13.23a
27.	$b + (a \dot{-} b) = (b + c) + [a \dot{-} (b + c)]$	20,23 \Rightarrow E
28.	$b + (c + [(a \dot{-} b) \dot{-} c]) = (b + c) + [a \dot{-} (b + c)]$	26,27 \Rightarrow E
29.	$(b + c) + [(a \dot{-} b) \dot{-} c] = (b + c) + [a \dot{-} (b + c)]$	28 T6.55
30.	$(a \dot{-} b) \dot{-} c = a \dot{-} (b + c)$	29 T6.67
31.	$(a \dot{-} b) \dot{-} c = a \dot{-} (b + c)$	1,2-18,19-30 \vee E

T13.23.o. $\text{PA} \vdash (a + c) \dot{-} (b + c) = a \dot{-} b$.

Start with $a \geq b \vee a < b$. The second case is easy. For the first, you can apply T13.23a to both $a \geq b$ and to $a + c \geq b + c$.

T13.23.p. $\text{PA} \vdash a \times (b \dot{-} c) = a \times b \dot{-} a \times c$.

1.	$a = \emptyset \vee a > \emptyset$	T13.13f
2.	$a = \emptyset$	A (g 1 \vee E)
3.	$a(b \dot{-} c) = \emptyset$	2 T6.57
4.	$ab = \emptyset$	2 T6.57
5.	$ac \geq \emptyset$	T13.13d
6.	$ac \geq ab$	5,4 =E
7.	$ab \dot{-} ac = \emptyset$	6 T13.23b
8.	$a(b \dot{-} c) = ab \dot{-} ac$	3,7 =E
9.	$a > \emptyset$	A (g 1 \vee E)
10.	$b \geq c \vee b < c$	T13.13q
11.	$c > b$	A (g 10 \vee E)
12.	$c \geq b$	11 T13.13m
13.	$b \dot{-} c = \emptyset$	12 T13.23b
14.	$a(b \dot{-} c) = \emptyset$	13 T6.42
15.	$ac \geq ab$	12 T13.13aa
16.	$ab \dot{-} ac = \emptyset$	15 T13.23b
17.	$a(b \dot{-} c) = ab \dot{-} ac$	14,16 =E
18.	$b \geq c$	A (g 10 \vee E)
19.	$b = c + (b \dot{-} c)$	18 T13.23a
20.	$ab = ab$	=I
21.	$ab = a[c + (b \dot{-} c)]$	20,19 =E
22.	$ab = ac + a(b \dot{-} c)$	21 T6.62
23.	$ab \geq ac$	18 T13.13aa
24.	$ab = ac + (ab \dot{-} ac)$	23 T13.23a
25.	$ac + a(b \dot{-} c) = ac + (ab \dot{-} ac)$	22,24 =E
26.	$a(b \dot{-} c) = ab \dot{-} ac$	25 T6.67
27.	$a(b \dot{-} c) = ab \dot{-} ac$	10,11-17,18-26 \vee E
28.	$a(b \dot{-} c) = ab \dot{-} ac$	1,2-8,9-27 \vee E

E13.13. Show each of the results in T13.24

T13.24.

T13.24.a. $PA \vdash \emptyset | a$

This is nearly immediate from the definition and T6.56.

T13.24.b. $PA \vdash a | Sa$.

This is nearly immediate from the definition and T6.56.

T13.24.d. $PA \vdash a | b \rightarrow a | (b \times c)$.

With the assumption for \rightarrow I, you will be able to get $(Sa \times j)c = bc$; then simple association and the definition give the result.

Exercise 13.13 T13.24.d

T13.24.f. $PA \vdash (a|Sb \wedge b|c) \rightarrow a|c$.

This is straightforward once you apply the definition to your assumption for \rightarrow I, and then make the assumptions for \exists E.

T13.24.g. $PA \vdash a|b \rightarrow [a|(b+c) \leftrightarrow a|c]$.

1.	$a b$	A (g \rightarrow I)
2.	$\exists q(Sa \times q = b)$	1 def
3.	$Sa \times j = b$	A (g \exists E)
4.	$a (b+c)$	A (g \leftrightarrow I)
5.	$\exists q(Sa \times q = b+c)$	4 def
6.	$Sa \times k = b+c$	A (g \exists E)
7.	$Sa \times k = (Sa \times j) + c$	3,6 =E
8.	$j \leq k \vee k < j$	T13.13q
9.	$k < j$	A (c \sim I)
10.	$Sa \times j \leq (Sa \times j) + c$	T13.13u
11.	$\emptyset < Sa$	T13.13e
12.	$Sa \times k < Sa \times j$	9,11 T13.13ad
13.	$Sa \times k < (Sa \times j) + c$	10,12 T13.13c
14.	$Sa \times k \neq (Sa \times j) + c$	13 T13.13s
15.	\perp	7,14 \perp I
16.	$k \not\leq j$	9-15 \sim I
17.	$j \leq k$	8,16 DS
18.	$\exists v(v+j=k)$	17 def
19.	$l+j=k$	A (g \exists E)
20.	$Sa \times (l+j) = (Sa \times j) + c$	7,19 =E
21.	$(Sa \times l) + (Sa \times j) = (Sa \times j) + c$	20 T6.62
22.	$Sa \times l = c$	21 T6.67
23.	$\exists q(Sa \times q = c)$	22 \exists I
24.	$a c$	23 def
25.	$a c$	18,19-24 \exists E
26.	$a c$	5,6-25 \exists E
27.	$a c$	A (g \leftrightarrow I)
28.	$\exists q(Sa \times q = c)$	27 def
29.	$Sa \times k = c$	A (g \exists E)
30.	$b+c = b+c$	=I
31.	$(Sa \times j) + (Sa \times k) = b+c$	30,3,29 =E
32.	$Sa \times (j+k) = b+c$	31 T6.62
33.	$\exists q(Sa \times q = b+c)$	32 \exists I
34.	$a (b+c)$	33 def
35.	$a (b+c)$	28,29-34 \exists E
36.	$a (b+c) \leftrightarrow a c$	4-26,27-35 \leftrightarrow I
37.	$a (b+c) \leftrightarrow a c$	2,3-36 \exists E
38.	$a b \rightarrow [a (b+c) \leftrightarrow a c]$	1-37 \rightarrow I

Exercise 13.13 T13.24.g

T13.24.h. $PA \vdash (b \geq c \wedge a|b) \rightarrow [a|(b \dot{+} c) \leftrightarrow a|c]$.

From the assumption for \rightarrow I you have $a|(c + (b \dot{+} c))$; then with each of the assumptions for \leftrightarrow I you will be able to apply (g).

T13.24.i. $PA \vdash a < b \rightarrow b \nmid Sa$.

Make the standard assumptions for \rightarrow I, \sim I and, from the definition, \exists E to get $Sb \times j = Sa$; then, using the last strategy for reaching a contradiction, both $j = \emptyset$ and $j \neq \emptyset$ lead to contradiction.

T13.24.j. $PA \vdash a|b \leftrightarrow rm(b, a) = \emptyset$.

This is a matter of connecting the definitions. From $a|b$ you get $Sa \times j = b$ and from $rm(b, a) = \emptyset$, $b = Sa \times j + \emptyset \wedge \emptyset < Sa$; observe also that when $Sa \times j = b$ you have $j \leq b$ for (\exists I).

T13.24.k. $PA \vdash rm[a + (y \times Sd), d] = rm(a, d)$.

Let $r = rm(a, d)$

1.	$(\exists w \leq a)[a = Sd \times w + r \wedge r < Sd]$	def rm
2.	$a = (Sd \times j) + r \wedge r < Sd$	\wedge (g 1(\exists E))
3.	$j \leq a$	
4.	$a = (Sd \times j) + r$	2 \wedge E
5.	$a + (y \times Sd) = a + (y \times Sd)$	=I
6.	$a + (y \times Sd) = [(Sd \times j) + r] + (y \times Sd)$	4,5 =E
7.	$a + (y \times Sd) = [(Sd \times j) + (Sd \times y)] + r$	6 with T6.55
8.	$a + (y \times Sd) = Sd \times (j + y) + r$	7 T6.62
9.	$r < Sd$	2 \wedge E
10.	$a + (y \times Sd) = Sd \times (j + y) + r \wedge r < Sd$	8,9 \wedge I
11.	$a + (y \times Sd) = [d \times (j + y) + (j + y)] + r$	8 T6.59
12.	$a + (y \times Sd) = (j + y) + [d \times (j + y) + r]$	11 with T6.55
13.	$\exists v[v + (j + y) = a + (y \times Sd)]$	12 \exists I
14.	$j + y \leq a + (y \times Sd)$	13 def
15.	$(\exists w \leq a + (y \times Sd))[a + (y \times Sd) = Sd \times w + r \wedge r < Sd]$	10,14 (\exists I)
16.	$rm(a + (y \times Sd), d) = r$	15 def
17.	$rm(a + (y \times Sd), d) = r$	1,2-16 (\exists E)

T13.24.l. $PA \vdash Sd \times z \leq a \rightarrow z \leq qt(a, d)$.

Let $r = rm(a, d)$ and $q = qt(a, d)$

1.	$a = Sd \times q + r \wedge r < Sd$	def qt
2.	$Sd \times z \leq a$	A ($g \rightarrow I$)
3.	$z > q$	A ($c \sim I$)
4.	$z \geq Sq$	3 T13.13l
5.	$a = Sd \times q + r$	1 $\wedge E$
6.	$Sd \times Sq = (Sd \times q) + Sd$	T6.43
7.	$Sd \times z \geq Sd \times Sq$	4 T13.13aa
8.	$Sd \times z \geq (Sd \times q) + Sd$	7,6 $=E$
9.	$r < Sd$	1 $\wedge E$
10.	$(Sd \times q) + r < (Sd \times q) + Sd$	9 T13.13w
11.	$a < (Sd \times q) + Sd$	5,10 $=E$
12.	$a < Sd \times z$	8,11 T13.13c
13.	$a \not\leq Sd \times z$	2 T13.13r
14.	\perp	12,13 $\perp I$
15.	$z \not\leq q$	3-14 $\sim I$
16.	$z \leq q$	15 T13.13r
17.	$Sd \times z \leq a \rightarrow z \leq q$	2-16 $\rightarrow I$
18.	$Sd \times z \leq a \rightarrow z \leq qt(a, d)$	17 abv

T13.24.m. $PA \vdash a \geq y \times Sd \rightarrow rm[a \dot{-} (y \times Sd), d] = rm(a, d)$

Let $r = rm(a, d)$ and $q = qt(a, d)$

1.	$a = Sd \times q + r \wedge r < Sd$	def qt
2.	$a \geq y \times Sd$	A ($g \rightarrow I$)
3.	$a = Sd \times q + r$	1 $\wedge E$
4.	$a = (y \times Sd) + [a \dot{-} (y \times Sd)]$	2 T13.23a
5.	$Sd \times q + r = (y \times Sd) + [a \dot{-} (y \times Sd)]$	3,4 $=E$
6.	$y \leq q$	2 T13.24l
7.	$Sd \times y \leq Sd \times q$	6 T13.13aa
8.	$Sd \times q = (Sd \times y) + [(Sd \times q) \dot{-} (Sd \times y)]$	7 T13.23a
9.	$(Sd \times q) + r = (Sd \times q) + r$	$=I$
10.	$[(Sd \times q) \dot{-} (Sd \times y)] + [(Sd \times y) + r] = (Sd \times q) + r$	8,9 $=E$
11.	$[(Sd \times q) \dot{-} (Sd \times y)] + [(Sd \times y) + r] = (y \times Sd) + [a \dot{-} (y \times Sd)]$	5,10 $=E$
12.	$[(Sd \times q) \dot{-} (Sd \times y)] + r = a \dot{-} (y \times Sd)$	11 T6.67
13.	$a \dot{-} (y \times Sd) = Sd(q \dot{-} y) + r$	12 T13.23p
14.	$r < Sd$	1 $\wedge E$
15.	$a \dot{-} (y \times Sd) = Sd(q \dot{-} y) + r \wedge r < Sd$	13,14 $\wedge I$
16.	$a \dot{-} (y \times Sd) = [d(q \dot{-} y) + (q \dot{-} y)] + r$	13 T6.59
17.	$\exists v[v + (q \dot{-} y) = a \dot{-} (y \times Sd)]$	16 $\exists I$
18.	$q \dot{-} y \leq a \dot{-} (y \times Sd)$	17 def
19.	$(\exists w < a \dot{-} (y \times Sd))[a \dot{-} (y \times Sd) = Sd \times w + r \wedge r < Sd]$	15,18 ($\exists I$)
20.	$rm(a \dot{-} (y \times Sd), d) = r$	19 def rm
21.	$a \geq y \times Sd \rightarrow rm(a \dot{-} (y \times Sd), d) = r$	2-20 $\rightarrow I$

E13.14. Show each of the the results in T13.25.

T13.25.

Exercise 13.14 T13.25

T13.25.d. $\text{PA} \vdash \forall x [x > \bar{1} \rightarrow \exists z (Pr(Sz) \wedge z|x)]$

1.	$\emptyset > \bar{1} \rightarrow \exists z (Pr(Sz) \wedge z \emptyset)$	trivial
2.	$(\forall y \leq k)[y > \bar{1} \rightarrow \exists z (Pr(Sz) \wedge z y)]$	A ($g \rightarrow I$)
3.	$Sk > \bar{1}$	A ($g \rightarrow I$)
4.	$Pr(Sk) \vee \sim Pr(Sk)$	T3.1
5.	$Pr(Sk)$	A ($g \vee E$)
6.	$k Sk$	T13.24b
7.	$Pr(Sk) \wedge k Sk$	5,6 $\wedge I$
8.	$\exists z (Pr(Sz) \wedge z Sk)$	7 $\exists I$
9.	$\sim Pr(Sk)$	A ($g \vee E$)
10.	$\sim(\bar{1} < Sk \wedge \forall d[d Sk \rightarrow (d = \emptyset \vee Sd = Sk)])$	9 def
11.	$\bar{1} \neq Sk \vee \exists d[d Sk \wedge d \neq \emptyset \wedge Sd \neq Sk]$	10 DeM, QN
12.	$\exists d[d Sk \wedge d \neq \emptyset \wedge Sd \neq Sk]$	3,11 DS
13.	$j Sk \wedge j \neq \emptyset \wedge Sj \neq Sk$	A ($g \exists E$)
14.	$j Sk$	13 $\wedge E$
15.	$j \neq \emptyset$	13 $\wedge E$
16.	$Sj \neq Sk$	13 $\wedge E$
17.	$Sj \leq k \vee k < Sj$	T13.13q
18.	$k < Sj$	A ($c \sim I$)
19.	$k < j \vee k = j$	18 T13.13n
20.	$k = j$	A ($c \vee E$)
21.	$Sk = Sk$	=I
22.	$Sj = Sk$	21,20 =E
23.	\perp	16,22 $\perp I$
24.	$k < j$	A ($c \vee E$)
25.	$j \nmid Sk$	24 T13.24i
26.	\perp	14,25 $\perp I$
27.	\perp	19,20-23,24-26 $\vee E$
28.	$k \neq Sj$	18-27 $\sim I$
29.	$Sj \leq k$	17,28 DS
30.	$Sj > \bar{1} \rightarrow \exists z (Pr(Sz) \wedge z Sj)$	2,29 ($\forall E$)
31.	$j > \emptyset$	15 T13.13f
32.	$Sj > \bar{1}$	31 T13.13k
33.	$\exists z (Pr(Sz) \wedge z Sj)$	30,32 $\rightarrow E$
34.	$Pr(Sl) \wedge l Sj$	A ($g \exists E$)
35.	$l Sj$	34 $\wedge E$
36.	$l Sj \wedge j Sk$	35,14 $\wedge I$
37.	$l Sk$	36 T13.24f
38.	$Pr(Sl)$	34 $\wedge E$
39.	$Pr(Sl) \wedge l Sk$	38,37 $\wedge I$
40.	$\exists z (Pr(Sz) \wedge z Sk)$	39 $\exists I$
41.	$\exists z (Pr(Sz) \wedge z Sk)$	33,34-40 $\exists E$
42.	$\exists z (Pr(Sz) \wedge z Sk)$	12,13-41 $\exists E$
43.	$\exists z (Pr(Sz) \wedge z Sk)$	4,4-8,9-42 $\vee E$
44.	$Sk > \bar{1} \rightarrow \exists z (Pr(Sz) \wedge z Sk)$	3-43 $\rightarrow I$
45.	$(\forall y \leq k)[y > \bar{1} \rightarrow \exists z (Pr(Sz) \wedge z y)] \rightarrow [Sk > \bar{1} \rightarrow \exists z (Pr(Sz) \wedge z Sk)]$	2-44 $\rightarrow I$
46.	$\forall x \{(\forall y \leq x)[y > \bar{1} \rightarrow \exists z (Pr(Sz) \wedge z y)] \rightarrow [Sx > \bar{1} \rightarrow \exists z (Pr(Sz) \wedge z Sx)]\}$	45 $\forall I$
47.	$\forall x [x > \bar{1} \rightarrow \exists z (Pr(Sz) \wedge z x)]$	1,46 T13.13ag

T13.25.e. $\text{PA} \vdash Rp(a, b) \leftrightarrow \sim \exists x [Pr(Sx) \wedge x|a \wedge x|b]$.

1.	$Rp(a, b)$	$A (g \leftrightarrow I)$
2.	$\forall d[(d a \wedge d b) \rightarrow d = \emptyset]$	1 def
3.	$\exists x[Pr(Sx) \wedge x a \wedge x b]$	$A (c \sim I)$
4.	$Pr(Sj) \wedge j a \wedge j b$	$A (c \exists E)$
5.	$j a \wedge j b$	4 $\wedge E$
6.	$j = \emptyset$	2,5 $\forall E$
7.	$\bar{1} \leq \bar{1}$	T13.13m
8.	$Sj \leq \bar{1}$	6,7 $=E$
9.	$\bar{1} \not\leq Sj$	8 T13.13r
10.	$Pr(Sj)$	4 $\wedge E$
11.	$\bar{1} < Sj \wedge \forall d[d Sj \rightarrow (d = \emptyset \vee Sd = Sj)]$	10 def
12.	$\bar{1} < Sj$	11 $\wedge E$
13.	\perp	9,12 $\perp I$
14.	\perp	3,4-13 $\exists E$
15.	$\sim \exists x[Pr(Sx) \wedge x a \wedge x b]$	3-14 $\sim I$
16.	$\sim \exists x[Pr(Sx) \wedge x a \wedge x b]$	$A (g \leftrightarrow I)$
17.	$\forall x[Pr(Sx) \rightarrow \sim(x a \wedge x b)]$	16 QN,DeM
18.	$j a \wedge j b$	$A (g \rightarrow I)$
19.	$j = \emptyset \vee j > \emptyset$	T13.13f
20.	$j > \emptyset$	$A (c \sim I)$
21.	$Sj > \bar{1}$	20 T13.13k
22.	$\exists z(Pr(Sz) \wedge z Sj)$	21 T13.25d
23.	$Pr(Sk) \wedge k Sj$	$A (c \exists E)$
24.	$k Sj$	23 $\wedge E$
25.	$j a$	18 $\wedge E$
26.	$k Sj \wedge j a$	24,25 $\wedge I$
27.	$k a$	26 T13.24f
28.	$j b$	18 $\wedge E$
29.	$k Sj \wedge j b$	26,28 $\wedge E$
30.	$k b$	29 T13.24f
31.	$k a \wedge k b$	27,30 $\wedge I$
32.	$Pr(Sk)$	23 $\wedge E$
33.	$\sim(k a \wedge k b)$	17,32 $\forall E$
34.	\perp	31,33 $\perp I$
35.	\perp	22,23-34 $\exists E$
36.	$j \neq \emptyset$	20-35 $\sim I$
37.	$j = \emptyset$	19,36 DS
38.	$(j a \wedge j b) \rightarrow j = \emptyset$	18-37 $\rightarrow I$
39.	$\forall d[(d a \wedge d b) \rightarrow d = \emptyset]$	38 $\forall I$
40.	$Rp(a, b)$	39 def
41.	$Rp(a, b) \leftrightarrow \sim \exists x[Pr(Sx) \wedge x a \wedge x b]$	1-15,16-40 $\leftrightarrow I$

T13.25.f. $PA \vdash \forall x \forall y [G(a, b, x) \rightarrow G(a, b, x \times y)]$

With the assumptions $G(a, b, j)$ and then $au + j = bv$ for $\rightarrow I$ and $\exists E$, you

Exercise 13.14 T13.25.f

can show $auk + jk = bkv$ and generalize.

T13.25.g. $\text{PA} \vdash (a > \emptyset \wedge b > \emptyset) \rightarrow \forall x \forall y [(G(a, b, x) \wedge G(a, b, y) \wedge x \geq y) \rightarrow G(a, b, x \dot{-} y)]$

1.	$a > \emptyset \wedge b > \emptyset$	$\Lambda (g \rightarrow I)$
2.	$a > \emptyset$	1 $\wedge E$
3.	$b > \emptyset$	1 $\wedge E$
4.	$G(a, b, i) \wedge G(a, b, j) \wedge i \geq j$	$\Lambda (g \rightarrow I)$
5.	$G(a, b, i)$	4 $\wedge E$
6.	$\exists x \exists y (ax + i = by)$	5 def
7.	$G(a, b, j)$	4 $\wedge E$
8.	$\exists x \exists y (ax + j = by)$	7 def
9.	$ap + i = bq$	$\Lambda (g \exists E)$
10.	$ar + j = bs$	$\Lambda (g \exists E)$
11.	$i \geq j$	4 $\wedge E$
12.	$bar \geq ar$	3 T13.13z
13.	$abs \geq bs$	2 T13.13z
14.	$ap + i \geq i$	T13.13u
15.	$bq \geq i$	9,14 $=E$
16.	$bq \geq j$	11,15 T13.13a
17.	$bar + bq \geq ar + j$	12,16 T13.13x
18.	$bar + bq \geq bs$	10,17 $=E$
19.	$(bq + bar) + (bsa \dot{-} bs) = (bq + bar) + (bsa \dot{-} bs)$	$=I$
20.	$[bsa + (bq + bar)] \dot{-} bs = (bq + bar) + (bsa \dot{-} bs)$	13,19 T13.23l
21.	$[(bq + bar) \dot{-} bs] + bsa = (bq + bar) + (bsa \dot{-} bs)$	18,20 T13.23l
22.	$[(bq + bar) \dot{-} (ar + j)] + bsa = (bq + bar) + (bsa \dot{-} bs)$	10,21 $=E$
23.	$[((bq + bar) \dot{-} j) \dot{-} ar] + bsa = (bq + bar) + (bsa \dot{-} bs)$	22 T13.23n
24.	$[((bq \dot{-} j) + bar) \dot{-} ar] + bsa = (bq + bar) + (bsa \dot{-} bs)$	16,23 T13.23l
25.	$[(bar \dot{-} ar) + (bq \dot{-} j)] + bsa = (bq + bar) + (bsa \dot{-} bs)$	12,24 T13.23l
26.	$[(bar \dot{-} ar) + ((ap + i) \dot{-} j)] + bsa = (bq + bar) + (bsa \dot{-} bs)$	9,25 $=E$
27.	$[(bar \dot{-} ar) + ((i \dot{-} j) + ap)] + bsa = (bq + bar) + (bsa \dot{-} bs)$	11,26 T13.23l
28.	$(ap + abs) + (bar \dot{-} ar) + (i \dot{-} j) = (bq + bar) + (bsa \dot{-} bs)$	27 assoc com
29.	$a(p + bs) + (bar \dot{-} ar) + (i \dot{-} j) = b(q + ar) + (bsa \dot{-} bs)$	28 T6.62
30.	$a(p + bs) + a(br \dot{-} r) + (i \dot{-} j) = b(q + ar) + b(sa \dot{-} s)$	29 T13.23p
31.	$a[(p + bs) + (br \dot{-} r)] + (i \dot{-} j) = b[(q + ar) + (sa \dot{-} s)]$	30 T6.62
32.	$\exists x \exists y [ax + (i \dot{-} j) = by]$	31 $\exists I$
33.	$G(a, b, i \dot{-} j)$	32 def
34.	$G(a, b, i \dot{-} j)$	8,10-33 $\exists E$
35.	$G(a, b, i \dot{-} j)$	6,9-34 $\exists E$
36.	$[G(a, b, i) \wedge G(a, b, j) \wedge i \geq j] \rightarrow G(a, b, i \dot{-} j)$	4-35 $\rightarrow I$
37.	$\forall x \forall y ([G(a, b, x) \wedge G(a, b, y) \wedge x \geq y] \rightarrow G(a, b, x \dot{-} y))$	36 $\forall I$
38.	$(a > \emptyset \wedge b > \emptyset) \rightarrow \forall x \forall y ([G(a, b, x) \wedge G(a, b, y) \wedge x \geq y] \rightarrow G(a, b, x \dot{-} y))$	1-37 $\rightarrow I$

T13.25.h. $\text{PA} \vdash [Rp(a, b) \wedge a > \bar{1} \wedge b > \bar{1}] \rightarrow \exists x \exists y (ax + \bar{1} = by)$

(a) Show $a \times (b \dot{-} \bar{1}) + a = b \times a$ and generalize.

Exercise 13.14 T13.25.h

(b) Show $a \times \emptyset + b = b \times \bar{1}$ and generalize.

(c) Let $q = qt(i, d(a, b))$ and $r = rm(i, d(a, b))$.

c1.	$i = (Sd(a, b) \times q) + r$	def qt
c2.	$r < Sd(a, b)$	from def rm
c3.	$(\forall y < d(a, b)) \sim [(a > \emptyset \wedge b > \emptyset) \rightarrow G(a, b, Sy)]$	1 $\wedge E$
c4.	$G(a, b, i)$	A ($g \rightarrow I$)
c5.	$G(a, b, Sd(a, b) \times q)$	7 T13.25f
c6.	$Sd(a, b) \times q \leq (Sd(a, b) \times q) + r$	T13.13u
c7.	$Sd(a, b) \times q \leq i$	c1, c6 =E
c8.	$\forall x \forall y [(G(a, b, x) \wedge G(a, b, y) \wedge x \geq y) \rightarrow G(a, b, x \dot{-} y)]$	6 T13.25g
c9.	$G(a, b, i \dot{-} (Sd(a, b) \times q))$	c4, c5, c7, c8 $\forall E$
c10.	$i = Sd(a, b) \times q + [i \dot{-} (Sd(a, b) \times q)]$	c7 T13.23a
c11.	$Sd(a, b) \times q + [i \dot{-} (Sd(a, b) \times q)] = (Sd(a, b) \times q) + r$	c1, c10 =E
c12.	$i \dot{-} (Sd(a, b) \times q) = r$	c11 T6.67
c13.	$G(a, b, r)$	c9, c11 =E
c14.	$\exists y (r = Sy)$	A ($c \sim I$)
c15.	$r = Sk$	A (c c14 $\exists E$)
c16.	$Sk < Sd(a, b)$	c2, c16 =E
c17.	$k < d(a, b)$	c16 T13.13k
c18.	$\sim [(a > \emptyset \wedge b > \emptyset) \rightarrow G(a, b, Sk)]$	c3, c17 ($\forall E$)
c19.	$(a > \emptyset \wedge b > \emptyset) \wedge \sim G(a, b, Sk)$	c18 Impl, Dem
c20.	$\sim G(a, b, Sk)$	c19 $\wedge E$
c21.	$\sim G(a, b, r)$	c20, c15 =E
c22.	\perp	c13, c21 $\perp I$
c23.	\perp	c14, c15-c22 $\exists E$
c24.	$\sim \exists y (r = Sy)$	c14-c23 $\sim I$
c25.	$r = \emptyset$	c24 T6.44
c26.	$d(a, b) i$	c25 T13.24j
c27.	$G(a, b, i) \rightarrow d(a, b) i$	c4-c24 $\rightarrow I$
c28.	$\forall x [G(a, b, x) \rightarrow d(a, b) x]$	c27 $\forall I$

T13.25.i. $PA \vdash Pr(Sa) \wedge a | (b \times c) \rightarrow (a | b \vee a | c)$

1.	$Pr(Sa) \wedge a (b \times c)$	$A(g \rightarrow I)$
2.	$Pr(Sa)$	1 $\wedge E$
3.	$\bar{1} < Sa \wedge \forall x[x Sa \rightarrow (x = \emptyset \vee Sx = Sa)]$	2 def
4.	$\forall x[x Sa \rightarrow (x = \emptyset \vee Sx = Sa)]$	3 $\wedge E$
5.	$a (b \times c)$	1 $\wedge E$
6.	$a \nmid b$	$A(g \rightarrow I)$
7.	$j b \wedge j Sa$	$A(g \rightarrow I)$
8.	$j Sa$	7 $\wedge E$
9.	$j = \emptyset \vee Sj = Sa$	4,8 $\forall E$
10.	$Sj = Sa$	$A(c \sim I)$
11.	$j = a$	10 T6.39
12.	$j b$	7 $\wedge E$
13.	$a b$	12,11 $=E$
14.	\perp	6,13 $\perp I$
15.	$Sj \neq Sa$	10-14 $\sim I$
16.	$j = \emptyset$	9,15 DS
17.	$(j b \wedge j Sa) \rightarrow j = \emptyset$	7-16 $\rightarrow I$
18.	$\forall x[(x b \wedge x Sa) \rightarrow x = \emptyset]$	17 $\forall I$
19.	$Rp(b, Sa)$	18 def
20.	$Sa > \emptyset$	T13.13e
21.	$b \neq \emptyset$	$A(c \sim E)$
22.	$b = \emptyset$	21 T13.13f
23.	$a \emptyset$	T13.24c
24.	$a b$	22,23 $=E$
25.	\perp	6,24 $\perp I$
26.	$b > \emptyset$	21-25 $\sim E$
27.	$\exists x \exists y[bx + \bar{1} = Sa \times y]$	19,20,26 T13.25h
28.	$bp + \bar{1} = Sa \times q$	$A(g \text{ 27}\exists E)$
29.	$c(Sa \times q) = c(Sa \times q)$	$=I$
30.	$c(bp + \bar{1}) = c(Sa \times q)$	28,29 $=E$
31.	$cbp + c = c(Sa \times q)$	30 T6.62
32.	$a cbp$	5 T13.24d
33.	$a Sa$	T13.24b
34.	$a c(Sa \times q)$	33 T13.24d
35.	$a (cbp + c)$	31,34 $=E$
36.	$a c$	32,35 T13.24g
37.	$a c$	27,28-36 $\exists E$
38.	$a \nmid b \rightarrow a c$	6-37 $\rightarrow I$
39.	$a b \vee a c$	38 Impl
40.	$[Pr(Sa) \wedge a (b \times c)] \rightarrow (a b \vee a c)$	1-39 $\rightarrow I$

E13.15. Show the conditions for $Def[lcm]$ and $Def[plm]$. Then show each of the results in T13.26.

$Def[lcm]$.

Exercise 13.15 $Def[lcm]$

(i) $\text{PA} \vdash \exists x[x > \emptyset \wedge (\forall i < k)m(i)|x]$

Supposing the zero case is done.

1.	$\exists x[x > \emptyset \wedge (\forall i < \emptyset)m(i) x]$	zero case
2.	$\exists x[x > \emptyset \wedge (\forall i < j)m(i) x]$	$A(g \rightarrow I)$
3.	$a > \emptyset \wedge (\forall i < j)m(i) a$	$A(g \ 2\exists E)$
4.	$a > \emptyset$	$3 \wedge E$
5.	$(\forall i < j)m(i) a$	$3 \wedge E$
6.	$Sm(j) > \emptyset$	T13.13e
8.	$a \times Sm(j) \geq Sm(j)$	4 T13.13z
9.	$a \times Sm(j) > \emptyset$	6,8 T13.13c
10.	$l < Sj$	$A(g \ (\forall I))$
11.	$l < j \vee l = j$	10 T13.13n
12.	$l < j$	$A(g \ 11\vee E)$
13.	$m(l) a$	5,12 $(\forall E)$
14.	$m(l) (a \times Sm(j))$	13 T13.24d
15.	$l = j$	$A(g \ 11\vee E)$
16.	$m(j) Sm(j)$	T13.24b
17.	$m(l) Sm(j)$	16,15 $=E$
18.	$m(l) (a \times Sm(j))$	17 T13.24d
19.	$m(l) (a \times Sm(j))$	11,12-14,15-18 $\vee E$
20.	$(\forall i < Sj)m(i) (a \times Sm(j))$	10-19 $(\forall I)$
21.	$a \times Sm(j) > \emptyset \wedge (\forall i < Sj)m(i) (a \times Sm(j))$	9,20 $\wedge I$
22.	$\exists x[x > \emptyset \wedge (\forall i < Sj)m(i) x]$	21 $\exists I$
23.	$\exists x[x > \emptyset \wedge (\forall i < Sj)m(i) x]$	2,3-22 $\exists E$
24.	$\exists x[x > \emptyset \wedge (\forall i < j)m(i) x] \rightarrow \exists x[x > \emptyset \wedge (\forall i < Sj)m(i) x]$	2-23 $\rightarrow I$
25.	$\forall y(\exists x[x > \emptyset \wedge (\forall i < y)m(i) x] \rightarrow \exists x[x > \emptyset \wedge (\forall i < Sy)m(i) x])$	24 $\forall I$
26.	$\exists x[x > \emptyset \wedge (\forall i < k)m(i) x]$	1,25 IN

Def[plm]. These are straightforward.

T13.26.

T13.26.a. Show $\bar{1} > \emptyset \wedge (\forall i < \emptyset)m(i)|\bar{1} \wedge (\forall z < \bar{1})\sim[z > \emptyset \wedge (\forall i < \emptyset)m(i)|z]$ and apply the definition.

T13.26.b. This is straightforward.

T13.26.c. $\text{PA} \vdash (\forall i < k)m(i)|x \rightarrow p_k|x$

Let $q = qt(x, p_k)$ and $r = rm(x, p_k)$.

1.	$(\forall y < l_k) \sim [y > \emptyset \wedge (\forall i < k)m(i) y]$	def l_k T13.19c
2.	$Sp_k = l_k$	def p_k
3.	$x = (Sp_k \times q) + r$	def q
4.	$r < Sp_k$	from def r
5.	$(\forall i < k)m(i) x$	A ($g \rightarrow I$)
6.	$r < l_k$	4,2 $=E$
7.	$a < k$	A ($g (\forall I)$)
8.	$m(a) x$	5,7 ($\forall E$)
9.	$m(a) ((Sp_k \times q) + r)$	8,3 $=E$
10.	$m(a) l_k$	7 T13.26b
11.	$m(a) Sp_k$	2,10 $=E$
12.	$m(a) (Sp_k \times q)$	11 T13.24d
13.	$m(a) r$	9,12 T13.24g
14.	$(\forall i < k)m(i) r$	7-13 ($\forall I$)
15.	$\sim [r > \emptyset \wedge (\forall i < k)m(i) r]$	1,6 ($\forall E$)
16.	$r \not> \emptyset \vee \sim (\forall i < k)m(i) r$	15 DeM
17.	$r \not> \emptyset$	14,16 DS
18.	$r = \emptyset$	17 T13.13f
19.	$p_k x$	18 T13.24j
20.	$(\forall i < k)m(i) x \rightarrow p_k x$	5-19 $\rightarrow I$

T13.26.d. $PA \vdash \forall n[(Pr(Sn) \wedge n|l_k) \rightarrow (\exists i < k)n|Sm(i)]$

Supposing the zero case is done.

1.	$\forall n[(Pr(Sn) \wedge n l_\emptyset) \rightarrow (\exists i < \emptyset)n Sm(i)]$	zero case
2.	$l_j > \emptyset \wedge (\forall i < j)m(i) l_j$	def l_j T13.19b
3.	$(\forall i < j)m(i) l_j$	2 $\wedge E$
4.	$\forall n[(Pr(Sn) \wedge n l_j) \rightarrow (\exists i < j)n Sm(i)]$	A ($g \rightarrow I$)
5.	$Pr(Sa) \wedge a l_{Sj}$	A ($g \rightarrow I$)
6.	$Pr(Sa)$	5 $\wedge E$
7.	$b < Sj$	A (g ($\forall I$))
8.	$b < j \vee b = j$	7 T13.13n
9.	$b < j$	A (g $8\vee E$)
10.	$m(b) l_j$	3,9 ($\forall E$)
11.	$m(b) (l_j \times Sm(j))$	10 T13.24d
12.	$b = j$	A (g $8\vee E$)
13.	$m(j) Sm(j)$	T13.24b
14.	$m(b) Sm(j)$	12,13 $=E$
15.	$m(b) (l_j \times Sm(j))$	14 T13.24d
16.	$m(b) (l_j \times Sm(j))$	8,9-11,12-15 $\vee E$
17.	$(\forall i < Sj)m(i) (l_j \times Sm(j))$	7-16 ($\forall I$)
18.	$p_{Sj} (l_j \times Sm(j))$	17 T13.26c
19.	$Sp_{Sj} = l_{Sj}$	def p_{Sj}
20.	$a l_{Sj}$	5 $\wedge E$
21.	$a Sp_{Sj}$	20,19 $=E$
22.	$a (l_j \times Sm(j))$	21,18 T13.24f
23.	$a l_j \vee a Sm(j)$	6,22 T13.25i
24.	$j < Sj$	T13.13h
25.	$a l_j$	A (g $23\vee E$)
26.	$Pr(Sa) \wedge a l_j$	6,25 $\wedge I$
27.	$(\exists i < j)a Sm(i)$	4,26 $\forall E$
28.	$a Sm(b)$	A (g $27(\exists E)$)
29.	$b < j$	
30.	$b < Sj$	29,24 T13.13b
31.	$(\exists i < Sj)a Sm(i)$	28,30 ($\exists I$)
32.	$(\exists i < Sj)a Sm(i)$	27,28-31 ($\exists E$)
33.	$a Sm(j)$	A (g $23\vee E$)
34.	$(\exists i < Sj)a Sm(i)$	33,24 ($\exists I$)
35.	$(\exists i < Sj)a Sm(i)$	23,25-32,33-34 $\vee E$
36.	$(Pr(Sa) \wedge a l_{Sj}) \rightarrow (\exists i < Sj)a Sm(i)$	5-35 $\rightarrow I$
37.	$\forall n[(Pr(Sn) \wedge n l_{Sj}) \rightarrow (\exists i < Sj)n Sm(i)]$	36 $\forall I$
38.	$\forall n[(Pr(Sn) \wedge n l_j) \rightarrow (\exists i < j)n Sm(i)] \rightarrow \forall n[(Pr(Sn) \wedge n l_{Sj}) \rightarrow (\exists i < Sj)n Sm(i)]$	4-37 $\rightarrow I$
39.	$\forall y(\forall n[(Pr(Sn) \wedge n l_y) \rightarrow (\exists i < y)n Sm(i)] \rightarrow \forall n[(Pr(Sn) \wedge n l_{Sy}) \rightarrow (\exists i < Sy)n Sm(i)])$	38 $\forall I$
40.	$\forall n[(Pr(Sn) \wedge n l_k) \rightarrow (\exists i < k)n Sm(i)]$	1,39 IN

E13.16. Provide derivations to show each of [a] - [e] to complete the derivation for T13.27.

Exercise 13.16

T13.27.

a. $\text{PA} \vdash \emptyset \leq k \rightarrow (\mathcal{A}(\emptyset) \rightarrow \mathcal{B}(\emptyset))$

Trivially $(\forall i < \emptyset) \text{rm}(\emptyset, m(i)) = h(i)$; this gives you $\mathcal{B}(\emptyset)$ and (1) follows easily from this.

b. You will be able to use (10) and (11) to generate the antecedent to (8); (13) then follows by \rightarrow E.

c. $\text{PA}, (11) \vdash \text{Rp}(l_a, \text{Sm}(a))$

c1.	$\sim \text{Rp}(l_a, \text{Sm}(a))$	A (c \sim E)
c2.	$\exists x[\text{Pr}(\text{S}x) \wedge x l_a \wedge x \text{Sm}(a)]$	c1, T13.25e
c3.	$\text{Pr}(\text{S}u) \wedge u l_a \wedge u \text{Sm}(a)$	A (c c2 \exists E)
c4.	$u \text{Sm}(a)$	c3 \wedge E
c5.	$\text{Pr}(\text{S}u)$	c3 \wedge E
c6.	$u l_a$	c3 \wedge E
c7.	$\text{Pr}(\text{S}u) \wedge u l_a$	c5,c6 \wedge I
c8.	$(\exists i < a)u \text{Sm}(i)$	c7 T13.26d
c9.	$u \text{Sm}(v)$	A (c c8(\exists E))
c10.	$v < a$	
c11.	$a < \text{S}a$	T13.13n
c12.	$v < a \wedge a < \text{S}a$	c10,c11 \wedge I
c13.	$(v < a \wedge a < \text{S}a) \rightarrow \text{Rp}(\text{Sm}(v), \text{Sm}(a))$	11 \forall E
c14.	$\text{Rp}(\text{Sm}(v), \text{Sm}(a))$	c13,c12 \rightarrow E
c15.	$\text{Pr}(\text{S}u) \wedge u \text{Sm}(v) \wedge u \text{Sm}(a)$	c5,c9,c4 \wedge I
c16.	$\exists x[\text{Pr}(\text{S}x) \wedge x \text{Sm}(v) \wedge x \text{Sm}(a)]$	c15 \exists I
c17.	$\sim \text{Rp}(\text{Sm}(v), \text{Sm}(a))$	c16 T13.25e
c18.	\perp	c14,c17 \perp I
c19.	\perp	c8,c9-c18 (\exists E)
c20.	\perp	c2,c3-c19 \exists E
c21.	$\text{Rp}(l_a, \text{Sm}(a))$	c1-c20 \sim E

d. $\text{PA}, (20), (21) \vdash s = \text{Sm}(a) \times c + h(a)$

d1.	$s = (l_a b + r) + h(a)l_a$	21 T6.62
d2.	$l_a > \emptyset$	def l_a
d3.	$h(a)l_a \geq h(a)$	d2 T13.13z
d4.	$h(a)l_a = h(a) + [h(a)l_a \dot{-} h(a)]$	d3 T13.23a
d5.	$h(a)l_a = h(a) + [h(a)l_a \dot{-} h(a)\bar{1}]$	d4 T6.56
d6.	$h(a)l_a = h(a) + h(a)[l_a \dot{-} \bar{1}]$	d5 T13.23p
d7.	$s = (l_a b + r) + (h(a) + h(a)[l_a \dot{-} \bar{1}])$	d1,d6 $=$ E
d8.	$s = [l_a b + (r + [l_a \dot{-} \bar{1}]h(a))] + h(a)$	d7 T6.54
d9.	$s = \text{Sm}(a)c + h(a)$	20,d8 $=$ E

e. $\text{PA}, (10), (13), (21), (22) \vdash (\forall i < \text{S}a) \text{rm}(s, m(i)) = h(i)$

Exercise 13.16 T13.27

e1.	$u < Sa$	$A(g (\forall I))$
e2.	$u < a \vee u = a$	e1 T13.13n
e3.	$u < a$	$A(g e2\vee E)$
e4.	$m(u) l_a$	e3 T13.26b
e5.	$m(u) l_a(b + h(a))$	e4 T13.24d
e6.	$\exists q[Sm(u)q = l_a(b + h(a))]$	def l
e7.	$Sm(u)v = l_a(b + h(a))$	$A(g e6\exists E)$
e8.	$rm(s, m(u)) = rm(s, m(u))$	$=I$
e9.	$rm(s, m(u)) = rm(l_a(b + h(a)) + r, m(u))$	e8,21 $=E$
e10.	$rm(s, m(u)) = rm(Sm(u)v + r, m(u))$	e9,e7 $=E$
e11.	$rm(s, m(u)) = rm(r, m(u))$	e10 T13.24k
e12.	$rm(r, m(u)) = h(u)$	13,e3 $(\forall E)$
e13.	$rm(s, m(u)) = h(u)$	e11,e12 $=E$
e14.	$rm(s, m(u)) = h(u)$	e6,e7-e13 $\exists E$
e15.	$u = a$	$A(g e2\vee E)$
e16.	$rm(s, m(u)) = rm(s, m(u))$	$=I$
e17.	$rm(s, m(u)) = rm(Sm(a)c + h(a), m(u))$	e16,22 $=E$
e18.	$rm(s, m(u)) = rm(Sm(u)c + h(u), m(u))$	e15,e17 $=E$
e19.	$rm(s, m(u)) = rm(h(u), m(u))$	e18 T13.24k
e20.	$a < Sa$	T13.13h
e21.	$u < Sa$	e20,e15 $=E$
e22.	$m(u) \geq h(u)$	10,e21 $(\forall E)$
e23.	$h(u) < Sm(u)$	e22 T13.13m,n
e24.	$Sm(u) \times \emptyset = \emptyset$	T6.42
e25.	$\emptyset + h(u) = h(u)$	T6.50
e26.	$h(u) = Sm(u) \times \emptyset + h(u)$	e25,e24 $=E$
e27.	$h(u) = Sm(u) \times \emptyset + h(u) \wedge h(u) < Sm(u)$	e26,e23 $\wedge I$
e28.	$\exists w[h(u) = Sm(u) \times w + h(u) \wedge h(u) < Sm(u)]$	e26 $\exists I$
e29.	$rm(h(u), m(u)) = h(u)$	e28 def rm
e30.	$rm(s, m(u)) = h(u)$	e19,e29 $=E$
e31.	$rm(s, m(u)) = h(u)$	e2,e3-e14,e15-e30 $\vee E$
e32.	$(\forall i < Sa)rm(s, m(i)) = h(i)$	e1-e31 $(\forall I)$

E13.17. Show the conditions for *Def[maxs]* and *Def[maxp]*. Then show each of the results in T13.28.

Def[maxs].

(i) (a): $\mathcal{A}(\emptyset, \emptyset)$ is $\emptyset = \emptyset \wedge \emptyset = \emptyset$. (b): This time, you will obtain $\mathcal{B}(Sj, m(\emptyset))$. For the second part, you can use T8.21. (c): Again, you will obtain $\mathcal{B}(Sj, m(a))$; for the second part, under the assumption $l < Sj$ for $(\forall I)$ you have $l = j \vee l < j$ by T13.13n; in either case, it is easy to show $m(l) \leq m(a)$. (d): This time you obtain $\mathcal{B}(Sj, m(j))$; for the second part under the assumption $l < Sj$ for $(\forall I)$ again you have $l = j \vee l < j$ and you will be able to show $m(l) \leq m(j)$ in either case.

Exercise 13.17 *Def[maxs]*

(ii) From your assumption for \rightarrow I, you have two disjunctions. Two pairs (\mathcal{A} from one and \mathcal{B} from the other) are incompatible. The other options give the result you want.

Def[\maxp].

(i) This argument is very straightforward under $x \geq y \vee x < y$ from T13.13q.

(ii) This is like (ii) from *Def[\maxs]* with the disjunctions — only easier.

T13.28.

T13.28.a. $\text{PA} \vdash \maxp(x, y) \geq x \wedge \maxp(x, y) \geq y$

From the definition, $(x \geq y \wedge \maxp(x, y) = x) \vee (x < y \wedge \maxp(x, y) = y)$; then the argument is straightforward under $x \geq y \vee x < y$ from T13.13q.

T13.28.b. $\text{PA} \vdash (\forall i < k)m(i) \leq \maxs_k$

From the definition, $(k = \emptyset \wedge \maxs_k = \emptyset) \vee ((\exists i < k)m(i) = \maxs_k \wedge (\forall i < k)m(i) \leq \maxs_k)$ then the argument is straightforward under $k = \emptyset \vee k \neq \emptyset$ from T3.1.

E13.18. Complete the demonstration for T13.29.

T13.29.

(i)	1.	$j < k$	$\Lambda (g \ (\forall I))$
	2.	$Sj > \emptyset$	T13.13e
	3.	$q \times Sj \geq q$	2 T13.13z
	4.	$q > \emptyset$	def q
	5.	$q \times Sj > \emptyset$	3,4 T13.13c
	6.	$m(j) > \emptyset$	5 def m
	7.	$\max p(k, \max s[h]_k) \geq \max s[h]_k$	T13.28a
	8.	$r \geq \max s[h]_k$	7 def r
	9.	$(\forall i < k)h(i) \leq \max s[h]_k$	T13.28b
	10.	$h(j) \leq \max s[h]_k$	9,1 ($\forall E$)
	11.	$h(j) \leq r$	8,10 T13.13a
	12.	$r < Sr$	T13.13h
	13.	$Sr = s$	def s
	14.	$r < s$	12,13 $=E$
	15.	$h(j) < s$	11,14 T13.13c
	16.	$r q$	14 T13.26b
	17.	$\exists v[Sr \times v = q]$	def $ $
	18.	$Sr \times a = q$	$\Lambda (g \ 17\exists E)$
	19.	$s \times a = q$	13,18 $=E$
	20.	$a = \emptyset \vee a > \emptyset$	T13.13f
	21.	$a = \emptyset$	$\Lambda (c \sim I)$
	22.	$s \times \emptyset = \emptyset$	T6.42
	23.	$s \times a = \emptyset$	22,21 $=E$
	24.	$q = \emptyset$	19,23 $=E$
	25.	$q \neq \emptyset$	4 T13.13f
	26.	\perp	24,25 $\perp I$
	27.	$a \neq \emptyset$	21-26 $\sim I$
	28.	$a > \emptyset$	20,27 DS
	29.	$s \times a \geq s$	28 T13.13z
	30.	$s \leq q$	19,29 $=E$
	31.	$q \times Sj \geq s$	30,3 T13.13a
	32.	$q \times Sj > h(j)$	15,31 T13.13c
	33.	$m(j) > h(j)$	32 def m
	34.	$m(j) \geq h(j)$	33 T13.13m
	35.	$m(j) \geq h(j)$	17,18-34 $\exists E$
	36.	$m(j) > \emptyset \wedge m(j) \geq h(j)$	6,35 $\wedge I$
	37.	$(\forall i < k)(m(i) > \emptyset \wedge m(i) \geq h(i))$	1-36 ($\forall I$)

(ii) (a)	a1.	$i \leq j$	2 T13.13m
	a2.	$Si \leq Sj$	a1 T13.13j
	a3.	$q \times Si \leq q \times Sj$	a2 T13.13aa
	a4.	$S(q \times Si) \leq S(q \times Sj)$	a3 T13.13j
	a5.	$a (S(q \times Sj) \dot{-} S(q \times Si))$	a4,8,9 T13.24h
	a6.	$S(q \times Sj) \dot{-} S(q \times Si) = S(q \times Sj) \dot{-} S(q \times Si)$	=I
	a7.	$S(q \times Sj) = (q \times Sj) + \bar{1}$	T6.46
	a8.	$S(q \times Si) = (q \times Si) + \bar{1}$	T6.46
	a9.	$S(q \times Sj) \dot{-} S(q \times Si) = [(q \times Sj) + \bar{1}] \dot{-} [(q \times Si) + \bar{1}]$	a6,a7,a8 =E
	a10.	$[(q \times Sj) + \bar{1}] \dot{-} [(q \times Si) + \bar{1}] = (q \times Sj) \dot{-} (q \times Si)$	T13.23o
	a11.	$(q \times Sj) \dot{-} (q \times Si) = q(Sj \dot{-} Si)$	T13.23p
	a12.	$q(Sj \dot{-} Si) = q(Sj \dot{-} Si)$	=I
	a13.	$Sj = j + \bar{1}$	T6.46
	a14.	$Si = i + \bar{1}$	T6.46
	a15.	$q(Sj \dot{-} Si) = q((j + \bar{1}) \dot{-} (i + \bar{1}))$	a12,a13 =E
	a16.	$(j + \bar{1}) \dot{-} (i + \bar{1}) = j \dot{-} i$	T13.23o
	a17.	$q(Sj \dot{-} Si) = q(j \dot{-} i)$	a14,a15 =E
	a18.	$S(q \times Sj) \dot{-} S(q \times Si) = q(j \dot{-} i)$	a6,a9,a10,a11,a17 =E
	a19.	$a q(j \dot{-} i)$	a5,a18 =E
(b)	b1.	$j \dot{-} i > \emptyset$	2 T13.23f
	b2.	$\exists v[j \dot{-} i = Sv]$	b1 T13.13g
	b3.	$j \dot{-} i = Sl$	A (g b2 \exists E)
	b4.	$a Sl$	14,b3 =E
	b5.	$j \dot{-} i \leq j$	T13.23c
	b6.	$j \dot{-} i < k$	b5,3 T13.13c
	b7.	$\maxp(k, \maxs[h]_k) \geq k$	T13.28a
	b8.	$Sr > r$	T13.13h
	b9.	$k < s$	b7,b8 T13.13c
	b10.	$j \dot{-} i < s$	b6,b9 T13.13b
	b11.	$Sl < s$	b3,b10 =E
	b12.	$l < Sl$	T13.13h
	b13.	$l < s$	b11,b12 T13.13b
	b14.	$l q$	b13 T13.26b
	b15.	$a q$	b4,b14 T13.24f
	b16.	$a q$	b2,b3-b15 \exists E

E13.19. Show the conditions for $\text{Def}[h(i)]$ and then show T13.30.

$\text{Def}[h(i)]$. (i) is straightforward under $i < k \vee i \geq k$ from T13.13q. And (ii) is also straightforward.

T13.30.

1.	$(k < k \wedge h(k) = \beta(a, b, k)) \vee (k \geq k \wedge h(k) = n)$	def h
2.	$(l < k \wedge h(l) = \beta(a, b, l)) \vee (l \geq k \wedge h(l) = n)$	def h
3.	$\exists p \exists q (\forall i < Sk) \beta(p, q, i) = h(i)$	T13.29
4.	$(\forall i < Sk) \beta(c, d, i) = h(i)$	A (g $\exists E$)
5.	$k < Sk$	T13.13h
6.	$\beta(c, d, k) = h(k)$	4,5 ($\forall E$)
7.	$k \leq k$	T13.13m
8.	$k \not\leq k$	7 T13.13r
9.	$k \not\leq k \vee h(k) \neq \beta(a, b, k)$	8 $\vee I$
10.	$\sim(k < k \wedge h(k) = \beta(a, b, k))$	9 DeM
11.	$k \geq k \wedge h(k) = n$	1,10 DS
12.	$h(k) = n$	11 $\wedge E$
13.	$\beta(c, d, k) = n$	6,12 $=E$
14.	$l < k$	A (g ($\forall I$))
15.	$l < Sk$	14,5 T13.13b
16.	$\beta(c, d, l) = h(l)$	4,15 ($\forall E$)
17.	$l \not\leq k$	14 T13.13r
18.	$l \not\leq k \vee h(l) \neq n$	17 $\vee I$
19.	$\sim(l \geq k \wedge h(l) = n)$	18 DeM
20.	$l < k \wedge h(l) = \beta(a, b, l)$	2,19 DS
21.	$h(l) = \beta(a, b, l)$	20 $\wedge E$
22.	$\beta(c, d, l) = \beta(a, b, l)$	16,21 $=E$
23.	$(\forall i < k) \beta(c, d, i) = \beta(a, b, i)$	14-22 ($\forall I$)
24.	$(\forall i < k) \beta(c, d, i) = \beta(a, b, i) \wedge \beta(c, d, k) = n$	23,13 $\wedge I$
25.	$\exists p \exists q [(\forall i < k) \beta(p, q, i) = \beta(a, b, i) \wedge \beta(p, q, k) = n]$	24 $\exists I$
26.	$\exists p \exists q [(\forall i < k) \beta(p, q, i) = \beta(a, b, i) \wedge \beta(p, q, k) = n]$	3,4-25 $\exists E$

E13.20. Complete the demonstration of T13.31 by showing the zero case.

T13.31. Apply T13.29 with $h(i) = g(x)$ to get $\exists p \exists q (\forall i < \bar{1}) \beta(p, q, i) = g(\bar{x})$; then under an assumption for $\exists E$, with $\emptyset < \bar{1}$ the result easily follows.

E13.24. Demonstrate each of the results in T13.37.

T13.37.

T13.37.b. $PA \vdash \text{subc}(x, y) = x \dot{-} y$

1.	$g_{\text{subc}}(x) = \text{idnt}_1^1(x)$	def from subc , T13.34
2.	$\text{subc}(x, \emptyset) = g_{\text{subc}}(x)$	T13.34
3.	$g_{\text{subc}}(x) = x$	1 with T13.35c
4.	$\text{subc}(x, \emptyset) = x$	2,3 =E
5.	$x \dot{-} \emptyset = x$	T13.23g
6.	$\text{subc}(x, \emptyset) = x \dot{-} \emptyset$	4,5 =E
7.	$\text{subc}(x, j) = x \dot{-} j$	A (g $(\rightarrow I)$)
8.	$\text{subc}(x, Sj) = \text{hsubc}(x, j, \text{subc}(x, j))$	T13.34
9.	$\text{hsubc}(x, j, u) = \text{pred}(\text{idnt}_3^3(x, j, u))$	def from subc , T13.34
10.	$\text{hsubc}(x, j, u) = \text{pred}(u)$	9 with T13.35c, T13.37a
11.	$\text{hsubc}(x, j, \text{subc}(x, j)) = \text{pred}(\text{subc}(x, j))$	10 $\forall E$
12.	$\text{subc}(x, Sj) = \text{pred}(\text{subc}(x, j))$	8,11 =E
13.	$\text{subc}(x, Sj) = \text{pred}(x \dot{-} j)$	7,12 =E
14.	$x \leq j \vee x > j$	T13.13q
15.	$x \leq j$	A (g 14 $\vee E$)
16.	$x \leq Sj$	15 T13.13o
17.	$x \dot{-} Sj = \emptyset$	16 T13.23b
18.	$x \dot{-} j = \emptyset$	15 T13.23b
19.	$\text{pred}(\emptyset) = \emptyset$	T13.36a
20.	$\text{pred}(x \dot{-} j) = \emptyset$	18,19 =E
21.	$\text{pred}(x \dot{-} j) = x \dot{-} Sj$	20,17 =E
22.	$x > j$	A (g 14 $\vee E$)
23.	$x \geq Sj$	T13.13l
24.	$x = Sj + (x \dot{-} Sj)$	23 13.23a
25.	$x \geq j$	22 T13.13m
26.	$x = j + (x \dot{-} j)$	25 13.23a
27.	$Sj + (x \dot{-} Sj) = j + (x \dot{-} j)$	24,26 =E
28.	$j + [S\emptyset + (x \dot{-} Sj)] = j + (x \dot{-} j)$	27 with T6.46
29.	$S\emptyset + (x \dot{-} Sj) = x \dot{-} j$	28 T6.67
30.	$S\emptyset + (x \dot{-} Sj) = S[\emptyset + (x \dot{-} Sj)]$	T6.52
31.	$S[\emptyset + (x \dot{-} Sj)] = x \dot{-} j$	29,30 =E
32.	$\emptyset + (x \dot{-} Sj) = x \dot{-} Sj$	T6.50
33.	$S(x \dot{-} Sj) = x \dot{-} j$	31,32 =E
34.	$x \dot{-} j > \emptyset$	22 T13.23f
35.	$S\text{pred}(x \dot{-} j) = x \dot{-} j$	34 T13.36a
36.	$S(x \dot{-} Sj) = S\text{pred}(x \dot{-} j)$	33,35 =E
37.	$x \dot{-} Sj = \text{pred}(x \dot{-} j)$	36 T6.39
38.	$x \dot{-} Sj = \text{pred}(x \dot{-} j)$	14,15-21,22-37 $\vee E$
39.	$\text{subc}(x, Sj) = x \dot{-} Sj$	13,38 =E
40.	$[\text{subc}(x, j) = x \dot{-} j] \rightarrow [\text{subc}(x, Sj) = x \dot{-} Sj]$	7-39 $\rightarrow I$
41.	$\forall y([\text{subc}(x, y) = x \dot{-} y] \rightarrow [\text{subc}(x, Sy) = x \dot{-} Sy])$	40 $\forall I$
42.	$\text{subc}(x, y) = x \dot{-} y$	6,41 IN

T13.37.f. $\text{PA} \vdash \mathbb{E}q(x, y) \leftrightarrow x = y$

Exercise 13.24 T13.37.f

1.	$\overline{Eq}(x, y) \leftrightarrow sg(absval(x - y)) = \emptyset$	def from EQ, T13.34
2.	$\overline{Eq}(x, y) \leftrightarrow sg[(x \dot{-} y) + (y \dot{-} x)] = \emptyset$	1 with T13.37d,c
3.	$\overline{Eq}(x, y) \leftrightarrow [(x \dot{-} y) + (y \dot{-} x)] = \emptyset$	2 T13.36e
4.	$\overline{Eq}(x, y)$	A ($g \leftrightarrow I$)
5.	$[(x \dot{-} y) + (y \dot{-} x)] = \emptyset$	3,4 $\leftrightarrow E$
6.	$x \geq y \vee x < y$	T13.13q
7.	$x \geq y$	A ($g \vee E$)
8.	$y \dot{-} x = \emptyset$	7 T13.23b
9.	$(x \dot{-} y) + \emptyset = \emptyset$	5,8 $=E$
10.	$\emptyset + \emptyset = \emptyset$	T6.40
11.	$(x \dot{-} y) + \emptyset = \emptyset + \emptyset$	9,10 $=E$
12.	$x \dot{-} y = \emptyset$	11 T6.67
13.	$x = y + (x \dot{-} y)$	7 T13.23a
14.	$x = y + \emptyset$	12,13 $=E$
15.	$y + \emptyset = y$	T6.40
16.	$x = y$	14,15 $=E$
17.	$x < y$	A ($g \vee E$)
18.	$y \geq x$	17 T13.13m
19.	$x = y$	similarly
20.	$x = y$	6,7-17,18-19 $\vee E$
21.	$x = y$	A ($g \leftrightarrow I$)
22.	$y \geq x$	21 T13.13m
23.	$x \dot{-} y = \emptyset$	22 T13.23b
24.	$x \geq y$	21 T13.13m
25.	$y \dot{-} x = \emptyset$	24 T13.23b
26.	$\emptyset + \emptyset = \emptyset$	T6.40
27.	$[(x \dot{-} y) + (y \dot{-} x)] = \emptyset$	26,23,25 $=E$
28.	$\overline{Eq}(x, y)$	3,27 $\leftrightarrow E$
29.	$\overline{Eq}(x, y) \leftrightarrow x = y$	4-20,21-28 $\leftrightarrow I$

T13.37.i. $PA \vdash Neg(\mathcal{P}(\vec{x})) \leftrightarrow \sim \mathcal{P}(\vec{x})$

1.	$P(\vec{x}) \leftrightarrow ch_P(\vec{x}) = \emptyset$	T13.32
2.	$Neg(P(\vec{x})) \leftrightarrow csg(ch_P(\vec{x})) = \emptyset$	def from NEG, T13.34
3.	$Neg(P(\vec{x})) \leftrightarrow csg(ch_P(\vec{x})) = \emptyset$	2 T13.37e
4.	$Neg(P(\vec{x}))$	A (g \leftrightarrow I)
5.	$csg(ch_P(\vec{x})) = \emptyset$	3,4 \leftrightarrow E
6.	$ch_P(\vec{x}) > \emptyset$	5 T13.36h
7.	$ch_P(\vec{x}) \neq \emptyset$	6 T13.13f
8.	$\sim P(\vec{x})$	1,7 NB
9.	$\sim P(\vec{x})$	A (g \leftrightarrow I)
10.	$ch_P(\vec{x}) \neq \emptyset$	1,9 NB
11.	$ch_P(\vec{x}) > \emptyset$	10 T13.13f
12.	$csg(ch_P(\vec{x})) = \emptyset$	11 T13.36h
13.	$Neg(P(\vec{x}))$	3,12 \leftrightarrow E
14.	$Neg(P(\vec{x})) \leftrightarrow \sim P(\vec{x})$	4-8,9-13 \leftrightarrow I

E13.25. Demonstrate each of the results in T13.39.

T13.39.

T13.39.a. $PA \vdash (\exists y \leq z) P(\vec{x}, z, y) \leftrightarrow (\exists y \leq z) P(\vec{x}, y, z)$

1.	$P(\vec{x}, z, y) \leftrightarrow ch_P(\vec{x}, z, y) = \emptyset$	T13.32
2.	$ch_R(\vec{x}, z, \emptyset) = gch_R(\vec{x}, z)$	T13.34
3.	$gch_R(\vec{x}, z) = ch_P(\vec{x}, z, \emptyset)$	def from ELEQ, T13.34
4.	$ch_R(\vec{x}, z, \emptyset) = ch_P(\vec{x}, z, \emptyset)$	2,3 =E
5.	$ch_R(\vec{x}, z, \emptyset) = \emptyset$	A (g \leftrightarrow I)
6.	$ch_P(\vec{x}, z, \emptyset) = \emptyset$	4,5 =E
7.	$P(\vec{x}, z, \emptyset)$	1,6 \forall E, \leftrightarrow E
8.	$\emptyset \leq \emptyset$	T13.13m
9.	$(\exists y \leq \emptyset) P(\vec{x}, z, y)$	7,8 (\exists I)
10.	$(\exists y \leq \emptyset) P(\vec{x}, z, y)$	A (g \leftrightarrow I)
11.	$P(\vec{x}, z, j)$	A (g 10(\exists E))
12.	$j \leq \emptyset$	
13.	$j = \emptyset$	12 T13.13m, T6.48
14.	$P(\vec{x}, z, \emptyset)$	11,13 =E
15.	$ch_P(\vec{x}, z, \emptyset) = \emptyset$	1,14 \forall E, \leftrightarrow E
16.	$ch_R(\vec{x}, z, \emptyset) = \emptyset$	4,15 =E
17.	$ch_R(\vec{x}, z, \emptyset) = \emptyset$	10,11-16 (\exists E)
18.	$ch_R(\vec{x}, z, \emptyset) = \emptyset \leftrightarrow (\exists y \leq \emptyset) P(\vec{x}, z, y)$	5-9,10-17 \leftrightarrow I

Exercise 13.25 T13.39.a

1.	$ch_R(\vec{x}, z, \emptyset) = \emptyset \leftrightarrow (\exists y \leq \emptyset) P(\vec{x}, z, y)$	zero case
2.	$P(\vec{x}, z, y) \leftrightarrow ch_P(\vec{x}, z, y) = \emptyset$	T13.32
3.	$ch_R(\vec{x}, z, Sj) = \#ch_R(\vec{x}, z, j, ch_R(x, z, j))$	T13.34
4.	$\#ch_R(\vec{x}, z, j, u) = times[u, ch_P(\vec{x}, z, suc(j))]$	def from ELEM, T13.34
5.	$\#ch_R(\vec{x}, z, j, u) = u \times ch_P(\vec{x}, z, Sj)$	4 T13.35a,e
6.	$\#ch_R(\vec{x}, z, j, ch_R(x, z, j)) = ch_R(x, z, j) \times ch_P(\vec{x}, z, Sj)$	5 $\forall E$
7.	$ch_R(\vec{x}, z, Sj) = ch_R(x, z, j) \times ch_P(\vec{x}, z, Sj)$	3,6 $=E$
8.	$ch_R(\vec{x}, z, j) = \emptyset \leftrightarrow (\exists y \leq j) P(\vec{x}, z, y)$	A ($g \rightarrow I$)
9.	$ch_R(\vec{x}, z, Sj) = \emptyset$	A ($g \leftrightarrow I$)
10.	$ch_R(x, z, j) \times ch_P(\vec{x}, z, Sj) = \emptyset$	7,9 $=E$
11.	$ch_R(x, z, j) = \emptyset \vee ch_R(x, z, j) > \emptyset$	T13.13f
12.	$ch_R(x, z, j) = \emptyset$	A ($g \ 11 \vee E$)
13.	$(\exists y \leq j) P(\vec{x}, z, y)$	8,12 $\leftrightarrow E$
14.	$P(\vec{x}, z, a)$	A ($g \ 13(\exists E)$)
15.	$a \leq j$	
16.	$a \leq Sj$	15 T13.13o
17.	$(\exists y \leq Sj) P(\vec{x}, z, y)$	14,16 ($\exists I$)
18.	$(\exists y \leq Sj) P(\vec{x}, z, y)$	13,14-17 ($\exists E$)
19.	$ch_R(x, z, j) > \emptyset$	A ($g \ 11 \vee E$)
20.	$ch_R(x, z, j) \neq \emptyset$	19 T13.13f
22.	$ch_R(x, z, j) \times \emptyset = \emptyset$	T6.42
23.	$ch_R(x, z, j) \times ch_P(\vec{x}, z, Sj) = ch_R(x, z, j) \times \emptyset$	10,22 $=E$
24.	$ch_P(\vec{x}, z, Sj) = \emptyset$	23,20 T6.68
25.	$P(\vec{x}, z, Sj)$	2,24 $\forall E, \leftrightarrow E$
26.	$Sj \leq Sj$	T13.13m
27.	$(\exists y \leq Sj) P(\vec{x}, z, y)$	15,26 ($\exists I$)
28.	$(\exists y \leq Sj) P(\vec{x}, z, y)$	11,12-18,19-27 $\vee E$
29.	$(\exists y \leq Sj) P(\vec{x}, z, y)$	A ($g \leftrightarrow I$)
30.	$P(\vec{x}, z, a)$	A ($g \ 29(\exists E)$)
31.	$a \leq Sj$	
32.	$a \leq j \vee a = Sj$	31 T13.13o
33.	$a \leq j$	A ($g \ 32 \vee E$)
34.	$(\exists y \leq j) P(\vec{x}, z, y)$	30,33 ($\exists I$)
35.	$ch_R(\vec{x}, z, j) = \emptyset$	8,34 $\leftrightarrow E$
36.	$ch_R(\vec{x}, z, j) \times ch_P(\vec{x}, z, Sj) = \emptyset$	35 T6.57
37.	$ch_R(\vec{x}, z, Sj) = \emptyset$	7,36 $=E$
38.	$a = Sj$	A ($g \ 32 \vee E$)
39.	$P(\vec{x}, z, Sj)$	30,38 $=E$
40.	$ch_P(\vec{x}, z, Sj) = \emptyset$	2,39 $\forall E, \leftrightarrow E$
41.	$ch_R(\vec{x}, z, j) \times ch_P(\vec{x}, z, Sj) = \emptyset$	40 T6.57
42.	$ch_R(\vec{x}, z, Sj) = \emptyset$	7,41 $=E$
43.	$ch_R(\vec{x}, z, Sj) = \emptyset$	32,33-37,38-42 $\vee E$
44.	$ch_R(\vec{x}, z, Sj) = \emptyset$	29,30-43 ($\exists E$)
45.	$ch_R(\vec{x}, z, Sj) = \emptyset \leftrightarrow (\exists y \leq Sj) P(\vec{x}, z, y)$	9-28,29-44 $\leftrightarrow I$
46.	$[ch_R(\vec{x}, z, j) = \emptyset \leftrightarrow (\exists y \leq j) P(\vec{x}, z, y)] \rightarrow [ch_R(\vec{x}, z, Sj) = \emptyset \leftrightarrow (\exists y \leq Sj) P(\vec{x}, z, y)]$	8-45 $\rightarrow I$
47.	$\forall w ([ch_R(\vec{x}, z, w) = \emptyset \leftrightarrow (\exists y \leq w) P(\vec{x}, z, y)] \rightarrow [ch_R(\vec{x}, z, Sw) = \emptyset \leftrightarrow (\exists y \leq Sw) P(\vec{x}, z, y)])$	46 $\forall I$
48.	$ch_R(\vec{x}, z, n) = \emptyset \leftrightarrow (\exists y \leq n) P(\vec{x}, z, y)$	1,47 IN

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|----|---|--|
| 1. | $ch_R(\vec{x}, z, n) = \emptyset \leftrightarrow (\exists y \leq n) P(\vec{x}, z, y)$ | from above |
| 2. | $ch_S(\vec{x}, z) = ch_R(\vec{x}, z, z)$ | def from ELEQ , T13.34 |
| 3. | $S(\vec{x}, z) \leftrightarrow ch_S(\vec{x}, z) = \emptyset$ | T13.32 |
| 4. | $ch_R(\vec{x}, z, z) = \emptyset \leftrightarrow (\exists y \leq z) P(\vec{x}, z, y)$ | 1 $\forall E$ |
| 5. | $ch_S(\vec{x}, z) = \emptyset \leftrightarrow (\exists y \leq z) P(\vec{x}, z, y)$ | 2,4 $=E$ |
| 6. | $S(\vec{x}, z) \leftrightarrow (\exists y \leq z) P(\vec{x}, z, y)$ | from 3,5 |
| 7. | $(\exists y \leq z) P(\vec{x}, z, y) \leftrightarrow (\exists y \leq z) P(\vec{x}, y, z)$ | 6 abv |

T13.39.e. $PA \vdash (\mu y \leq z) P(\vec{x}, z, y) \leftrightarrow (\mu y \leq z) P(\vec{x}, z, y)$

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|-----|------|---|---|
| (a) | a1. | $q(\vec{x}, z, \emptyset) = gq(\vec{x}, z)$ | T13.33a |
| | a2. | $gq(\vec{x}, z) = zero(ch_R(\vec{x}, z, \emptyset))$ | def from least , T13.34 |
| | a3. | $gq(\vec{x}, z) = \emptyset$ | a2 T13.35b |
| | a4. | $q(\vec{x}, z, \emptyset) = \emptyset$ | a1,a3 $=E$ |
| | a5. | $(\mu y \leq \emptyset) P(\vec{x}, z, y) = \emptyset$ | T13.20a |
| | a6. | $q(\vec{x}, z, \emptyset) = (\mu y \leq \emptyset) P(\vec{x}, z, y)$ | a4,a5 $=E$ |
| (b) | b1. | $k \leq j$ | A (g $(\forall I)$) |
| | b2. | $k < j \vee k = j$ | b1 T13.13m |
| | b3. | $k < j$ | A (g b2 $\vee E$) |
| | b4. | $k < a$ | b3,17 $=E$ |
| | b5. | $\sim P(\vec{x}, z, k)$ | 15,b4 ($\forall E$) |
| | b6. | $k = j$ | A (g b2 $\vee E$) |
| | b7. | $\sim P(\vec{x}, z, k)$ | 19,b6 $=E$ |
| | b8. | $\sim P(\vec{x}, z, k)$ | b2,b3-b5,b6-b7 $\vee E$ |
| | b9. | $(\forall y \leq j) \sim P(\vec{x}, z, y)$ | b1-b8 ($\forall I$) |
| | b10. | $\sim(\exists y \leq j) P(\vec{x}, z, y)$ | b9 (QN) |
| | b11. | $ch_R(\vec{x}, z, j) \neq \emptyset$ | 3,b10 NB |
| | b12. | $ch_R(\vec{x}, z, j) = \bar{1}$ | 2,b11 DS |
| | b13. | $b = a + \bar{1}$ | 12,b12 $=E$ |
| | b14. | $b = Sa$ | b13 T6.46 |
| | b15. | $b = Sj$ | b14,17 $=E$ |
| | b16. | $b = Sj \vee P(\vec{x}, z, b)$ | b15 $\vee I$ |
| | b17. | $k < b$ | A (g $(\forall I)$) |
| | b18. | $k < Sj$ | b17,b15 $=E$ |
| | b19. | $k \neq Sj$ | b18 T13.13s |
| | b20. | $k < j \vee k = j$ | b18 T13.13.m |
| | b21. | $k < j$ | A (g b20 $\vee E$) |
| | b22. | $k < a$ | b21,17 $=E$ |
| | b23. | $\sim P(\vec{x}, z, k)$ | 15,b22 ($\forall E$) |
| | b24. | $k = j$ | A (g b20 $\vee E$) |
| | b25. | $\sim P(\vec{x}, z, k)$ | 19,b24 $=E$ |
| | b26. | $\sim P(\vec{x}, z, k)$ | b20,b21-b23,b24-b25 $\vee E$ |
| | b27. | $k \neq Sj \wedge \sim P(\vec{x}, z, k)$ | b19,b26 $\wedge I$ |
| | b28. | $(\forall w < b)(w \neq Sj \wedge \sim P(\vec{x}, z, w))$ | b17-b27 ($\forall I$) |
| | b29. | $[b = Sj \vee P(\vec{x}, z, b)] \wedge (\forall w < b)(w \neq Sj \wedge \sim P(\vec{x}, z, w))$ | b16,b28 $\wedge I$ |

Exercise 13.25 T13.39.e

(c)	c1.	$j \leq j$	T13.13m
	c2.	$(\exists y \leq j) \mathcal{P}(\vec{x}, z, y)$	21,c1 (\exists I)
	c3.	$ch_R(\vec{x}, z, j) = \emptyset$	3,c2 \leftrightarrow E
	c4.	$b = a + \emptyset$	12,c3 =E
	c5.	$a + \emptyset = a$	t6.40
	c6.	$b = a$	c4,c5 =E
	c7.	$b = j$	17,c6 =E
	c8.	$\mathcal{P}(\vec{x}, z, b)$	21,c7 =E
	c9.	$b = Sj \vee \mathcal{P}(\vec{x}, z, b)$	c8 \vee I
	c10.	$k < b$	A (g (\forall I))
	c11.	$k < j$	c10,c7 =E
	c12.	$k < Sj$	c11 T13.13n
	c13.	$k \neq Sj$	c12 T13.13s
	c14.	$k < a$	c10,c6 =E
	c15.	$\sim \mathcal{P}(\vec{x}, z, k)$	15,c14 (\forall E)
	c16.	$k \neq Sj \wedge \sim \mathcal{P}(\vec{x}, z, k)$	c13,c15 \wedge I
	c17.	$(\forall w < b)(w \neq Sj \wedge \sim \mathcal{P}(\vec{x}, z, w))$	c10-c16 (\forall I)
	c18.	$[b = Sj \vee \mathcal{P}(\vec{x}, z, b)] \wedge (\forall w < b)(w \neq Sj \wedge \sim \mathcal{P}(\vec{x}, z, w))$	c9,c17 \wedge I
(d)	d1.	$j < a$	A (c \sim I)
	d2.	$j \neq j$	15,d1 (\forall E)
	d3.	$j = j$	=I
	d4.	\perp	d2,d3 \perp I
	d5.	$j \not\leq a$	d1-d4 \sim I
	d6.	$a \leq j$	d5 T13.13q
	d7.	$(\exists y \leq j) \mathcal{P}(\vec{x}, z, y)$	24,d6 (\exists I)
	d8.	$ch_R(\vec{x}, z, j) = \emptyset$	3,d7 \leftrightarrow E
	d9.	$b = a + \emptyset$	12,d8 =E
	d10.	$a + \emptyset = a$	t6.40
	d11.	$b = a$	d9,d10 =E
	d12.	$\mathcal{P}(\vec{x}, z, b)$	24,d11 =E
	d13.	$b = Sj \vee \mathcal{P}(\vec{x}, z, b)$	d12 \vee I
	d14.	$k < b$	A (g (\forall I))
	d15.	$k < a$	d14,d11 =E
	d16.	$k < j$	d14,d6 T13.13c
	d17.	$k < Sj$	d16 T13.13n
	d18.	$k \neq Sj$	d17 T13.13s
	d19.	$\sim \mathcal{P}(\vec{x}, z, k)$	15,d15 (\forall E)
	d20.	$k \neq Sj \wedge \sim \mathcal{P}(\vec{x}, z, k)$	d18,d19 \wedge I
	d21.	$(\forall w < b)(w \neq Sj \wedge \sim \mathcal{P}(\vec{x}, z, w))$	d14-d20 (\forall I)
	d22.	$[b = Sj \vee \mathcal{P}(\vec{x}, z, b)] \wedge (\forall w < b)(w \neq Sj \wedge \sim \mathcal{P}(\vec{x}, z, w))$	d13,d21 \wedge I
	1.	$q(\vec{x}, z, n) = (\mu y \leq n) \mathcal{P}(\vec{x}, z, y)$	from main arg
	2.	$m(\vec{x}, z) = q(\vec{x}, z, z)$	def from least, T13.34
	3.	$q(\vec{x}, z, z) = (\mu y \leq z) \mathcal{P}(\vec{x}, z, y)$	1 \forall E
	4.	$m(\vec{x}, z) = (\mu y \leq z) \mathcal{P}(\vec{x}, z, y)$	2,3 =E
	5.	$(\mu y \leq z) \mathcal{P}(\vec{x}, z, y) \leftrightarrow (\mu y \leq z) \mathcal{P}(\vec{x}, y, z)$	4 abv

T13.39.g. $PA \vdash \text{Prime}(n) \leftrightarrow \text{Pr}(n)$

Exercise 13.25 T13.39.g

1.	$\overline{Pr}(n)$	$A (g \leftrightarrow I)$
2.	$\overline{1} < n \wedge \forall x[x n \rightarrow (x = \emptyset \vee Sx = n)]$	1 <i>Def[Pr]</i>
3.	$\overline{1} < n$	2 $\wedge E$
4.	$\forall x[x n \rightarrow (x = \emptyset \vee Sx = n)]$	2 $\wedge E$
5.	$\overline{a} < n$	$A (g (\forall I))$
6.	$\overline{a n \rightarrow (a = \emptyset \vee Sa = n)}$	4 $\forall E$
7.	$(\forall j < n)[j n \rightarrow (j = \emptyset \vee Sj = n)]$	5-6 ($\forall I$)
8.	$\overline{1} < n \wedge (\forall j < n)[j n \rightarrow (j = \emptyset \vee Sj = n)]$	3,7 $\wedge I$
9.	$\overline{Prime}(n)$	8 def <i>PRIME</i> and T13.34
10.	$\overline{Prime}(n)$	$A (g \leftrightarrow I)$
11.	$\overline{1} < n \wedge (\forall j < n)[j n \rightarrow (j = \emptyset \vee Sj = n)]$	10 def <i>PRIME</i> and T13.34
12.	$\overline{1} < n$	11 $\wedge E$
13.	$(\forall j < n)[j n \rightarrow (j = \emptyset \vee Sj = n)]$	11 $\wedge E$
14.	$a < n \vee n \leq a$	T13.13q
15.	$\overline{a} < n$	$A (g \text{ 14}\vee E)$
16.	$\overline{a n \rightarrow (a = \emptyset \vee Sa = n)}$	13,15 ($\forall E$)
17.	$\overline{n \leq a}$	$A (g \text{ 14}\vee E)$
18.	$\overline{\emptyset < \overline{1}}$	T13.13e
19.	$\overline{\emptyset < n}$	18,12 T13.13b
20.	$\exists v(n = Sv)$	19 T13.13g
21.	$\overline{n = Sb}$	$A (g \text{ 20}\exists E)$
22.	$\overline{Sb \leq a}$	17,21 $=E$
23.	$\overline{b < a}$	22 T13.13l
24.	$\overline{a \nmid Sb}$	23 T13.24i
25.	$\overline{a \nmid n}$	24,21 $=E$
26.	$\overline{a \nmid n}$	20,21-25 $\exists E$
27.	$\overline{a \nmid n \vee (a = \emptyset \vee Sa = n)}$	26 $\vee I$
28.	$\overline{a n \rightarrow (a = \emptyset \vee Sa = n)}$	27 Impl
29.	$\overline{a n \rightarrow (a = \emptyset \vee Sa = n)}$	14,15-16,17-28 $\vee E$
30.	$\forall x[x n \rightarrow (x = \emptyset \vee Sx = n)]$	29 $\forall I$
31.	$\overline{1} < n \wedge \forall x[x n \rightarrow (x = \emptyset \vee Sx = n)]$	12,30 $\wedge I$
32.	$\overline{Pr}(n)$	31 <i>Def[Pr]</i>

E13.26. Show each of the results from T13.41.

T13.41.

T13.41.m. $PA \vdash m > \overline{1} \rightarrow a < m^a$

1.	$m^\emptyset = \bar{1}$	T13.41a
2.	$\emptyset < \bar{1}$	T13.13e
3.	$\emptyset < m^\emptyset$	1,2 =E
4.	$m \not> \bar{1} \vee \emptyset < m^\emptyset$	3 \vee I
5.	$m > \bar{1} \rightarrow \emptyset < m^\emptyset$	4 Impl
6.	$m > \bar{1} \rightarrow j < m^j$	A ($g \rightarrow$ I)
7.	$m > \bar{1}$	A ($g \rightarrow$ I)
8.	$j < m^j$	6,7 \rightarrow E
9.	$Sj < Sm^j$	8 T13.13k
10.	$m^{Sj} = m^j \times m$	T13.41a
11.	$\exists v(Sv + \bar{1} = m)$	7 def
12.	$Sl + \bar{1} = m$	A (g 11 \exists E)
13.	$Sl + \bar{1} = SSl$	T6.46
14.	$m = SSl$	12,13 =E
15.	$m^j \times SSl = m^j \times Sl + m^j$	T6.43
16.	$m^j \times m = m^j \times Sl + m^j$	15,14 =E
17.	$m^{Sj} = m^j \times Sl + m^j$	16,10 =E
18.	$Sl > \emptyset$	T13.13e
19.	$m^j \times Sl \geq m^j$	18 T13.13z
20.	$m > \emptyset$	7,2 T13.13b
21.	$m^j > \emptyset$	20 T13.41i
22.	$m^j \times Sl > \emptyset$	19,21 T13.13c
23.	$m^j \times Sl \geq \bar{1}$	22 T13.13l
24.	$m^j \times Sl + m^j \geq \bar{1} + m^j$	23 T13.13v
25.	$\bar{1} + m^j = Sm^j$	T6.46
26.	$m^j \times Sl + m^j \geq Sm^j$	24,25 =E
27.	$m^{Sj} \geq Sm^j$	17,26 =E
28.	$Sj < m^{Sj}$	9,27 T13.13c
29.	$Sj < m^{Sj}$	11,12-28 \exists E
30.	$m > \bar{1} \rightarrow Sj < m^{Sj}$	7-29 \rightarrow I
31.	$(m > \bar{1} \rightarrow j < m^j) \rightarrow (m > \bar{1} \rightarrow Sj < m^{Sj})$	6-30 \rightarrow I
32.	$\forall y[(m > \bar{1} \rightarrow y < m^y) \rightarrow (m > \bar{1} \rightarrow Sy < m^{Sy})]$	31 \forall I
33.	$m > \bar{1} \rightarrow a < m^a$	5,32 IN

E13.27. Show each of the results from T13.42.

T13.42.

T13.42.e. $\text{PA} \vdash (\exists y \leq \text{fact}(n) + \bar{1})[n < y \wedge \text{Pr}(y)]$

1.	$f_{act}(n) > 0$	1 T13.42c
2.	$f_{act}(n) + \bar{1} > \bar{1}$	1 with T13.13w
3.	$\exists z[Pr(Sz) \wedge z (f_{act}(n) + \bar{1})]$	2 T13.25d
4.	$Pr(Sk) \wedge k (f_{act}(n) + \bar{1})$	A (g \exists E)
5.	$Pr(Sk)$	4 \wedge E
6.	$Sk > \bar{1}$	5 def
7.	$k (f_{act}(n) + \bar{1})$	4 \wedge E
8.	$k < n$	A (g \sim I)
9.	$k f_{act}(n)$	8 T13.42d
10.	$k \bar{1}$	7,9 T13.24g
11.	$\emptyset < k$	6 T13.13k
12.	$k \nmid \bar{1}$	11 T13.24i
13.	\perp	10,12 \perp I
14.	$k \not\leq n$	8-12 \sim I
15.	$n \leq k$	13 T13.13r
16.	$n < Sk$	15 T13.13m,n
17.	$n < Sk \wedge Pr(Sk)$	5,17 \wedge I
18.	$f_{act}(n) + \bar{1} = S f_{act}(n)$	T 6.46
19.	$k S f_{act}(n)$	7,18 $=$ E
20.	$f_{act}(n) \not\leq k$	19 T13.24i
21.	$k \leq f_{act}(n)$	20 T13.13r
22.	$Sk \leq S f_{act}(n)$	21 T13.13j
23.	$Sk \leq f_{act}(n) + \bar{1}$	22 T6.46
24.	$(\exists y \leq f_{act}(n) + \bar{1})[n < y \wedge Pr(y)]$	17,23 (\exists I)
25.	$(\exists y \leq f_{act}(n) + \bar{1})[n < y \wedge Pr(y)]$	3,4-24 \exists E

E13.28. Show each of the results from T13.43.

T13.43.

T13.43.m. $PA \vdash \forall y Pr(y) \rightarrow \exists j \pi(j) = y$

1.	$a \leq \text{pi}(0)$	A (g (V1))
2.	$\text{pi}(0) = \bar{2}$	T13.43a
3.	$a \leq \bar{2}$	1,2 =E
4.	$a = \bar{0} \vee a = \bar{1} \vee a = \bar{2}$	3 T8.16
5.	$a = \bar{0}$	A (g 4VE)
6.	$\sim \text{Pr}(\emptyset)$	T13.25a
7.	$\sim \text{Pr}(a)$	6,5 =E
8.	$\sim \text{Pr}(a) \vee \exists j \text{pi}(j) = a$	6 V1
9.	$a = \bar{1}$	A (g 4VE)
10.	$\sim \text{Pr}(\bar{1})$	T13.25b
11.	$\sim \text{Pr}(a)$	10,9 =E
12.	$\sim \text{Pr}(a) \vee \exists j \text{pi}(j) = a$	11 V1
13.	$a = \bar{2}$	A (g 4VE)
14.	$\text{pi}(0) = a$	2,13 =E
15.	$\exists j \text{pi}(j) = a$	14 EI
16.	$\sim \text{Pr}(a) \vee \exists j \text{pi}(j) = a$	15 V1
17.	$\sim \text{Pr}(a) \vee \exists j \text{pi}(j) = a$	4,5-8,9-12,13-16 VE
18.	$\text{Pr}(a) \rightarrow \exists j \text{pi}(j) = a$	17 Impl
19.	$(\forall y \leq \text{pi}(\emptyset))[\text{Pr}(y) \rightarrow \exists j \text{pi}(j) = y]$	1-17 (V1)

20.	$(\forall y \leq \pi(k))[Pr(y) \rightarrow \exists j \pi(j) = y]$	A (g \rightarrow I)
21.	$a \leq \pi(Sk)$	A (g (\forall I))
22.	$a = \pi(Sk) \vee a < \pi(Sk)$	21 T13.13m
23.	$a = \pi(Sk)$	A (g 22 \vee E)
24.	$\exists j \pi(j) = a$	23 \exists I
25.	$\sim Pr(a) \vee \exists j \pi(j) = a$	24 \vee I
26.	$Pr(a) \rightarrow \exists j \pi(j) = a$	25 Impl
27.	$a < \pi(Sk)$	A (g 22 \vee E)
28.	$a \leq \pi(k) \vee a > \pi(k)$	T13.13q
29.	$a \leq \pi(k)$	A (g 28 \vee E)
30.	$Pr(a) \rightarrow \exists j \pi(j) = a]$	20,29 (\forall E)
31.	$a > \pi(k)$	A (g 28 \vee E)
32.	$(\forall w < \pi(Sk)) \sim [\pi(k) < w \wedge Pr(w)]$	T13.43e
33.	$\sim [\pi(k) < a \wedge Pr(a)]$	32,27 (\forall E)
34.	$\pi(k) \not< a \vee \sim Pr(a)$	33 DeM
35.	$\sim Pr(a)$	34,31 DS
36.	$\sim Pr(a) \vee \exists j \pi(j) = a$	35 \vee I
37.	$Pr(a) \rightarrow \exists j \pi(j) = a$	36 Impl
38.	$Pr(a) \rightarrow \exists j \pi(j) = a$	28,29-30,31-37 \vee E
39.	$Pr(a) \rightarrow \exists j \pi(j) = a$	22,23-26,27-38 \vee E
40.	$(\forall y \leq \pi(Sk))[Pr(y) \rightarrow \exists j \pi(j) = y]$	21-39 (\forall I)
41.	$(\forall y \leq \pi(k))[Pr(y) \rightarrow \exists j \pi(j) = y] \rightarrow (\forall y \leq \pi(Sk))[Pr(y) \rightarrow \exists j \pi(j) = y]$	20-40 \rightarrow I
42.	$\forall z ((\forall y \leq \pi(z))[Pr(y) \rightarrow \exists j \pi(j) = y] \rightarrow (\forall y \leq \pi(Sz))[Pr(y) \rightarrow \exists j \pi(j) = y])$	41 \forall I
43.	$(\forall y \leq \pi(i))[Pr(y) \rightarrow \exists j \pi(j) = y]$	19,42 IN
44.	$Pr(k)$	A (g \rightarrow I)
45.	$k \leq k$	T13.13m
46.	$Sk < \pi(k)$	45 T13.43l
47.	$k < Sk$	T13.13h
48.	$k < \pi(k)$	46,47 T13.13b
49.	$k \leq \pi(k)$	48 T13.13m
50.	$Pr(k) \rightarrow \exists j \pi(j) = k$	43,49 (\forall E)
51.	$\exists j \pi(j) = k$	44,50 \rightarrow E
52.	$Pr(k) \rightarrow \exists j \pi(j) = k$	44-51 \rightarrow I
53.	$\forall y [Pr(y) \rightarrow \exists j \pi(j) = y]$	52 \forall I

T13.43.n. $PA \vdash m \neq n \rightarrow pred(\pi(m)) \not\vdash \pi(n)^a$

1.	$m \neq n$	$A (g \rightarrow I)$
2.	$\mathbb{P}i(n)^{\emptyset} = \bar{1}$	T13.41a
3.	$Spred(\mathbb{P}i(n)^{\emptyset}) = \mathbb{P}i(n)^{\emptyset}$	T13.43j
4.	$Spred(\mathbb{P}i(n)^{\emptyset}) = \bar{1}$	2,3 =E
5.	$Spred(\mathbb{P}i(m)^{\bar{1}}) = \mathbb{P}i(m)^{\bar{1}}$	T13.43j
6.	$\mathbb{P}i(m)^{\bar{1}} = \mathbb{P}i(m)$	T13.41b
7.	$Spred(\mathbb{P}i(m)) = \mathbb{P}i(m)$	5,6 =E
8.	$\mathbb{P}i(m) > \bar{1}$	T13.43g
9.	$Spred(\mathbb{P}i(m)) > Spred(\mathbb{P}i(n)^{\emptyset})$	8,7,4 =E
10.	$pred(\mathbb{P}i(m)) > pred(\mathbb{P}i(n)^{\emptyset})$	9 T13.13k
11.	$pred(\mathbb{P}i(m)) \nmid Spred(\mathbb{P}i(n)^{\emptyset})$	10 T13.24i
12.	$pred(\mathbb{P}i(m)) \nmid \mathbb{P}i(n)^{\emptyset}$	11,3 =E
13.	$pred(\mathbb{P}i(m)) \nmid \mathbb{P}i(n)^j$	$A (g \rightarrow I)$
14.	$pred(\mathbb{P}i(m)) \mid \mathbb{P}i(n)^{Sj}$	$A (c \sim I)$
15.	$\mathbb{P}i(n)^{Sj} = \mathbb{P}i(n)^j \times \mathbb{P}i(n)$	T13.41a
16.	$pred(\mathbb{P}i(m)) \mid (\mathbb{P}i(n)^j \times \mathbb{P}i(n))$	14,15 =E
17.	$Pr[\mathbb{P}i(m)]$	T13.43f
18.	$Pr[Spred(\mathbb{P}i(m))]$	7,17 =E
19.	$pred(\mathbb{P}i(m)) \mid \mathbb{P}i(n)^j \vee pred(\mathbb{P}i(m)) \mid \mathbb{P}i(n)$	16,18 T13.25i
20.	$pred(\mathbb{P}i(m)) \mid \mathbb{P}i(n)$	19,13 DS
21.	$Pr[\mathbb{P}i(n)]$	T13.43f
22.	$pred(\mathbb{P}i(m)) = \emptyset \vee Spred(\mathbb{P}i(m)) = \mathbb{P}i(n)$	20,21 def Pr
23.	$Spred(\mathbb{P}i(m)) > S\emptyset$	7,8 =E
24.	$pred(\mathbb{P}i(m)) > \emptyset$	23 T13.13k
25.	$pred(\mathbb{P}i(m)) \neq \emptyset$	24 T13.13f
26.	$Spred(\mathbb{P}i(m)) = \mathbb{P}i(n)$	22,25 DS
27.	$\mathbb{P}i(m) = \mathbb{P}i(n)$	7,26 =E
28.	$m < n \vee n < m$	1 with T13.13p
29.	$m < n$	$A (g \text{ 28}\vee E)$
30.	$\mathbb{P}i(m) < \mathbb{P}i(n)$	29 T13.43k
31.	$\mathbb{P}i(m) \neq \mathbb{P}i(n)$	30 T13.13f
32.	$n < m$	$A (g \text{ 28}\vee E)$
33.	$\mathbb{P}i(n) < \mathbb{P}i(m)$	32 T13.43k
34.	$\mathbb{P}i(m) \neq \mathbb{P}i(n)$	33 T13.13f
35.	$\mathbb{P}i(m) \neq \mathbb{P}i(n)$	28,29-31,32-34 $\vee E$
36.	\perp	27,35 $\perp I$
37.	$pred(\mathbb{P}i(m)) \nmid \mathbb{P}i(n)^{Sj}$	14-36 $\sim I$
38.	$[\mathbb{P}i(m) \nmid \mathbb{P}i(n)^j] \rightarrow [pred(\mathbb{P}i(m)) \nmid \mathbb{P}i(n)^{Sj}]$	13-37 $\rightarrow I$
39.	$\forall y([\mathbb{P}i(m) \nmid \mathbb{P}i(n)^y] \rightarrow [pred(\mathbb{P}i(m)) \nmid \mathbb{P}i(n)^{Sy}])$	38 $\forall I$
40.	$pred(\mathbb{P}i(m)) \nmid \mathbb{P}i(n)^a$	12,39 IN
41.	$m \neq n \rightarrow pred(\mathbb{P}i(m)) \nmid \mathbb{P}i(n)^a$	1-40 $\rightarrow I$

T13.43.p. $PA \vdash [m \neq n \wedge pred(\mathbb{P}i(m)^b) \mid (s \times \mathbb{P}i(n)^a)] \rightarrow pred(\mathbb{P}i(m)^b) \mid s$

1.	$m \neq n \wedge \text{pred}(\text{pi}(m)^b) (s \times \text{pi}(n)^a)$	A ($g \rightarrow I$)
2.	$m \neq n$	1 $\wedge E$
3.	$\text{pred}(\text{pi}(m)^b) (s \times \text{pi}(n)^a)$	1 $\wedge E$
4.	$\text{pi}(m)^\emptyset = \bar{1}$	T13.41a
5.	$\text{pred}(\bar{1}) = \emptyset$	T13.36b
6.	$\emptyset s$	T13.24a
7.	$\text{pred}(\text{pi}(m)^\emptyset) s$	6,4,5 $=E$
8.	$\emptyset \not\leq b \vee \text{pred}(\text{pi}(m)^\emptyset) s$	7 $\vee I$
9.	$\emptyset \leq b \rightarrow \text{pred}(\text{pi}(m)^\emptyset) s$	8 Impl

10.	$j \leq b \rightarrow \text{pred}(\text{pi}(m)^j) s$	A (g \rightarrow I)
11.	$Sj \leq b$	A (g \rightarrow I)
12.	$j \leq b$	T13.13l,m
13.	$\text{pred}(\text{pi}(m)^j) s$	10,12 \rightarrow E
14.	$\text{Spred}(\text{pi}(m)^b) = \text{pi}(m)^b$	T13.43j
15.	$\text{Spred}(\text{pi}(m)^j) = \text{pi}(m)^j$	T13.43j
16.	$\exists q[\text{Spred}(\text{pi}(m)^b) \times q = s \times \text{pi}(n)^a]$	3 def
17.	$\exists q[\text{Spred}(\text{pi}(m)^j) \times q = s]$	13 def
18.	$\text{Spred}(\text{pi}(m)^b) \times u = s \times \text{pi}(n)^a$	A (g 16 \exists E)
19.	$\text{pi}(m)^b \times u = s \times \text{pi}(n)^a$	14,18 $=$ E
20.	$\text{Spred}(\text{pi}(m)^j) \times v = s$	A (g 17 \exists E)
21.	$\text{pi}(m)^j \times v = s$	15,20 $=$ E
22.	$j < b$	11 T13.13l
23.	$\exists v(Sv + j = b)$	22 def
24.	$Sl + j = b$	A (g 23 \exists E)
25.	$\text{pi}(m)^{Sl+j} = \text{pi}(m)^{Sl} \times \text{pi}(m)^j$	T13.41e
26.	$\text{pi}(m)^b = \text{pi}(m)^{Sl} \times \text{pi}(m)^j$	25,24 $=$ E
27.	$\text{pi}(m)^{Sl} \times \text{pi}(m)^j \times u = s \times \text{pi}(n)^a$	19,26 $=$ E
28.	$\text{pi}(m)^{Sl} \times \text{pi}(m)^j \times u = \text{pi}(m)^j \times v \times \text{pi}(n)^a$	27,21 $=$ E
29.	$\text{pi}(m)^j \neq \emptyset$	with T13.43h
30.	$\text{pi}(m)^{Sl} \times u = v \times \text{pi}(n)^a$	28,29 T6.68
31.	$\text{pred}(\text{pi}(m)^{\bar{1}}) \text{pi}(m)^{l+\bar{1}}$	T13.41g
32.	$\text{pi}(m)^{\bar{1}} = \text{pi}(m)$	T13.41b
33.	$l + \bar{1} = Sl$	T6.46
34.	$\text{pred}(\text{pi}(m)) \text{pi}(m)^{Sl}$	31,32,33 $=$ E
35.	$\text{pred}(\text{pi}(m)) \text{pi}(m)^{Sl} \times u$	34 T13.24d
36.	$\text{pred}(\text{pi}(m)) v \times \text{pi}(n)^a$	35,30 $=$ E
37.	$\text{Spred}(\text{pi}(m)^{\bar{1}}) = \text{pi}(m)^{\bar{1}}$	T13.43j
38.	$\text{pi}(m)^{\bar{1}} = \text{pi}(m)$	T13.41b
39.	$\text{Spred}(\text{pi}(m)) = \text{pi}(m)$	37,38 $=$ E
40.	$\text{Pr}[\text{pi}(m)]$	T13.43f
41.	$\text{Pr}[\text{Spred}(\text{pi}(m))]$	40,39 $=$ E
42.	$\text{pred}(\text{pi}(m)) v \vee \text{pred}(\text{pi}(m)) \text{pi}(n)^a$	36,41 T13.25i
43.	$\text{pred}(\text{pi}(m)) \nmid \text{pi}(n)^a$	2 T13.43n
44.	$\text{pred}(\text{pi}(m)) v$	42,43 DS
45.	$\exists q[\text{Spred}(\text{pi}(m)) \times q = v]$	44 def
46.	$\text{Spred}(\text{pi}(m)) \times t = v$	A (g 45 \exists E)
47.	$\text{pi}(m) \times t = v$	46,39 $=$ E
48.	$\text{pi}(m)^j \times \text{pi}(m) \times t = s$	21,47 $=$ E
49.	$\text{pi}(m)^j \times \text{pi}(m) = \text{pi}(m)^{Sj}$	T13.41a
50.	$\text{pi}(m)^{Sj} \times t = s$	48,49 $=$ E
51.	$\text{Spred}(\text{pi}(m)^{Sj}) = \text{pi}(m)^{Sj}$	T13.43j
52.	$\text{Spred}(\text{pi}(m)^{Sj}) \times t = s$	50,51 $=$ E
53.	$\exists q[\text{Spred}(\text{pi}(m)^{Sj}) \times q = s]$	52 \exists I
54.	$\text{pred}(\text{pi}(m)^{Sj}) s$	53 def
55.	$\text{pred}(\text{pi}(m)^{Sj}) s$	45,46-54 \exists E
56.	$\text{pred}(\text{pi}(m)^{Sj}) s$	23,24-55 \exists E
57.	$\text{pred}(\text{pi}(m)^{Sj}) s$	17,20-56 \exists E
58.	$\text{pred}(\text{pi}(m)^{Sj}) s$	16,18-57 \exists E
59.	$Sj \leq b \rightarrow \text{pred}(\text{pi}(m)^{Sj}) s$	11-58 \rightarrow I
60.	$[j \leq b \rightarrow \text{pred}(\text{pi}(m)^j) s] \rightarrow [Sj \leq b \rightarrow \text{pred}(\text{pi}(m)^{Sj}) s]$	10-59 \rightarrow I
61.	$i \leq b \rightarrow \text{pred}(\text{pi}(m)^i) s$	9,60 IN
62.	$b \leq b \rightarrow \text{pred}(\text{pi}(m)^b) s$	61 \forall E
63.	$b \leq b$	T13.13m
64.	$\text{pred}(\text{pi}(m)^b) s$	62,63 \rightarrow E
65.	$[m \neq n \wedge \text{pred}(\text{pi}(m)^b)(s \times \text{pi}(n)^a)] \rightarrow \text{pred}(\text{pi}(m)^b) s$	1-64 \rightarrow I

Exercise 13.28 T13.43.p

E13.29. Show each of the results from T13.44.

T13.44.

T13.44.c. $\text{PA} \vdash \exp(Sn, i) = \mu x[\text{pred}(\ulcorner \pi(i)^x \urcorner) | Sn \wedge \text{pred}(\ulcorner \pi(i)^{x+\bar{1}} \urcorner) \nmid Sn]$

1.	$\text{pred}(\ulcorner \pi(i)^{\text{ex}(n,i)} \urcorner) \nmid Sn$	T13.19b
2.	$(\forall z < \text{ex}(n,i)) \text{pred}(\ulcorner \pi(i)^z \urcorner) Sn$	T13.19c
3.	$\text{ex}(n,i) = \emptyset \vee \text{ex}(n,i) > \emptyset$	T13.13d,m
4.	$\text{ex}(n,i) = \emptyset$	A (c \sim I)
5.	$\ulcorner \pi(i) \urcorner^0 = \bar{1}$	T13.41a
6.	$\ulcorner \pi(i)^{\text{ex}(n,i)} \urcorner = \bar{1}$	4,5 $=$ E
7.	$S\text{pred}(\ulcorner \pi(i)^{\text{ex}(n,i)} \urcorner) = \ulcorner \pi(i)^{\text{ex}(n,i)} \urcorner$	T13.43j
8.	$S\text{pred}(\ulcorner \pi(i)^{\text{ex}(n,i)} \urcorner) = S\emptyset$	6,7 $=$ E
9.	$\text{pred}(\ulcorner \pi(i)^{\text{ex}(n,i)} \urcorner) = \emptyset$	8 T6.39
10.	$\emptyset Sn$	T13.24a
11.	$\text{pred}(\ulcorner \pi(i)^{\text{ex}(n,i)} \urcorner) Sn$	9,10 $=$ E
12.	\perp	1,11 \perp I
13.	$\text{ex}(n,i) \neq \emptyset$	4-12 \sim I
14.	$\text{ex}(n,i) > \emptyset$	3,13 DS
15.	$\exists v[\text{ex}(n,i) = Sv]$	14 T13.13g
16.	$\text{ex}(n,i) = Sa$	A (g $15\exists$ E)
17.	$a < Sa$	T13.13h
18.	$a < \text{ex}(n,i)$	17,16 $=$ E
19.	$\text{pred}(\ulcorner \pi(i)^a \urcorner) Sn$	2,18 (\forall E)
20.	$\text{pred}(\ulcorner \pi(i)^{Sa} \urcorner) \nmid Sn$	1,16 $=$ E
21.	$Sa = a + \bar{1}$	T6.46
22.	$\text{pred}(\ulcorner \pi(i)^{a+\bar{1}} \urcorner) \nmid Sn$	20,21 $=$ E
23.	$\text{pred}(\ulcorner \pi(i)^a \urcorner) Sn \wedge \text{pred}(\ulcorner \pi(i)^{a+\bar{1}} \urcorner) \nmid Sn$	19,22 \wedge I
24.	$\ulcorner \pi(i) \urcorner > \bar{1}$	T13.43g
25.	$a < \ulcorner \pi(i)^a \urcorner$	24 T13.41m
26.	$S\text{pred}(\ulcorner \pi(i)^a \urcorner) = \ulcorner \pi(i)^a \urcorner$	T13.43j
27.	$n \nless \text{pred}(\ulcorner \pi(i)^a \urcorner)$	19 T13.24i
28.	$\text{pred}(\ulcorner \pi(i)^a \urcorner) \leq n$	27 T13.13r
29.	$S\text{pred}(\ulcorner \pi(i)^a \urcorner) \leq Sn$	28 T13.13j
30.	$\ulcorner \pi(i)^a \urcorner \leq Sn$	26,29 $=$ E
31.	$a < Sn$	25,30 T13.13c
32.	$a \leq Sn$	31 T13.13m
33.	$(\exists x \leq Sn)[\text{pred}(\ulcorner \pi(i)^x \urcorner) Sn \wedge \text{pred}(\ulcorner \pi(i)^{x+\bar{1}} \urcorner) \nmid Sn]$	23,32 (\exists I)
34.	$(\exists x \leq Sn)[\text{pred}(\ulcorner \pi(i)^x \urcorner) Sn \wedge \text{pred}(\ulcorner \pi(i)^{x+\bar{1}} \urcorner) \nmid Sn]$	15,16-33 \exists E
35.	$(\mu x \leq Sn)[\text{pred}(\ulcorner \pi(i)^x \urcorner) Sn \wedge \text{pred}(\ulcorner \pi(i)^{x+\bar{1}} \urcorner) \nmid Sn] = \mu x[\text{pred}(\ulcorner \pi(i)^x \urcorner) Sn \wedge \text{pred}(\ulcorner \pi(i)^{x+\bar{1}} \urcorner) \nmid Sn]$	34 T13.20b
36.	$\exp(Sn, i) = \mu x[\text{pred}(\ulcorner \pi(i)^x \urcorner) Sn \wedge \text{pred}(\ulcorner \pi(i)^{x+\bar{1}} \urcorner) \nmid Sn]$	35 def

T13.44.l. $\text{PA} \vdash \exists q[\ulcorner \pi(i)^{\exp(Sn,i)} \urcorner \times q = Sn \wedge \text{pred}(\ulcorner \pi(i) \urcorner) \nmid q \wedge \forall y(y \neq i \rightarrow \exp(q, y) = \exp(Sn, y))]$

Exercise 13.29 T13.44.l

1.	$pred(\underline{pi}(i)^{exp(Sn,i)}) Sn \wedge pred(\underline{pi}(i)^{exp(Sn,i)+\bar{1}}) \nmid Sn$	T13.44d
2.	$exp(Sn, i) = a$	abv
3.	$\exists q[Spred(\underline{pi}(i)^a) \times q = Sn]$	1,2 with $\wedge E$
4.	$pred(\underline{pi}(i)^{a+\bar{1}}) \nmid Sn$	1,2 with $\wedge E$
5.	$Spred(\underline{pi}(i)^a) = \underline{pi}(i)^a$	T13.43j
6.	$\exists q[\underline{pi}(i)^a \times q = Sn]$	3,5 =E
7.	$\underline{pi}(i)^a \times j = Sn$	A (g 6 $\exists E$)
8.	$pred(\underline{pi}(i)) j$	A (c $\sim I$)
9.	$\exists q[Spred(\underline{pi}(i)) \times q = j]$	8 def
10.	$Spred(\underline{pi}(i)) \times k = j$	A (g 9 $\exists E$)
11.	$\underline{pi}(i) \times k = j$	10 T13.43j
12.	$\underline{pi}(i)^a \times \underline{pi}(i) \times k = Sn$	7,11 =E
13.	$\underline{pi}(i)^{a+\bar{1}} \times k = Sn$	12 T13.41a
14.	$Spred(\underline{pi}(i)^{a+\bar{1}}) \times k = Sn$	13 T13.43j
15.	$pred(\underline{pi}(i)^{a+\bar{1}}) Sn$	14 def
16.	\perp	4,15 $\perp I$
17.	\perp	9,10-16 $\exists E$
18.	$pred(\underline{pi}(i)) \nmid j$	8-17 $\sim I$
19.	$j = \emptyset \vee j > \emptyset$	T13.13f
20.	$j = \emptyset$	A (c $\sim I$)
21.	$\underline{pi}(i)^a \times \emptyset = \emptyset$	T6.42
22.	$\underline{pi}(i)^a \times j = \emptyset$	21,20 =E
23.	$\emptyset = Sn$	7,22 =E
24.	$\emptyset \neq Sn$	with T13.13e
25.	\perp	23,24 $\perp I$
26.	$j \neq \emptyset$	20-25 $\sim I$
27.	$j > \emptyset$	19,26 DS
28.	$k \neq i$	A (g $\rightarrow I$)
29.	$\exists v(j = Sv)$	27 T13.13g
30.	$j = Sl$	A (g 29 $\exists E$)
31.	$\underline{pi}(i)^a \times Sl = Sn$	7,30 =E
32.	$exp(Sn, k) = b$	abv
33.	$pred(\underline{pi}(k)^b) Sn$	32 T13.44d
34.	$pred(\underline{pi}(k)^b) \underline{pi}(i)^a \times Sl$	31,33 =E
35.	$pred(\underline{pi}(k)^b) Sl$	28,34 T13.43p
36.	$pred(\underline{pi}(k)^{b+\bar{1}}) \nmid Sn$	T13.44d
37.	$pred(\underline{pi}(k)^{b+\bar{1}}) Sl$	A (g $\sim I$)
38.	$pred(\underline{pi}(k)^{b+\bar{1}}) \underline{pi}(i)^a \times Sl$	37 T13.24d
39.	$pred(\underline{pi}(k)^{b+\bar{1}}) Sn$	38,31 =E
40.	\perp	36,39 $\perp I$
41.	$pred(\underline{pi}(k)^{b+\bar{1}}) \nmid Sl$	37-40 $\sim I$
42.	$pred(\underline{pi}(k)^b) Sl \wedge pred(\underline{pi}(k)^{b+\bar{1}}) \nmid Sl$	35,41 $\wedge I$
43.	$exp(Sl, k) = b$	42 T13.44f
44.	$exp(j, k) = b$	43,30 =E
45.	$exp(j, k) = exp(Sn, k)$	44 abv
46.	$exp(j, k) = exp(Sn, k)$	29,30-45 $\exists E$
47.	$k \neq i \rightarrow exp(j, k) = exp(Sn, k)$	28-46 $\rightarrow I$
48.	$\forall y(y \neq i \rightarrow exp(j, y) = exp(Sn, y))$	47 $\forall I$
49.	$\underline{pi}(i)^a \times j = Sn \wedge pred(\underline{pi}(i)) \nmid j \wedge \forall y(y \neq i \rightarrow exp(j, y) = exp(Sn, y))$	7,18,48 $\wedge I$
50.	$\exists q[\underline{pi}(i)^a \times q = Sn \wedge pred(\underline{pi}(i)) \nmid q \wedge \forall y(y \neq i \rightarrow exp(q, y) = exp(Sn, y))]$	49 $\exists I$
51.	$\exists q[\underline{pi}(i)^{exp(Sn,i)} \times q = Sn \wedge pred(\underline{pi}(i)) \nmid q \wedge \forall y(y \neq i \rightarrow exp(q, y) = exp(Sn, y))]$	6,7-50 $\exists E$

T13.44.m. $PA \vdash exp(Sm \times Sn, i) = exp(Sm, i) + exp(Sn, i)$

Exercise 13.29 T13.44.m

1.	$\mathbb{P}i(i)^a > \emptyset$	T13.43h
2.	$\text{pred}(\mathbb{P}i(i)^{\text{exp}(Sm,i)}) Sm$	T13.44d
3.	$\text{pred}(\mathbb{P}i(i)^{\text{exp}(Sn,i)}) Sn$	T13.44d
4.	$\text{pred}(\mathbb{P}i(i)^{\text{exp}(Sm,i)} \times \mathbb{P}i(i)^{\text{exp}(Sn,i)}) (Sm \times Sn)$	1,2,3 T13.24e
5.	$\text{pred}(\mathbb{P}i(i)^{\text{exp}(Sm,i)+\text{exp}(Sn,i)}) (Sm \times Sn)$	4 T13.41e
6.	$\exists q[\mathbb{P}i(i)^{\text{exp}(Sm,i)} \times q = Sm \wedge \text{pred}(\mathbb{P}i(i)) \nmid q]$	T13.44l
7.	$\exists r[\mathbb{P}i(i)^{\text{exp}(Sn,i)} \times r = Sn \wedge \text{pred}(\mathbb{P}i(i)) \nmid r]$	T13.44l
8.	$\mathbb{P}i(i)^{\text{exp}(m,i)} \times q = Sm \wedge \text{pred}(\mathbb{P}i(i)) \nmid q$	A (g 6,7 $\exists E$)
9.	$\mathbb{P}i(i)^{\text{exp}(Sn,i)} \times r = Sn \wedge \text{pred}(\mathbb{P}i(i)) \nmid r$	
10.	$Sm \times Sn = \mathbb{P}i(i)^{\text{exp}(Sm,i)} \times q \times \mathbb{P}i(i)^{\text{exp}(Sn,i)} \times r$	8,9 $\wedge E$, etc.
11.	$Sm \times Sn = \mathbb{P}i(i)^{\text{exp}(Sm,i)+\text{exp}(Sn,i)} \times q \times r$	10 T13.41e
12.	$\text{pred}(\mathbb{P}i(i)^{\text{exp}(Sm,i)+\text{exp}(Sn,i)+1}) (Sm \times Sn)$	A ($c \sim I$)
13.	$\exists s[S\text{pred}(\mathbb{P}i(i)^{\text{exp}(Sm,i)+\text{exp}(Sn,i)+1}) \times s = Sm \times Sn]$	12 def
14.	$S\text{pred}(\mathbb{P}i(i)^{\text{exp}(Sm,i)+\text{exp}(Sn,i)+1}) \times s = Sm \times Sn$	A (g 13 $\exists E$)
15.	$\mathbb{P}i(i)^{\text{exp}(Sm,i)+\text{exp}(Sn,i)+1} \times s = Sm \times Sn$	1,14 T13.36c
16.	$\mathbb{P}i(i)^{\text{exp}(Sm,i)+\text{exp}(Sn,i)} \times \mathbb{P}i(i) \times s = Sm \times Sn$	15 T13.41a
17.	$\mathbb{P}i(i)^{\text{exp}(Sm,i)+\text{exp}(Sn,i)} \times \mathbb{P}i(i) \times s = \mathbb{P}i(i)^{\text{exp}(Sm,i)+\text{exp}(Sn,i)} \times q \times r$	11,16 $=E$
18.	$\mathbb{P}i(i) \times s = q \times r$	1,17 T6.68
19.	$S\text{pred}(\mathbb{P}i(i)) \times s = q \times r$	18 T13.36c
20.	$\exists s[S\text{pred}(\mathbb{P}i(i)) \times s = q \times r]$	19 $\exists I$
21.	$\text{pred}(\mathbb{P}i(i)) (q \times r)$	20 def
22.	$\text{pred}(\mathbb{P}i(i)) q \vee \text{pred}(\mathbb{P}i(i)) r$	T13.43f,13.25i
23.	\perp	8,9,22 $\perp I$
24.	\perp	13,14-23 $\exists E$
25.	$\text{pred}(\mathbb{P}i(i)^{\text{exp}(Sm,i)+\text{exp}(Sn,i)+1}) \nmid (Sm \times Sn)$	12-24 $\sim I$
26.	$\text{pred}(\mathbb{P}i(i)^{\text{exp}(Sm,i)+\text{exp}(Sn,i)}) (Sm \times Sn) \wedge \mathbb{P}i(i)^{\text{exp}(Sm,i)+\text{exp}(Sn,i)+1} \nmid (Sm \times Sn)$	5,25 $\wedge I$
27.	$\text{exp}(Sm \times Sn, i) = \text{exp}(Sm, i) + \text{exp}(Sn, i)$	26 T13.44f
28.	$\text{exp}(Sm \times Sn, i) = \text{exp}(Sm, i) + \text{exp}(Sn, i)$	6,7,8-27 $\exists E$

E13.30. Show each of the results from T13.45.

T13.45.

T13.45.h. $PA \vdash \text{exp}(m, i) > \emptyset \rightarrow \text{len}(m) > i$

1.	$\exp(m, i) > \emptyset$	A ($g \rightarrow I$)
2.	$\exp(m, i) \neq \emptyset$	1 T13.13f
3.	$m = \emptyset \vee m > \emptyset$	T13.13f
4.	$m = \emptyset$	A ($g \ 3\vee E$)
5.	$\text{len}(m) \neq i$	A ($c \sim E$)
6.	$\exp(\emptyset, i) = \emptyset$	T13.44b
7.	$\exp(m, i) = \emptyset$	6,4 =E
8.	\perp	2,7 $\perp I$
9.	$\text{len}(m) > i$	5-8 $\sim E$
10.	$m > \emptyset$	A ($g \ 3\vee E$)
11.	$\text{len}(m) \neq i$	A ($c \sim E$)
12.	$\text{len}(m) \leq i$	11 T13.13r
13.	$\exists v(m = Sv)$	10 T13.13g
14.	$m = Sa$	A ($g \ 13\exists E$)
15.	$\exp(Sa, i) \neq \emptyset$	2,14 =E
16.	$\text{len}(Sa) \leq i$	12,14 =E
17.	$i > Sa$	A ($g \sim I$)
18.	$i \geq a$	17 T13.13m,n
19.	$\exp(Sa, i) = \emptyset$	18 T13.44h
20.	\perp	15,19 $\perp I$
21.	$i \neq Sa$	17-20 $\sim I$
22.	$i \leq Sa$	T13.13r
23.	$(\forall z \leq Sa)[z \geq \text{len}(Sa) \rightarrow \exp(Sa, z) = \emptyset]$	T13.45d
24.	$i \geq \text{len}(Sa) \rightarrow \exp(Sa, i) = \emptyset$	23,22 ($\forall E$)
25.	$\exp(Sa, i) = \emptyset$	24,16 $\rightarrow E$
26.	\perp	15,25 $\perp I$
27.	\perp	13,14-26 $\exists E$
28.	$\text{len}(m) > i$	11-27 $\sim E$
29.	$\text{len}(m) > i$	3,4-9,10-28 $\vee E$
30.	$\exp(m, i) > \emptyset \rightarrow \text{len}(m) > i$	1-29 $\rightarrow I$

T13.45.k. $\text{PA} \vdash p > \emptyset \rightarrow \text{len}(\text{pi}(i)^p) = Si$

1.	$p > \emptyset$	A ($g \rightarrow I$)
2.	$len(\ulcorner i \urcorner^P) < Si \vee len(\ulcorner i \urcorner^P) = Si \vee len(\ulcorner i \urcorner^P) > Si$	T13.13p
3.	$exp(\ulcorner i \urcorner^P, i) = p$	T13.44i
4.	$exp(\ulcorner i \urcorner^P, i) > \emptyset$	1,3 =E
5.	$len(\ulcorner i \urcorner^P) > i$	4 T13.45h
6.	$len(\ulcorner i \urcorner^P) \geq Si$	5 T13.13l
7.	$len(\ulcorner i \urcorner^P) \neq Si$	6 T13.13r
8.	$len(\ulcorner i \urcorner^P) > Si$	A ($c \sim I$)
9.	$\ulcorner i \urcorner^P > \emptyset$	T13.43h
10.	$\exists y[\ulcorner i \urcorner^P = Sy]$	9 T6.49
11.	$\ulcorner i \urcorner^P = Sj$	A (g 10 $\exists E$)
12.	$(\forall w < len(Sj)) \sim (\forall z < Sj)[z \geq w \rightarrow exp(Sj, z) = \emptyset]$	T13.45e
13.	$len(Sj) > Si$	8,11 =E
14.	$\sim (\forall z < Sj)[z \geq Si \rightarrow exp(Sj, z) = \emptyset]$	12,13 ($\forall E$)
15.	$\sim (\forall z < \ulcorner i \urcorner^P)[z \geq Si \rightarrow exp(\ulcorner i \urcorner^P, z) = \emptyset]$	11,14 =E
16.	$k < \ulcorner i \urcorner^P$	A (g ($\forall I$))
17.	$k \geq Si$	A ($g \rightarrow I$)
18.	$k > i$	17 T13.13h,c
19.	$pred(\ulcorner k \urcorner) \nmid \ulcorner i \urcorner^P$	18 T13.43n
20.	$pred(\ulcorner k \urcorner) \nmid Sj$	11,19 =E
21.	$exp(Sj, k) \neq \bar{1}$	20 T13.44k
22.	$exp(Sj, k) < S\emptyset$	21 T13.13r
23.	$exp(Sj, k) < \emptyset \vee exp(Sj, k) = \emptyset$	22 T13.13n
24.	$exp(Sj, k) = \emptyset$	23 with T6.48
25.	$exp(\ulcorner i \urcorner^P, k) = \emptyset$	24,11 =E
26.	$k \geq Si \rightarrow exp(\ulcorner i \urcorner^P, k) = \emptyset$	17-25 $\rightarrow I$
27.	$(\forall z < \ulcorner i \urcorner^P)[z \geq Si \rightarrow exp(\ulcorner i \urcorner^P, z) = \emptyset]$	16-26 ($\forall I$)
28.	\perp	15,27 $\perp I$
29.	\perp	10,11-28 $\exists E$
30.	$len(\ulcorner i \urcorner^P) \neq Si$	8-29 $\sim I$
31.	$len(\ulcorner i \urcorner^P) = Si$	2,7,30 DS
32.	$p > \emptyset \rightarrow len(\ulcorner i \urcorner^P) = Si$	1-31 $\rightarrow I$

T13.45.m. $PA \vdash len(n) = Sl \rightarrow exp(n, l) \geq 1$

1.	$len(n) = Sl$	$A(g \rightarrow I)$
2.	$n = \emptyset \vee n > \emptyset$	T13.13f
3.	$n = \emptyset$	$A(c \sim I)$
4.	$len(\emptyset) = \emptyset$	T13.45b
5.	$len(n) = \emptyset$	3,4 =E
6.	$\emptyset = Sl$	1,5 =E
7.	$\emptyset \neq Sl$	T6.38
8.	\perp	6,7 $\perp I$
9.	$n \neq \emptyset$	3-8 $\sim I$
10.	$n > \emptyset$	2,9 DS
11.	$\exists v(n = Sv)$	10 T13.13g
12.	$n = Sm$	$A(g \text{ 11}\exists E)$
13.	$len(Sm) = Sl$	1,12 =E
14.	$(\forall z \leq Sm)[z \geq Sl \rightarrow exp(Sm, z) = \emptyset]$	T13.45d
15.	$(\forall w < Sl) \sim (\forall z \leq Sm)[z \geq w \rightarrow exp(Sm, z) = \emptyset]$	13 T13.45e
16.	$exp(Sm, l) \neq \bar{1}$	$A(c \sim E)$
17.	$exp(Sm, l) < \bar{1}$	16 T13.13r
18.	$exp(Sm, l) = \emptyset$	17 T8.16
19.	$a \leq Sm$	$A(g (\forall I))$
20.	$a \geq l$	$A(g \rightarrow I)$
21.	$l = a \vee l < a$	20 T13.13m
22.	$l = a$	$A(g \text{ 21}\vee E)$
23.	$exp(Sm, a) = \emptyset$	18,22 =E
24.	$l < a$	$A(g \text{ 21}\vee E)$
25.	$Sl \leq a$	24 T13.13l
26.	$len(Sm) \leq a$	25,13 =E
27.	$exp(Sm, a) = \emptyset$	26 T13.45l
28.	$exp(Sm, a) = \emptyset$	21,22-23,24-27 $\vee E$
29.	$a \geq l \rightarrow exp(Sm, a) = \emptyset$	20-28 $\rightarrow I$
30.	$(\forall z \leq Sm)[z \geq l \rightarrow exp(Sm, z) = \emptyset]$	19-29 $(\forall I)$
31.	$a < l$	$A(g (\forall I))$
32.	$a < Sl$	31 T13.13n
33.	$\sim (\forall z \leq Sm)[z \geq a \rightarrow exp(Sm, z) = \emptyset]$	15,32 $(\forall E)$
34.	$(\forall w < l) \sim (\forall z \leq Sm)[z \geq w \rightarrow exp(Sm, z) = \emptyset]$	31-33 $(\forall I)$
35.	$len(Sm) = l$	14,34 T13.45c
36.	$Sl = l$	13,35 =E
37.	$Sl \neq l$	T13.13h,s
38.	\perp	36,37 $\perp I$
39.	$exp(Sm, l) \geq 1$	16-38 $\sim E$
40.	$exp(n, l) \geq 1$	12,39 =E
41.	$exp(n, l) \geq 1$	11,12-40 $\exists E$
42.	$len(n) = Sl \rightarrow exp(n, l) \geq 1$	1-41 $\rightarrow I$

E13.31. Show each of the results from T13.46.

Exercise 13.31

T13.46.

T13.46.e. $\text{PA} \vdash (\forall i \geq a) \text{pred}(\ulcorner \pi(i) \urcorner) \nmid \text{val}^*(m, n, i)$

1.	$j \geq \emptyset$	A (g (V1))
2.	$\text{val}^*(m, n, \emptyset) = \bar{1}$	def val^*
3.	$\ulcorner \pi(j) \urcorner > \bar{1}$	T13.43g
4.	$\ulcorner \pi(j) \urcorner > \emptyset$	3 with T13.13e
5.	$\text{Spred}(\ulcorner \pi(j) \urcorner) = \ulcorner \pi(j) \urcorner$	4 T13.36c
6.	$\text{Spred}(\ulcorner \pi(j) \urcorner) > S\emptyset$	3,5 =E
7.	$\text{pred}(\ulcorner \pi(j) \urcorner) > \emptyset$	6 T13.13k
8.	$\text{pred}(\ulcorner \pi(j) \urcorner) \nmid \bar{1}$	7 T13.24i
9.	$\text{pred}(\ulcorner \pi(j) \urcorner) \nmid \text{val}^*(m, n, \emptyset)$	8,2 =E
10.	$(\forall i \geq \emptyset) \text{pred}(\ulcorner \pi(i) \urcorner) \nmid \text{val}^*(m, n, \emptyset)$	1-9 (V1)
11.	$(\forall i \geq a) \text{pred}(\ulcorner \pi(i) \urcorner) \nmid \text{val}^*(m, n, a)$	A (g \rightarrow I)
12.	$j \geq Sa$	A (g (V1))
13.	$j > a$	12 T13.13l
14.	$j \geq a$	13 T13.13m
15.	$\text{pred}(\ulcorner \pi(j) \urcorner) \nmid \text{val}^*(m, n, a)$	11,14 (VE)
16.	$\text{val}^*(m, n, Sa) = \text{val}^*(m, n, a) \times \ulcorner \pi(a) \urcorner^{\text{exc}(m, n, a)}$	def val^*
17.	$\text{pred}(\ulcorner \pi(j) \urcorner) \mid \text{val}^*(m, n, Sa)$	A (c \sim I)
18.	$\text{pred}(\ulcorner \pi(j) \urcorner) \mid \text{val}^*(m, n, a) \times \ulcorner \pi(a) \urcorner^{\text{exc}(m, n, a)}$	16,17 =E
19.	$j \neq a$	13 T13.13s
20.	$\text{pred}(\ulcorner \pi(j) \urcorner) \mid \text{val}^*(m, n, a)$	18,19 T13.43p
21.	\perp	15,20 \perp I
22.	$\text{pred}(\ulcorner \pi(j) \urcorner) \nmid \text{val}^*(m, n, Sa)$	17-21 \sim I
23.	$(\forall i \geq Sa) \text{pred}(\ulcorner \pi(i) \urcorner) \nmid \text{val}^*(m, n, Sa)$	12-22 (V1)
24.	$[(\forall i \geq a) \text{pred}(\ulcorner \pi(i) \urcorner) \nmid \text{val}^*(m, n, a)] \rightarrow [(\forall i \geq Sa) \text{pred}(\ulcorner \pi(i) \urcorner) \nmid \text{val}^*(m, n, Sa)]$	11-23 \rightarrow I
25.	$(\forall i \geq a) \text{pred}(\ulcorner \pi(i) \urcorner) \nmid \text{val}^*(m, n, a)$	10,24 IN

T13.46.f. $\text{PA} \vdash (\forall j < i) \text{exp}(\text{val}^*(m, n, i), j) = \text{exc}(m, n, j)$

1.	$a < \emptyset$	A (g (V1))
2.	$\text{exp}(\text{val}^*(m, n, \emptyset), a) \neq \text{exc}(m, n, a)$	A (c \sim E)
3.	$a \neq \emptyset$	T6.48
4.	\perp	1,3 \perp I
5.	$\text{exp}(\text{val}^*(m, n, \emptyset), a) = \text{exc}(m, n, a)$	2-4 \sim E
6.	$(\forall j < \emptyset) \text{exp}(\text{val}^*(m, n, \emptyset), j) = \text{exc}(m, n, j)$	1-5 (V1)

7.	$(\forall j < i) \exp(\text{val}^*(m, n, i), j) = \text{exc}(m, n, j)$	A ($g \rightarrow I$)
8.	$a < Si$	A ($g (\forall I)$)
9.	$\text{val}^*(m, n, i) = \text{Spred}(\text{val}^*(m, n, i))$	T13.46d, T13.36c
10.	$\text{val}^*(m, n, Si) = \text{Spred}(\text{val}^*(m, n, Si))$	T13.46d, T13.36c
11.	$\text{val}^*(m, n, Si) = \text{val}^*(m, n, i) \times \text{pi}(i)^{\text{exc}(m, n, i)}$	def val^*
12.	$\text{exc}(m, n, a) = e$	abv
13.	$a < i \vee a = i$	8 T13.13m
14.	$a < i$	A ($g 13 \vee E$)
15.	$\text{exp}(\text{val}^*(m, n, i), a) = \text{exc}(m, n, a)$	7,14 ($\forall E$)
16.	$\text{pred}(\text{pi}(a)^e \text{val}^*(m, n, i)) \text{val}^*(m, n, i)$	9 T13.44d
17.	$\text{pred}(\text{pi}(a)^e) \text{val}^*(m, n, i)$	12,15,16 $\Rightarrow E$
18.	$\text{pred}(\text{pi}(a)^e) \text{val}^*(m, n, i) \times \text{pi}(i)^{\text{exc}(m, n, i)}$	17 T13.24d
19.	$\text{pred}(\text{pi}(a)^e) \text{val}^*(m, n, Si)$	18,11 $\Rightarrow E$
20.	$\text{pred}(\text{pi}(a)^{e+\bar{1}}) \text{val}^*(m, n, Si)$	A ($c \sim I$)
21.	$\text{pred}(\text{pi}(a)^{e+\bar{1}}) \text{val}^*(m, n, i) \times \text{pi}(i)^{\text{exc}(m, n, i)}$	20,11 $\Rightarrow E$
22.	$a \neq i$	14 T13.13f
23.	$\text{pred}(\text{pi}(a)^{e+\bar{1}}) \text{val}^*(m, n, i)$	21,22 T13.43p
24.	$\text{pred}(\text{pi}(a)^{\text{exp}(\text{val}^*(m, n, i), a)+\bar{1}}) \nmid \text{val}^*(m, n, i)$	9 T13.44d
25.	$\text{pred}(\text{pi}(a)^{e+\bar{1}}) \nmid \text{val}^*(m, n, i)$	12,15,24 $\Rightarrow E$
26.	\perp	23,25 $\perp I$
27.	$\text{pred}(\text{pi}(a)^{e+\bar{1}}) \nmid \text{val}^*(m, n, Si)$	20-26 $\sim I$
28.	$\text{pred}(\text{pi}(a)^e) \text{val}^*(m, n, Si) \wedge \text{pred}(\text{pi}(a)^{e+\bar{1}}) \nmid \text{val}^*(m, n, Si)$	19,27 $\wedge I$
29.	$\text{exp}(\text{val}^*(m, n, Si), a) = \text{exc}(m, n, a)$	28,10 T13.44f
30.	$a = i$	A ($g 13 \vee E$)
31.	$\text{val}^*(m, n, Si) = \text{val}^*(m, n, a) \times \text{pi}(a)^e$	11,12,30 $\Rightarrow E$
32.	$\text{pred}(\text{pi}(a)^e) \text{Spred}(\text{pi}(a)^e)$	T13.24b
33.	$\text{Spred}(\text{pi}(a)^e) = \text{pi}(a)^e$	T13.43j
34.	$\text{pred}(\text{pi}(a)^e) \text{pi}(a)^e$	32,33 $\Rightarrow E$
35.	$\text{pred}(\text{pi}(a)^e) \text{val}^*(m, n, a) \times \text{pi}(a)^e$	34 T13.24d
36.	$\text{pred}(\text{pi}(a)^e) \text{val}^*(m, n, Si)$	35,31 $\Rightarrow E$
37.	$\text{pred}(\text{pi}(a)^{e+\bar{1}}) \text{val}^*(m, n, Si)$	A ($c \sim I$)
38.	$\text{pred}(\text{pi}(a)^{e+\bar{1}}) \text{val}^*(m, n, a) \times \text{pi}(a)^e$	37,31 $\Rightarrow E$
39.	$\exists q [\text{Spred}(\text{pi}(a)^{e+\bar{1}}) \times q = \text{val}^*(m, n, a) \times \text{pi}(a)^e]$	38 def
40.	$\text{Spred}(\text{pi}(a)^{e+\bar{1}}) = \text{pi}(a)^{e+\bar{1}}$	T13.43j
41.	$\exists q [\text{pi}(a)^{e+\bar{1}} \times q = \text{val}^*(m, n, a) \times \text{pi}(a)^e]$	39,40 $\Rightarrow E$
42.	$\text{pi}(a)^{e+\bar{1}} \times q = \text{val}^*(m, n, a) \times \text{pi}(a)^e$	A ($c 41 \exists E$)
43.	$e + \bar{1} = Se$	T6.46
44.	$\text{pi}(a)^{Se} \times q = \text{val}^*(m, n, a) \times \text{pi}(a)^e$	42,43 $\Rightarrow E$
45.	$\text{pi}(a)^e \times \text{pi}(a) \times q = \text{val}^*(m, n, a) \times \text{pi}(a)^e$	44 T13.41a
46.	$\text{pi}(a)^e \neq \emptyset$	with T13.43h
47.	$\text{pi}(a) \times q = \text{val}^*(m, n, a)$	45,46 T6.68
48.	$\text{Spred}(\text{pi}(a)) = \text{pi}(a)$	with T13.43j
49.	$\text{Spred}(\text{pi}(a)) \times q = \text{val}^*(m, n, a)$	47,48 $\Rightarrow E$
50.	$\exists q [\text{Spred}(\text{pi}(a)) \times q = \text{val}^*(m, n, a)]$	49 $\exists I$
51.	$\text{pred}(\text{pi}(a)) \text{val}^*(m, n, a)$	50 def
52.	$a \geq a$	T13.13m
53.	$\text{pred}(\text{pi}(a)) \nmid \text{val}^*(m, n, a)$	52 T13.46e
54.	\perp	51,53 $\perp I$
55.	\perp	41,42-54 $\exists E$
56.	$\text{pred}(\text{pi}(a)^{e+\bar{1}}) \nmid \text{val}^*(m, n, Si)$	37-55 $\sim I$
57.	$\text{pred}(\text{pi}(a)^e) \text{val}^*(m, n, Si) \wedge \text{pred}(\text{pi}(a)^{e+\bar{1}}) \nmid \text{val}^*(m, n, Si)$	36,56 $\wedge I$
58.	$\text{exp}(\text{val}^*(m, n, Si), a) = \text{exc}(m, n, a)$	57,10 T13.44f
59.	$\text{exp}(\text{val}^*(m, n, Si), a) = \text{exc}(m, n, a)$	13,14-29,30-58 $\vee E$
60.	$(\forall j < Si) \exp(\text{val}^*(m, n, Si), j) = \text{exc}(m, n, j)$	8-59 ($\forall I$)
61.	$[(\forall j < i) \exp(\text{val}^*(m, n, i), j) = \text{exc}(m, n, j)] \rightarrow [(\forall j < Si) \exp(\text{val}^*(m, n, Si), j) = \text{exc}(m, n, j)]$	7-60 $\rightarrow I$
62.	$(\forall j < i) \exp(\text{val}^*(m, n, i), j) = \text{exc}(m, n, j)$	6,61 IN

T13.46.g. $\text{PA} \vdash (\forall i < \text{len}(m))[\text{exp}(\text{val}^*(m, n, l), i) = \text{exp}(m, i)] \wedge (\forall i < \text{len}(n))[\text{exp}(\text{val}^*(m, n, l), i + \text{len}(m)) = \text{exp}(n, i)]$

1.	$l = \text{len}(m) + \text{len}(n)$	abv
2.	$(\forall j < l) \text{exp}(\text{val}^*(m, n, l), j) = \text{exc}(m, n, j)$	T13.46f
3.	$j < \text{len}(m) \rightarrow \text{exc}(m, n, j) = \text{exp}(m, j)$	T13.46b
4.	$j < \text{len}(m)$	A (g (V1))
5.	$\text{exc}(m, n, j) = \text{exp}(m, j)$	3,4 \rightarrow E
6.	$\text{len}(m) \leq \text{len}(m) + \text{len}(n)$	T13.13u
7.	$j < l$	4,6 T13.13c
8.	$\text{exp}(\text{val}^*(m, n, l), j) = \text{exc}(m, n, j)$	2,7 (VE)
9.	$\text{exp}(\text{val}^*(m, n, l), j) = \text{exp}(m, j)$	5,8 =E
10.	$(\forall i < \text{len}(m)) \text{exp}(\text{val}^*(m, n, l), i) = \text{exp}(m, i)$	4-9 (VI)
11.	$j + \text{len}(m) \geq \text{len}(m) \rightarrow \text{exc}(m, n, j + \text{len}(m)) = \text{exp}(n, (j + \text{len}(m)) \dot{-} \text{len}(m))$	T13.46c
12.	$j < \text{len}(n)$	A (g (V1))
13.	$j + \text{len}(m) \geq \text{len}(m)$	T13.13u
14.	$\text{exc}(m, n, j + \text{len}(m)) = \text{exp}(n, (j + \text{len}(m)) \dot{-} \text{len}(m))$	11,12 \rightarrow E
15.	$j + \text{len}(m) = \text{len}(m) + [(j + \text{len}(m)) \dot{-} \text{len}(m)]$	13 T13.23a
16.	$j = (j + \text{len}(m)) \dot{-} \text{len}(m)$	15 T6.67
17.	$\text{exc}(m, n, j + \text{len}(m)) = \text{exp}(n, j)$	14,16 =E
18.	$j + \text{len}(m) < \text{len}(n) + \text{len}(m)$	12 T13.13w
19.	$j + \text{len}(m) < l$	1,18 =E
20.	$\text{exp}(\text{val}^*(m, n, l), j + \text{len}(m)) = \text{exc}(m, n, j + \text{len}(m))$	2,19 (VE)
21.	$\text{exp}(\text{val}^*(m, n, l), j + \text{len}(m)) = \text{exp}(n, j)$	20,17 =E
22.	$(\forall i < \text{len}(n)) \text{exp}(\text{val}^*(m, n, l), i + \text{len}(m)) = \text{exp}(n, i)$	12-21 (VI)
23.	$(\forall i < \text{len}(m))[\text{exp}(\text{val}^*(m, n, l), i) = \text{exp}(m, i)] \wedge (\forall i < \text{len}(n))[\text{exp}(\text{val}^*(m, n, l), i + \text{len}(m)) = \text{exp}(n, i)]$	10,22 \wedge I

T13.46.h. $\text{PA} \vdash i \leq l \rightarrow [\text{pi}(l)^{m+n}]^i \geq \text{val}^*(m, n, i)$

1.	$l = \text{len}(m) + \text{len}(n)$	abv
2.	$\bar{1} \geq \bar{1}$	T8.14
3.	$[\text{pi}(l)^{m+n}]^{\emptyset} = \bar{1}$	T13.41a
4.	$\text{val}^*(m, n, \emptyset) = \bar{1}$	def val*
5.	$[\text{pi}(l)^{m+n}]^{\emptyset} \geq \text{val}^*(m, n, \emptyset)$	2,3,4 =E
6.	$\emptyset \not\leq l \vee [\text{pi}(l)^{m+n}]^{\emptyset} \geq \text{val}^*(m, n, \emptyset)$	5 \vee I
7.	$\emptyset \leq l \rightarrow [\text{pi}(l)^{m+n}]^{\emptyset} \geq \text{val}^*(m, n, \emptyset)$	6 Impl
8.	$i \leq l \rightarrow [\text{pi}(l)^{m+n}]^i \geq \text{val}^*(m, n, i)$	A ($g \rightarrow$ I)
9.	$ Si \leq l$	A ($g \rightarrow$ I)
10.	$i < l$	9 T13.13l
11.	$i \leq l$	10 T13.13m
12.	$[\text{pi}(l)^{m+n}]^i \geq \text{val}^*(m, n, i)$	8,11 \rightarrow E
13.	$[\text{pi}(l)^{m+n}]^{Si} = [\text{pi}(l)^{m+n}]^i \times \text{pi}(l)^{m+n}$	T13.41a
14.	$\text{val}^*(m, n, Si) = \text{val}^*(m, n, i) \times \text{pi}(i)^{\text{exc}(m, n, i)}$	def val*
15.	$\text{pi}(i) < \text{pi}(l)$	10 T13.43k
16.	$i < \text{len}(m) \vee i \geq \text{len}(m)$	T14.13q
17.	$ i < \text{len}(m)$	A (g 16 \vee E)
18.	$\text{exc}(m, n, i) = \text{exp}(m, i)$	17 T13.46b
19.	$\text{exp}(m, i) \leq m$	T13.44g
20.	$\text{exc}(m, n, i) \leq m$	18,19 =E
21.	$m \leq m + n$	T13.13u
22.	$\text{exc}(m, n, i) \leq m + n$	20,21 T13.13a
23.	$ i \geq \text{len}(m)$	A (g 16 \vee E)
24.	$\text{exc}(m, n, i) = \text{exp}(n, i \dot{-} \text{len}(m))$	23 T13.46c
25.	$\text{exp}(n, i \dot{-} \text{len}(m)) \leq n$	T13.44g
26.	$\text{exc}(m, n, i) \leq n$	24,25 =E
27.	$n \leq m + n$	T13.13u
28.	$\text{exc}(m, n, i) \leq m + n$	26,27 T13.13a
29.	$\text{exc}(m, n, i) \leq m + n$	16,17-22,23-28 \vee E
30.	$\text{pi}(i)^{\text{exc}(m, n, i)} \leq \text{pi}(l)^{\text{exc}(m, n, i)}$	15 T13.41f
31.	$\text{pi}(l) > \emptyset$	with T13.43g
32.	$\text{pi}(l)^{\text{exc}(m, n, i)} \leq \text{pi}(l)^{m+n}$	29,31 T13.41j
33.	$\text{pi}(i)^{\text{exc}(m, n, i)} \leq \text{pi}(l)^{m+n}$	30,32 T13.13a
34.	$\text{val}^*(m, n, i) \times \text{pi}(i)^{\text{exc}(m, n, i)} \leq \text{val}^*(m, n, i) \times \text{pi}(l)^{m+n}$	33 T13.13aa
35.	$\text{val}^*(m, n, i) \times \text{pi}(l)^{m+n} \leq [\text{pi}(l)^{m+n}]^i \times \text{pi}(l)^{m+n}$	12 T13.13aa
36.	$\text{val}^*(m, n, i) \times \text{pi}(i)^{\text{exc}(m, n, i)} \leq [\text{pi}(l)^{m+n}]^i \times \text{pi}(l)^{m+n}$	34,35 T13.13a
37.	$[\text{pi}(l)^{m+n}]^{Si} \geq \text{val}^*(m, n, Si)$	13,14,36 =E
38.	$Si \leq l \rightarrow [\text{pi}(l)^{m+n}]^{Si} \geq \text{val}^*(m, n, Si)$	9-37 \rightarrow I
39.	$[i \leq l \rightarrow [\text{pi}(l)^{m+n}]^i \geq \text{val}^*(m, n, i)] \rightarrow [Si \leq l \rightarrow [\text{pi}(l)^{m+n}]^{Si} \geq \text{val}^*(m, n, Si)]$	8-38 \rightarrow I
40.	$i \leq l \rightarrow [\text{pi}(l)^{m+n}]^i \geq \text{val}^*(m, n, i)$	7,39 IN
41.	$l \leq l$	T13.13m
42.	$[\text{pi}(l)^{m+n}]^l \geq \text{val}^*(m, n, l)$	40,41 (\forall E)

T13.46.n. $\text{PA} \vdash \forall x \forall n [\text{len}(Sn) \leq x \rightarrow \text{val}(Sn, x) = Sn]$

Exercise 13.31 T13.46.n

1.	$len(Sa) \leq \emptyset$	A ($g \rightarrow I$)
2.	$len(Sa) \neq \emptyset$	1 T13.13r
3.	$Sa \neq \bar{1}$	2 T13.45j
4.	$Sa \leq \bar{1}$	3 T13.13r
5.	$a \geq \emptyset$	T13.13d
6.	$Sa \geq \bar{1}$	5 T13.13j
7.	$Sa = \bar{1}$	4,6 T13.13t
8.	$val(\bar{1}, \emptyset) = \bar{1}$	def
9.	$val(Sa, \emptyset) = Sa$	8,7 =E
10.	$len(Sa) \leq \emptyset \rightarrow val(Sa, \emptyset) = Sa$	1-9 $\rightarrow I$
11.	$\forall n[len(Sn) \leq \emptyset \rightarrow val(Sn, \emptyset) = Sn]$	10 $\forall I$
12.	$\forall n[len(Sn) \leq x \rightarrow val(Sn, x) = Sn]$	A ($g \rightarrow I$)
13.	$len(Sa) \leq x \rightarrow val(Sa, x) = Sa$	12 $\forall E$
14.	$val(Sa, Sx) = val(Sa, x) \times \bar{pi}(x)^{exp(Sa, x)}$	def
15.	$len(Sa) \leq Sx$	A ($g \rightarrow I$)
16.	$len(Sa) \leq x \vee len(Sa) = Sx$	15 T13.13m
17.	$len(Sa) \leq x$	A (g 16 $\vee E$)
18.	$val(Sa, x) = Sa$	13,17 $\rightarrow E$
19.	$exp(Sa, x) = \emptyset$	17 T13.45h
20.	$\bar{pi}(x)^{\emptyset} = \bar{1}$	T13.20a
21.	$\bar{pi}(x)^{exp(Sa, x)} = \bar{1}$	20,19 =E
22.	$val(Sa, Sx) = Sa \times \bar{1}$	14,18,21 =E
23.	$val(Sa, Sx) = Sa$	22 T6.56
24.	$len(Sa) = Sx$	A (g 16 $\vee E$)
25.	$\exists q[\bar{pi}(x)^{exp(Sa, x)} \times q = Sa \wedge pred(\bar{pi}(x)) \dagger q \wedge \forall y(y \neq x \rightarrow exp(q, y) = exp(Sa, y))]$	T13.44i
26.	$\bar{pi}(x)^{exp(Sa, x)} \times q = Sa \wedge pred(\bar{pi}(x)) \dagger q \wedge \forall y(y \neq x \rightarrow exp(q, y) = exp(Sa, y))$	A (g 25 $\exists E$)
27.	$\bar{pi}(x)^{exp(Sa, x)} \times q = Sa$	26 $\wedge E$
28.	$pred(\bar{pi}(x)) \dagger q$	26 $\wedge E$
29.	$\forall y(y \neq x \rightarrow exp(q, y) = exp(Sa, y))$	26 $\wedge E$
30.	$q > \emptyset$	27 T13.13ab
31.	$\exists r(q = Sr)$	30 T6.49
32.	$q = Sr$	A (g 31 $\exists E$)
33.	$\forall y(y \neq x \rightarrow exp(Sr, y) = exp(Sa, y))$	29,32 =E
34.	$len(Sr) > x$	A ($c \sim I$)
35.	$\sim(\forall z \leq Sr)[z \geq x \rightarrow exp(Sr, z) = \emptyset]$	34 T13.45e
36.	$l \leq Sr$	A (g ($\forall I$))
37.	$l \geq x$	A ($g \rightarrow I$)
38.	$l > x \vee l = x$	37 T13.13
39.	$l > x$	A (g 38 $\vee E$)
40.	$l \neq x$	39 T13.13s
41.	$exp(Sr, l) = exp(Sa, l)$	33,40 $\forall E$
42.	$l \geq Sx$	39 T13.13l
43.	$l \geq len(Sa)$	42,24 =E
44.	$exp(Sa, l) = \emptyset$	43 T13.45i
45.	$exp(Sr, l) = \emptyset$	44,41 =E
46.	$l = x$	A (g 38 $\vee E$)
47.	$pred(\bar{pi}(x)) \dagger Sr$	28,32 =E
48.	$exp(Sr, x) = \emptyset$	47 T13.44k
49.	$exp(Sr, l) = \emptyset$	48,46 =E
50.	$exp(Sr, l) = \emptyset$	38,39-45,46-49 $\vee E$
51.	$l \geq x \rightarrow exp(Sr, l) = \emptyset$	37-50 $\rightarrow I$
52.	$(\forall z \leq Sr)[z \geq x \rightarrow exp(Sr, z) = \emptyset]$	36-51 ($\forall I$)
53.	\perp	35,52 $\perp I$
54.	$len(Sr) \neq x$	34-53 $\sim I$
55.	$len(Sr) \leq x$	54 T13.13r

Exercise 13.31 T13.46.n

56.				$val(Sr, x) = Sr$	12,55 $\forall E$
57.				$l < x$	A (g ($\forall I$))
58.				$l \neq x$	57 T13.13s
59.				$exp(Sr, l) = exp(Sa, l)$	33,58 $\forall E$
60.				$(\forall i < x) exp(Sr, i) = exp(Sa, i)$	57-59 ($\forall I$)
61.				$val(Sr, x) = val(Sa, x)$	60 T13.46m
62.				$\pi(x)^{exp(Sa, x)} \times Sr = Sa$	27,32 $=E$
63.				$val(Sa, x) \times \pi(x)^{exp(Sa, x)} = Sa$	62,56,61 $=E$
64.				$val(Sa, Sx) = Sa$	14,63 $=E$
65.				$val(Sa, Sx) = Sa$	31,32-64 $\exists E$
66.				$val(Sa, Sx) = Sa$	25,26-65 $\exists E$
67.				$val(Sa, Sx) = Sa$	16,17-23,24-66 $\vee E$
68.				$len(Sa) \leq Sx \rightarrow val(Sa, Sx) = Sa$	15-67 $\rightarrow I$
69.				$\forall n[len(Sn) \leq Sx \rightarrow val(Sn, Sx) = Sn]$	68 $\forall I$
70.				$\forall n[len(Sn) \leq x \rightarrow val(Sn, x) = Sn] \rightarrow \forall n[len(Sn) \leq Sx \rightarrow val(Sn, Sx) = Sn]$	12-69 $\rightarrow I$
71.				$\forall x \forall n[len(Sn) \leq x \rightarrow val(Sn, x) = Sn]$	11,70 IN

T13.46.o. $[len(n) \leq q \wedge (\forall k < len(n)) exp(n, k) \leq r] \rightarrow [\pi(q)^r]^q \geq val(n, len(n))$

1.	$len(n) \leq q \wedge (\forall k < len(n)) exp(n, k) \leq r$	A ($g \rightarrow I$)
2.	$len(n) \leq q$	1 $\wedge E$
3.	$(\forall k < len(n)) exp(n, k) \leq r$	1 $\wedge E$
4.	$[pi(q)^r]^\emptyset = \bar{1}$	T13.41a
5.	$val(n, \emptyset) = \bar{1}$	def
6.	$[pi(q)^r]^\emptyset \geq val(n, \emptyset)$	4,5 T13.13m
7.	$\emptyset \leq q \rightarrow [pi(q)^r]^\emptyset \geq val(n, \emptyset)$	6 $\vee I$
8.	$i \leq q \rightarrow [pi(q)^r]^i \geq val(n, i)$	A ($g \rightarrow I$)
9.	$Si \leq q$	A ($g \rightarrow I$)
10.	$i \leq q$	9 T13.13l,m
11.	$val(n, Si) = val(n, i) \times pi(i)^{exp(n, i)}$	def
12.	$[pi(q)^r]^{Si} = [pi(q)^r]^i \times pi(q)^r$	T13.41a
13.	$[pi(q)^r]^i \geq val(n, i)$	8,10 $\rightarrow E$
14.	$pi(i) \leq pi(q)$	10 T13.43k
15.	$i < len(n) \vee i \geq len(n)$	T13.13q
16.	$i < len(n)$	A (g 15 $\vee E$)
17.	$exp(n, i) \leq r$	3,16 ($\forall E$)
18.	$i \geq len(n)$	A (g 15 $\vee E$)
19.	$exp(n, i) = \emptyset$	18 T13.45l
20.	$\emptyset \leq r$	T13.13d
21.	$exp(n, i) \leq r$	20,19 $=E$
22.	$exp(n, i) \leq r$	15,16-17,18-21 $\vee E$
23.	$pi(i) > \bar{1}$	T13.43g
24.	$pi(i)^{exp(n, i)} \leq pi(i)^r$	22,23 T13.41j
25.	$pi(i)^r \leq pi(q)^r$	14 T13.41f
26.	$pi(i)^{exp(n, i)} \leq pi(q)^r$	24,25 T13.13a
27.	$val(n, i) \times pi(i)^{exp(n, i)} \leq val(n, i) \times pi(q)^r$	26 T13.13aa
28.	$val(n, i) \times pi(q)^r \leq [pi(q)^r]^i \times pi(q)^r$	13 T13.13aa
29.	$val(n, i) \times pi(i)^{exp(n, i)} \leq [pi(q)^r]^i \times pi(q)^r$	27,28 T13.13a
30.	$[pi(q)^r]^{Si} \geq val(n, Si)$	29,11,12 $=E$
31.	$Si \leq q \rightarrow [pi(q)^r]^{Si} \geq val(n, Si)$	9-30 $\rightarrow I$
32.	$\{i \leq q \rightarrow [pi(q)^r]^i \geq val(n, i)\} \rightarrow \{Si \leq q \rightarrow [pi(q)^r]^{Si} \geq val(n, Si)\}$	8-31 $\rightarrow I$
33.	$i \leq q \rightarrow [pi(q)^r]^i \geq val(n, i)$	7,32 IN
34.	$[pi(q)^r]^{len(n)} \geq val(n, len(n))$	2,33 $\rightarrow E$
35.	$pi(q)^r > \emptyset$	T13.43h
36.	$[pi(q)^r]^{len(n)} \leq [pi(q)^r]^q$	35,2 T13.41j
37.	$[pi(q)^r]^q \geq val(n, len(n))$	34,36 T13.13a
38.	$[len(n) \leq q \wedge (\forall k < len(n)) exp(n, k) \leq r] \rightarrow [pi(q)^r]^q \geq val(n, len(n))$	1-37 $\rightarrow I$

E13.32. Show each of the results from T13.47.

T13.47.

T13.47.e. $PA \vdash len(m * n) \geq l$

Exercise 13.32 T13.47.e

1.	$l = \text{len}(m) + \text{len}(n)$	abv
2.	$\text{len}(n) = \emptyset \vee \text{len}(n) > \emptyset$	T13.13f
3.	$\text{len}(n) = \emptyset$	A (g 2 \vee E)
4.	$\text{len}(m) = \emptyset \vee \text{len}(m) > \emptyset$	T13.13f
5.	$\text{len}(m) = \emptyset$	A (g 4 \vee E)
6.	$\emptyset + \emptyset = \emptyset$	T6.40
7.	$\text{len}(m * n) \geq \emptyset$	T13.13d
8.	$\text{len}(m * n) \geq \emptyset + \emptyset$	6,7 =E
9.	$\text{len}(m * n) \geq l$	8,5,3 =E
10.	$\text{len}(m) > \emptyset$	A (g 4 \vee E)
11.	$\exists v[\text{len}(m) = Sv]$	10 T13.13g
12.	$\text{len}(m) = Sa$	A (g 11 \exists E)
13.	$\text{exp}(m, a) > \emptyset$	12 with T13.45m
14.	$a < \text{len}(m)$	12 T13.13i
15.	$(\forall i < \text{len}(m)) \text{exp}(m * n, i) = \text{exp}(m, i)$	T13.47c
16.	$\text{exp}(m * n, a) = \text{exp}(m, a)$	15,14 (\forall E)
17.	$\text{exp}(m * n, a) > \emptyset$	13,16 =E
18.	$\text{len}(m * n) > a$	17 T13.45h
19.	$\text{len}(m * n) \geq Sa$	18 T13.13l
20.	$\text{len}(m * n) \geq \text{len}(m)$	19,12 =E
21.	$\text{len}(m) + \emptyset = \text{len}(m)$	T6.40
22.	$l = \text{len}(m)$	21,3 =E
23.	$\text{len}(m * n) \geq l$	20,22 =E
24.	$\text{len}(m * n) \geq l$	11,12-23 \exists E
25.	$\text{len}(m * n) \geq l$	4,5-9,10-24 \vee E
26.	$\text{len}(n) > \emptyset$	A (g 2 \vee E)
27.	$\exists v[\text{len}(n) = Sv]$	26 T13.13g
28.	$\text{len}(n) = Sa$	A (g 27 \exists E)
29.	$\text{exp}(n, a) > \emptyset$	28 with T13.45m
30.	$a < \text{len}(n)$	28 T13.13i
31.	$(\forall i < \text{len}(n)) \text{exp}(m * n, i + \text{len}(m)) = \text{exp}(n, i)$	T13.47c
32.	$\text{exp}(m * n, a + \text{len}(m)) = \text{exp}(n, a)$	31,30 (\forall E)
33.	$\text{exp}(m * n, a + \text{len}(m)) > \emptyset$	29,32 =E
34.	$\text{len}(m * n) > a + \text{len}(m)$	33 T13.45h
35.	$\text{len}(m * n) \geq S(a + \text{len}(m))$	34 T13.13l
36.	$S(a + \text{len}(m)) = Sa + \text{len}(m)$	T6.52
37.	$S(a + \text{len}(m)) = l$	36,28 =E
38.	$\text{len}(m * n) \geq l$	35,37 =E
39.	$\text{len}(m * n) \geq l$	27,28-38 \exists E
40.	$\text{len}(m * n) \geq l$	2,3-25,26-39 \vee E

T13.47.f. $\text{PA} \vdash \text{len}(m * n) = l$

Exercise 13.32 T13.47.f

1.	$l = \text{len}(m) + \text{len}(n)$	abv
2.	$\text{len}(m * n) \geq l$	T13.47e
3.	$\text{len}(m * n) \not\leq l$	A ($c \sim E$)
4.	$\text{len}(m * n) > l$	3 T13.13r
5.	$l \geq \emptyset$	T13.13d
6.	$\text{len}(m * n) > \emptyset$	4,5 T13.13c
7.	$m * n > \bar{1}$	6 T13.45g
8.	$\bar{1} > \emptyset$	T8.14
9.	$m * n > \emptyset$	7,8 T13.13b
10.	$\exists v[m * n = Sv]$	9 T13.13g
11.	$m * n = Sp$	A ($c 10\exists E$)
12.	$\text{len}(Sp) > l$	4,11 =E
13.	$\exists v[Sv + l = \text{len}(Sp)]$	12 def
14.	$Sa + l = \text{len}(Sp)$	A ($c 13\exists E$)
15.	$S(a + l) = Sa + l$	T6.52
16.	$S(a + l) = \text{len}(Sp)$	14,15 =E
17.	$\text{exp}(Sp, a + l) \geq \bar{1}$	16 T13.45m
18.	$\exists q[\text{pi}(a + l)^{\text{exp}(Sp, a + l)} \times q = Sp \wedge \forall y(y \neq a + l \rightarrow \text{exp}(q, y) = \text{exp}(Sp, y))]$	T13.44l
19.	$\text{pi}(a + l)^{\text{exp}(Sp, a + l)} \times j = Sp \wedge \forall y(y \neq a + l \rightarrow \text{exp}(j, y) = \text{exp}(Sp, y))$	A ($c 18\exists E$)
20.	$\text{pi}(a + l)^{\text{exp}(Sp, a + l)} \times j = Sp$	19 $\wedge E$
21.	$\text{pi}(a + l)^{\text{exp}(Sp, a + l)} \geq \text{pi}(a + l)$	17 with T13.41l
22.	$\text{pi}(a + l) > \bar{1}$	T13.43g
23.	$\text{pi}(a + l)^{\text{exp}(Sp, a + l)} > \bar{1}$	21,22 T13.13c
24.	$Sp > \emptyset$	T13.13e
25.	$\text{pi}(a + l)^{\text{exp}(Sp, a + l)} \times j > \emptyset$	24,20 =E
26.	$j > \emptyset$	25 T13.13ab
27.	$\text{pi}(a + l)^{\text{exp}(Sp, a + l)} \times j > j$	23,26 T13.13ac
28.	$j < Sp$	20,27 =E

29.	$\forall y(y \neq a + l \rightarrow \text{exp}(j, y) = \text{exp}(Sp, y))$	19 $\wedge E$
30.	$b < \text{len}(m)$	A (g ($\forall I$))
31.	$(\forall i < \text{len}(m))\text{exp}(m * n, i) = \text{exp}(m, i)$	T13.47c
32.	$\text{exp}(m * n, b) = \text{exp}(m, b)$	31,30 ($\forall E$)
33.	$\text{exp}(Sp, b) = \text{exp}(m, b)$	11,32 $=E$
34.	$\text{len}(m) \leq a + l$	T13.13u
35.	$b < a + l$	30,34 T13.13c
36.	$b \neq a + l$	35 T13.13s
37.	$b \neq a + l \rightarrow \text{exp}(j, b) = \text{exp}(Sp, b)$	29 $\forall E$
38.	$\text{exp}(j, b) = \text{exp}(Sp, b)$	37,36 $\rightarrow E$
39.	$\text{exp}(j, b) = \text{exp}(m, b)$	33,38 $=E$
40.	$(\forall i < \text{len}(m))\text{exp}(j, i) = \text{exp}(m, i)$	30-39 ($\forall I$)
41.	$b < \text{len}(n)$	A (g ($\forall I$))
42.	$(\forall i < \text{len}(n))\text{exp}(m * n, i + \text{len}(m)) = \text{exp}(n, i)$	T13.47c
43.	$\text{exp}(m * n, b + \text{len}(m)) = \text{exp}(n, b)$	42,41 ($\forall E$)
44.	$\text{exp}(Sp, b + \text{len}(m)) = \text{exp}(n, b)$	43,11 $=E$
45.	$\text{len}(m) \leq a + \text{len}(m)$	T13.13u
46.	$b + \text{len}(m) < a + l$	41,45 T13.13c
47.	$b + \text{len}(m) \neq a + l$	46 T13.13s
48.	$b + \text{len}(m) \neq a + l \rightarrow \text{exp}(j, b + \text{len}(m)) = \text{exp}(Sp, b + \text{len}(m))$	29 $\forall E$
49.	$\text{exp}(j, b + \text{len}(m)) = \text{exp}(Sp, b + \text{len}(m))$	48,47 $\rightarrow E$
50.	$\text{exp}(j, b + \text{len}(m)) = \text{exp}(n, b)$	44,49 $=E$
51.	$(\forall i < \text{len}(n))\text{exp}(j, i + \text{len}(m)) = \text{exp}(n, i)$	41-50 ($\forall I$)
52.	$(\forall i < \text{len}(m))\text{exp}(j, i) = \text{exp}(m, i) \wedge (\forall i < \text{len}(n))\text{exp}(j, i + \text{len}(m)) = \text{exp}(n, i)$	40,51 $\wedge I$
53.	$(\forall w < m * n) \sim [(\forall i < \text{len}(m))\text{exp}(w, i) = \text{exp}(m, i) \wedge$ $(\forall i < \text{len}(n))\text{exp}(w, i + \text{len}(m)) = \text{exp}(n, i)]$	T13.47d
54.	$(\forall w < Sp) \sim [(\forall i < \text{len}(m))\text{exp}(w, i) = \text{exp}(m, i) \wedge$ $(\forall i < \text{len}(n))\text{exp}(w, i + \text{len}(m)) = \text{exp}(n, i)]$	53,11 $=E$
55.	$\sim [(\forall i < \text{len}(m))\text{exp}(j, i) = \text{exp}(m, i) \wedge (\forall i < \text{len}(n))\text{exp}(j, i + \text{len}(m)) = \text{exp}(n, i)]$	54,28 ($\forall E$)
56.	\perp	52,55 $\perp I$
57.	\perp	18,19-56 $\exists E$
58.	\perp	13,14-57 $\exists E$
59.	\perp	10,11-58 $\exists E$
60.	$\text{len}(m * n) \leq l$	3-59 $\sim E$
61.	$\text{len}(m * n) = l$	2,60 T13.13t

T13.47.m. $PA \vdash \text{val}(Sm * Sn, a) = \text{val}(Sm, a) * \text{val}(Sn, a \dot{-} \text{len}(Sm))$

Exercise 13.32 T13.47.m

1.	$i < a$	A (g $\forall I$)
2.	$a < \text{len}(Sm) \vee a \geq \text{len}(Sm)$	T13.13q
3.	$a < \text{len}(Sm)$	A (g $2\vee E$)
4.	$i < \text{len}(Sm)$	1,3 T13.13b
5.	$\text{exp}(Sm * Sn, i) = \text{exp}(Sm, i)$	4 T13.47c
6.	$a \dot{-} \text{len}(Sm) = \emptyset$	3 T13.23b
7.	$\text{val}(Sn, a \dot{-} \text{len}(m)) = \bar{1}$	6 def
8.	$\text{val}(Sm, a) > \emptyset$	T13.46i
9.	$\text{val}(Sm, a) = \text{val}(Sm, a) * \text{val}(Sn, a \dot{-} \text{len}(Sm))$	7,8 T13.47i
10.	$\text{exp}(\text{val}(Sm, a), i) = \text{exp}(Sm, i)$	1 T13.46l
11.	$\text{exp}(Sm * Sn, i) = \text{exp}(\text{val}(Sm, a) * \text{val}(Sn, a \dot{-} \text{len}(Sm)), i)$	5,10,9 =E
12.	$a \geq \text{len}(Sm)$	A (g $2\vee E$)
13.	$\text{val}(Sm, a) = Sm$	12 T13.46n
14.	$\text{len}(\text{val}(Sm, a)) = \text{len}(Sm)$	13 =E
15.	$i < \text{len}(Sm) \vee i \geq \text{len}(Sm)$	T13.13q
16.	$i < \text{len}(Sm)$	A (g $15\vee E$)
17.	$\text{exp}(Sm * Sn, i) = \text{exp}(Sm, i)$	16 T13.47c
18.	$i < \text{len}(\text{val}(Sm, a))$	16,14 =E
19.	$\text{exp}(\text{val}(Sm, a) * \text{val}(Sn, a \dot{-} \text{len}(Sm)), i) = \text{exp}(\text{val}(Sm, a), i)$	18 T13.47c
20.	$\text{exp}(\text{val}(Sm, a), i) = \text{exp}(Sm, i)$	1 T13.46l
21.	$\text{exp}(Sm * Sn, i) = \text{exp}(\text{val}(Sm, a) * \text{val}(Sn, a \dot{-} \text{len}(Sm)), i)$	17,20,19 =E
22.	$i \geq \text{len}(Sm)$	A (g $15\vee E$)
23.	$\text{exp}(Sm * Sn, (i \dot{-} \text{len}(Sm)) + \text{len}(Sm)) = \text{exp}(Sn, i \dot{-} \text{len}(Sm))$	T13.47g
24.	$i = \text{len}(Sm) + (i \dot{-} \text{len}(Sm))$	22 T13.23a
25.	$\text{exp}(Sm * Sn, i) = \text{exp}(Sn, i \dot{-} \text{len}(Sm))$	23,24 =E
26.	$\text{exp}(\text{val}(Sm, a) * \text{val}(Sn, a \dot{-} \text{len}(Sm)), (i \dot{-} \text{len}(Sm)) + \text{len}(\text{val}(Sm, a))) =$ $\text{exp}(\text{val}(Sn, a \dot{-} \text{len}(Sm)), i \dot{-} \text{len}(Sm))$	T13.47g
27.	$\text{exp}(\text{val}(Sm, a) * \text{val}(Sn, a \dot{-} \text{len}(Sm)), i) = \text{exp}(\text{val}(Sn, a \dot{-} \text{len}(Sm)), i \dot{-} \text{len}(Sm))$	26,24,14 =E
28.	$a \dot{-} \text{len}(Sm) > i \dot{-} \text{len}(Sm)$	22,1 T13.23e
29.	$\text{exp}(\text{val}(Sn, a \dot{-} \text{len}(Sm)), i \dot{-} \text{len}(Sm)) = \text{exp}(Sn, i \dot{-} \text{len}(Sm))$	28 T13.46l
30.	$\text{exp}(Sm * Sn, i) = \text{exp}(\text{val}(Sm, a) * \text{val}(Sn, a \dot{-} \text{len}(Sm)), i)$	25,29,27 =E
31.	$\text{exp}(Sm * Sn, i) = \text{exp}(\text{val}(Sm, a) * \text{val}(Sn, a \dot{-} \text{len}(Sm)), i)$	15,16-21,22-30 $\vee E$
32.	$\text{exp}(Sm * Sn, i) = \text{exp}(\text{val}(Sm, a) * \text{val}(Sn, a \dot{-} \text{len}(Sm)), i)$	2,3-11,12-31 $\vee E$
33.	$(\forall i < a) \text{exp}(Sm * Sn, i) = \text{exp}(\text{val}(Sm, a) * \text{val}(Sn, a \dot{-} \text{len}(Sm)), i)$	1-32 ($\forall I$)
34.	$\text{val}(Sm * Sn, a) = \text{val}(\text{val}(Sm, a) * \text{val}(Sn, a \dot{-} \text{len}(Sm)), a)$	33 T13.46m
35.	$\text{len}(\text{val}(Sm, a) * \text{val}(Sn, a \dot{-} \text{len}(Sm))) = \text{len}(\text{val}(Sm, a)) + \text{len}(\text{val}(Sn, a \dot{-} \text{len}(Sm)))$	T13.47f
36.	$a < \text{len}(Sm) \vee a \geq \text{len}(Sm)$	T13.13q
37.	$a < \text{len}(Sm)$	A (g $36\vee E$)
38.	$\text{len}(\text{val}(Sm, a)) \leq a$	T13.46j
39.	$a \dot{-} \text{len}(Sm) = \emptyset$	37 T13.23b
40.	$\text{val}(Sn, a \dot{-} \text{len}(Sm)) = \bar{1}$	39 def
41.	$\text{len}(\text{val}(Sn, a \dot{-} \text{len}(Sm))) = \emptyset$	40 T13.45f
42.	$\text{len}(\text{val}(Sm, a) * \text{val}(Sn, a \dot{-} \text{len}(Sm))) = \text{len}(\text{val}(Sm, a))$	35,41 =E
43.	$\text{len}(\text{val}(Sm, a) * \text{val}(Sn, a \dot{-} \text{len}(Sm))) \leq a$	38,42 =E
44.	$a \geq \text{len}(Sm)$	A (g $36\vee E$)
45.	$\text{len}(\text{val}(Sm, a)) \leq \text{len}(Sm)$	T13.46k
46.	$\text{len}(\text{val}(Sn, a \dot{-} \text{len}(Sm))) \leq a \dot{-} \text{len}(Sm)$	T13.46j
47.	$\text{len}(\text{val}(Sm, a)) + \text{len}(\text{val}(Sn, a \dot{-} \text{len}(Sm))) \leq \text{len}(Sm) + (a \dot{-} \text{len}(Sm))$	45,46 T13.13v
48.	$a = \text{len}(Sm) + (a \dot{-} \text{len}(Sm))$	44 T13.23a
49.	$\text{len}(\text{val}(Sm, a)) + \text{len}(\text{val}(Sn, a \dot{-} \text{len}(Sm))) \leq a$	47,48 =E
50.	$\text{len}(\text{val}(Sm, a) * \text{val}(Sn, a \dot{-} \text{len}(Sm))) \leq a$	35,49 =E
51.	$\text{len}(\text{val}(Sm, a) * \text{val}(Sn, a \dot{-} \text{len}(Sm))) \leq a$	36,37-43,44-50 $\vee E$
52.	$\text{val}(Sm, a) * \text{val}(Sn, a \dot{-} \text{len}(Sm)) \geq \bar{1}$	T13.47c
53.	$\text{val}(\text{val}(Sm, a) * \text{val}(Sn, a \dot{-} \text{len}(Sm)), a) = \text{val}(Sm, a) * \text{val}(Sn, a \dot{-} \text{len}(Sm))$	51 T13.46n
54.	$\text{val}(Sm * Sn, a) = \text{val}(Sm, a) * \text{val}(Sn, a \dot{-} \text{len}(Sm))$	34,53 =E

Exercise 13.32 T13.47.m

E13.33. Show (j) and the unfinished cases for the C disjunct in (l) and (n). Hard core: show each of the results from T13.48.

T13.48.

T13.48.i. $\text{PA} \vdash \text{Termseq}(m, t) \rightarrow \text{Termseq}(m * 2^{\overline{\neg S^1} * t}, \overline{\neg S^1} * t)$

1.	$\text{Termseq}(m, t)$	A (g \rightarrow I)
2.	$\exp(m, \text{len}(m) \dot{-} \bar{1}) = t$	1 T13.48a
3.	$m > \bar{1}$	1 T13.48a
4.	$(\forall k < \text{len}(m))[A(m, k) \vee B(m, k) \vee C(m, k) \vee D(m, k)]$	1 T13.48a
5.	$\text{len}(\bar{2}^{\overline{S^{\neg} * t}}) = \bar{1}$	T13.45k
6.	$\exp(\bar{2}^{\overline{S^{\neg} * t}}, \emptyset) = \overline{S^{\neg}} * t$	T13.44i
7.	$\text{len}(m * \bar{2}^{\overline{S^{\neg} * t}}) = \text{len}(m) + \bar{1}$	5 T13.47f
8.	$\text{len}(m) + \bar{1} = S \text{len}(m)$	T6.46
9.	$\text{len}(m) = S \text{len}(m) \dot{-} \bar{1}$	T13.23k
10.	$\text{len}(m) = \text{len}(m * \bar{2}^{\overline{S^{\neg} * t}}) \dot{-} \bar{1}$	9,7,8 =E
11.	$\exp(m * \bar{2}^{\overline{S^{\neg} * t}}, \text{len}(m)) = \overline{S^{\neg}} * t$	6 T13.47c
12.	$\exp(m * \bar{2}^{\overline{S^{\neg} * t}}, \text{len}(m * \bar{2}^{\overline{S^{\neg} * t}}) \dot{-} \bar{1}) = \overline{S^{\neg}} * t$	11,10 =E
13.	$\text{len}(m * \bar{2}^{\overline{S^{\neg} * t}}) > \emptyset$	7 T13.13u
14.	$m * \bar{2}^{\overline{S^{\neg} * t}} > \bar{1}$	13 T13.45g
15.	$a < \text{len}(m * \bar{2}^{\overline{S^{\neg} * t}})$	A (g (\forall I))
16.	$a < \text{len}(m) \vee a = \text{len}(m)$	15,7 T13.13n
17.	$a < \text{len}(m)$	A (g \vee E)
18.	$\exp(m * \bar{2}^{\overline{S^{\neg} * t}}, a) = \exp(m, a)$	17 T13.47c
19.	$A(m, a) \vee B(m, a) \vee C(m, a) \vee D(m, a)$	4,17 (\forall E)
20.	$A(m, a)$	A (g \vee E)
21.	$\exp(m, a) = \overline{\emptyset} \vee \text{Var}(\exp(m, a))$	20 abv
22.	$\exp(m, a) = \overline{\emptyset}$	A (g \vee E)
23.	$\exp(m * \bar{2}^{\overline{S^{\neg} * t}}, a) = \overline{\emptyset}$	18,22 =E
24.	$\exp(m * \bar{2}^{\overline{S^{\neg} * t}}, a) = \overline{\emptyset} \vee \text{Var}(\exp(m * \bar{2}^{\overline{S^{\neg} * t}}, a))$	23 \vee I
25.	$A(m * \bar{2}^{\overline{S^{\neg} * t}}, a)$	24 abv
26.	$\text{Var}(\exp(m, a))$	A (g \vee E)
27.	$\text{Var}(\exp(m * \bar{2}^{\overline{S^{\neg} * t}}, a))$	18,26 =E
28.	$\exp(m * \bar{2}^{\overline{S^{\neg} * t}}, a) = \overline{\emptyset} \vee \text{Var}(\exp(m * \bar{2}^{\overline{S^{\neg} * t}}, a))$	27 \vee I
29.	$A(m * \bar{2}^{\overline{S^{\neg} * t}}, a)$	28 abv
30.	$A(m * \bar{2}^{\overline{S^{\neg} * t}}, a)$	21,22-25,26-29 \vee E
31.	$A(m * \bar{2}^{\overline{S^{\neg} * t}}, a) \vee B(m * \bar{2}^{\overline{S^{\neg} * t}}, a) \vee C(m * \bar{2}^{\overline{S^{\neg} * t}}, a) \vee D(m * \bar{2}^{\overline{S^{\neg} * t}}, a)$	30 \vee I
32.	$B(m, a)$	A (g \vee E)
33.	$(\exists j < a)[\exp(m, a) = \overline{S^{\neg}} * \exp(m, j)]$	32 abv
34.	$\exp(m, a) = \overline{S^{\neg}} * \exp(m, j)$	A (g \exists (\exists E))
35.	$j < a$	
36.	$j < \text{len}(m)$	17,35 T13.13b
37.	$\exp(m * \bar{2}^{\overline{S^{\neg} * t}}, j) = \exp(m, j)$	36 T13.47c
38.	$\exp(m * \bar{2}^{\overline{S^{\neg} * t}}, a) = \overline{S^{\neg}} * \exp(m * \bar{2}^{\overline{S^{\neg} * t}}, j)$	34,18,37 =E
39.	$(\exists j < a)[\exp(m * \bar{2}^{\overline{S^{\neg} * t}}, a) = \overline{S^{\neg}} * \exp(m * \bar{2}^{\overline{S^{\neg} * t}}, j)]$	38,35 (\exists I)
40.	$B(m * \bar{2}^{\overline{S^{\neg} * t}}, a)$	39 abv
41.	$B(m * \bar{2}^{\overline{S^{\neg} * t}}, a)$	33,34-40 (\exists E)
42.	$A(m * \bar{2}^{\overline{S^{\neg} * t}}, a) \vee B(m * \bar{2}^{\overline{S^{\neg} * t}}, a) \vee C(m * \bar{2}^{\overline{S^{\neg} * t}}, a) \vee D(m * \bar{2}^{\overline{S^{\neg} * t}}, a)$	41 \vee I
43.	$C(m, a)$	A (g \vee E)
44.	$A(m * \bar{2}^{\overline{S^{\neg} * t}}, a) \vee B(m * \bar{2}^{\overline{S^{\neg} * t}}, a) \vee C(m * \bar{2}^{\overline{S^{\neg} * t}}, a) \vee D(m * \bar{2}^{\overline{S^{\neg} * t}}, a)$	similarly
45.	m, a	A (g \vee E)
46.	$A(m * \bar{2}^{\overline{S^{\neg} * t}}, a) \vee B(m * \bar{2}^{\overline{S^{\neg} * t}}, a) \vee C(m * \bar{2}^{\overline{S^{\neg} * t}}, a) \vee D(m * \bar{2}^{\overline{S^{\neg} * t}}, a)$	similarly
47.	$A(m * \bar{2}^{\overline{S^{\neg} * t}}, a) \vee B(m * \bar{2}^{\overline{S^{\neg} * t}}, a) \vee C(m * \bar{2}^{\overline{S^{\neg} * t}}, a) \vee D(m * \bar{2}^{\overline{S^{\neg} * t}}, a)$	19,20-46 \vee E
48.	$a = \text{len}(m)$	A (g \vee E)
49.	$\text{len}(m) > \emptyset$	3 T13.45j
50.	$\text{len}(m) \dot{-} \bar{1} < \text{len}(m)$	49 T13.23i
51.	$\exp(m * \bar{2}^{\overline{S^{\neg} * t}}, \text{len}(m) \dot{-} \bar{1}) = \exp(m, \text{len}(m) \dot{-} \bar{1})$	50 T13.47c
52.	$\exp(m * \bar{2}^{\overline{S^{\neg} * t}}, \text{len}(m) \dot{-} \bar{1}) = t$	51,2 =E
53.	$\exp(m * \bar{2}^{\overline{S^{\neg} * t}}, a) = \overline{S^{\neg}} * t$	11,48 =E
54.	$\exp(m * \bar{2}^{\overline{S^{\neg} * t}}, a) = \overline{S^{\neg}} * \exp(m * \bar{2}^{\overline{S^{\neg} * t}}, \text{len}(m) \dot{-} \bar{1})$	52,53 =E
55.	$(\exists j < a)[\exp(m * \bar{2}^{\overline{S^{\neg} * t}}, a) = \overline{S^{\neg}} * \exp(m * \bar{2}^{\overline{S^{\neg} * t}}, j)]$	50,54 (\exists I)
56.	$B(m * \bar{2}^{\overline{S^{\neg} * t}}, a)$	55 abv
57.	$A(m * \bar{2}^{\overline{S^{\neg} * t}}, a) \vee B(m * \bar{2}^{\overline{S^{\neg} * t}}, a) \vee C(m * \bar{2}^{\overline{S^{\neg} * t}}, a) \vee D(m * \bar{2}^{\overline{S^{\neg} * t}}, a)$	56 \vee I

Exercise 13.33 T13.48.i

T13.48.1. $\text{PA} \vdash \text{Termseq}(m, t) \rightarrow \forall x (\forall k < \text{len}(m)) \{ \text{len}(\text{exp}(m, k)) \leq x \rightarrow \exists n [\text{Termseq}(n, \text{exp}(m, k)) \wedge (\forall i < \text{len}(n)) \text{exp}(n, i) \leq \text{exp}(m, k) \wedge \text{len}(n) \leq \text{len}(\text{exp}(m, k))] \}$

Let \mathcal{P} be the formula, $(\forall k < \text{len}(m)) \{ \text{len}(\text{exp}(m, k)) \leq x \rightarrow \exists n [\text{Termseq}(n, \text{exp}(m, k)) \wedge (\forall i < \text{len}(n)) \text{exp}(n, i) \leq \text{exp}(m, k) \wedge \text{len}(n) \leq \text{len}(\text{exp}(m, k))] \}$

1.	$\text{Termseq}(m, t)$	$A (g \rightarrow I)$
2.	$\mathcal{P}_{\emptyset}^x$	basis
3.	$(\forall k < \text{len}(m)) \text{exp}(m, k) > \bar{1}$	1 T13.48e
4.	\mathcal{P}	$A g \rightarrow I$
5.	$(\forall k < \text{len}(m)) \{ \text{len}(\text{exp}(m, k)) \leq x \rightarrow \exists n [\text{Termseq}(n, \text{exp}(m, k)) \wedge (\forall i < \text{len}(n)) \text{exp}(n, i) \leq \text{exp}(m, k) \wedge \text{len}(n) \leq \text{len}(\text{exp}(m, k))] \}$	4 abv
6.	$a < \text{len}(m)$	$A (g (\forall I))$
7.	$\text{exp}(m, a) > \bar{1}$	3,6 ($\forall E$)
8.	$\text{len}(\bar{2}^{\text{exp}(m, a)}) = \bar{1}$	7 with T13.45k
9.	$\text{exp}(\bar{2}^{\text{exp}(m, a)}, \emptyset) = \text{exp}(m, a)$	T13.44i
10.	$\text{len}(\text{exp}(m, a)) \leq Sx$	$A (g \rightarrow I)$
11.	$(\forall k < \text{len}(m)) [A(m, k) \vee B(m, k) \vee C(m, k) \vee D(m, k)]$	1 T13.48a
12.	$A(m, a) \vee B(m, a) \vee C(m, a) \vee D(m, a)$	11,6 ($\forall E$)
13.	$A(m, a)$	$A (g \vee E)$
14.	$\text{exp}(m, a) = \bar{1} \vee \text{Var}(\text{exp}(m, a))$	13 abv
15.	$\text{Termseq}(\bar{2}^{\text{exp}(m, a)}, \text{exp}(m, a))$	14 T13.48g,h
16.	$b < \bar{1}$	$A (g (\forall I))$
17.	$b = \emptyset$	16 with T8.16
18.	$\text{exp}(\bar{2}^{\text{exp}(m, a)}, b) \leq \text{exp}(m, a)$	9 T13.13m
19.	$(\forall i < \text{len}(\bar{2}^{\text{exp}(m, a)})) \text{exp}(\bar{2}^{\text{exp}(m, a)}, i) \leq \text{exp}(m, a)$	8,16-18 ($\forall I$)
20.	$\text{len}(\text{exp}(m, a)) \geq \bar{1}$	7 T13.45j
21.	$\text{len}(\bar{2}^{\text{exp}(m, a)}) \leq \text{len}(\text{exp}(m, a))$	8,20 $=E$
22.	$\exists n [\text{Termseq}(n, \text{exp}(m, a)) \wedge (\forall i < \text{len}(n)) \text{exp}(n, i) \leq \text{exp}(m, a) \wedge \text{len}(n) \leq \text{len}(\text{exp}(m, a))]$	15,19,21 $\exists I$

23.	$B(m, a)$	A (g 12 \vee E)
24.	$(\exists j < a) \exp(m, a) = \overline{S}^{\neg} * \exp(m, j)$	23 abv
25.	$b < a$	A (g 24 \exists E)
26.	$\exp(m, a) = \overline{S}^{\neg} * \exp(m, b)$	
27.	$b < \text{len}(m)$	6,25 T13.13b
28.	$\exp(m, b) \leq \exp(m, a)$	26 T13.47n
29.	$\text{len}(\overline{S}^{\neg}) = \bar{1}$	cap
30.	$\text{len}(\overline{S}^{\neg} * \exp(m, b)) = \bar{1} + \text{len}(\exp(m, b))$	29 T13.47f
31.	$\text{len}(\exp(m, b)) < \text{len}(\exp(m, a))$	26,30 def
32.	$\text{len}(\exp(m, b)) < Sx$	10,31 T13.13d
33.	$\text{len}(\exp(m, b)) \leq x$	32 T13.13n
34.	$\exists n[\text{Termseq}(n, \exp(m, b)) \wedge (\forall i < \text{len}(n)) \exp(n, i) \leq \exp(m, b) \wedge \text{len}(n) \leq \text{len}(\exp(m, b))]$	5,27,33 (\forall E)
35.	$\text{Termseq}(l, \exp(m, b)) \wedge (\forall i < \text{len}(l)) \exp(l, i) \leq \exp(m, b) \wedge \text{len}(l) \leq \text{len}(\exp(m, b))$	A (g 34 \exists E)
36.	$\text{len}(l * \bar{2}^{\exp(m, a)}) = \text{len}(l) + \text{len}(\bar{2}^{\exp(m, a)})$	T13.47f
37.	$\text{len}(l * \bar{2}^{\exp(m, a)}) = \text{len}(l) + \bar{1}$	36,8 =E
38.	$\text{Termseq}(l, \exp(m, b))$	35 \wedge E
39.	$\text{Termseq}(l * \bar{2}^{\overline{S}^{\neg} * \exp(m, b)}, \overline{S}^{\neg} * \exp(m, b))$	38 T13.48i
40.	$\text{Termseq}(l * \bar{2}^{\exp(m, a)}, \exp(m, a))$	26,39 =E
41.	$j < \text{len}(l * \bar{2}^{\exp(m, a)})$	A (g (\forall I))
42.	$j < S \text{len}(l)$	41,37 =E
43.	$j < \text{len}(l) \vee j = \text{len}(l)$	42 T13.13n
44.	$j < \text{len}(l)$	A (g 43 \vee E)
45.	$(\forall i < \text{len}(l)) \exp(l, i) \leq \exp(m, b)$	35 \wedge E
46.	$\exp(l, j) \leq \exp(m, b)$	45,44 (\forall E)
47.	$\exp(l, j) \leq \exp(m, a)$	46,28 T13.13a
48.	$\exp(l, j) = \exp(l * \bar{2}^{\exp(m, a)}, j)$	44 T13.47c
49.	$\exp(l * \bar{2}^{\exp(m, a)}, j) \leq \exp(m, a)$	47,48 =E
50.	$j = \text{len}(l)$	A (g 43 \vee E)
51.	$\exp(\bar{2}^{\exp(m, a)}, \emptyset) = \exp(l * \bar{2}^{\exp(m, a)}, \text{len}(l))$	T13.47c
52.	$\exp(m, a) = \exp(l * \bar{2}^{\exp(m, a)}, \text{len}(l))$	51,9 =E
53.	$\exp(l * \bar{2}^{\exp(m, a)}, j) \leq \exp(m, a)$	52 T13.13m
54.	$\exp(l * \bar{2}^{\exp(m, a)}, j) \leq \exp(m, a)$	43,44-49,50-53 \vee E
55.	$(\forall i < \text{len}(l * \bar{2}^{\exp(m, a)})) \exp(l * \bar{2}^{\exp(m, a)}, i) \leq \exp(m, a)$	41-54 (\forall I)
56.	$\text{len}(l) \leq \text{len}(\exp(m, b))$	35 \wedge E
57.	$\text{len}(l) < \text{len}(\exp(m, a))$	56,31 T13.13c
58.	$S \text{len}(l) \leq \text{len}(\exp(m, a))$	57 T13.13l
59.	$\text{len}(l * \bar{2}^{\exp(m, a)}) \leq \text{len}(\exp(m, a))$	58,37 =E
60.	$\exists n[\text{Termseq}(n, \exp(m, a)) \wedge (\forall i < \text{len}(n)) \exp(n, i) \leq \exp(m, a) \wedge \text{len}(n) \leq \text{len}(\exp(m, a))]$	40,55,59 \exists I
61.	$\exists n[\text{Termseq}(n, \exp(m, a)) \wedge (\forall i < \text{len}(n)) \exp(n, i) \leq \exp(m, a) \wedge \text{len}(n) \leq \text{len}(\exp(m, a))]$	34,35-60 \exists E
62.	$\exists n[\text{Termseq}(n, \exp(m, a)) \wedge (\forall i < \text{len}(n)) \exp(n, i) \leq \exp(m, a) \wedge \text{len}(n) \leq \text{len}(\exp(m, a))]$	24,25-61 (\exists E)
63.	$C(m, a)$	A (g 12 \vee E)
64.	$\exists n[\text{Termseq}(n, \exp(m, a)) \wedge (\forall i < \text{len}(n)) \exp(n, i) \leq \exp(m, a) \wedge \text{len}(n) \leq \text{len}(\exp(m, a))]$	similarly
65.	$D(m, a)$	A (g 12 \vee E)
66.	$\exists n[\text{Termseq}(n, \exp(m, a)) \wedge (\forall i < \text{len}(n)) \exp(n, i) \leq \exp(m, a) \wedge \text{len}(n) \leq \text{len}(\exp(m, a))]$	similarly
67.	$\exists n[\text{Termseq}(n, \exp(m, a)) \wedge (\forall i < \text{len}(n)) \exp(n, i) \leq \exp(m, a) \wedge \text{len}(n) \leq \text{len}(\exp(m, a))]$	12,13-66 \vee E
68.	$\text{len}(\exp(m, a)) \leq Sx \rightarrow \exists n[\text{Termseq}(n, \exp(m, a)) \wedge (\forall i < \text{len}(n)) \exp(n, i) \leq \exp(m, a) \wedge \text{len}(n) \leq \text{len}(\exp(m, a))]$	10-67 \rightarrow I
69.	$(\forall k < \text{len}(m)) \{ \text{len}(\exp(m, k)) \leq Sx \rightarrow \exists n[\text{Termseq}(n, \exp(m, k)) \wedge (\forall i < \text{len}(n)) \exp(n, i) \leq \exp(m, k) \wedge \text{len}(n) \leq \text{len}(\exp(m, k))]\}$	6-68 (\forall I)
70.	\mathcal{P}_{Sx}^x	69 abv
71.	$\mathcal{P} \rightarrow \mathcal{P}_{Sx}^x$	4-70 \rightarrow I
72.	$\forall x(\forall k < \text{len}(m)) \{ \text{len}(\exp(m, k)) \leq x \rightarrow \exists n[\text{Termseq}(n, \exp(m, k)) \wedge (\forall i < \text{len}(n)) \exp(n, i) \leq \exp(m, k) \wedge \text{len}(n) \leq \text{len}(\exp(m, k))]\}$	2, 71 IN
73.	$\text{Termseq}(m, t) \rightarrow \forall x(\forall k < \text{len}(\exp(m, k)) \leq x \rightarrow \exists n[\text{Termseq}(n, \exp(m, k)) \wedge (\forall i < \text{len}(n)) \exp(n, i) \leq \exp(m, k) \wedge \text{len}(n) \leq \text{len}(\exp(m, k))])$	1-72 \rightarrow I

T13.48.n. $\text{PA} \vdash \text{Termseq}(m, t) \rightarrow (\forall i < \text{len}(m)) \text{Term}(\text{exp}(m, i))$

1.	$Termseq(m, t)$	A ($g \rightarrow I$)
2.	$exp(m, len(m) \dot{-} 1) = t$	1 T13.48a
3.	$m > 1$	1 T13.48a
4.	$(\forall k < len(m))[A(m, k) \vee B(m, k) \vee C(m, k) \vee D(m, k)]$	1 T13.48a
5.	$\emptyset < len(m)$	3 T13.45j
6.	$A(m, \emptyset) \vee B(m, \emptyset) \vee C(m, \emptyset) \vee D(m, \emptyset)$	4,5 ($\vee E$)
7.	$A(m, \emptyset)$	A (g 6 $\vee E$)
8.	$exp(m, \emptyset) = \overline{r}\emptyset \overline{r} \vee Var(exp(m, \emptyset))$	7 abv
9.	$Termseq(\overline{2}^{exp(m, \emptyset)}, exp(m, \emptyset))$	8 T13.48g,h
10.	$\exists x Termseq(x, exp(m, \emptyset))$	9 $\exists I$
11.	$B(m, \emptyset)$	A (g 6 $\vee E$)
12.	$\sim \exists x Termseq(x, exp(m, \emptyset))$	A ($c \sim E$)
13.	$(\exists j < \emptyset) exp(m, \emptyset) = \overline{r}S \overline{r} * exp(m, j)$	11 abv
14.	$exp(m, \emptyset) = \overline{r}S \overline{r} * exp(m, j)$	A (c 13 ($\exists E$))
15.	$j < \emptyset$	
16.	$j \neq \emptyset$	T13.13d,r
17.	\perp	15,16 $\perp I$
18.	\perp	13,14-17 ($\exists E$)
19.	$\exists x Termseq(x, exp(m, \emptyset))$	12-18 $\sim E$
20.	$C(m, \emptyset)$	A (g 6 $\vee E$)
21.	$\exists x Termseq(x, exp(m, \emptyset))$	similarly
22.	$D(m, \emptyset)$	A (g 6 $\vee E$)
23.	$\exists x Termseq(x, exp(m, \emptyset))$	similarly
24.	$\exists x Termseq(x, exp(m, \emptyset))$	6,7-23 $\vee E$
25.	$\emptyset < len(m) \rightarrow \exists x Termseq(x, exp(m, \emptyset))$	24 $\vee I$
26.	$(\forall z \leq k)[z < len(m) \rightarrow \exists x Termseq(x, exp(m, z))]$	A ($g \rightarrow I$)
27.	$Sk < len(m)$	A ($g \rightarrow I$)
28.	$k < len(m)$	27 T13.13l
29.	$A(m, Sk) \vee B(m, Sk) \vee C(m, Sk) \vee D(m, Sk)$	4,27 ($\vee E$)
30.	$A(m, Sk)$	A (g 29 $\vee E$)
31.	$exp(m, Sk) = \overline{r}\emptyset \overline{r} \vee Var(exp(m, Sk))$	30 abv
32.	$Termseq(\overline{2}^{exp(m, Sk)}, exp(m, Sk))$	31 T13.48g,h
33.	$\exists x Termseq(x, exp(m, Sk))$	32 $\exists I$
34.	$B(m, Sk)$	A (g 29 $\vee E$)
35.	$(\exists j < Sk) exp(m, Sk) = \overline{r}S \overline{r} * exp(m, j)$	34 abv
36.	$exp(m, Sk) = \overline{r}S \overline{r} * exp(m, a)$	A (g 35 ($\exists E$))
37.	$a < Sk$	
38.	$a \leq k$	37 T13.13n
39.	$a < len(m)$	38,28 T13.13c
40.	$\exists x Termseq(x, exp(m, a))$	26,38,39 ($\vee E$)
41.	$Termseq(n, exp(m, a))$	A (g 40 $\exists E$)
42.	$Termseq(n * \overline{2}^{\overline{r}S \overline{r} * exp(m, a)}, \overline{r}S \overline{r} * exp(m, a))$	41 T13.48i
43.	$\exists x Termseq(x, \overline{r}S \overline{r} * exp(m, a))$	42 $\exists I$
44.	$\exists x Termseq(x, exp(m, Sk))$	43,36 $=E$
45.	$\exists x Termseq(x, exp(m, Sk))$	40,41-44 $\exists E$
46.	$\exists x Termseq(x, exp(m, Sk))$	35,36-45 ($\exists E$)
47.	$C(m, Sk)$	A (g 29 $\vee E$)
48.	$\exists x Termseq(x, exp(m, Sk))$	similarly
49.	$D(m, Sk)$	A (g 29 $\vee E$)
50.	$\exists x Termseq(x, exp(m, Sk))$	similarly
51.	$\exists x Termseq(x, exp(m, Sk))$	29,30-50 $\vee E$
52.	$Sk < len(m) \rightarrow \exists x Termseq(x, exp(m, Sk))$	27-51 $\rightarrow I$
53.	$(\forall z \leq k)[z < len(m) \rightarrow \exists x Termseq(x, exp(m, z))]$	26-52 $\rightarrow I$
54.	$\forall k[k < len(m) \rightarrow \exists x Termseq(x, exp(m, k))]$	25,53 T13.13ag
55.	$a < len(m)$	A (g ($\forall I$))
56.	$\exists x Termseq(x, exp(m, a))$	55 ($\vee E$)
57.	$Term(exp(m, a))$	56 T13.48m
58.	$(\forall i < len(m)) Term(exp(m, i))$	55-57 ($\forall I$)
59.	$Termseq(m, t) \rightarrow (\forall i < len(m)) Term(exp(m, i))$	1-59 $\rightarrow I$

Exercise 13.33 T13.48.n

E13.35. Work the K and M cases from T13.50l. Hard core: show each of the results from T13.50.

T13.50.

T13.50.i. $\text{PA} \vdash \mathcal{T}\text{subseq}(m, n, t, v, s, u) \rightarrow \mathcal{T}\text{subseq}(m * 2^{\overline{\ulcorner S \urcorner} * t}, n * 2^{\overline{\ulcorner S \urcorner} * u}, \overline{\ulcorner S \urcorner} * t, v, s, \overline{\ulcorner S \urcorner} * u)$

1.	$T_{\text{subseq}}(m, n, t, v, s, u)$	A ($g \rightarrow I$)
2.	$Termseq(m, t)$	1 T13.50a
3.	$len(m) = len(n)$	1 T13.50a
4.	$exp(n, len(n)) \dot{-} \bar{1} = u$	1 T13.50a
5.	$(\forall k < len(m))(I(m, n, k) \vee J(v, m, n, k) \vee K(v, s, m, n, k) \vee L(m, n, k) \vee M(m, n, k) \vee N(m, n, k))$	1 T13.50a
6.	$Termseq(m * 2^{\overline{S^{\neg} * t}}, \overline{S^{\neg} * t})$	2 T13.48i
7.	$\overline{S^{\neg}} > \emptyset$	cap
8.	$\overline{S^{\neg}} * t > \emptyset \wedge \overline{S^{\neg}} * u > \emptyset$	7 T13.47n
9.	$len(2^{\overline{S^{\neg} * t}}) = \bar{1} \wedge len(2^{\overline{S^{\neg} * u}}) = \bar{1}$	8 T13.45k
10.	$len(m * 2^{\overline{S^{\neg} * t}}) = len(m) + \bar{1} \wedge len(n * 2^{\overline{S^{\neg} * u}}) = len(n) + \bar{1}$	9 T13.47f
11.	$len(m * 2^{\overline{S^{\neg} * t}}) = len(n * 2^{\overline{S^{\neg} * u}})$	10,3 =E
12.	$len(n * 2^{\overline{S^{\neg} * u}}) \dot{-} \bar{1} = len(n)$	10 T13.23k
13.	$exp(n * 2^{\overline{S^{\neg} * u}}, len(n)) = exp(2^{\overline{S^{\neg} * u}}, \emptyset)$	T13.47g
14.	$exp(2^{\overline{S^{\neg} * u}}, \emptyset) = \overline{S^{\neg}} * u$	T13.44i
15.	$exp(n * 2^{\overline{S^{\neg} * u}}, len(n * 2^{\overline{S^{\neg} * u}}) \dot{-} \bar{1}) = \overline{S^{\neg}} * u$	12,13,14 =E
16.	$ l < len(m * 2^{\overline{S^{\neg} * t}}) $	A ($g (\forall I)$)
17.	$ l < S len(m) $	10,16 =E
18.	$ l < len(m) \vee l = len(m) $	17 T13.13n
19.	$ l < len(m) $	A (g 18vE)
20.	$exp(m * 2^{\overline{S^{\neg} * t}}, l) = exp(m, l) \wedge exp(n * 2^{\overline{S^{\neg} * u}}, l) = exp(n, l)$	19,3 T13.47c
21.	$I(m, n, l) \vee J(v, m, n, l) \vee K(v, s, m, n, l) \vee L(m, n, l) \vee M(m, n, l) \vee N(m, n, l)$	5,19 ($\forall E$)
22.	$ I(m, n, l) $	A (g 21vE)
23.	$exp(m, l) = \overline{\emptyset^{\neg}} \wedge exp(n, l) = \overline{\emptyset^{\neg}}$	22 abv
24.	$exp(m * 2^{\overline{S^{\neg} * t}}, l) = \overline{\emptyset^{\neg}} \wedge exp(n * 2^{\overline{S^{\neg} * u}}, l) = \overline{\emptyset^{\neg}}$	20,23 =E
25.	$I(m * 2^{\overline{S^{\neg} * t}}, n * 2^{\overline{S^{\neg} * u}}, l)$	24 abv
26.	$I \vee J \vee K \vee L \vee M \vee N(v, s, m * 2^{\overline{S^{\neg} * t}}, n * 2^{\overline{S^{\neg} * u}}, l)$	25 vI
27.	$J(v, m, n, l) \vee K(v, s, m, n, l)$	A (g 21vE)
28.	$I \vee J \vee K \vee L \vee M \vee N(v, s, m * 2^{\overline{S^{\neg} * t}}, n * 2^{\overline{S^{\neg} * u}}, l)$	similarly
29.	$ L(m, n, l) $	A (g 21vE)
30.	$(\exists i < l)[exp(m, l) = \overline{S^{\neg}} * exp(m, i) \wedge exp(n, l) = \overline{S^{\neg}} * exp(n, i)]$	29 abv
31.	$ i < l $	A (g 30 ($\exists E$))
32.	$exp(m, l) = \overline{S^{\neg}} * exp(m, i) \wedge exp(n, l) = \overline{S^{\neg}} * exp(n, i)$	
33.	$i < len(m) \wedge i < len(n)$	31,19,3 T13.13b
34.	$exp(m * 2^{\overline{S^{\neg} * t}}, i) = exp(m, i) \wedge exp(n * 2^{\overline{S^{\neg} * u}}, i) = exp(n, i)$	33 T13.47c
35.	$exp(m * 2^{\overline{S^{\neg} * t}}, l) = \overline{S^{\neg}} * exp(m * 2^{\overline{S^{\neg} * t}}, i) \wedge exp(n * 2^{\overline{S^{\neg} * u}}, l) = \overline{S^{\neg}} * exp(n * 2^{\overline{S^{\neg} * u}}, i)]$	32,20,34 =E
36.	$(\exists i < l)[exp(m * 2^{\overline{S^{\neg} * t}}, l) = \overline{S^{\neg}} * exp(m * 2^{\overline{S^{\neg} * t}}, i) \wedge exp(n * 2^{\overline{S^{\neg} * u}}, l) = \overline{S^{\neg}} * exp(n * 2^{\overline{S^{\neg} * u}}, i)]$	31,35 ($\exists I$)
37.	$L(m * 2^{\overline{S^{\neg} * t}}, n * 2^{\overline{S^{\neg} * u}}, l)$	36 abv
38.	$L(m * 2^{\overline{S^{\neg} * t}}, n * 2^{\overline{S^{\neg} * u}}, l)$	30,31-37 ($\exists E$)
39.	$I \vee J \vee K \vee L \vee M \vee N(v, s, m * 2^{\overline{S^{\neg} * t}}, n * 2^{\overline{S^{\neg} * u}}, l)$	38 vI
40.	$ M(m, n, l) \vee N(m, n, l) $	A (g 21vE)
41.	$ I \vee J \vee K \vee L \vee M \vee N(v, s, m * 2^{\overline{S^{\neg} * t}}, n * 2^{\overline{S^{\neg} * u}}, l) $	similarly
42.	$I \vee J \vee K \vee L \vee M \vee N(v, s, m * 2^{\overline{S^{\neg} * t}}, n * 2^{\overline{S^{\neg} * u}}, l)$	21,22-41 $\vee E$

43.	$ l = \text{len}(m)$	A (g 18vE)
44.	$ l = \text{len}(n)$	43,3 =E
45.	$m > \bar{1}$	2 T13.48a
46.	$\text{len}(m) > \emptyset$	45 T13.45j
47.	$\text{len}(m) \dot{-} \bar{1} < \text{len}(m)$	46 T13.23i
48.	$\text{len}(m) \dot{-} \bar{1} < \text{len}(n)$	47,3 =E
49.	$\text{len}(m) \dot{-} \bar{1} < l$	47,43 =E
50.	$\text{exp}(m * 2^{\overline{S^{\bar{1}}*t}}, \text{len}(m) \dot{-} \bar{1}) = \text{exp}(m, \text{len}(m) \dot{-} \bar{1})$	47 T13.47c
51.	$\text{exp}(m, \text{len}(m) \dot{-} \bar{1}) = t$	2 T13.48a
52.	$\text{exp}(m * 2^{\overline{S^{\bar{1}}*t}}, \text{len}(m) \dot{-} \bar{1}) = t$	50,51 =E
53.	$\text{exp}(m * 2^{\overline{S^{\bar{1}}*t}}, \text{len}(m)) = \text{exp}(2^{\overline{S^{\bar{1}}*t}}, \emptyset)$	T13.47g
54.	$\text{exp}(2^{\overline{S^{\bar{1}}*t}}, \emptyset) = \overline{S^{\bar{1}}} * t$	T13.44i
55.	$\text{exp}(m * 2^{\overline{S^{\bar{1}}*t}}, \text{len}(m)) = \overline{S^{\bar{1}}} * t$	53,54 =E
56.	$\text{exp}(m * 2^{\overline{S^{\bar{1}}*t}}, l) = \overline{S^{\bar{1}}} * \text{exp}(m * 2^{\overline{S^{\bar{1}}*t}}, \text{len}(m) \dot{-} \bar{1})$	55,43,52 =E
57.	$\text{exp}(n * 2^{\overline{S^{\bar{1}}*u}}, \text{len}(m) \dot{-} \bar{1}) = \text{exp}(n, \text{len}(m) \dot{-} \bar{1})$	48 T13.47c
58.	$\text{exp}(n, \text{len}(m) \dot{-} \bar{1}) = u$	3,4 =E
59.	$\text{exp}(n * 2^{\overline{S^{\bar{1}}*u}}, \text{len}(m) \dot{-} \bar{1}) = u$	57,58 =E
60.	$\text{exp}(n * 2^{\overline{S^{\bar{1}}*u}}, \text{len}(n)) = \text{exp}(2^{\overline{S^{\bar{1}}*u}}, \emptyset)$	T13.47g
61.	$\text{exp}(2^{\overline{S^{\bar{1}}*u}}, \emptyset) = \overline{S^{\bar{1}}} * u$	T13.44i
62.	$\text{exp}(n * 2^{\overline{S^{\bar{1}}*t}}, \text{len}(m)) = \overline{S^{\bar{1}}} * u$	3,60,61 =E
63.	$\text{exp}(n * 2^{\overline{S^{\bar{1}}*u}}, l) = \overline{S^{\bar{1}}} * \text{exp}(n * 2^{\overline{S^{\bar{1}}*u}}, \text{len}(m) \dot{-} \bar{1})$	62,43,59 =E
64.	$(\exists i < l)[\text{exp}(m * 2^{\overline{S^{\bar{1}}*t}}, l) = \overline{S^{\bar{1}}} * \text{exp}(m * 2^{\overline{S^{\bar{1}}*t}}, i) \wedge \text{exp}(n * 2^{\overline{S^{\bar{1}}*u}}, l) = \overline{S^{\bar{1}}} * \text{exp}(n * 2^{\overline{S^{\bar{1}}*u}}, i)]$	56,63,49 (\exists I)
65.	$L(m * 2^{\overline{S^{\bar{1}}*t}}, n * 2^{\overline{S^{\bar{1}}*u}}, l)$	64 abv
66.	$I \vee J \vee K \vee L \vee M \vee N(v, s, m * 2^{\overline{S^{\bar{1}}*t}}, n * 2^{\overline{S^{\bar{1}}*u}}, l)$	65 \vee I
67.	$I \vee J \vee K \vee L \vee M \vee N(v, s, m * 2^{\overline{S^{\bar{1}}*t}}, n * 2^{\overline{S^{\bar{1}}*u}}, l)$	18,19-66 \vee E
68.	$(\forall k < \text{len}(m * 2^{\overline{S^{\bar{1}}*t}}))I \vee J \vee K \vee L \vee M \vee N(v, s, m * 2^{\overline{S^{\bar{1}}*t}}, n * 2^{\overline{S^{\bar{1}}*u}}, k)$	16-67 (\forall I)
69.	$T\text{subseq}(m * 2^{\overline{S^{\bar{1}}*t}}, n * 2^{\overline{S^{\bar{1}}*u}}, \overline{S^{\bar{1}}} * t, v, s, \overline{S^{\bar{1}}} * u)$	6,11,15,68 T13.50a
70.	$T\text{subseq}(m, n, t, v, s, u) \rightarrow T\text{subseq}(m * 2^{\overline{S^{\bar{1}}*t}}, n * 2^{\overline{S^{\bar{1}}*u}}, \overline{S^{\bar{1}}} * t, v, s, \overline{S^{\bar{1}}} * u)$	1-69 \rightarrow I

T13.50.I. $\text{PA} \vdash T\text{subseq}(m, n, t, v, s, u) \rightarrow \text{Termsub}(t, v, s, u)$

Let $\mathcal{P}(m, n, v, s, k) = \exists a \exists b [T\text{subseq}(a, b, \text{exp}(m, k), v, s, \text{exp}(n, k)) \wedge \text{len}(a) \leq \text{len}(\text{exp}(m, k)) \wedge (\forall i < \text{len}(a))(\text{exp}(a, i) \leq \text{exp}(m, k) \wedge \text{exp}(b, i) \leq \text{exp}(n, k))]$

1.	$T_{\text{subseq}}(m, n, t, v, s, u)$	A ($g \rightarrow I$)
2.	$Termseq(m, t)$	1 T13.50a
3.	$len(m) = len(n)$	1 T13.50a
4.	$exp(n, len(n) \dot{-} 1) = u$	1 T13.50a
5.	$(\forall k < len(m))(I(m, n, k) \vee J(v, m, n, k) \vee K(v, s, m, n, k) \vee L(m, n, k) \vee M(m, n, k) \vee N(m, n, k))$	1 T13.50a
6.	$exp(m, len(m) \dot{-} 1) = t$	2 T13.48a
7.	$m > 1$	2 T13.48a
8.	$(\forall k < len(m))[A(m, k) \vee B(m, k) \vee C(m, k) \vee D(m, k)]$	2 T13.48a
9.	$k < len(m)$	A (g ($\forall I$))
10.	$len(exp(m, k)) \leq \emptyset$	A ($g \rightarrow I$)
11.	$\sim \mathcal{P}$	A ($g \sim E$)
12.	$exp(m, k) > 1$	2,9 T13.48e
13.	$exp(m, k) \neq 1$	10 T13.45j
14.	\perp	12,13 $\perp I$
15.	\mathcal{P}	11-14 $\sim E$
16.	$len(exp(m, k)) \leq \emptyset \rightarrow \mathcal{P}$	10-15 $\rightarrow I$
17.	$(\forall k < len(m))[len(exp(m, k)) \leq \emptyset \rightarrow \mathcal{P}]$	9-16 ($\forall I$)
18.	$(\forall k < len(m))[len(exp(m, k)) \leq x \rightarrow \mathcal{P}]$	A ($g \rightarrow I$)
19.	$j < len(m)$	A (g ($\forall I$))
20.	$len(exp(m, j)) \leq Sx$	A ($g \rightarrow I$)
21.	$exp(m, j) > 1$	2,19 T13.48e
22.	$len(exp(m, j)) \geq 1$	21 T13.45j
23.	$I(m, n, j) \vee J(v, m, n, j) \vee K(v, s, m, n, j) \vee L(m, n, j) \vee M(m, n, j) \vee N(m, n, j)$	5,19 ($\forall E$)
24.	$I(m, n, j)$	A (g 23 $\vee E$)
25.	$exp(m, j) = \overline{\emptyset}^{\overline{\emptyset}^{\overline{\emptyset}}} \wedge exp(n, j) = \overline{\emptyset}^{\overline{\emptyset}^{\overline{\emptyset}}}$	24 abv
26.	$T_{\text{subseq}}(2^{\overline{\emptyset}^{\overline{\emptyset}^{\overline{\emptyset}}}}, 2^{\overline{\emptyset}^{\overline{\emptyset}^{\overline{\emptyset}}}}, exp(m, j), v, s, exp(n, j))$	25 T13.50f
27.	$len(2^{\overline{\emptyset}^{\overline{\emptyset}^{\overline{\emptyset}}}}) = 1$	cap
28.	$len(2^{\overline{\emptyset}^{\overline{\emptyset}^{\overline{\emptyset}}}}) \leq len(exp(m, j))$	22,27 $=E$
29.	$l < len(2^{\overline{\emptyset}^{\overline{\emptyset}^{\overline{\emptyset}}}})$	A (g ($\forall I$))
30.	$l = \emptyset$	27,29 T8.16
31.	$exp(2^{\overline{\emptyset}^{\overline{\emptyset}^{\overline{\emptyset}}}}, \emptyset) = \overline{\emptyset}^{\overline{\emptyset}^{\overline{\emptyset}}}$	T13.44i
32.	$exp(2^{\overline{\emptyset}^{\overline{\emptyset}^{\overline{\emptyset}}}}, l) \leq exp(m, j)$	25,31 $=E$
33.	$exp(2^{\overline{\emptyset}^{\overline{\emptyset}^{\overline{\emptyset}}}}, l) \leq exp(n, j)$	25,31 $=E$
34.	$exp(2^{\overline{\emptyset}^{\overline{\emptyset}^{\overline{\emptyset}}}}, l) \leq exp(m, j) \wedge exp(2^{\overline{\emptyset}^{\overline{\emptyset}^{\overline{\emptyset}}}}, l) \leq exp(n, j)$	32,33 $\wedge I$
35.	$(\forall i < len(2^{\overline{\emptyset}^{\overline{\emptyset}^{\overline{\emptyset}}}}))(exp(2^{\overline{\emptyset}^{\overline{\emptyset}^{\overline{\emptyset}}}}, i) \leq exp(m, j) \wedge exp(2^{\overline{\emptyset}^{\overline{\emptyset}^{\overline{\emptyset}}}}, i) \leq exp(n, j))$	29-34 ($\forall I$)
36.	\mathcal{P}_j^k	26,28,35 $\exists I$

37.	$J(v, m, n, j)$	A (g 23VE)
38.	$\overline{\text{Var}}(\exp(m, j)) \wedge \exp(m, j) \neq v \wedge \exp(n, j) = \exp(m, j)$	37 abv
39.	$\text{Tsubseq}(\overline{2}^{\exp(m, j)}, \overline{2}^{\exp(n, j)}, \exp(m, j), v, s, \exp(n, j))$	38 T13.50g
40.	$\text{len}(\overline{2}^{\exp(m, j)}) = \bar{1}$	21 T13.45k
41.	$\text{len}(\overline{2}^{\exp(m, j)}) \leq \text{len}(\exp(m, j))$	22,40 =E
42.	$l < \text{len}(\overline{2}^{\exp(m, j)})$	A (g $(\forall I)$)
43.	$l = \emptyset$	40,42 T8.16
44.	$\exp(\overline{2}^{\exp(m, j)}, \emptyset) = \exp(m, j) \wedge \exp(\overline{2}^{\exp(n, j)}, \emptyset) = \exp(n, j)$	T13.44i
45.	$\exp(\overline{2}^{\exp(m, j)}, \emptyset) \leq \exp(m, j) \wedge \exp(\overline{2}^{\exp(n, j)}, \emptyset) \leq \exp(n, j)$	44 T13.13m
46.	$\exp(\overline{2}^{\exp(m, j)}, l) \leq \exp(m, j) \wedge \exp(\overline{2}^{\exp(n, j)}, l) \leq \exp(n, j)$	43,45 =E
47.	$(\forall i < \text{len}(\overline{2}^{\exp(m, j)}))(\exp(\overline{2}^{\exp(m, j)}, i) \leq \exp(m, j) \wedge \exp(\overline{2}^{\exp(n, j)}, i) \leq \exp(n, j))$	42-46 $(\forall I)$
48.	\mathcal{P}_j^k	39,41,47 $\exists I$
49.	$K(v, s, m, n, j)$	A (g 23VE)
50.	\mathcal{P}_j^k	similarly
51.	$L(m, n, j)$	A (g 23VE)
52.	$(\exists i < j)[\exp(m, j) = \overline{\Gamma S}^{\neg} * \exp(m, i) \wedge \exp(n, j) = \overline{\Gamma S}^{\neg} * \exp(n, i)]$	51 abv
53.	$l < j$	A (g 52 $(\exists E)$)
54.	$\exp(m, j) = \overline{\Gamma S}^{\neg} * \exp(m, l)$	
55.	$\exp(n, j) = \overline{\Gamma S}^{\neg} * \exp(n, l)$	
56.	$l < \text{len}(m)$	19,53 T13.13b
57.	$\text{len}(\overline{\Gamma S}^{\neg}) = \bar{1}$	cap
58.	$\text{len}(\overline{\Gamma S}^{\neg} * \exp(m, l)) = \bar{1} + \text{len}(\exp(m, l))$	57 T13.47f
59.	$\text{len}(\exp(m, l)) < \text{len}(\exp(m, j))$	54,58 def
60.	$\text{len}(\exp(m, l)) \leq x$	20,59 T13.13n
61.	\mathcal{P}_l^k	18,56,60 $(\forall E)$
62.	$\text{Tsubseq}(c, d, \exp(m, l), v, s, \exp(n, l))$	A (g 61 $\exists E$)
63.	$\text{len}(c) \leq \text{len}(\exp(m, l))$	
64.	$(\forall i < \text{len}(c))(\exp(c, i) \leq \exp(m, l) \wedge \exp(d, i) \leq \exp(n, l))$	
65.	$\text{len}(c) = \text{len}(d)$	62 T13.50a
66.	$\text{Tsubseq}(c * \overline{2}^{\exp(m, j)}, d * \overline{2}^{\exp(n, j)}, \exp(m, j), v, s, \exp(n, j))$	54,55,62 T13.50i
67.	$\text{len}(\overline{\Gamma S}^{\neg} * \exp(n, l)) = \bar{1} + \text{len}(\exp(n, l))$	57 T13.47f
68.	$\text{len}(\overline{\Gamma S}^{\neg} * \exp(n, l)) \geq \bar{1}$	67 T13.13u
69.	$\overline{\Gamma S}^{\neg} * \exp(n, l) > \bar{1}$	68 T13.45g
70.	$\exp(n, j) > \bar{1}$	55,69 =E
71.	$\text{len}(\overline{2}^{\exp(m, j)}) = \bar{1} \wedge \text{len}(\overline{2}^{\exp(n, j)}) = \bar{1}$	21,70 T13.45k
72.	$\text{len}(c * \overline{2}^{\exp(m, j)}) = \text{len}(c) + 1 \wedge \text{len}(d * \overline{2}^{\exp(n, j)}) = \text{len}(d) + 1$	71 T13.47f
73.	$\text{len}(c * \overline{2}^{\exp(m, j)}) = \text{len}(d * \overline{2}^{\exp(n, j)})$	65,72 =E
74.	$\text{len}(\exp(m, j)) = \bar{1} + \text{len}(\exp(m, l))$	54,58 =E
75.	$\text{len}(c) + \bar{1} \leq \text{len}(\exp(m, l)) + \bar{1}$	63 T13.13v
76.	$\text{len}(c * \overline{2}^{\exp(m, j)}) \leq \text{len}(\exp(m, j))$	72,74,75 =E
77.	$q < \text{len}(c * \overline{2}^{\exp(m, j)})$	A (g $(\forall I)$)
78.	$q < S \text{len}(c)$	72,77 =E
79.	$q < \text{len}(c) \vee q = \text{len}(c)$	78 T13.13n
80.	$q < \text{len}(c)$	A (g 79VE)
81.	$q < \text{len}(d)$	80,65 =E
82.	$\exp(c, q) \leq \exp(m, l) \wedge \exp(d, q) \leq \exp(n, l)$	64,80 $(\forall E)$
83.	$\exp(c, q) \leq \exp(m, j) \wedge \exp(d, q) \leq \exp(n, j)$	54,55,82 T13.47o
84.	$\exp(c * \overline{2}^{\exp(m, j)}, q) = \exp(c, q) \wedge \exp(d * \overline{2}^{\exp(n, j)}, q) = \exp(d, q)$	80,81 T13.47c
85.	$\exp(c * \overline{2}^{\exp(m, j)}, q) \leq \exp(m, j) \wedge \exp(d * \overline{2}^{\exp(n, j)}, q) \leq \exp(n, j)$	83,84 =E
86.	$q = \text{len}(c)$	A (g 79VE)
87.	$\exp(c * \overline{2}^{\exp(m, j)}, q) = \exp(\overline{2}^{\exp(m, j)}, \emptyset) \wedge \exp(d * \overline{2}^{\exp(n, j)}, q) = \exp(\overline{2}^{\exp(n, j)}, \emptyset)$	86,65 T13.47g
88.	$\exp(\overline{2}^{\exp(m, j)}, \emptyset) = \exp(m, j) \wedge \exp(\overline{2}^{\exp(n, j)}, \emptyset) = \exp(n, j)$	T13.44i
89.	$\exp(c * \overline{2}^{\exp(m, j)}, q) \leq \exp(m, j) \wedge \exp(d * \overline{2}^{\exp(n, j)}, q) \leq \exp(n, j)$	87,86 =E
90.	$\exp(c * \overline{2}^{\exp(m, j)}, q) \leq \exp(m, j) \wedge \exp(d * \overline{2}^{\exp(n, j)}, q) \leq \exp(n, j)$	79,80-89 VE
91.	$(\forall i < \text{len}(c * \overline{2}^{\exp(m, j)}))(\exp(c * \overline{2}^{\exp(m, j)}, i) \leq \exp(m, j) \wedge \exp(d * \overline{2}^{\exp(n, j)}, i) \leq \exp(n, j))$	77-90 $(\forall I)$
92.	\mathcal{P}_j^k	66,73,76,91 $\exists I$
93.	\mathcal{P}_j^k	61,62-92 $\exists E$
94.	\mathcal{P}_j^k	52,53-93 $(\exists E)$

95.	$M(m, n, j)$	A (g 23∨E)
96.	\mathcal{P}_j^k	similarly
97.	$N(m, n, j)$	A (g 23∨E)
98.	\mathcal{P}_j^k	similarly
99.	\mathcal{P}_j^k	23,24-98 ∨E
100.	$\text{len}(\text{exp}(m, j)) \leq Sx \rightarrow \mathcal{P}_j^k$	20-99 \rightarrow I
101.	$(\forall k < \text{len}(m))[\text{len}(\text{exp}(m, k)) \leq Sx \rightarrow \mathcal{P}]$	19-100 (\forall I)
102.	$(\forall k < \text{len}(m))[\text{len}(\text{exp}(m, k)) \leq x \rightarrow \mathcal{P}] \rightarrow (\forall k < \text{len}(m))[\text{len}(\text{exp}(m, k)) \leq Sx \rightarrow \mathcal{P}]$	18-101 \rightarrow I
103.	$\forall x(\forall k < \text{len}(m))[\text{len}(\text{exp}(m, k)) \leq x \rightarrow \mathcal{P}]$	17,102 IN
104.	$\text{len}(m) > \emptyset$	7 T13.45j
105.	$\text{len}(m) \dot{-} \bar{1} < \text{len}(m)$	104 T13.23i
106.	\mathcal{P}_j^k	103,105 (\forall E)
107.	$\text{len}(m) \dot{-} \bar{1}$	A (g 106,4,6 \exists E)
108.	$\text{Termseq}(a, b, t, v, s, u)$	
109.	$\text{len}(a) \leq \text{len}(t)$	
110.	$(\forall i < \text{len}(a))(\text{exp}(a, i) \leq t \wedge \text{exp}(b, i) \leq u)$	
111.	$\text{len}(a) = \text{len}(b)$	107 T13.50a
112.	$[\text{pi}(\text{len}(t))^t]^{\text{len}(t)} \geq \text{val}(a, \text{len}(a))$	108,109 T13.46o
113.	$[\text{pi}(\text{len}(t))^u]^{\text{len}(t)} \geq \text{val}(b, \text{len}(b))$	110,108,109 T13.46o
114.	$\text{Termseq}(a, t)$	107 T13.50a
115.	$a > \bar{1}$	113 T13.48a
116.	$\text{len}(a) > \emptyset$	114 T13.45j
117.	$\text{len}(b) > \emptyset$	115,110 $=$ E
118.	$b > \bar{1}$	116 T13.45g
119.	$a \leq [\text{pi}(\text{len}(t))^t]^{\text{len}(t)} \wedge b \leq [\text{pi}(\text{len}(t))^u]^{\text{len}(t)}$	111,112,114,117 T13.46n
120.	$(\exists x \leq X_t)(\exists y \leq Y_{t,u})\text{Termseq}(x, y, t, v, s, u)$	107,118 (\exists I)
121.	$\text{Termsub}(t, v, s, u)$	119 T13.50b
122.	$\text{Termsub}(t, v, s, u)$	106,107-120 \exists E
123.	$\text{Termseq}(m, n, t, v, s, u) \rightarrow \text{Termsub}(t, v, s, u)$	1-121 \rightarrow I

T13.50.m. $\text{PA} \vdash [\text{Term}(t) \wedge \text{Term}(s)] \rightarrow \exists u[\text{Termsub}(t, v, s, u) \wedge \text{len}(u) \leq \text{len}(t) \times \text{len}(s) \wedge (\forall k < \text{len}(u))\text{exp}(u, k) \leq t + s]$

Let $\mathcal{P}(m, i, v, s) = \exists x \exists y \exists u[\text{Termseq}(x, y, \text{exp}(m, i), v, s, u) \wedge \text{len}(u) \leq \text{len}(\text{exp}(m, i)) \times \text{len}(s) \wedge (\forall k < \text{len}(u))\text{exp}(u, k) \leq \text{exp}(m, i) + s]$

1.	$Term(t) \wedge Term(s)$	$A (g \rightarrow I)$
2.	$Termseq(m, t)$	1 T13.48b, $\exists E$
3.	$exp(m, len(m) \dot{-} \bar{1}) = t \wedge m > \bar{1} \wedge (\forall k < len(m))[A(m, k) \vee B(m, k) \vee C(m, k) \vee D(m, k)]$	2 T13.48a
4.	$len(m) > \emptyset$	3 T13.45j
5.	$len(m) \dot{-} \bar{1} \leq len(m)$	4 T13.23i
6.	$s > \bar{1}$	1 T13.48f
7.	$len(s) > \emptyset$	6 T13.45j
8.	$\emptyset < len(m)$	$A (g \rightarrow I)$
9.	$Term(exp(m, \emptyset))$	2,8 T13.48n
10.	$exp(m, \emptyset) > \bar{1}$	T13.48f
11.	$len(exp(m, \emptyset)) > \emptyset$	T13.45j
12.	$A(m, \emptyset) \vee B(m, \emptyset) \vee C(m, \emptyset) \vee D(m, \emptyset)$	3,8 ($\forall E$)
13.	$A(m, \emptyset)$	$A (g \rightarrow I)$
14.	$exp(m, \emptyset) = \ulcorner \emptyset \urcorner \vee Var(exp(m, \emptyset))$	13 abv
15.	$exp(m, \emptyset) = \ulcorner \emptyset \urcorner$	$A (g \rightarrow I)$
16.	$len(\ulcorner \emptyset \urcorner) = \bar{1}$	cap
17.	$Tsubseq(\bar{2}^{\ulcorner \emptyset \urcorner}, \bar{2}^{\ulcorner \emptyset \urcorner}, exp(m, \emptyset), v, s, \ulcorner \emptyset \urcorner)$	15 T13.50f
18.	$len(\ulcorner \emptyset \urcorner) \leq len(\ulcorner \emptyset \urcorner) \times len(s)$	7 T13.13z
19.	$len(\ulcorner \emptyset \urcorner) \leq len(exp(m, \emptyset)) \times len(s)$	15,18 $=E$
20.	$k < len(\ulcorner \emptyset \urcorner)$	$A (g \rightarrow I)$
21.	$exp(\ulcorner \emptyset \urcorner, k) \leq \ulcorner \emptyset \urcorner$	T13.44g
22.	$\ulcorner \emptyset \urcorner \leq \ulcorner \emptyset \urcorner + s$	T13.13u
23.	$exp(\ulcorner \emptyset \urcorner, k) \leq exp(m, \emptyset) + s$	15,21,22 T13.13a
24.	$(\forall k < len(\ulcorner \emptyset \urcorner)) exp(\ulcorner \emptyset \urcorner, k) \leq exp(m, \emptyset) + s$	20-23 ($\forall I$)
25.	$\mathcal{P}_{\emptyset}^i$	17,19,24 $\exists I$
26.	$Var(exp(m, \emptyset))$	$A (g \rightarrow I)$
27.	$exp(m, \emptyset) = v \vee exp(m, \emptyset) \neq v$	T3.1
28.	$exp(m, \emptyset) = v$	$A (g \rightarrow I)$
29.	$Tsubseq(\bar{2}^{exp(m, \emptyset)}, \bar{2}^{exp(m, \emptyset)}, exp(m, \emptyset), v, s, s)$	26 T13.50h
30.	$len(exp(m, \emptyset)) > \emptyset$	26 T13.48d
31.	$len(s) \leq len(exp(m, \emptyset)) \times len(s)$	30 T13.13z
32.	$k < len(s)$	$A (g \rightarrow I)$
33.	$exp(s, k) \leq s$	T13.44g
34.	$s \leq exp(m, \emptyset) + s$	T13.13u
35.	$exp(s, k) \leq exp(m, \emptyset) + s$	33,34 T13.13a
36.	$(\forall k < len(s)) exp(s, k) \leq exp(m, \emptyset) + s$	32-35 ($\forall I$)
37.	$\mathcal{P}_{\emptyset}^i$	29,31,36 $\exists I$
38.	$exp(m, \emptyset) \neq v$	$A (g \rightarrow I)$
39.	$Tsubseq(\bar{2}^{exp(m, \emptyset)}, \bar{2}^{exp(m, \emptyset)}, exp(m, \emptyset), v, s, exp(m, \emptyset))$	38 T13.50g
40.	$len(exp(m, \emptyset)) \leq len(exp(m, \emptyset)) \times len(s)$	7 T13.13z
41.	$k < len(exp(m, \emptyset))$	$A (g \rightarrow I)$
42.	$exp(exp(m, \emptyset), k) \leq exp(m, \emptyset)$	T13.44g
43.	$exp(m, \emptyset) \leq exp(m, \emptyset) + s$	T13.13u
44.	$exp(exp(m, \emptyset), k) \leq exp(m, \emptyset) + s$	42,43 T13.13a
45.	$(\forall k < len(exp(m, \emptyset))) exp(m, \emptyset, k) \leq exp(m, \emptyset) + s$	41-44 ($\forall I$)
46.	$\mathcal{P}_{\emptyset}^i$	39,40,45 $\exists I$
47.	$\mathcal{P}_{\emptyset}^i$	27,28-46 $\forall E$
48.	$\mathcal{P}_{\emptyset}^i$	14,15-47 $\forall E$
49.	$B(m, \emptyset) \vee C(m, \emptyset) \vee D(m, \emptyset)$	$A (g \rightarrow I)$
50.	$\mathcal{P}_{\emptyset}^i$	trivial
51.	$\mathcal{P}_{\emptyset}^i$	12,13-50 $\forall E$
52.	$\emptyset < len(m) \rightarrow \mathcal{P}_{\emptyset}^i$	8-51 $\rightarrow I$

53.	$(\forall z \leq i)(z < \text{len}(m) \rightarrow \mathcal{P}_z^i)$	A (g \rightarrow I)
54.	$Si < \text{len}(m)$	A (g \rightarrow I)
55.	$A(m, Si) \vee B(m, Si) \vee C(m, Si) \vee D(m, Si)$	3,54 (\vee E)
56.	$A(m, Si)$	A (g 55 \vee E)
57.	\mathcal{P}_{Si}^i	as above
58.	$B(m, Si)$	A (g 55 \vee E)
59.	$(\exists j < Si)\text{exp}(m, Si) = \overline{S}^{\neg} * \text{exp}(m, j)$	58 abv
60.	$\text{exp}(m, Si) = \overline{S}^{\neg} * \text{exp}(m, j)$	A (g 59 (\exists E))
61.	$j < Si$	
62.	$j \leq i$	61 T13.13n
63.	$j < \text{len}(m)$	54,61 T13.13b
64.	\mathcal{P}_j^i	53,62,63 (\vee E)
65.	$T_{\text{subseq}}(a, b, \text{exp}(m, j), v, s, r)$	A (g 64 \exists E)
66.	$\text{len}(r) \leq \text{len}(\text{exp}(m, j)) \times \text{len}(s)$	
67.	$(\forall k < \text{len}(r))\text{exp}(r, k) \leq \text{exp}(m, j) + s$	
68.	$T_{\text{subseq}}(a * 2^{\overline{S}^{\neg} * \text{exp}(m, j)}, b * 2^{\overline{S}^{\neg} * r}, \overline{S}^{\neg} * \text{exp}(m, j), v, s, \overline{S}^{\neg} * r)$	65 T13.50i
69.	$\text{len}(\overline{S}^{\neg}) = \bar{1}$	cap
70.	$\text{len}(\overline{S}^{\neg} * r) = \bar{1} + \text{len}(r)$	69 T13.47f
71.	$\text{len}(\overline{S}^{\neg} * \text{exp}(m, j)) = \bar{1} + \text{len}(\text{exp}(m, j))$	69 T13.47f
72.	$\bar{1} + \text{len}(r) \leq \bar{1} + \text{len}(\text{exp}(m, j)) \times \text{len}(s)$	66 T13.13v
73.	$\text{len}(\overline{S}^{\neg} * r) \leq \bar{1} + \text{len}(\text{exp}(m, j)) \times \text{len}(s)$	72,70 =E
74.	$\bar{1} + \text{len}(\text{exp}(m, j)) \times \text{len}(s) \leq \text{len}(s) + \text{len}(\text{exp}(m, j)) \times \text{len}(s)$	7 T13.13v
75.	$\text{len}(\overline{S}^{\neg} * r) \leq \text{len}(s) + \text{len}(\text{exp}(m, j)) \times \text{len}(s)$	73,74 T13.13a
76.	$\text{len}(\overline{S}^{\neg} * r) \leq [\bar{1} + \text{len}(\text{exp}(m, j))] \times \text{len}(s)$	75 T6.63
77.	$\text{len}(\overline{S}^{\neg} * r) \leq \text{len}(\text{exp}(m, Si)) \times \text{len}(s)$	60,71,76 =E
78.	$k < \text{len}(\overline{S}^{\neg} * r)$	A (g (\forall I))
79.	$k < \text{len}(\overline{S}^{\neg}) + \text{len}(r)$	78 T13.47f
80.	$k < \text{len}(\overline{S}^{\neg}) \vee k \geq \text{len}(\overline{S}^{\neg})$	T13.13q
81.	$k < \text{len}(\overline{S}^{\neg})$	A (g 80 \vee E)
82.	$\text{exp}(\overline{S}^{\neg} * r, k) = \text{exp}(\overline{S}^{\neg}, k)$	81 T13.47c
83.	$\text{exp}(\overline{S}^{\neg}, k) \leq \overline{S}^{\neg}$	T13.44g
84.	$\text{exp}(\overline{S}^{\neg} * r, k) \leq \overline{S}^{\neg}$	82,83 =E
85.	$\overline{S}^{\neg} \leq \overline{S}^{\neg} * \text{exp}(m, j)$	T13.47n
86.	$\overline{S}^{\neg} * \text{exp}(m, j) \leq \overline{S}^{\neg} * \text{exp}(m, j) + s$	T13.13u
87.	$\overline{S}^{\neg} * \text{exp}(m, j) \leq \text{exp}(m, Si) + s$	60,85 =E
88.	$\text{exp}(\overline{S}^{\neg} * r, k) \leq \text{exp}(m, Si) + s$	84,85,87 T13.13a
89.	$k \geq \text{len}(\overline{S}^{\neg})$	A (g 80 \vee E)
90.	$k = \text{len}(\overline{S}^{\neg}) + (k \dot{-} \text{len}(\overline{S}^{\neg}))$	89 T13.23a
91.	$\text{exp}(\overline{S}^{\neg} * r, k) = \text{exp}(r, k \dot{-} \text{len}(\overline{S}^{\neg}))$	90 T13.47g
92.	$k \dot{-} \text{len}(\overline{S}^{\neg}) < (\text{len}(\overline{S}^{\neg}) + \text{len}(r)) \dot{-} \text{len}(\overline{S}^{\neg})$	89,79 T13.23e
93.	$k \dot{-} \text{len}(\overline{S}^{\neg}) < \text{len}(r)$	92 T13.23l,b
94.	$\text{exp}(r, k \dot{-} \text{len}(\overline{S}^{\neg})) \leq \text{exp}(m, j) + s$	93,67 (\vee E)
95.	$\text{exp}(\overline{S}^{\neg} * r, k) \leq \text{exp}(m, j) + s$	91,94 =E
96.	$\text{exp}(m, j) \leq \overline{S}^{\neg} * \text{exp}(m, j)$	T13.47o
97.	$\text{exp}(m, j) \leq \text{exp}(m, Si)$	60,96 =E
98.	$\text{exp}(\overline{S}^{\neg} * r, k) \leq \text{exp}(m, Si) + s$	95,97 T13.13v
99.	$\text{exp}(\overline{S}^{\neg} * r, k) \leq \text{exp}(m, Si) + s$	80,81-98 \vee E
100.	$(\forall k < \text{len}(\overline{S}^{\neg} * r))\text{exp}(\overline{S}^{\neg} * r, k) \leq \text{exp}(m, Si) + s$	78-99 (\forall I)
101.	\mathcal{P}_{Si}^i	68,77,100 \exists I
102.	\mathcal{P}_{Si}^i	64,65-101 \exists E
103.	\mathcal{P}_{Si}^i	59,60-102 (\exists E)

104.				$C(m, Si)$	A (g 55 \vee E)
105.				\mathcal{P}_{Si}^i	similarly
106.				$D(m, Si)$	A (g 55 \vee E)
107.				\mathcal{P}_{Si}^i	similarly
108.				\mathcal{P}_{Si}^i	55,56-107 \vee E
109.				$Si < \text{len}(m) \rightarrow \mathcal{P}_{Si}^i$	54-108 \rightarrow I
110.				$[(\forall z \leq i)(z < \text{len}(m) \rightarrow \mathcal{P}_z^i)] \rightarrow [Si < \text{len}(m) \rightarrow \mathcal{P}_{Si}^i]$	53-109 \rightarrow I
111.				$\forall i [i < \text{len}(m) \rightarrow \mathcal{P}]$	52,110 T13.13ag
112.				$\exists x \exists y \exists u [T_{\text{subseq}}(x, y, t, v, s, u) \wedge \text{len}(u) \leq \text{len}(t) \times \text{len}(s) \wedge (\forall k < \text{len}(u)) \exp(u, k) \leq t + s]$	3,5,111 \forall E
113.				$T_{\text{subseq}}(x, y, t, v, s, u) \wedge \text{len}(u) \leq \text{len}(t) \times \text{len}(s) \wedge (\forall k < \text{len}(u)) \exp(u, k) \leq t + s$	A (g 112 \exists E)
114.				$T_{\text{termsub}}(t, v, s, u) \wedge \text{len}(u) \leq \text{len}(t) \times \text{len}(s) \wedge (\forall k < \text{len}(u)) \exp(u, k) \leq t + s$	113 T13.50l
115.				$\exists u [T_{\text{termsub}}(t, v, s, u) \wedge \text{len}(u) \leq \text{len}(t) \times \text{len}(s) \wedge (\forall k < \text{len}(u)) \exp(u, k) \leq t + s]$	114 \exists I
116.				$\exists u [T_{\text{termsub}}(t, v, s, u) \wedge \text{len}(u) \leq \text{len}(t) \times \text{len}(s) \wedge (\forall k < \text{len}(u)) \exp(u, k) \leq t + s]$	112,113-115 \exists E
117.				$[Term(t) \wedge Term(s)] \rightarrow \exists u [T_{\text{termsub}}(t, v, s, u) \wedge \text{len}(u) \leq \text{len}(t) \times \text{len}(s) \wedge (\forall k < \text{len}(u)) \exp(u, k) \leq t + s]$	1-116 \rightarrow I

T13.50.n. $\text{PA} \vdash [Atomic(p) \wedge Term(s)] \rightarrow \exists q [Atoms_{\text{sub}}(p, v, s, q) \wedge \text{len}(q) \leq \text{len}(p) \times \text{len}(s) \wedge (\forall k < \text{len}(q)) \exp(q, k) \leq p + s]$

1.	$Atomic(p) \wedge Term(s)$	A (g \rightarrow I)
2.	$s > \bar{1}$	1 T13.48f
3.	$len(s) > \emptyset$	2 T13.45j
4.	$(\exists x \leq p)(\exists y \leq p)[Term(x) \wedge Term(y) \wedge p = \overline{\overline{=}} * x * y]$	1 T13.49c
5.	$Term(a) \wedge Term(b)$	A (g 4 (\exists E))
6.	$p = \overline{\overline{=}} * a * b$	
7.	$a \leq p \wedge b \leq p$	
8.	$\exists a'[Termsub(a, v, s, a') \wedge len(a') \leq len(a) \times len(s) \wedge (\forall k < len(a'))exp(a', k) \leq a + s]$	1,5 T13.50m
9.	$\exists b'[Termsub(b, v, s, b') \wedge len(b') \leq len(b) \times len(s) \wedge (\forall k < len(b'))exp(b', k) \leq b + s]$	1,5 T13.50m
10.	$Termsub(a, v, s, a')$	A (g 8 \exists E)
11.	$len(a') \leq len(a) \times len(s)$	
12.	$(\forall k < len(a'))exp(a', k) \leq a + s$	
13.	$Termsub(b, v, s, b')$	A (g 9 \exists E)
14.	$len(b') \leq len(b) \times len(s)$	
15.	$(\forall k < len(b'))exp(b', k) \leq b + s$	
16.	$b' \leq \overline{\overline{=}} * a' * b'$	T13.47o
17.	$a' \leq \overline{\overline{=}} * a'$	T13.47o
18.	$\overline{\overline{=}} * a' \leq \overline{\overline{=}} * a' * b'$	T13.47n
19.	$a' \leq \overline{\overline{=}} * a' * b'$	17,18 T13.13a
20.	$a' \leq \overline{\overline{=}} * a' * b' \wedge b' \leq \overline{\overline{=}} * a' * b'$	19,16 \wedge I
21.	$Term(a) \wedge Term(b) \wedge p = \overline{\overline{=}} * a * b \wedge Termsub(a, v, s, a') \wedge Termsub(b, v, s, b') \wedge$ $\overline{\overline{=}} * a' * b' = \overline{\overline{=}} * a' * b'$	5,6,10,13 \wedge I 7,20,21 (\exists I) 3 T13.13z
22.	$Atomsub(p, v, s, \overline{\overline{=}} * a' * b')$	
23.	$len(\overline{\overline{=}}) \leq len(\overline{\overline{=}}) \times len(s)$	
24.	$len(\overline{\overline{=}} * a * b) = len(\overline{\overline{=}}) + len(a) + len(b)$	T13.47f
25.	$len(\overline{\overline{=}} * a' * b') = len(\overline{\overline{=}}) + len(a') + len(b')$	T13.47f
26.	$len(\overline{\overline{=}}) + len(a') + len(b') \leq len(\overline{\overline{=}}) \times len(s) + len(a) \times len(s) + len(b) \times len(s)$	23,11,14 T13.13v
27.	$len(\overline{\overline{=}}) \times len(s) + len(a) \times len(s) + len(b) \times len(s) = [len(\overline{\overline{=}}) + len(a) + len(b)] \times len(s)$	T6.63
28.	$len(\overline{\overline{=}}) + len(a') + len(b') \leq [len(\overline{\overline{=}}) + len(a) + len(b)] \times len(s)$	26,27 =E
29.	$len(\overline{\overline{=}} * a' * b') \leq len(\overline{\overline{=}} * a * b) \times len(s)$	28,25,24 =E
30.	$len(\overline{\overline{=}} * a' * b') \leq len(p) \times len(s)$	29,6 =E
31.	$j < len(\overline{\overline{=}} * a' * b')$	A (g (\forall I))
32.	$j < len(\overline{\overline{=}}) \vee j \geq len(\overline{\overline{=}})$	T13.13q
33.	$j < len(\overline{\overline{=}})$	A (g 32 \vee E)
34.	$exp(\overline{\overline{=}} * a' * b', j) = exp(\overline{\overline{=}}, j)$	33 T13.47c
35.	$exp(\overline{\overline{=}}, j) \leq \overline{\overline{=}}$	T13.44g
36.	$\overline{\overline{=}} \leq \overline{\overline{=}} * a * b$	T13.47n
37.	$\overline{\overline{=}} * a * b \leq \overline{\overline{=}} * a * b + s$	T13.13u
38.	$exp(\overline{\overline{=}}, j) \leq \overline{\overline{=}} * a * b + s$	35,36,37 T13.13a
39.	$exp(\overline{\overline{=}} * a' * b', j) \leq \overline{\overline{=}} * a * b + s$	34,38 =E

40.	$j \geq \text{len}(\overline{\overline{=}})$	A (g 32∨E)
41.	$\text{len}(\overline{\overline{=}}) = l_e$	def
42.	$j = l_e + (j \dot{-} l_e)$	40 T13.23a
43.	$\text{exp}(\overline{\overline{=}} * a' * b', j) = \text{exp}(a' * b', j \dot{-} l_e)$	42 T13.47g
44.	$j \dot{-} l_e < \text{len}(a') \vee j \dot{-} l_e \geq \text{len}(a')$	T13.13q
45.	$j \dot{-} l_e < \text{len}(a')$	A (g 44 ∨E)
46.	$\text{exp}(a' * b', j \dot{-} l_e) = \text{exp}(a', j \dot{-} l_e)$	45 T13.47c
47.	$\text{exp}(\overline{\overline{=}} * a' * b', j) = \text{exp}(a', j \dot{-} l_e)$	43,46 =E
48.	$\text{exp}(a', j \dot{-} l_e) \leq a + s$	12,45 (∨E)
49.	$\text{exp}(\overline{\overline{=}} * a' * b', j) \leq a + s$	47,48 =E
50.	$a \leq \overline{\overline{=}} * a$	T13.47o
51.	$\overline{\overline{=}} * a \leq \overline{\overline{=}} * a * b$	T13.47n
52.	$a \leq \overline{\overline{=}} * a * b$	50,51 T13.13a
53.	$\text{exp}(\overline{\overline{=}} * a' * b', j) \leq \overline{\overline{=}} * a * b + s$	49,52 T13.13v
54.	$j \dot{-} l_e \geq \text{len}(a')$	A (g 44∨E)
55.	$(j \dot{-} l_e) + l_e \geq l_e + \text{len}(a')$	54 T13.13v
56.	$j \geq l_e + \text{len}(a')$	42,55 =E
57.	$l_e + \text{len}(a') = l_a$	def
58.	$j = l_a + (j \dot{-} l_a)$	56 T13.23a
59.	$\text{len}(\overline{\overline{=}} * a') = l_a$	T13.47f
60.	$\text{exp}(\overline{\overline{=}} * a' * b', j) = \text{exp}(b', j \dot{-} l_a)$	58,59 T13.47g
61.	$\text{len}(\overline{\overline{=}} * a' * b') = l_a + \text{len}(b')$	T13.47f
62.	$j < l_a + \text{len}(b')$	31,61 =E
63.	$l_a + (j \dot{-} l_a) < l_a + \text{len}(b')$	58,62 =E
64.	$j \dot{-} l_a < \text{len}(b')$	63 T13.13w
65.	$\text{exp}(b', j \dot{-} l_a) \leq b + s$	15,64 (∨E)
66.	$b \leq \overline{\overline{=}} * a * b$	T13.47o
67.	$b + s \leq \overline{\overline{=}} * a * b + s$	66 T13.13v
68.	$\text{exp}(b', j \dot{-} l_a) \leq \overline{\overline{=}} * a * b + s$	65,67 T13.13a
69.	$\text{exp}(\overline{\overline{=}} * a' * b', j) \leq \overline{\overline{=}} * a * b + s$	60,68 =E
70.	$\text{exp}(\overline{\overline{=}} * a' * b', j) \leq \overline{\overline{=}} * a * b + s$	44,45-69 ∨E
71.	$\text{exp}(\overline{\overline{=}} * a' * b', j) \leq \overline{\overline{=}} * a * b + s$	32,33-70 ∨E
72.	$\text{exp}(\overline{\overline{=}} * a' * b', j) \leq p + s$	71,6 =E
73.	$(\forall k < \text{len}(\overline{\overline{=}} * a' * b')) \text{exp}(\overline{\overline{=}} * a' * b', k) \leq p + s$	31-72 (∀I)
74.	$\exists q [\text{Atomsub}(p, v, s, q) \wedge \text{len}(q) \leq \text{len}(p) \times \text{len}(s) \wedge (\forall k < \text{len}(q)) \text{exp}(q, k) \leq p + s]$	22,30,73 ∃I
75.	$\exists q [\text{Atomsub}(p, v, s, q) \wedge \text{len}(q) \leq \text{len}(p) \times \text{len}(s) \wedge (\forall k < \text{len}(q)) \text{exp}(q, k) \leq p + s]$	9,13-74 ∃E
76.	$\exists q [\text{Atomsub}(p, v, s, q) \wedge \text{len}(q) \leq \text{len}(p) \times \text{len}(s) \wedge (\forall k < \text{len}(q)) \text{exp}(q, k) \leq p + s]$	8,10-75 ∃E
77.	$\exists q [\text{Atomsub}(p, v, s, q) \wedge \text{len}(q) \leq \text{len}(p) \times \text{len}(s) \wedge (\forall k < \text{len}(q)) \text{exp}(q, k) \leq p + s]$	4,5-76 (∃E)
78.	$[\text{Atomic}(p) \wedge \text{Term}(s)] \rightarrow \exists q [\text{Atomsub}(p, v, s, q) \wedge \text{len}(q) \leq \text{len}(p) \times \text{len}(s) \wedge (\forall k < \text{len}(q)) \text{exp}(q, k) \leq p + s]$	1-77 →I

E13.37. Work the case marked “similarly” on line 115 of T13.52a and the *D* case from T13.52f. Hard core: show each of the results from T13.52.

T13.52.

T13.52.a.

Exercise 13.37 T13.52.a

1.	$\forall u[(\mathcal{P}(u) \wedge \text{len}(u) \leq x) \rightarrow (\forall k < \text{len}(u) \sim \mathcal{P}(\text{val}(u, k)))]$	P
2.	$\text{val}(c, j) * \text{val}(a, j \dot{-} l_1) * \text{val}(c_1, j \dot{-} l_2) * \text{val}(b, j \dot{-} l_3) * \text{val}(c_2, j \dot{-} l_4) = c * d * c_1 * e * c_2$	P
3.	$\mathcal{P}(a) \wedge \mathcal{P}(b) \wedge \mathcal{P}(d) \wedge \mathcal{P}(e)$	P
4.	$\forall v(\mathcal{P}(v) \rightarrow v > \bar{1})$	P
5.	$\text{len}(c) = 1 \wedge c_1 > \emptyset \wedge c_2 > \emptyset \wedge \text{len}(c_1) \leq 1 \wedge \text{len}(c_2) \leq 1$	P
6.	$j < l \wedge Sx \geq l$	P
7.	$a > \bar{1} \wedge b > \bar{1} \wedge d > \bar{1} \wedge e > \bar{1}$	3,4 VE
8.	$\text{val}(a, \text{len}(a)) = a \wedge \text{val}(b, \text{len}(b)) = b \wedge \text{val}(d, \text{len}(d)) = d \wedge \text{val}(e, \text{len}(e)) = e$	7 T13.46n
9.	$c > \emptyset$	5 T13.45g
10.	$\text{val}(c, \text{len}(c)) = c \wedge \text{val}(c_1, \text{len}(c_1)) = c_1 \wedge \text{val}(c_2, \text{len}(c_2)) = c_2$	5,9 T13.46n
11.	$l = S(\text{len}(a) + \text{len}(c_1) + \text{len}(b) + \text{len}(c_2))$	5 T6.46
12.	$Sx \geq S(\text{len}(a) + \text{len}(c_1) + \text{len}(b) + \text{len}(c_2))$	6,11 =E
13.	$x \geq \text{len}(a) + \text{len}(c_1) + \text{len}(b) + \text{len}(c_2)$	12 T13.13j
14.	$\text{len}(a) \leq x \wedge \text{len}(b) \leq x$	13 T13.13u
15.	$\text{len}(\text{val}(c, j) * \text{val}(a, j \dot{-} l_1) * \text{val}(c_1, j \dot{-} l_2) * \text{val}(b, j \dot{-} l_3) * \text{val}(c_2, j \dot{-} l_4)) =$ $\text{len}(\text{val}(c, j)) + \text{len}(\text{val}(a, j \dot{-} l_1)) + \text{len}(\text{val}(c_1, j \dot{-} l_2)) + \text{len}(\text{val}(b, j \dot{-} l_3)) + \text{len}(\text{val}(c_2, j \dot{-} l_4))$	T13.47f
16.	$\text{len}(\text{val}(c, j)) + \text{len}(\text{val}(a, j \dot{-} l_1)) + \text{len}(\text{val}(c_1, j \dot{-} l_2)) + \text{len}(\text{val}(b, j \dot{-} l_3)) + \text{len}(\text{val}(c_2, j \dot{-} l_4)) \leq l$	T13.46k, T13.13v
17.	$\text{len}(c * d * c_1 * e * c_2) \leq l$	2,15,16 =E
18.	$\text{len}(c * d * c_1 * e * c_2) = S(\text{len}(d) + \text{len}(c_1) + \text{len}(e) + \text{len}(c_2))$	5 T13.47f
19.	$S(\text{len}(d) + \text{len}(c_1) + \text{len}(e) + \text{len}(c_2)) \leq Sx$	17,18,6 T13.13a
20.	$\text{len}(d) + \text{len}(c_1) + \text{len}(e) + \text{len}(c_2) \leq x$	19 T13.13j
21.	$\text{len}(d) \leq x \wedge \text{len}(e) \leq x$	20 T13.13u
22.	$j < l_1$	A ($c \sim I$)
23.	$j = \emptyset$	5,22 T8.16
24.	$j \dot{-} l_1 = \emptyset \wedge j \dot{-} l_2 = \emptyset \wedge j \dot{-} l_3 = \emptyset \wedge j \dot{-} l_4 = \emptyset$	23 T13.23b
25.	$\text{val}(c, j) = \bar{1} \wedge \text{val}(a, j \dot{-} l_1) = \bar{1} \wedge \text{val}(c_1, j \dot{-} l_2) = \bar{1} \wedge \text{val}(b, j \dot{-} l_3) = \bar{1} \wedge \text{val}(c_2, j \dot{-} l_4) = \bar{1}$	23,24 def
26.	$\text{val}(c, j) * \text{val}(a, j \dot{-} l_1) * \text{val}(c_1, j \dot{-} l_2) * \text{val}(b, j \dot{-} l_3) * \text{val}(c_2, j \dot{-} l_4) = \bar{1}$	25 T13.47i
27.	$c * d * c_1 * e * c_2 = \bar{1}$	2, 26 =E
28.	$\text{len}(c * d * c_1 * e * c_2) \geq \bar{1}$	5 T13.47f
29.	$c * d * c_1 * e * c_2 > \bar{1}$	T13.45g
30.	$\bar{1} > \bar{1}$	27,29 =E
31.	$\bar{1} \not> \bar{1}$	T13.13s
32.	\perp	30,31 $\perp I$
33.	$j \not< l_1$	22-32 $\sim I$
34.	$j \geq l_1$	33 T13.13r
35.	$\text{val}(c, j) = c$	9,34 T13.46n
36.	$\text{val}(a, j \dot{-} l_1) * \text{val}(c_1, j \dot{-} l_2) * \text{val}(b, j \dot{-} l_3) * \text{val}(c_2, j \dot{-} l_4) > \emptyset$	T13.47c
37.	$d * c_1 * e * c_2 > \emptyset$	T13.47c
38.	$\text{val}(a, j \dot{-} l_1) * \text{val}(c_1, j \dot{-} l_2) * \text{val}(b, j \dot{-} l_3) * \text{val}(c_2, j \dot{-} l_4) = d * c_1 * e * c_2$	2,35,36,37 T13.47i
39.	$j < l_2$	A ($c \sim I$)
40.	$j \dot{-} l_2 = \emptyset \wedge j \dot{-} l_3 = \emptyset \wedge j \dot{-} l_4 = \emptyset$	39 T13.23b
41.	$\text{val}(c_1, j \dot{-} l_2) = \bar{1} \wedge \text{val}(b, j \dot{-} l_3) = \bar{1} \wedge \text{val}(c_2, j \dot{-} l_4) = \bar{1}$	40 def
42.	$\text{val}(a, j \dot{-} l_1) > \emptyset$	41 T13.46i
43.	$\text{val}(a, j \dot{-} l_1) * \text{val}(c_1, j \dot{-} l_2) * \text{val}(b, j \dot{-} l_3) * \text{val}(c_2, j \dot{-} l_4) = \text{val}(a, j \dot{-} l_1)$	42,41 T13.47i
44.	$\text{val}(a, j \dot{-} l_1) = d * c_1 * e * c_2$	38,43 =E
45.	$\text{len}(d * c_1 * e * c_2) = \text{len}(d) + \text{len}(c_1) + \text{len}(e) + \text{len}(c_2)$	T13.47f
46.	$\text{len}(d) \leq \text{len}(d * c_1 * e * c_2)$	45 T13.13u
47.	$\text{len}(d) \leq \text{len}(\text{val}(a, j \dot{-} l_1))$	46,44 =E
48.	$\text{len}(\text{val}(a, j \dot{-} l_1)) \leq j \dot{-} l_1$	T13.46j
49.	$\text{len}(d) \leq j \dot{-} l_1$	47,48 T13.13a
50.	$z < \text{len}(d)$	A ($g \text{ (}\forall I\text{)}$)
51.	$\text{exp}(d * c_1 * e * c_2, z) = \text{exp}(d, z)$	50 T13.47c
52.	$z < \text{len}(\text{val}(a, j \dot{-} l_1))$	47,50 T13.13c
53.	$\text{exp}(\text{val}(a, j \dot{-} l_1) * \text{val}(c_1, j \dot{-} l_2) * \text{val}(b, j \dot{-} l_3) * \text{val}(c_2, j \dot{-} l_4), z) = \text{exp}(\text{val}(a, j \dot{-} l_1), z)$	52 T13.47c
54.	$z < j \dot{-} l_1$	50,49 T13.13c
55.	$\text{exp}(\text{val}(a, j \dot{-} l_1), z) = \text{exp}(a, z)$	54 T13.46i
56.	$\text{exp}(a, z) = \text{exp}(d, z)$	38,51,53,55 =E
57.	$(\forall z < \text{len}(d)) \text{exp}(a, z) = \text{exp}(d, z)$	50-56 ($\forall I$)
58.	$\text{val}(a, \text{len}(d)) = \text{val}(d, \text{len}(d))$	57 T13.46m
59.	$d = \text{val}(a, \text{len}(d))$	58,8 =E
60.	$\mathcal{P}(\text{val}(a, \text{len}(d)))$	3,59 =E
61.	$j \dot{-} l_1 < l_2 \dot{-} l_1$	34,39 T13.23e
62.	$l_2 \dot{-} l_1 = \text{len}(a)$	T13.23i
63.	$\text{len}(d) < \text{len}(a)$	49,61,62 T13.13c
64.	$\sim \mathcal{P}(\text{val}(a, \text{len}(d)))$	1,3,14,63 VE
65.	\perp	60,64 $\perp I$
66.	$j \not< l_2$	39-65 $\sim I$

Exercise 13.37 T13.52.a

66.	$j \geq l_2$	66 T13.13r
67.	$l_2 \geq l_1$	T13.13u
68.	$j \dot{-} l_1 \geq l_2 \dot{-} l_1$	66,67 T13.23d
69.	$l_2 \dot{-} l_1 = \text{len}(a)$	T13.23l
70.	$j \dot{-} l_1 \geq \text{len}(a)$	68,69 =E
71.	$\text{val}(a, j \dot{-} l_1) = a$	7,70 T13.46n
72.	$\text{len}(d) < \text{len}(a) \vee \text{len}(d) = \text{len}(a) \vee \text{len}(d) > \text{len}(a)$	T13.13p
73.	$\text{len}(d) < \text{len}(a)$	A (c 72vE)
74.	$z < \text{len}(d)$	A (g (vI))
75.	$\text{exp}(d * c_1 * e * c_2, z) = \text{exp}(d, z)$	74 T13.47c
76.	$z < \text{len}(\text{val}(a, j \dot{-} l_1))$	71,73,74 T13.13c
77.	$\text{exp}(\text{val}(a, j \dot{-} l_1) * \text{val}(c_1, j \dot{-} l_2) * \text{val}(b, j \dot{-} l_3) * \text{val}(c_2, j \dot{-} l_4), z) = \text{exp}(\text{val}(a, j \dot{-} l_1), z)$	76 T13.47c
78.	$\text{exp}(a, z) = \text{exp}(d, z)$	71,77,75,38 =E
79.	$(\forall z < \text{len}(d)) \text{exp}(a, z) = \text{exp}(d, z)$	74-78 (vI)
80.	$\text{val}(a, \text{len}(d)) = \text{val}(d, \text{len}(d))$	79 T13.46m
81.	$\text{val}(a, \text{len}(d)) = d$	80,8 =E
82.	$\mathcal{P}(\text{val}(a, \text{len}(d)))$	3,81 =E
83.	$\sim \mathcal{P}(\text{val}(a, \text{len}(d)))$	1,3,14,73 vE
84.	\perp	82,83 \perp I
85.	$\text{len}(d) > \text{len}(a)$	A (c 72vE)
86.	\perp	similarly (72)
87.	$\text{len}(d) = \text{len}(a)$	A (c 72vE)
88.	$z < \text{len}(d)$	A (g (vI))
89.	$z < \text{len}(a)$	88,87 =E
90.	$z < \text{len}(\text{val}(a, j \dot{-} l_1))$	71,89 =E
91.	$\text{exp}(d * c_1 * e * c_2, z) = \text{exp}(d, z)$	88 T13.47c
92.	$\text{exp}(\text{val}(a, j \dot{-} l_1) * \text{val}(c_1, j \dot{-} l_2) * \text{val}(b, j \dot{-} l_3) * \text{val}(c_2, j \dot{-} l_4), z) = \text{exp}(\text{val}(a, j \dot{-} l_1), z)$	90 T13.47c
93.	$\text{exp}(\text{val}(a, j \dot{-} l_1), z) = \text{exp}(d, z)$	92,91,38 =E
94.	$(\forall z < \text{len}(d)) \text{exp}(\text{val}(a, j \dot{-} l_1), z) = \text{exp}(d, z)$	88-93 (vI)
95.	$\text{val}(\text{val}(a, j \dot{-} l_1), \text{len}(\text{val}(a, j \dot{-} l_1))) = \text{val}(d, \text{len}(d))$	94,71,87 T13.46m
96.	$\text{val}(a, j \dot{-} l_1) > \emptyset$	T13.46i
97.	$\text{val}(\text{val}(a, j \dot{-} l_1), \text{len}(\text{val}(a, j \dot{-} l_1))) = \text{val}(a, j \dot{-} l_1)$	96 T13.46n
98.	$\text{val}(a, j \dot{-} l_1) = d$	95,97,8 =E
99.	$\text{val}(c_1, j \dot{-} l_2) * \text{val}(b, j \dot{-} l_3) * \text{val}(c_2, j \dot{-} l_4) > \emptyset$	T13.47c
100.	$c_1 * e * c_2 > \emptyset$	T13.47c
101.	$\text{val}(c_1, j \dot{-} l_2) * \text{val}(b, j \dot{-} l_3) * \text{val}(c_2, j \dot{-} l_4) = c_1 * e * c_2$	98,38,99,100 T13.47l
102.	$j < l_3$	A (c \sim I)
103.	\perp	similarly (22)
104.	$j \not< l_3$	102-103 \sim I
105.	$j \geq l_3$	104 T13.13r
106.	$l_3 \geq l_2$	T13.13u
107.	$j \dot{-} l_2 \geq l_3 \dot{-} l_2$	105,106 T13.23d
108.	$l_3 \dot{-} l_2 = \text{len}(c_1)$	T13.23l
109.	$j \dot{-} l_2 \geq \text{len}(c_1)$	107,108 =E
110.	$\text{val}(c_1, j \dot{-} l_2) = c_1$	5,105 T13.46n
111.	$\text{val}(b, j \dot{-} l_3) * \text{val}(c_2, j \dot{-} l_4) > \emptyset$	T13.47c
112.	$e * c_2 > \emptyset$	T13.47c
113.	$\text{val}(b, j \dot{-} l_3) * \text{val}(c_2, j \dot{-} l_4) = e * c_2$	101,110,111,112 T13.47l
114.	$j < l_4$	A (c \sim I)
115.	\perp	similarly (39)
116.	$j \not< l_4$	114-115 \sim I

117.	$j \geq l_4$	116 T13.13r
118.	$l_4 \geq l_3$	T13.13u
119.	$j \dot{-} l_3 \geq l_4 \dot{-} l_3$	117,118 T13.23d
120.	$l_4 \dot{-} l_3 = \text{len}(b)$	T13.23l
121.	$j \dot{-} l_3 \geq \text{len}(b)$	119,120 =E
122.	$\text{val}(b, j \dot{-} l_3) = b$	121,7 T13.46n
123.	$\text{len}(e) < \text{len}(b) \vee \text{len}(e) = \text{len}(b) \vee \text{len}(e) > \text{len}(b)$	
124.	$\mid \text{len}(e) < \text{len}(b)$	A (c 123vE)
125.	$\mid \perp$	similarly (72)
126.	$\mid \text{len}(e) > \text{len}(b)$	A (c 123vE)
127.	$\mid \perp$	similarly (72)
128.	$\mid \text{len}(e) = \text{len}(b)$	A (c 123vE)
129.	$\text{val}(b, j \dot{-} l_3) = e$	similarly
130.	$\text{val}(c_2, j \dot{-} l_4) > \emptyset$	T13.46i
131.	$\text{val}(c_2, j \dot{-} l_4) = c_2$	113,130,5 T13.47l
132.	$\text{len}(\text{val}(c_2, j \dot{-} l_4)) = \text{len}(c_2)$	131 =E
133.	$\text{len}(\text{val}(c_2, j \dot{-} l_4)) \leq j \dot{-} l_4$	T13.46j
134.	$j \dot{-} l_4 \geq \text{len}(c_2)$	133,134 =E
135.	$(j \dot{-} l_4) + l_4 \geq \text{len}(c_2) + l_4$	134 T13.13v
136.	$j = l_4 + (j \dot{-} l_4)$	117 T13.23a
137.	$j \geq l$	135,136 =E
138.	$j \not\geq l$	137 T13.13r
139.	$\mid \perp$	138,6 \perp I
140.	$\mid \perp$	123,124-139 \vee E
141.	\perp	72,73-140 \vee E

T13.52.f. $\text{PA} \vdash \text{Term}(t) \rightarrow (\forall k < \text{len}(t)) \sim \text{Term}(\text{val}(t, k))$

1.	$Term(t) \wedge len(t) \leq \emptyset$	A (g \rightarrow I)
2.	$k < len(t)$	A (g (\forall I))
3.	$Term(val(t, k))$	A (c \sim I)
4.	$k < \emptyset$	1,2 T13.13c
5.	$k \not< \emptyset$	T13.13d,r
6.	\perp	4,5 \perp I
7.	$\sim Term(val(t, k))$	3-6 \sim I
8.	$(\forall k < len(t)) \sim Term(val(t, k))$	2-7 (\forall I)
9.	$(Term(t) \wedge len(t) \leq \emptyset) \rightarrow (\forall k < len(t)) \sim Term(val(t, k))$	1-8 \rightarrow I
10.	$\forall t[(Term(t) \wedge len(t) \leq \emptyset) \rightarrow (\forall k < len(t)) \sim Term(val(t, k))]$	9 \forall I
11.	$\forall t[(Term(t) \wedge len(t) \leq x) \rightarrow (\forall k < len(t)) \sim Term(val(t, k))]$	A (g \rightarrow I)
12.	$Term(a) \wedge len(a) \leq Sx$	A (g \rightarrow I)
13.	$Term(a)$	12 \wedge E
14.	$len(a) \leq Sx$	12 \wedge E
15.	$j < len(a)$	A (g (\forall I))
16.	$j = \emptyset \vee j > \emptyset$	T13.13d,m
17.	$j = \emptyset$	A (g 16 \vee E)
18.	$val(a, j) = \bar{1}$	17 def
19.	$val(a, j) \neq \bar{1}$	18 T13.13m,r
20.	$\sim Term(val(a, j))$	19 T13.48f
21.	$j > \emptyset$	A (g 16 \vee E)
22.	$j = S(j \dot{-} \bar{1})$	21 T13.23j
23.	$S(j \dot{-} \bar{1}) < len(a)$	15,22 $=$ E
24.	$Termseq(m, a)$	13 T13.48b
25.	$exp(m, len(m) \dot{-} \bar{1}) = a$	24 T13.48a
26.	$m > \bar{1}$	24 T13.48a
27.	$(\forall k < len(m))[A(m, k) \vee B(m, k) \vee C(m, k) \vee D(m, k)]$	24 T13.48a
28.	$len(m) > \emptyset$	26 T13.45j
29.	$len(m) \dot{-} \bar{1} < len(m)$	28 T13.23i
30.	$A(m, len(m) \dot{-} \bar{1}) \vee B(m, len(m) \dot{-} \bar{1}) \vee C(m, len(m) \dot{-} \bar{1}) \vee D(m, len(m) \dot{-} \bar{1})$	27,29 (\vee E)
31.	$Term(val(a, j))$	A (c \sim I)
32.	$A(m, len(m) \dot{-} \bar{1})$	A (c 30 \vee E)
33.	$a = \ulcorner \emptyset \urcorner \vee Var(a)$	32,25 abv
34.	$a = \ulcorner \emptyset \urcorner$	A (g 33 \vee E)
35.	$len(a) = \bar{1}$	34 cap
36.	$Var(a)$	A (g 33 \vee E)
37.	$(\exists x \leq a)a = 2^{\overline{-23} + \overline{2}x}$	36 def
38.	$a = 2^{\overline{-23} + \overline{2}x}$	A (g 37 (\exists E))
39.	$len(a) = \bar{1}$	38 T13.45k
40.	$len(a) = \bar{1}$	37,38-39 (\exists E)
41.	$len(a) = \bar{1}$	33,34-35,36-40 \vee E
42.	$j < \emptyset \vee j = \emptyset$	15,41 T13.13n
43.	$j = \emptyset$	42 T13.13d,r
44.	\perp	21,43 \perp I
45.	$B(m, len(m) \dot{-} \bar{1})$	A (c 30 \vee E)
46.	$(\exists j < len(m) \dot{-} \bar{1})a = \ulcorner S \urcorner * exp(m, j)$	45,25 abv
47.	$l < len(m) \dot{-} \bar{1}$	A (c 46 (\exists E))
48.	$a = \ulcorner S \urcorner * exp(m, l)$	
49.	$l < len(m)$	29,47 T13.13b
50.	$Term(exp(m, l))$	24,49 T13.48n
51.	$len(\ulcorner S \urcorner) = \bar{1}$	cap
52.	$\ulcorner S \urcorner > \emptyset$	51 T13.45g
53.	$exp(m, l) > \emptyset$	49,24 T13.48e
54.	$val(\ulcorner S \urcorner * exp(m, l), j) = val(\ulcorner S \urcorner * exp(m, l), j \dot{-} \bar{1})$	51,52,53 T13.47m
55.	$val(\ulcorner S \urcorner, j) = \ulcorner S \urcorner$	21,51 T13.46n
56.	$val(a, j) = \ulcorner S \urcorner * val(exp(m, l), j \dot{-} \bar{1})$	54,48,55 $=$ E
57.	$\exists r[\ulcorner S \urcorner * val(exp(m, l), j \dot{-} \bar{1}) = \ulcorner S \urcorner * r \wedge Term(r)]$	31,56 T13.52c

58.	$\overline{rS} \ast \text{val}(\text{exp}(m, l), j \dot{-} \bar{1}) = \overline{rS} \ast r$	A (c 57)E
59.	$\text{Term}(r)$	
60.	$\text{val}(\text{exp}(m, l), j \dot{-} \bar{1}) > \emptyset$	T13.46i
61.	$r > \emptyset$	59 T13.48f
62.	$\text{val}(\text{exp}(m, l), j \dot{-} \bar{1}) = r$	58,60,61 T13.47l
63.	$\text{Term}(\text{val}(\text{exp}(m, l), j \dot{-} \bar{1}))$	59,62 =E
64.	$\text{len}(a) = \text{len}(\overline{rS}) + \text{len}(\text{exp}(m, l))$	48 T13.47f
65.	$\text{len}(a) = \bar{1} + \text{len}(\text{exp}(m, l))$	64,51 =E
66.	$\text{len}(a) = S\text{len}(\text{exp}(m, l))$	65 T6.46
67.	$S\text{len}(\text{exp}(m, l)) \leq Sx$	14,66 =E
68.	$\text{len}(\text{exp}(m, l)) \leq x$	67 T13.13j
69.	$S(j \dot{-} \bar{1}) < S\text{len}(\text{exp}(m, l))$	66,23 =E
70.	$j \dot{-} \bar{1} < \text{len}(\text{exp}(m, l))$	69 T13.13k
71.	$\sim \text{Term}(\text{val}(\text{exp}(m, l), j \dot{-} \bar{1}))$	11,50,68,70 (VE)
72.	\perp	63,71 \perp I
73.	\perp	57,58-72 \exists E
74.	\perp	46,47-73 (\exists E)
75.	$C(m, \text{len}(m) \dot{-} \bar{1})$	A (c 30 \vee E)
76.	$(\exists i < \text{len}(m) \dot{-} \bar{1})(\exists j < \text{len}(m) \dot{-} \bar{1})a = \overline{r+} \ast \text{exp}(m, i) \ast \text{exp}(m, j)$	75 abv
77.	$k < \text{len}(m) \dot{-} \bar{1}$	A (c 76(\exists E))
78.	$l < \text{len}(m) \dot{-} \bar{1}$	
79.	$a = \overline{r+} \ast \text{exp}(m, k) \ast \text{exp}(m, l)$	
80.	$k < \text{len}(m)$	29,77 T13.13b
81.	$l < \text{len}(m)$	29,78 T13.13b
82.	$\text{Term}(\text{exp}(m, k))$	24,80 T13.48n
83.	$\text{Term}(\text{exp}(m, l))$	24,81 T13.48n
84.	$\text{len}(\overline{r+}) = \bar{1}$	cap
85.	$\overline{r+} > \emptyset$	84 T13.45g
86.	$\text{exp}(m, k) > \emptyset$	77,24 T13.48e
87.	$\text{exp}(m, l) > \emptyset$	78,24 T13.48e
88.	$\text{val}(\overline{r+} \ast \text{exp}(m, k) \ast \text{exp}(m, l), j) =$ $\text{val}(\overline{r+}, j) \ast \text{val}(\text{exp}(m, k), j \dot{-} \bar{1}) \ast \text{val}(\text{exp}(m, l), j \dot{-} (\bar{1} + \text{len}(\text{exp}(m, k))))$	84,85,86,87 T13.47m
89.	$\text{val}(\overline{r+}, j) = \overline{r+}$	21,84 T13.46n
90.	$\text{val}(a, j) = \overline{r+} \ast \text{val}(\text{exp}(m, k), j \dot{-} \bar{1}) \ast \text{val}(\text{exp}(m, l), j \dot{-} (\bar{1} + \text{len}(\text{exp}(m, k))))$	88,79,89 =E
91.	$\exists r \exists s [\overline{r+} \ast \text{val}(\text{exp}(m, k), j \dot{-} \bar{1}) \ast \text{val}(\text{exp}(m, l), j \dot{-} (\bar{1} + \text{len}(\text{exp}(m, k)))) =$ $\overline{r+} \ast r \ast s \wedge \text{Term}(r) \wedge \text{Term}(s)]$	31,90 T13.52d
92.	$\overline{r+} \ast \text{val}(\text{exp}(m, k), j \dot{-} \bar{1}) \ast \text{val}(\text{exp}(m, l), j \dot{-} (\bar{1} + \text{len}(\text{exp}(m, k)))) = \overline{r+} \ast r \ast s$	A (c 91)E
93.	$\text{Term}(r)$	
94.	$\text{Term}(s)$	
95.	$\text{val}(\overline{r+}, j) \ast \text{val}(\text{exp}(m, k), j \dot{-} \bar{1}) \ast \text{val}(\text{exp}(m, l), j \dot{-} (\bar{1} + \text{len}(\text{exp}(m, k)))) = \overline{r+} \ast r \ast s$	92,89 =E
96.	$\text{Term}(\text{exp}(m, k)) \wedge \text{Term}(\text{exp}(m, l)) \wedge \text{Term}(r) \wedge \text{Term}(s)$	82,83,93,94 \wedge I
97.	$\forall v(\text{Term}(v) \rightarrow v > \bar{1})$	T13.48f
98.	$\text{len}(a) \leq Sx \wedge j < \text{len}(a)$	14,15 \wedge I
99.	\perp	11,95,96,97,84,98 T13.52a
100.	\perp	91,92-99 \exists E
101.	\perp	76,77-100 (\exists E)
102.	$D(m, \text{len}(m) \dot{-} \bar{1})$	A (c 30 \vee E)
103.	\perp	similarly
104.	\perp	30,32-103 \vee E
105.	$\sim \text{Term}(\text{val}(a, j))$	31-104 \sim I
106.	$\sim \text{Term}(\text{val}(a, j))$	16,17-20,21-105 \vee E
107.	$(\forall k < \text{len}(a)) \sim \text{Term}(\text{val}(a, k))$	15-106 (\forall I)
108.	$(\text{Term}(a) \wedge \text{len}(a) \leq Sx) \rightarrow (\forall k < \text{len}(a)) \sim \text{Term}(\text{val}(a, k))$	12-108 \rightarrow I
109.	$\forall t[(\text{Term}(t) \wedge \text{len}(t) \leq Sx) \rightarrow (\forall k < \text{len}(t)) \sim \text{Term}(\text{val}(t, k))]$	108 \forall I
110.	$\forall t[(\text{Term}(t) \wedge \text{len}(t) \leq x) \rightarrow (\forall k < \text{len}(t)) \sim \text{Term}(\text{val}(t, k))]$	11-109 \rightarrow I
111.	$\forall t[(\text{Term}(t) \wedge \text{len}(t) \leq x) \rightarrow (\forall k < \text{len}(t)) \sim \text{Term}(\text{val}(t, k))]$	10,110 IN

Exercise 13.37 T13.52f

E13.38. Show (g) including at least the A case, and (k) from T13.53. Hard core: show each of the results from T13.53.

T13.53.

T13.53.h. $\text{PA} \vdash [\mathcal{W}\text{ff}(p)) \wedge \mathcal{W}\text{ff}(q) \wedge \mathcal{W}\text{ff}(a) \wedge \mathcal{W}\text{ff}(b)] \rightarrow [\text{cnd}(p, q) = \text{cnd}(a, b) \rightarrow (p = a \wedge q = b)]$

1.	$\mathcal{W}\text{ff}(p)) \wedge \mathcal{W}\text{ff}(q) \wedge \mathcal{W}\text{ff}(a) \wedge \mathcal{W}\text{ff}(b)$	$A (g \rightarrow I)$
2.	$\text{cnd}(p, q) = \text{cnd}(a, b)$	$A (g \rightarrow I)$
3.	$\overline{\text{cnd}(p, q)} = \overline{\text{cnd}(a, b)}$	2 def
4.	$p > \overline{1} \wedge q > \overline{1} \wedge a > \overline{1} \wedge b > \overline{1}$	1 T13.49e
5.	$p * \overline{\text{cnd}(p, q)} = \overline{1} \wedge a * \overline{\text{cnd}(p, q)} = \overline{1}$	4 T13.47n
6.	$p * \overline{\text{cnd}(p, q)} = p * \overline{\text{cnd}(a, b)}$	3,5 T13.47l
7.	$p * \overline{\text{cnd}(p, q)} > \overline{1} \wedge a * \overline{\text{cnd}(p, q)} > \overline{1}$	4 T13.47n
8.	$p * \overline{\text{cnd}(p, q)} = a * \overline{\text{cnd}(p, q)}$	6,7 T13.47k
9.	$\text{len}(p) < \text{len}(a) \vee \text{len}(p) = \text{len}(a) \vee \text{len}(p) > \text{len}(a)$	T13.13p
10.	$\text{len}(p) < \text{len}(a)$	$A (g \sim I)$
11.	$i < \text{len}(p)$	$A (g (\forall I))$
12.	$i < \text{len}(a)$	11,10 T13.13b
13.	$\text{exp}(p * \overline{\text{cnd}(p, q)}, i) = \text{exp}(p, i) \wedge \text{exp}(a * \overline{\text{cnd}(p, q)}, i) = \text{exp}(a, i)$	11,12 T13.47c
14.	$\text{exp}(p, i) = \text{exp}(a, i)$	8,13 =E
15.	$(\forall i < \text{len}(p)) \text{exp}(p, i) = \text{exp}(a, i)$	11-14 ($\forall I$)
16.	$\text{val}(p, \text{len}(p)) = \text{val}(a, \text{len}(p))$	15 T13.46m
17.	$p = \text{val}(a, \text{len}(p))$	16,4 T13.46n
18.	$\mathcal{W}\text{ff}(\text{val}(a, \text{len}(p)))$	17,1 =E
19.	$\sim \mathcal{W}\text{ff}(\text{val}(a, \text{len}(p)))$	1,10 T13.52g
20.	\perp	18,19 $\perp I$
21.	$\text{len}(p) \not< \text{len}(a)$	10-20 $\sim I$
22.	$\text{len}(p) > \text{len}(a)$	$A (g \sim I)$
23.	\perp	similarly
24.	$\text{len}(p) \not> \text{len}(a)$	22-23 $\sim I$
25.	$\text{len}(p) = \text{len}(a)$	9,21,24 DS
26.	$\overline{\text{cnd}(p, q)} > \overline{1} \wedge \overline{\text{cnd}(a, b)} > \overline{1}$	4 T13.47o
27.	$\overline{\text{cnd}(p, q)} = \overline{\text{cnd}(a, b)}$	8,25,26 T13.47l
28.	$q = b$	27,4 T13.47l
29.	$p * \overline{\text{cnd}(p, q)} > \overline{1} \wedge a * \overline{\text{cnd}(p, q)} > \overline{1}$	4 T13.47n
30.	$p * \overline{\text{cnd}(p, q)} = a * \overline{\text{cnd}(p, q)}$	8,28,29 T13.47k
31.	$p = a$	30,4 T13.47k
32.	$p = a \wedge q = b$	31,28 $\wedge I$
33.	$\text{cnd}(p, q) = \text{cnd}(a, b) \rightarrow (p = a \wedge q = b)$	2-32 $\rightarrow I$
34.	$[\mathcal{W}\text{ff}(p)) \wedge \mathcal{W}\text{ff}(q) \wedge \mathcal{W}\text{ff}(a) \wedge \mathcal{W}\text{ff}(b)] \rightarrow [\text{cnd}(p, q) = \text{cnd}(a, b) \rightarrow (p = a \wedge q = b)]$	1-33 $\rightarrow I$

T13.53.j. $\text{PA} \vdash \text{Axiompa}(p) \rightarrow \mathcal{W}\text{ff}(p)$

The cases for axioms of Q are immediate by capture. The following should be sufficient to see how other cases will go.

$\text{PA} \vdash \text{Axiomad6}(n) \rightarrow \mathcal{W}\text{ff}(n)$

Exercise 13.38 T13.53.j

1.	$Axiomad6(n)$	$A (g \rightarrow I)$
2.	$(\exists v \leq n)[Var(v) \wedge n = \overline{v} * v * v]$	1 T13.40a
3.	$v \leq n$	$A (g \rightarrow 2 (\exists E))$
4.	$Var(v) \wedge n = \overline{v} * v * v$	
5.	$Term(v)$	4 T13.48p
6.	$Term(v) \wedge Term(v) \wedge n = \overline{v} * v * v$	5,4 $\wedge I$
7.	$(\exists x \leq n)(\exists y \leq n)[Term(x) \wedge Term(y) \wedge n = \overline{x} * y]$	6,3 $(\exists I)$
8.	$Atomic(n)$	7 T13.49c
9.	$Wff(n)$	8 T13.49m
10.	$Wff(n)$	2,3-9 $(\exists E)$
11.	$Axiomad6(n) \rightarrow Wff(n)$	1-10 $\rightarrow I$

PA $\vdash Axiompa7(n) \rightarrow Wff(n)$

1.	$Axiompa7(p)$	$A (g \rightarrow I)$
2.	$(\exists p \leq n)(\exists v \leq n)[Wff(p) \wedge Var(v) \wedge n = cnd(neg(cnd(formsub(p, v, \overline{\emptyset})), neg(\mathcal{U}nv(v, cnd(p, formsub(p, v, \overline{S} * v))))), \mathcal{U}nv(v, p)]]$	1 T13.40a
3.	$Wff(p) \wedge Var(v)$	$A (g \rightarrow 2 (\exists E))$
4.	$n = cnd(neg(cnd(formsub(p, v, \overline{\emptyset})), neg(\mathcal{U}nv(v, cnd(p, formsub(p, v, \overline{S} * v))))), \mathcal{U}nv(v, p))$	
5.	$Term(\overline{\emptyset}) \wedge Term(\overline{S} * v)$	3 T13.48o,p
6.	$Wff(formsub(p, v, \overline{\emptyset}))$	3,5 T13.51m
7.	$Wff(formsub(p, v, \overline{S} * v))$	3,5 T13.51m
8.	$Wff(cnd(p, formsub(p, v, \overline{S} * v)))$	3,7 T13.49o
9.	$Wff(\mathcal{U}nv(v, cnd(p, formsub(p, v, \overline{S} * v))))$	3,8 T13.49p
10.	$Wff(neg(\mathcal{U}nv(v, cnd(p, formsub(p, v, \overline{S} * v))))$	9 T13.49c
11.	$Wff(cnd(formsub(p, v, \overline{\emptyset}), neg(\mathcal{U}nv(v, cnd(p, formsub(p, v, \overline{S} * v))))))$	6,10 T13.49o
12.	$Wff(neg(cnd(formsub(p, v, \overline{\emptyset}), neg(\mathcal{U}nv(v, cnd(p, formsub(p, v, \overline{S} * v))))))$	11 T13.49c
13.	$Wff(\mathcal{U}nv(v, p))$	3 T13.49p
14.	$Wff(cnd(neg(cnd(formsub(p, v, \overline{\emptyset}), neg(\mathcal{U}nv(v, cnd(p, formsub(p, v, \overline{S} * v))))), \mathcal{U}nv(v, p)))$	12,13 T13.49o
15.	$Wff(n)$	4,14 $=E$
16.	$Wff(n)$	2,3-15 $(\exists E)$
17.	$Axiompa7(n) \rightarrow Wff(n)$	1-16 $\rightarrow I$

E13.39. As a start to a complete demonstration of T13.54, provide a demonstration through part (C) that does not skip any steps.

T13.54. PA $\vdash Prvt(cnd(p, q)) \rightarrow (Prvt(p) \rightarrow Prvt(q))$.

(a)

1.	$\text{Prvt}(\text{cnd}(p, q))$	A ($g \rightarrow I$)
2.	$\text{Wff}(\text{cnd}(p, q))$	1 T13.53k
3.	$\text{Prvt}(p)$	A ($g \rightarrow I$)
4.	$\text{Wff}(p)$	3 T13.53k
5.	$\text{Wff}(q)$	2,4 T13.53i
6.	$\text{Mp}(\text{cnd}(p, q), p, q)$	T13.40c
7.	$\text{Mp}(\text{cnd}(p, q), p, q) \vee (\text{cnd}(p, q) = p \wedge \text{Gen}(p, q))$	6 $\vee I$
8.	$\text{Icon}(\text{cnd}(p, q), p, q)$	7 T13.40e
9.	$\exists v \text{Prft}(v, \text{cnd}(p, q))$	1 abv
10.	$\exists v \text{Prft}(v, p)$	3 abv
11.	$\text{Prft}(j, \text{cnd}(p, q))$	A (g 9 $\exists E$)
12.	$\text{Prft}(k, p)$	A (g 10 $\exists E$)
13.	$l =_{\text{def}} j * k * \bar{2}^q$	def
14.	$\text{exp}(j, \text{len}(j) \dot{-} \bar{1}) = \text{cnd}(p, q)$	11 T13.40f
15.	$\text{exp}(k, \text{len}(k) \dot{-} \bar{1}) = p$	12 T13.40f
16.	$\text{len}(j * k) = \text{len}(j) + \text{len}(k)$	T13.47f
17.	$q > \emptyset$	5 T13.49e
18.	$\text{len}(\bar{2}^q) = \bar{1}$	17 T13.45k
19.	$(\forall i < \bar{1})[\text{exp}(l, i + \text{len}(j * k)) = \text{exp}(\bar{2}^q, i)]$	13,18 T13.47c
20.	$\emptyset < \bar{1}$	T13.13e
21.	$\text{exp}(l, \text{len}(j * k)) = \text{exp}(\bar{2}^q, \emptyset)$	19,20 ($\forall E$)
22.	$\text{exp}(\bar{2}^q, \emptyset) = q$	T13.44i
23.	$\text{exp}(l, \text{len}(j * k)) = q$	21,22 $=E$
24.	$\text{exp}(l, \text{len}(j) + \text{len}(k)) = q$	23,16 $=E$
25.	$\text{Icon}[\text{exp}(j, \text{len}(j) \dot{-} \bar{1}), \text{exp}(k, \text{len}(k) \dot{-} \bar{1}), \text{exp}(l, \text{len}(j) + \text{len}(k))]$	8,14,15,24 $=E$

(b)

26.	$(\forall i < \text{len}(j)) \text{exp}(l, i) = \text{exp}(j, i)$	13 T13.47c
27.	$(\forall i < \text{len}(j * k)) \text{exp}(l, i) = \text{exp}(j * k, i)$	13 T13.47c
28.	$(\forall i < \text{len}(k)) \text{exp}(j * k, i + \text{len}(j)) = \text{exp}(k, i)$	T13.47c
29.	$a < \text{len}(k)$	A (g ($\forall I$))
30.	$\text{exp}(j * k, a + \text{len}(j)) = \text{exp}(k, a)$	28,29 ($\forall E$)
31.	$\text{len}(j) + a < \text{len}(j) + \text{len}(k)$	29 T13.13w
32.	$\text{len}(j) + a < \text{len}(j * k)$	31,16 $=E$
33.	$\text{exp}(l, \text{len}(j) + a) = \text{exp}(j * k, \text{len}(j) + a)$	27,32 ($\forall E$)
34.	$\text{exp}(l, \text{len}(j) + a) = \text{exp}(k, a)$	33,30 $=E$
35.	$(\forall i < \text{len}(k)) \text{exp}(l, \text{len}(j) + i) = \text{exp}(k, i)$	29-34 ($\forall I$)
36.	$\text{cnd}(p, q) > \emptyset$	2 T13.49e
37.	$\text{exp}(j, \text{len}(j) \dot{-} \bar{1}) > \emptyset$	14,36 $=E$
38.	$\text{len}(j) \dot{-} \bar{1} < \text{len}(j)$	37 T13.45h
39.	$\text{exp}(l, \text{len}(j) \dot{-} \bar{1}) = \text{exp}(j, \text{len}(j) \dot{-} \bar{1})$	26,38 ($\forall E$)
40.	$p > \emptyset$	4 T13.49e
41.	$\text{exp}(k, \text{len}(k) \dot{-} \bar{1}) > \emptyset$	40,15 $=E$
42.	$\text{len}(k) \dot{-} \bar{1} < \text{len}(k)$	41 T13.45h
43.	$\text{exp}(l, \text{len}(j) + \text{len}(k) \dot{-} \bar{1}) = \text{exp}(k, \text{len}(k) \dot{-} \bar{1})$	35,42 ($\forall E$)
44.	$\text{Icon}[\text{exp}(l, \text{len}(j) \dot{-} \bar{1}), \text{exp}(l, \text{len}(j) + \text{len}(k) \dot{-} \bar{1}), \text{exp}(l, \text{len}(j) + \text{len}(k))]$	25,39,43 $=E$

Exercise 13.39 T13.54

(c1)

45.	$(\forall i < \text{len}(j))[\text{Axiom}(\text{exp}(j, i)) \vee (\exists m < i)(\exists n < i)\text{Icon}(\text{exp}(j, m), \text{exp}(j, n), \text{exp}(j, i))]$	T13.40f
46.	$a < \text{len}(j)$	A (g $(\forall I)$)
47.	$\text{Axiom}(\text{exp}(j, a)) \vee (\exists m < a)(\exists n < a)\text{Icon}(\text{exp}(j, m), \text{exp}(j, n), \text{exp}(j, a))$	45,46 ($\forall E$)
48.	$\text{exp}(l, a) = \text{exp}(j, a)$	26,46 ($\forall E$)
49.	$\text{Axiom}(\text{exp}(j, a))$	A (g 47 $\forall E$)
50.	$\text{Axiom}(\text{exp}(l, a))$	49,48 $=E$
51.	$\text{Axiom}(\text{exp}(l, a)) \vee (\exists m < a)(\exists n < a)\text{Icon}(\text{exp}(l, m), \text{exp}(l, n), \text{exp}(l, a))$	50 $\vee I$
52.	$(\exists m < a)(\exists n < a)\text{Icon}(\text{exp}(j, m), \text{exp}(j, n), \text{exp}(j, a))$	A (g 47 $\vee E$)
53.	$\text{Icon}(\text{exp}(j, m'), \text{exp}(j, n'), \text{exp}(j, a))$	A (g 52 $\exists E$)
54.	$m' < a$	
55.	$n' < a$	
56.	$m' < \text{len}(j)$	46,54 T13.13b
57.	$n' < \text{len}(j)$	46,55 T13.13b
58.	$\text{exp}(l, m') = \text{exp}(j, m')$	26,56 ($\forall E$)
59.	$\text{exp}(l, n') = \text{exp}(j, n')$	26,57 ($\forall E$)
60.	$\text{Icon}(\text{exp}(l, m'), \text{exp}(l, n'), \text{exp}(l, a))$	53,58,59,48 $=E$
61.	$(\exists m < a)(\exists n < a)\text{Icon}(\text{exp}(l, m), \text{exp}(l, n), \text{exp}(l, a))$	60,54,55 ($\exists I$)
62.	$(\exists m < a)(\exists n < a)\text{Icon}(\text{exp}(l, m), \text{exp}(l, n), \text{exp}(l, a))$	52,53-61 ($\exists E$)
63.	$\text{Axiom}(\text{exp}(l, a)) \vee (\exists m < a)(\exists n < a)\text{Icon}(\text{exp}(l, m), \text{exp}(l, n), \text{exp}(l, a))$	62 $\vee I$
64.	$\text{Axiom}(\text{exp}(l, a)) \vee (\exists m < a)(\exists n < a)\text{Icon}(\text{exp}(l, m), \text{exp}(l, n), \text{exp}(l, a))$	47,49-51,52-63 $\vee E$
65.	$(\forall i < \text{len}(j))[\text{Axiom}(\text{exp}(l, i)) \vee (\exists m < i)(\exists n < i)\text{Icon}(\text{exp}(l, m), \text{exp}(l, n), \text{exp}(l, i))]$	46-64 ($\forall I$)

(c2) The argument is similar for,

$$(\forall i < \text{len}(k))[\text{Axiom}(\text{exp}(l, \text{len}(j) + i)) \vee (\exists m < i)(\exists n < i)\text{Icon}(\text{exp}(l, \text{len}(j) + m), \text{exp}(l, \text{len}(j) + n), \text{exp}(l, \text{len}(j) + i))]$$

(c3) Here is a schematic argument (or theorem) you can apply.

1.	$(\forall i < s)[\mathcal{P}(t+i) \vee (\exists m < i)(\exists n < i)\mathcal{Q}(t+m, t+n, t+i)]$	prem
2.	$t \leq a \wedge a < t+s$	A (g \rightarrow I)
3.	$t \leq a$	2 \wedge E
4.	$a < t+s$	2 \wedge E
5.	$\exists v(v+t=a)$	3 def
6.	$l+t=a$	A (g \exists E)
7.	$t+l < t+s$	4,6 =E
8.	$l < s$	7 T13.13w
9.	$\mathcal{P}(t+l) \vee (\exists m < l)(\exists n < l)\mathcal{Q}(t+m, t+n, t+l)$	1,8 (\forall E)
10.	$\mathcal{P}(t+l)$	A (g \vee E)
11.	$\mathcal{P}(a)$	10,6 =E
12.	$\mathcal{P}(a) \vee (\exists m < a)(\exists n < a)\mathcal{Q}(m, n, a)$	11 \vee I
13.	$(\exists m < l)(\exists n < l)\mathcal{Q}(t+m, t+n, t+l)$	A (g \vee E)
14.	$\mathcal{Q}(t+m', t+n', t+l)$	A (g 13(\exists E))
15.	$m' < l$	
16.	$n' < l$	
17.	$t+m' < t+l$	15 T13.13w
18.	$t+m' < a$	17,6 =E
19.	$t+n' < t+l$	16 T13.13w
20.	$t+n' < a$	19,6 =E
21.	$(\exists m < a)(\exists n < a)\mathcal{Q}(m, n, t+l)$	14,18,20 (\exists I)
22.	$(\exists m < a)(\exists n < a)\mathcal{Q}(m, n, a)$	21,6 =E
23.	$(\exists m < a)(\exists n < a)\mathcal{Q}(m, n, a)$	13,14-22 (\exists E)
24.	$\mathcal{P}(a) \vee (\exists m < a)(\exists n < a)\mathcal{Q}(m, n, a)$	23 \vee I
25.	$\mathcal{P}(a) \vee (\exists m < a)(\exists n < a)\mathcal{Q}(m, n, a)$	9,10-12,13-24 \vee E
26.	$\mathcal{P}(a) \vee (\exists m < a)(\exists n < a)\mathcal{Q}(m, n, a)$	5,6-25 \exists E
27.	$(t \leq a \wedge a < t+s) \rightarrow [\mathcal{P}(a) \vee (\exists m < a)(\exists n < a)\mathcal{Q}(m, n, a)]$	2-26 \rightarrow I
28.	$\forall i[(t \leq i \wedge i < t+s) \rightarrow [\mathcal{P}(i) \vee (\exists m < i)(\exists n < i)\mathcal{Q}(m, n, i)]]$	27 \forall I
29.	$(\forall i : t \leq i < t+s)[\mathcal{P}(i) \vee (\exists m < i)(\exists n < i)\mathcal{Q}(m, n, i)]$	28 abv

E13.40. Show

T13.55.

T13.55.i. $\text{PA} \vdash [\text{Termsub}(t, v, s, q) \wedge \text{Termsub}(t, v, s, r)] \rightarrow q = r$

1.	$Termsub(t, v, s, q) \wedge Termsub(t, v, s, r)$	A (g \rightarrow I)
2.	$(\exists x \leq X)(\exists y \leq Y) Tsubseq(x, y, t, v, s, q) \wedge (\exists x \leq X)(\exists y \leq Y) Tsubseq(x, y, t, v, s, r)$	1 T13.50b
3.	$Tsubseq(m, n, t, v, s, q) \wedge Tsubseq(m', n', t, v, s, r)$	A (g 2 (\exists E))
4.	$Termseq(m, t) \wedge Termseq(m', t)$	3 T13.50a
5.	$len(m) = len(n) \wedge len(m') = len(n')$	3 T13.50a
6.	$exp(n, len(n) \dot{-} \bar{1}) = q \wedge exp(n', len(n') \dot{-} \bar{1}) = r$	3 T13.50a
7.	$exp(m, len(m) \dot{-} \bar{1}) = t \wedge exp(m', len(m') \dot{-} \bar{1}) = t$	4 T13.48a
8.	$m > \bar{1} \wedge m' > \bar{1}$	4 T13.48a
9.	$len(m) > \emptyset \wedge len(m') > \emptyset$	8 T13.45j
10.	$len(m) \dot{-} \bar{1} < len(m) \wedge len(m') \dot{-} \bar{1} < len(m')$	9 T13.23i
11.	$\emptyset < len(m)$	A (g \rightarrow I)
12.	$a < len(m')$	A (g (\forall I))
13.	$exp(m, \emptyset) = exp(m', a)$	A (g \rightarrow I)
14.	$I(m, n, \emptyset) \vee J(v, m, n, \emptyset) \vee K(v, s, m, n, \emptyset) \vee L(m, n, \emptyset) \vee M(m, n, \emptyset) \vee N(m, n, \emptyset)$	3,11 T13.50a
15.	$I(m', n', a) \vee J(v, m', n', a) \vee K(v, s, m', n', a) \vee L(m', n', a) \vee M(m', n', a) \vee N(m', n', a)$	3,12, T13.50a
16.	$I(m, n, \emptyset)$	A (g 14 \vee E)
17.	$exp(m, \emptyset) = \overline{\emptyset} \wedge exp(n, \emptyset) = \overline{\emptyset}$	16 abv
18.	$I(m', n', a)$	A (g 15 \vee E)
19.	$exp(n', a) = \overline{\emptyset}$	18 abv
20.	$exp(n, \emptyset) = exp(n', a)$	17,19 \Rightarrow E
21.	$J(v, m', n', a) \vee K(v, s, m', n', a) \vee L(m', n', a) \vee M(m', n', a) \vee N(m', n', a)$	A (g 15 \vee E)
22.	$exp(n, \emptyset) \neq exp(n', a)$	A (c \sim E)
23.	$exp(m', a) = \overline{\emptyset}$	13,17 \Rightarrow E
24.	$\sim [J(v, m', n', a) \vee K(v, s, m', n', a) \vee L(m', n', a) \vee M(m', n', a) \vee N(m', n', a)]$	23 T13.55c
25.	\perp	21,24 \perp I
26.	$exp(n, \emptyset) = exp(n', a)$	22-25 \sim E
27.	$exp(n, \emptyset) = exp(n', a)$	15,18-26 \vee E
28.	$J(v, m, n, \emptyset) \vee K(v, s, m, n, \emptyset)$	A (g 14 \vee E)
29.	$exp(n, \emptyset) = exp(n', a)$	similarly
30.	$L(m, n, \emptyset)$	A (g 14 \vee E)
31.	$(\exists i < \emptyset)[exp(m, \emptyset) = \overline{\emptyset} * exp(m, i) \wedge exp(n, \emptyset) = exp(n, i)]$	30 abv
32.	$i < \emptyset$	A (g 31 (\exists E))
33.	$exp(n, \emptyset) \neq exp(n', a)$	A (c \sim E)
34.	$i \neq \emptyset$	T13.13d,r
35.	\perp	32,34 \perp I
36.	$exp(n, \emptyset) = exp(n', a)$	33-35 \sim E
37.	$exp(n, \emptyset) = exp(n', a)$	31,32-36 (\exists E)
38.	$M(m, n, \emptyset) \vee N(m, n, \emptyset)$	A (g 14 \vee E)
39.	$exp(n, \emptyset) = exp(n', a)$	similarly
40.	$exp(n, \emptyset) = exp(n', a)$	14,16-39 \vee E
41.	$exp(m, \emptyset) = exp(m', a) \rightarrow exp(n, \emptyset) = exp(n', a)$	13-40 \rightarrow I
42.	$(\forall x < len(m'))(exp(m, \emptyset) = exp(m', x) \rightarrow exp(n, \emptyset) = exp(n', x))$	12-41 (\forall I)
43.	$\emptyset < len(m) \rightarrow (\forall x < len(m'))(exp(m, \emptyset) = exp(m', x) \rightarrow exp(n, \emptyset) = exp(n', x))$	11-42 \rightarrow I

44.	$(\forall z \leq k)[z < \text{len}(m) \rightarrow (\forall x < \text{len}(m'))(\exp(m, z) = \exp(m', x) \rightarrow \exp(n, z) = \exp(n', x))]$	A (g \rightarrow I)
45.	$Sk < \text{len}(m)$	A (g \rightarrow I)
46.	$a < \text{len}(m')$	A (g (\forall I))
47.	$\exp(m, Sk) = \exp(m', a)$	A (g \rightarrow I)
48.	$I(m, n, Sk) \vee J(v, m, n, Sk) \vee K(v, s, m, n, Sk) \vee L(m, n, Sk) \vee M(m, n, Sk) \vee N(m, n, Sk)$	3,45 T13.50a
49.	$I(m', n', a) \vee J(v, m', n', a) \vee K(v, s, m', n', a) \vee L(m', n', a) \vee M(m', n', a) \vee N(m', n', a)$	3,46 T13.50a
50.	$I(m, n, Sk) \vee J(v, m, n, Sk) \vee K(v, s, m, n, Sk)$	A (g 48VE)
51.	$\exp(n, Sk) = \exp(n', a)$	as from basis
52.	$L(m, n, Sk)$	A (g 48VE)
53.	$(\exists i < Sk)[\exp(m, Sk) = \overline{rS} * \exp(m, i) \wedge \exp(n, Sk) = \overline{rS} * \exp(n, i)]$	52 abv
54.	$b < Sk$	A (g 53 (\exists E))
55.	$\exp(m, Sk) = \overline{rS} * \exp(m, b) \wedge \exp(n, Sk) = \overline{rS} * \exp(n, b)$	
56.	$L(m', n', a)$	A (g 49VE)
57.	$(\exists i < a)[\exp(m', a) = \overline{rS} * \exp(m', i) \wedge \exp(n', a) = \overline{rS} * \exp(n', i)]$	56 abv
58.	$c < a$	A (g 57 (\exists E))
59.	$\exp(m', a) = \overline{rS} * \exp(m', c) \wedge \exp(n', a) = \overline{rS} * \exp(n', c)$	
60.	$\overline{rS} * \exp(m, b) = \overline{rS} * \exp(m', c)$	47,55,59 =E
61.	$b < \text{len}(m) \wedge c < \text{len}(m')$	45,46,54,58 T13.13b
62.	$\text{Term}(\exp(m, b)) \wedge \text{Term}(\exp(m', c))$	4,61 T13.48n
63.	$\exp(m, b) = \exp(m', c)$	60,62 T13.52b
64.	$b \leq k$	54 T13.13n,m
65.	$\exp(m, b) = \exp(m', c) \rightarrow \exp(n, b) = \exp(n', c)$	44,64,61 (\forall E)
66.	$\exp(n, b) = \exp(n', c)$	65,63 \rightarrow E
67.	$\overline{rS} * \exp(n, b) = \overline{rS} * \exp(n', c)$	66 =E
68.	$\exp(n, Sk) = \exp(n', a)$	67,55,59 =E
69.	$\exp(n, Sk) = \exp(n', a)$	57,58-68 (\exists E)
70.	$I(m', n', a) \vee J(v, m', n', a) \vee K(v, s, m', n', a) \vee M(m', n', a) \vee N(m', n', a)$	A (g 49VE)
71.	$\exp(n, Sk) = \exp(n', a)$	as before
72.	$\exp(n, Sk) = \exp(n', a)$	49,56-71 \vee E
73.	$\exp(n, Sk) = \exp(n', a)$	53,54-72 (\exists E)
74.	$M(m, n, Sk) \vee N(m, n, Sk)$	A (g 48VE)
75.	$\exp(n, Sk) = \exp(n', a)$	similarly
76.	$\exp(n, Sk) = \exp(n', a)$	48,50-75 \vee E
77.	$\exp(m, Sk) = \exp(m', a) \rightarrow \exp(n, Sk) = \exp(n', a)$	47-76 \rightarrow I
78.	$(\forall x < \text{len}(m'))(\exp(m, Sk) = \exp(m', x) \rightarrow \exp(n, Sk) = \exp(n', x))$	46-77 (\forall I)
79.	$Sk < \text{len}(m) \rightarrow (\forall x < \text{len}(m'))(\exp(m, Sk) = \exp(m', x) \rightarrow \exp(n, Sk) = \exp(n', x))$	45-78 \rightarrow I
80.	$(\forall z \leq k)[z < \text{len}(m) \rightarrow (\forall x < \text{len}(m'))(\exp(m, z) = \exp(m', x) \rightarrow \exp(n, z) = \exp(n', x))]$	44-79 \rightarrow I
81.	$Sk < \text{len}(m) \rightarrow (\forall x < \text{len}(m'))(\exp(m, Sk) = \exp(m', x) \rightarrow \exp(n, Sk) = \exp(n', x))$	43,80 T13.13ag
82.	$\forall k[k < \text{len}(m) \rightarrow (\forall x < \text{len}(m'))(\exp(m, k) = \exp(m', x) \rightarrow \exp(n, k) = \exp(n', x))]$	81,5,10 (\forall E)
83.	$\exp(m, \text{len}(m) \dot{-} 1) = \exp(m', \text{len}(m') \dot{-} 1) \rightarrow \exp(n, \text{len}(n) \dot{-} 1) = \exp(n', \text{len}(n') \dot{-} 1)$	7 =E
84.	$\exp(m, \text{len}(m) \dot{-} 1) = \exp(m', \text{len}(m') \dot{-} 1)$	82,83 \rightarrow E
85.	$\exp(n, \text{len}(n) \dot{-} 1) = \exp(n', \text{len}(n') \dot{-} 1)$	6,84 =E
86.	$q = r$	2,3-85 (\exists E)
87.	$[\text{Termsub}(t, v, s, q) \wedge \text{Termsub}(t, v, s, r)] \rightarrow q = r$	3-85 \rightarrow I

T13.55.n. $\text{PA} \vdash \text{Var}(v) \rightarrow [(\sim \text{Atomsub}(p, v, v \times \bar{4}, p) \wedge \text{Atomsub}(p, v, s, q)) \rightarrow s \leq q]$

Exercise 13.40 T13.55.n

1.	$\text{Var}(v)$	$A(g \rightarrow I)$
2.	$\sim \text{Atomsub}(p, v, v \times \bar{4}, p) \wedge \text{Atomsub}(p, v, s, q)$	$A(g \rightarrow I)$
3.	$w = v \times \bar{4}$	def
4.	$\text{Term}(w) \wedge w \neq v$	1,3 T13.55b
5.	$(\exists a \leq p)(\exists b \leq p)(\exists a' \leq q)(\exists b' \leq q)[\text{Term}(a) \wedge \text{Term}(b) \wedge p = \overline{r=}\bar{=} * a * b \wedge$ $\text{Termsub}(a, v, s, a') \wedge \text{Termsub}(b, v, s, b') \wedge q = \overline{r=}\bar{=} * a' * b']$	2 T13.50c
6.	$\text{Term}(c) \wedge \text{Term}(d) \wedge p = \overline{r=}\bar{=} * c * d$	$A(g \ 5 (\exists E))$
7.	$\text{Termsub}(c, v, s, c') \wedge \text{Termsub}(d, v, s, d') \wedge q = \overline{r=}\bar{=} * c' * d'$	
8.	$\text{Atomic}(p)$	6 T13.49c
9.	$\exists q \text{Atomsub}(p, v, w, q)$	4,8 T13.50n
10.	$\text{Atomsub}(p, v, w, r)$	$A(g \ 9 \exists E)$
11.	$r \neq p$	2,10 $\sim I$
12.	$(\exists a \leq p)(\exists b \leq p)(\exists a' \leq r)(\exists b' \leq r)[\text{Term}(a) \wedge \text{Term}(b) \wedge p = \overline{r=}\bar{=} * a * b \wedge$ $\text{Termsub}(a, v, w, a') \wedge \text{Termsub}(b, v, w, b') \wedge r = \overline{r=}\bar{=} * a' * b']$	10 T13.50c
13.	$\text{Term}(e) \wedge \text{Term}(f) \wedge p = \overline{r=}\bar{=} * e * f$	$A(g \ 12 (\exists E))$
14.	$\text{Termsub}(e, v, w, e') \wedge \text{Termsub}(f, v, w, f') \wedge r = \overline{r=}\bar{=} * e' * f'$	
15.	$\overline{r=}\bar{=} * c * d = \overline{r=}\bar{=} * e * f$	6,13 =E
16.	$c = e \wedge d = f$	6,13,15 T13.52i
17.	$\overline{r=}\bar{=} * e * f \neq \overline{r=}\bar{=} * e' * f'$	11,13,14 =E
18.	$e \neq e' \vee f \neq f'$	17 $\sim I$
19.	$\sim \text{Termsub}(e, v, w, e) \vee \sim \text{Termsub}(f, v, w, f)$	14,18 T13.55i
20.	$\text{Free}_t(e, v) \vee \text{Free}_t(f, v)$	19 T13.55a
21.	$\text{Free}_t(e, v)$	$A(g \ 20 \vee E)$
22.	$\text{Termsub}(e, v, s, c')$	7,16 =E
23.	$s \leq c'$	13,1,21,22 T13.55m
24.	$c' \leq \overline{r=}\bar{=} * c' * d'$	T13.47n,o
25.	$s \leq q$	23,24,7 T13.13a
26.	$\text{Free}_t(f, v)$	$A(g \ 20 \vee E)$
27.	$s \leq q$	similarly
28.	$s \leq q$	20,21-27 $\vee E$
29.	$s \leq q$	12,13-28 $(\exists E)$
30.	$s \leq q$	9,10-29 $\exists E$
31.	$s \leq q$	5,6-30 $(\exists E)$
32.	$(\sim \text{Atomsub}(p, v, v \times \bar{4}, p) \wedge \text{Atomsub}(p, v, s, q)) \rightarrow s \leq q$	2-31 $\rightarrow I$
33.	$\text{Var}(v) \rightarrow [(\sim \text{Atomsub}(p, v, v \times \bar{4}, p) \wedge \text{Atomsub}(p, v, s, q)) \rightarrow s \leq q]$	1-32 $\rightarrow I$

E13.42. Show (s) and (u) from T13.57. Hard core: show the rest of the results from T13.57.

T13.57.

T13.57.h. $\text{PA} \vdash [\text{Prvt}(p) \wedge \text{Var}(v)] \rightarrow \text{Prvt}(\text{inv}(v, p))$

1.	$\text{Prvt}(p) \wedge \text{Var}(v)$	A ($g \rightarrow I$)
2.	$\text{Prvt}(p)$	1 $\wedge E$
3.	$\exists v \text{Prft}(v, p)$	2 abv
4.	$\text{Prft}(m, p)$	A ($g \exists E$)
5.	$\exp(m, \text{len}(m) \dot{-} \bar{1}) = p$	4 T13.40f
6.	$m > \bar{1}$	4 T13.40f
7.	$(\forall k < \text{len}(m))[\text{Axiomt}(\exp(m, k)) \vee (\exists i < k)(\exists j < k)\text{Icon}(\exp(m, i), \exp(m, j), \exp(m, k))]$	4 T13.40f
8.	$\text{len}(\overline{\Gamma \nabla}) = \bar{1}$	cap
9.	$\text{len}(\text{wnv}(v, p)) = \text{len}(\overline{\Gamma \nabla}) + \text{len}(v) + \text{len}(p)$	T13.47f def
10.	$\text{len}(\text{wnv}(v, p)) \geq \bar{1}$	8,9 T13.13u
11.	$\text{wnv}(v, p) > \bar{1}$	10 T13.45g
12.	$\text{len}(\bar{2}^{\text{wnv}(v, p)}) = \bar{1}$	11 T13.45k
13.	$\text{len}(m * \bar{2}^{\text{wnv}(v, p)}) = \text{len}(m) + \bar{1}$	12 T13.47f
14.	$\text{len}(m * \bar{2}^{\text{wnv}(v, p)}) \dot{-} \bar{1} = \text{len}(m)$	13 T13.23k
15.	$\exp(m * \bar{2}^{\text{wnv}(v, p)}, \text{len}(m)) = \exp(\bar{2}^{\text{wnv}(v, p)}, \emptyset)$	14 T13.47g
16.	$\exp(\bar{2}^{\text{wnv}(v, p)}, \emptyset) = \text{wnv}(v, p)$	T13.44i
17.	$\exp(m * \bar{2}^{\text{wnv}(v, p)}, \text{len}(m)) = \text{wnv}(v, p)$	15,16 =E
18.	$\exp(m * \bar{2}^{\text{wnv}(v, p)}, \text{len}(m * \bar{2}^{\text{wnv}(v, p)})) \dot{-} \bar{1} = \text{wnv}(v, p)$	17,14 =E
19.	$\text{len}(m * \bar{2}^{\text{wnv}(v, p)}) \geq \bar{1}$	13 T13.13u
20.	$m * \bar{2}^{\text{wnv}(v, p)} > \bar{1}$	19 T13.45g
21.	$\text{wnv}(v, p) = u$	abv
22.	$a < \text{len}(m) * \bar{2}^u$	A ($g (\forall I)$)
23.	$a < \text{len}(m) \vee a = \text{len}(m)$	13 T13.13n
24.	$a < \text{len}(m)$	A ($g \exists \vee E$)
25.	$\exp(m * \bar{2}^u, a) = \exp(m, a)$	24 T13.47c
26.	$\text{Axiomt}(\exp(m, a)) \vee (\exists i < a)(\exists j < a)\text{Icon}(\exp(m, i), \exp(m, j), \exp(m, a))$	7,24 ($\vee E$)
27.	$\text{Axiomt}(\exp(m, a))$	A ($g \exists \vee E$)
28.	$\text{Axiomt}(\exp(m * \bar{2}^u, a))$	27,25 =E
29.	$\text{Axiomt}(\exp(m * \bar{2}^u, a)) \vee (\exists i < a)(\exists j < a)\text{Icon}(\exp(m * \bar{2}^u, i), \exp(m * \bar{2}^u, j), \exp(m * \bar{2}^u, a))$	28 $\vee I$
30.	$(\exists i < a)(\exists j < a)\text{Icon}(\exp(m, i), \exp(m, j), \exp(m, a))$	A ($g \exists \vee E$)
31.	$r < a \wedge s < a$	A ($g \exists E$)
32.	$\text{Icon}(\exp(m, r), \exp(m, s), \exp(m, a))$	
33.	$r < \text{len}(m) \wedge s < \text{len}(m)$	24,31 T13.13b
34.	$\exp(m * \bar{2}^u, r) = \exp(m, r) \wedge \exp(m * \bar{2}^u, s) = \exp(m, s)$	33 T13.47c
35.	$\text{Icon}(\exp(m * \bar{2}^u, r), \exp(m * \bar{2}^u, s), \exp(m * \bar{2}^u, a))$	32,34,25 =E
36.	$(\exists i < a)(\exists j < a)\text{Icon}(\exp(m * \bar{2}^u, i), \exp(m * \bar{2}^u, j), \exp(m * \bar{2}^u, a))$	31,35 ($\exists I$)
37.	$\text{Axiomt}(\exp(m * \bar{2}^u, a)) \vee (\exists i < a)(\exists j < a)\text{Icon}(\exp(m * \bar{2}^u, i), \exp(m * \bar{2}^u, j), \exp(m * \bar{2}^u, a))$	36 $\vee I$
38.	$\text{Axiomt}(\exp(m * \bar{2}^u, a)) \vee (\exists i < a)(\exists j < a)\text{Icon}(\exp(m * \bar{2}^u, i), \exp(m * \bar{2}^u, j), \exp(m * \bar{2}^u, a))$	30,31-37 ($\exists E$)
39.	$\text{Axiomt}(\exp(m * \bar{2}^u, a)) \vee (\exists i < a)(\exists j < a)\text{Icon}(\exp(m * \bar{2}^u, i), \exp(m * \bar{2}^u, j), \exp(m * \bar{2}^u, a))$	26,27-38 $\vee E$
40.	$a = \text{len}(m)$	A ($g \exists \vee E$)
41.	$\text{len}(m) > \emptyset$	6 T13.45j
42.	$\text{len}(m) \dot{-} \bar{1} < \text{len}(m)$	41 T13.23i
43.	$\exp(m * \bar{2}^u, \text{len}(m) \dot{-} \bar{1}) = \exp(m, \text{len}(m) \dot{-} \bar{1})$	42 T13.47c
44.	$\exp(m * \bar{2}^u, \text{len}(m) \dot{-} \bar{1}) = p$	43,5 =E
45.	$v \leq \text{wnv}(v, p)$	T13.47n.o
46.	$(\exists v \leq u)(\text{Var}(v) \wedge u = \text{wnv}(v, \exp(m * \bar{2}^u, \text{len}(m) \dot{-} \bar{1})))$	1,44,45 ($\exists I$)
47.	$\text{Gen}(\exp(m * \bar{2}^u, \text{len}(m) \dot{-} \bar{1}), u)$	46 T13.40d
48.	$\text{MP}(\exp(m * \bar{2}^u, \text{len}(m) \dot{-} \bar{1}), \exp(m * \bar{2}^u, \text{len}(m) \dot{-} \bar{1}), u) \vee$ $(\exp(m * \bar{2}^u, \text{len}(m) \dot{-} \bar{1}) = \exp(m * \bar{2}^u, \text{len}(m) \dot{-} \bar{1}) \wedge \text{Gen}(\exp(m * \bar{2}^u, \text{len}(m) \dot{-} \bar{1}), u))$	47 $\vee I$
49.	$\text{Icon}(\exp(m * \bar{2}^u, \text{len}(m) \dot{-} \bar{1}), \exp(m * \bar{2}^u, \text{len}(m) \dot{-} \bar{1}), u)$	48 T13.40e
50.	$\text{len}(m) \dot{-} \bar{1} < a$	42,40 =E
51.	$(\exists i < a)(\exists j < a)\text{Icon}(\exp(m * \bar{2}^u, i), \exp(m * \bar{2}^u, j), \exp(m * \bar{2}^u, a))$	50,17 ($\exists I$)
52.	$\text{Axiomt}(\exp(m * \bar{2}^u, a)) \vee (\exists i < a)(\exists j < a)\text{Icon}(\exp(m * \bar{2}^u, i), \exp(m * \bar{2}^u, j), \exp(m * \bar{2}^u, a))$	51 $\vee I$
53.	$\text{Axiomt}(\exp(m * \bar{2}^u, a)) \vee (\exists i < a)(\exists j < a)\text{Icon}(\exp(m * \bar{2}^u, i), \exp(m * \bar{2}^u, j), \exp(m * \bar{2}^u, a))$	23,24-52 $\vee E$
54.	$(\forall k < \text{len}(m) * \bar{2}^{\text{wnv}(v, p)})[\text{Axiomt}(\exp(m * \bar{2}^{\text{wnv}(v, p)}, k)) \vee$ $(\exists i < k)(\exists j < k)\text{Icon}(\exp(m * \bar{2}^{\text{wnv}(v, p)}, i), \exp(m * \bar{2}^{\text{wnv}(v, p)}, j), \exp(m * \bar{2}^{\text{wnv}(v, p)}, k))]$	22-53 ($\forall I$)
55.	$\text{Prft}(m * \bar{2}^{\text{wnv}(v, p)}, \text{wnv}(v, p))$	18,20,54 T13.40f
56.	$\text{Prvt}(\text{wnv}(v, p))$	55 $\exists I$
57.	$\text{Prvt}(\text{wnv}(v, p))$	3,4-56 $\exists E$
58.	$[\text{Prvt}(p) \wedge \text{Var}(v)] \rightarrow \text{Prvt}(\text{wnv}(v, p))$	1-57 $\rightarrow I$

T13.57.j. $\text{PA} \vdash [\mathcal{W}\text{ff}(p) \wedge \text{Var}(v)] \rightarrow \text{Freefor}(v, v, p)$

1.	$\mathcal{W}\text{ff}(p) \wedge \text{Var}(v)$	A (g \rightarrow I)
2.	$\text{Formseq}(m, p)$	1 T13.49b
3.	$\text{exp}(m, \text{len}(m) \dot{-} \bar{1}) = p$	2 T13.49a
4.	$m > \bar{1}$	2 T13.49a
5.	$\text{len}(m) > \emptyset$	4 T13.45j
6.	$\text{len}(m) \dot{-} \bar{1} < \text{len}(m)$	5 T13.23i
7.	$\text{Formseq}(n, p) \wedge (\forall i < \text{len}(n)) \text{exp}(n, i) \leq p \wedge \text{len}(n) \leq \text{len}(p)$	2,3,6 T13.49j
8.	$[\text{pi}(\text{len}(p))^p]^{\text{len}(p)} \geq \text{val}(n, \text{len}(n))$	7 T13.46o
9.	$n > \bar{1}$	7 T13.49a
10.	$n \leq [\text{pi}(\text{len}(p))^p]^{\text{len}(p)}$	8,9 T13.46n
11.	$n \leq B_p$	10 T13.57b
12.	$\text{exp}(n, \text{len}(n) \dot{-} \bar{1}) = p$	7 T13.49a
13.	$a < \text{len}(n)$	A (g $(\forall I)$)
14.	$E(n, a) \vee F(n, a) \vee G(n, a) \vee H(p, n, a)$	7,13 T13.49a
15.	$E(n, a)$	A (g $14\vee E$)
16.	$\text{Atomic}(\text{exp}(n, a))$	15 abv
17.	$T(n, a)$	16 abv
18.	$T(n, a) \vee U(n, a) \vee V(n, a) \vee W(p, v, n, a) \vee X(p, v, v, n, a)$	17 $\vee I$
19.	$F(n, a) \vee G(n, a)$	A (g $14\vee E$)
20.	$T(n, a) \vee U(n, a) \vee V(n, a) \vee W(p, v, n, a) \vee X(p, v, v, n, a)$	similarly
21.	$H(p, n, a)$	A (g $14\vee E$)
22.	$(\exists i < a)(\exists j < p)[\text{Var}(j) \wedge \text{exp}(n, a) = \text{inv}(j, \text{exp}(n, i))]$	21 abv
23.	$l < a \wedge u < p$	A (g $22 (\exists E)$)
24.	$\text{Var}(u) \wedge \text{exp}(n, a) = \text{inv}(u, \text{exp}(n, l))$	
25.	$u = v \vee u \neq v$	T3.1
26.	$u = v$	A (g $25\vee E$)
27.	$l < \text{len}(n)$	13,23 T13.13b
28.	$\mathcal{W}\text{ff}(\text{exp}(n, l))$	7,27 T13.49i
29.	$\text{exp}(n, l) \leq p$	7,27 $(\forall E)$
30.	$\text{exp}(n, a) = \text{inv}(v, \text{exp}(n, l))$	24,26 $=E$
31.	$(\exists q < p)[\mathcal{W}\text{ff}(q) \wedge \text{exp}(n, a) = \text{inv}(v, q)]$	29,28,30 $(\exists I)$
32.	$W(p, v, n, a)$	31 abv
33.	$T(n, a) \vee U(n, a) \vee V(n, a) \vee W(p, v, n, a) \vee X(p, v, v, n, a)$	32 $\vee I$
34.	$u \neq v$	A (g $25\vee E$)
35.	$T_{\text{subseq}}(\bar{2}^v, \bar{2}^v, v, u, u \times \bar{4}, v)$	1,34 T13.50g
36.	$T_{\text{termsub}}(v, u, u \times \bar{4}, v)$	35 T13.50i
37.	$\sim \text{Free}_f(v, u)$	36 T13.55a
38.	$\sim \text{Free}_f(v, u) \vee \sim \text{Free}_f(\text{exp}(n, l), v)$	37 $\vee I$
39.	$(\exists i < a)(\exists j \leq p)[\text{Var}(j) \wedge j \neq v \wedge (\sim \text{Free}_f(v, j) \vee \sim \text{Free}_f(\text{exp}(n, i), v)) \wedge \text{exp}(n, a) = \text{inv}(j, \text{exp}(n, i))]$	23,24,34,38 $(\exists I)$
40.	$X(p, v, v, n, a)$	39 abv
41.	$T(n, a) \vee U(n, a) \vee V(n, a) \vee W(p, v, n, a) \vee X(p, v, v, n, a)$	40 $\vee I$
42.	$T(n, a) \vee U(n, a) \vee V(n, a) \vee W(p, v, n, a) \vee X(p, v, v, n, a)$	25,26-41 $\vee E$
43.	$T(n, a) \vee U(n, a) \vee V(n, a) \vee W(p, v, n, a) \vee X(p, v, v, n, a)$	22,23-42 $(\exists E)$
44.	$T(n, a) \vee U(n, a) \vee V(n, a) \vee W(p, v, n, a) \vee X(p, v, v, n, a)$	14,15-43 $\vee E$
45.	$(\forall k < \text{len}(n))[T(n, k) \vee U(n, k) \vee V(n, k) \vee W(p, v, n, k) \vee X(p, v, v, n, k)]$	13-44 $(\forall I)$
46.	$\text{Ffseq}(n, v, v, p)$	12,9,45 T13.57a
47.	$(\exists x \leq B_p) \text{Ffseq}(x, v, v, p)$	11,46 $(\exists I)$
48.	$\text{Freefor}(v, v, p)$	47 T13.57b
49.	$[\mathcal{W}\text{ff}(p) \wedge \text{Var}(v)] \rightarrow \text{Freefor}(v, v, p)$	1-48 $\rightarrow I$

Exercise 13.42 T13.57.j

T13.57.k. $\text{PA} \vdash \text{Axiomad4}(n) \leftrightarrow \exists s(\exists p \leq n)(\exists v \leq n)[\text{Wff}(p) \wedge \text{Var}(v) \wedge \text{Term}(s) \wedge \text{Freefor}(s, v, p) \wedge n = \text{end}(\text{unv}(v, p), \text{formsub}(p, v, s))]$

Let $\mathcal{A} = \sim \text{Free}_f(v, p) \wedge n = \text{end}(\text{unv}(v, p), p)$ and $\mathcal{B} = (\exists s \leq n)(\text{Free}_f(v, p) \wedge \text{Term}(s) \wedge \text{Freefor}(s, v, p) \wedge n = \text{end}(\text{unv}(v, p), \text{formsub}(p, v, s)))$

1.	$Axiomad4(n)$	$A (g \leftrightarrow I)$
2.	$(\exists p \leq n)(\exists v \leq n)[Wff(p) \wedge Var(v) \wedge (\mathcal{A} \vee \mathcal{B})]$	1 T13.57c
3.	$p \leq n \wedge v \leq n$	$A (g \ 2 (\exists E))$
4.	$Wff(p) \wedge Var(v)$	
5.	$\mathcal{A} \vee \mathcal{B}$	
6.	\mathcal{A}	$A (g \ 5 \vee E)$
7.	$\sim Free_f(v, p) \wedge n = cnd(\mathcal{U}nv(v, p), p)$	6 abv
8.	$v \leq \mathcal{U}nv(v, p)$	T13.47n,o
9.	$v \leq n$	7,8 T13.47n,o
10.	$Term(v)$	4 T13.48i,m
11.	$Freefor(v, v, p)$	4 T13.57j
12.	$formsub(p, v, v) = p$	4,10,7 T13.56i
13.	$n = cnd(\mathcal{U}nv(v, p), formsub(p, v, v))$	7,12 =E
14.	$\exists s(\exists p \leq n)(\exists v \leq n)[Wff(p) \wedge Var(v) \wedge Term(s) \wedge Freefor(s, v, p) \wedge n = cnd(\mathcal{U}nv(v, p), formsub(p, v, s))]$	3,4,10,11,13 ($\exists I$)
15.	\mathcal{B}	$A (g \ 5 (\exists E))$
16.	$(\exists s \leq n)(Free_f(v, p) \wedge Term(s) \wedge Freefor(s, v, p) \wedge n = cnd(\mathcal{U}nv(v, p), formsub(p, v, s)))$	15 abv
17.	$s \leq n$	$A (g \ 16 (\exists E))$
18.	$Free_f(v, p) \wedge Term(s) \wedge Freefor(s, v, p) \wedge n = cnd(\mathcal{U}nv(v, p), formsub(p, v, s))$	
19.	$\exists s(\exists p \leq n)(\exists v \leq n)[Wff(p) \wedge Var(v) \wedge Term(s) \wedge Freefor(s, v, p) \wedge n = cnd(\mathcal{U}nv(v, p), formsub(p, v, s))]$	3,4,18 ($\exists I$)
20.	$\exists s(\exists p \leq n)(\exists v \leq n)[Wff(p) \wedge Var(v) \wedge Term(s) \wedge Freefor(s, v, p) \wedge n = cnd(\mathcal{U}nv(v, p), formsub(p, v, s))]$	16,17-19 ($\exists E$)
21.	$\exists s(\exists p \leq n)(\exists v \leq n)[Wff(p) \wedge Var(v) \wedge Term(s) \wedge Freefor(s, v, p) \wedge n = cnd(\mathcal{U}nv(v, p), formsub(p, v, s))]$	5,6-20 $\vee E$
22.	$\exists s(\exists p \leq n)(\exists v \leq n)[Wff(p) \wedge Var(v) \wedge Term(s) \wedge Freefor(s, v, p) \wedge n = cnd(\mathcal{U}nv(v, p), formsub(p, v, s))]$	2,3-21 ($\exists E$)
23.	$\exists s(\exists p \leq n)(\exists v \leq n)[Wff(p) \wedge Var(v) \wedge Term(s) \wedge Freefor(s, v, p) \wedge n = cnd(\mathcal{U}nv(v, p), formsub(p, v, s))]$	$A (g \leftrightarrow I)$
24.	$p \leq n \wedge v \leq n$	$A (g \ 23 (\exists E))$
25.	$Wff(p) \wedge Var(v) \wedge Term(s) \wedge Freefor(s, v, p) \wedge n = cnd(\mathcal{U}nv(v, p), formsub(p, v, s))$	
26.	$Free_f(v, p) \vee \sim Free_f(v, p)$	T3.1
27.	$Free_f(v, p)$	$A (g \ 26 \vee E)$
28.	$s \leq formsub(p, v, s)$	25,27 T13.56j
29.	$s \leq n$	28,25 T13.47n,o
30.	$Free_f(v, p) \wedge Term(s) \wedge Freefor(s, v, p) \wedge n = cnd(\mathcal{U}nv(v, p), formsub(p, v, s))$	27,25 $\wedge I$
31.	$(\exists s \leq n)[Free_f(v, p) \wedge Term(s) \wedge Freefor(s, v, p) \wedge n = cnd(\mathcal{U}nv(v, p), formsub(p, v, s))]$	29,30 ($\exists I$)
32.	\mathcal{B}	31 abv
33.	$\mathcal{A} \vee \mathcal{B}$	32 $\vee I$
34.	$(\exists p \leq n)(\exists v \leq n)[Wff(p) \wedge Var(v) \wedge (\mathcal{A} \vee \mathcal{B})]$	24,25,33 ($\exists I$)
35.	$\sim Free_f(v, p)$	$A (g \ 26 \vee E)$
36.	$formsub(p, v, s) = p$	25,35 T13.56i
37.	$n = cnd(\mathcal{U}nv(v, p), p)$	25,36 =E
38.	$\sim Free_f(v, p) \wedge n = cnd(\mathcal{U}nv(v, p), p)$	35,37 $\wedge I$
39.	\mathcal{A}	38 abv
40.	$\mathcal{A} \vee \mathcal{B}$	39 $\vee I$
41.	$Wff(p) \wedge Var(v) \wedge (\mathcal{A} \vee \mathcal{B})$	25,40 $\wedge I$
42.	$(\exists p \leq n)(\exists v \leq n)[Wff(p) \wedge Var(v) \wedge (\mathcal{A} \vee \mathcal{B})]$	24,42 ($\exists I$)
43.	$(\exists p \leq n)(\exists v \leq n)[Wff(p) \wedge Var(v) \wedge (\mathcal{A} \vee \mathcal{B})]$	26,27-42 $\vee E$
44.	$(\exists p \leq n)(\exists v \leq n)[Wff(p) \wedge Var(v) \wedge (\mathcal{A} \vee \mathcal{B})]$	23,24-43 ($\exists E$)
45.	$Axiomad4(n)$	44 T13.57c
46.	$Axiomad4(n) \leftrightarrow \exists s(\exists p \leq n)(\exists v \leq n)[Wff(p) \wedge Var(v) \wedge Term(s) \wedge Freefor(s, v, p) \wedge n = cnd(\mathcal{U}nv(v, p), formsub(p, v, s))]$	1-22,23-45 $\leftrightarrow I$

T13.57.o. $PA \vdash len(numseq(x)) = Sx$

Exercise 13.42 T13.57.o

1.	$\text{numseq}(\emptyset) = \text{pi}(\emptyset)^{\text{num}(\emptyset)}$	def
2.	$\text{num}(\emptyset) > \emptyset$	T13.57l
3.	$\text{len}(\text{pi}(\emptyset)^{\text{num}(\emptyset)}) = S\emptyset$	2 T13.45k
4.	$\text{len}(\text{numseq}(\emptyset)) = S\emptyset$	3,1 =E
5.	$\text{len}(\text{numseq}(x)) = Sx$	A ($g \rightarrow I$)
6.	$\text{numseq}(Sx) = \text{numseq}(x) \times \text{pi}(Sx)^{\text{num}(Sx)}$	def
7.	$\text{num}(Sx) > \emptyset$	T13.57l
8.	$\text{numseq}(x) > \emptyset$	T13.57m
9.	$\text{pi}(Sx)^{\text{num}(Sx)} > \emptyset$	T13.43h
10.	$\forall j [\exp(\text{numseq}(Sx), j) = \exp(\text{numseq}(x), j) + \exp(\text{pi}(Sx)^{\text{num}(Sx)}, j)]$	6,8,9 T13.44m
11.	$\exp(\text{pi}(Sx)^{\text{num}(Sx)}, Sx) = \text{num}(Sx)$	T13.44i
12.	$\exp(\text{pi}(Sx)^{\text{num}(Sx)}, Sx) > \emptyset$	7,11 =E
13.	$\exp(\text{numseq}(Sx), Sx) \geq \exp(\text{pi}(Sx)^{\text{num}(Sx)}, Sx)$	10 T13.13u
14.	$\exp(\text{numseq}(Sx), Sx) > \emptyset$	12,13 T13.13c
15.	$\text{len}(\text{numseq}(Sx)) > Sx$	14 T13.45h
16.	$\text{len}(\text{numseq}(Sx)) \geq SSx$	15 T13.13l
17.	$k > Sx$	A ($g (\forall I)$)
18.	$\exp(\text{numseq}(x), k) = \emptyset$	5,17 T13.45l
19.	$\exp(\text{pi}(Sx)^{\text{num}(Sx)}, k) = \emptyset$	17 T13.44j
20.	$\exp(\text{numseq}(Sx), k) = \emptyset$	10,18,19 =E
21.	$(\forall k > Sx) \exp(\text{numseq}(Sx), k) = \emptyset$	17-20 ($\forall I$)
22.	$\text{len}(\text{numseq}(Sx)) \leq SSx$	21 T13.45i
23.	$\text{len}(\text{numseq}(Sx)) = SSx$	16,22 T13.20
24.	$\text{len}(\text{numseq}(x)) = Sx \rightarrow \text{len}(\text{numseq}(Sx)) = SSx$	5-23 $\rightarrow I$
25.	$\text{len}(\text{numseq}(x)) = Sx$	4,24 IN

T13.57.t. $\text{PA} \vdash [\mathcal{Wff}(p) \wedge \text{Var}(v)] \rightarrow \text{Freefor}(\text{num}(x), v, p)$

1.	$\overline{Wff}(p) \wedge Var(v)$	A ($g \rightarrow I$)
2.	$\overline{Formseq}(m, p)$	1 T13.49b
3.	$\exp(m, len(m) \dot{-} 1) = p$	2 T13.49a
4.	$\overline{Formseq}(n, p)$	2,3 T13.49j
5.	$(\forall i < len(n)) \exp(n, i) \leq p \wedge len(n) \leq len(p)$	2,3 T13.49j
6.	$[pi(len(p))^p]^{len(p)} \geq val(n, len(n))$	5 T13.46o
7.	$n > 1$	4 T13.49a
8.	$val(n, len(n)) = n$	7 T13.46n
9.	$n \leq B_p$	6,8 T13.57b
10.	$\exp(n, len(n) \dot{-} 1) = p$	4 T13.49a
11.	$ a < len(n)$	A ($g \vee I$)
12.	$\overline{E}(n, a) \vee F(n, a) \vee G(n, a) \vee H(p, n, a)$	4,11 T13.49a
13.	$\overline{E}(n, a)$	A ($g \vee E$)
14.	$\overline{Atomic}(\exp(n, a))$	13 abv
15.	$\overline{T}(n, a)$	14 abv
16.	$\overline{T}(n, a) \vee U(n, a) \vee V(n, a) \vee W(p, v, n, a) \vee X(p, v, num(x), n, a)$	15 $\vee I$
17.	$\overline{F}(n, a) \vee G(n, a)$	A ($g \vee E$)
18.	$\overline{T}(n, a) \vee U(n, a) \vee V(n, a) \vee W(p, v, n, a) \vee X(p, v, num(x), n, a)$	similarly
19.	$\overline{H}(p, n, a)$	A ($g \vee E$)
20.	$(\exists i < a)(\exists j < p)[Var(j) \wedge \exp(n, a) = wv(j, \exp(n, i))]$	19 abv
21.	$i < a \wedge j < p$	A ($g \vee E$)
22.	$\overline{Var}(j)$	
23.	$\exp(n, a) = wv(j, \exp(n, i))$	
24.	$j = v \vee j \neq v$	T3.1
25.	$ j = v$	A ($g \vee E$)
26.	$i < len(n)$	11,21 T13.13b
27.	$\overline{Wff}(\exp(n, i))$	4,26 T13.49l
28.	$\exp(n, a) = wv(v, \exp(n, i))$	23,25 =E
29.	$\overline{Wff}(\exp(n, i)) \wedge \exp(n, a) = wv(v, \exp(n, i))$	27,28 $\wedge I$
30.	$\exp(n, i) \leq p$	5,26 ($\vee E$)
31.	$(\exists b \leq p)[Wff(b) \wedge \exp(n, a) = wv(v, b)]$	29,30 ($\exists I$)
32.	$\overline{W}(p, v, n, a)$	31 abv
33.	$\overline{T}(n, a) \vee U(n, a) \vee V(n, a) \vee W(p, v, n, a) \vee X(p, v, num(x), n, a)$	32 $\vee I$
34.	$ j \neq v$	A ($g \vee E$)
35.	$\sim Free_f(num(x), j)$	T13.57s
36.	$\sim Free_f(num(x), j) \vee \sim Free_f(\exp(n, i), v)$	35 $\vee I$
37.	$(\exists i < a)(\exists j < p)[Var(j) \wedge j \neq v \wedge (\sim Free_f(num(x), j) \vee \sim Free_f(\exp(n, i), v)) \wedge \exp(n, a) = wv(j, \exp(n, i))]$	21,22,34,36,23 ($\exists I$)
38.	$\overline{X}(p, v, num(x), n, a)$	37 abv
39.	$\overline{T}(n, a) \vee U(n, a) \vee V(n, a) \vee W(p, v, n, a) \vee X(p, v, num(x), n, a)$	38 $\vee I$
40.	$\overline{T}(n, a) \vee U(n, a) \vee V(n, a) \vee W(p, v, n, a) \vee X(p, v, num(x), n, a)$	24,25-39 $\vee E$
41.	$\overline{T}(n, a) \vee U(n, a) \vee V(n, a) \vee W(p, v, n, a) \vee X(p, v, num(x), n, a)$	20,21-40 ($\exists E$)
42.	$\overline{T}(n, a) \vee U(n, a) \vee V(n, a) \vee W(p, v, n, a) \vee X(p, v, num(x), n, a)$	12,13-41 $\vee E$
43.	$(\forall k < len(n))[T(n, k) \vee U(n, k) \vee V(n, k) \vee W(p, v, n, k) \vee X(p, v, num(x), n, k)]$	11-42 ($\forall I$)
44.	$\overline{Ffseq}(n, num(x), v, p)$	10,7,43 T13.57a
45.	$(\exists y \leq B_p)(Ffseq(y, num(x), v, p))$	9,44 ($\exists I$)
46.	$\overline{Freefor}(num(x), v, p)$	45 T13.57b
47.	$[Wff(p) \wedge Var(v)] \rightarrow \overline{Freefor}(num(x), v, p)$	1-46 $\rightarrow I$

E13.43. Show T13.58a; then set up the argument for T13.58g including assertion of the main proposition to be shown by induction; then set up the show part working just the P case. Hard core: finish T13.58g and the rest of the results

Exercise 13.43

in T13.58.

T13.58.

T13.58.b. $\text{PA} \vdash [\text{Term}(p) \wedge v \neq w] \rightarrow \exists q \exists t \exists t' [\text{Termsub}(p, v, \text{num}(y), t) \wedge \text{Termsub}(p, w, \text{num}(z), t') \wedge \text{Termsub}(t, w, \text{num}(z), q) \wedge \text{Termsub}(t', v, \text{num}(y), q)]$

Let $\mathcal{P} = \exists q \exists a \exists b \exists c \exists d [\text{Tsubseq}(a, b, \text{exp}(n, k), w, \text{num}(z), q) \wedge \text{Tsubseq}(c, d, \text{exp}(n', k'), v, \text{num}(y), q)]$

1.	$\text{Term}(p) \wedge v \neq w$	A (g \rightarrow I)
2.	$\text{Term}(\text{num}(y)) \wedge \text{Term}(\text{num}(z))$	T13.57r
3.	$\exists t \text{Termsub}(p, v, \text{num}(y), t) \wedge \exists t' \text{Termsub}(p, w, \text{num}(z), t')$	1,2 T13.50m
4.	$\text{Termsub}(p, v, \text{num}(y), t) \wedge \text{Termsub}(p, w, \text{num}(z), t')$	A (g \exists E)
5.	$(\exists x \leq X)(\exists y \leq Y) \text{Tsubseq}(x, y, p, v, \text{num}(y), t) \wedge (\exists x \leq X)(\exists y \leq Y) \text{Tsubseq}(x, y, p, w, \text{num}(z), t')$	4 T13.50b
6.	$\text{Tsubseq}(m, n, p, v, \text{num}(y), t) \wedge \text{Tsubseq}(m', n', p, w, \text{num}(z), t')$	A (g \exists E)
7.	$\text{Termseq}(m, p) \wedge \text{Termseq}(m', t')$	6 T13.50a
8.	$\text{exp}(m, \text{len}(m) \dot{-} 1) = p \wedge \text{exp}(m', \text{len}(m') \dot{-} 1) = p$	7 T13.48a
9.	$m > 1 \wedge m' > 1$	7 T13.48a
10.	$\text{len}(m) > 0 \wedge \text{len}(m') > 0$	9 T13.45j
11.	$\text{exp}(n, \text{len}(n) \dot{-} 1) = t \wedge \text{exp}(n', \text{len}(n') \dot{-} 1) = t'$	6 T13.50a
12.	$\text{len}(m) = \text{len}(n) \wedge \text{len}(m') = \text{len}(n')$	6 T13.50a
13.	$(\forall k < \text{len}(m))[I(m, n, k) \vee J(v, m, n, k) \vee K(v, \text{num}(y), m, n, k) \vee L(m, n, k) \vee M(m, n, k) \vee N(m, n, k)]$	6 T13.50a
14.	$(\forall k' < \text{len}(m'))[I(m', n', k') \vee J(w, m', n', k') \vee K(w, \text{num}(z), m', n', k') \vee L(m', n', k') \vee M(m', n', k') \vee N(m', n', k')]$	6 T13.50a
15.	$l < \text{len}(m) \wedge l' < \text{len}(m')$	A (g \forall I)
16.	$\text{len}(\text{exp}(m, l)) \leq 0$	A (g \rightarrow I)
17.	$\sim[\text{exp}(m, l) = \text{exp}(m', l') \rightarrow \mathcal{P}_{l, l'}^{k, k'}]$	A (c \sim E)
18.	$\text{exp}(m, l) > 1$	7,15 T13.48f
19.	$\text{exp}(m, l) \neq 1$	16 T13.45j
20.	\perp	18,19 \perp I
21.	$\text{exp}(m, l) = \text{exp}(m', l') \rightarrow \mathcal{P}_{l, l'}^{k, k'}$	17-20 \sim E
22.	$\text{len}(\text{exp}(m, l)) \leq 0 \rightarrow (\text{exp}(m, l) = \text{exp}(m', l') \rightarrow \mathcal{P}_{l, l'}^{k, k'})$	16-21 \rightarrow I
23.	$(\forall k < \text{len}(m))(\forall k' < \text{len}(m'))[\text{len}(\text{exp}(m, k)) \leq 0 \rightarrow (\text{exp}(m, k) = \text{exp}(m', k') \rightarrow \mathcal{P})]$	15-22 \forall I
24.	$(\forall k < \text{len}(m))(\forall k' < \text{len}(m'))[\text{len}(\text{exp}(m, k)) \leq x \rightarrow (\text{exp}(m, k) = \text{exp}(m', k') \rightarrow \mathcal{P})]$	A (g \rightarrow I)
25.	$l < \text{len}(m) \wedge l' < \text{len}(m')$	A (g \forall I)
26.	$\text{len}(\text{exp}(m, l)) \leq Sx \wedge \text{exp}(m, l) = \text{exp}(m', l')$	A (g \rightarrow I)
27.	$I(m, n, l) \vee J(v, m, n, l) \vee K(v, \text{num}(y), m, n, l) \vee L(m, n, l) \vee M(m, n, l) \vee N(m, n, l)$	13,25 \forall E
28.	$I(m', n', l') \vee J(w, m', n', l') \vee K(w, \text{num}(z), m', n', l') \vee L(m', n', l') \vee M(m', n', l') \vee N(m', n', l')$	14,25 \forall E
29.	$I(m, n, l)$	A (g \exists E)
30.	$\text{exp}(m, l) = \overline{\overline{\emptyset}} \wedge \text{exp}(n, l) = \overline{\overline{\emptyset}}$	29 abv
31.	$I(m', n', l')$	A (g \exists E)
32.	$\text{exp}(m', l') = \overline{\overline{\emptyset}} \wedge \text{exp}(n', l') = \overline{\overline{\emptyset}}$	31 abv
33.	$\text{Tsubseq}(\overline{\overline{\emptyset}}, \overline{\overline{\emptyset}}, \text{exp}(n, l), w, \text{num}(z), \overline{\overline{\emptyset}})$	30 T13.50f
34.	$\text{Tsubseq}(\overline{\overline{\emptyset}}, \overline{\overline{\emptyset}}, \text{exp}(n', l'), v, \text{num}(y), \overline{\overline{\emptyset}})$	32 T13.50f
35.	$\mathcal{P}_{l, l'}^{k, k'}$	33,34 \exists I
36.	$J(w, m', n', l') \vee K(w, \text{num}(z), m', n', l') \vee L(m', n', l') \vee M(m', n', l') \vee N(m', n', l')$	A (g \exists E)
37.	$\sim \mathcal{P}_{l, l'}^{k, k'}$	A (c \sim E)
38.	$\text{exp}(m', l') = \overline{\overline{\emptyset}}$	30,26 $=$ E
39.	$\sim[J(w, m', n', l') \vee K(w, \text{num}(z), m', n', l') \vee L(m', n', l') \vee M(m', n', l') \vee N(m', n', l')]$	38 T13.55c
40.	\perp	36,39 \perp I
41.	$\mathcal{P}_{l, l'}^{k, k'}$	37-40 \sim E

Exercise 13.43 T13.58.b

42.	$J(v, m, n, l)$	A (g 27 \vee E)
43.	$\text{Var}(\text{exp}(m, l)) \wedge \text{exp}(m, l) \neq v \wedge \text{exp}(n, l) = \text{exp}(m, l)$	42 abv
44.	$J(w, m', n', l')$	A (g 28 \vee E)
45.	$\text{Var}(\text{exp}(m', l')) \wedge \text{exp}(m', l') \neq w \wedge \text{exp}(n', l') = \text{exp}(m', l')$	43 abv
46.	$\text{exp}(n, l) \neq w \wedge \text{exp}(n', l') \neq v \wedge \text{exp}(n, l) = \text{exp}(n', l')$	26,43,45 $=$ E
47.	$T_{\text{subseq}}(\bar{2}^{\text{exp}(n, l)}, \bar{2}^{\text{exp}(n, l')}, \text{exp}(n, l), w, \text{nam}(z), \text{exp}(n, l))$	43,46 T13.50g
48.	$T_{\text{subseq}}(\bar{2}^{\text{exp}(n', l')}, \bar{2}^{\text{exp}(n', l')}, \text{exp}(n', l'), v, \text{nam}(y), \text{exp}(n', l'))$	45,46 T13.50g
49.	$\mathcal{P}_{l, l'}^{k, k'}$	47,48,46 \exists I
50.	$K(w, \text{nam}(z), m', n', l')$	A (g 28 \vee E)
51.	$\text{Var}(\text{exp}(m', l')) \wedge \text{exp}(m', l') = w \wedge \text{exp}(n', l') = \text{nam}(z)$	50 abv
52.	$\text{Var}(\text{exp}(n, l)) \wedge \text{exp}(n, l) = w$	26,43,51 $=$ E
53.	$T_{\text{subseq}}(\bar{2}^{\text{exp}(n, l)}, \bar{2}^{\text{nam}(z)}, \text{exp}(n, l), w, \text{nam}(z), \text{nam}(z))$	52 T13.50h
54.	$\text{Termsub}(\text{exp}(n', l'), v, \text{nam}(y), \text{nam}(z))$	51 T13.57s
55.	$(\exists x \leq X)(\exists y \leq Y) T_{\text{subseq}}(x, y, \text{exp}(n', l'), v, \text{nam}(y), \text{nam}(z))$	54 T13.50b
56.	$T_{\text{subseq}}(e, f, \text{exp}(n', l'), v, \text{nam}(y), \text{nam}(z))$	A (g 55 (\exists E))
57.	$\mathcal{P}_{l, l'}^{k, k'}$	53,56 \exists I
58.	$\mathcal{P}_{l, l'}^{k, k'}$	55,56-57 (\exists E)
59.	$I(m', n', l') \vee L(m', n', l') \vee M(m', n', l') \vee N(m', n', l')$	A (g 28 \vee E)
60.	$\text{Var}(\text{exp}(m', l'))$	43,26 $=$ E
61.	$\sim \mathcal{P}_{l, l'}^{k, k'}$	A (c \sim E)
62.	$\text{exp}(m', l') = w$	A (c \sim I)
63.	$\sim [I(m', n', l') \vee J(w, m', n', l') \vee L(m', n', l') \vee M(m', n', l') \vee N(m', n', l')]$	60,62 T13.55e
64.	\perp	with 59,63
65.	$\text{exp}(m', l') \neq w$	62-64 \sim I
66.	$\sim [I(m', n', l') \vee K(w, \text{nam}(z), m', n', l') \vee L(m', n', l') \vee M(m', n', l') \vee N(m', n', l')]$	60,65 T13.55d
67.	\perp	with 59,66
68.	$\mathcal{P}_{l, l'}^{k, k'}$	61-67 \sim E
69.	$\mathcal{P}_{l, l'}^{k, k'}$	28,44-68 \vee E

70.					$K(v, \text{num}(y), m, n, l)$	A (g 27 \vee E)
71.					$\forall \text{ar}(\text{exp}(m, l) \wedge \text{exp}(m, l) = v \wedge \text{exp}(n, l) = \text{num}(y))$	70 abv
72.					$K(w, \text{num}(z), m', n', l')$	A (g 28 \vee E)
73.					$\forall \text{ar}(\text{exp}(m', l') \wedge \text{exp}(m', l') = w \wedge \text{exp}(n', l') = \text{num}(z))$	72 abv
74.					$\sim \mathcal{P}_{l, l'}^{k, k'}$	A (c \sim E)
75.					$v = w$	26, 71, 73
76.					\perp	1, 75 \perp I
77.					$\mathcal{P}_{l, l'}^{k, k'}$	74-76 \sim E
78.					$J(w, m', n', l')$	A (g 28 \vee E)
79.					$\forall \text{ar}(\text{exp}(m', l') \wedge \text{exp}(m', l') \neq w \wedge \text{exp}(n', l') = \text{exp}(m', l'))$	78 abv
80.					$\forall \text{ar}(\text{exp}(n', l') \wedge \text{exp}(n', l') = v)$	26, 71, 79 $=$ E
81.					$\text{Termseq}(\bar{2}^{\text{exp}(n', l')}, \bar{2}^{\text{num}(y)}, \text{exp}(n', l'), v, \text{num}(y), \text{num}(y))$	80 T13.50h
82.					$\text{Termsub}(\text{exp}(n, l), w, \text{num}(z), \text{num}(y))$	71 T13.57s
83.					$\text{Termseq}(e, f, \text{exp}(n, l), w, \text{num}(z), \text{num}(y))$	82 T13.50b
84.					$\mathcal{P}_{l, l'}^{k, k'}$	81, 83 \exists I
85.					$I(m', n', l') \vee L(m', n', l') \vee M(m', n', l') \vee N(m', n', l')$	A (g 28 \vee E)
86.					$\forall \text{ar}(\text{exp}(m', l'))$	26, 71 $=$ E
87.					$\sim \mathcal{P}_{l, l'}^{k, k'}$	A (c \sim E)
88.					$\text{exp}(m', l') = w$	A (c \sim I)
89.					$\sim [I(m', n', l') \vee J(w, m', n', l') \vee L(m', n', l') \vee M(m', n', l') \vee N(m', n', l')]$	86, 88 T13.55e
90.					\perp	with 85, 89
91.					$\text{exp}(m', l') \neq w$	62-64 \sim I
92.					$\sim [I(m', n', l') \vee K(w, \text{num}(z), m', n', l') \vee L(m', n', l') \vee M(m', n', l') \vee N(m', n', l')]$	86, 91 T13.55d
93.					\perp	with 85, 92
94.					$\mathcal{P}_{l, l'}^{k, k'}$	87-93 \sim E
95.					$\mathcal{P}_{l, l'}^{k, k'}$	28, 72-94 \vee E

96.	$L(m, n, l)$	A (g 27 \vee E)
97.	$(\exists i < l)[\exp(m, l) = \overline{S}^{\neg} * \exp(m, i) \wedge \exp(n, l) = \overline{S}^{\neg} * \exp(n, i)]$	96 abv
98.	$h < l$	A (g 97 (\exists E))
99.	$\exp(m, l) = \overline{S}^{\neg} * \exp(m, h) \wedge \exp(n, l) = \overline{S}^{\neg} * \exp(n, h)$	
100.	$L(m', n', l')$	A (g 28 \vee E)
101.	$(\exists i < l')[\exp(m', l') = \overline{S}^{\neg} * \exp(m', i) \wedge \exp(n', l') = \overline{S}^{\neg} * \exp(n', i)]$	100 abv
102.	$h' < l'$	A (g 101 (\exists E))
103.	$\exp(m', l') = \overline{S}^{\neg} * \exp(m', h') \wedge \exp(n', l') = \overline{S}^{\neg} * \exp(n', h')$	
104.	$\overline{S}^{\neg} * \exp(m, h) = \overline{S}^{\neg} * \exp(m', h')$	26,99,103 =E
105.	$h < \text{len}(m) \wedge h' < \text{len}(m')$	25,98,102 T13.13b
106.	$\text{Term}(\exp(m, h)) \wedge \text{Term}(\exp(m', h'))$	7,105 T13.48n
107.	$\exp(m, h) = \exp(m', h')$	106,104 T13.52b
108.	$\text{len}(\exp(m, l)) = \bar{1} + \text{len}(\exp(m, h))$	99 T13.47f
109.	$\text{len}(\exp(m, l)) > \text{len}(\exp(m, h))$	108 T13.13i
110.	$\text{len}(\exp(m, h)) < Sx$	26,109 T13.13c
111.	$\text{len}(\exp(m, h)) \leq x$	110 T13.13n
112.	$\exp(m, h) = \exp(m', h') \rightarrow \mathcal{P}_{h,h'}^{k,k'}$	24,105,111 (\forall E)
113.	$\mathcal{P}_{h,h'}^{k,k'}$	112,107 \rightarrow E
114.	$\exists q \exists a \exists b \exists c \exists d [\text{Tsubseq}(a, b, \exp(n, h), w, \text{num}(z), q) \wedge \text{Tsubseq}(c, d, \exp(n', h'), v, \text{num}(y), q)]$	113 abv
115.	$\text{Tsubseq}(a, b, \exp(n, h), w, \text{num}(z), q) \wedge \text{Tsubseq}(c, d, \exp(n', h'), v, \text{num}(y), q)$	A (g 114 \exists E)
116.	$\text{Tsubseq}(a * 2^{\overline{S}^{\neg} * \exp(n, h)}, b * 2^{\overline{S}^{\neg} * q}, \overline{S}^{\neg} * \exp(n, h), w, \text{num}(z), \overline{S}^{\neg} * q)$	115 T13.50i
117.	$\text{Tsubseq}(c * 2^{\overline{S}^{\neg} * \exp(n', h')}, d * 2^{\overline{S}^{\neg} * q}, \overline{S}^{\neg} * \exp(n', h'), v, \text{num}(y), \overline{S}^{\neg} * q)$	115 T13.50i
118.	$\text{Tsubseq}(a * 2^{\overline{S}^{\neg} * \exp(n, l)}, b * 2^{\overline{S}^{\neg} * q}, \exp(n, l), w, \text{num}(z), \overline{S}^{\neg} * q)$	99,116 =E
119.	$\text{Tsubseq}(c * 2^{\overline{S}^{\neg} * \exp(n', l')}, b * 2^{\overline{S}^{\neg} * q}, \exp(n', l'), v, \text{num}(y), \overline{S}^{\neg} * q)$	103,117 =E
120.	$\mathcal{P}_{l,l'}^{k,k'}$	118,119 \exists I
121.	$\mathcal{P}_{l,l'}^{k,k'}$	114,115-120 \exists E
122.	$\mathcal{P}_{l,l'}^{k,k'}$	101,102-121 (\exists E)
123.	$I(m', n', l') \vee J(w, m', n', l') \vee K(w, \text{num}(z), m', n', l') \vee M(m', n', l') \vee N(m', n', l')$	A (g 28 \vee E)
124.	$\exp(m', l') = \overline{S}^{\neg} * \exp(m, h)$	26,99 =E
125.	$\sim \mathcal{P}_{l,l'}^{k,k'}$	A (c \sim E)
126.	$\sim [I(m', n', l') \vee J(w, m', n', l') \vee K(w, \text{num}(z), m', n', l') \vee M(m', n', l') \vee N(m', n', l')]$	124 T13.55f
127.	\perp	123,126 \perp I
128.	$\mathcal{P}_{l,l'}^{k,k'}$	125-127 \sim E
129.	$\mathcal{P}_{l,l'}^{k,k'}$	28,100-128 \vee E
130.	$\mathcal{P}_{l,l'}^{k,k'}$	97,98-129 (\exists E)
131.	$M(m, n, l) \vee N(m, n, l)$	A (g 27 \vee E)
132.	$\mathcal{P}_{l,l'}^{k,k'}$	similarly
133.	$\mathcal{P}_{l,l'}^{k,k'}$	27,29-132 \vee E

134.	$ \text{len}(\text{exp}(m, l)) \leq Sx \rightarrow (\text{exp}(m, l) = \text{exp}(m', l') \rightarrow \mathcal{P}_{l, l'}^{k, k'})$	26-133 \rightarrow I
135.	$ (\forall k < \text{len}(m))(\forall k' < \text{len}(m'))[\text{len}(\text{exp}(m, k)) \leq Sx \rightarrow (\text{exp}(m, k) = \text{exp}(m', k') \rightarrow \mathcal{P})]$	25-134 (\forall I)
136.	$ (\forall k < \text{len}(m))(\forall k' < \text{len}(m'))[\text{len}(\text{exp}(m, k)) \leq x \rightarrow (\text{exp}(m, k) = \text{exp}(m', k') \rightarrow \mathcal{P})] \rightarrow$ $ (\forall k < \text{len}(m))(\forall k' < \text{len}(m'))[\text{len}(\text{exp}(m, k)) \leq Sx \rightarrow (\text{exp}(m, k) = \text{exp}(m', k') \rightarrow \mathcal{P})]$	24-135 \rightarrow I
137.	$ \forall x(\forall k < \text{len}(m))(\forall k' < \text{len}(m'))[\text{len}(\text{exp}(m, k)) \leq x \rightarrow (\text{exp}(m, k) = \text{exp}(m', k') \rightarrow \mathcal{P})]$	23,136 IN
138.	$ \text{len}(m) \dot{-} \bar{1} < \text{len}(m) \wedge \text{len}(m') \dot{-} \bar{1} < \text{len}(m')$	10 T13.23i
139.	$ \text{len}(\text{exp}(m, \text{len}(m) \dot{-} \bar{1})) \leq \text{len}(p) \rightarrow (\text{exp}(m, \text{len}(m) \dot{-} \bar{1}) = \text{exp}(m', \text{len}(m') \dot{-} \bar{1}) \rightarrow \mathcal{P}_{\text{len}(m) \dot{-} \bar{1}, \text{len}(m') \dot{-} \bar{1}}^{k, k'})$	137,138 (\forall E)
140.	$ \mathcal{P}_{\text{len}(m) \dot{-} \bar{1}, \text{len}(m') \dot{-} \bar{1}}^{k, k'}$	139,8 \rightarrow E
141.	$ \exists q \exists a \exists b \exists c \exists d [\text{Tsubseq}(a, b, \text{exp}(n, \text{len}(m) \dot{-} \bar{1}), w, \text{num}(z), q) \wedge \text{Tsubseq}(c, d, \text{exp}(n', \text{len}(m') \dot{-} \bar{1}), v, \text{num}(y), q)]$	140 abv
142.	$ \exists q \exists a \exists b \exists c \exists d [\text{Tsubseq}(a, b, t, w, \text{num}(z), q) \wedge \text{Tsubseq}(c, d, t', v, \text{num}(y), q)]$	141,11,12 \Rightarrow E
143.	$ \text{Tsubseq}(a, b, t, w, \text{num}(z), q) \wedge \text{Tsubseq}(c, d, t', v, \text{num}(y), q)$	A (g 142 \exists E)
144.	$ \text{Termsub}(t, w, \text{num}(z), q) \wedge \text{Termsub}(t', v, \text{num}(y), q)$	143 T13.50l
145.	$ \exists q \exists t \exists t' [\text{Termsub}(p, v, \text{num}(y), t) \wedge \text{Termsub}(p, w, \text{num}(z), t') \wedge$ $ \text{Termsub}(t, w, \text{num}(z), q) \wedge \text{Termsub}(t', v, \text{num}(y), q)]$	4,144 \exists I
146.	$ \exists q \exists t \exists t' [\text{Termsub}(p, v, \text{num}(y), t) \wedge \text{Termsub}(p, w, \text{num}(z), t') \wedge$ $ \text{Termsub}(t, w, \text{num}(z), q) \wedge \text{Termsub}(t', v, \text{num}(y), q)]$	142,143-145 \exists E
147.	$ \exists q \exists t \exists t' [\text{Termsub}(p, v, \text{num}(y), t) \wedge \text{Termsub}(p, w, \text{num}(z), t') \wedge$ $ \text{Termsub}(t, w, \text{num}(z), q) \wedge \text{Termsub}(t', v, \text{num}(y), q)]$	5,6-146 (\exists E)
148.	$ \exists q \exists t \exists t' [\text{Termsub}(p, v, \text{num}(y), t) \wedge \text{Termsub}(p, w, \text{num}(z), t') \wedge$ $ \text{Termsub}(t, w, \text{num}(z), q) \wedge \text{Termsub}(t', v, \text{num}(y), q)]$	3,4-147 \exists E
149.	$ [\text{Term}(p) \wedge v \neq w] \rightarrow \exists q \exists t \exists t' [\text{Termsub}(p, v, \text{num}(y), t) \wedge \text{Termsub}(p, w, \text{num}(z), t') \wedge$ $ \text{Termsub}(t, w, \text{num}(z), q) \wedge \text{Termsub}(t', v, \text{num}(y), q)]$	1-148 \rightarrow I

T13.58.d. $\text{PA} \vdash [\mathcal{W}\text{ff}(p) \wedge v \neq w] \rightarrow \text{formsub}(\text{formsub}(p, v, \text{num}(y)), w, \text{num}(z)) =$
 $\text{formsub}(\text{formsub}(p, w, \text{num}(z)), v, \text{num}(y))$

Let $\mathcal{P} = \exists q \exists a \exists b \exists c \exists d [\mathbb{F}\text{subseq}(a, b, \text{exp}(n, k), w, \text{num}(z), q) \wedge \mathbb{F}\text{subseq}(c, d, \text{exp}(n', k'), v, \text{num}(y), q)]$

1.	$\mathcal{Wff}(p) \wedge v \neq w$	A (g \rightarrow I)
2.	$Term(\mathcal{num}(y)) \wedge Term(\mathcal{num}(z))$	T13.57r
3.	$\exists q \mathcal{Formsub}(p, v, \mathcal{num}(y), q) \wedge \exists q \mathcal{Formsub}(p, w, \mathcal{num}(z), q)$	1,2 T13.51k
4.	$\mathcal{Formsub}(p, v, \mathcal{num}(y), t) \wedge \mathcal{Formsub}(p, w, \mathcal{num}(z), t')$	A (g \exists E)
5.	$\mathcal{formsub}(p, v, \mathcal{num}(y)) = t \wedge \mathcal{formsub}(p, w, \mathcal{num}(z)) = t'$	1,2,4 T13.56h
6.	$\mathcal{Wff}(t) \wedge \mathcal{Wff}(t')$	4,2 T13.51d
7.	$(\exists x \leq X)(\exists y \leq Y) \mathcal{Fsubseq}(x, y, p, v, \mathcal{num}(y), t) \wedge (\exists x \leq X)(\exists y \leq Y) \mathcal{Fsubseq}(x, y, p, w, \mathcal{num}(z), t')$	4 T13.51b
8.	$\mathcal{Fsubseq}(m, n, p, v, \mathcal{num}(y), t) \wedge \mathcal{Fsubseq}(m', n', p, w, \mathcal{num}(z), t')$	A (g \exists E))
9.	$\mathcal{Formseq}(m, p) \wedge \mathcal{Formseq}(m', p)$	8 T13.51a
10.	$\exp(n, \text{len}(m) \dot{-} \bar{1}) = p \wedge \exp(m', \text{len}(m') \dot{-} \bar{1}) = p$	9 T13.49a
11.	$m > \bar{1} \wedge m' > \bar{1}$	9 T13.49a
12.	$\text{len}(m) > \emptyset \wedge \text{len}(m') > \emptyset$	11 T13.45j
13.	$\exp(n, \text{len}(n) \dot{-} \bar{1}) = t \wedge \exp(n', \text{len}(n') \dot{-} \bar{1}) = t'$	8 T13.51a
14.	$\text{len}(m) = \text{len}(n) \wedge \text{len}(m') = \text{len}(n')$	8 T13.51a
15.	$(\forall k < \text{len}(m))[O(v, \mathcal{num}(y), m, n, k) \vee P(m, n, k) \vee Q(m, n, k) \vee R(v, p, m, n, k) \vee S(v, p, m, n, k)]$	8 T13.51a
16.	$(\forall k < \text{len}(m'))[O(w, \mathcal{num}(z), m', n', k) \vee P(m', n', k) \vee Q(m', n', k) \vee R(w, p, m', n', k) \vee S(w, p, m', n', k)]$	8 T13.51a
17.	$l < \text{len}(m) \wedge l' < \text{len}(m')$	A (g \forall I))
18.	$\text{len}(\exp(m, l)) \leq \emptyset$	A (g \rightarrow I)
19.	$\sim[\exp(m, l) = \exp(m', l') \rightarrow \mathcal{P}_{l,l'}^{k,k'}]$	A (c \sim E)
20.	$\exp(m, l) > \bar{1}$	9,17 T13.49d
21.	$\exp(m, l) \neq \bar{1}$	18 T13.45j
22.	\perp	20,21 \perp I
23.	$\exp(m, l) = \exp(m', l') \rightarrow \mathcal{P}_{l,l'}^{k,k'}$	19-22 \rightarrow E
24.	$\text{len}(\exp(m, l)) \leq \emptyset \rightarrow (\exp(m, l) = \exp(m', l') \rightarrow \mathcal{P}_{l,l'}^{k,k'})$	18-23 \rightarrow I
25.	$(\forall k < \text{len}(m))(\forall k' < \text{len}(m'))[\text{len}(\exp(m, k)) \leq \emptyset \rightarrow (\exp(m, k) = \exp(m', k') \rightarrow \mathcal{P})]$	17-24 \forall I)
26.	$(\forall k < \text{len}(m))(\forall k' < \text{len}(m'))[\text{len}(\exp(m, k)) \leq x \rightarrow (\exp(m, k) = \exp(m', k') \rightarrow \mathcal{P})]$	A (g \rightarrow I)
27.	$l < \text{len}(m) \wedge l' < \text{len}(m')$	A (g \forall I))
28.	$\text{len}(\exp(m, l)) \leq Sx \wedge \exp(m, l) = \exp(m', l')$	A (g \rightarrow I)
29.	$O(v, \mathcal{num}(y), m, n, l) \vee P(m, n, l) \vee Q(m, n, l) \vee R(v, p, m, n, l) \vee S(v, p, m, n, l)$	15,27 \vee E)
30.	$O(w, \mathcal{num}(z), m', n', l') \vee P(m', n', l') \vee Q(m', n', l') \vee R(w, p, m', n', l') \vee S(w, p, m', n', l')$	16,27 \vee E)
31.	$O(v, \mathcal{num}(y), m, n, l)$	A (g \vee E)
32.	$Atomic(\exp(m, l)) \wedge Atomic(\exp(m, l), v, \mathcal{num}(y), \exp(n, l))$	31 abv
33.	$O(w, \mathcal{num}(z), m', n', l')$	A (g \vee E)
34.	$Atomic(\exp(m', l')) \wedge Atomic(\exp(m', l'), w, \mathcal{num}(z), \exp(n', l'))$	33 abv
35.	$Atomic(\exp(m, l), w, \mathcal{num}(z), \exp(n', l'))$	34,28 $=$ E
36.	$Atomic(\exp(n, l)) \wedge Atomic(\exp(n', l'))$	2,32,34 T13.50e
37.	$\exists q \exists t \exists t' [\mathcal{Atomsub}(\exp(m, l), v, \mathcal{num}(y), t) \wedge \mathcal{Atomsub}(\exp(m, l), w, \mathcal{num}(z), t') \wedge$ $\mathcal{Atomsub}(t, w, \mathcal{num}(z), q) \wedge \mathcal{Atomsub}(t', v, \mathcal{num}(y), q)]$	1,32 T13.58c
38.	$\mathcal{Atomsub}(\exp(m, l), v, \mathcal{num}(y), u) \wedge \mathcal{Atomsub}(\exp(m, l), w, \mathcal{num}(z), u')$	A (g \exists E)
39.	$\mathcal{Atomsub}(u, w, \mathcal{num}(z), r) \wedge \mathcal{Atomsub}(u', v, \mathcal{num}(y), r)$	
40.	$\exp(n, l) = u \wedge \exp(n', l') = u'$	32,35,38, T13.55j
41.	$\mathcal{Atomsub}(\exp(n, l), w, \mathcal{num}(z), r) \wedge \mathcal{Atomsub}(\exp(n', l'), v, \mathcal{num}(y), r)$	39,40 $=$ E
42.	$\mathcal{Fsubseq}(\bar{2}^{\exp(n,l)}, \bar{2}^r, \exp(n, l), w, \mathcal{num}(z), r) \wedge \mathcal{Fsubseq}(\bar{2}^{\exp(n',l')}, \bar{2}^r, \exp(n', l'), v, \mathcal{num}(y), r)$	36,41 T13.51e
43.	$\mathcal{P}_{l,l'}^{k,k'}$	42 \exists I
44.	$\mathcal{P}_{l,l'}^{k,k'}$	37,38-43 \exists E
45.	$P(m', n', l') \vee Q(m', n', l') \vee R(w, p, m', n', l') \vee S(w, p, m', n', l')$	A (g \vee E)
46.	$\sim \mathcal{P}_{l,l'}^{k,k'}$	A (c \sim E)
47.	$Atomic(\exp(m', l'))$	32,28 $=$ E
48.	$\sim[P(m', n', l') \vee Q(m', n', l') \vee R(w, p, m', n', l') \vee S(w, p, m', n', l')]$	47 T13.56b
49.	\perp	45,48 \perp I
50.	$\mathcal{P}_{l,l'}^{k,k'}$	46-49 \sim E
51.	$\mathcal{P}_{l,l'}^{k,k'}$	30,33-50 \vee E

Exercise 13.43 T13.58.d

52.	$P(m, n, l)$	A (g 29 \vee E)
53.	$(\exists i < l)[\text{exp}(m, l) = \text{neg}(\text{exp}(m, i)) \wedge \text{exp}(n, l) = \text{neg}(\text{exp}(n, i))]$	52 abv
54.	$h < l$	A (g 53 (\exists E))
55.	$\text{exp}(m, l) = \text{neg}(\text{exp}(m, h)) \wedge \text{exp}(n, l) = \text{neg}(\text{exp}(n, h))$	
56.	$P(m', n', l')$	A (g 30 \vee E)
57.	$(\exists i < l')[\text{exp}(m', l') = \text{neg}(\text{exp}(m', i)) \wedge \text{exp}(n', l') = \text{neg}(\text{exp}(n', i))]$	56 abv
58.	$h' < l'$	A (g 57 (\exists E))
59.	$\text{exp}(m', l') = \text{neg}(\text{exp}(m', h')) \wedge \text{exp}(n', l') = \text{neg}(\text{exp}(n', h'))$	
60.	$\text{neg}(\text{exp}(m, h)) = \text{neg}(\text{exp}(m', h'))$	28,55,59 =E
61.	$h < \text{len}(m) \wedge h' < \text{len}(m')$	27,54,58 T13.13b
62.	$\text{Wff}(\text{exp}(m, h)) \wedge \text{Wff}(\text{exp}(m', h'))$	9,61 T13.49l
63.	$\text{exp}(m, h) = \text{exp}(m', h')$	60,62 T13.53a
64.	$\text{len}(\text{exp}(m, l)) = 1 + \text{len}(\text{exp}(m, h))$	55 T13.47f
65.	$\text{len}(\text{exp}(m, h)) < \text{len}(\text{exp}(m, l))$	64 T13.13h
66.	$\text{len}(\text{exp}(m, h)) < Sx$	28,65 T13.13c
67.	$\text{len}(\text{exp}(m, h)) \leq x$	66 T13.13n
68.	$\text{exp}(m, h) = \text{exp}(m', h') \rightarrow \mathcal{P}_{h,h'}^{k,k'}$	26,61,67 (\forall E)
69.	$\mathcal{P}_{h,h'}^{k,k'}$	68,63 \rightarrow E
70.	$\exists q \exists a \exists b \exists c \exists d [\text{Fsubseq}(a, b, \text{exp}(n, h), w, \text{num}(z), q) \wedge \text{Fsubseq}(c, d, \text{exp}(n', h'), v, \text{num}(y), q)]$	69 abv
71.	$\text{Fsubseq}(a, b, \text{exp}(n, h), w, \text{num}(z), q) \wedge \text{Fsubseq}(c, d, \text{exp}(n', h'), v, \text{num}(y), q)$	A (g 70 \exists E)
72.	$\text{Fsubseq}(a * \bar{2}^{\text{neg}(\text{exp}(n, h))}, \bar{2}^{\text{neg}(q)}, \text{neg}(\text{exp}(n, h)), w, \text{num}(z), \text{neg}(q)) \wedge$ $\text{Fsubseq}(c * \bar{2}^{\text{neg}(\text{exp}(n', h'))}, \bar{2}^{\text{neg}(q)}, \text{neg}(\text{exp}(n', h')), v, \text{num}(y), \text{neg}(q))$	71 T13.51f
73.	$\text{Fsubseq}(a * \bar{2}^{\text{exp}(n, l)}, \bar{2}^{\text{neg}(q)}, \text{exp}(n, l), w, \text{num}(z), \text{neg}(q)) \wedge$ $\text{Fsubseq}(c * \bar{2}^{\text{exp}(n', l')}, \bar{2}^{\text{neg}(q)}, \text{exp}(n', l'), v, \text{num}(y), \text{neg}(q))$	72,55,59 =E
74.	$\mathcal{P}_{l,l'}^{k,k'}$	73 \exists I
75.	$\mathcal{P}_{l,l'}^{k,k'}$	70,71-74 \exists E
76.	$\mathcal{P}_{l,l'}^{k,k'}$	57,58-75 (\exists E)
77.	$O(w, \text{num}(z), m', n', l') \vee Q(m', n', l') \vee R(w, p, m', n', l') \vee S(w, p, m', n', l')$	A (g 30 \vee E)
78.	$\sim \mathcal{P}_{l,l'}^{k,k'}$	A (c \sim E)
79.	$\text{exp}(m', l') = \text{neg}(\text{exp}(m, h))$	55,28 =E
80.	$\sim [O(w, \text{num}(z), m', n', l') \vee Q(m', n', l') \vee R(w, p, m', n', l') \vee S(w, p, m', n', l')]$	79 T13.56c
81.	\perp	77,80 \perp I
82.	$\mathcal{P}_{l,l'}^{k,k'}$	78-81 \sim E
83.	$\mathcal{P}_{l,l'}^{k,k'}$	30,56-82 \vee E
84.	$\mathcal{P}_{l,l'}^{k,k'}$	53,54-83 (\exists E)
85.	$Q(m, n, l) \vee R(v, p, m, n, l)$	A (g 29 \vee E)
86.	$\mathcal{P}_{l,l'}^{k,k'}$	similarly

87.	$S(v, p, m, n, l)$	A (g 29vE)
88.	$(\exists i < l)(\exists j < p)[\text{Var}(j) \wedge j = v \wedge \text{exp}(m, l) = \text{inv}(j, \text{exp}(m, i)) \wedge \text{exp}(n, l) = \text{exp}(m, l)]$	87 abv
89.	$h < l \wedge u < p$	A (g 88 (3E))
90.	$\text{Var}(u) \wedge u = v \wedge \text{exp}(m, l) = \text{inv}(u, \text{exp}(m, h)) \wedge \text{exp}(n, l) = \text{exp}(m, l)$	
91.	$S(w, p, m', n', l')$	A (g 30vE)
92.	$(\exists i < l')(\exists j < p)[\text{Var}(j) \wedge j = w \wedge \text{exp}(m', l') = \text{inv}(j, \text{exp}(m', i)) \wedge \text{exp}(n', l') = \text{exp}(m', l')]$	91 abv
93.	$h' < l' \wedge u' < p$	A (g 92 (3E))
94.	$\text{Var}(u') \wedge u' = w \wedge \text{exp}(m', l') = \text{inv}(u', \text{exp}(m', h')) \wedge \text{exp}(n', l') = \text{exp}(m', l')$	
95.	$h < \text{len}(m) \wedge h' < \text{len}(m')$	27,89,93 T13.13b
96.	$\text{Wff}(\text{exp}(m, h)) \wedge \text{Wff}(\text{exp}(m', h'))$	9,95 T13.49l
97.	$\exists q \text{Formsub}(\text{exp}(m, h), w, \text{num}(z), q) \wedge \exists q \text{Formsub}(\text{exp}(m', h'), v, \text{num}(y), q)$	2,96 T13.51k
98.	$\text{Formsub}(\text{exp}(m, h), w, \text{num}(z), q) \wedge \text{Formsub}(\text{exp}(m', h'), v, \text{num}(y), q')$	A (g 973E)
99.	$(\exists x \leq X)(\exists y \leq Y) \text{Fsubseq}(x, y, \text{exp}(m, h), w, \text{num}(z), q) \wedge (\exists x \leq X)(\exists y \leq Y) \text{Fsubseq}(x, y, \text{exp}(m', h'), v, \text{num}(y), q')$	98 T13.51b
100.	$\text{Fsubseq}(a, b, \text{exp}(m, h), w, \text{num}(z), q) \wedge \text{Fsubseq}(c, d, \text{exp}(m', h'), v, \text{num}(y), q')$	A (g 99 (3E))
101.	$\text{inv}(u, \text{exp}(m, h)) = \text{inv}(u', \text{exp}(m', h'))$	28,90,94 =E
102.	$\text{exp}(m, h) = \text{exp}(m', h') \wedge u = u'$	101,96,90,94 T13.53b
103.	$u = w \wedge u' = v$	90,94,102 =E
104.	$\text{exp}(n, l) = \text{exp}(n', l')$	28,90,94 =E
105.	$\text{Fsubseq}(a * 2^{\text{inv}(u, \text{exp}(m, h))}, b * 2^{\text{inv}(u', \text{exp}(m', h'))}, \text{inv}(u, \text{exp}(m, h)), w, \text{num}(z), \text{inv}(u, \text{exp}(m, h)))$	100,90,103 T13.51i
106.	$\text{Fsubseq}(a * 2^{\text{exp}(n, l)}, b * 2^{\text{exp}(n', l')}, \text{exp}(n, l), w, \text{num}(z), \text{exp}(n, l))$	105,90 =E
107.	$\text{Fsubseq}(c * 2^{\text{inv}(u', \text{exp}(m', h'))}, d * 2^{\text{inv}(u', \text{exp}(m', h'))}, \text{inv}(u', \text{exp}(m', h')), v, \text{num}(y), \text{inv}(u', \text{exp}(m', h')))$	100,94,103 T13.51i
108.	$\text{Fsubseq}(c * 2^{\text{exp}(n', l')}, b * 2^{\text{exp}(n', l')}, \text{exp}(n', l'), v, \text{num}(y), \text{exp}(n', l'))$	107,94 =E
109.	$\text{Fsubseq}(c * 2^{\text{exp}(n', l')}, b * 2^{\text{exp}(n', l')}, \text{exp}(n', l'), v, \text{num}(y), \text{exp}(n, l))$	108,104 =E
110.	$\mathcal{P}_{l, l'}^{k, k'}$	106,109 3I
111.	$\mathcal{P}_{l, l'}^{k, k'}$	99,100-110 (3E)
112.	$\mathcal{P}_{l, l'}^{k, k'}$	97,98-111 3E
113.	$\mathcal{P}_{l, l'}^{k, k'}$	92,93-112 (3E)
114.	$O(w, \text{num}(z), m', n', l') \vee P(m', n', l') \vee Q(m', n', l') \vee R(w, p, m', n', l')$	A (g 30vE)
115.	$\mathcal{P}_{l, l'}^{k, k'}$	as above
116.	$\mathcal{P}_{l, l'}^{k, k'}$	30,91-115 vE
117.	$\mathcal{P}_{l, l'}^{k, k'}$	88,89-116 (3E)
118.	$\mathcal{P}_{l, l'}^{k, k'}$	29,31-117 vE
119.	$\text{len}(\text{exp}(m, l)) \leq Sx \rightarrow (\text{exp}(m, l) = \text{exp}(m', l') \rightarrow \mathcal{P}_{l, l'}^{k, k'})$	28-118 \rightarrow I
120.	$(\forall k < \text{len}(m))(\forall k' < \text{len}(m'))[\text{len}(\text{exp}(m, k)) \leq Sx \rightarrow (\text{exp}(m, k) = \text{exp}(m', k') \rightarrow \mathcal{P})]$	27-119 (\forall I)
121.	$(\forall k < \text{len}(m))(\forall k' < \text{len}(m'))[\text{len}(\text{exp}(m, k)) \leq x \rightarrow (\text{exp}(m, k) = \text{exp}(m', k') \rightarrow \mathcal{P})] \rightarrow (\forall k < \text{len}(m))(\forall k' < \text{len}(m'))[\text{len}(\text{exp}(m, k)) \leq Sx \rightarrow (\text{exp}(m, k) = \text{exp}(m', k') \rightarrow \mathcal{P})]$	26-120 \rightarrow I
122.	$\forall x(\forall k < \text{len}(m))(\forall k' < \text{len}(m'))[\text{len}(\text{exp}(m, k)) \leq x \rightarrow (\text{exp}(m, k) = \text{exp}(m', k') \rightarrow \mathcal{P})]$	25,121 IN
123.	$\text{len}(m) \dot{-} \bar{1} < \text{len}(m) \wedge \text{len}(m') \dot{-} \bar{1} < \text{len}(m')$	12 T13.23i
124.	$\text{len}(\text{exp}(m, \text{len}(m) \dot{-} \bar{1})) \leq \text{len}(p) \rightarrow (\text{exp}(m, \text{len}(m) \dot{-} \bar{1}) = \text{exp}(m', \text{len}(m') \dot{-} \bar{1}) \rightarrow \mathcal{P}_{\text{len}(m) \dot{-} \bar{1}, \text{len}(m') \dot{-} \bar{1}}^{k, k'})$	122,123 (\forall E)
125.	$\text{len}(p) \leq \text{len}(p) \rightarrow (p = p \rightarrow \mathcal{P}_{\text{len}(m) \dot{-} \bar{1}, \text{len}(m') \dot{-} \bar{1}}^{k, k'})$	124,10 =E
126.	$\mathcal{P}_{\text{len}(m) \dot{-} \bar{1}, \text{len}(m') \dot{-} \bar{1}}^{k, k'}$	125 =I, \rightarrow E
127.	$\mathcal{P}_{\text{len}(n) \dot{-} \bar{1}, \text{len}(n') \dot{-} \bar{1}}^{k, k'}$	126,14 =E
128.	$\exists q \exists a \exists b \exists c \exists d [\text{Fsubseq}(a, b, t, w, \text{num}(z), q) \wedge \text{Fsubseq}(c, d, t', v, \text{num}(y), q)]$	127,13 =E
129.	$\text{Fsubseq}(a, b, t, w, \text{num}(z), q) \wedge \text{Fsubseq}(c, d, t', v, \text{num}(y), q)$	A (g 1283E)
130.	$\text{Formsub}(t, w, \text{num}(z), q) \wedge \text{Formsub}(t', v, \text{num}(y), q)$	129 T13.51j
131.	$\text{formsub}(t, w, \text{num}(z)) = q \wedge \text{formsub}(t', v, \text{num}(y)) = q$	130,6,2 T13.56h
132.	$\text{formsub}(t, w, \text{num}(z)) = \text{formsub}(t', v, \text{num}(y))$	131 =E
133.	$\text{formsub}(\text{formsub}(p, v, \text{num}(y)), w, \text{num}(z)) = \text{formsub}(\text{formsub}(p, w, \text{num}(z)), v, \text{num}(y))$	5,132 =E
134.	$\text{formsub}(\text{formsub}(p, v, \text{num}(y)), w, \text{num}(z)) = \text{formsub}(\text{formsub}(p, w, \text{num}(z)), v, \text{num}(y))$	128,129-133 3E
135.	$\text{formsub}(\text{formsub}(p, v, \text{num}(y)), w, \text{num}(z)) = \text{formsub}(\text{formsub}(p, w, \text{num}(z)), v, \text{num}(y))$	7,8-134 (3E)
136.	$\text{formsub}(\text{formsub}(p, v, \text{num}(y)), w, \text{num}(z)) = \text{formsub}(\text{formsub}(p, w, \text{num}(z)), v, \text{num}(y))$	3,4-135 3E
137.	$[\text{Wff}(p) \wedge v \neq w] \rightarrow \text{formsub}(\text{formsub}(p, v, \text{num}(y)), w, \text{num}(z)) = \text{formsub}(\text{formsub}(p, w, \text{num}(z)), v, \text{num}(y))$	1-136 \rightarrow I

E13.45. Fill in the parts of T13.61 that are left as “similarly” to to show that $\text{PA} \vdash \mathcal{P} \leftrightarrow \mathcal{P}^*$.

T13.61. For any Δ_0 formula \mathcal{P} there is a Σ^* formula \mathcal{P}^* such that $\text{PA} \vdash \mathcal{P} \leftrightarrow \mathcal{P}^*$.
 \mathcal{P}^* is $(\forall x < t)\mathcal{B}$. Set $\mathcal{P}^* = \exists z[(t = z)^* \wedge (\forall x \leq z)((x \neq z)^* \rightarrow \mathcal{B}^*)]$.

1.	$t = z \leftrightarrow (t = z)^*$	T13.59
2.	$x \neq z \leftrightarrow (x \neq z)^*$	(\sim) case
3.	$\mathcal{B} \leftrightarrow \mathcal{B}^*$	by assp
4.	\mathcal{P}^*	A ($g \leftrightarrow I$)
5.	$\exists z[(t = z)^* \wedge (\forall x \leq z)((x \neq z)^* \rightarrow \mathcal{B}^*(x))]$	4 abv
6.	$\exists z[t = z \wedge (\forall x \leq z)(x \neq z \rightarrow \mathcal{B}(x))]$	5 with 1,2,3
7.	$t = a \wedge (\forall x \leq a)(x \neq a \rightarrow \mathcal{B}(x))$	A ($g \exists E$)
8.	$l < t$	A ($g \forall I$)
9.	$t = a$	7 $\wedge E$
10.	$(\forall x \leq a)(x \neq a \rightarrow \mathcal{B}(x))$	7 $\wedge E$
11.	$(\forall x \leq t)(x \neq t \rightarrow \mathcal{B}(x))$	10,9 $=E$
12.	$l \leq t$	8 T13.13m
13.	$l \neq t \rightarrow \mathcal{B}(l)$	11,12 ($\forall E$)
14.	$l \neq t$	8 T13.13s
15.	$\mathcal{B}(l)$	13,14 $\rightarrow E$
16.	$(\forall x < t)\mathcal{B}(x)$	8-15 ($\forall I$)
17.	$(\forall x < t)\mathcal{B}(x)$	6,7-16 $\exists E$
18.	\mathcal{P}^*	abv
19.	\mathcal{P}^*	A ($g \leftrightarrow I$)
20.	$(\forall x < t)\mathcal{B}(x)$	19 abv
21.	$t = t$	$=I$
22.	$a \leq t$	A ($g \forall I$)
23.	$a < t \vee a = t$	22 T13.13m
24.	$a < t$	A ($g \exists \vee E$)
25.	$\mathcal{B}(a)$	20,24 ($\forall E$)
26.	$a = t \vee \mathcal{B}(a)$	25 $\vee I$
27.	$a \neq t \rightarrow \mathcal{B}(a)$	26 Impl
28.	$a = t$	A ($g \exists \vee E$)
29.	$a = t \vee \mathcal{B}(a)$	28 $\vee I$
30.	$a \neq t \rightarrow \mathcal{B}(a)$	29 Impl
31.	$a \neq t \rightarrow \mathcal{B}(a)$	23,24-27,28-30 $\vee E$
32.	$(\forall x \leq t)(x \neq t \rightarrow \mathcal{B}(x))$	22-31 ($\forall I$)
33.	$t = t \wedge (\forall x \leq t)(x \neq t \rightarrow \mathcal{B}(x))$	21,32 $\wedge I$
34.	$\exists z[t = z \wedge (\forall x \leq z)(x \neq z \rightarrow \mathcal{B}(x))]$	33 $\exists I$
35.	$\exists z[(t = z)^* \wedge (\forall x \leq z)((x \neq z)^* \rightarrow \mathcal{B}^*(x))]$	34 with 1,2,3
36.	\mathcal{P}^*	35 abv
37.	$\mathcal{P}^* \leftrightarrow \mathcal{P}^*$	4-18,19-36 $\leftrightarrow I$

E13.47. Provide a demonstration for T13.65.

T13.65. For any i , $\text{PA} \vdash \text{sub}_{i+1}(\overline{\ulcorner \mathcal{P} \urcorner}, x_a, x_{y_1} \dots x_{y_n}) = \text{sub}_{i+1}(\overline{\ulcorner \mathcal{P} \urcorner}, x_{y_1} \dots x_{y_i}, x_a, x_{y_{(i+1)}} \dots x_{y_n})$.

Exercise 13.47 T13.65

Preliminary: If $\text{PA} \vdash \mathcal{Wff}(p)$, then $\text{PA} \vdash \text{formsub}(\text{formsub}(p, \text{gvar}(\bar{a}), \text{num}(x_a)), \text{gvar}(\bar{b}), \text{num}(x_b)) = \text{formsub}(\text{formsub}(p, \text{gvar}(\bar{b}), \text{num}(x_b)), \text{gvar}(\bar{a}), \text{num}(x_a))$.

Suppose $\text{PA} \vdash \mathcal{Wff}(p)$.

(i) Suppose $a = b$; then trivially $\text{PA} \vdash \text{formsub}(\text{formsub}(p, \text{gvar}(\bar{a}), \text{num}(x_a)), \text{gvar}(\bar{b}), \text{num}(x_b)) = \text{formsub}(\text{formsub}(p, \text{gvar}(\bar{b}), \text{num}(x_b)), \text{gvar}(\bar{a}), \text{num}(x_a))$.

(ii) Suppose $a \neq b$; then by capture $\text{PA} \vdash \bar{a} \neq \bar{b}$; so by T13.57g, $\text{PA} \vdash \text{gvar}(\bar{a}) \neq \text{gvar}(\bar{b})$; so by T13.58d, $\text{PA} \vdash \text{formsub}(\text{formsub}(p, \text{gvar}(\bar{a}), \text{num}(x_a)), \text{gvar}(\bar{b}), \text{num}(x_b)) = \text{formsub}(\text{formsub}(p, \text{gvar}(\bar{b}), \text{num}(x_b)), \text{gvar}(\bar{a}), \text{num}(x_a))$.

In either case, then, $\text{PA} \vdash \text{formsub}(\text{formsub}(p, \text{gvar}(\bar{a}), \text{num}(x_a)), \text{gvar}(\bar{b}), \text{num}(x_b)) = \text{formsub}(\text{formsub}(p, \text{gvar}(\bar{b}), \text{num}(x_b)), \text{gvar}(\bar{a}), \text{num}(x_a))$.

Basis: $\text{PA} \vdash \text{sub}_1(\overline{\mathcal{P}^\perp}, x_a, x_{y_1} \dots x_{y_n}) = \text{sub}_1(\overline{\mathcal{P}^\perp}, x_a, x_{y_1} \dots x_{y_n})$.

Assp: For any i , $\text{PA} \vdash \text{sub}_{i+1}(\overline{\mathcal{P}^\perp}, x_a, x_{y_1} \dots x_{y_n}) = \text{sub}_{i+1}(\overline{\mathcal{P}^\perp}, x_{y_1} \dots x_{y_i}, x_a, x_{y_{i+1}} \dots x_{y_n})$

Show: $\text{PA} \vdash \text{sub}_{i+2}(\overline{\mathcal{P}^\perp}, x_a, x_{y_1} \dots x_{y_n}) = \text{sub}_{i+2}(\overline{\mathcal{P}^\perp}, x_{y_1} \dots x_{y_{i+1}}, x_a, x_{y_{i+2}} \dots x_{y_n})$

- | | |
|---|-----------|
| 1. $\mathcal{Wff}(\text{sub}_i(\overline{\mathcal{P}^\perp}, x_{y_1} \dots x_{y_i}, x_a, x_{y_{i+1}} \dots x_{y_n}))$ | T13.63 |
| 2. $\text{sub}_{i+2}(\overline{\mathcal{P}^\perp}, x_{y_1} \dots x_{y_{i+1}}, x_a, x_{y_{i+2}} \dots x_{y_n})$ | |
| 3. $= \text{formsub}[\text{sub}_{i+1}(\overline{\mathcal{P}^\perp}, x_{y_1} \dots x_{y_{i+1}}, x_a, x_{y_{i+2}} \dots x_{y_n}), \text{gvar}(\bar{a}), \text{num}(x_a)]$ | def |
| 4. $= \text{formsub}[\text{formsub}(\text{sub}_i(\overline{\mathcal{P}^\perp}, x_{y_1} \dots x_{y_i}, x_{y_{i+1}}, x_a, x_{y_{i+2}} \dots x_{y_n}), \text{gvar}(\bar{y}_{i+1}), \text{num}(x_{y_{i+1}})), \text{gvar}(\bar{a}), \text{num}(x_a)]$ | def |
| 5. $= \text{formsub}[\text{formsub}(\text{sub}_i(\overline{\mathcal{P}^\perp}, x_{y_1} \dots x_{y_i}, x_a, x_{y_{i+1}} \dots x_{y_n}), \text{gvar}(\bar{y}_{i+1}), \text{num}(x_{y_{i+1}})), \text{gvar}(\bar{a}), \text{num}(x_a)]$ | T13.64 |
| 6. $= \text{formsub}[\text{formsub}(\text{sub}_i(\overline{\mathcal{P}^\perp}, x_{y_1} \dots x_{y_i}, x_a, x_{y_{i+1}} \dots x_{y_n}), \text{gvar}(\bar{a}), \text{num}(x_a)), \text{gvar}(\bar{y}_{i+1}), \text{num}(x_{y_{i+1}})]$ | 1,5 prelm |
| 7. $= \text{formsub}[\text{formsub}(\text{sub}_{i+1}(\overline{\mathcal{P}^\perp}, x_{y_1} \dots x_{y_i}, x_a, x_{y_{i+1}} \dots x_{y_n}), \text{gvar}(\bar{y}_{i+1}), \text{num}(x_{y_{i+1}}))]$ | def |
| 8. $= \text{formsub}[\text{formsub}(\text{sub}_{i+1}(\overline{\mathcal{P}^\perp}, x_a, x_{y_1} \dots x_{y_n}), \text{gvar}(\bar{y}_{i+1}), \text{num}(x_{i+1}))]$ | assp |
| 9. $= \text{sub}_{i+2}(\overline{\mathcal{P}^\perp}, x_a, x_{y_1} \dots x_{y_n})$ | def |

Indct: For any i , $\text{PA} \vdash \text{sub}_{i+1}(\overline{\mathcal{P}^\perp}, x_a, x_{y_1} \dots x_{y_n}) = \text{sub}_{i+1}(\overline{\mathcal{P}^\perp}, x_{y_1} \dots x_{y_i}, x_a, x_{y_{i+1}} \dots x_{y_n})$

E13.48. Provide a demonstration for T13.67

T13.67. If the variables of \vec{y} and \vec{z} are ordered by their subscripts and \vec{y} and \vec{z} are the same except that \vec{z} includes some variables not in \vec{y} (and so not free in \mathcal{P}), then $\text{PA} \vdash \text{sub}(\overline{\mathcal{P}^\perp}, \vec{y}) = \text{sub}(\overline{\mathcal{P}^\perp}, \vec{z})$.

Suppose $S(i.j)$ is as in the hint to T13.67.

Basis: $\text{PA} \vdash \text{sub}_0(\overline{\mathcal{P}^\perp}, \vec{y}) = \overline{\mathcal{P}^\perp} = \text{sub}_0(\overline{\mathcal{P}^\perp}, \vec{z})$.

Assp: For any $i.j$ in the sequence, $\text{PA} \vdash \text{sub}_i(\overline{\mathcal{P}^\perp}, \vec{y}) = \text{sub}_j(\overline{\mathcal{P}^\perp}, \vec{z})$

Show: For $S(i.j) = k.l$, $\text{PA} \vdash \text{sub}_k(\overline{\mathcal{P}^\perp}, \vec{y}) = \text{sub}_l(\overline{\mathcal{P}^\perp}, \vec{z})$. Either (i)

$y_{Si} = z_{Sj}$ or (ii) $y_{Si} \neq z_{Sj}$.

(i) $y_{Si} = z_{Sj}$ so that $k.l = Si.Sj$. Let $a = y_{Si} = z_{Sj}$.

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|--|----------|
| 1. $\text{sub}_{Si}(\overline{\mathcal{P}^\perp}, \vec{y}) = \text{formsub}(\text{sub}_i(\overline{\mathcal{P}^\perp}, \vec{y}), \text{gvar}(\bar{a}), \text{num}(x_a))$ | by def |
| 2. $\text{formsub}(\text{sub}_i(\overline{\mathcal{P}^\perp}, \vec{y}), \text{gvar}(\bar{a}), \text{num}(x_a)) = \text{formsub}(\text{sub}_j(\overline{\mathcal{P}^\perp}, \vec{z}), \text{gvar}(\bar{a}), \text{num}(x_a))$ | by assp |
| 3. $\text{formsub}(\text{sub}_j(\overline{\mathcal{P}^\perp}, \vec{z}), \text{gvar}(\bar{a}), \text{num}(x_a)) = \text{sub}_{Sj}(\overline{\mathcal{P}^\perp}, \vec{z})$ | by def |
| 4. $\text{sub}_{Si}(\overline{\mathcal{P}^\perp}, \vec{y}) = \text{sub}_{Sj}(\overline{\mathcal{P}^\perp}, \vec{z})$ | 1,2,3 =E |

Exercise 13.48 T13.67

So $\text{PA} \vdash \text{sub}_k(\overline{\ulcorner \mathcal{P} \urcorner}, \vec{y}) = \text{sub}_l(\overline{\ulcorner \mathcal{P} \urcorner}, \vec{z})$.

(ii) $y_{S_i} \neq z_{S_j}$ so that $k.l = i.Sj$. Let $\mathbf{a} = z_{S_j}$; in this case, $x_{\mathbf{a}}$ is not in \vec{y} and so not free in \mathcal{P} .

1. $\text{sub}_{S_j}(\overline{\ulcorner \mathcal{P} \urcorner}, \vec{z}) = \text{sub}_j(\overline{\ulcorner \mathcal{P} \urcorner}, \vec{z})$ T13.66
2. $\text{sub}_j(\overline{\ulcorner \mathcal{P} \urcorner}, \vec{z}) = \text{sub}_i(\overline{\ulcorner \mathcal{P} \urcorner}, \vec{y})$ by assp
3. $\text{sub}_i(\overline{\ulcorner \mathcal{P} \urcorner}, \vec{y}) = \text{sub}_{S_j}(\overline{\ulcorner \mathcal{P} \urcorner}, \vec{z})$ 1,2 =E

So $\text{PA} \vdash \text{sub}_k(\overline{\ulcorner \mathcal{P} \urcorner}, \vec{y}) = \text{sub}_l(\overline{\ulcorner \mathcal{P} \urcorner}, \vec{z})$.

Indct: For any $i.j$ in the sequence $\text{PA} \vdash \text{sub}_i(\overline{\ulcorner \mathcal{P} \urcorner}, \vec{y}) = \text{sub}_j(\overline{\ulcorner \mathcal{P} \urcorner}, \vec{z})$; and $\text{PA} \vdash \text{sub}_n(\overline{\ulcorner \mathcal{P} \urcorner}, \vec{y}) = \text{sub}_m(\overline{\ulcorner \mathcal{P} \urcorner}, \vec{z})$.

Chapter Fourteen

You are ready to do these on your own!

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expressive completeness, [428](#)